

Photophysics of single nitrogen-vacancy centers in diamond nanocrystalsMartin Berthel,^{1,2} Oriane Mollet,^{1,2,3} Géraldine Dantelle,^{1,2,4} Thierry Gacoin,⁴ Serge Huant,^{1,2} and Aurélien Drezet^{1,2}¹*Université Grenoble Alpes, Institut NEEL, F-38000 Grenoble, France*²*CNRS, Institut NEEL, F-38042 Grenoble, France*³*LPN-CNRS, Route de Nosay 91460 Marcoussis, France*⁴*Laboratoire de Physique de la Matière Condensée, Ecole Polytechnique, UMR CNRS 7643, 91128 Palaiseau, France*

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A study of the photophysical properties of nitrogen-vacancy (NV) color centers in diamond nanocrystals of size 50 nm or below is carried out by means of second-order time-intensity photon correlation and cross-correlation measurements as a function of the excitation power for both pure charge states, neutral and negatively charged, as well as for the photochromic state, where the center switches between both states at any power. A dedicated three-level model implying a shelving level is developed to extract the relevant photophysical parameters coupling all three levels. Our analysis confirms the very existence of the shelving level for the neutral NV center. It is found that it plays a negligible role on the photophysics of this center, whereas it is responsible for an increasing photon bunching behavior of the negative NV center with increasing power. From the photophysical parameters, we infer a quantum efficiency for both centers, showing that it remains close to unity for the neutral center over the entire power range, whereas it drops with increasing power from near unity to approximately 0.5 for the negative center. The photophysics of the photochromic center reveals a rich phenomenology that is to a large extent dominated by that of the negative state, in agreement with the excess charge release of the negative center being much slower than the photon emission process.

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I. INTRODUCTION

With the development of photonic quantum cryptography and quantum information processes, there is need for reliable and easy-to-use single-photon sources. Such sources have been developed in recent years [1], such as single molecules, colloidal or epitaxial semiconductor quantum dots, and color centers in diamond [2–4]. Here, we are interested in the latter, namely the NV center, formed by a substitutional nitrogen atom adjacent to a vacancy in the diamond lattice. NV centers have found numerous applications recently thanks to their unique physical properties such as excellent photostability [2,5–7] and long spin coherence times [8] as well as to improved control over both their production [9] and physical initialization protocols [10]. NV centers can be made available both in ultrapure bulk diamond [8] and ultrasmall crystals [11]. Applications range from high-sensitivity high-resolution magnetometry [12–18] to fluorescence probing of biological processes [19,20], solid-state quantum information processing [21,22], spin optomechanics [23,24], quantum optics [25–27], nanophotonics [28–31], and quantum plasmonics [32–34].

NV centers can take two different charge states with different spectral properties: the neutral center NV^0 , which has a zero-phonon line (ZPL) around 575 nm (2.16 eV), and the negatively charged center NV^- , which has a ZPL around 637 nm (1.95 eV) [35]. In addition to ZPLs, the fluorescence spectra of both centers exhibit a broad and intense vibronic band at lower energy. A single NV center, as a single-photon emitter, is characterized by a second-order time-intensity correlation function that exhibits a photon antibunching dip at zero delay. In a first approach, the photophysics of NV centers can be modeled by a two-level system with two photophysical parameters, the excitation rate and the spontaneous emission rate. However, with increasing excitation power, the NV center,

more particularly in the negative state NV^- , can experience distinctive photon bunching at finite coincidence time, in addition to the expected antibunching at zero delay. This can be accounted for within a three-level system with additional photophysical parameters to describe photon decays to, or from, the additional shelving level.

The aim of this paper is to give a detailed description of the intrinsic photophysics of single NV centers of both charge states in surface-purified [9] nanodiamonds (NDs) of size around 50 nm, or below, as a function of the illumination power from a cw laser. Understanding the intrinsic photophysics of NV centers is required before implementing small fluorescent NDs in a complex electromagnetic environment, such as practical single-photon devices, which will modify the photophysics [28]. It is also useful to the applications mentioned above as most of them exploit the single-photon emitter nature of isolated NVs.

The statistics of both NV charge states has been studied previously in ND samples similar to those studied here and it was found to be size dependent, with a larger occurrence (>80%) of the NV^- center over the entire size range from 20 to 80 nm [9]. In the present study, we further observed that most of the single NV centers being detected as neutral from their fluorescence spectrum at low illumination power (<0.5 mW) progressively gain with increasing power a photochromic character in that they also exhibit the NV^- ZPL in addition to the NV^0 ZPL. Only a few of NV^0 s remain purely neutral over the entire power range, which we call pure NV^0 behavior. On the other hand, NV centers detected as negatively charged at low power remain so with increasing power, which we call pure NV^- behavior. We first focus our attention on such pure NV^0 or NV^- centers. In addition to such behaviors, we found that some rare NVs can see their charge switching between neutral and negative [36] already at the lowest excitation power. We also describe the photophysics

of such a photochromic NV over the same power range as the nonphotochromic centers and show how both photophysics can be linked. This allows us to find valuable information on the dynamics of photochromism.

The paper is organized as follows. The experimental methods are described in Sec. II. The three-level model used to interpret the experiment is developed in detail in Sec. III. Section IV focuses on the experimental results and on the extraction of the various photophysical parameters as a function of the excitation power for a NV⁰ and a NV⁻ center. Section V describes the photophysics of photochromism in a single NV. A summary is given in Sec. VI.

II. EXPERIMENTAL METHODS

Preparation of the ND sample was achieved following a procedure reported previously [9,37]. Commercial HPHT diamond nanocrystals are first irradiated using high-energy electrons, then annealed at 800 °C in vacuum to produce the fluorescent NV centers, and finally annealed in air at 550 °C to remove surface graphitic compounds. Colloidal dispersion in water and further sonication allow us to obtain a uniform solution of NDs. We consider here NDs with a typical size of 25 nm or 50 nm deposited on a fused silica substrate to minimize spurious fluorescence [29]. Single NV centers were optically addressed using standard confocal microscopy at room temperature [29]. A cw laser light (wavelength $\lambda_{exc} = 515$ nm) falling within the absorption band of the NVs is used to excite the NV fluorescence. Excitation light was focused onto the sample using an oil immersion microscope objective with numerical aperture NA = 1.4. The NV fluorescence is collected through the same objective and is filtered from the remaining excitation, i.e., with wavelength below 532 nm, by a dichroic mirror and a high-pass filter. The collected fluorescence is subsequently sent either to a Hanbury Brown and Twiss (HBT) intensity correlator (see below) or to a spectrometer. An example of fluorescence spectrum is shown in Fig. 1(b) for the NV⁻ case. The ZPL corresponds to the resonant decay [Fig. 1(a)] at $\lambda = 637$ nm while the wide fluorescence sideband is the phonon replica.

The second-order time-intensity correlation function contains the information on the classical versus quantum nature of light. It reads in the stationary regime

$$g^{(2)}(\tau) = P_2(t + \tau|t)/P_1(t), \quad (1)$$

where $P_2(t + \tau|t) = P_2(\tau|0)$ is the conditional probability to detect a photon at time $t + \tau$ knowing that another photon has been recorded at time t . This probability is normalized by the constant single-photon detection rate $P_1(t) = P_1(0)$. For a classical source of light $g^{(2)}(\tau) \geq 1$, whereas the observation of an antibunching $g^{(2)}(\tau) \leq 1$ is a clear signature of the quantum nature of light [38–40]. In particular, at zero delay $g^{(2)}(0) = 0$ for a single photon emitter [40], which means that the probability to detect simultaneously two photons vanishes.

In practice, an HBT correlator [Fig. 2(a)] [5,6] is used to measure $g^{(2)}$. Here, the fluorescence of the NV center is sent on a beam splitter, which separates the signal into two equal parts sent to two avalanche photodiodes (APDs) named APD1 and APD2. The APDs are connected to a time-correlated

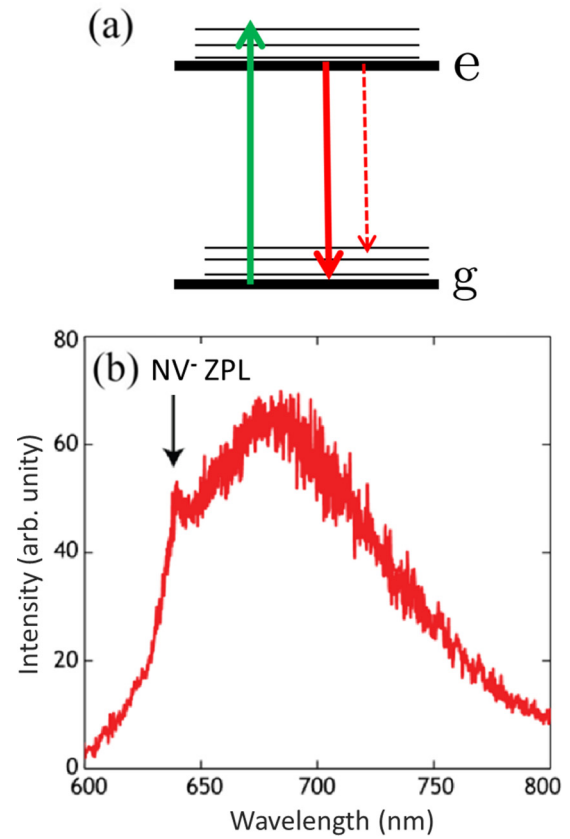


FIG. 1. (Color online) (a) Schematic of the two-level system explaining the emission spectrum of the NV center. g: ground state, e: excited state. The several thinner horizontal lines represent the coupling with the phonon bath in the diamond matrix. The green arrow represents the excitation and the red ones the optical decay at resonance (full arrow) and out of resonance (dotted arrow). (b) Typical emission spectrum of an NV⁻ center in a 25 nm ND.

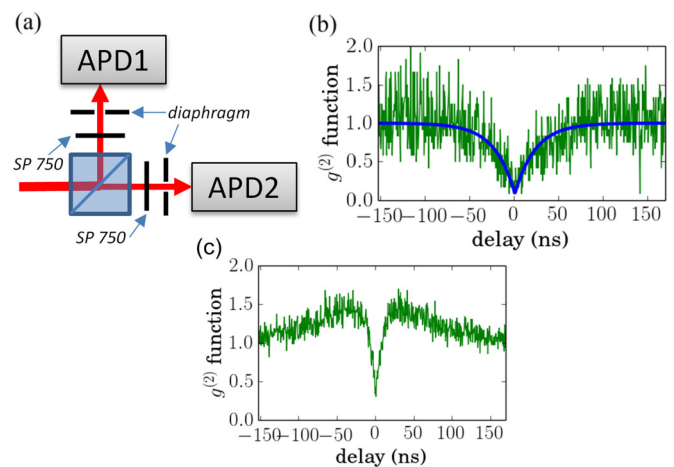


FIG. 2. (Color online) (a) Schematic of the HBT correlator. (b) Time-intensity second-order correlation function $g^{(2)}(\tau)$ of a NV⁰ center excited at 200 μ W and presenting an antibunching at zero delay. (c) Time-intensity second-order correlation function $g^{(2)}(\tau)$ of a NV⁻ center excited at 5 mW and presenting a bunching at finite delay together with the antibunching at zero delay. SP 750: short-pass filter at 750 nm.

single-photon counting module to build histograms of delays between photon events detected by the upper “start” APD1 and the lower “stop” APD2. In order to avoid unwanted optical crosstalk between the APDs, a glass filter acting as a short-pass filter at 750 nm and a diaphragm are added in both branches [41]. In the standard configuration, no bandpass filter is added to the setup, in contrast with the configurations used to study photochromism (Sec. V), so that it can be used for both charge states of the NV (provided that the NVs do not experience charge conversion, which is the case for the selected NVs in the present work).

III. THREE-LEVEL SYSTEM: THEORETICAL MODEL

Figure 2(b) shows a typical $g^{(2)}$ function measured for a single NV at low excitation power. The experimental data are compared with an equation stemming from a two-level model [5,29]:

$$g^{(2)}(\tau) = 1 - e^{-(r+\gamma)\tau}, \quad (2)$$

where r and γ are the excitation and spontaneous emission rates, respectively. Within this model $g^{(2)}(\tau) < 1$, which means that the emitted light is nonclassical at any delay τ . However, at higher excitation rate this simple model [Eq. (2)] generally fails. This is particularly true for NV^- centers. In this case, as shown in Fig. 2(c), the $g^{(2)}$ function includes a bunching $g^{(2)}(\tau) > 1$ feature at finite delays, superimposed to the antibunching curve. This kind of correlation profile, which contradicts Eq. (2), calls for a third level that traps the electron, preventing subsequent emission of a photon for a certain time [42–49]. Therefore, for these delays, the correlation function is higher than 1. Though well known for the NV^- center, the existence of a shelving level is less documented for its neutral counterpart but has been invoked theoretically [48] as well as in electron-paramagnetic resonance [49]. From now on, we will describe phenomenologically the color-center dynamics by a three-level model and deduce the intrinsic photophysical parameters of pure NV^- and NV^0 centers (Sec. IV) and of a photochromic center (Sec. V). These NV centers have been selected from their fluorescence spectra.

A. Correlation function of a three-level system

In order to give a quantitative description of the three-level model we first review briefly the interpretation of the $g^{(2)}$ function using Einstein’s rate equations. Starting from Glauber quantum measurement theory [50] we have

$$g^{(2)}(\tau) = \frac{\langle : I(t + \tau)I(t) : \rangle}{[I(t)]^2}, \quad (3)$$

where $I(t)$ is the quantum operator equivalent to the electromagnetic energy flow absorbed by a detector at time t and $::$ represents normal ordering [38,39]. Introducing the creation and annihilation photon operators $a^\dagger(t)$ and $a(t)$ in the Heisenberg representation, we obtain

$$g^{(2)}(\tau) = \frac{\langle a^\dagger(t)a^\dagger(t+\tau)a(t+\tau)a(t) \rangle}{|\langle a^\dagger(t)a(t) \rangle|^2}. \quad (4)$$

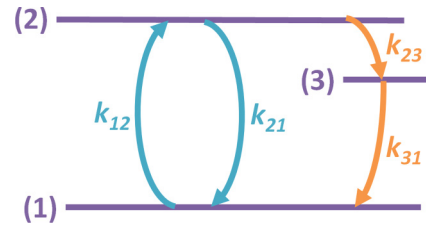


FIG. 3. (Color online) Jablonski diagram of the three-level system, including the ground state (1), the excited state (2), and a metastable state (3). Only the allowed transition channels taken into account in the model are shown.

For a two-level system with ground state g and excited state e , the creation operator $a^\dagger(t)$ at time t is to a good approximation proportional to the rising transition operator $|e,t\rangle\langle g,t|$ [51], and we deduce

$$g^{(2)}(\tau) = \frac{p(e,t + \tau|g,t)}{p(e,t)} = \frac{p(e,\tau|g,0)}{p(e,0)}, \quad (5)$$

where $p(e,t + \tau|g,t)$ is the conditional probability for the NV to be in the excited state e at time $t + \tau$ knowing that it was in the ground state g at time t . Like in Eq. (3) this probability is normalized to a single-event probability, i.e., the probability $p(e,t)$ for the NV to be in the excited state at the previous time t . The main interest of these equations is to link the probability of detection, as given by Eqs. (1) and (3), to the emission probabilities $p(e,t + \tau|g,t)$ and $p(e,t)$ defined by the rate equations. Therefore, $g^{(2)}$ can be completely determined if the transient dynamics of the emitter is known.

In the context of the NV center, we must use a two-level system with a third metastable state to explain the bunching observed in the correlation measurements [52]. Since the previous calculations only considered the excited and ground states involved in the fluorescence process we will admit (see [38,54] for a discussion and justification) that these results still hold with a three-level system if we replace the excited and ground states by the levels 1 and 2 in the Jablonski diagram, respectively (see Fig. 3). Here we neglect the channels 1 to 3 and 3 to 2, because the system is not supposed to be excited at these transition energies, in contrast with previous models [26,55]. There, channel 3 to 2 was taken into account and channel 3 to 1 was neglected, because the quantum yield associated with the NV relaxation was supposed to be close to unity, while recent studies show that $Q \simeq 0.6-0.7$ [2,28,56–58]. Therefore, we here take into account four channels. This leads to four unknown parameters: the excitation rate, k_{12} , the spontaneous emission, k_{21} , and the two parameters k_{23} and k_{31} of the additional decay paths involving the shelving level. k_{21} being the only radiative channel, we can write the autocorrelation function as

$$g^{(2)}(\tau) = \frac{p_2(\tau)}{p_2(\infty)}, \quad (6)$$

where $p_2(t)$ is the population of state 2 at time t . $p_2(\infty)$ represents the asymptotic limit of $p_2(t)$ when the transitory dynamics approaches the stationary regime. $p_2(t)$ can be obtained by solving the system of rate equations defining the three-level system presented in Fig. 3. With p_i , $i = \{1,2,3\}$, the population of state i we can write the following set of

equations:

$$\begin{aligned}\dot{p}_1 &= -k_{12}p_1 + k_{21}p_2 + k_{31}p_3, \\ \dot{p}_2 &= k_{12}p_1 - (k_{21} + k_{23})p_2, \\ \dot{p}_3 &= k_{23}p_2 - k_{31}p_3, \\ 1 &= p_1 + p_2 + p_3,\end{aligned}\quad (7)$$

where \dot{p}_i means time derivative of $p_i(t)$. The use of rate equations instead of Bloch equations is here fully justified since in ambient conditions, the coherence between levels is decaying very fast [55]. Equations (7) show that the system is necessarily in one of the states at any time. The steady-state analysis of these equations permits us to find the explicit definition of the fluorescence rate R at which the system emits photons [54]. This definition allows us to explain the saturation behavior of the NV fluorescence; i.e., R tends towards a finite value for increasing excitation power. However, we are here looking for the time-dependent analysis to find $p_2(\tau)$ as a function of the k_{ij} coefficients. If we eliminate p_3 from Eq. (7), we obtain

$$\begin{aligned}\dot{p}_1 &= -(k_{12} + k_{31})p_1 + (k_{21} - k_{31})p_2 + k_{31}, \\ \dot{p}_2 &= k_{12}p_1 - (k_{21} + k_{23})p_2.\end{aligned}\quad (8)$$

The resolution of this pair of equations, with the initial conditions $p_1(0) = 1$ and $p_2(0) = 0$, leads to $p_2(\tau) = p(2, \tau | 1, 0)$ and therefore to the expression of $g^{(2)}(\tau)$.

Important approximations can be made to obtain a simple expression. More precisely, in addition to neglecting channels 1 to 3 and 3 to 2, we suppose that

$$\{k_{21}, k_{12}\} \gg \{k_{23}, k_{31}\}.\quad (9)$$

This is justified since, even if the third level is considered, the associated rates are supposedly very small compared to the singlet rates k_{12} and k_{21} . We will see later that this is not really true for the NV^- center but that the results obtained are actually robust and keep their meaning even outside of their *a priori* validity range. Within the above-mentioned approximations the second-order correlation function reads (see Appendix A for mathematical details)

$$g^{(2)}(\tau) = 1 - \beta e^{-\gamma_1 \tau} + (\beta - 1)e^{-\gamma_2 \tau},\quad (10)$$

where the parameters γ_1 , γ_2 , and β are defined through the relations

$$\gamma_1 \simeq k_{12} + k_{21},\quad (11)$$

$$\gamma_2 \simeq k_{31} + \frac{k_{12}k_{23}}{k_{12} + k_{21}},\quad (12)$$

$$\beta \simeq 1 + \frac{k_{12}k_{23}}{k_{31}(k_{12} + k_{21})}.\quad (13)$$

B. Determination of the k_{ij} coefficients

The aim of this subsection is to determine the k_{ij} coefficients for the specific measured NV centers. The fit to the $g^{(2)}(\tau)$ function allows us to determine γ_1 , γ_2 , and β . From

Eqs. (11)–(13) we deduce

$$k_{21} = \gamma_1 - k_{12},\quad (14)$$

$$k_{31} = \frac{\gamma_2}{\beta},\quad (15)$$

$$k_{23} = \frac{\gamma_1 \gamma_2 (\beta - 1)}{\beta k_{12}}.\quad (16)$$

We then have three equations for four unknown variables. A fourth equation is needed to solve the problem entirely. In the experiment, we also have access to the radiation or fluorescence rate R , measured in s^{-1} . This rate is simply the average number of photons that the APDs collect per second. It represents, up to a multiplicative coefficient associated with the photon propagation in the setup, the probability for the system to be in level 2, multiplied by the transition probability k_{21} to relax (supposedly by optical means) to the ground state. We have

$$R = \xi k_{21} p_2(+\infty),\quad (17)$$

where ξ is the collection efficiency of the system once the NV center has emitted its fluorescence. Note that the same formula was used in Refs. [26,55] with a different definition of $p_2(+\infty)$ because of a different Jablonski diagram. However, this has a physical meaning only if k_{21} is associated with a pure radiative decay. Whereas the model of Refs. [26,55] implied a unity quantum yield, the quantum yield in our approach is defined by

$$Q = \frac{k_{21}}{k_{21} + k_{23}}.\quad (18)$$

In our phenomenological approach the third level is thus assumed to absorb all of the nonradiative transitions letting k_{21} be a pure radiative decay. Finally we recall that the probability $p_2(+\infty)$ needed in Eqs. (17) and (6) should be calculated in the asymptotic stationary regime, which can be obtained by canceling all \dot{p}_i in Eq. (8):

$$p_2(+\infty) = \frac{k_{31}}{-k_{21} + k_{31} + (k_{21} + k_{23})(1 + k_{31}/k_{12})}.\quad (19)$$

Now four equations are at hand so that the system can be inverted to determine all coefficients. This calculation involves the numerical resolution through Cardano's algorithm [59] of a third-order polynomial as given in Appendix B.

IV. EXPERIMENTAL RESULTS AND EXTRACTION OF THE k_{ij} PARAMETERS

We here consider two representative examples of NDs, one hosting a single pure NV^- center, and the second one hosting a single pure NV^0 center. The NV^- -center ND is about 25 nm in diameter, whereas the one hosting the NV^0 center is about 50 nm in diameter [60]. The $g^{(2)}$ function was recorded for both NDs with different excitation powers P_{exc} in order to study the evolution of the k_{ij} coefficients.

Figure 4 depicts the $g^{(2)}$ function for the two NV centers and for excitation powers P_{exc} ranging from 200 μW to 10 mW. The experimental curves are fitted with Eq. (10) taking into account the correction for the incoherent background

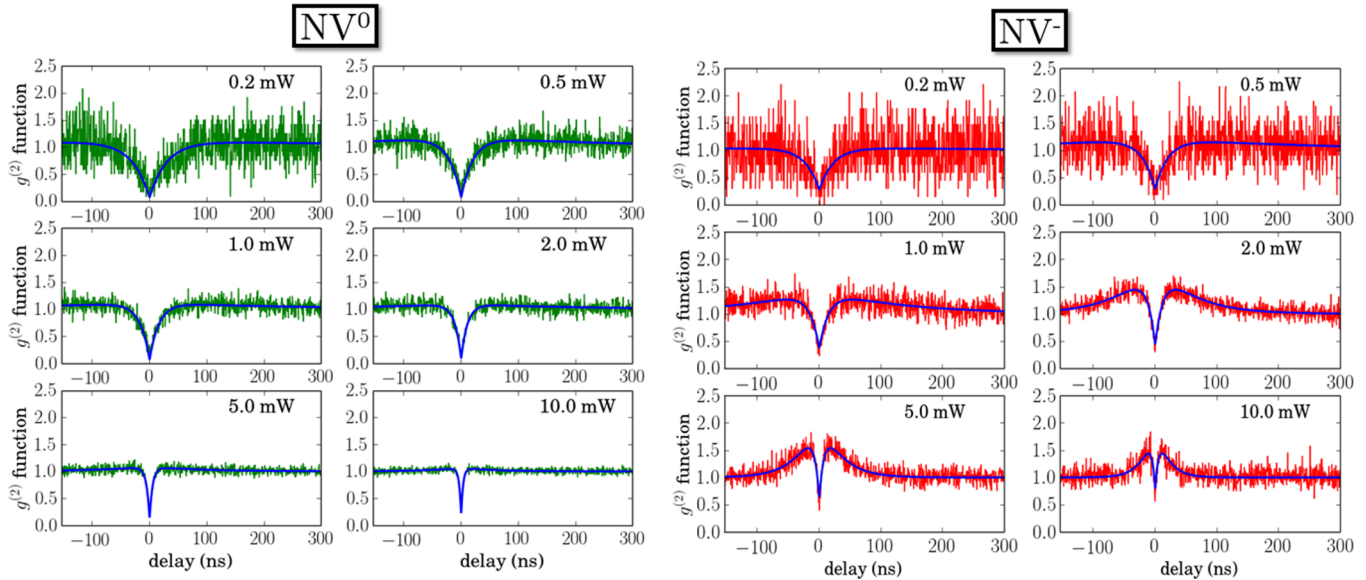


FIG. 4. (Color online) Time-intensity second-order correlation functions $g^{(2)}(\tau)$ for a given NV^0 center (green lines) and NV^- center (red lines). The excitation power is varied from 0.2 mW to 10 mW. The blue lines correspond to a three-level model fit.

light collected by the APDs [5,6,29]. The experimental antibunching dip does not drop to zero due to this incoherent background, which modifies Eq. (10) as $g_{\text{exp}}^{(2)}(\tau) = g^{(2)}(\tau)\rho^2 + 1 - \rho^2$, where $\rho = S/(S+B)$ contains the signal S and background B contributions from the NV fluorescence and the spurious incoherent light, respectively. By recording the average intensity from the APD directly on the NV and at a location close to it, we experimentally determine ρ and the fit parameters of Fig. 4 as explained in Refs. [5,6,29]. It is seen that the increase of P_{exc} only induces a very small bunching for the NV^0 , contrary to what is observed for the NV^- . However, the antibunching dip narrows with increasing power in both cases. Our observations are collected in Fig. 5, which shows the power evolution of the fit parameters γ_1 , γ_2 , and β .

The general trends seen in Fig. 4 are confirmed in Fig. 5 for both NVs since γ_1 , which is associated with the antibunching contribution, is clearly increasing with P_{exc} , a fact which is reminiscent from Eq. (2). Furthermore, it is seen that γ_2 is also increasing significantly for the NV^- which is clear signature of the third energy level. As far as the β parameter is concerned, it remains at a constant value $\beta \simeq 1$ for the NV^0 , while it increases up to $\beta \simeq 7$ for the NV^- . This behavior agrees with its definition from Eq. (10). Therefore, when β is very close to 1, there is no significant bunching, and when β increases with power, the bunching turns on.

Now that the three parameters have been found, the k_{ij} parameters can be traced back. This will be done in two steps.

A. k_{ij} parameters with constant k_{21}

In Eq. (17) the collection efficiency ξ of the optical setup must be known precisely to extract the various k_{ij} . However, since this can only be estimated, we will first calculate the k_{ij} parameters by assuming that k_{21} does not change with the excitation power. This hypothesis is intuitive because the parameter k_{21} , i.e., the spontaneous emission rate, is supposed to be solely governed by Fermi's golden rule, which in turn

depends on the electromagnetic environment only. In order to determine the value of k_{21} we observe that according to Eq. (14) we must have $\gamma_1 = k_{21}$ at zero excitation since in this case $k_{12} = 0$. From the linear regression for γ_1 (Fig. 5) we deduce $\gamma_1^{(0)}(P_{\text{exc}} = 0) = k_{21}^0 = 0.052 \text{ ns}^{-1}$ for the NV^0 , and $\gamma_1^{(-)}(P_{\text{exc}} = 0) = k_{21}^- = 0.046 \text{ ns}^{-1}$ for the NV^- [here the (0) and (-) exponents refer to NV^0 and NV^- , respectively]. These constants give radiative lifetimes of $\tau_{21}^{(0)} = 19.2 \text{ ns}$ and $\tau_{21}^{(-)} = 21.7 \text{ ns}$, which are consistent with previous reports (see for example Refs. [6,55]).

Thanks to Eqs. (14) to (16), we deduce the three other parameters as shown in Fig. 6 for both NVs. The first point to

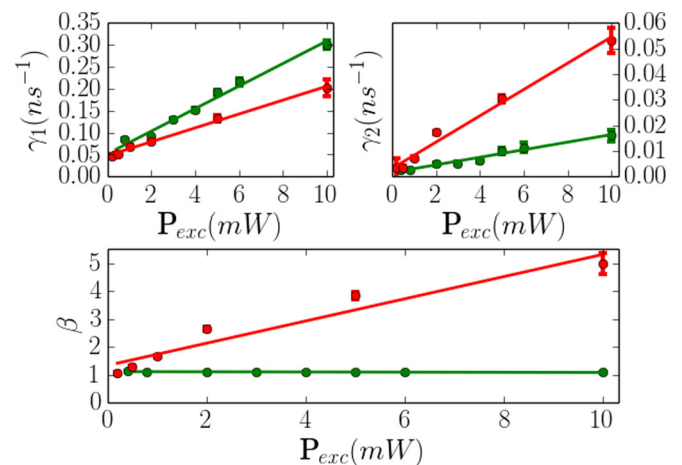


FIG. 5. (Color online) Evolution of the γ_1 , γ_2 , and β parameters as a function of the excitation power. Points are fits to the experimental $g^{(2)}$ functions using Eq. (10) and the lines are linear regressions. The green (red) points and lines correspond to the NV^0 (NV^-) center. Errors bars are shown where they exceed the symbol size. They represent the standard deviation of each value calculated from the covariance matrix as given by the fitting routine.

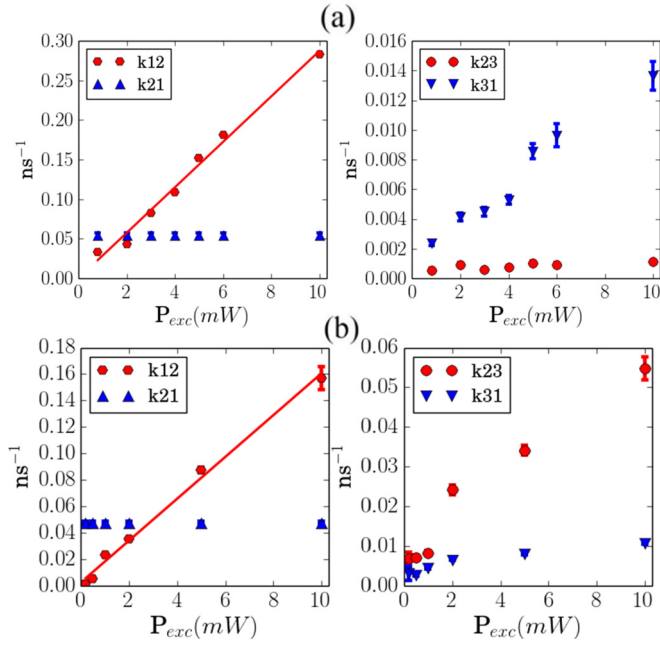


FIG. 6. (Color online) Evolution of k_{ij} parameters with power excitation when k_{21} is fixed for (a) the NV^0 and (b) the NV^- . Errors bars are shown where they exceed the symbol size. These error bars are estimated from those of the γ_1 , γ_2 , and β parameters; see Eqs. (14) to (16).

notice is that for both NVs, the k_{12} parameter increases linearly with the pumping rate from zero to a value exceeding k_{21} for $P_{\text{exc}} \simeq 2$ mW. Moreover, for NV^0 , we see that k_{31} increases, but keeps very small values compared to the other parameters, in agreement with the assumptions made in Eq. (9). However, for NV^- , the same parameters are no longer negligible compared to the set of k_{21} , k_{12} values. They even overtake k_{21} for $P_{\text{exc}} > 6$ mW. However, we emphasize that assuming k_{21} constant, if natural, is actually not fully demonstrated. In order to check how robust this hypothesis is, we will now try to approach the values and evolutions of the k_{ij} parameters that were obtained here by modulating the collection efficiency ξ using Eq. (17).

B. Modulation of the collection efficiency ξ

Now we use the fourth equation Eq. (17) to calculate the k_{ij} parameters. As already stated, we do not know exactly the ξ parameter but, as it turns out, slight variations in ξ can produce significant changes in k_{ij} . To find the correct value of ξ , we adopt the following procedure. We let its value vary continuously and calculate the evolutions of the slope and Y intercept of the linear regressions made with the obtained $k_{21}(P_{\text{exc}})$ traces. The slope should vanish because k_{21} is assumed to be constant, whereas the Y intercept should reach the value obtained previously, i.e., the value of γ_1 at zero excitation. Therefore, we calculate the evolution of the k_{ij} parameters as a function of the collection efficiency ξ . The results are shown in Fig. 7, where the constant horizontal curves depict the values to be reached by the slope and Y intercept. For the NV^0 [Fig. 7 (a)], there is indeed a value of ξ where the two parameters reach the assumed values (blue

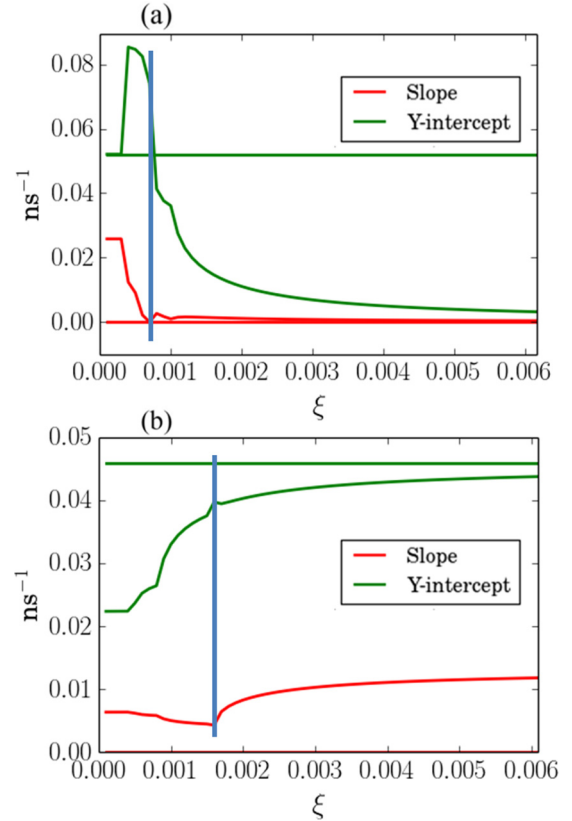


FIG. 7. (Color online) Evolution of the slope and the Y intercept of linear fit of $k_{21}(P_{\text{exc}})$ with the collection efficiency ξ for the NV^0 (a) and the NV^- (b). Straight lines are the values obtained previously with k_{21} fixed.

vertical line). This gives $\xi^{(0)} = 0.77 \times 10^{-3}$, in agreement with a rough estimate of our setup collection efficiency taking into account the various optical components. However, for the NV^- [Fig. 7(b)], it is seen that the parameters do not reach exactly the previous values. Yet, there is an optimum ξ for which the k_{ij} approach the previous values (blue vertical line). This corresponds to $\xi^{(-)} = 1.6 \times 10^{-3}$, which differs only by a factor 2 from the NV^0 case. This appears reasonable since the measurements were not carried out the same day (optical alignments might be slightly different) and the collection efficiency ξ also depends on the unknown transition-dipole orientation in both NVs.

C. Comparison of the photophysics of NV centers in both charge states

Figure 8 depicts the $k_{ij}(P_{\text{exc}})$ curves deduced from the previous optimization. It is found that the order of magnitude of the coefficients is the same as for imposed k_{21} . In particular, the relaxation rate k_{31} is unchanged because it only depends on the $g^{(2)}$ fit parameters, Eq. (15). For the two NV centers, the excitation rate vanishes in the absence of any excitation power and linearly increases with P_{exc} as it should. The main difference between both centers comes from the evolution of k_{23} , k_{31} , and k_{21} . Indeed, for NV^0 , k_{23} and k_{31} remain very small compared to k_{21} , which is almost constant ($1/k_{31} \approx 500$ ns and $1/k_{23} \approx 1000$ ns if P_{exc} tends to zero). Therefore, the third

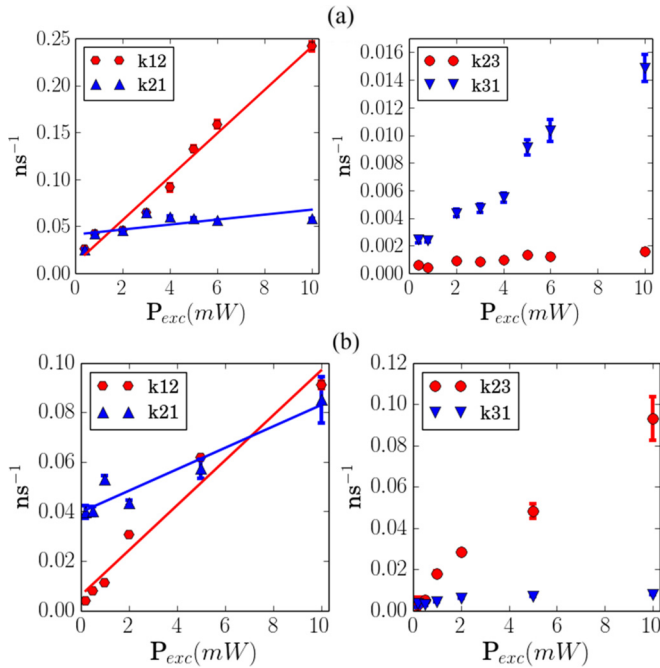


FIG. 8. (Color online) Evolution of the k_{ij} parameters with the excitation power taking into account the collection efficiency for the NV^0 (a) and the NV^- (b) centers. Errors bars estimated as in Fig. 6 are shown where they exceed the symbol size.

level plays little role in the photodynamics of the NV^0 center. However, it is worth stressing that although very small, k_{23} is not zero for NV^0 [errors bars are within the symbol size in Fig. 8(a), right panel], which confirms the very existence of the third metastable level for this charge state. Regarding the $g^{(2)}$ curves, it implies that the optical channel 2 to 1 is favored, which prevents any significant bunching. Furthermore, for the NV^0 the narrowing of the antibunching dip is due to the increasing excitation rate as for a two-level system, i.e., Eq. (2) (see Ref. [29]).

The analysis of the k_{ij} parameters for the NV^- is more involved. Indeed, k_{23} increases very quickly to reach the order of magnitude of k_{21} , while the latter is increasing as well (at zero excitation power we have $1/k_{21} \simeq 24$ ns and $1/k_{23} \simeq 500$ ns). The increase of k_{23} , associated with nonradiative transitions, actually explains the growth of the bunching feature on the $g^{(2)}$ curves. Although the physical justification of this finding is beyond our phenomenological treatment, it is likely that the variation of k_{21} and k_{23} with excitation power is due to a change in the local energy environment of the NV center at high power, in particular because the efficient coupling with the phonon bath in the diamond matrix is expected to be temperature sensitive. Increasing the excitation power could thus correspond to an increasing effective temperature, subsequently affecting the relaxation dynamics.

It is worth pointing out that there is a limitation in the analysis done for the NV^- center. Indeed, the very fact that k_{23} and $\{k_{21}, k_{12}\}$ reach the same order of magnitude contradicts the hypothesis made in Eqs. (9)–(13). Actually, as already mentioned, the results obtained are much more robust that

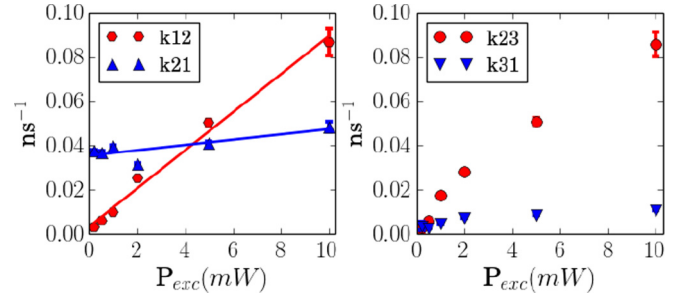


FIG. 9. (Color online) Evolution of k_{ij} parameters with power excitation taking into account the collection efficiency for the NV^- in the approximation-free rate-equation model. Errors bars estimated as in Fig. 6 are shown where they exceed the symbol size.

could be anticipated at first sight. This can be figured out by relaxing the constraint of Eq. (9) as done in the detailed calculation presented in Appendix C. The results obtained with the new rate-equation model are shown in Fig. 9 for the evolution of the k_{ij} coefficients of the NV^- center. The obtained values are very similar to those of the approximate treatment, thereby justifying the previous results. For the NV^0 the coupling to the third level is very weak and the modifications (not shown) are even smaller.

To complete the analysis we also computed the quantum yield evolution as given by Eq. (18) and compared with the evolution of the fluorescence rate. Figure 10 confirms that within the Jablonski model sketched in Fig. 3 and in the

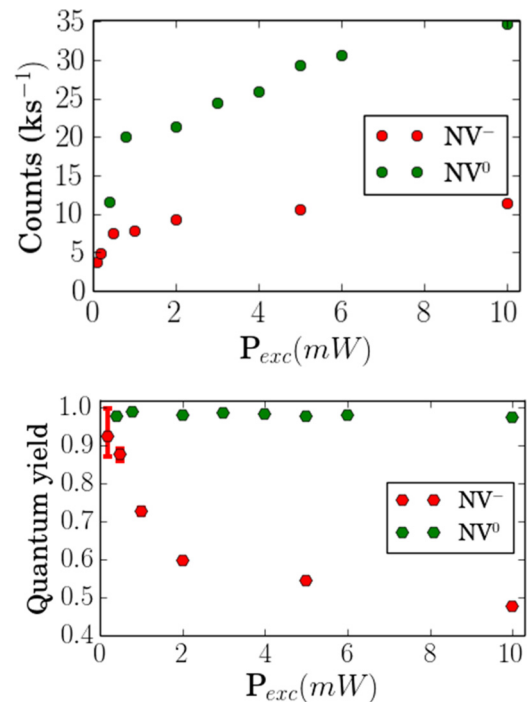


FIG. 10. (Color online) Evolution of the fluorescence rate (upper panel) and the quantum yield Q (lower panel) with the excitation power for the NV^- and NV^0 center in the rate equation model free of any approximation. Errors bars for Q are shown where they exceed the symbol size. They are estimated from those of the k_{ij} parameters; see Eq. (18).

considered excitation regime, the quantum yield of the NV^0 center is approximately constant $Q \simeq 1$, in agreement with the intuitive fact that the third level does not play a significant role in the dynamics. In contrast, the NV^- quantum yield decreases dramatically with increasing excitation power from a starting $Q \simeq 1$ to $Q \simeq 0.5$ at high power. This entails the fact that the NV^- dynamics is strongly dependent on the excitation power as discussed before.

V. PHOTOCROMISM

Photochromism of NV centers has been reported in ensembles of NV centers in CVD diamond films under additional selective illumination [61], with single NV centers in 90 nm NDs under femtosecond illumination, which results in the photoionization of the negative center to its neutral counterpart [35], with ensembles of NV centers in type-Ib bulk diamond at cryogenic temperatures under intense cw excitation [62], and with a single center in natural type-IIa bulk diamond under cw illumination [36]. In this last report, a special scheme of cross-correlation photon measurements was applied in the emission band of both charge states to show that the collected fluorescence in the NV^0 and NV^- states were correlated and originated from a single NV defect. Several studies have reported that charge conversion within the NVs critically depends on the illumination conditions [57,63,64].

A complete understanding of NV photochromism is lacking but a widespread view is that the optical excitation, either cw or transient, tunes the quasi-Fermi-level around a NV charge transition level, thereby inducing charge conversion [61,65]. This scenario has been reinforced recently by electrical manipulation of the charge state of NV ensembles and of single NVs by an electrolytic gate electrode used to tune the Fermi energy [65]. Depending on the sort of diamond studied, photochromism is thought to be favored by the presence of electron donor or acceptor defects, such as nitrogen, in the neighborhood of the NV center [66]. Recently, it was also found that resonant excitation of the NV^0 and NV^- states in ultrapure synthetic IIa bulk diamond can induce reversible charge conversion in cryogenic conditions even at low power [10]. This was taken as evidence that the charge conversion process is intrinsic in this sort of diamond, not assisted by an electron donor or acceptor state. The goal of this section is to give additional information on NV photochromism detected in surface-purified NDs, 25 nm in size, subjected to a cw nonresonant excitation of increasing power. By comparing the behavior of a single photochromic center to that of nonphotochromic centers in the same illumination conditions as described above, we gain valuable information on the rich photophysics of photochromism.

For the purpose of studying photochromism, we use two additional configurations of the HBT correlator that differ only by the set of bandpass filters added in the interferometer branches. These configurations, called $NV^{-/0}$ and $NV^{0/-}$, respectively, are shown schematically in Fig. 11. In contrast to Fig. 2(a), these two configurations add selective bandpass filters in the interferometer branches. The $NV^{-/0}$ configuration in Fig. 11(a) [respectively $NV^{0/-}$ configuration in Fig. 11(b)] uses a filter selective to the NV^- (respectively NV^0) fluorescence in the “start” (respectively “stop”) branch. Therefore, in

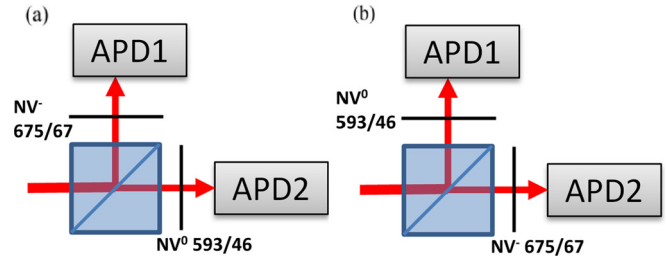


FIG. 11. (Color online) HBT configurations used to study photochromism. (a) is the $NV^{-/0}$ configuration, where a bandpass filter (central wavelength 675 nm, bandwidth 67 nm) adapted to the negative NV^- center is inserted in the upper “start” branch, whereas a bandpass filter adapted to the neutral NV^0 (central wavelength 593 nm, bandwidth 46 nm) is inserted in the lower “stop” branch. (b) is the $NV^{0/-}$ configuration where both bandpass filters are interchanged compared to (a).

the $NV^{-/0}$ configuration, a single photon emitted by a NV^- center and detected in APD1 gives the “start” signal to the counting module, whereas a single NV^0 photon subsequently detected in APD2 produces the “stop” signal. The $NV^{0/-}$ configuration works in just the complementary way. Note that our $NV^{-/0}$ configuration is similar to the cross-correlation technique used in Ref. [36]. These schemes turn out to be very powerful to study photochromism since cross correlation can be expected only if NV^- and NV^0 photons originate from the same defect center. Note that related techniques have also successfully been applied to identify various excitonic species emitted by single semiconductor quantum dots; see for instance [67–71].

With the above setup, we have located a few rare NDs hosting a single NV center that showed charge conversion at low excitation power already. In the following, we consider such a ND hosting a single photochromic NV center.

The relevant spectra are shown in Fig. 12(a). It is found that both the NV^0 and NV^- ZPLs are seen at any excitation power. The corresponding $g^{(2)}$ function measured in the standard configuration of the HBT (no bandpass filter) is shown in Fig. 12(b). It reveals a clear antibunching dip at zero delay. A precise analysis taking into account the background light (see Table I) confirms this finding since at high excitation power

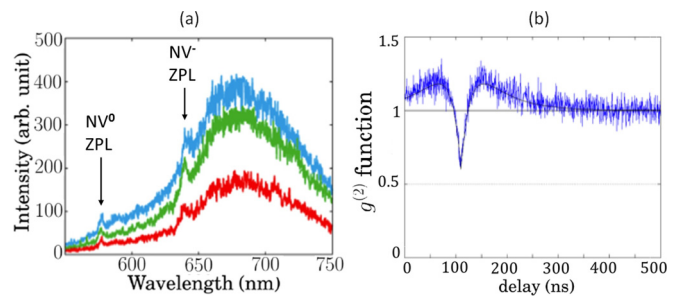


FIG. 12. (Color online) (a) Fluorescence spectra of the photochromic ND nanoparticle taken at 3 different excitation powers of nominally 0.5 mW, 1 mW, 2 mW, from bottom to top, respectively. (b) Antibunching curve at short time delays and an excitation power of 5 mW.

TABLE I. Table summarizing the experimental parameters P_{exc} , R , $g_{\text{exp}}^{(2)}(0)$, S , B measured on the photochromic NV for the observation of the $g_{\text{exp}}^{(2)}$ function. The fit parameters γ_1 , γ_2 , and β using a 3-energy-level model are also given.

P_{exc} (mW)	0.5	1	2	3	5
R (kHz)	11.8	17.1	23.0	27.7	32.0
$g_{\text{exp}}^{(2)}(0)$	0.25	0.32	0.48	0.45	0.6
S (kHz)	10.2	14.1	16.6	20.5	20.2
B (kHz)	1.6	3.0	6.4	7.2	11.8
β	1.36	1.45	1.75	1.6	2.5
γ_1 (ns $^{-1}$)	0.03	0.036	0.044	0.052	0.054
γ_2 (ns $^{-1}$)	0.005	0.007	0.009	0.012	0.018

we observe that the background B increases significantly with respect to the NV fluorescence signal: $\rho = S/(S + B) \simeq 0.6$ while at low power $\rho \simeq 0.9$ –1. With this value and using the formula $g_{\text{exp}}^{(2)}(\tau)/\rho^2 + 1 - 1/\rho^2 = g^{(2)}(\tau)$ we deduce the actual value of $g^{(2)}(0) \simeq 0$ [6,25,55,72]. From these results, a natural interpretation for the observation of the NV 0 and NV $^-$ ZPLs together with NV uniqueness is that this particular ND is subjected to photochromism. In addition to the antibunching dip at zero delay, it is seen in Fig. 12 that $g^{(2)}$ exceeds 1 at longer delays [55]. In agreement with the previous sections, this is evidence for the presence of a trapping level and calls for a three-level description of the photochromic NV. The values of γ_1 , γ_2 , and β parameters used for fitting the $g_{\text{exp}}^{(2)}$ function agree qualitatively well with those obtained for the NV $^-$ considered previously in agreement with the fact that the system is acting more like a three-level system.

Although our study of NV photochromism is limited to a particular example we suggest that the dynamics of the system involves probably all energy levels of the NV $^-$ and NV 0 with some possible hybridization. It could be interesting to know whether or not the third level involved in the photochromic case is identical in nature to the third level of the NV $^-$. The role of the environment or of the radiation power [73] on the dynamics could be investigated in the future to clarify this point.

Switching now to the cross-correlation regime we show in Fig. 13 the result obtained for $P_{\text{exc}} = 3$ mW (similar features, not shown here, were observed at other excitation

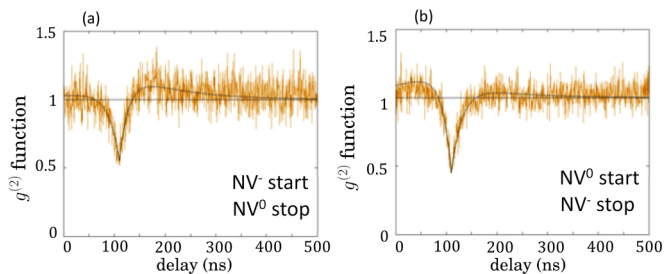


FIG. 13. (Color online) Time-intensity second-order correlation functions measured for the photochromic NV center in two different HBT configurations. (a) is the cross-correlation NV $^-/0$ configuration; (b) is the cross-correlation NV $^0/-$ configuration. The temporal window is $[-100$ ns; 500 ns] and the excitation power is 3 mW.

powers). It is worth emphasizing that cross correlations, as described in, e.g., Refs. [68,69,74], allow us to characterize the transitory dynamics between the two NV configurations. Using a formalism equivalent to the one leading to Eqs. (3)–(5) we indeed obtain in the NV $^-/0$ configuration sketched in Fig. 11(a)

$$g_{-/0}^{(2)}(\tau) = \frac{p(e, \text{NV}^0, t + \tau | g, \text{NV}^-, t)}{p(e, \text{NV}^-, t)}, \quad (20)$$

where $p(e, \text{NV}^0, t + \tau | g, \text{NV}^-, t)$ is the conditional probability for the NV to be in the excited level e of the NV 0 state at time $t + \tau$ knowing that it was in the ground energy level g of the NV $^-$ charged state at the previous time t . This also means that a first photon emitted by the NV 0 was detected at time t while a second photon emitted by the NV $^-$ is detected at time $t + \tau$. In a symmetrical way using the NV $^0/-$ configuration sketched in Fig. 11(b) we get

$$g_{0/-}^{(2)}(\tau) = \frac{p(e, \text{NV}^-, t + \tau | g, \text{NV}^0, t)}{p(e, \text{NV}^0, t)} \quad (21)$$

with similar definitions to the previous ones but with the roles of NV 0 and NV $^-$ inverted.

The experimental results corresponding to these two configurations are shown in Figs. 13(a) and 13(b), respectively. Here, it is also important to have $\tau \geq 0$ in the calculation leading to Eqs. (20) and (21) in order to have a clear physical understanding. However, the electronic delay $\Delta = 100$ ns included in the HBT correlator setup implies that sometimes even a photon emitted, say at time t_0 , by a NV $^-$ is recorded after a second photon emitted later by the NV 0 state, i.e., at $t_0 + \tau$. This corresponds to the “negative” delay part of the graph, i.e., Fig. 13(a), which is actually associated with the inverse dynamics NV $^0/-$, i.e., Eq. (21). Here for clarity we did not subtract the delay from the abscises in Fig. 13. Therefore for $\tau > \Delta$ in Fig. 13(a) we have $g_{\text{exp}}^{(2)}(\tau) = g_{-/0}^{(2)}(\tau - \Delta)\rho^2 + 1 - \rho^2$ while we have $g_{\text{exp}}^{(2)}(\tau) = g_{0/-}^{(2)}(\Delta - \tau)\rho^2 + 1 - \rho^2$ for $0 < \tau < \Delta$ with $\rho = S/(S + B)$ as previously. In the same way for Fig. 13(b) we have $g_{\text{exp}}^{(2)}(\tau) = g_{0/-}^{(2)}(\tau - \Delta)\rho^2 + 1 - \rho^2$ for $\tau > \Delta$ and $g_{\text{exp}}^{(2)}(\tau) = g_{-/0}^{(2)}(\Delta - \tau)\rho^2 + 1 - \rho^2$ for $0 < \tau < \Delta$.

As it is clear from the definitions these cross correlations should present some symmetries. In the present case we used a three-energy-level fit, i.e., Eq. (10), for the theoretical functions, Eqs. (20) and (21). The parameters obtained to reproduce the data are the same for Figs. 13(a) and 13(b) up to an inversion between the “positive” and “negative” delay for each graph. Therefore, we get for Fig. 13(a) $\gamma_1 = 0.046$ ns $^{-1}$, $\gamma_2 = 0.01$ ns $^{-1}$, and $\beta = 1.2$ for $\tau < \Delta$, while we have $\gamma_1 = 0.052$ ns $^{-1}$, $\gamma_2 = 0.01$ ns $^{-1}$, and $\beta = 1.5$ for $\tau > \Delta$. For the second cross-correlation curve the parameters are identical but the roles of $\tau > \Delta$ and $\tau < \Delta$ are inverted as they should be. We observe that these values are very close to each other and also from the one obtained in Table I at the same excitation power $P_{\text{exc}} = 3$ mW. This confirms that the system acts here mainly as a NV $^-$ center.

Interestingly, the last finding implies that after the emission of a photon in the spectral fluorescence band of the NV $^-$ the delayed emission of a second photon in the spectral band of the NV 0 , i.e., the conditional probability given by Eq. (20), is also

characterized by the dynamics of the NV^- contrary to the intuition. Such behavior was reported in Ref. [36] for NV centers in bulk. In particular, in this paper the time dependence of the conversion NV^0 to NV^- process (and its inverse) was studied using pulse sequences. It was found that the relaxation from NV^- to NV^0 is a very slow process occurring with a decay time $\simeq 1 \mu s$. This agrees with our finding in Fig. 13 since, even if the full dynamics of the photochromic NV center is expected to depend on the energy levels of both the NV^- and NV^0 centers, it is clearly the NV^- characteristics which dominate during the transition associated with Eq. (20). A similar qualitative analysis can be done in the NV^0 to NV^- center conversion. The small dissymmetry between the two parts of the curves for positive and negative delays results from the presence of a small NV^0 contribution to the dynamics during the NV^0 to NV^- transition which is absent in the NV^- to NV^0 conversion. Clearly, this complex charged/uncharged transition dynamics would deserve systematic studies in the future.

VI. SUMMARY

To summarize, we have experimentally studied the fluorescence photodynamics of NV^- and NV^0 centers in diamond nanocrystals of 50 nm size or below using HBT photon-correlation measurements as a function of the excitation power. The dynamics was theoretically modeled using Einstein's rate equations and the transition probability rates k_{ij} entering the three-level model developed to analyze the data were deduced and used to infer a quantum efficiency to both charge states of the NV. It has been found that the shelving state, though present, plays a very small role on the neutral center in those small diamond crystals. The narrowing of the antibunching dip observed with increasing power for this center is a simple power effect that does not affect the near unity quantum efficiency. In contrast, the negative center experiences a distinctive photon bunching behavior at finite delay that increases with increasing power. This reflects the increasing role of the shelving state for this center, which in turn diminishes the quantum efficiency from near unity at low power to approximately 0.3 at high power. We have also studied the dynamics of a photochromic center. It reveals a rich phenomenology that is essentially dominated by the negative face of the center. In the future, it would be interesting to determine how the presence of, e.g., a plasmonic structure affects the dynamics of NV centers [28] in any charge state, in particular if the role of the shelving state in the NV^0 center as well as the neutral face of the photochromic center can be modified.

ACKNOWLEDGMENTS

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APPENDIX A

Here we briefly summarize the derivation of the main equations used in Secs. III and IV. We start from Eq. (8)

written in a matrix form as

$$\dot{\mathbf{P}} = \begin{pmatrix} \dot{p}_1 \\ \dot{p}_2 \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \mathbf{P} + \mathbf{J} = \mathbf{M} \cdot \mathbf{P} + \mathbf{J}, \quad (\text{A1})$$

where

$$\begin{aligned} a &= -(k_{12} + k_{13} + k_{31}), \\ b &= k_{21} - k_{31}, \\ c &= k_{12} - k_{32}, \\ d &= -(k_{21} + k_{23} + k_{32}), \end{aligned}$$

and

$$\mathbf{J} = \begin{pmatrix} k_{31} \\ k_{32} \end{pmatrix}. \quad (\text{A2})$$

For the sake of generality we here keep all transition coefficients k_{ij} allowed by the three-level model. Such an Eq. (A1) can be formally solved by defining a new vector \mathbf{q} related to \mathbf{P} by the equation $\mathbf{P} = e^{\mathbf{M}t} \cdot \mathbf{q}$. This leads to the new equation $\dot{\mathbf{q}} = e^{-\mathbf{M}t} \mathbf{J}$ which has the general solution

$$\begin{aligned} \mathbf{q}(t) &= \mathbf{q}(0) + \int_0^t d\tau e^{-\mathbf{M}\tau} \cdot \mathbf{J} \\ &= \mathbf{q}(0) + \mathbf{M}^{-1} \cdot [1 - e^{-\mathbf{M}t}] \cdot \mathbf{J}, \end{aligned} \quad (\text{A3})$$

where \mathbf{M}^{-1} is the inverse matrix of \mathbf{M} and where the initial condition corresponding to a system in the ground state at time $t = 0$ is $\mathbf{q}(0) = \mathbf{P}(0) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$. Therefore, we deduce

$$\mathbf{P}(t) = e^{\mathbf{M}t} \cdot (\mathbf{q}(0) + \mathbf{M}^{-1} \cdot [1 - e^{-\mathbf{M}t}] \cdot \mathbf{J}). \quad (\text{A4})$$

In order to give an explicit form to the solution we need to diagonalize the \mathbf{M} matrix. Therefore, we write

$$\Pi^{-1} \cdot \mathbf{M} \cdot \Pi = \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix}. \quad (\text{A5})$$

The eigenvalues λ_1 and λ_2 of \mathbf{M} are easily obtained from the secular equation $\det[\mathbf{M} - \lambda\mathbf{I}] = 0$. They read

$$\begin{aligned} \lambda_1 &= \frac{a+d}{2} - \frac{1}{2} \sqrt{(a+d)^2 - 4 \det[\mathbf{M}]}, \\ \lambda_2 &= \frac{a+d}{2} + \frac{1}{2} \sqrt{(a+d)^2 - 4 \det[\mathbf{M}]}. \end{aligned} \quad (\text{A6})$$

From Eq. (A4) we easily obtain

$$\Pi^{-1} \cdot \mathbf{P} = \begin{pmatrix} e^{\lambda_1 t} & 0 \\ 0 & e^{\lambda_2 t} \end{pmatrix} \begin{pmatrix} \alpha \\ \varepsilon \end{pmatrix} + \begin{pmatrix} \gamma \\ \delta \end{pmatrix}, \quad (\text{A7})$$

with

$$\begin{pmatrix} \gamma \\ \delta \end{pmatrix} = - \begin{pmatrix} 1/\lambda_1 & 0 \\ 0 & 1/\lambda_2 \end{pmatrix} \cdot \Pi^{-1} \cdot \mathbf{J} \quad (\text{A8})$$

and

$$\begin{pmatrix} \alpha \\ \varepsilon \end{pmatrix} = \Pi^{-1} \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix} - \begin{pmatrix} \gamma \\ \delta \end{pmatrix}. \quad (\text{A9})$$

In order to determine completely the solution we need to specify the transformation matrix $\Pi = \begin{pmatrix} u_1 & u_2 \\ v_1 & v_2 \end{pmatrix}$ whose column vectors $\mathbf{X}_i = \begin{pmatrix} u_i \\ v_i \end{pmatrix}$ with $i = 1, 2$ are solutions of the eigenvalue

problem $\mathbf{M} \cdot \mathbf{X}_i = \lambda_i \mathbf{X}_i$. These eigenvectors are determined up to an arbitrary normalization and here we choose

$$\mathbf{X}_i = \begin{pmatrix} b \\ \lambda_i - a \end{pmatrix}. \quad (\text{A10})$$

Using Eq. (A7) we therefore get

$$p_2(t) = v_1 \alpha e^{\lambda_1 t} + v_2 \varepsilon e^{\lambda_2 t} + v_1 \gamma + v_2 \delta. \quad (\text{A11})$$

We can further simplify the solution since from Eq. (A9) and the definition of M^{-1} we easily deduce $(v_1 v_2)_\varepsilon^\alpha = -(v_1 v_2)_\delta^\gamma$, i.e., $v_1 \alpha + v_2 \varepsilon = -(v_1 \gamma + v_2 \delta)$. After regrouping all terms we obtain

$$\frac{p_2(t)}{p_2(\infty)} = -\frac{v_1 \alpha}{v_1 \alpha + v_2 \varepsilon} e^{\lambda_1 t} + \left(\frac{v_1 \alpha}{v_1 \alpha + v_2 \varepsilon} - 1 \right) e^{\lambda_2 t} + 1, \quad (\text{A12})$$

which up to notations is equivalent to Eq. (10) of Sec. III if we write $\lambda_i = -\gamma_i$ and $\beta = \frac{v_1 \alpha}{v_1 \alpha + v_2 \varepsilon}$.

APPENDIX B

The results obtained in Appendix A are exact and no approximation were made for calculating the coefficients γ_i and β . Now we will use the fact that $\{k_{21}, k_{12}\} \gg \{k_{23}, k_{31}\}$ to simplify and explicit these coefficients.

First, we point out that we have

$$\begin{aligned} \beta &= \frac{(1 - J_1/\gamma_1) \gamma_1 \gamma_2}{(\gamma_1 - \gamma_2) J_1} \\ &= \frac{(1 - k_{31}/\gamma_1) \det[\mathbf{M}]}{\sqrt{(a+d)^2 - 4 \det[\mathbf{M}]} k_{31}}. \end{aligned} \quad (\text{B1})$$

Therefore from Eqs. (A6) and (B1) we see that all coefficients γ_i and β can be expressed as functions of $a+d$ and $\det[\mathbf{M}]$. Up to the first order we have

$$a+d \simeq -(k_{12} + k_{21}), \quad (\text{B2})$$

$$\det[\mathbf{M}] \simeq k_{31}(k_{12} + k_{21}) + k_{23}k_{12}.$$

Therefore, up to the same order, we have $\sqrt{(a+d)^2 - 4 \det[\mathbf{M}]} \simeq -(a+d)[1 - 4 \det[\mathbf{M}]/(a+d)^2]$. These lead to

$$\gamma_1 \simeq -(a+d) = k_{12} + k_{21}, \quad (\text{B3})$$

$$\gamma_2 \simeq -\frac{\det[\mathbf{M}]}{a+d} \simeq k_{31} + \frac{k_{23}k_{12}}{k_{12} + k_{21}},$$

which are Eqs. (11) and (12), respectively. Finally we have

$$\beta \simeq \frac{-1}{(a+d)} \frac{\det[\mathbf{M}]}{k_{31}} \simeq 1 + \frac{k_{23}k_{12}}{k_{31}(k_{12} + k_{21})}, \quad (\text{B4})$$

which is Eq. (13).

In order to solve the system of equations (11)–(13) we first eliminate k_{23} from Eq. (12) using Eq. (13); i.e.,

$$k_{23} = \frac{\gamma_1 k_{31} (\beta - 1)}{k_{12}}. \quad (\text{B5})$$

Inserting this result into Eq. (11) leads to

$$k_{31} = \frac{\gamma_2}{\beta}, \quad (\text{B6})$$

which constitutes our first parameter solution. In order to determine the other parameters we insert the value obtained for k_{31} into Eq. (B5) and Eq. (11) to obtain

$$\begin{aligned} k_{23} &= \frac{\gamma_1 \gamma_2 (\beta - 1)}{k_{12} \beta}, \\ k_{21} &= \gamma_1 - k_{12}. \end{aligned} \quad (\text{B7})$$

In other words, all parameters are now expressed as a function of the excitation coefficient k_{12} . To complete the solution we use Eqs. (17) and (19) for the single-photon rate R . After insertion of Eqs. (B5) and (B7) into Eq. (17) and some lengthy rearrangements we finally obtain

$$k_{12}^3 - \gamma_1 k_{12}^2 + \frac{R}{\xi} \left(\gamma_1 + \frac{X}{k_{31}} \right) k_{12} + \frac{RX}{\xi} = 0 \quad (\text{B8})$$

with $X = (\beta - 1)\gamma_1 \gamma_2 / \beta$. The three roots of this cubic equation can be obtained numerically using Cardano's method.

APPENDIX C

We can generalize the analysis made in Appendix B without any approximation. For this we first remark that we have the exact relations

$$\begin{aligned} \gamma_1 \gamma_2 &= \det[\mathbf{M}], \\ \gamma_1 + \gamma_2 &= -(a+d), \\ R \cdot \det[\mathbf{M}] &= \xi k_{21} k_{12} k_{31}, \end{aligned} \quad (\text{C1})$$

which can be obtained after some manipulations from the definition of the \mathbf{M} matrix and of Eqs. (17), (19). If we now introduce the definitions

$$\begin{aligned} A &= \det[\mathbf{M}] = (k_{12} + k_{21})k_{31} + (k_{12} + k_{31})k_{23}, \\ B &= -(a+d) - k_{31} = k_{12} + k_{21} + k_{23}, \\ C &= R \cdot \det[\mathbf{M}]/\xi = k_{12}k_{21}, \end{aligned} \quad (\text{C2})$$

we obtain after lengthy but straightforward calculations

$$k_{12}^2 - Bk_{12} + C + A - Bk_{31} = 0. \quad (\text{C3})$$

To complete the resolution of the system we use the exact relation Eq. (B1). We finally obtain

$$\begin{aligned} k_{31} &= \gamma_2 \gamma_1 / [(\gamma_1 - \gamma_2)\beta + \gamma_2], \\ k_{12} &= B/2 - \sqrt{B^2 - 4(C + A - Bk_{31})}/2, \end{aligned} \quad (\text{C4})$$

$$k_{21} = C/k_{12},$$

$$k_{23} = B - k_{12} - k_{21}.$$

The minus sign was chosen in the second equation for k_{12} [i.e., solution of Eq. (C3)] since a Taylor expansion at low excitation power when $\gamma_2 \ll \gamma_1$, $\beta \simeq 1$ gives for the two roots

$$k_{12} \simeq \gamma_1/2 \left(1 \pm \sqrt{1 - \frac{4R}{\xi \gamma_1}} \right) \simeq \gamma_1/2 \pm \gamma_1/2 \mp \frac{R}{\xi}. \quad (\text{C5})$$

In order to have the linear regime $k_{12} \propto R/\xi$ we must therefore impose the minus sign.

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