

## Bipolarons on dry and wet reduced rutile (110) surfaces: A constrained density functional theory study

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Defects have a profound effect on the electronic and transport behavior of materials. They play an important role in many photoelectric devices. Here, we investigate by means of constrained density functional theory (C-DFT) the spatial distribution and transport properties of bipolarons that are induced by surface oxygen vacancies ( $O_v$ ) on reduced rutile  $TiO_2$  (110) surfaces. We find that bipolarons preferentially localize in a subsurface geometry, followed by a mixed surface-subsurface localization, while a surface state is the energetically least preferred location for bipolarons. We show that this unique behavior is caused by an interplay of the splitting of titanium  $d$  orbitals, the orbital symmetry, and the electrostatic potential. Also, the polaron transport properties are examined, both parallel and perpendicular to the surface. The introduction of water molecules on the surface can effectively lead to the transfer of subsurface polarons to a position on the surface. The preferred transfer direction of polarons changes from the [001] direction to the [110] direction when the water coverage exceeds one-half monolayer. This characteristic behavior is strongly related to the synergetic effect of the electronic coupling strength and the transition barrier at different water coverages. Our findings reveal the energetic order of bipolaron localizations, their transport properties, and the effect of water on a reduced rutile  $TiO_2$  (110) surface, hence leading to a better understanding of the role of polarons in photoelectric applications.

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### I. INTRODUCTION

Rutile  $TiO_2$ , a prototypical transition metal oxide, has garnered significant interest in photocatalysis and electrocatalysis due to its unique optoelectronic properties [1,2]. However, its practical utility remains constrained by two intrinsic limitations, a wide band gap that limits light absorption to the ultraviolet regime and a low carrier transport that prohibits the enhancing of photoelectric efficiency [3–5]. To overcome these challenges, defect engineering has emerged as a transformative strategy for tailoring the electronic landscape of  $TiO_2$ . For instance, Cheng *et al.* [6] precisely manipulated the electronic structure by introducing  $Ti^{3+}$  into the porous single-crystalline rutile lattice, which can effectively enhance the photocatalytic performance and achieve a maximal photoelectric current response. Additionally, Wu *et al.* [7] fabricated photoelectric diodes utilizing  $Ti^{3+}$  self-doped  $TiO_2$  nanoparticles, exhibiting strong light absorption and efficient charge carrier transport capability. Furthermore, Liang *et al.* [8] designed a unique  $P^{3+}-O_v-Ti^{3+}$  doping structure within  $TiO_{2-x}$  nanotube arrays, allowing for a modulation of the electronic structure and the establishment of electron transfer pathways. During this process, strong interactions occur between the photogenerated carriers and Ti atoms of the

$TiO_2$  lattice, leading to the formation of a stable polaron state that persists throughout the charge transport process. This polaron state significantly prolongs the carrier lifetime, thereby contributing to improved photoelectric conversion efficiency. Consequently, investigations associated with the polaron state within  $TiO_2$  are of great importance.

Despite critical insights, the atomistic behavior of polarons in rutile  $TiO_2$  remains contentious. In rutile  $TiO_2$ , excess electrons tend to couple with  $Ti^{4+}$  ions, forming a reduced state of  $Ti^{3+}$  ions. It has been predicted that  $Ti^{3+}$  accompanied with lattice distortions in the surrounding environment collectively forms a small polaron. From the experimental side, authors of several studies have focused on the polaronic states at the surface of oxygen vacancy-defective rutile  $TiO_2$  (110) [2,9]. Including also the theoretical side, Shibuya *et al.* [10,11] systematically investigated the polaron models at the  $O_v$  defective rutile  $TiO_2$  (110) surface from both theoretical [density functional theory-based HSE06 (DFT+HSE06)] and experimental [scanning tunneling microscopy (STM)] perspectives. They found that the two excess electrons tend to occupy the Ti sites at different rows of the subsurface layers. This conclusion is highly analogous to the predictive results obtained by Deskins *et al.* [12] with the DFT+ $U$  method. Unlike above, Di Valentin *et al.* [13] suggested that the two excess electrons of oxygen vacancies tend to occupy the two fivefold-coordinated Ti positions at the surface according to a hybrid B3LYP calculation. Further DFT+ $U$  calculations proposed by Calzado *et al.* [14] demonstrated that the spatial distribution was related to  $O_v$

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concentration. Except for the above methods, it has been reported that the piecewise linear functional including PBE0( $\alpha$ ),  $\gamma$ DFT, and DFT+ $U$  is also an efficient method to model the polaron in transition metal oxides [15,16]. Excess electrons are often found in the interior at low defect concentration, while they are observed to occupy surface positions in the high concentration case. The surface bipolarons on reduced rutile TiO<sub>2</sub> (110) surfaces cannot remain stable at one fixed position since its location is influenced by factors such as the mobility of excess electrons and the concentration of oxygen vacancies. Therefore, it is crucial to investigate the formation mechanism, the electronic structure, and their impact on the photocatalytic performance of bipolarons in detail.

Apart from the polaron distribution, the migration of small polarons in rutile TiO<sub>2</sub> directly impacts the photocatalytic efficiency of semiconductor structures. Authors of studies have revealed a pronounced anisotropy in the migration of polarons along different directions. Through two-photon photoemission spectroscopy, Li *et al.* [17] have shown the characteristic  $3d \rightarrow 3d$  transitions of Ti<sup>3+</sup> ions in rutile TiO<sub>2</sub>, a finding that is consistent with findings by Wang *et al.* [18]. Authors of further investigations have identified the anisotropic nature of these  $3d \rightarrow 3d$  transitions, which can be selectively excited and conducted by the polarization of light. Additionally, Yan *et al.* [19] reported that the activation energies for polaron migration along the [001] and [111] directions are separately 0.026 and 0.195 eV, respectively, showing a preference for transfer along the [001] direction, which is a result similar to that of Janotti *et al.* [20]. Using semiclassical Marcus theory, Morita *et al.* [21] analyzed the transport mechanisms of polarons in different crystal environments, and they proposed a computational method for the polaron transport. Despite the vast number of proposed mechanisms to understand polaron transport in rutile TiO<sub>2</sub>, several challenges persist in this area of research, like for example, a better understanding of the role of O<sub>v</sub> defects in charge transport.

Especially in real applications, it is inevitable to encounter a wet environment. Thus, understanding the interplay between polarons and water molecules is fundamental for numerous photocatalytic applications. Using first-principles nonadiabatic molecular dynamics (NAMD) calculations, Fischer *et al.* [22] investigated the electron polaron transfer at TiO<sub>2</sub> surfaces, and they found that a close electronic coupling between water molecules and TiO<sub>2</sub> facilitates a rapid electron transfer to the surface. Similarly, Gao *et al.* [23] also employed NAMD techniques to study the capture process of photoexcited electrons at rutile TiO<sub>2</sub> (110) surfaces. Their discoveries indicate that water molecules can elongate the surrounding Ti-O bond lengths, stabilizing surface polaron and shortening the lifetime of photoexcited polarons, thereby enhancing photocatalytic activity. Recently, employing a constrained DFT approach, Li *et al.* [24] systematically studied the local and transport effects of water molecules on surface polarons on rutile TiO<sub>2</sub> (110). Under dry conditions, excess electrons tend to form localized small polarons at subsurface Ti sites, with superior transport properties along the [001] direction. However, under water coverage, small polarons can be extracted to surface Ti sites saturated with water molecules. Even the transport mode can be changed from the [001] direction to the [110] direction when the water coverage is larger than one-half monolayer.

Despite these important findings, systematic studies of the spatial distribution of defect-induced polarons and of their transport in wet conditions are still needed for a more detailed understanding. In this work, we aim at understanding the spatial distribution and the transport behavior as well as the role of water on polarons induced by O<sub>v</sub> on reduced rutile TiO<sub>2</sub> (110) surfaces by constrained DFT (C-DFT). First, the localization and spatial distribution of polarons induced by surface O<sub>v</sub> will be discussed as well as their key transport behavior. Next, the water effects on the stability and transport properties of bipolarons are further studied. Finally, the underlying mechanism of the polaron localization and transport will be discussed considering the orbital splitting and symmetry.

## II. COMPUTATIONAL METHODS

All calculations were carried out by C-DFT as implemented in the CP2K/QUICKSTEP package [25], which is based on a hybrid Gaussian and plane-wave basis set. The exchange-correlation energy was approximated by the Perdew-Burke-Ernzerhof (PBE) form of the generalized gradient approximation (GGA) [26]. Goedecker-Teter-Hutter pseudopotentials were used for electron-nuclear interactions. A double- $\zeta$  plus polarization basis set was chosen for all simulations. The energy cutoff was set to 500 Ry, numerically yielding a force converged to 0.001 eV/Å. The convergence criterion for the self-consistent DFT solution was set to 10<sup>-6</sup> eV between two consecutive electronic simulation steps. Different from the piecewise linear functional method including PBE0( $\alpha$ ),  $\gamma$ DFT, and DFT+ $U$  [15], a Hubbard- $U$  correction (PBE+ $U$ ) obtained from linear response was applied to Ti  $3d$  orbitals with  $U = 4.2$  eV [27,28]. A vacuum space of 25 Å is applied during the calculations to avoid the possible interaction between the adjacent images. A van der Waals correction based on Grimme's D3 scheme was included to account for the dispersion interaction [29].

The stability of the bipolarons is evaluated by the relative energies of the bipolarons occupied in different sites, as expressed by

$$E_{\text{diff}} = E_{\text{other}} - E_{\text{lowest}}, \quad (1)$$

where  $E_{\text{diff}}$ ,  $E_{\text{other}}$ , and  $E_{\text{lowest}}$  are the relative energy, any other cases, and the lowest total DFT energy of the bipolarons, respectively.

To understand the underlying mechanism of the spatial distribution of the bipolarons, a typical electrostatic interaction based on a point charge model is applied, which can be written as

$$W_n = \frac{1}{8\pi\epsilon_0\epsilon_{\text{rutile}}} \sum_{j=1}^n \sum_{i=1}^n \frac{q_i q_j}{r_{ji}}, \quad (2)$$

where  $\epsilon_0$  is the dielectric constant in vacuum,  $\epsilon_{\text{rutile}}$  is the relative dielectric constant of rutile TiO<sub>2</sub> [30],  $q_i$  and  $q_j$  are the charges of the polarons and of charged O<sub>v</sub> at sites  $i$  and  $j$  ( $i \neq j$ ), and  $r_{ji}$  represents the distance between each charged site above.

The transport behavior of a polaron is mainly determined by the transmission probability coefficient, as expressed

by [31]

$$\kappa = \frac{2P_{12}^O}{1 + P_{12}^O}, \quad (3)$$

where the hopping probability  $P_{12}^O$  of polarons to the final site through the intersection can be obtained according to Brunschwig *et al.* [32] and Zener *et al.* [33,34], with the expression as

$$P_{12}^O = 1 - \exp \left[ - \left( \frac{H_{\alpha\beta}^2}{\hbar v_n} \right) \left( \frac{\pi^3}{\lambda k_B T} \right)^{1/2} \right], \quad (4)$$

where  $v_n$  is a typical frequency for nuclear motion [35].

As the transmission probability coefficient  $\kappa$  approaches one, it represents an adiabatic transport process, and the transmission rate and hopping potential energy can be calculated as [32]

$$k_{et} = v_n \exp \left[ \frac{-\Delta G_{ad}^*}{k_B T} \right], \quad (5)$$

$$\Delta G^* = \frac{\lambda}{4} - H_{\alpha\beta}. \quad (6)$$

As the transmission probability coefficient  $\kappa$  approaches zero, it indicates a nonadiabatic transport process. The transmission rate can be obtained according to the Marcus theory, which can be expressed as [36]

$$k_{et} = \frac{|H_{\alpha\beta}|^2}{\hbar} \sqrt{\frac{\pi}{\lambda k_B T}} \exp \left[ - \frac{\Delta G^*}{k_B T} \right], \quad (7)$$

where  $\hbar$  is the reduced Planck constant,  $k_B$  is the Boltzmann constant,  $\lambda$  is the reorganization energy, and  $T$  is the room temperature. Here,  $H_{\alpha\beta}$  is the electronic coupling constant between the initial ( $\Psi_\alpha$ ) and final ( $\Psi_\beta$ ) states, a little different from that obtained from the difference between bonding and antibonding energy levels [15], and it can be calculated by

$$|H_{\alpha\beta}| = |\langle \Psi_\alpha | \hat{H} | \Psi_\beta \rangle|. \quad (8)$$

Relative to some reported transition barrier obtained from the nudged elastic band method [15], the transition barrier under this condition can be calculated as [37]

$$\Delta G^* = -\frac{\lambda}{4} + \frac{\sqrt{\lambda^2 + 4|H_{\alpha\beta}|^2}}{2} - H_{\alpha\beta}. \quad (9)$$

It should be mentioned that, in the polaron hopping regime studied here, the electronic coupling between  $\text{Ti}^{3+}$  sites is expected to be much smaller than the nuclear reorganization energy. This places the charge transfer in the nonadiabatic (Landau-Zener) regime, where the electron tunneling probability at the crossing of diabatic potential energy surfaces is significantly less than unity. In other words, polarons on the  $\text{TiO}_2$  surface are only weakly coupled, so the charge transport cannot be assumed adiabatic. Instead, a Landau-Zener-based description is appropriate for calculating hopping rates. This approach has been used successfully in similar oxide systems to account for cases where adiabatic treatment would overestimate charge transfer. For example, Wu and Ping [38] found that, in  $\text{BiVO}_4$  small-polaron mobility, accounting for partial nonadiabaticity via Landau-Zener theory was essential; neglecting it led to qualitatively wrong mobility predictions.

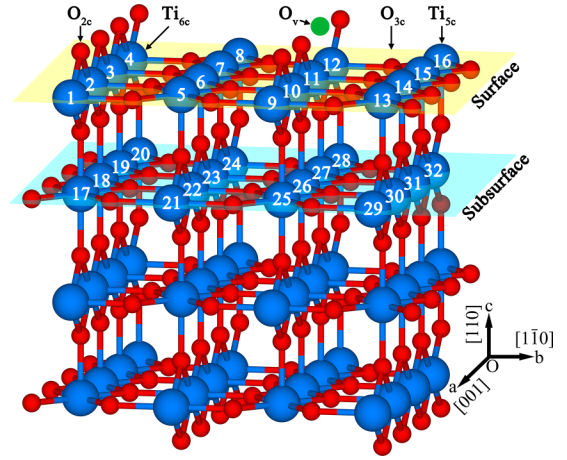


FIG. 1. A  $(4 \times 2)$  rutile  $\text{TiO}_2$  (110) surface with a bridge oxygen vacancy ( $\text{O}_v$ ) at the surface. It should be noticed that the Ti atoms in the first two layers are distinguished by atom number, which can be denoted as  $\text{Ti}[n]$  for short. The Ti, O, and  $\text{O}_v$  are shown as blue, red, and green spheres, respectively.

Based on the above result, the polaron carrier mobility can be obtained from Einstein's relation as [39]

$$\mu = \frac{eD}{k_B T}, \quad (10)$$

where the symbol  $D$  is the diffusion coefficient, which can be calculated as [40,41]

$$D = R^2 n k_{et}, \quad (11)$$

where  $R$  is the polaron transfer distance from the initial site  $\alpha$  to the final site  $\beta$ , and  $n$  is the number of polarons.

### III. RESULTS AND DISCUSSIONS

#### A. Bipolarons spatial distribution on the reduced rutile $\text{TiO}_2$ (110) surface

A  $(4 \times 2)$  supercell with four trilayers is chosen to model the rutile  $\text{TiO}_2$  (110) surface as shown in Fig. 1. It contains fivefold-coordinated ( $\text{Ti}_{5c}$ ) and sixfold-coordinated ( $\text{Ti}_{6c}$ ) titanium atoms as well as twofold-coordinated ( $\text{O}_{2c}$ ) and threefold-coordinated ( $\text{O}_{3c}$ ) oxygen atoms at the surface. One bridge oxygen vacancy ( $\text{O}_v$ ) is introduced at the surface, corresponding to a surface  $\text{O}_v$  concentration of  $\frac{1}{8}$ . Although an  $\text{O}_v$  is introduced to the rutile  $\text{TiO}_2$  surface, the system remains in a neutral charge state. Generally, the introduction of one  $\text{O}_v$  simultaneously brings two excess electrons to the system, and it has been reported that these two excess electrons favor localization at  $\text{Ti}^{4+}$  atoms, forming small polarons in the reduced rutile  $\text{TiO}_2$  [9,20,34,42,43].

To assess the stability of bipolarons, the possible spatial distribution of the two polarons is systematically studied, and the corresponding result is shown in Fig. 2, together with the detailed relative energy summarized in Table S1 in the Supplemental Material [44]. It should be noted that only equivalent Ti atoms are considered, and polarons in the first two trilayers are chosen. The resulting preferential sites for bipolaron localization are as follows: For the bipolarons at the surface (called the *surface state* in the following), the lowest  $E_{\text{diff}}$  is at

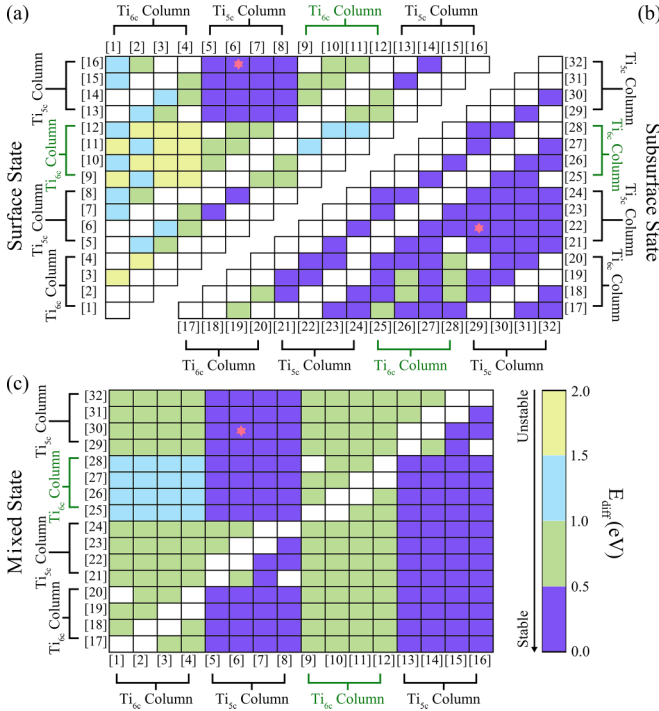


FIG. 2. Relative energy ( $E_{\text{diff}}$ ) of the bipolaron occupation in different spatial locations. The two polarons of the bipolaron localized at (a) surface, (b) subsurface, and (c) separate surface and subsurface Ti atoms. The most stable location is marked by a pink star, and the green font indicates the  $\text{Ti}_{6c}$  column where  $\text{O}_v$  is located.

$\sim 0.05$  eV with the two polarons occupying sites at the Ti atoms around the  $\text{O}_v$ . For the case of bipolarons at the subsurface (called the *subsurface state*), it has the lowest total energy, which can be set as a reference for convenience, and the corresponding occupied sites are at subsurface Ti [22] and Ti [29] atoms. Apart from the surface and subsurface bipolarons, the case of bipolarons consisting of one polaron at a surface Ti site and the other polaron at a subsurface Ti site (called the *mixed state* in the following) is also considered. The lowest  $E_{\text{diff}}$  is at  $\sim 0.01$  eV, with the bipolarons occupying surface Ti [6] and subsurface Ti [30]. Furthermore, one of the bipolarons has a preference to occupy the  $\text{Ti}_{5c}$  column, consistent with the result of Kowalski *et al.* [45]. The other bipolaron prefers to occupy subsurface Ti [22] and Ti [29] atoms, consistent with previous results of Shibuya *et al.* [10, 11]. In all, it can be concluded that the most stable bipolaron configuration is at subsurface Ti [22] and Ti [29], with the second most stable configuration a mixed state of surface Ti [6] and subsurface Ti [30]. The third stable case is that of surface Ti [6] and Ti [16]. From the other side, it can be found that the bipolarons in Ti [22] and Ti [29] sites far from the  $\text{O}_v$  site (corresponding to  $\text{O}_v$  in +2) are  $\sim 0.62$  eV lower than one polaron in Ti [11] and Ti [22] sites at  $\text{O}_v$  (corresponding to  $\text{O}_v$  in +1) as well as 1.36 eV lower than two polarons in Ti [11] and Ti [12] sites at  $\text{O}_v$ . At the same time, the stability of the bipolarons was also checked by the HSE06 functional. Taking the two most stable configurations as an example, the HSE06 result shows the same tendency as that of our C-DFT+ $U$  result, as shown in Table S2 in the Supplemental Material [44],

suggesting the reliability of our result. Furthermore, we also estimated the non-Koopmans deviation [46] ( $\Delta E_{nk}$ ) for the defect states of  $\text{Ti}^{3+}$  through fixed-charge calculation, as shown in Table S3 in the Supplemental Material [44]. The DFT+ $U$  calculation shows  $\Delta E_{nk} = -0.39$  eV, which is much smaller than the expected  $\Delta E_{nk} = -0.82$  eV with a semilocal GGA functional but close to the HSE06 result of  $\Delta E_{nk} = -0.35$  eV. This confirms that our Hubbard-corrected functional yields nearly piecewise-linear total-energy behavior for the defect occupancy, effectively satisfying Koopmans's condition for the polaronic state.

To study the energetic contributions to the stability of bipolarons, the lattice distortion energy ( $E_{\text{lat}}$ ) and electronic energy ( $E_{\text{el}}$ ) are calculated. Both energies are components of the formation energy ( $E_{\text{pol}}$ ) [47]. The corresponding result is summarized in Table S4 in the Supplemental Material [44]. Clearly, the bipolarons at subsurface Ti [22] and Ti [29] have the lowest  $E_{\text{lat}}$  of  $\sim 1.16$ ,  $\sim 0.39$ , and  $0.12$  eV lower than the second and third cases, respectively. At the same time, the corresponding electronic energy ( $E_{\text{el}}$ ) is  $\sim -1.91$  eV to stabilize this state. On the other hand, the dipole-dipole interaction perpendicular to the slab is also checked. Taking the three most stable configurations as an example, the calculations within dipole correction show  $E_{\text{pol}}$  at  $\sim -0.03$  eV (surface state),  $-0.80$  eV (subsurface state), and  $-0.35$  eV (mixed state), as shown in Table S4 in the Supplemental Material [44], which align with our original computational results of  $-0.15$  eV (surface state),  $-0.75$  eV (subsurface state), and  $-0.55$  eV (mixed state), respectively. Therefore, the bipolarons at the subsurface have the lowest lattice distortion energy and the highest electron gain in energy, resulting in the most stable configuration.

Furthermore, the electronic density of states (DOS) is used to examine the stability of bipolarons localized in different sites. Taking the three most stable bipolarons as typical representations, the corresponding DOS is shown in Fig. 3. The valence band maximum consists of O  $2p$  orbitals in all cases, and the conduction band minimum (CBM) consists mainly of Ti  $3d$  orbitals, consistent with previously reported results [48]. The existence of bipolarons causes gap states below the CBM. For the most stable case (subsurface Ti [22] and Ti [29]), a pair of spin-symmetrical gap states appears at  $\sim 0.62$  eV below the CBM. As for the second most stable case (surface Ti [6] and subsurface Ti [30]), two separated gap states appear at 0.62 and 0.97 eV below the CBM, respectively. In the third most stable case (surface Ti [6] and Ti [16]), a spin-symmetrical gap state appears  $\sim 0.90$  eV below the CBM. Examining the band splitting of the Ti  $3d$  orbitals in the three cases by crystal field theory combined with  $d$ -band center theory [49], a clear tendency can be found: For the bipolarons in the subsurface state, the splitting is largest (5.07 eV); in the mixed state, it is reduced (5.01 eV as shown in Table S5 in the Supplemental Material [44]); and the smallest splitting is found in the surface state (4.94 eV), showing a decreasing tendency, as shown in Fig. S2(a) in the Supplemental Material [44], together with the data in Table S5 in the Supplemental Material [44]. According to the crystal field theory, a larger splitting of the Ti  $3d$  orbital means a more stable system. Although all the bipolarons are in the same crystal fields, the lattices are in different distortions, leading to different splittings of



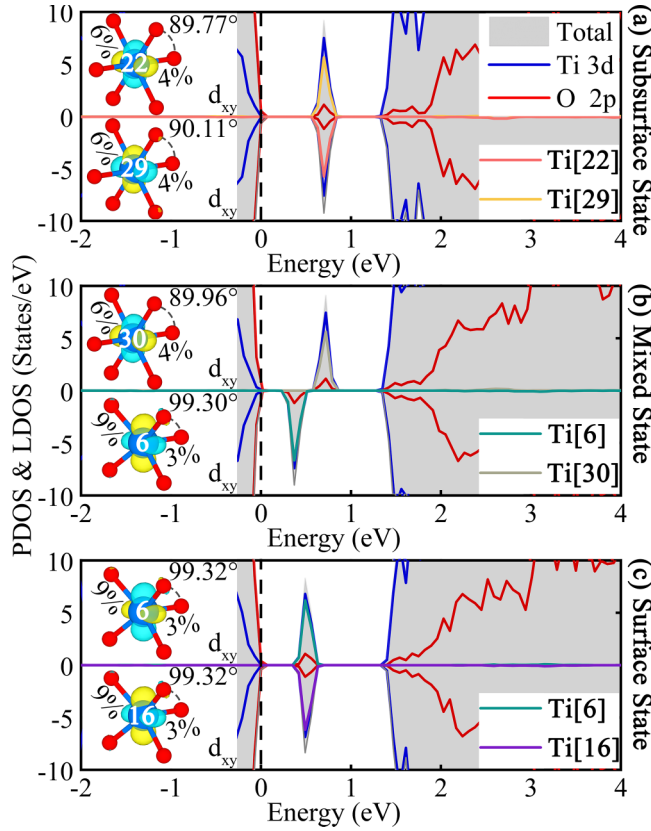


FIG. 3. Electronic density of states (DOS) for the bipolarons: (a) Surface state, (b) subsurface state, and (c) mixed state, corresponding to the three lowest relative energies.

the energy level. Furthermore, the calculated result is close to the crystal orbital Hamilton population result since it is also a weighted electronic DOS. Thus, bipolarons in the subsurface state (Ti [22] and Ti [29]) are the most stabilized from the electronic structure point of view.

Apart from  $d$ -orbital splitting, the orbital symmetry is also considered and shown in the inset of Fig. 3. Bipolarons favor occupancy of  $d_{xy}$  orbitals with a strong electrostatic repulsion from the ligand O atoms in the  $x$ - $y$  plane. The stability can be sensitively influenced by the local structure. For the most stable bipolarons at subsurface Ti [22] and Ti [29] atoms, the Ti-O bond in the  $x$ - $y$  plane is stretched by 6%, while the Ti-O bond in the  $x$ - $z$  plane is only elongated by 4%. The case for the second most stable bipolarons in the mixed state at Ti [6] and Ti [16] is slightly different with the Ti-O bond elongation in  $x$ - $y$  plane of  $\sim 7\%$ . The polaron at the surface Ti [6] atom causes a Ti-O stretch by 9% in the  $x$ - $y$  plane, which is  $\sim 0.09$  Å larger than the Ti [22] atom in the above case, as shown in Fig. S2(b) in the Supplemental Material [44]. Therefore, bipolarons prefer to occupy the subsurface Ti atoms under both sides of the  $O_v$  site.

Apart from the orbital splitting and the symmetry, it is also interesting to understand the spatial distribution of bipolarons associated with the surface  $O_v$ . It has been reported by Zhao *et al.* [50] that the stability of bipolarons is strongly determined by the oxygen vacancy. Furthermore, Reticcioli *et al.* [9] found that the stability of bipolarons is a synergetic

effect of repulsive interactions among the two polarons and the attractive interaction between the polarons and  $O_v$ . Thus, the electrostatic interaction between the polarons and  $O_v$  is further examined according to a point charge model, as shown in Fig. S1 in the Supplemental Material [44], which is strongly affected by the localized charges and their distance. It is well known that the longer the distance between the charges, the lower the repulsive  $E_{\text{potential}}$ . Here, we use  $S_{\text{area}}$  to denote the area of the triangle formed by the system of three point charges. Based on Eq. (2), the relationship of electrostatic potential energy ( $E_{\text{potential}}$ ) and the area ( $S_{\text{area}}$ ) involving bipolarons and  $O_v$  as well as the relative energies of the bipolarons is displayed in Fig. 4. The subsurface bipolarons (Ti [22] and Ti [29]) with the largest  $S_{\text{area}}$  exhibit the lowest  $E_{\text{potential}}$ , consistent with the lowest relative energy of bipolarons. These findings are like the result reported by Kowalski *et al.* [45]. Thus, bipolarons induced by  $O_v$  prefer subsurface sites around  $O_v$  due to the synergetic effect of repulsion and attraction among polarons and  $O_v$ .

## B. Bipolarons transfer on the reduced rutile $\text{TiO}_2$ (110) surface

In addition to the spatial distribution, the transport behavior of bipolarons is also interesting since it is a key factor determining photoelectric efficiency. Here, combining C-DFT and Marcus theory, the transport properties of the three most stable bipolarons are systematically investigated along possible directions. The result is summarized in Table I, together with some detailed data in Fig. S3 in the Supplemental Material [44]. Like what is known for a perfect surface, a higher polaron transport rate is found along the [001] direction than along the [110] direction. The magnitude of the rate along the [001] direction can reach  $2.42 \times 10^{11} \text{ s}^{-1}$ , which is  $\sim 5$  orders larger than that along the [110] direction, consistent with previous results reported by Deskins *et al.* [31]. It is found that this larger transfer rate is strongly related to the smaller energy barrier along the [001] direction, where the energy barrier is  $\sim 0.17$  eV lower than along the [110] direction. Therefore, bipolarons induced by surface  $O_v$  favor transfer along the [001] direction.

Apart from the [001] direction, polaron transfer from subsurface to surface along the [110] direction is also examined. Here, it should be mentioned that it is difficult to achieve stable polaron occupation at the surface  $\text{Ti}_{6c}$ , so the transmission of a polaron along the [110] direction to  $\text{Ti}_{6c}$  will not be common. The calculated results are displayed in Fig. 5(a) and the detailed data in Table S6 in the Supplemental Material [44]. The largest  $k_{et}$  occurs around the  $O_v$  site, with the largest value reaching  $2.74 \times 10^9 \text{ s}^{-1}$  as the polaron transfer from Ti [27] to Ti [11] in an adiabatic process, which is  $\sim 2$  orders higher than that of the perfect case. In the  $\text{Ti}_{5c}$  terminal case, the polaron transport from Ti [23] to Ti [7], the nonadiabatic transmission achieves a  $k_{et}$  value of  $4.28 \times 10^7 \text{ s}^{-1}$ , quite close to the perfect case. For larger distances from  $O_v$  as in the case of transfer from Ti [22] to Ti [6] or Ti [5], a nonadiabatic transmission process can be found with a transfer rate  $k_{et}$  of only  $\sim 3.02 \times 10^6$  and  $6.83 \times 10^5 \text{ s}^{-1}$ , respectively. Thus, the presence of the surface  $O_v$  can effectively influence the transport behavior of polarons around the vacancy. Particularly, compared with the regular surface  $\text{Ti}_{6c}$  case, the transfer rate

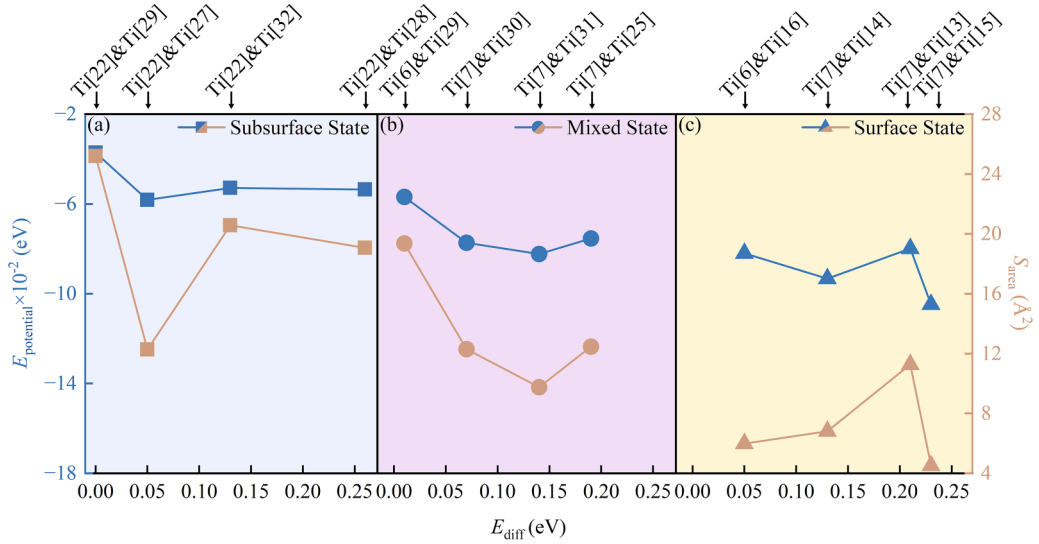


FIG. 4. Relationship of the electrostatic potential energy ( $E_{\text{potential}}$ ) and the bipolaron area ( $S_{\text{area}}$ ), plotted against the corresponding relative energies of the bipolarons. The corresponding bipolarons in (a) surface state, (b) subsurface state, and (c) mixed state, respectively.

can be  $10^3$  times larger for the case as the polaron transfers to the  $\text{Ti}_{6c}$  column involving  $\text{O}_v$  along the [110] direction. This phenomenon highlights the unique role of  $\text{O}_v$ . Further analysis suggests that this behavior may be closely related to local lattice distortions. The presence of  $\text{O}_v$  reduces the distance between Ti [27] and Ti [11], facilitating the leap of a polaron along the [110] direction with an energy barrier of only 0.25 eV. Additionally, a large electronic coupling strength ( $H_{ab}$ ) of 55 meV between the two polaron states facilitates the transfer process, as shown in Fig. 5(b). This interesting result is in good agreement with the previous findings by Papageorgiou *et al.* [51], where a maximum response current exists around the  $\text{O}_v$  site in STM experiments. Therefore, our results effectively uncover the underlying mechanism of the strong response in STM experiments, which is related to the high polaron transport rate from subsurface to surface around a surface oxygen vacancy.

### C. Water effect on polaron behavior on the reduced rutile $\text{TiO}_2$ (110) surface

In real devices, it is inevitable to deal with humid environments. Thus, understanding the effect of water molecules on the polaron properties is of great importance. Here, a water molecule adsorbed at reduced rutile  $\text{TiO}_2$  (110)

surfaces is initially considered, and the corresponding results are summarized in Table S7 in the Supplemental Material [44]. Compared with regular surface  $\text{Ti}_{5c}$  sites, water molecules have a stronger adsorption energy at  $\text{O}_v$  sites, with the adsorption energy of  $-1.74$  eV (with the corresponding relative energy difference at  $\sim 0.88$  eV), as shown in Fig. S4 in the Supplemental Material [44]. The adsorbed water at an  $\text{O}_v$  site will spontaneously split into two hydroxyls OH, leading to the filling of the vacancy and leaving two neighboring bridging hydroxyl groups, consistent with previous experimental and theoretical results [52–55]. In this situation (see area I as denoted by  $2\text{O}_b\text{H}$  in Fig. 6), the spatial distribution of the bipolarons is also evaluated, and the corresponding results are shown in Table S9 in the Supplemental Material [44]. Like the  $\text{O}_v$  case, the most stable bipolarons are located at subsurface states at Ti [22] and Ti [29] atoms. The second most stable bipolarons occur in the mixed state (surface Ti [6] and subsurface Ti [30] atoms) with the relative energy of  $\sim 0.03$  eV, together with the third most stable one in the surface state (Ti [6] and Ti [13] atoms), with a relative energy of  $\sim 0.07$  eV, consistent with the findings by Wang *et al.* [56].

Based on the described filling of the  $\text{O}_v$  site, different water coverages are further considered, and the typical model is shown in Fig. 6. For simplicity, water adsorbed at surface

TABLE I. Transport parameters of bipolarons transfer along possible directions on a reduced Rutile  $\text{TiO}_2$  (110) surface. The given parameters are reorganization energy ( $\lambda$ ), electron coupling strength ( $H_{\alpha\beta}$ ), transfer probability ( $\kappa$ ), nearest Ti-Ti distance ( $d_{\text{Ti-Ti}}$ ), mobility of carrier polaron ( $\mu$ ), and the diffusion coefficient ( $D$ ).

Model	Direction	$\lambda$ (eV)	$H_{\alpha\beta}$ (meV)	$\kappa$	Transfer mode	$\Delta G^*$ (eV)	$k_{et}$ ( $\text{s}^{-1}$ )	$d_{\text{Ti-Ti}}$ ( $\text{\AA}$ )	$D$ ( $\text{cm}^2/\text{s}$ )	$\mu$ ( $\text{cm}^2/\text{Vs}$ )
Like-bulk clusters [31]	[111]	1.23	10	0.02	Nonadiabatic	0.31	$3.66 \times 10^6$	3.61	$1.91 \times 10^{-8}$	$7.42 \times 10^{-7}$
	[001]	1.15	200	1.00	Adiabatic	0.09	$7.65 \times 10^{11}$	2.97	$1.35 \times 10^{-3}$	$5.24 \times 10^{-2}$
Perfect slab [24]	[110]	1.28	12	0.08	Nonadiabatic	0.31	$1.42 \times 10^7$	3.55	$1.07 \times 10^{-7}$	$4.13 \times 10^{-6}$
	[001]	1.07	101	0.98	Adiabatic	0.18	$1.80 \times 10^{11}$	2.96	$9.44 \times 10^{-4}$	$3.65 \times 10^{-2}$
$\text{O}_v$	[110]	1.29	6	0.03	Nonadiabatic	0.32	$3.02 \times 10^6$	3.55	$2.28 \times 10^{-8}$	$8.82 \times 10^{-7}$
	[001]	0.87	71	0.91	Adiabatic	0.15	$2.42 \times 10^{11}$	2.99	$1.29 \times 10^{-3}$	$5.00 \times 10^{-2}$

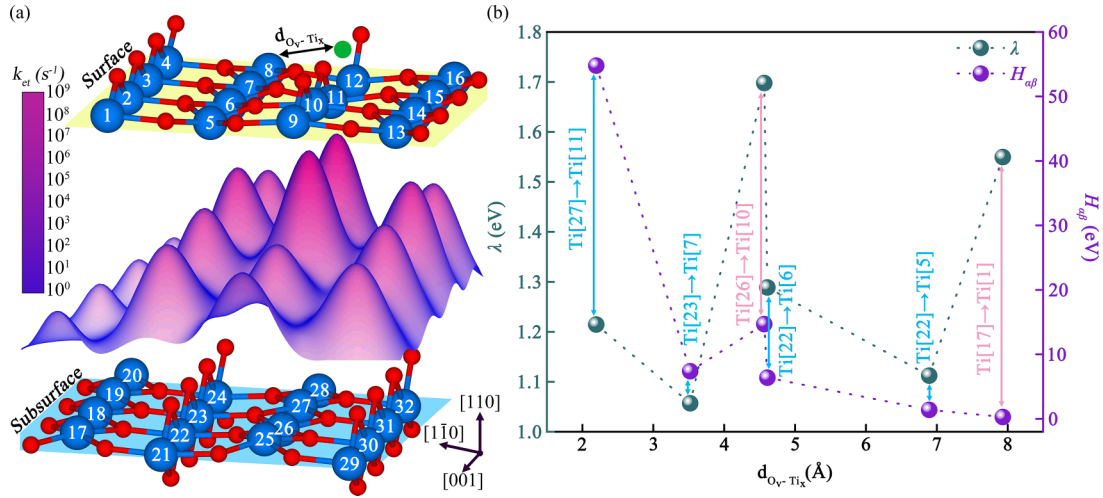


FIG. 5. (a) Transfer rate ( $k_{et}$ ) of bipolaron transport from subsurface to surface along the [110] direction. (b) Change of the transport parameters ( $\lambda$  and  $H_{\alpha\beta}$ ) with the increasing distance between  $O_v$  and the surface Ti atom where the polaron is transferred to. The arrows in blue and pink indicate the polaron transported to surface  $Ti_{sc}$  and  $Ti_{dc}$ , respectively.

$Ti_{5c}$  represents the water coverage of  $\frac{1}{8}$  monolayer, which can be denoted as  $2O_bH + \frac{1}{8}$  for short. Considering the hydrogen bonding effect from the bridge OH as reported by Fischer *et al.* [22], the placement of the water can form two binding modes, as depicted in area II of Fig. 6. The calculations show that the water positioned in the  $H_{water} - O_{bridge}$  state is  $\sim 0.14$  eV lower than that in the  $H_{water} - O_{bridge}H$  state, showing that the  $H_{water} - O_{bridge}$  state is more stable, as shown in Table S8 in the Supplemental Material [44]. In the most stable water adsorption case, the bipolarons favor localization at surface Ti [6] and Ti [13]. Furthermore, the dissociation of the water molecule at  $\delta = 2O_bH + \frac{1}{8}$  (area III of Fig. 6) is also considered, as in the work of Chen *et al.* [57], it was discovered that bipolarons have a dual-functional role both as inhibitor and promotor of a surface water dissociation. Our calculations

show that the intact water molecule is more stable than the dissociated one, as seen in Table S8 in the Supplemental Material [44], consistent with previous calculations. Therefore, the localization of the bipolarons can be altered at low water concentration.

With the increasing water coverage, the spatial distribution of the bipolarons is further considered, and the calculated result is shown in Fig. 7(a), together with the detailed data in Table S9 in the Supplemental Material [44]. Relative to the  $2O_bH + \frac{1}{8}$  case, the most stable state of the bipolarons still appears in the surface state until a water concentration ratio of one. Looking at the variation between each state, the  $E_{diff}$  of bipolarons in the subsurface and mixed state is gradually increasing with the water concentration, reaching a maximum value of 0.24 eV for the subsurface state when the ratio reaches  $2O_bH + 1$ . Thus, the increase of the water coverage will extract the bipolarons from the inner site to a surface site, facilitating surface photoelectric reactions.

Apart from the stability, we also study the transport behavior of bipolarons under different water coverages. It is worth noting that subsurface Ti [22] is chosen as the initial site since the bipolarons in this site are most stable among the subsurface and mixed states regardless of dry or water dissociative adsorption conditions. The water coverage has little effect on the polaron transfer rate along the  $[1\bar{1}0]$  and  $[\bar{1}\bar{1}0]$  directions (Fig. S5 in the Supplemental Material [44]), consistent with previous studies [24]. We therefore focused on the bipolaron transfer along the [110] and [001] directions. The corresponding results are shown in Fig. 7(b), together with the detailed data in Table S10 in the Supplemental Material [44]. Unexpectedly, the transfer of the bipolarons can be significantly affected by the water coverage along both directions. Taking the [001] direction as an example, the transfer rate of the bipolarons reaches a maximum value of  $8.40 \times 10^{12} s^{-1}$  at  $2O_bH + \frac{1}{2}$ . Thus, this transport direction should be the primary one as  $\delta \leq 2O_bH + \frac{1}{4}$  since the transfer rate along [001] is much larger than that along the [110] direction. However, as  $\delta \geq 2O_bH + \frac{1}{2}$ , the transport rate of the bipolarons along the [110] direction increases sharply, reaching the value

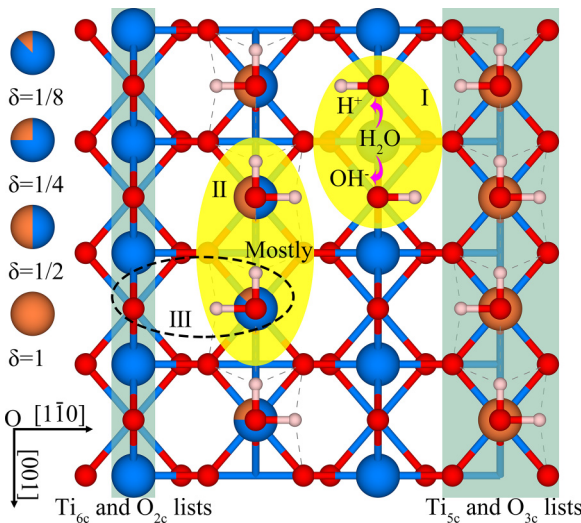


FIG. 6. Structure of water molecules adsorbed on the reduced rutile (110) surface in different coverages. The coverage rate is denoted by the brown color in the Ti spheres. The Ti, O, and H atoms are blue, red, and pink spheres, respectively.

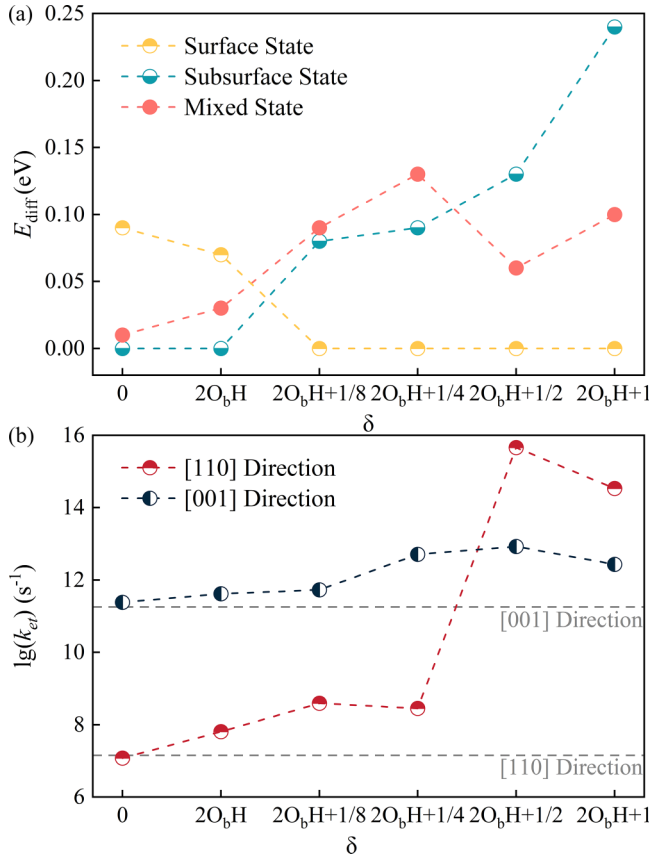


FIG. 7. (a) Relative energy ( $E_{\text{diff}}$ ) of bipolarons for different surface water coverages. (b) Transport behavior of bipolarons along typical directions for different water coverages, together with the dry surface for comparison. The  $\lg(k_{et})$  denotes the  $\log_{10}(k_{et})$  for short.

of  $4.57 \times 10^{15} \text{ s}^{-1}$ , even larger than that of the [001] direction, showing the potential of bipolaron transfer from the inner site. Although the transfer rate shows a slight decrease, it is still much higher than that along the [001] direction as the water concentration at  $2O_bH + 1$ . Therefore, the coverage of water molecules can effectively influence the spatial distribution and transport behavior of bipolarons at the reduced rutile  $\text{TiO}_2$  (110) surface.

To understand the underlying mechanism of the influence of the water coverage on bipolarons transport, we examine the transport parameters such as  $\lambda$ ,  $H_{\alpha\beta}$ , and  $\Delta G^*$ . The corresponding results are depicted in Fig. 8. The parameter  $\lambda$  shows almost no change with the increasing water coverage along both directions. However, the case for the other two parameters is quite different. Along the [001] direction, the fluctuations of  $H_{\alpha\beta}$  and  $\Delta G^*$  are extremely small ( $\sim 0.1$  eV). As for the [110] direction, when  $\delta \leq 2O_bH + \frac{1}{4}$ , the variation of  $H_{\alpha\beta}$  is still relatively small in the range of 30 meV, together with a high  $\Delta G^*$  of  $\sim 0.3$  eV, indicating a nonadiabatic transport process. However, as  $\delta$  increases to  $2O_bH + \frac{1}{2}$ , a pronounced trend reversal in  $H_{\alpha\beta}$  and  $\Delta G^*$  can be seen. For the [110] direction, the value of  $H_{\alpha\beta}$  can reach up to 472 meV, together with a small  $\Delta G^*$  barrier, indicating an adiabatic transport process. Therefore, the electronic coupling between

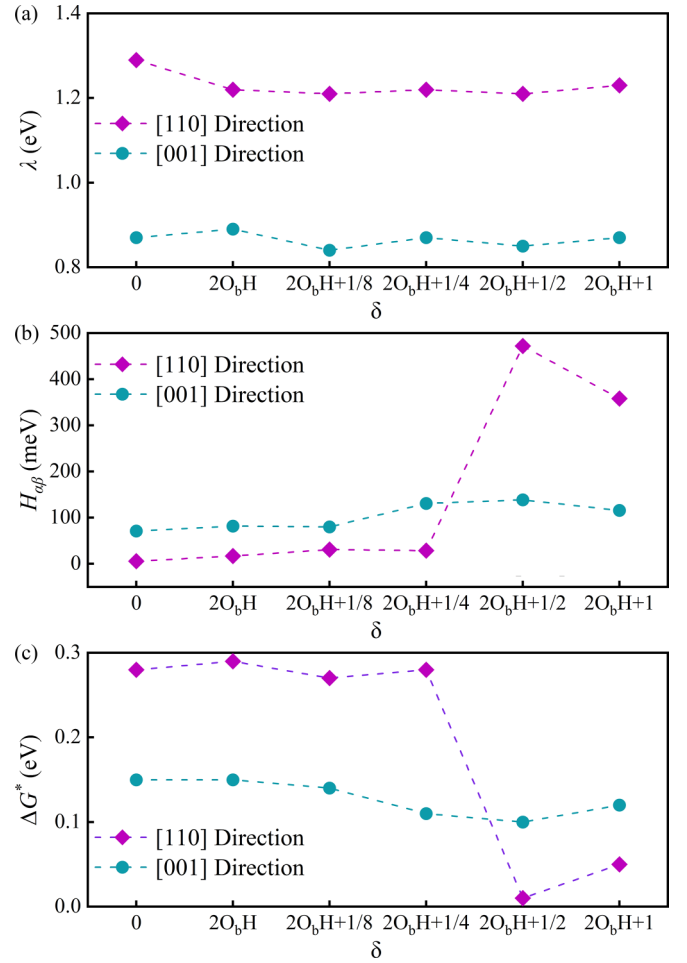


FIG. 8. Change of the transport parameters of bipolarons as a function of water coverage  $\delta$  and the corresponding transport parameters: (a) Reorganization energy ( $\lambda$ ), (b) electron coupling strength ( $H_{\alpha\beta}$ ), and (c) transition barrier ( $\Delta G^*$ ).

initial and final states is identified as the primary determining factor for the calculated transport characteristics.

#### IV. CONCLUSIONS

In summary, we explored the spatial distribution and transport properties of bipolarons induced by oxygen vacancies on reduced rutile  $\text{TiO}_2$  (110) surfaces using C-DFT. Our results demonstrate that bipolarons energetically prefer subsurface sites, followed by a mixed surface-subsurface configuration, while a geometry involving only surface sites in the vicinity of the  $O_v$  is the least energetically favored localization. This unique behavior can be attributed to factors such as the electronic Ti 3d orbital splitting, the orbital symmetry, and the electrostatic potential obtained from a simple point charge model. Notably, the polaron transfer rate gradually decreases as a function of the distance from  $O_v$  along the [110] direction. The introduction of water can strongly change the spatial distribution of bipolarons, as in the presence of water, they alternate their preferred localization sites from subsurface to surface. Also, the bipolaron transport characteristics depend on the water coverage. As the water coverage is more than



one-half monolayer, the preferred transfer direction of the polaron changes from the [001] direction to the [110] direction, i.e., toward the transport in the surface. This behavior is closely related to the variation of the electronic coupling strength and the energy barrier under different water coverages. Our findings shed light on the distribution and transport properties of bipolarons as well as the influence of water on the reduced rutile TiO<sub>2</sub> (110) surface, providing valuable insights into the role of polarons in photoelectric applications.

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### DATA AVAILABILITY

The data supporting this study's findings are available within the article.

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