

# Controlling mass and energy diffusion with metamaterials

Fubao Yang\* and Zeren Zhang\*

*Department of Physics, Key Laboratory of Micro and Nano Photonic Structures (MOE), and State Key Laboratory of Surface Physics, Fudan University, Shanghai 200438, China*

LiuJun Xu\*

*Graduate School of China Academy of Engineering Physics, Beijing 100193, China*

Zhoufei Liu,\* Peng Jin,\* Pengfei Zhuang, Min Lei, and Jinrong Liu

*Department of Physics, Key Laboratory of Micro and Nano Photonic Structures (MOE), and State Key Laboratory of Surface Physics, Fudan University, Shanghai 200438, China*

Jian-Hua Jiang

*Suzhou Institute for Advanced Research, University of Science and Technology of China, Suzhou 215123, China*

Xiaoping Ouyang

*School of Materials Science and Engineering, Xiangtan University, Xiangtan 411105, China*

Fabio Marchesoni

*MOE Key Laboratory of Advanced Micro-Structured Materials and Shanghai Key Laboratory of Special Artificial Microstructure Materials and Technology, School of Physics Science and Engineering, Tongji University, Shanghai 200092, China and Department of Physics, University of Camerino, 62032 Camerino, Italy*

Jiping Huang<sup>†</sup>

*Department of Physics, State Key Laboratory of Surface Physics, and Key Laboratory of Micro and Nano Photonic Structures (MOE), Fudan University, Shanghai 200438, China*

 (published 14 February 2024)

Diffusion driven by temperature or concentration gradients is a fundamental mechanism of energy and mass transport that inherently differs from wave propagation in both physical foundations and application prospects. Compared with conventional schemes, metamaterials provide an unprecedented potential for governing diffusion processes, based on emerging theories like the transformation and the scattering-cancellation theory that expanded the original concepts and suggested innovative metamaterial-based devices. The term *diffusionics* is used in the review to generalize these noteworthy achievements in various energy and mass diffusion systems. Examples include heat diffusion systems and particle and plasma diffusion systems. For clarity the numerous studies published over the past decade are categorized by diffusion field (i.e., heat, particles, and plasmas) and discussed from three different perspectives: the theoretical perspective, to detail how the transformation principle is applied to each diffusion field; the application perspective, to introduce various interesting metamaterial-based devices, such as cloaks and radiative coolers; and the physics perspective, to connect them with concepts of recent concern, such as non-Hermitian topology, nonreciprocal transport, and spatiotemporal modulation. The possibility of controlling diffusion processes beyond metamaterials is also discussed. Finally, several future directions for diffusion metamaterial research, including the integration of metamaterials with artificial intelligence and topology concepts, are examined.

DOI: [10.1103/RevModPhys.96.015002](https://doi.org/10.1103/RevModPhys.96.015002)

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\*These authors contributed equally to this work.

†[jphuang@fudan.edu.cn](mailto:jphuang@fudan.edu.cn)

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## I. INTRODUCTION

### A. Historical review

Cloaking is a recurrent motif in literature and art. On physical grounds, optical cloaking was first proposed in 2006 based on the transformation optics theory (Leonhardt, 2006; Pendry, Schurig, and Smith, 2006), whereby the space transformation is realized using an *ad hoc* spatial definition of material parameters. Contrary to natural materials and thanks to their artificial structure (Schurig *et al.*, 2006), metamaterials may exhibit unusual material parameters like a negative

refractive index (Veselago, 1968; Pendry *et al.*, 1996, 1999; Shelby, Smith, and Schultz, 2001). As a result, transformation optics and metamaterials have emerged as among the most active research topics of the past decade (Donderici and Teixeira, 2008; Kildishev and Shalaev, 2008; Chen, Chan, and Sheng, 2010; Yu *et al.*, 2011; Sun *et al.*, 2012; Xu, Fu, and Chen, 2016; Zhang and Zhang, 2018; Gao, Wang, and Yu, 2019; Fan *et al.*, 2020), with ramifications that extend well beyond physical optics (Wegener, 2013; Xu and Chen, 2021; Martinez and Maldovan, 2022), such as to acoustic waves (Liu *et al.*, 2000; Zhang, Xia, and Fang, 2011; Misseroni *et al.*, 2016; Ge *et al.*, 2018), elastic waves (Milton, Briane, and Willis, 2006; Farhat, Guenneau, and Enoch, 2009; Stenger, Wilhelm, and Wegener, 2012; Bogdan *et al.*, 2019; Liu *et al.*, 2019; Tian *et al.*, 2022), water waves (Xu *et al.*, 2015; Li, Xu *et al.*, 2018; Zou *et al.*, 2019), matter waves (Zhang *et al.*, 2008; Lin and Luan, 2009; Fleury and Alú, 2013), and thermotics (Chen, Weng, and Chen, 2008; Fan, Gao, and Huang, 2008; Maldovan, 2013b; Shen, Jiang *et al.*, 2016; Xu, Yang, and Huang, 2018; Huang, 2020; Wang, Dai, and Huang, 2020; Li, Li, Han *et al.*, 2021; Yang *et al.*, 2021; Zhang, Xu *et al.*, 2023). This effort led to the development of innovative analysis techniques such as the scattering-cancellation scheme (Cummer *et al.*, 2008; Alù and Engheta, 2009; Han, Bai, Gao *et al.*, 2014; Farhat *et al.*, 2019, 2020) and related numerical algorithms (Dede, Nomura, and Lee, 2014; Fujii, Akimoto, and Takahashi, 2018; Sha *et al.*, 2021; Z. He *et al.*, 2023).

Besides the rapid development of wave metamaterials, other interesting aspects have emerged of late. First, research on metamaterials has been expanded to a variety of diffusion systems that intrinsically differ from wave systems for both the physical mechanisms involved and their fields of application. The transformation-thermotics theory (Fan, Gao, and Huang, 2008) was proposed in 2008 as a thermal counterpart of transformation optics. Not surprisingly, after the first experimental demonstrations of thermal cloaking, concentration, and rotation in 2012 (Narayana and Sato, 2012b) thermal metamaterials became the focus of growing interest (Chen, Weng, and Chen, 2008; Maldovan, 2013a; Dai, Shang, and Huang, 2018a; Huang, 2019; Li, Li, Han *et al.*, 2021; Liang *et al.*, 2021; Tu, 2021; Yang *et al.*, 2021; Zhou and Xu, 2021; Liu, Li *et al.*, 2023; Liu, Chao *et al.*, 2023; Lou and Xia, 2023). As particle diffusion cloaking under the low-diffusivity condition was first proposed in 2013 (Guenneau and Puvirajesinghe, 2013), metamaterial design was further extended to particle diffusion systems (Guenneau *et al.*, 2015; Schittny *et al.*, 2015; Restrepo-Flórez and Maldovan, 2016; Li, Liu *et al.*, 2018; Avanzini, Falasco, and Esposito, 2020; Khodayi-Mehr and Zavlanos, 2020; Yang, Xu, and He, 2020; Yin, Li, Marchesoni, Debnath, and Ghosh, 2021). Light propagation in a material can be treated as photon diffusion by multiple scattering, so light diffusion cloaking was designed accordingly (Schittny *et al.*, 2014). Plasma diffusion (Liang, Ming, and Alshareef, 2018; Reuter, von Woedtke, and Weltmann, 2018; Tamura *et al.*, 2020; Zhou *et al.*, 2020; Li, Wang *et al.*, 2021) has been manipulated using transformation theory (Zhang and Huang, 2022), and metamaterial-based devices (metadevices) have been designed for plasma control, thus further expanding the scope of metamaterial science in diffusion systems. Second, diffusion

metamaterials possess distinctive dissipative characteristics that offer a promising platform for exploring novel physics such as non-Hermitian physics, nonreciprocal transport, and spatiotemporal modulation. This explains the growing interest for this novel class of metamaterials.

## B. Evolution of metamaterial physics

Wave metamaterials are artificial structures engineered by suitably assembling units that are smaller than their respective characteristic wavelengths. These materials do not occur naturally and offer great design and fabrication flexibility based on effective material principles arising from subcharacteristic length structure. This kind of structure sets them apart from other artificial materials, such as photonic and phononic crystals. As members of the metamaterial family, diffusion metamaterials are engineered with reference to characteristic lengths that distinguish them from other thermal artificial materials (Xu and Huang, 2023). For instance, in the case of thermal metamaterials, these can be the thermal radiation wavelength, the thermal diffusion length, or the thermal convection length. Notably the latest two thermal lengths are time dependent, which explains why many thermal metamaterials operate best in a steady state. The field of metamaterial physics has yielded numerous fundamental breakthroughs and enabled a wide range of novel applications in everyday life. As illustrated in Fig. 1, the various fundamental governing equations divide the field of metamaterial

physics into its three most developed branches, Maxwell's equations for the first branch, other wave equations for the second branch, and diffusion equations for the third branch, to form a comprehensive field overview (Kadic *et al.*, 2013; Wegener, 2013; Huang, 2020; Li, Li, Han *et al.*, 2021; Yang *et al.*, 2021; Zhang, Xu *et al.*, 2023).

*Branch 1: Electromagnetic and optical wave metamaterials.* Twenty-eight years after V. G. Veselago's initial introduction (Veselago, 1968), Pendry *et al.* (1996, 1999) made pioneering and substantial contributions to control transverse electromagnetic waves, leading to a research boom that continues to this day. In particular, they designed the first metal wire array to realize negative permittivity (Pendry *et al.*, 1996) and the first split ring structure to achieve negative permeability (Pendry *et al.*, 1999). They have since continued to lead the research progress in this branch (Pendry, Schurig, and Smith, 2006).

*Branch 2: Other wave metamaterials.* Liu *et al.* (2000) made pioneering contributions to acoustic (sonic) metamaterials in 2000, prompting new research into metamaterials with waves beyond electromagnetic and optical waves, such as acoustic waves, mechanical waves, elastic waves, seismic waves, and matter waves. In particular, they explored longitudinal acoustic waves and first revealed the local resonance mechanism for spectral gaps based on acoustic metamaterials (Liu *et al.*, 2000).

*Branch 3: Diffusion metamaterials.* In 2008, Fan, Gao, and Huang (2008) published a pioneering study on thermal conduction metamaterials. Their approach was later extended

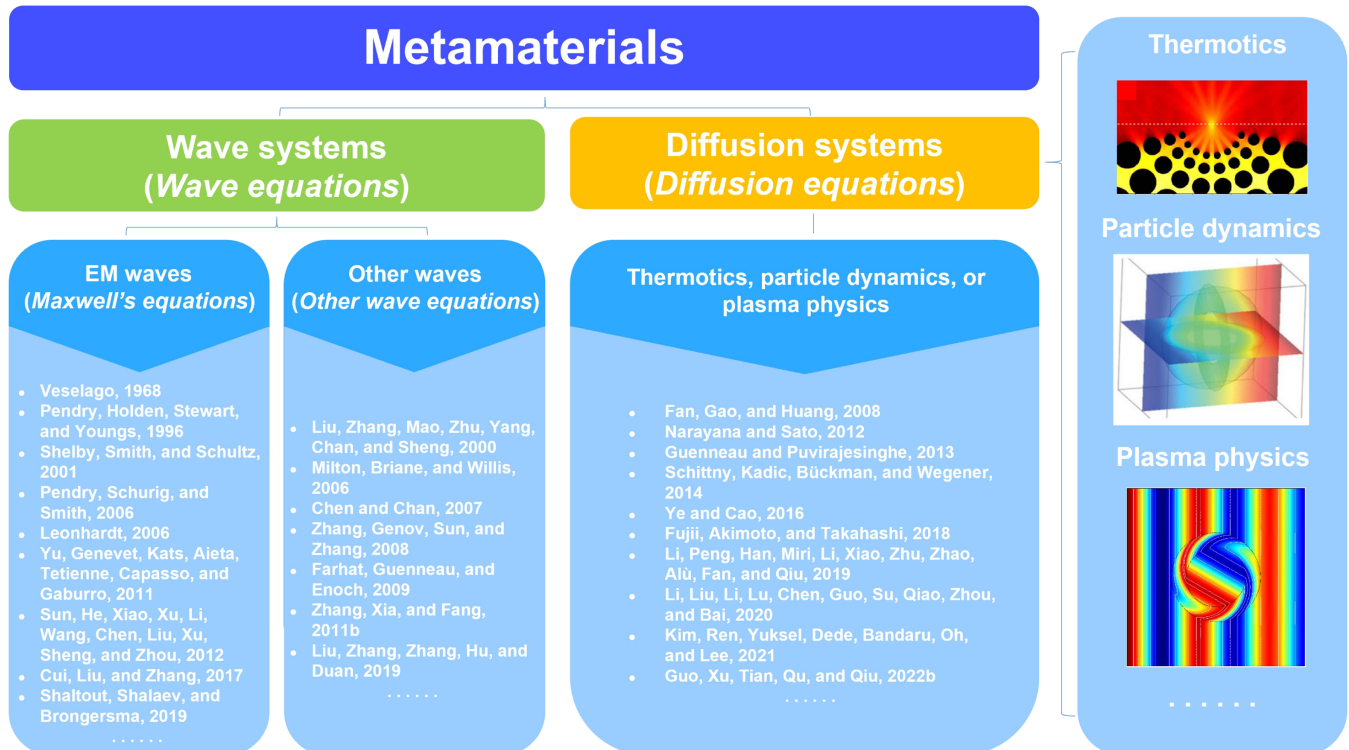


FIG. 1. Overview of diverse metamaterials, which can be divided into three main branches based on the type of governing equations: Maxwell's equations for the first branch, other wave equations for the second branch, and diffusion equations for the third branch (Kadic *et al.*, 2013; Wegener, 2013; Huang, 2020; Zhang, Tang *et al.*, 2022). Electromagnetic (EM) waves encompass optical waves. For each branch seminal references are listed. The right column illustrates applications such as heat focusing, particle cloaking, and plasma rotation. Adapted from Guenneau and Puvirajesinghe, 2013, Anufriev *et al.*, 2017, and Zhang and Huang, 2022.

to different diffusion mechanisms (Maldovan, 2013b; Yeung and Yang, 2022; Zhang, Xu *et al.*, 2023), including thermal transfer, particle dynamics, and plasma transport. In particular, they proposed transformation thermotics to control steady heat diffusion in thermal metamaterials and first predicted thermal cloaking (Fan, Gao, and Huang, 2008). Current research on these three classes of metamaterials (Veselago, 1968; Pendry *et al.*, 1996, 1999; Liu *et al.*, 2000; Pendry, Schurig, and Smith, 2006; Fan, Gao, and Huang, 2008) is leading to noteworthy accomplishments in the science, technology, and engineering of metamaterials.

### C. Application perspective

Diffusion metamaterials have already inspired interesting applications in technological fields such as thermal engineering, particle manipulation, and plasma transport. In this review, we discuss a few among the most promising applications, like heat management, energy conservation, heat camouflage, and thermal detection by heat diffusion; mass transport and separation by mass diffusion; and plasma-assisted material synthesis by plasma diffusion (Fig. 2).

Thermal camouflage, or illusion (Zhu, Shen, and Huang, 2015; Dai, Shang, and Huang, 2018a; F. B. Yang, Xu, and Huang, 2019; Liu, Song, Zhao *et al.*, 2020; Hu, Xi *et al.*, 2021; Liu, Ren, and Hu, 2021; Zhang, Huang, and Hu, 2021; Feng *et al.*, 2022; Li *et al.*, 2023; Xing *et al.*, 2023), is now a well-established effect. In addition to hiding targets from detection, thermal illusion devices can produce fake signals to mislead the observer. A cloak plus the expected objects were constructed to realize thermal camouflage (Han, Bai, Thong *et al.*, 2014). Later, a compartmentalized transformation method was proposed to achieve spatially controlled thermal illusion and realize encrypted thermal printing (Hu, Zhou *et al.*, 2018). A 3D illusion thermotics

(Peng and Hu, 2019) was also established to overcome the drawbacks of imperfect 2D illusion. Camouflage in the presence of multiple physical fields has also been investigated. A multispectral camouflage device was designed for infrared, visible, laser, and microwave bands (Zhu, Li *et al.*, 2021). Device versatility and miniaturization are the future goals of thermal camouflage.

Another primary application of metamaterials is heat management in electronics and biology. For example, with the rapid development of nanoelectronics, conventional thermal management approaches like through-silicon-via optimization and thermal pipes face many challenges. Thermal metamaterials have been designed to guide heat flow in electronic packages (Dede *et al.*, 2018; J. C. Kim *et al.*, 2021). Compared to conventional methods, thermal metamaterials can help dissipate heat at will and avoid thermal crosstalk and local hot spots. Thermal memory and computing can be implemented by defining binary states of the heat flux as digits 1 (concentrating) and 0 (cloaking), thus suggesting a new potential direction for information technology (Loke *et al.*, 2016; Hu, Huang *et al.*, 2018). Thermal encoding protocol has been proposed as an alternative to optical or electronic information encoding (Hu, Huang *et al.*, 2018). Personal thermal management is another frontier presenting excellent prospects. A Janus layered textile was designed to work as a heating (cooling) wrapping while allowing integration with additional thermoelectric modules; numerical simulation suggests that such a textile could increase (decrease) the skin temperature under sunlight by 8.1 °C (6.0 °C) (Luo *et al.*, 2021).

Heat energy harvesting and transport are long-standing topics in sustainable energy management. For instance, daytime radiative cooling (Yu *et al.*, 2019; Liu, Weng *et al.*, 2021; Fan and Li, 2022; Xia, Yin, and Fan, 2023; Yin and Fan, 2023), a passive process that requires no energy input, is being widely pursued in renewable energy research. The concept of radiative cooling was first demonstrated by constructing commercial TiO<sub>2</sub> white paint (Harrison and Walto, 1978). A more refined photonic approach was adopted to fabricate a radiative cooler (Raman *et al.*, 2014) capable of lowering the ambient air temperature by 4.9 °C. However, the multilayered structure of the membrane cooler demanded a high fabrication standard. Hybrid metamaterials were then developed for daytime radiative cooling by randomly embedding SiO<sub>2</sub> microspheres in a polymeric matrix (Zhai *et al.*, 2017), a faster and less expensive fabrication process that is more suitable for industrial production. Thermal rectification (Huang *et al.*, 2019; Wang and Gao, 2020; Li and Li, 2021; Toyin, Ge, and Gao, 2021), achieved through non-linearity (Shen, Li, Jiang, and Huang, 2016; Ordóñez-Miranda, 2020; Dai, 2021; Zhou, Xu, and Huang, 2023) or spatiotemporal modulation (Torrent, Poncelet, and Batsale, 2018; Camacho, Edwards, and Engheta, 2020; Ordóñez-Miranda *et al.*, 2021; Xing *et al.*, 2021; Xu, Huang, and Ouyang, 2021b; J. Li *et al.*, 2022; Wang, Wang, Chen *et al.*, 2022; Xu, Xu, Huang, and Qiu, 2022; Yang *et al.*, 2022; Zhao *et al.*, 2022), enables controlled heat pumping out of a heat reservoir (Li *et al.*, 2015). Black hole thermodynamics, pioneered by Brynjolfsson *et al.* (2010) and Danielsson, Lehner, and Pretorius (2021), provides a physical

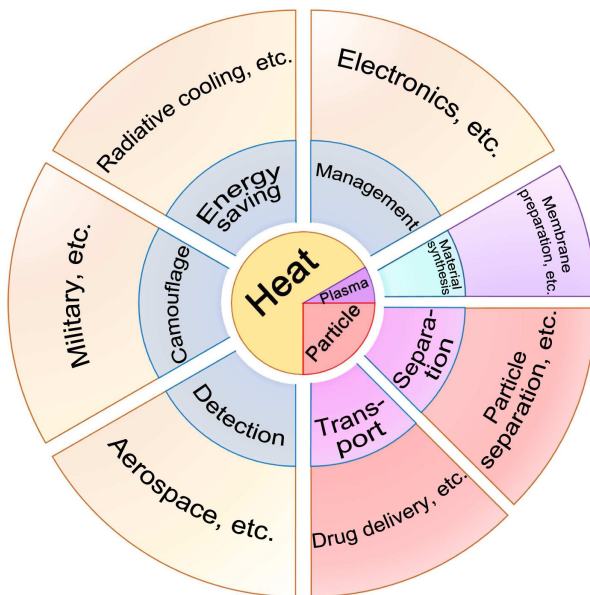


FIG. 2. Proposed applications of diffusion metamaterials. Adapted from Guenneau and Puvirajesinghe, 2013, Han, Bai, Thong *et al.*, 2014, Raman *et al.*, 2014, Dede *et al.*, 2015, Yang, Bai *et al.*, 2015, and Dou *et al.*, 2016.

understanding of the underlying transformation theory. Inspired by black holes, which can trap light within their event horizons, graded heat-conduction metadevices have been proposed as heat traps (Xu, Liu, Jin *et al.*, 2023). Besides its applications to waste heat recovery (Z. M. He *et al.*, 2019), this solution suggests an interesting link between transformation thermotics and cosmology. Finally, controlling heat transport at the nanoscales is essential in many areas of nanotechnology (Lok *et al.*, 1999; Faugeras *et al.*, 2010; Maldovan, 2013b; Goli *et al.*, 2014; Malekpour *et al.*, 2014; Halbertal *et al.*, 2016; Zheng *et al.*, 2016; Anufriev *et al.*, 2017; Luo *et al.*, 2019; Jia *et al.*, 2020; Li, Yang *et al.*, 2020; Lin, Huang, and Ren, 2020; Lu *et al.*, 2020; Dong *et al.*, 2021; Liu and He, 2021; Wang, Wang, and Ren, 2021; Yu, Xiong, and Zhang, 2021; Yu *et al.*, 2021; Zhu, Zhao *et al.*, 2021; Xiang *et al.*, 2022; Yu *et al.*, 2022; Yuan *et al.*, 2023).

Thermal detection is another promising field of application for thermal metamaterials. For example, in the aerospace industry new nondestructive testing methods are being developed that aim at detecting thermal signal distortions caused by fabrication defects and fatigue deformations. In this context, transient thermal waveguides can be designed to guide time-varying thermal signals along arbitrary paths without loss of phase information (Zhang, Xu *et al.*, 2021). Moreover, accurate temperature measurements in medical and other industrial applications are often perturbed by the measurement apparatus itself. Metamaterial technology can then be employed to realize invisible sensors capable of exploring a temperature field without altering it (Yang, Bai *et al.*, 2015; Jin *et al.*, 2020, 2021).

Applications of mass diffusion metamaterials include drug transport and particle separation. Particle diffusion cloaking was proposed to protect sensitive tissue areas, thus providing a new avenue to smart drug delivery (Guenneau and Puvirajesinghe, 2013). Since the transport properties of a metamaterial may depend on the nature of the diffusing particles, a particle separator for steady diffusion was constructed accordingly (Restrepo-Flórez and Maldovan, 2016). Recently a transient particle separator was proposed to filter out contaminated substances (Zhang, Xu, and Huang, 2022). Metamaterials can also be employed to regulate plasma transport. For example, introducing  $\text{NH}_3$  plasma can simultaneously dope and etch the surface of graphene in oxygen reduction and oxygen evolution reactions, thereby improving their efficiency (Dou *et al.*, 2016).

Overall, this review offers comprehensive coverage of the physical mechanisms and principles of diffusion metamaterials, a primer for readers interested in this fast growing field. Contrary to a recent technical report (Zhang, Xu *et al.*, 2023), we focus here more on the physics of metamaterials.

## II. BASIC THEORETICAL AND EXPERIMENTAL METHODS

In the present context the term *diffusionics* refers to the notable advances in the use of metamaterials to control energy and mass diffusion. This new discipline comprises transformation theory and its extended theories; see Fig. 3. Diffusionics is based on characteristic lengths associated with diffusion metamaterials, thus distinguishing it from

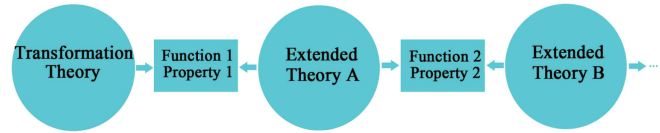


FIG. 3. The rapidly growing field of diffusionics encompasses transformation theory and its various extensions at different levels. When we take thermal diffusion as an example, we have “transformation theory for steady state” (Chen, Weng, and Chen, 2008; Fan, Gao, and Huang, 2008) to “thermal cloaking (function 1)” (Chen, Weng, and Chen, 2008; Fan, Gao, and Huang, 2008) from “scattering cancellation (extended theory A)” (Han, Bai, Gao *et al.*, 2014; Xu *et al.*, 2014) to “thermal camouflage (function 2)” (Han, Bai, Thong *et al.*, 2014) from “effective medium theory (extended theory B)” (Dai, Shang, and Huang, 2018a). Adapted from Xu and Huang, 2023.

phononics. Phononics has two primary challenges: one is the regulation of heat energy flow on the nanoscale and the other is the goal of processing information through phonons (Li *et al.*, 2012). This section provides a comprehensive overview of the pertinent theoretical models and experimental techniques for diffusionics and diffusion metamaterials.

### A. Transformation theory

General relativity predicts that in curved spaces light does not travel in straight lines. However, the principle of general relativity suggests that the equation governing light propagation is form invariant in different coordinate systems. This suggests the possibility of reproducing the optical properties of a curved space using a physical material with artificially designed optical parameters.

Transformation theory originates from transformation optics (Leonhardt, 2006; Pendry, Schurig, and Smith, 2006) and has been extended to many other physical fields, such as acoustics (Chen and Chan, 2007; Cummer and Schurig, 2007; Cummer, Christensen, and Alù, 2016), elastodynamics (Farhat, Guenneau, and Enoch, 2009; Brule *et al.*, 2014), thermotics (Chen, Weng, and Chen, 2008; Fan, Gao, and Huang, 2008), particle dynamics (Guenneau and Puvirajesinghe, 2013), and plasma physics (Zhang and Huang, 2022). This is a powerful tool to manipulate physical processes under the condition that their governing equations are form invariant under coordinate transformation. Transformation theory realizes the same effect of a space transformation by transforming the material parameters (Fig. 4).

#### 1. General mapping

A physical field (for example, a temperature field) can be described in different coordinate systems, such as  $T(x^1, x^2, x^3)$  and  $T(x^{1'}, x^{2'}, x^{3'})$ , where  $x^{u'}$  (or  $x^u$ ) are the Cartesian (or curvilinear) coordinates [Fig. 4(a)]. The curvilinear coordinates are defined by  $x^{u'} = J_u^{u'} x^u$ , where  $J_u^{u'} = \partial x^{u'} / \partial x^u$  is the relevant transformation Jacobian matrix. Transformation theory aims to reproduce in Cartesian space phenomena occurring in curvilinear space by suitably transforming the material parameters, with the key condition that

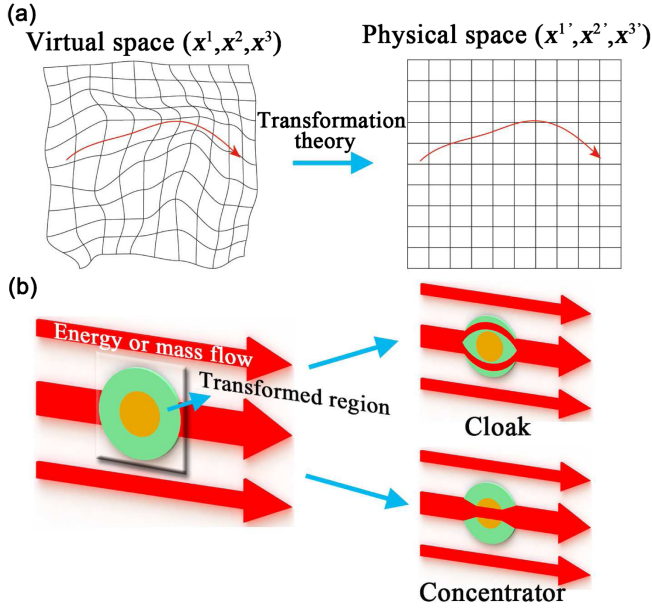


FIG. 4. Transformation principle and its applications. (a) Schematic of transformation theory. The arrows indicate diffusion flows, and the grids represent coordinate systems. (b) The annular (outer shell) areas delimit the transformed regions. A cloak shields the flow away from the circular (inner core) region; a concentrator focuses it inside.

the governing equations are form invariant upon the coordinate transformation.

Transient heat conduction in macroscopic solids is governed by

$$\rho c \frac{\partial T}{\partial t} - \nabla \cdot (\kappa \nabla T) = Q, \quad (1)$$

where  $\rho$ ,  $c$ ,  $\kappa$ , and  $Q$  are the mass density, heat capacity, thermal conductivity, and heat power density, respectively. Though the thermal conductivity of natural materials may depend on temperature, for now we treat it as a temperature-independent tensor. We start with the known temperature field  $T(x^1, x^2, x^3)$  in the Cartesian coordinates and rewrite Eq. (1) in the component form as

$$\rho c \partial_t T - \partial_{x^i} (\kappa \partial_{x^i} T) = Q, \quad (2)$$

with  $\partial_t = \partial/\partial t$  and  $\partial_{x^i} = \partial/\partial x^i$ . A space transformation is then applied to regulate the temperature field on demand [left panel of Fig. 4(a)]. Since the space transformation affects only the temperature field, the component form of the governing equation becomes

$$\rho c \partial_t T - \partial_{x^i} (\kappa \partial_{x^i} T) = Q. \quad (3)$$

The difference between Eqs. (2) and (3) is that  $x^i$  becomes  $x^i$  due to the effect of the coordinate transformation. The temperature field in Eq. (3) is described in the curvilinear coordinate system, and we need to perform a coordinate transformation to reformulate its Cartesian coordinates [right panel of Fig. 4(a)], namely,

$$\rho c \partial_t T - \frac{1}{\sqrt{g}} \partial_{x^i} (\sqrt{g} \kappa g^{ij} \partial_{x^j} T) = Q, \quad (4)$$

where  $g^{ij}$  are the components of the matrix product  $\mathbf{J}^\dagger \mathbf{J}$ , with  $\mathbf{J}^\dagger$  denoting the transpose of the Jacobian matrix  $\mathbf{J}$ . The determinant of the matrix  $g_{ij}$  is  $g$  (i.e., the inverse of  $g^{ij}$ ), so  $\sqrt{g} = \det^{-1} \mathbf{J}$ . Since a coordinate system transformation does not change the physical field, Eqs. (3) and (4) describe the same phenomenon, but in different coordinate systems. The question now is how to realize the temperature field described by Eq. (4) in the physical (Cartesian) space. The effect of  $g^{ij}$  and  $\sqrt{g}$  can be reproduced by having recourse to transformed material parameters. To this end, Eq. (4) can be rewritten as

$$\sqrt{g} \rho c \partial_t T - \partial_{x^i} (\sqrt{g} \kappa g^{ij} \partial_{x^j} T) = \sqrt{g} Q \quad (5)$$

and further simplified as

$$\frac{\rho c}{\det \mathbf{J}} \partial_t T - \partial_{x^i} \left( \frac{J^i_u \kappa J^j_u}{\det \mathbf{J}} \partial_{x^j} T \right) = \frac{Q}{\det \mathbf{J}}. \quad (6)$$

Therefore, the same temperature fields can be obtained from Eq. (1) with transformed material parameters as

$$\rho' c' = \frac{\rho c}{\det \mathbf{J}}, \quad (7a)$$

$$\kappa' = \frac{\mathbf{J} \kappa \mathbf{J}^\dagger}{\det \mathbf{J}}, \quad (7b)$$

$$Q' = \frac{Q}{\det \mathbf{J}}, \quad (7c)$$

where the prime denotes the transformed parameters. This is how heat manipulation (like thermal cloaking or concentration) can be achieved through an appropriate choice of the thermal parameters of a conducting material [Fig. 4(b)].

In practice, thermal fields often appear together with other physical fields. A device controlling a single physical field can hardly meet the increasing demands for functional diversity and adaptability. The ability to manipulate multiple physical fields on a single device has become a trend. Thermal metamaterials based on transformation thermotics can adjust a diversity of thermal functions. Using the transformation principle to combine the desired effects involving different fields can greatly improve the technological impact of metamaterials, which can be called transformation multiphysics. For example, manipulating thermal and electric fields together is essential in industry and everyday life. In the presence of temperature and potential gradients, decoupled thermal and electric fields can be effectively controlled. The governing equations of electric fields and thermal fields are similar, so both satisfy the requirement of form invariance. Therefore, appropriate tailoring the material parameters, such as the electric and thermal conductivity, can realize the simultaneous control of the thermal and electric fields (Li, Gao, and Huang, 2010). Thermal and electric fields in many metallic materials are coupled through the thermoelectric effect (He and Tritt, 2017; Qian *et al.*, 2019; Lu *et al.*,

2020; Yan *et al.*, 2021; Gao *et al.*, 2023; Ren, 2023), which is described mainly by the Seebeck coefficient. The Seebeck coefficient describes the electric potential drop due to an applied temperature difference, which indicates that the carriers transport both heat and electric charge. In this case, applying transformation thermotics to coupled thermoelectric fields requires one to consider not only heat and electric conduction but also their coupling. If the coupling equation still satisfies the requirement of form invariance under coordinate transformation, the electric, thermal, and thermoelectric parameters of the material can be tuned to gain control of coupled thermal and electric fields. The functional manipulation of the electric and thermal fields is inseparable in the presence of coupling terms. Metamaterials thus provide a universal platform to investigate the interplay of multiple physical fields coupled through the transformation principles (transformation multiphysics).

## 2. Pseudoconformal mapping

In principle, transformation theory reproduces the phenomena in an arbitrarily curved space using materials with anisotropic and inhomogeneous parameters in the physical space. Despite the unique advantages, anisotropic parameters pose a serious challenge because natural materials are usually isotropic. We refer here to thermotics as an example. Alternative schemes like scattering cancellation (Han, Bai, Gao *et al.*, 2014; Xu *et al.*, 2014; Y. Li, Zhu *et al.*, 2019) and numerical algorithms (Dede, Nomura, and Lee, 2014; Fujii, Akimoto, and Takahashi, 2018; Sha *et al.*, 2021) were proposed to fabricate thermal metamaterials, with the desired properties starting from isotropic materials. However, developing a mapping method that eliminates the anisotropy introduced by transformation theory without relying on scattering cancellation would be more important. Such a method may handle more complex heat transfer situations than scattering-cancellation technology does.

In wave systems, conformal transformation optics (Leonhardt, 2006; Xu and Chen, 2015) provides an illuminating idea for how to eliminate anisotropic parameters. Unlike the general transformation theory, the transformed parameters dictated by conformal mapping are isotropic. Various wave phenomena (Wang *et al.*, 2017; Liu, Sun *et al.*, 2020; Lu *et al.*, 2021; Chen, Horsley *et al.*, 2022) were designed and realized by isotropic metamaterials with gradient refractive indexes. When thinking of a thermal counterpart, one notices immediately that wave and diffusion systems are fundamentally different. First, the refractive index has no direct analog in thermotics. Second, the interface heat-flow matching between the thermal metamaterial designed by conformal transformation and the background material poses serious difficulties. Therefore, establishing a direct thermal analog of conformal transformation optics has proven to be challenging.

Recently the concept of diffusive pseudoconformal mapping (Dai, Yang *et al.*, 2023) was proposed to address this issue. This work develops a two-step approach to control a heat flux by means of transformed metamaterials with isotropic thermal conductivity. An example is illustrated in Figs. 5(a)–5(c), which depict the flux guide in virtual,

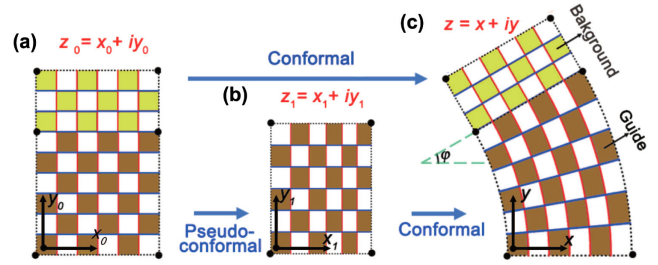


FIG. 5. Pseudoconformal mapping of a heat-flux guide. (a)–(c) Schematic diagrams of the virtual, auxiliary, and physical spaces. Adapted from Dai, Yang *et al.*, 2023.

auxiliary, and physical spaces, respectively. The grid lines are the heat-flux streamlines (constant- $x_0$  curves) and the isotherms (constant- $y_0$  curves). The upper rectangle undergoes a rotation and translation transformation to realize a heat-flux guide. This process does not change thermal conductivity. The lower rectangle is transformed into an annulus arc to bend the heat flux. A perfect heat-flux guide in the physical space should maintain the heat-flux continuity at the interface between the two regions. However, this is not usually ensured by conformal mapping  $f: Z_0 \rightarrow Z$ , which must satisfy the Cauchy-Riemann equation  $\partial f / \partial Z_0^* = (1/2)(\partial f / \partial x_0 + i \partial f / \partial y_0) = 0$ , where  $Z_0^*$  is the complex conjugate of  $Z_0$ ,  $Z_0 = x_0 + iy_0$ , and  $Z = f(Z_0) = x + iy$ . This equation restricts all curves to be conformal. Suppose that a conformal transformation is performed directly on the lower rectangle. In that case, the heat flux in the guide would be unevenly distributed, resulting in a heat-flux mismatch at the interface. A two-step pseudoconformal mapping is then implemented to solve this problem. As the first step, a nonconformal mapping is used to transform the virtual space to an auxiliary space ( $z_1 = x_1 + iy_1$ ). The thermal conductivity in the auxiliary space  $\kappa_1$  should be anisotropic. The second step consists in performing a conformal mapping to transform the auxiliary space into the physical space. The thermal conductivity obtained in the physical space should also be anisotropic, i.e.,  $\kappa(Z) = \kappa_1(z_1) = \kappa_0 \cdot \text{diag}[(\partial x_1 / \partial x_0) / (\partial y_1 / \partial y_0), (\partial y_1 / \partial y_0) / (\partial x_1 / \partial x_0)]$ , where  $\kappa_0$  is the thermal conductivity in the virtual space. The anisotropy of  $\kappa(Z)$  can be eliminated if  $\kappa_0$  is diagonally anisotropic. Only the  $y_0$ -axis component of  $\kappa_0$  contributes to heat flux, so the exact value of the  $x_0$ -axis component is irrelevant. With  $\kappa_0 = \text{diag}(0, \kappa_0)$ , thermal conductivities in all directions are isotropic, and  $\kappa(Z)$  turns out to be

$$\kappa(Z) = \kappa_0 \frac{\phi}{L} \sqrt{x^2 + y^2}, \quad (8)$$

where  $\phi$  is the azimuthal angle. The grid lines in all spaces are orthogonal because they are streamlines and isotherms. A nonconformal mapping that maintains the orthogonality of certain curves is called pseudoconformal mapping. The proposed two-step approach includes a pseudoconformal mapping and a conformal mapping, so their combination is still pseudoconformal [Figs. 5(a) and 5(c)]. The streamlines are evenly distributed, so the heat flux is matched at the

interface when flowing out of the guide. The theoretical design of the heat-flux guide was verified experimentally (Xu, Liu, Jin *et al.*, 2023).

Pseudoconformal mapping has been used to elucidate the intrinsic geometric relationship between bilayer thermal cloaks (Han, Bai, Gao *et al.*, 2014) and zero-index materials (Y. Li, Zhu *et al.*, 2019). We expect that, due to its general nature, this approach can be easily extended to other diffusion and wave systems.

## B. Extended theories

Transformation theory provides a fundamental scheme for controlling diffusion processes. However, it may require anisotropic, inhomogeneous, and singular parameters that are inconvenient to produce in practice. Therefore, many extended theories have been elaborated on to overcome these difficulties. A few are reviewed next.

### 1. Scattering-cancellation theory

Since many functions designed by transformation thermotics are based on core-shell structures (Wang *et al.*, 2018; Huang, 2020; Yang *et al.*, 2021; Guo *et al.*, 2022a; Han, Nangong, and Li, 2023), calculating the effective thermal conductivity of such structures becomes crucial. Scattering-cancellation theory is a powerful tool to calculate the effective thermal conductivity of core-shell structures, especially those designed as substitutes for inhomogeneous and/or anisotropic materials. Without loss of generality, we discuss two opposing cases: (a) a geometrically isotropic structure with anisotropic material parameters [Fig. 6(a)] and (b) a geometrically anisotropic structure with isotropic material parameters [Fig. 6(b)].

We first discuss the case presented in Fig. 6(a). Since two and three dimensions have similar forms (with only slight differences in specific coefficients), we do not discuss them separately but rather clarify their differences as appropriate. We consider a core-shell structure with inner and outer radii  $r_c$  and  $r_s$  and thermal conductivities of  $\kappa_c = \text{diag}(\kappa_{crr}, \kappa_{c\theta\theta})$  and  $\kappa_s = \text{diag}(\kappa_{srr}, \kappa_{s\theta\theta})$ , where  $\kappa_{crr}$  and  $\kappa_{srr}$  ( $\kappa_{c\theta\theta}$  and  $\kappa_{s\theta\theta}$ ) are the radial (tangential) components expressed in cylindrical coordinates,  $(r, \theta)$  in two dimensions. For 3D  $(r, \theta, \phi)$ , the thermal conductivity component in the third dimension  $\kappa_{\phi\phi}$  is

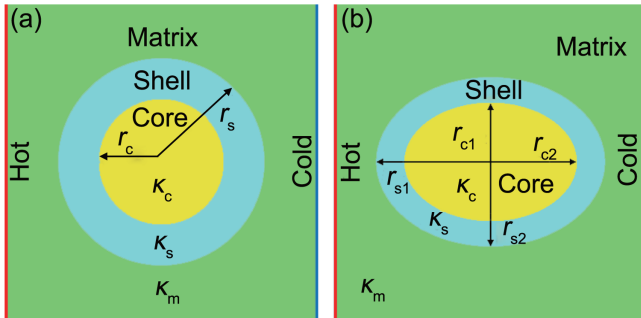


FIG. 6. Two typical core-shell structures. (a) Isotropic geometry with anisotropic thermal conductivity. (b) Anisotropic geometry with isotropic thermal conductivity. Adapted from Xu, Huang *et al.*, 2020.

supposed to be identical to  $\kappa_{\theta\theta}$  due to the spherical symmetry. In the presence of a horizontal thermal field, the general temperature solution to the heat-conduction equation can be expressed as

$$T = A_0 + (A_1 r^{u^+} + B_1 r^{u^-}) \cos \theta, \quad (9)$$

where  $A_0$  is a constant set to zero for convenience,  $A_1$  and  $B_1$  are two constants to be determined, and  $u^\pm$  are, respectively,  $\pm \sqrt{\kappa_{\theta\theta}/\kappa_{rr}}$  in two dimensions and  $-1/2 \pm \sqrt{1/4 + 2\kappa_{\theta\theta}/\kappa_{rr}}$  in three dimensions. The temperature distributions in the core  $T_c$ , the shell  $T_s$ , and the matrix  $T_m$  can be written as (Milton, 2002)

$$T_c = A_c r^{u_c^+} \cos \theta, \quad (10a)$$

$$T_s = (A_s r^{u_s^+} + B_s r^{u_s^-}) \cos \theta, \quad (10b)$$

$$T_m = (A_m r + B_m r^{u_m^-}) \cos \theta, \quad (10c)$$

where  $A_c$ ,  $A_s$ ,  $B_s$ , and  $B_m$  are four constants determined by boundary conditions and  $A_m$  is the applied temperature gradient. Because we focus mainly on a linear thermal field, logarithmic terms in Eq. (10) can be ignored. In two dimensions,  $u_c^+ = \sqrt{\kappa_{c\theta\theta}/\kappa_{crr}}$ ,  $u_s^\pm = \pm \sqrt{\kappa_{s\theta\theta}/\kappa_{srr}}$ , and  $u_m^- = -1$ ; in three dimensions,  $u_c^+ = -1/2 + \sqrt{1/4 + 2\kappa_{c\theta\theta}/\kappa_{crr}}$ ,  $u_s^\pm = -1/2 \pm \sqrt{1/4 + 2\kappa_{s\theta\theta}/\kappa_{srr}}$ , and  $u_m^- = -2$ .

The boundary conditions require the temperature and normal heat flux to be continuous, that is,

$$T_c(r = r_c) = T_s(r = r_c), \quad (11a)$$

$$T_m(r = r_s) = T_s(r = r_s), \quad (11b)$$

$$-\kappa_{crr} \frac{\partial T_c}{\partial r}(r = r_c) = -\kappa_{srr} \frac{\partial T_s}{\partial r}(r = r_c), \quad (11c)$$

$$-\kappa_m \frac{\partial T_m}{\partial r}(r = r_s) = -\kappa_{srr} \frac{\partial T_s}{\partial r}(r = r_s), \quad (11d)$$

where  $\kappa_m$  is the thermal conductivity of the matrix. Substituting Eq. (10) into Eq. (11) yields

$$A_c r_c^{u_c^+} = A_s r_c^{u_s^+} + B_s r_c^{u_s^-}, \quad (12a)$$

$$A_m r_s + B_m r_s^{u_m^-} = A_s r_s^{u_s^+} + B_s r_s^{u_s^-}, \quad (12b)$$

$$-\kappa_{crr} u_c^+ A_c r_c^{u_c^+ - 1} = -\kappa_{srr} (u_s^+ A_s r_c^{u_s^+ - 1} + u_s^- B_s r_c^{u_s^- - 1}), \quad (12c)$$

$$-\kappa_m (A_m + u_m^- B_m r_s^{u_m^- - 1}) = -\kappa_{srr} (u_s^+ A_s r_s^{u_s^+ - 1} + u_s^- B_s r_s^{u_s^- - 1}). \quad (12d)$$



Solving Eqs. (12), we can derive the analytical expressions of  $A_c$ ,  $A_s$ ,  $B_s$ , and  $B_m$ . Scattering cancellation means that the effect of the core-shell structure vanishes, namely,  $B_m = 0$ . Therefore, by imposing  $B_m = 0$ , we can derive the thermal conductivity requirement as

$$\begin{aligned} \kappa_e &= \kappa_m \\ &= \kappa_{srr} \frac{u_s^+(u_c^+ \kappa_{crr} - u_s^- \kappa_{srr}) - u_s^-(u_c^+ \kappa_{crr} - u_s^+ \kappa_{srr})(r_c/r_s)^{u_s^+ - u_s^-}}{u_c^+ \kappa_{crr} - u_s^- \kappa_{srr} - (u_c^+ \kappa_{crr} - u_s^+ \kappa_{srr})(r_c/r_s)^{u_s^+ - u_s^-}}, \end{aligned} \quad (13)$$

where  $\kappa_e$  is the effective thermal conductivity of the core-shell structure. This calculation method is not limited to one shell. For  $n$  shells, we can derive the effective thermal conductivity with  $2n + 2$  boundary conditions as in Eq. (11), where  $n + 1$  equations describe the temperature continuity and the other  $n + 1$  equations indicate the normal heat-flux continuity. An alternative method consists in recursively calculating the effective thermal conductivity shell by shell. For example, we can use Eq. (13) to calculate the effective thermal conductivity of the core plus the first shell  $\kappa_{e1}$ . The core plus the first shell is then treated as a new core with thermal conductivity  $\kappa_{e1}$ . We then use Eq. (13) to calculate the effective thermal conductivity of the new core plus the second shell  $\kappa_{e2}$ , etc., for all  $n$  shells.

We address now scattering cancellation in the structure of Fig. 6(b). Again we do not discuss the 2D and 3D cases separately, because they lead to similar conclusions. We consider a confocal core-shell structure with isotropic inner and outer thermal conductivities  $\kappa_c$  and  $\kappa_s$ , respectively. The semiaxis of the core (shell) is  $r_{ci}$  ( $r_{si}$ ) along the  $x_i$  axis, where  $i = 1, 2$  in two dimensions and  $i = 1, 2, 3$  in three dimensions. The conversion between the Cartesian coordinates  $x_i$  and the elliptic (or ellipsoidal) coordinates  $\rho_j$  is given by

$$\sum_i \frac{x_i^2}{\rho_j + r_{ci}^2} = 1, \quad (14)$$

with  $j = 1, 2$  in two dimensions and  $j = 1, 2, 3$  in three dimensions. Accordingly,  $\rho_1$ , with  $\rho_1 > -r_{ci}^2$ , denotes an elliptic curve (ellipsoidal surface). For example,  $\rho_1 = \rho_c = 0$  and  $\rho_1 = \rho_s$  represent the inner and outer curves (surfaces) of the shell. We also apply an external thermal field along the  $x_i$  axis. Therefore, the temperature fields of the core  $T_{ci}$ , the shell  $T_{si}$ , and the matrix  $T_{mi}$  are (Milton, 2002)

$$T_{ci} = A_{ci} x_i, \quad (15a)$$

$$T_{si} = [A_{si} + B_{si} \phi_i(\rho_1)] x_i, \quad (15b)$$

$$T_{mi} = [A_{mi} + B_{mi} \phi_i(\rho_1)] x_i, \quad (15c)$$

where  $A_{ci}$ ,  $A_{si}$ ,  $B_{si}$ , and  $B_{mi}$  are four constants determined by boundary conditions,  $A_{mi}$  is the applied temperature gradient, and  $\phi_i(\rho_1) = \int_{\rho_c}^{\rho_1} [(\rho_1 + r_{ci}^2)g(\rho_1)]^{-1} d\rho_1$ , with  $g(\rho_1) = \prod_i (\rho_1 + r_{ci}^2)^{1/2}$ .

The boundary conditions expressing the temperature and normal heat-flux continuity are

$$T_{ci}(\rho_1 = \rho_c) = T_{si}(\rho_1 = \rho_c), \quad (16a)$$

$$T_{mi}(\rho_1 = \rho_s) = T_{si}(\rho_1 = \rho_s), \quad (16b)$$

$$-\kappa_c \frac{\partial T_{ci}}{\partial \rho_1}(\rho_1 = \rho_c) = -\kappa_s \frac{\partial T_{si}}{\partial \rho_1}(\rho_1 = \rho_c), \quad (16c)$$

$$-\kappa_m \frac{\partial T_{mi}}{\partial \rho_1}(\rho_1 = \rho_s) = -\kappa_s \frac{\partial T_{si}}{\partial \rho_1}(\rho_1 = \rho_s), \quad (16d)$$

where  $\kappa_m$  is the thermal conductivity of the matrix. Two mathematical identities help one to handle Eq. (16), i.e.,

$$\frac{\partial x_i}{\partial \rho_1} = \frac{x_i}{2(\rho_1 + r_{ci}^2)}, \quad (17a)$$

$$\begin{aligned} \frac{\partial}{\partial \rho_1} [\phi_i(\rho_1) x_i] &= \frac{x_i}{2(\rho_1 + r_{ci}^2)} \phi_i(\rho_1) + \frac{x_i}{(\rho_1 + r_{ci}^2)g(\rho_1)} \\ &= \frac{x_i}{2(\rho_1 + r_{ci}^2)} \left( \phi_i(\rho_1) + \frac{2}{g(\rho_1)} \right). \end{aligned} \quad (17b)$$

Based on Eq. (17), Eq. (16) can be rewritten as

$$A_{ci} = A_{si} + B_{si} \phi_i(\rho_c), \quad (18a)$$

$$A_{mi} + B_{mi} \phi_i(\rho_s) = A_{si} + B_{si} \phi_i(\rho_s), \quad (18b)$$

$$-\kappa_c A_{ci} = -\kappa_s \left( A_{si} + B_{si} \phi_i(\rho_c) + \frac{2B_{si}}{g(\rho_c)} \right), \quad (18c)$$

$$\begin{aligned} &-\kappa_m \left( A_{mi} + B_{mi} \phi_i(\rho_s) + \frac{2B_{mi}}{g(\rho_s)} \right) \\ &= -\kappa_s \left( A_{si} + B_{si} \phi_i(\rho_s) + \frac{2B_{si}}{g(\rho_s)} \right). \end{aligned} \quad (18d)$$

At this point we introduce the shape factors of the core ( $L_{ci}$ ) and the shell ( $L_{si}$ ) along the  $x_i$  axis,

$$L_{ci} = \frac{g(\rho_c)}{2} \int_{\rho_c}^{\infty} \frac{d\rho_1}{(\rho_1 + r_{ci}^2)g(\rho_1)}, \quad (19a)$$

$$L_{si} = \frac{g(\rho_s)}{2} \int_{\rho_s}^{\infty} \frac{d\rho_1}{(\rho_1 + r_{ci}^2)g(\rho_1)}, \quad (19b)$$

with  $g(\rho_c) = \prod_i r_{ci}$  and  $g(\rho_s) = \prod_i r_{si}$ , subject to the conditions  $\sum_i L_{ci} = \sum_i L_{si} = 1$ . In two dimensions, the shape factors can be further reduced to  $L_{c1} = r_{c2}/(r_{c1} + r_{c2})$ ,  $L_{c2} = r_{c1}/(r_{c1} + r_{c2})$ ,

$L_{s1} = r_{s2}/(r_{s1} + r_{s2})$ , and  $L_{s2} = r_{s1}/(r_{s1} + r_{s2})$ . According to Eqs. (19),  $\phi_i(\rho_c)$  and  $\phi_i(\rho_s)$  can be reformulated as

$$\phi_i(\rho_c) = \int_{\rho_c}^{\rho_c} \frac{d\rho_1}{(\rho_1 + r_{ci}^2)g(\rho_1)} = 0, \quad (20a)$$

$$\phi_i(\rho_s) = \left( \int_{\rho_c}^{\infty} - \int_{\rho_s}^{\infty} \right) \frac{d\rho_1}{(\rho_1 + r_{ci}^2)g(\rho_1)} = \frac{2L_{ci}}{g(\rho_c)} - \frac{2L_{si}}{g(\rho_s)}. \quad (20b)$$

We can now solve Eq. (16) to determine  $A_{ci}$ ,  $A_{si}$ ,  $B_{si}$ , and  $B_{mi}$ . Since scattering cancellation requires the core-shell structure not to influence the matrix thermal field, we impose  $B_{mi} = 0$ . Solving for  $B_{mi} = 0$  yields the thermal conductivity requirement

$$\kappa_e = \kappa_m = \kappa_s \frac{L_{ci}\kappa_c + (1-L_{ci})\kappa_s + (1-L_{si})(\kappa_c - \kappa_s)f}{L_{ci}\kappa_c + (1-L_{ci})\kappa_s - L_{si}(\kappa_c - \kappa_s)f}, \quad (21)$$

where  $\kappa_e$  is the effective thermal conductivity of the core-shell structure and  $f = g(\rho_c)/g(\rho_s) = \prod_i r_{ci}/r_{si}$  denotes the area (volume) fraction in two dimensions (three dimensions). This method can easily be extended to calculate the effective thermal conductivity of a core-shell structure with  $n$  shells, as we did for the geometrically isotropic case.

## 2. Effective medium theory

The effective medium theory is another common approach to handle with the complex parameters derived from transformation thermotics. Metamaterials with unconventional thermal conductivities can be designed by combining fundamental units made of isotropic and homogeneous materials. We now introduce typical examples of layered structures of this class, both symmetric and asymmetric.

We first introduce layered structures, which are often employed to study anisotropy effects [Fig. 7(a)]. We consider layers of two homogeneous materials with thermal conductivities  $\kappa_a$  and  $\kappa_b$  and widths  $w_a$  and  $w_b$  stacked alternately, respectively. More layers lead to more homogeneous parameters. The effective thermal conductivity perpendicular ( $\kappa_s$ ) and parallel ( $\kappa_p$ ) to the layers are

$$\kappa_s = \frac{w_a + w_b}{w_a/\kappa_a + w_b/\kappa_b}, \quad (22a)$$

$$\kappa_p = \frac{w_a\kappa_a + w_b\kappa_b}{w_a + w_b}, \quad (22b)$$

corresponding to the thermal conductivity tensor  $\kappa$ ,

$$\kappa = \begin{bmatrix} \kappa_s & 0 \\ 0 & \kappa_p \end{bmatrix}. \quad (23)$$

If the layered structure is rotated counterclockwise by an angle  $\theta_0$  [ $\theta_0 = \pi/4$  in Fig. 7(b)], the corresponding Jacobian transformation matrix can be written as

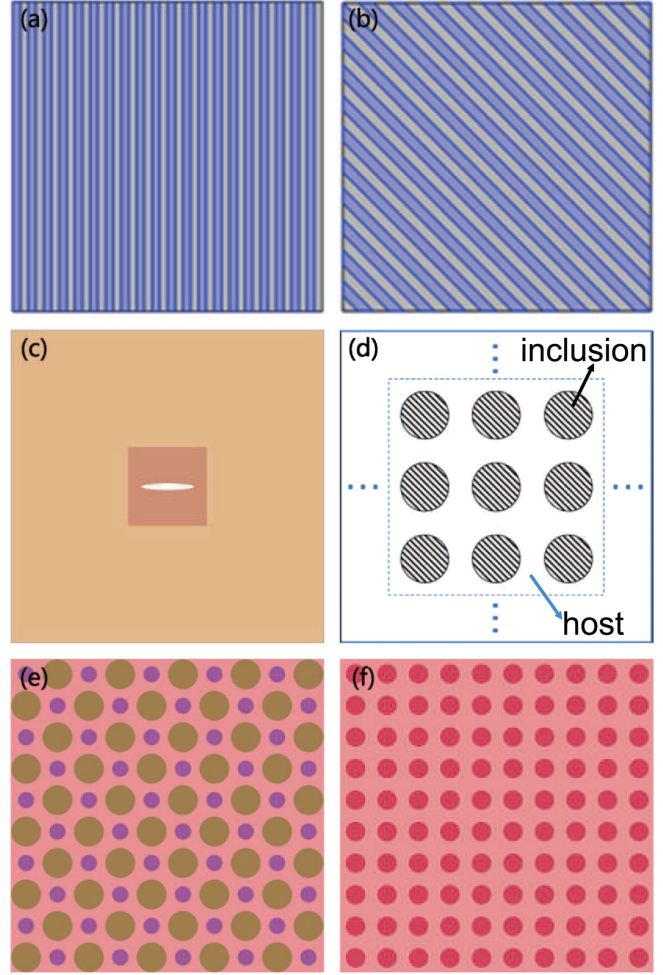


FIG. 7. Three typical structures. (a),(b) Layered structures. (c), (d) Asymmetric structures with single and periodic particle arrangements embedded in a matrix. (e),(f) Symmetric structures featuring particle interaction. Adapted from Vemuri and Bandaru, 2013, Yang *et al.*, 2017, Xu, Yang, and Huang, 2019d, Xu, Yang, and Huang, 2019e, and Dai and Huang, 2020.

$$\mathbf{J} = \begin{bmatrix} \cos \theta_0 & -\sin \theta_0 \\ \sin \theta_0 & \cos \theta_0 \end{bmatrix} \quad (24)$$

such that the transformed thermal conductivity tensor  $\kappa'$  reads

$$\kappa' = \frac{\mathbf{J}\kappa\mathbf{J}^\dagger}{\det\mathbf{J}} = \begin{bmatrix} \kappa_s \cos^2 \theta_0 + \kappa_p \sin^2 \theta_0 & (\kappa_s - \kappa_p) \sin \theta_0 \cos \theta_0 \\ (\kappa_s - \kappa_p) \sin \theta_0 \cos \theta_0 & \kappa_s \sin^2 \theta_0 + \kappa_p \cos^2 \theta_0 \end{bmatrix}. \quad (25)$$

Layered structures can thus be assembled to realize artificial materials with the desired anisotropic thermal conductivity (Vemuri and Bandaru, 2013, 2014; Vemuri, Canbazoglu, and Bandaru, 2014; Yang, Vemuri, and Bandaru, 2014; X. Zhou *et al.*, 2023).

Thermal conductivity in natural materials varies locally, so its spatial landscape is hard to control. To this end, mixing two materials is an effective technique to obtain the desired thermal conductivity. The basic structure is presented in Fig. 7(c). An elliptical (or ellipsoidal) particle with thermal

conductivity  $\kappa_p$  is embedded in a square matrix with thermal conductivity  $\kappa_m$ , thus forming a single particle structure that is typically asymmetric. The entire structure is placed into another, larger matrix with thermal conductivity  $\kappa_e$ , i.e., the effective thermal conductivity of the particle (with thermal conductivity  $\kappa_p$ ) plus the matrix (with thermal conductivity  $\kappa_m$ ). Upon applying a thermal field along the  $x_i$  axis, the thermal field inside the particle becomes

$$T_p = \frac{-G_0 \kappa_m}{\kappa_p L_p + \kappa_m (1 - L_p)} r \cos \theta + T_0, \quad (26)$$

where  $-G_0$  is the temperature gradient,  $L_p$  is the shape factor of the particle along the  $x_i$  direction, and  $T_0$  is a constant. The average heat flux in the particle  $\langle J_p \rangle$  can then be expressed as

$$\langle J_p \rangle = -\kappa_p \langle G_p \rangle = \kappa_p \frac{G_0 \kappa_m}{\kappa_p L_p + \kappa_m (1 - L_p)}, \quad (27)$$

where  $G_p$  is the temperature gradient in the particle. Here and in the following  $\langle \dots \rangle$  denotes a spatial average. Similarly, the average heat flux in the matrix  $\langle J_m \rangle$  can be written as

$$\langle J_m \rangle = -\kappa_m \langle G_m \rangle = \kappa_m G_0, \quad (28)$$

where  $G_m$  is the temperature gradient in the matrix. We assume the particle to be small enough to approximate  $G_m \approx -G_0$ . The effective thermal conductivity of the single particle structure can then be calculated as

$$\kappa_e = -\frac{\langle J \rangle}{\langle G \rangle} = -\frac{f_p \langle J_p \rangle + f_m \langle J_m \rangle}{f_p \langle G_p \rangle + f_m \langle G_m \rangle}, \quad (29)$$

where  $J$  and  $G$  are the heat flux and temperature gradient through the resulting composite particle structure and  $f_p = 1 - f_m$  is the area (volume) fraction in two dimensions (three dimensions). Based on Eqs. (27) and (28), Eq. (29) reduces to

$$\kappa_e = \frac{f_p \varepsilon_p \kappa_p + f_m \kappa_m}{f_p \varepsilon_p + f_m}, \quad (30)$$

with  $\varepsilon_p$  can be calculated as

$$\varepsilon_p = \frac{\langle G_p \rangle}{\langle G_m \rangle} = \frac{\kappa_m}{\kappa_p L_p + \kappa_m (1 - L_p)}. \quad (31)$$

Since the particle and the matrix are not equivalent, this theory applies to asymmetric structures; it is also known as the Maxwell Garnett theory (Huang and Yu, 2006). The previous analysis, which is valid for a single particle structure, can also be extended to periodic particle structures [Fig. 7(d)]. Nevertheless, we assume that the area/volume fraction of the particle is small enough for the effective thermal conductivity to be derived, so the theory is approximate. A more accurate treatment would be based on the Rayleigh method (Dai and Huang, 2020).

Symmetric structures are also used in practice. For example, in Fig. 7(e) two types of particles are embedded in a uniform

matrix to form a symmetric structure. Calculating their effective thermal conductivity is our next task [Fig. 7(f)].

The total effective thermal conductivity for the pattern of Fig. 7(e) can be calculated by generalizing Eq. (30), that is,

$$\kappa_e = \frac{f_a \varepsilon_a \kappa_a + f_b \varepsilon_b \kappa_b + f_m \kappa_m}{f_a \varepsilon_a + f_b \varepsilon_b + f_m}, \quad (32)$$

where the subscripts  $a$  and  $b$  denote two types of particles. Similarly, the total effective thermal conductivity for the pattern of Fig. 7(f) is

$$\kappa_e = \frac{f_c \varepsilon_c \kappa_c + f_m \kappa_m}{f_c \varepsilon_c + f_m}, \quad (33)$$

where the subscript  $c$  denotes the particles in Fig. 7(f). Since the effective thermal conductivities of the particles in Figs. 7(e) and 7(f) are the same, for the total effective thermal conductivities of the two patterns to be equal, one must equate the right-hand sides of Eqs. (32) and (33),

$$\frac{f_a \varepsilon_a \kappa_a + f_b \varepsilon_b \kappa_b + f_m \kappa_m}{f_a \varepsilon_a + f_b \varepsilon_b + f_m} = \frac{f_c \varepsilon_c \kappa_c + f_m \kappa_m}{f_c \varepsilon_c + f_m}. \quad (34)$$

Hence,

$$f_a \varepsilon_a (\kappa_m - \kappa_a) + f_b \varepsilon_b (\kappa_m - \kappa_b) = f_c \varepsilon_c (\kappa_m - \kappa_c). \quad (35)$$

Each term in Eq. (35) can be effectively treated as representing a thermal dipole associated with each particle species (Xu and Huang, 2019a). Under the condition of Eq. (35), the embedded particles of Figs. 7(e) and 7(f) produce equivalent dipole effects. Moreover, in reference to the structure of Fig. 7(e), when  $\kappa_c = \kappa_m$  the inserted particles do not influence the matrix temperature field, which amounts to thermal transparency (Xu, Yang, and Huang, 2019e). This method for calculating the effective thermal conductivity of symmetric structures, also known as the Bruggeman theory (Huang and Yu, 2006), can be extended to regular patterns of  $n$  particles species.

### 3. Extreme anisotropy theory

Transformation thermotics provides a powerful method to manipulate heat flux. However, with the rapid shift toward intelligent metamaterials, the limitations of traditional transformation-thermotics-based metamaterials become apparent. Such metamaterials can work only in a fixed background with a regular shape. If the background changes or the shape is irregular, transformation-thermotics-based metamaterials are often of little practical use. A new class of extremely anisotropic metamaterials raised the interest of researchers in different fields of physics, such as electromagnetism (Zhang and Zhang, 2018; Zhang, Luo *et al.*, 2019; Huang *et al.*, 2021), acoustics (Wu *et al.*, 2019; Fakhari, Abdolali, and Sedeh, 2020), thermotics (Sun, Liu *et al.*, 2019; Xu, Yang, and Huang, 2019c; Sedeh *et al.*, 2020; Yang, Tian *et al.*, 2020; Chen, Sun *et al.*, 2022; Dai, Sun *et al.*, 2023), and mass diffusion (Zhang, Yang, and Huang, 2023). These metamaterials are expected to alleviate the limitations of the earlier

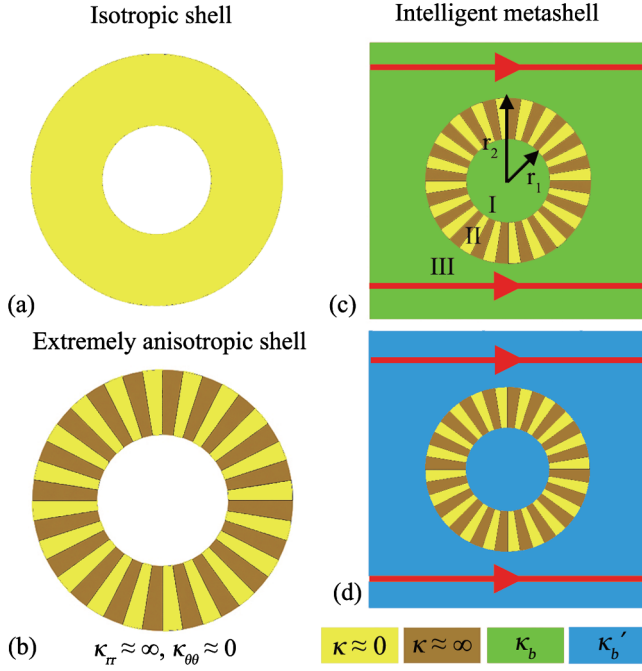


FIG. 8. Schematic illustration of an extremely anisotropic shell. (a) Isotropic shell with near-zero thermal conductivity. (b) Extremely anisotropic shell with thermal conductivity  $\text{diag}(\infty, 0)$ . (c),(d) Intelligent metashells operating in different backgrounds. Arrows indicate the heat fluxes. The background thermal conductivities of (c) and (d) are  $\kappa_b$  and  $\kappa'_b$ , respectively. Adapted from Yang, Tian *et al.*, 2020.

metamaterials. In thermostics, for example, extreme anisotropy means almost infinite thermal conductivity in one direction and zero in the other one(s). Note that this effect can be obtained using two isotropic materials arranged alternately in a multilayered structure [Figs. 8(a) and 8(b)].

By embedding materials with almost infinite thermal conductivity into one with zero thermal conductivity, one can further realize an extremely anisotropic metashell with thermal conductivity  $\kappa = \text{diag}(\infty, 0)$  [Fig. 8(b)]. Such a shell is intelligent in two dimensions since it can adaptively respond to a changing background. In such a setup, stationary heat-conduction processes are governed by the Fourier law, which in cylindrical coordinates reads

$$\frac{1}{r} \frac{\partial}{\partial r} \left( r \kappa_{rr} \frac{\partial T}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial \theta} \left( \frac{\kappa_{\theta\theta}}{r} \frac{\partial T}{\partial \theta} \right) = 0, \quad (36)$$

where the thermal conductivity of the metashell is  $\kappa_s = \text{diag}(\kappa_{rr}, \kappa_{\theta\theta}) = \text{diag}(\infty, 0)$ . The entire system is divided into two parts, including the background materials (regions I and III) and the metashell (region II); see Fig. 8(c). The general solution to Eq. (36) can be expressed as

$$T = A_0 + B_0 \ln r + \sum_{i=1}^{\infty} [A_i \cos(i\theta) + B_i \sin(i\theta)] r^{in} + \sum_{j=1}^{\infty} [C_j \cos(j\theta) + D_j \sin(j\theta)] r^{-jn}, \quad (37)$$

where  $n = \sqrt{\kappa_{\theta\theta}/\kappa_{rr}}$ . The constants  $A_0, B_0, A_i, B_i, C_k,$  and  $D_k$  are determined by appropriate boundary conditions. The temperature and the heat flux are continuous at the boundaries, and the temperature distribution outside the metashell must be unperturbed, that is,

$$T_1(r \rightarrow 0) \text{ is finite}, \quad (38a)$$

$$T_1(r = r_1) = T_s(r = r_1), \quad (38b)$$

$$T_s(r = r_2) = T_3(r = r_2), \quad (38c)$$

$$-\kappa_b \frac{\partial T_1}{\partial r}(r = r_1) = -\kappa_r \frac{\partial T_s}{\partial r}(r = r_1), \quad (38d)$$

$$-\kappa_r \frac{\partial T_s}{\partial r}(r = r_2) = -\kappa_b \frac{\partial T_3}{\partial r}(r = r_2), \quad (38e)$$

$$T_3(r \rightarrow \infty) = -\nabla T r \cos \theta, \quad (38f)$$

where  $T_1, T_3,$  and  $T_s$  are the temperature fields of region I, region III, and the shell, respectively;  $r_1$  and  $r_2$  are the inner and outer radii of the shell;  $\kappa_b$  is the thermal conductivity of the background; and  $-\nabla T$  is a uniform thermal gradient. Substituting Eq. (37) into Eqs. (38) yields the effective thermal conductivity  $\kappa_e$  of regions I and II combined,

$$\kappa_e = n \kappa_r \frac{(1 + f^n) \kappa_b + (1 - f^n) n \kappa_r}{(1 - f^n) \kappa_b + (1 + f^n) n \kappa_r}, \quad (39)$$

with  $f = (r_1/r_2)^2$ . Owing to  $\kappa_{rr} \approx \infty$  and  $\kappa_{\theta\theta} \approx 0$ , Eq. (39) can be summarized as

$$\kappa_e \approx \kappa_b. \quad (40)$$

This result implies that the extremely anisotropic metashell adapts itself to the thermal landscape without perturbing it [Figs. 8(c) and 8(d)]. Although the background thermal conductivity changes ( $\kappa_b \rightarrow \kappa'_b$ ), the heat fluxes denoted by red arrows in region III remain unaltered. In this regard, the metashell is termed intelligent or chameleonlike (Xu, Yang, and Huang, 2019c; Yang, Tian *et al.*, 2020). Owing to its thermal conductivity  $\text{diag}(\infty, 0)$ , the metashell considered here usually works as a thermal concentrator. Materials satisfying this parametric form are also called transformation-invariant materials, in analogy with certain wave metamaterials (Zhang, López *et al.*, 2019). Such metamaterials preserve their materials parameters under arbitrary coordinate transformation, which means a transformed metashell is still intelligent. We now consider an arbitrary coordinate transformation  $r' = F(r, \theta)$  and  $\theta' = \Theta(r, \theta)$ , where  $r$  and  $\theta$  are the coordinates in the virtual space and  $r'$  and  $\theta'$  are the coordinates in the physical space and the transformed thermal conductivity is  $\kappa' = \mathbf{J} \kappa_0 \mathbf{J}^\dagger / \det \mathbf{J}$ , with  $\mathbf{J} = \partial(r', \theta') / \partial(r, \theta)$  denoting the relevant Jacobian matrix. A detailed expression of the transformed thermal conductivity is

$$\kappa' = \frac{1}{\det \mathbf{J}} \begin{bmatrix} \kappa_{11} & \kappa_{12} \\ \kappa_{21} & \kappa_{22} \end{bmatrix}, \quad (41)$$

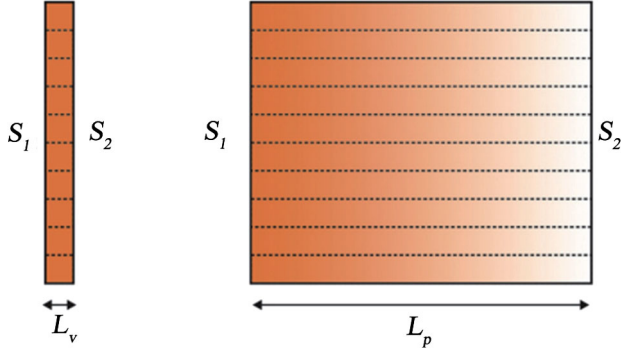


FIG. 9. Schematic diagram of a thermal null medium. Adapted from Sedeh *et al.*, 2020.

with

$$\kappa_{11} = \kappa_{rr} \left( \frac{\partial r'}{\partial r} \right)^2 + \kappa_{\theta\theta} \left( \frac{\partial r'}{r \partial \theta} \right)^2, \quad (42a)$$

$$\kappa_{12} = \kappa_{21} = \kappa_{rr} \left( \frac{\partial r'}{\partial r} \right) \left( \frac{r' \partial \theta'}{\partial r} \right) + \kappa_{\theta\theta} \left( \frac{\partial r'}{r \partial \theta} \right) \left( \frac{r' \partial \theta'}{r \partial \theta} \right), \quad (42b)$$

$$\kappa_{22} = \kappa_{rr} \left( \frac{r' \partial \theta'}{\partial r} \right)^2 + \kappa_{\theta\theta} \left( \frac{r' \partial \theta'}{r \partial \theta} \right)^2, \quad (42c)$$

where  $\kappa_0$  is the thermal conductivity tensor of the transformation-invariant metamaterial. Imposing  $\kappa_0 = \text{diag}(\kappa_{rr}, \kappa_{\theta\theta}) = \text{diag}(\infty, 0)$ , we obtain the eigenvalues of Eq. (41),

$$\lambda_1 = \frac{\kappa_{rr}}{\det \mathbf{J}} \left[ \left( \frac{\partial r'}{\partial r} \right)^2 + \left( \frac{r' \partial \theta'}{\partial r} \right)^2 \right] \approx \infty, \quad (43a)$$

$$\lambda_2 = \frac{\kappa_{\theta\theta}}{\det \mathbf{J}} \approx 0. \quad (43b)$$

Metamaterials with extreme anisotropy do not change their parameters under an arbitrary coordinate transformation. Accordingly, intelligent metadevices with other thermal functions can be realized with transformation-invariant metamaterials, not limited to thermal concentrators, such as thermal rotators (Yang, Tian *et al.*, 2020).

Extremely anisotropic parameters can also be obtained by coordinate transformation (Fig. 9). A 2D slab of near-zero width  $L_v$  in the virtual space is mapped to a slab of finite width  $L_p$  in the physical space. The corresponding coordinate transformation is

$$x' = x \quad (x < 0), \quad (44a)$$

$$x' = \frac{L_p}{L_v} x \quad (0 < x < L_v), \quad (44b)$$

$$x' = x - L_v + L_p \quad (x > L_v). \quad (44c)$$

According to the transformation rules  $\kappa' = \mathbf{J} \kappa \mathbf{J}^\dagger / \det \mathbf{J}$ , where  $\mathbf{J} = \partial(x', y') / \partial(x, y)$ , the transformed thermal conductivity is  $\kappa' = \kappa \cdot \text{diag}(L_p/L_v, L_v/L_p)$ . Since  $L_v \approx 0$ ,  $\kappa'$  is changed to

$\kappa \cdot \text{diag}(\infty, 0)$ . An extremely anisotropic metamaterial obtained with this method is also called a null medium (Sun, Liu *et al.*, 2019; Fakhari, Abdolali, and Sedeh, 2020; Sedeh *et al.*, 2020; Chen, Sun *et al.*, 2022).

Although null media have the same parameters as transformation-invariant metamaterials, this transforming method helps one design arbitrarily shaped metamaterials. We take thermotics as an example and consider the concentrating transformation in the cylindrical coordinate system. Three arbitrarily shaped contours  $R_2(\theta)$ ,  $R_c(\theta)$ , and  $R_1(\theta)$  divide the concentrator into three regions. A larger region  $r < R_c(\theta)$  is squeezed into a smaller one  $r' < R_1(\theta)$  to enhance the heat flux, and the region  $R_c(\theta) < r < R_2(\theta)$  is stretched over the region  $R_1(\theta) < r' < R_2(\theta)$ . The heat fluxes previously located in  $r < R_c(\theta)$  are now compressed in  $r' < R_1(\theta)$ . The transformation is written as

$$r' = \frac{R_1(\theta)}{R_c(\theta)} r \quad [r' < R_1(\theta)], \quad (45a)$$

$$r' = \frac{[R_2(\theta) - R_1(\theta)]r}{R_2(\theta) - R_c(\theta)} + \frac{[R_1(\theta) - R_c(\theta)]R_2(\theta)}{R_2(\theta) - R_c(\theta)} \quad [R_1(\theta) < r' < R_2(\theta)], \quad (45b)$$

$$\theta' = \theta. \quad (45c)$$

Three contours are supposed to have the same shape factor  $R(\theta)$ , that is,  $R_2(\theta) = r_2 R(\theta)$ ,  $R_c(\theta) = r_c R(\theta)$ , and  $R_1(\theta) = r_1 R(\theta)$ , where  $R(\theta)$  is an arbitrary continuous function with a period of  $2\pi$  and  $r_2, r_c$ , and  $r_1$  are three constants that satisfy the condition of  $r_1 < r_c < r_2$ . By combining Eqs. (45) and the transformation rules, the transformed thermal conductivity in the region  $R_1(\theta) < r' < R_2(\theta)$  can be expressed as

$$\kappa' = \kappa_b \begin{bmatrix} \kappa_{rr} & \kappa_{r\theta} \\ \kappa_{\theta r} & \kappa_{\theta\theta} \end{bmatrix}, \quad (46)$$

with components

$$\kappa_{rr} = \frac{(r_2 - r_c)r' + r_2(r_c - r_1)R(\theta)}{(r_2 - r_c)r'} + \frac{r_2^2(r_c - r_1)^2 [dR(\theta)/d\theta]^2}{[(r_2 - r_c)^2 r' + r_2(r_c - r_1)(r_2 - r_c)R(\theta)]r'}, \quad (47a)$$

$$\kappa_{r\theta} = \kappa_{\theta r} = \frac{r_2(r_1 - r_c)dR(\theta)/d\theta}{(r_2 - r_c)r' + r_2(r_c - r_1)R(\theta)}, \quad (47b)$$

$$\kappa_{\theta\theta} = \frac{(r_2 - r_c)r'}{(r_2 - r_c)r' + r_2(r_c - r_1)R(\theta)}. \quad (47c)$$

A null medium requires  $r_c \approx r_2$ , so Eqs. (47) can be simplified as

$$\kappa_{rr} = \frac{1}{\Delta}, \quad (48a)$$

$$\kappa_{r\theta} = \kappa_{\theta r} = \frac{dR(\theta)/d\theta}{R(\theta)}, \quad (48b)$$

$$\kappa_{\theta\theta} = \Delta, \quad (48c)$$

where  $\Delta \approx 0$  and  $[dR(\theta)/d\theta]/R(\theta)$  is a finite quantity. The passive and stable heat-conduction process is governed by the Fourier law  $\nabla \cdot (-\kappa \nabla T) = 0$ . When Eqs. (46) and (48) are substituted into the Fourier law, the resulting Laplace equation, expressed in cylindrical coordinates, reads

$$\frac{1}{r} \frac{\partial T}{\partial r} + \frac{\partial^2 T}{\partial r^2} + \Delta \frac{2}{r} \kappa_{r\theta} \frac{\partial^2 T}{\partial r \partial \theta} + \Delta \frac{1}{r} \frac{\partial \kappa_{\theta r}}{\partial \theta} \frac{\partial T}{\partial r} + \Delta^2 \frac{1}{r^2} \frac{\partial^2 T}{\partial \theta^2} = 0. \quad (49)$$

Note that  $\kappa_{r\theta}$  has little effect on heat transfer due to the near-zero value of  $\Delta$ . The exact value of the off-diagonal components is not essential. Therefore, the extremely anisotropic parameter  $\text{diag}(\infty, 0)$  is obtained by setting the off-diagonal components to zero. With such material parameters one can realize an arbitrarily shaped thermal concentrator (Sedeh *et al.*, 2020). In conclusion, thermal null media share the same parameters as transformation-invariant metamaterials. Metashells with such extremely anisotropic parameters do help overcome the limitations of traditional transformation-thermotics metamaterials in that they work well in a changing background with an irregular shape. Moreover, owing to the robustness of their transformation invariance, metashells with extreme anisotropy can be designed to perform a wide range of thermal functions.

#### 4. Numerical optimization theory

Transformation theory (Leonhardt, 2006; Pendry, Schurig, and Smith, 2006; Chen, Weng, and Chen, 2008; Fan, Gao, and Huang, 2008) links the physical space with a parametric space. The physical field can be controlled on demand by suitably tuning the material properties. However, as mentioned, the material parameter patterns obtained via transformation theory usually have nonuniformity and anisotropy characteristics (Narayana and Sato, 2012b; Yu *et al.*, 2014) that are difficult to find in natural bulk materials. With the effective medium theory, inhomogeneity and anisotropy are obtained by taking advantage of the anisotropy associated with the material geometries. However, when the governing equation of a system fails to satisfy form invariance under coordinate transformation, transformation theory becomes inapplicable. In this case, one may have recourse to scattering-cancellation theory (He and Wu, 2013; Han, Bai, Gao *et al.*, 2014; Ma *et al.*, 2014; Xu *et al.*, 2014). With that technique natural bulk materials can be utilized to realize, for instance, a bilayer cloak that maintains a uniform core temperature without perturbing the temperature field of the background. The rationale of this method consists in solving the stationary heat-conduction equation by implementing the continuity of normal heat flow and temperature at the junction of different materials. Owing to the geometric symmetry of the boundary conditions (Yang, Bai *et al.*, 2015), the solution to the heat-conduction equation can be significantly simplified. However, if the geometry of the material is not symmetric, the boundary conditions are also not symmetric, and an analytical solution to the governing equation may no longer exist. Recently the emergence of optimization methods (Popa and Cummer, 2009; Xu *et al.*, 2013; Dede, Nomura, and Lee, 2014; Dede *et al.*, 2015; Peralta and Fachinotti, 2017; Guo

*et al.*, 2022b; Luo *et al.*, 2022; Wang, Zhu *et al.*, 2022) has made up for the limitations of transformation theory (inhomogeneous and anisotropic) and scattering-cancellation theory (single component, simple shape). The optimization method helps one to select the size and shape of the natural bulk materials best suited to reproduce the thermal properties determined via transformation theory. At the same time, when scattering-cancellation theory does not admit an analytical solution, the optimization method can be used to define an optimal device target function and numerically determine the relevant material parameters. Many distinct optimization methods are being employed to design diffusion metamaterials, including particle-swarm optimization (Kennedy and Eberhart, 1995; Poli, Kennedy, and Blackwell, 2007; Alekseev, Levin, and Tereshko, 2017a, 2017b; Alekseev, 2018; Alekseev and Tereshko, 2019; Jin *et al.*, 2021), topology optimization (Svanberg, 1987; Bendsoe and Kikuchi, 1988; Cakoni and Kovtunencko, 2018; Fujii, Akimoto, and Takahashi, 2018; Fujii and Akimoto, 2019a, 2019b, 2020; Sha *et al.*, 2020, 2021; Zhu, Ren *et al.*, 2021; Fujii, 2022; Hirasawa *et al.*, 2022; Luo *et al.*, 2022; Sha, Hu *et al.*, 2022; Sha, Xiao *et al.*, 2022), and machine learning (Lin *et al.*, 2018; Peurifoy *et al.*, 2018; Shaltout, Shalaev, and Brongersma, 2019; Hu, Iwamoto *et al.*, 2020; Hu, Song *et al.*, 2020; Kudyshev *et al.*, 2020; Luo *et al.*, 2020; Ouyang *et al.*, 2020; Qian *et al.*, 2020; Ouyang *et al.*, 2021; Boehnlein *et al.*, 2022; Ji, Chen *et al.*, 2022; Ji, Qi *et al.*, 2022; Lu *et al.*, 2022; Jin *et al.*, 2024). To comprehend the importance of optimization techniques when creating diffusion metamaterials, we present a range of illustrative studies.

(i) Particle-swarm optimization (PSO) methods originated from the work of Kennedy (a social psychologist) and Eberhart (an electrical engineer), who considered computational intelligence in analogy with social interactions (Kennedy and Eberhart, 1995). As illustrated in Fig. 10(a), the procedure begins with the initialization of the positions of each individual in accessible solution space, where each position represents a potential solution to the optimization problem with a corresponding fitness function quantifying the optimization effect. The fitness functions of the current position of each individual are then calculated, and the individual- and group-extremum points are identified. Here individual- and group-extremum points are the positions with the minimum fitness functions that individuals and the group, respectively, have experienced up to the current time. The positions of each individual are then updated based on the shared information of the swarm (individual- and group-extremum points). The minimum fitness functions of each individual are iteratively evaluated. Finally, if the selected fitness function meets the predefined termination condition, the optimal solution is output. If not, the loop will continue. The PSO can be used as an inverse solver and is suitable to address problems where other classical methods yield untenable solutions. Recently it was used as an effective tool for the inverse design of diffusion metamaterials (Alekseev, Levin, and Tereshko, 2017a, 2017b; Alekseev, 2018; Alekseev and Tereshko, 2019; Jin *et al.*, 2021). The geometric or material parameters can be inversely designed when the demanded metamaterial properties are known. For instance, Alekseev, Levin, and Tereshko (2017a, 2017b), Alekseev (2018), and

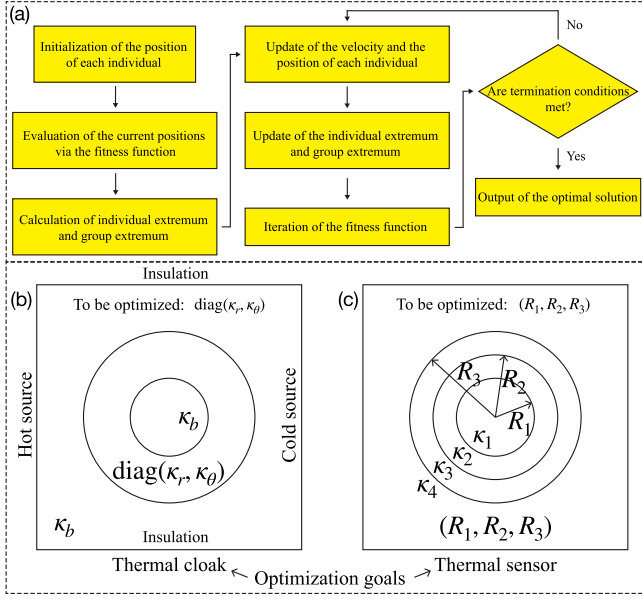


FIG. 10. PSO thermal metamaterials. (a) Algorithm flowchart. (b) Schematic diagram of a PSO-based thermal cloak. (c) Schematic diagram of a PSO-based thermal sensor. The boundary conditions in (b) and (c) are the same.

Alekseev and Tereshko (2019) optimized in this way the material properties of different shells to be used in a thermal cloaking device; see Fig. 10(b). However, by forward solving the stationary heat-conduction equation, one can also find the analytical solution for the shell material properties. In this regard, the advantages of the PSO method have yet not been fully exploited. In 2021, Jin *et al.* (2021) applied the PSO method to design a bilayer thermal sensor from natural bulk materials [Fig. 10(c)], a problem that is difficult to tackle by means of analytical tools. In a previous attempt (Jin *et al.*, 2020), a thermal sensor based on an anisotropic monolayer structure required extreme parameters that were almost impossible to engineer. In the more recent work, Jin *et al.* (2021) chose natural bulk materials as components of a bilayer thermal sensor and optimized the three radii  $R_1$ ,  $R_2$ , and  $R_3$ , thereby defining the geometry of Fig. 10(c). Starting with a forward approach, they considered the Laplace equation for the stationary heat conduction. However, they ended up with multiple unknowns nonlinearly coupled with each other: the forward analytical method was of no help for calculating any radius. They noticed that the radii  $R_1$ ,  $R_2$ , and  $R_3$  are expectedly the main factors affecting their performance. To set up an inverse problem in the PSO framework, Jin *et al.* (2021) defined two optimization functions for the accuracy and nondisturbance of their device,

$$\Psi_s = \frac{1}{N_s} \sum_{i=1}^{N_s} |T(i) - T_{\text{ref}}(i)|, \quad (50)$$

$$\Psi_b = \frac{1}{N_b} \sum_{i=1}^{N_b} |T(i) - T_{\text{ref}}(i)|, \quad (51)$$

where  $i$ ,  $T$ ,  $T_{\text{ref}}$ ,  $N_s$ , and  $N_b$  are, respectively, the node index, the temperature data in the bilayer thermal sensor, the

temperature data in the pure background, and the number of nodes in the detected and background regions after discretization. The fitness function is the sum of Eqs. (50) and (51),

$$\Psi = \Psi_s + \Psi_b. \quad (52)$$

As a starting step of the algorithm flowchart of Fig. 10(a), Jin *et al.* (2021) initialized  $N$  individual  $\mathbf{R}_j^0$  with  $j = 1, 2, \dots, N$  in the solution domain  $\mathbf{K}$ ,

$$\mathbf{K} = \{\mathbf{R} = (R_1, R_2, R_3) : R_{\min} \leq R_i < R_j \leq R_{\max}, i < j; i, j \in \{1, 2, 3\}\}. \quad (53)$$

The process of iterating particles' velocity and position over time is characterized as

$$\mathbf{V}_j^{i+1} = w\mathbf{V}_j^i + c_1 d_1 (\mathbf{P}_j^i - \mathbf{R}_j^i) + c_2 d_2 (\mathbf{P}_g - \mathbf{R}_j^i), \quad (54)$$

$$\mathbf{R}_j^{i+1} = \mathbf{R}_j^i + \mathbf{V}_j^{i+1}, \quad (55)$$

where  $i$  is the iteration number,  $j$  is the particle label,  $\mathbf{P}_j^i$  is the individual extremum point of the  $j$ th particle at the  $i$ th iteration, and  $\mathbf{P}_g$  is the group-extremum point. Furthermore,  $w$  is the inertia weight, which is commonly chosen as a decreasing function;  $c_1$  and  $c_2$  represent empirical constants; and  $d_1$  and  $d_2$  are random numbers between 0 and 1. After an appropriate number of iterations, the minimum fitness function is finally met; hence, the optimal values of three radii are designed. The resulting bilayer thermal sensors have excellent performance. This work demonstrates the advantage of the PSO method in the design of thermal metamaterials when analytical approaches are not viable (Zhang, Li *et al.*, 2022).

(ii) Researchers have used a more flexible algorithm named topology optimization (Svanberg, 1987; Bendsoe and Kikuchi, 1988; Cakoni and Kovtunencko, 2018) to design thermal metamaterials. Thermal metamaterials, or metadevices, can achieve high thermal performance only through an optimized distribution of components made of natural bulk materials. This new approach led to much excellent work. For instance, Fujii, Akimoto, and Takahashi (2018), Fujii and Akimoto (2019a, 2019b, 2020), Fujii (2022), and Hirasawa *et al.* (2022) optimized the layout of two bulk materials [copper and polydimethylsiloxane (PDMS)] in the shell-like metamaterial region and designed a series of powerful thermal metadevices, including classical thermal cloaks (Fujii and Akimoto, 2019b), multifunctional thermal metadevices (Fujii and Akimoto, 2020), and multiphysical thermal concentrators (Fujii, 2022). Multifunctional thermal metadevices designed using topology optimization demonstrate that these optimization problems have two objective functions. For example, the thermal cloak concentrator works as a thermal concentrator in the core region (objective function 1) without perturbing the background temperature distributions (objective function 2). Sometimes devices need to work in a complex multiphysical environment. This motivated Fujii (2022) to improve their cloak-concentrator device to simultaneously operate in the presence of both thermal and electric fields.

Two objective functions are defined for cloaking temperature and voltage in the background, and two more are defined for the heat flux and directed electric current in the core region. At the end of the optimization process, an optimized structure made of copper and PDMS is obtained. However, none of the aforementioned metadevices have ever been experimentally realized. In 2022, [Hirasawa \*et al.\* \(2022\)](#) fabricated a topology-optimized metamaterial made of copper, steel, and PDMS that resorted to a precision cutting machine. Topology optimization is also an effective tool for designing transformation-theory-based thermal metamaterials. As mentioned, such metamaterials usually require inhomogeneous and anisotropic material parameters, which are not found in nature. Topology optimization may help overcome this difficulty. [Sha \*et al.\* \(2021\)](#) proposed a new concept of topological functional cells, thus making the realization of inhomogeneous and anisotropic materials possible. Their approach consisted of three steps. First, the thermal conductivity distribution in the metamaterial region was calculated based on transformation theory. Second, the functional cells were topologically optimized to achieve the desired thermal conductivity tensor (topological functional cells). Third, such cells were assembled to engineer a new thermal metamaterial with the desired inhomogeneous and anisotropic conductivity (Fig. 11). The optimization algorithm for a single topological functional cell is formulated as

$$\begin{aligned} \min C &= \frac{1}{|V|} \sum_{e=1}^N \rho_e, \\ \text{such that } \mathbf{K}(\rho_e) \mathbf{T} &= \mathbf{Q}, \\ G &= f((\kappa_{lm}^{\text{Output}} - \kappa_{lm}^{\text{Input}})^2) = 0, \\ 0 \leq \rho_e \leq 1, \quad e &= 1, 2, \dots, N, \\ \kappa_{lm}^{\text{Input}} &= \begin{pmatrix} \kappa_{11}^{\text{Input}} & \kappa_{12}^{\text{Input}} \\ \kappa_{21}^{\text{Input}} & \kappa_{22}^{\text{Input}} \end{pmatrix} (l, m = 1, 2), \\ \kappa_{lm}^{\text{Output}} &= \begin{pmatrix} \kappa_{11}^{\text{Output}} & \kappa_{12}^{\text{Output}} \\ \kappa_{21}^{\text{Output}} & \kappa_{22}^{\text{Output}} \end{pmatrix} (l, m = 1, 2), \end{aligned} \quad (56)$$

where  $\rho_e$  represents a continuous design variable that ranges from  $\rho_e = 0$  (material 1) to  $\rho_e = 1$  (material 2),  $N$  is the amount of  $\rho_e$ , and  $|V|$  is the volume of the entire topological functional cell. In addition,  $\mathbf{K}(\rho_e)$ ,  $\mathbf{T}$ , and  $\mathbf{Q}$  are the global

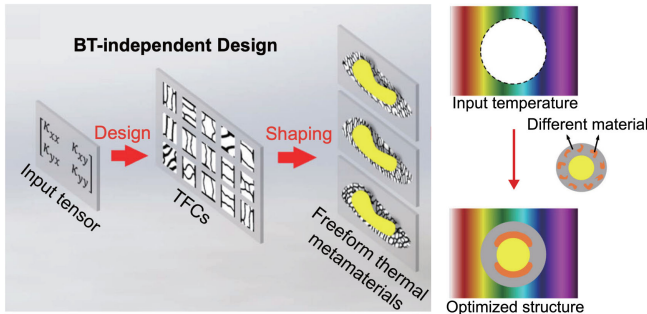


FIG. 11. Topology-optimized thermal metamaterials. Adapted from [Sha \*et al.\*, 2021](#).

heat-conduction, temperature, and heat load matrices, respectively, while  $\kappa_{lm}^{\text{Input}}$  and  $\kappa_{lm}^{\text{Output}}$  are the target and optimized thermal conductivity tensors, respectively, and  $f$  is a function to weigh the difference between  $\kappa_{lm}^{\text{Output}}$  and  $\kappa_{lm}^{\text{Input}}$ . The distribution of  $\rho_e$  is optimized using the classical method of moving asymptotes. Recently the attainable range of anisotropic thermal conductivity has been significantly expanded by topology-optimized functional cell design ([Sha, Hu \*et al.\*, 2022](#)). Topology optimization makes diffusion metamaterial design more affordable.

(iii) Thermal metamaterials are rapidly developing distinctive features like multiphysics, multifunctions, and intelligence ([Hu, Iwamoto \*et al.\*, 2020](#); [Hu, Song \*et al.\*, 2020](#); [Qian \*et al.\*, 2020](#); [Jin \*et al.\*, 2024](#)). Therefore, more sophisticated design tools are needed than are offered by traditional analytical theories, including transformation theory. In particular, when the design variables are too abundant or are not directly related to the metamaterial performance, getting an analytical form for the material parameter solution becomes increasingly difficult. Machine-learning algorithms may offer an alternative approach. A new class of intelligent materials combining artificial intelligence algorithms with material design has received significant attention from researchers in optics ([Lin \*et al.\*, 2018](#); [Shaltout, Shalaev, and Brongersma, 2019](#); [Qian \*et al.\*, 2020](#)), nanotechnology ([Peurifoy \*et al.\*, 2018](#)), and acoustics ([Luo \*et al.\*, 2020](#)). However, compared to wave systems, diffusion physics lacks controllable degrees of freedom, which apparently limits the applications of this new technology to the diffusion metamaterials. We again take the thermal counterpart as an example. Existing work has been confined thus far to the inverse design of geometry or material parameters. [Ji, Qi \*et al.\* \(2022\)](#) optimized a four-layer thermal cloak by inverse design through a machine-learning algorithm (Fig. 12). They considered the thermal conductivities  $k_1, k_2, k_3$ , and  $k_4$  of four isotropic materials (four middle layers) as the input of an artificial neural network and defined the outputs as two objective functions meant to quantify the performance of a thermal cloak. One objective function evaluates the uniformity of the temperature in the cloaked region,

$$\Delta T = |T_{x=r_1} - T_{x=-r_1}|, \quad (57)$$

while the other function is to characterize the thermal neutrality in the background region

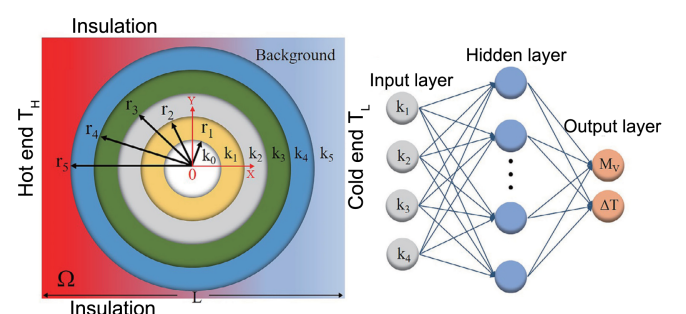


FIG. 12. Framework of a machine-learning-based thermal cloak. Adapted from [Ji, Qi \*et al.\*, 2022](#).



$$M_V = \frac{\int_{\Omega} |T(x, y, z) - T_r(x, y, z)| d\Omega}{\int_{\Omega} d\Omega}, \quad (58)$$

where  $\Omega$  is the domain  $r > r_5$  and  $T_r$  is the temperature distribution of a uniform medium. After training an artificial neural network via 10 000 design samples, an inverse mapping between output  $\{\Delta T, M_V\}$  and input  $(k_1, k_2, k_3, k_4)$  was established. Finally, the thermal conductivities of four isotropic materials were calculated for optimal cloaking performance, and a sample composed of copper and PDMS was designed based on the effective medium theory. The resulting device guaranteed uniform temperature distributions inside the cloaked region without perturbing the background thermal field. However, such a machine-learning-based thermal cloak is still a static device lacking dynamic adaptability. Subsequently, again with the aid of artificial intelligence and more advanced hardware, a new thermal metamaterial was designed with parameters autonomously adapting to a variable environment (Jin *et al.*, 2024). A micro infrared camera is used to monitor the temperature of a bilayer structure. Via a computing system with a well-trained artificial neural network, the monitored temperature information is associated with the spinning angular velocity of the core region (through a stepper motor) dynamically adjusting the thermal conductivity of the core region (Xu, Dong *et al.*, 2020). In particular, the input and the output are connected with four hidden layers as follows:

$$\begin{aligned} \mathbf{H}^{(i+1)} &= \text{ReLU}(\mathbf{W}^{(i)}\mathbf{T}^{(i)} + \mathbf{b}^{(i+1)}), & i = 0, \\ \mathbf{H}^{(i+1)} &= \text{ReLU}(\mathbf{W}^{(i)}\mathbf{H}^{(i)} + \mathbf{b}^{(i+1)}), & 0 < i < 4, \\ \omega_1 &= \text{ReLU}(\mathbf{W}^{(i)}\mathbf{H}^{(i)} + \mathbf{b}^{(i+1)}), & i = 4, \end{aligned} \quad (59)$$

where  $\mathbf{H}^{(i)}$  are the activations of the current layer,  $\text{ReLU}(a) = \max(0, a)$  represents the rectified linear unit function, and  $\mathbf{W}^{(i)}$  and  $\mathbf{b}^{(i)}$  are the weights and biases for neurons in the  $i$ th layer. This design links the ambient temperature information with its thermal functions through a machine-learning intelligent device with sensing-feedback performance. Self-adaptive metamaterials represent one of the most promising advances in the field. In view of the existing intelligent metamaterials in wave systems, the machine-learning algorithm has injected new inspiration and vitality into metamaterial design by enabling metamaterials to have the ability to think and make decisions like humans. Taking the development of the optical cloak as an example, a traditional optical cloak can have stealth effects only within a specific frequency range of the transverse wave band. Once the background light field undergoes dynamic changes, the originally designed cloak will no longer work. Only recently did Qian *et al.* (2020) propose the concept of a self-adaptive optical cloak in microwave bands enabled by deep learning. Experimental results show that such a cloak works with a robust invisible performance against the ever-changing ambient light with no human intervention. Significantly, the inclusion of the machine-learning algorithm in metamaterials enhanced the ability and flexibility to control light fields. Looking back on recent advances in machine-learning-based metamaterials in longitudinal wave

systems, such as those in acoustic systems, Luo *et al.* (2020) developed a probability-density-based deep-learning algorithm for capturing all plausible metastructures for the desired transmission performance. Here the machine-learning algorithm also facilitates automatic solutions for inverse structural or parametric design of functional metamaterials in wave systems. By contrast, we do not have to consider spectral characteristics when designing machine-learning-assisted metamaterials in diffusion systems. In particular, it is difficult to design a full-band intelligent optical stealth cloak, while a thermal cloak can work well under different ambient temperatures. Only one aspect has to be considered when one designs intelligent thermal materials, namely, their self-adaptation to different environmental components, an aspect recently explored for deep-learning-based self-enhanced thermal materials (Jin *et al.*, 2024).

## 5. Topological states and non-Hermitian physics

Another interesting topic is the topological properties of thermal diffusion. The classification of different phases of matter has been a long-standing issue in condensed matter physics. Before the 1980s, it was widely believed that the Landau paradigm based on spontaneous symmetry breaking could explain all phase transitions. However, the discovery of the quantum Hall effect (von Klitzing, Dorda, and Pepper, 1980; von Klitzing, 2005) challenged this perspective because the quantum Hall effect does not break any symmetries. Later, the quantum Hall transition was reinterpreted as a topological phase transition and the Hall conductance as topological invariant (Thouless *et al.*, 1982). The last 20 years witnessed the flourishing of topological physics, and plenty of topological phases of matter have been theoretically predicted and experimentally discovered (Hasan and Kane, 2010; Qi and Zhang, 2011; Bansil, Lin, and Das, 2016; Chiu *et al.*, 2016), first for gapped systems. A quantum spin Hall insulator with time-reversal symmetry exhibits a robust helical edge state (Kane and Mele, 2005a, 2005b), as observed in HgTe/CdTe quantum well structures (Bernevig, Hughes, and Zhang, 2006; König *et al.*, 2007). A quantum anomalous Hall insulator requiring no external magnetic fields was initially proposed in a honeycomb model (Haldane, 1988) and then experimentally realized in thin films of chromium-doped (Bi, Sb)<sub>2</sub>Te<sub>3</sub> (Yu *et al.*, 2010; Chang *et al.*, 2013). Other phases occur in gapless systems. Weyl semimetal hosts quasiparticles satisfying the Weyl equation in high-energy physics (Wan *et al.*, 2011; Armitage, Mele, and Vishwanath, 2018). The surface states of Weyl semimetals consist of topologically protected Fermi arcs, which contributes to many exotic transport properties.

Outside condensed matter physics, topological effects have been quickly incorporated into the phenomenology of classical wave systems, which opened up new areas of research. The most typical and significant example is topological photonics (Lu, Joannopoulos, and Soljačić, 2014; Ozawa *et al.*, 2019; Price *et al.*, 2022). Based on photonic crystals, Haldane and Raghu (2008) and Raghu and Haldane (2008) proposed that electromagnetic waves in spatially periodic structures can exhibit nontrivial topological properties. The basic principle is the mapping between the Maxwell equations in electrodynamics and the Schrödinger equation in

quantum mechanics. Topological phases that have not been produced in condensed matter physics could be produced in photonic systems due to their great tunability. For example, a 3D Chern insulator characterized by the Chern vector was demonstrated in a gyromagnetic photonic crystal without a condensed matter counterpart (G.-G. Liu *et al.*, 2022).

Another example of the combination between topological physics and artificial structures is topological acoustics (Yang, Gao *et al.*, 2015; Xue, Yang, and Zhang, 2022). Early studies on topological acoustics mostly replicated fundamental topological phases from condensed matter physics. However, in recent years the rapid development of topological acoustics has surpassed the advances of condensed matter physics. This field investigates now novel exotic topological phases of matter, well beyond those obtained in solids. For example, almost simultaneously with the theoretical proposal, fragile topology has been experimentally characterized in an acoustic metamaterial (Peri *et al.*, 2020). The encounter of topological physics with classical metamaterials turned out to be beneficial for both fields.

Another physical framework that we discuss here in the context of metamaterials is the so-called non-Hermitian physics. In quantum mechanics, a basic postulate is that the Hamiltonian describing a system must be Hermitian to ensure observability, so its eigenvalue is a real number. However, most physical systems in nature are not found to be isolated and will inevitably couple with the surrounding environment. Although many approaches have been developed to characterize these systems in Hermitian physics, the effective non-Hermitian Hamiltonian provides a simpler and more intuitive method to understand the dynamics of open systems (Ching *et al.*, 1998; Ashida, Gong, and Ueda, 2020; Bergholtz, Budich, and Kunst, 2021; Fruchart *et al.*, 2021; Weidemann *et al.*, 2022). Therefore, the study of non-Hermitian physics has been a frontier in recent decades.

### C. Experimental methods

Metamaterial fabrication means achieving inhomogeneous, anisotropic, and other extreme material parameters rarely found in natural materials. One way to overcome this challenge is suggested by the previously introduced effective medium theories. The first experimental demonstration of thermal metamaterials used layered structures to realize anisotropic material parameters (Narayana and Sato, 2012b). Experimental research was later extended to transient regimes by designing the effective parameters of mass density and heat capacity (Schittny *et al.*, 2013). As an example, we use the isotropic materials  $B$  and  $C$  to construct an alternate layered structure  $A$ . Its parameters in the perpendicular direction  $A_{\perp}$  and the parallel direction  $A_{\parallel}$  are different, i.e.,  $A_{\perp} = 1/(f_B/A_B + f_C/A_C)$  and  $A_{\parallel} = f_B A_B + f_C A_C$ , where  $f_B$  and  $f_C = 1 - f_B$  are their area/volume fractions. One can choose air as material  $C$  to achieve extreme anisotropy with only one natural material. Here interfacial resistance may slightly affect these parameters and reduce the effective anisotropy (Li, Gao, and Huang, 2010; Zheng and Li, 2020), but this effect can be easily compensated for by fine-tuning the fractions  $f_B$  and  $f_C$ .

The Maxwell Garnett and Bruggeman theories are commonly used to model artificial isotropic but inhomogeneous parameters. Maxwell Garnett theory is often invoked when dealing with systems where unconnected particles are embedded in an isotropic matrix. For example, by punching unconnected holes (with holes as particles made of air) periodically into a plate, a continuous parameter can be achieved by grading the area fraction of the holes. The maximum particle fraction is less than 1 in Maxwell Garnett theory. As with Bruggeman theory, we cannot distinguish the overlapping particles and the matrix. The particle fraction can vary from 0 to 1, and the material parameters are symmetric functions of the component fractions. Moreover, the embedded particle can be elliptical and ordered oriented to achieve anisotropy, as confirmed by a thermal conduction experiment (Tian *et al.*, 2021). The effective medium theory has been applied to many distinct problems, such as heat transfer and mass diffusion, with notable cross-fertilization advantages.

The most common and effective way to detect the temperature field in thermal systems is through infrared thermal imaging. In most experiments conducted near room temperature, the heat loss due to thermal radiation from the surface of a sample is much less than thermal conduction and convection, making infrared thermal imaging a commonly interference-free method. However, infrared thermal imaging can be cheated to get effective higher readings (Wang, Fang *et al.*, 2020; Zhang, Li, Xu, and Huang, 2023). Indeed, a cavity unit has an effective high emissivity similar to that of an ideal blackbody and allows infrared patterns to be resolved at a uniform temperature. The properties of thermal metamaterials are often determined experimentally by infrared imaging.

Thermal cloaking has been extensively studied in conduction systems (Narayana and Sato, 2012b; Lan, Lei *et al.*, 2016; Zhou *et al.*, 2019; Guo, Qu, and Wang, 2020; Ji *et al.*, 2020; Gao, 2021; Hou, Li, and Zhao, 2021; Feng and Ni, 2022), mainly having recourse to the effective medium theory. Li *et al.* (2015) used a shape-memory alloy to switch the connection of a layered structure at a specific temperature, thus realizing a macroscopic thermal diode. Its temperature-dependent parameters made such a device adaptive to different environments.

Thermal convection is a fundamental mechanism of heat transport in moving fluids or solids. However, controlling the matter convection can be difficult due to the nonlinearities in the relevant Stokes equation. Jin *et al.* (2023) produced a tunable metamaterial in a idealized conduction-convection system governed by Darcy's law. According to the transformation rules (Dai and Huang, 2018) and the effective medium theory, they achieved the desired thermal conductivity by choosing appropriate fractions of different materials and simultaneously controlled the diffusion coefficients by means of layered structures. By increasing the pressure, convection was continuously tuned and the heat-flux amplification factor was increased, which was validated by infrared imaging and ink streamlines. As for moving structures, speed can be accurately determined by motors, making the effective medium theory solvable for rotating structures (Li, Li, Wang *et al.*, 2020). Upon increasing the motor speed, the effective thermal conductivity of a metadvice was experimentally

varied over a wide range (Y. Li, Zhu *et al.*, 2019; Li, Li, Cao *et al.*, 2020). Note that, contrary to the case of fluid layers, thermal interfacial resistance between moving layers of solid materials greatly impacts the resulting thermal properties.

Some external gain or loss systems also brought new insight. Guo *et al.* (2022b) integrated thermoelectric thermal sensors with active heaters and coolers to adapt to changing environments. Each semiconductor unit read its instantaneous temperature and adjusted its current to maintain a constant heat or cold source. Thus, the programmable metasurface marginally depended on the operational conditions. In another experiment, the adjustable heat source was introduced utilizing a well-contacted copper cylinder held at a fixed temperature (Xu, Yang, and Huang, 2019b). The fixed temperature boundaries provided by the copper cylinder could be treated as a thermal dipole that compensates for background distortions caused by material mismatches. Since the distance and temperature difference between two copper cylinders could be easily altered, this thermal dipole is tunable for different situations.

Experiments with particle diffusion are much more challenging than heat transfer experiments because particle concentrations cannot be imaged directly. Despite observed novel functions, such as concentrating and cloaking particle diffusion and transforming chemical waves (Zhang, Xu, and Huang, 2022), most studies still focus on theoretical design and simulations rather than on experiments. Zeng and Song (2013) fabricated an actual diffusion cloak for chloride ions. Based on the effective medium theory, the cloak was made of five layers of concrete with different compositions and diffusion coefficients. An ion-selective electrode measured the concentration of chloride ions in the vertical test lines, and a slight cloak effect was demonstrated. Y. Li *et al.* (2022) proposed a diffusion metamaterial with “plug and switch” modules for function switching. The background diffusion coefficient was set according to Bruggeman theory. The kernel module could be a cloak, concentrator, or selector. The cloak module was realized as a bilayer structure based on scattering cancellation, whereas the concentrator and selector modules were also layered structures but were designed by means of transformation theory. The concentration of  $\text{Fe}^{2+}$  in the experiment was detected by measuring the catalytic rate of the Fenton reagent on an organic dye. The protein test of inside and outside areas validated the ion selection of  $\text{Cu}^{2+}$  and  $\text{K}^+$ .

The transformation theory for plasmas devices is still in its inception; more preparation work is needed before experimental projects are viable. Since plasma transport involves the control of particle diffusion and electromagnetic effects, further advances in multiphysics metamaterials are expected soon.

### III. THERMAL CONDUCTION

Macroscopic thermodynamics provides the most fundamental theoretical description of mass and heat transport. Therefore, it is worthwhile to compare its framework with that of transformation thermotics, which in turn has distinct objectives, systems, and formalisms (Fig. 13). In this section, we review the research on transformation thermotics based on

	Main Purpose	Main Research System	Theoretical Framework
Traditional Thermodynamics	Passive description	Natural system	The four laws of thermodynamics
Transformation Thermotics	Active control	Artificial system	Transformation theory and extended theories

FIG. 13. Comparison between traditional thermodynamics and transformation thermotics. “Passive description” refers to the inability to modify the heat behavior of natural systems, but rather to understand them according to the four laws of thermodynamics. In contrast, “active control” implies the ability to adjust the heat behavior by designing artificial systems based on transformation theory and its extended theories, which paves the way to the nascent technology of thermal metamaterials and metamaterial-based devices. Adapted from Yang *et al.*, 2021.

thermal conduction from three perspectives, namely, the fundamental theory related to the transformation principle, current applications based on metamaterials or metadevices, and the emergence of related novel physics such as nonlinear, nonreciprocal, and topological effects.

#### A. Theory and transformation principles

Transformation theory has elicited considerable research interest since its proposal (Leonhardt, 2006; Pendry, Schurig, and Smith, 2006). By combining metamaterials with transformation theory, researchers successfully designed cloaking devices in the zero-frequency range of electromagnetic waves, i.e., working for electrostatic and magnetostatic fields (Wood and Pendry, 2007; Gömöry *et al.*, 2012), and demonstrated them in experiments (Zhang, Zhao, and Ge, 2011; Narayana and Sato, 2012a; Yang *et al.*, 2012). Since the governing equation (Laplace) of heat transfer in the steady state is analogous to electrostatics, transformation theory should also be applicable to thermal conduction.

Inspired by transformation optics, Fan, Gao, and Huang (2008) and Chen, Weng, and Chen (2008) proposed thermal cloaking in the steady state, marking the beginning of transformation thermotics. Subsequently, Guenneau, Amra, and Veynante (2012) extended the formalism to include transient heat conduction. The general governing equation of heat conduction with a heat source is given by

$$\rho C \frac{\partial T}{\partial t} + \nabla \cdot (-\kappa \nabla T) = Q, \quad (60)$$

where  $\rho$ ,  $C$ ,  $T$ ,  $\kappa$ , and  $Q$  denote the density, heat capacity, temperature, thermal conductivity, and external heat source, respectively. According to the transformation theory mentioned in Sec. II, the equation under a 2D coordinate transformation from  $(x, y)$  to  $(x', y')$  becomes

$$\rho' C' \frac{\partial T}{\partial t} + \nabla' \cdot (-\kappa' \nabla' T) = Q', \quad (61)$$

where the transformation rules are  $\rho' C' = \rho C / \det \mathbf{J}$ ,  $\kappa' = \mathbf{J} \kappa \mathbf{J}^T / \det \mathbf{J}$ , and  $Q' = Q / \det \mathbf{J}$ .

Transformation theory inevitably leads to inhomogeneous, anisotropic, and even singular parameters, which seem to defy

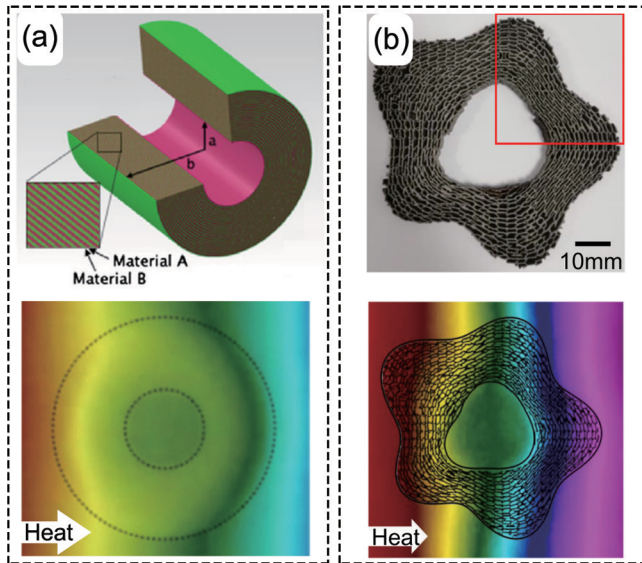


FIG. 14. Thermal metamaterials for thermal conduction. (a) Schematic diagram of a thermal cloak with a layered structure (upper graphic). Experimental results of a thermal cloak (lower graphic). Adapted from Narayana and Sato, 2012b. (b) 3D-printed thermal cloak obtained by numerical optimization (upper graphic) and its experimental results (lower graphic). Adapted from Sha *et al.*, 2021.

experimental realization. However, the emergence of thermal metamaterials made it possible to remove such roadblocks. As anticipated, the effective medium theory, a powerful tool for predicting the physical properties of artificial composite materials, applies to thermal metamaterials. Narayana and Sato (2012b) first used thermal metamaterials and the effective medium theory to fabricate a layered structure that could achieve the desired anisotropic thermal conductivity [Fig. 14(a)]. Their experiments confirmed that this structure could effectively realize the functions predicted by transformation theory. Transient thermal metamaterials were also later experimentally demonstrated (Schittny *et al.*, 2013).

### B. Applications: Metamaterials and metadevices

Transformation thermotics has been enriched with a wide range of applications, such as intelligent and multifunctional thermal metamaterials. Based on its framework, advanced complementary tools have been developed, including effective medium theory and numerical algorithms, to predict and demonstrate unprecedented thermal phenomena.

Intelligent thermal metamaterials were conceived to adaptively respond to changing environments, such as chameleon-like concentrators (Xu, Yang, and Huang, 2019c) and rotators (Yang, Tian *et al.*, 2020). Their intelligence is owed to the highly anisotropic thermal conductivity of their constituents. Besides, thermal null media enable the design of arbitrarily shaped metamaterials (Liu, Sun, and He, 2018; Sun, Liu *et al.*, 2019; Sedeh *et al.*, 2020) that are also composed of units with highly anisotropic parameters. Even if designed materials with extreme anisotropy are nearly impossible to obtain, recent studies suggest that multilayer graphene and Van der Waals thin films may offer viable alternatives (Sun, Haunschild

*et al.*, 2019; S. E. Kim *et al.*, 2021; Qian, Zhou, and Chen, 2021; Yin, Li, Marchesoni, Nayak, and Ghosh, 2021).

Scattering-cancellation technology provides another popular approach to the design of thermal metamaterials (Xu *et al.*, 2014). This method is based on directly solving the Laplace equation, primarily in cylindrical or spherical coordinates, and requires isotropic layers with different parameters (Cummer *et al.*, 2008). This technique is commonly used to analyze bilayer structures, where the environment, the shell, and the core can be made of the same perforated material with different hole densities. According to the effective medium theory, the resulting field can be uniform as if there were no shells or cores (Han, Bai, Gao *et al.*, 2014; Han, Bai, Thong *et al.*, 2014). Intuitively the inner layer of the bilayer structure serves as a thermal insulation wall protecting the core region, while the outer layer acts as a compensating substrate to ensure undisturbed background thermal fields. Initially the bilayer structure had an unsatisfactory performance under transients. Later Farhat *et al.* (2015) solved this problem and broadened the application scope of the scattering-cancellation technique. This method is advantageous for realizing various thermal metamaterials and helps to reproduce the effects predicted via transformation theory.

Numerical optimization algorithms constitute another area of research. With the concept of topology optimization, Dede, Nomura, and Lee (2014) achieved a variety of controls on heat flux through thermal composite structures made of elliptical inclusions embedded in the matrix. Subsequently, Fujii, Akimoto, and Takahashi (2018) simplified the structures by utilizing the covariance matrix adaption evolution strategy and designed a thermal cloak with natural materials. Furthermore, printable free-form thermal metamaterials have been proposed [Fig. 14(b)] (Sha *et al.*, 2021) whereby, with two-step topology optimization, a thermal cloak can be fabricated by assembling functional cells consisting of Die steel and PDMS. This leads to the conclusion that optimization algorithms bypass the restrictions of fixed shapes of metamaterials. With the rapid advancement of machine-learning techniques (Ma, Cheng, and Liu, 2018; L. Li *et al.*, 2019), optimization algorithms have gradually become an essential approach to thermal metamaterial design.

Various thermal metamaterials with different functionalities, such as thermal cloaking, concentration, and rotation, have already been demonstrated due to the development of transformation thermotics. However, most existing work focuses on geometrically isotropic (circular) structures, limiting the potential diversity of functions. However, structure anisotropy seems to provide additional degrees of freedom in regulating directional heat transfer (Fig. 15). Su *et al.* (2021) proposed a path-dependent thermal metadvice beyond Janus characteristics that could exhibit three distinct thermal behaviors in different heat-flow directions. Specifically, temperature gradient flows along the  $x$ ,  $y$ , and  $z$  axes [the blue, green, and red arrows in Fig. 15(a)] correspond, respectively, to thermal cloaking [Fig. 15(b)], transparency [Fig. 15(c)], and concentration [Fig. 15(d)]. This unique versatility is due to an ingenious design that compresses the material along the  $x$  axis and stretches it along the  $y$  axis. This three-function thermal metadvice has been successfully validated by a proof-of-concept

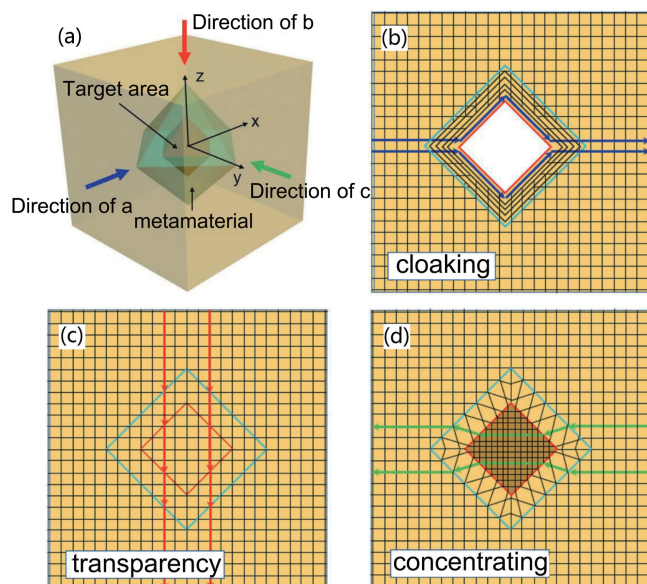


FIG. 15. Schematic diagram of path-dependent thermal meta-devices. (b) Cloaking, (c) transparency, and (d) concentration profile when the heat flow crosses the 3D meta-device along the orthogonal directions shown in (a). Adapted from *Su et al., 2021*.

experiment of anisotropic in-plane conduction. Note that transformation theory can also be extended to arbitrary shapes with the aforementioned extremely anisotropic parameters mentioned (*Sedeh et al., 2020*).

Recent advances in transformation thermotics offer new means for thermal manipulation in artificial structures. However, its strict requirements on the parameters of the constituents (i.e., anisotropy, inhomogeneity, or even singularity) limit practical application. To make it feasible, effective medium theories were developed to solve geometric anisotropy problems, such as the calculation of effective thermal conductivity of geometrically anisotropic structures. *Yang et al. (2017)* derived the effective thermal conductivity of a single particle structure [Fig. 7(c)] by solving the Laplace equation in elliptical coordinates. These results were verified by experiments and finite-element simulations. For multi-particle structures, the computational complexity of their approach would grow untenable. *Tian et al. (2021)* developed a generalized effective medium theory to predict the effective thermal conductivity of heterogeneous systems containing elliptical (or ellipsoidal) inclusions. Four models (Fig. 16) were discussed that revealed the underlying mechanisms of the relevant effective medium theories and provided a different approach for achieving nonlinear modulation and enhancement of thermal conductivity. Their simulation results agree well with the theoretical predictions in the range of low area fraction, which is limited by the inherent assumptions and the availability of mathematical forms of effective medium theory. *Lu et al. (2022)* explored the power of deep learning to bypass the mathematical constraints of a particular formulation of the effective medium theory. Using a transfer learning algorithm with a small number of samples (less than 100), the accuracy of thermal conductivity calculations could be greatly improved. This approach saves time and resources and is especially beneficial for hard-to-access datasets. This robust

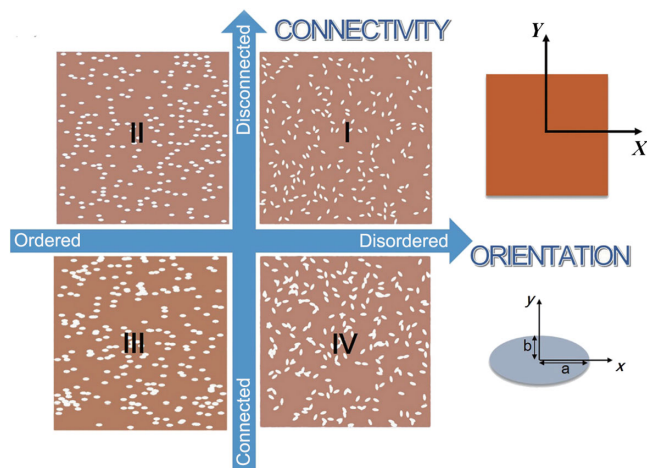


FIG. 16. Heterogeneous composites are categorized in the four-quadrant system according to particle orientation and connection. Adapted from *Tian et al., 2021*.

optimization algorithm can also be applied to other nonelliptic geometrically anisotropic structures (*Ji et al., 2021*). Recently, the concept of traversing full-parameter anisotropic space (*Sha, Hu et al., 2022*) has been proposed to describe the set of effective thermal conductivity tensors for any mixed material structure. A spatial traversal method for an anisotropic thermal conductivity tensor with full parameters based on topology optimization was proposed. The microstructures with the desired effective thermal conductivity tensor were obtained through topological optimization, namely, topological functional cells. A series of topological functional cells was designed for copper and PDMS, whose effective thermal conductivity tensor can traverse their entire parameter space. Schemes based on topology optimization will significantly reduce the difficulty of designing functional meta-devices.

Moreover, the development and popularization of advanced coding technology has been a long-standing and prominent issue in materials and information science that provides the basis for modern communication and computing. Coding techniques have been well studied and implemented in wave fields ranging from electromagnetism to acoustics. The concept of thermal coding was introduced based on the notion of thermal cloak and thermal concentrator, as pioneered by *Hu, Huang et al. (2018)*. Because of the heat-flow difference at their centers, the thermal cloak and the thermal concentrator can be defined, respectively, as the energy shielding unit and the energy harvesting unit (Fig. 17). Thus, they can be regarded as equivalent to the binary data bit 0 and 1. A temperature gradient is applied to the coding unit. If the heat flow in the central region is higher than the reference value, the output is the 1 state; if it is lower than the reference value, the output is the 0 state.

Advances in thermal metamaterial technology offer new avenues to thermal information processing. Different configurations of the shape-memory alloy insets and traditional thermal media can be used to fabricate coding units working in the appropriate operating temperature range (*Zhou and Xu, 2021*). Other external fields can also be used to control the thermal unit function (*J.-C. He et al., 2023*) so as to modulate

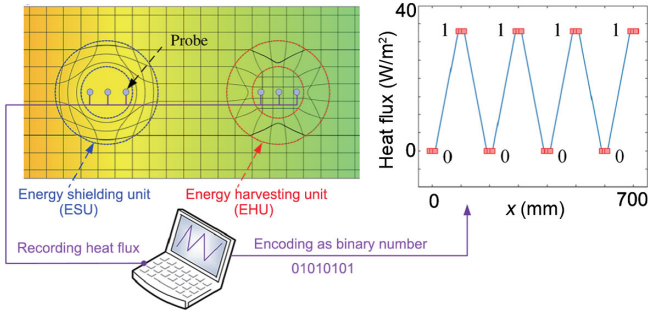


FIG. 17. Schematic diagram of thermal coding. Adapted from Hu, Huang *et al.*, 2018.

the thermal coding. For example, a reconfigurable two-phase thermal metamaterial consisting of a translucent liquid and controllable micromagnetic particles can be freely reconfigured by a magnet. Thus, a complex unit array composed of multiple reconfigurable thermal response units can realize accurate and reconfigurable thermal coding or decoding information transmission under the control of an external magnetic field (Xu, Li, Chang *et al.*, 2021). Additionally, a light field can be used to control the transmission of heat information. Thermal metamaterials designed by developing regionalized transformation methods have been proposed to realize encrypted thermal printing, whereby the encoded static or dynamic information is accessible from infrared images but hidden in natural light (Hu *et al.*, 2019). Guo *et al.* proposed that programmable thermal functions can be realized through the automatic response of thermoelectric heat sources and the real-time control of a driving voltage. An implementation of this technique is the adaptive metasurface platform (Guo *et al.*, 2022b).

Moreover, to break the limitations due to the fixed functions of each unit in traditional thermal coding devices, a programmable all-thermal coding strategy based on temperature-dependent transformation thermotics was recently proposed and experimentally implemented (Lei *et al.*, 2023). A switchable cloak-concentrator component acts as the coding unit, and the binary signal can be distinguished and modulated by the heat-flux divergence characteristics of each unit. Temperature-responsive phase-changing materials implement iterative coding operations (programmability) with adaptive external stimuli and internal response mechanisms. The thermal field realizes the entire coding process without having recourse to external fields, thus making it an all-thermal coding process. Furthermore, Yang *et al.* (2023) proposed a spatiotemporal thermal binary coding based on dynamic metamaterials. By spatiotemporally modulating the shell of a code unit, they achieved thermal coding tunability in the time dimension so as to improve the information storage ability. The design of thermal information metamaterials opens up new horizons toward advanced thermal management.

### C. Nonlinear thermal conductivity, non-Hermitian thermal topology, and asymmetric thermal conduction

The previous discussion focused mostly on linear materials; i.e., thermal conductivity was assumed to be temperature independent. Nevertheless, nonlinear phenomena are

ubiquitous, and the underlying mechanisms are essential for understanding and designing complex systems. Exploiting nonlinearity has been successful in many fundamental areas of physics, such as optics, mechanics, and acoustics. In analogy with the dependence of polarization on the intensity of the electric field in nonlinear optics, the thermal conductivity in nonlinear thermotics should respond to the temperature gradient. For example, the thermal conductivity of a metal increases with temperature because the lattice structure of the metal expands as it heats up, thus increasing the heat transport capacity of hot electrons. Based on this fact, Li *et al.* (2015) extended transformation theory to steady-state nonlinear heat conduction and proved that the governing equation remains formally invariant under any coordinate transformation. They considered the annulus sketched in Fig. 18(a), with radius  $r$  ranging between  $\tilde{R}_1(T)$  and  $R_2$  and the coordinate transformation

$$r' = r \frac{R_2 - \tilde{R}_1(T)}{R_2} + \tilde{R}_1(T). \quad (62)$$

In Eq. (62)  $\tilde{R}_1(T) = R_1[1 - (1 + e^{\beta(T-T_c)})^{-1}]$  for type-A cloaks and  $\tilde{R}_1(T) = R_1/(1 + e^{\beta(T-T_c)})$  for type-B cloaks, which are a kind of typical step function. Near the critical temperature  $T_c$ , the value of the function jumps quickly between 0 and 1, thus acting as a switch, with  $\beta$  a scaling factor that controls the change speed of the working state near the critical temperature. The finite-element numerical simulation [Figs. 18(b) and 18(c)] shows that type-A invisibility cloaks switch on (off) at high (low) temperatures. In addition, the effective medium theory predicts, and numerical simulations confirm, that two materials with isotropic and temperature-dependent thermal conductivity can be alternately arranged in layers to approximate any desired thermal conductivity distribution. This conclusion was further

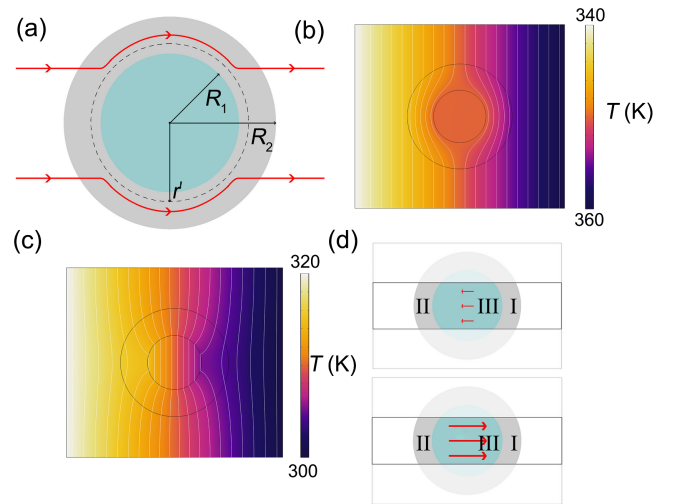


FIG. 18. (a) Schematic view of a thermal cloak with radii  $R_1$  and  $R_2$ . Switchable thermal cloaks obtained by 2D finite-element simulations (b) switch on at temperatures above 340 K and (c) switch off at temperatures below 320 K. (d) Sketch of a thermal diode delimited by the rectangular area enclosed between the solid lines. Adapted from Li *et al.*, 2015.

extended to the case of transient nonlinear heat conduction (Li *et al.*, 2016). Inspired by switchable thermal cloaks, Li *et al.* further designed a macroscopic thermal diode. As shown in Fig. 18(d), the diode device consists of a circular structure divided up into three regions: region I (II) is a segment of the type-A (type-B) cloak and region III is a thermal conductor. This structure is asymmetric and highly nonlinear, which explains the rectification effect revealed by numerical simulation.

Innovative experimental research on thermal stability from Olsson and Dunlop (1985), Thuvander, Stiller, and Olsson (1999), Auvray *et al.* (2013), Lindqvist, Bergqvist *et al.* (2014), Lindqvist *et al.* (2014), Bäcke *et al.* (2017), and Voskanian, Olsson, and Cumings (2019) inspired the exploration of thermal stability in thermal metamaterials. A thermal cloak enables its central region to maintain a constant temperature under fixed boundary conditions. However, if the boundary conditions change, the value of this constant temperature may also change. To address this issue, Shen, Li, Jiang, and Huang (2016) designed a zero-energy-consumption thermostat that keeps the temperature inside the cloak constant without requiring additional energy input. Two phase-changing materials [Fig. 19(a)] are applied to the left (type-A) and right (type-B) sides of the zero-energy-consumption thermostat [Fig. 19(b)]. Both shape-memory alloys are capable of changing their shape as the temperature varies [Fig. 19(a)]: strips of type-A material tilt up to an angle below 278.2 K and level off above 297.2 K; for the type-B material, the transition temperature is the same, but the deformation occurs in the opposite direction. The middle

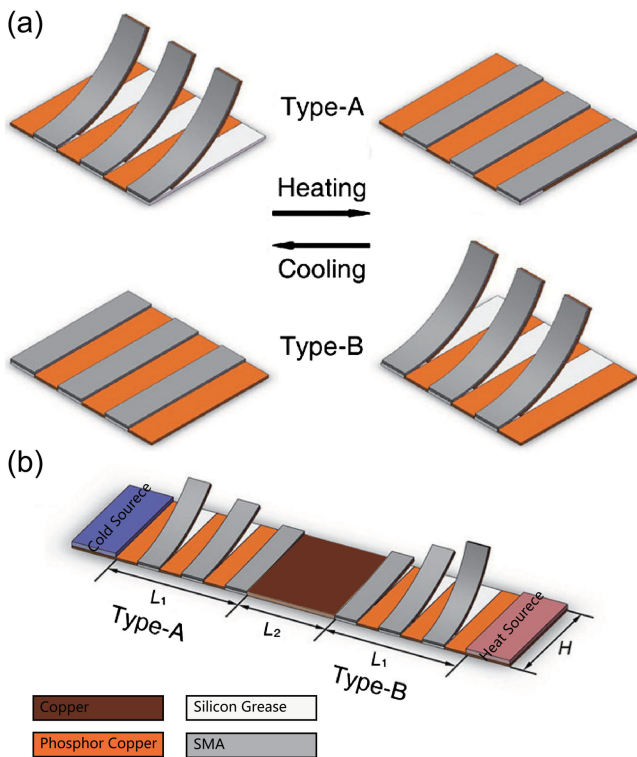


FIG. 19. Sketch of zero-energy-consumption thermostat. (a) Design. (b) Experimental setup. Adapted from Shen, Li, Jiang, and Huang, 2016.

area is a good conductor of heat and is also expected to be thermally insulated. By solving the steady-state nonlinear heat transfer equation, Shen, Li, Jiang, Ni, and Huang (2016) demonstrated that the temperature in this region depended only on the phase transition temperature of materials A and B and was no longer affected by the ambient temperature. They provided guidance for controlling heat flow without consuming energy and for designing novel metamaterials with temperature- or field-responsive parameters. Along these lines, they further designed an intelligent thermal metamaterial that automatically switched from a cloak (or concentrator) to a concentrator (or cloak) according to the ambient temperature by combining a homogeneous isotropic material with shape-memory alloys.

The classical effective medium approximation plays a critical role in the design of linear thermal metamaterials. Similarly, when materials with nonlinear thermal conductivity are involved, the validity of that approximation should be reassessed. For instance, Su, Xu, and Huang (2020) formulated a rigorous theory to calculate the effective thermal conductivity of core-shell structures made of materials with nonlinear thermal conductivity, which appears to work well under certain conditions. As an example, they considered thermal radiation under Rosseland's diffusion approximation, a typical scheme implying nonlinear thermal conductivity, and implemented the concentrator-cloak switch function. Dai *et al.* (2018b) considered cylindrical particles randomly distributed in a matrix, with temperature-dependent thermal conductivities. Through the Maxwell Garnett and Bruggeman theories, they calculated the effective nonlinear conductivity of this system. Furthermore, they derived the effective nonlinear conductivity of periodic structures (Dai and Huang, 2020) as a temperature perturbation expansion. In most fields of study, the nonlinear case leads to many novel phenomena that directly differ from the linear case.

An effective method for solving the nonlinear conduction equation was developed by S. Yang, Xu, and Huang (2019). They investigated the nonlinear response of circular core-shell structures embedded in a finite matrix, where the core is made of a weakly nonlinear material and the shell and matrix of linear materials. Based on a general analytical approach, they predicted nonlinear enhancement effects in two and three dimensions and proposed an intelligent thermal metadvice that can automatically switch subject to the external temperature. However, a unified theory for treating nonlinear effects in geometrically anisotropic structures is still lacking. Zhuang *et al.* (2022) systematically investigated a category of classical anisotropic composites, namely, confocal ellipses or ellipsoids with temperature-dependent parameters. Specifically, they considered a confocal core-shell elliptic structure embedded in a finite background [Fig. 20(a)]. The semimajor and semiminor axis lengths of the core (or shell) were denoted as  $r_{c1}$  and  $r_{c2}$  (or  $r_{s1}$  and  $r_{s2}$ ), respectively. For materials with linear thermal conductivity, we know the effective thermal conductivity of the core-shell structure reads

$$\kappa_{csi} = \kappa_s \frac{L_{ci}\kappa_c + (1 - L_{ci})\kappa_s + f_1(1 - L_{si})(\kappa_c - \kappa_s)}{L_{ci}\kappa_c + (1 - L_{ci})\kappa_s - f_1L_{si}(\kappa_c - \kappa_s)}, \quad (63)$$

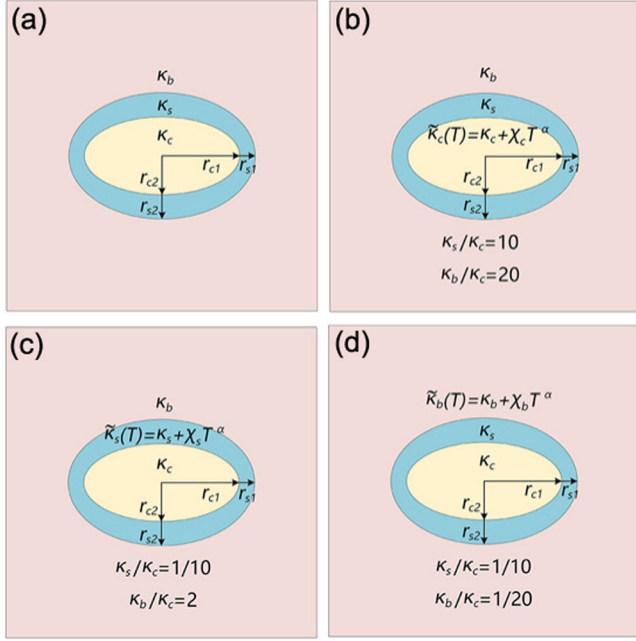


FIG. 20. (a) 2D sketch of a composite structure with thermal nonlinear (b) core, (c) shell, and (d) background. Adapted from Zhuang *et al.*, 2022.

and that of the core-shell structure plus background (Yang *et al.*, 2017),

$$\kappa_{ei} = \kappa_b \frac{L_{si}\kappa_{csi} + (1 - L_{si})\kappa_b + f_2(1 - L_{si})(\kappa_{csi} - \kappa_b)}{L_{si}\kappa_{csi} + (1 - L_{si})\kappa_b - f_2L_{si}(\kappa_{csi} - \kappa_b)}. \quad (64)$$

In Eq. (64) the inner and outer area fractions can be expressed as  $f_1 = r_{c1}r_{c2}/r_{s1}r_{s2}$  and  $f_2 = \pi r_{s1}r_{s2}/S_0$ , where  $S_0$  is the total area covered by the background, core, and shell. The shape factors  $L_{w1}$  and  $L_{w2}$  along the direction of the semimajor and semiminor axes are respectively  $L_{w1} = r_{w2}/(r_{w1} + r_{w2})$  and  $L_{w2} = r_{w1}/(r_{w1} + r_{w2})$ , where the subscript  $w$  reads  $c$  or  $s$  for core or shell. The shape factors satisfy the identity  $L_{w1} + L_{w2} = 1$ , and the degree of their deviation from 0.5 indicates the flattening of the ellipses. These parameters describe how the thermal conductivity of the actual material varies with the temperature. For convenience and without sacrificing generality, they considered the three cases shown in Figs. 20(b)–20(d), where one part of the ternary structure has a stronger nonlinear thermal conductivity than the other two parts. Consequently, they assumed that the weak nonlinear thermal conductivities are independent of temperature, while the strong nonlinear thermal conductivity was formulated as

$$\tilde{\kappa}_c(T) = \kappa_c + \chi_c T^\alpha, \quad (65a)$$

$$\tilde{\kappa}_s(T) = \kappa_s + \chi_s T^\alpha, \quad (65b)$$

$$\tilde{\kappa}_b(T) = \kappa_b + \chi_b T^\alpha, \quad (65c)$$

where  $\chi_c, \chi_s$ , and  $\chi_b$  are the nonlinear coefficients and  $\alpha$  can be any real number. Indeed, the thermal conductivities of natural

materials usually vary with temperature, which can be described by Eq. (65).

For weakly temperature-dependent thermal conductivity, i.e.,  $\chi_c T^\alpha \ll \kappa_c$ ,  $\chi_s T^\alpha \ll \kappa_s$ , or  $\chi_b T^\alpha \ll \kappa_b$ , Eqs. (65a)–(65c) can be separately substituted into Eqs. (63) and (64). They can then expand the temperature-dependent effective thermal conductivities of these three schemes up to the  $T^\alpha$  term using the Taylor formula as

$$\tilde{\kappa}_{ei}^{(c)}(T) = \kappa_{ei} + \lambda_{i1}\chi_c T^\alpha + O(T^\alpha), \quad (66a)$$

$$\tilde{\kappa}_{ei}^{(s)}(T) = \kappa_{ei} + \lambda_{i2}\chi_s T^\alpha + O(T^\alpha), \quad (66b)$$

$$\tilde{\kappa}_{ei}^{(b)}(T) = \kappa_{ei} + \lambda_{i3}\chi_b T^\alpha + O(T^\alpha) \quad (66c)$$

and define the nonlinear modulation coefficients as

$$\eta_i^{(c)} = [\tilde{\kappa}_{ei}^{(c)}(T) - \kappa_{ei}]/T^\alpha = \lambda_{i1}, \quad (67a)$$

$$\eta_i^{(s)} = [\tilde{\kappa}_{ei}^{(s)}(T) - \kappa_{ei}]/T^\alpha = \lambda_{i2}, \quad (67b)$$

$$\eta_i^{(b)} = [\tilde{\kappa}_{ei}^{(b)}(T) - \kappa_{ei}]/T^\alpha = \lambda_{i3}, \quad (67c)$$

where the parameters  $\lambda_{i1}$ ,  $\lambda_{i2}$ , and  $\lambda_{i3}$  depend on the geometric parameters ( $f_1$ ,  $f_2$ ,  $L_{ci}$ , and  $L_{si}$ ) and the linear thermal conductivities ( $\kappa_c$ ,  $\kappa_s$ , and  $\kappa_b$ ).

To further reduce the number of independent variables, Zhuang *et al.* (2022) reparametrized the system as

$$f_1 = \frac{(1 - 2L_{s1})(1 - L_{c1})L_{c1}}{(1 - 2L_{c1})(1 - L_{s1})L_{s1}}, \quad (68a)$$

$$f_2 = \frac{\pi c^2(1 - L_{s1})L_{s1}}{(1 - 2L_{s1})S_0}, \quad (68b)$$

where  $c$  represents the focal length. Their theory was verified through finite-element simulations.

Topological phenomena in thermal metamaterials are also an interesting topic. There are two paradigms for revealing topological effects in heat conduction. The first paradigm is based on the discretization of the diffusion equation. It originated with a theoretical work by Yoshida and Hatsugai (2021). By combining Fick's law and the equation of continuity, one obtains the 1D diffusion equation of a continuous scalar field  $\phi(t, x)$ ,

$$\partial_t \phi(t, x) = D \partial_x^2 \phi(t, x), \quad (69)$$

where  $\partial_{t(x)}$  denotes the derivative with respect to time  $t$  (and the spatial coordinate  $x$ ). The value  $\phi(t, x)$  can be the temperature field, the number density of particles, etc. Thus, the diffusion equation is spatially discretized to bridge diffusion and electronic transport in quantum physics.

As an example, Yoshida and Hatsugai (2021) used the 1D Su-Schrieffer-Heeger (SSH) model (Su, Schrieffer, and Heeger, 1979) to demonstrate topological phenomena in diffusion systems [Fig. 21(a)].  $\phi(t, x)$  is set as the temperature field. The temperature at each site is described by the vector



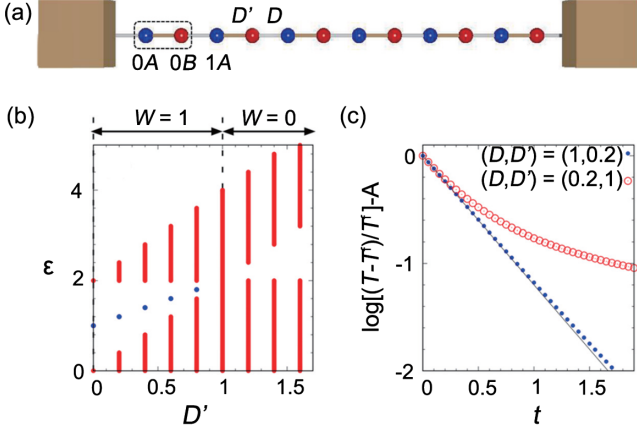


FIG. 21. Topological physics in 1D diffusion systems. (a) Diffusive SSH model under fixed boundary conditions with  $N = 6$ , where  $N$  is the number of unit cells. Boxes denote constant-temperature heat reservoirs. The sites are labeled by  $(i, \alpha)$ , where  $i$  refers to the unit cell index and  $\alpha$  indicates the sublattice index. The coupling strengths are denoted by the diffusion coefficients  $D'$  and  $D$ . (b) Fixed-boundary-condition spectrum of the diffusive SSH Hamiltonian with  $D = 1$  and  $N = 240$ . The dots denote the edge states. (c) Time evolution of  $\bar{T}_{0A}(t)$  in the topologically nontrivial  $[(D, D') = (1, 0.2)]$  and trivial  $[(D, D') = (0.2, 1)]$  phases, marked by solid and hollow dots, respectively. A black line for the function  $-(D + D')t$  is plotted for reference.  $A = \log\{[T_{0A}(0) - T^f]/T^f\}$  is subtracted for comparison. The initial condition is set as  $\bar{T}_{i\alpha} = \delta_{i0}\delta_{\alpha A}$ . The final equilibrium temperature is  $T^f = T_{0A}(t = 50)$ . Adapted from Yoshida and Hatsugai, 2021.

$\vec{T} = (T_{0A}, T_{0B}, T_{1A}, \dots, T_{(N-1)B})^\dagger$ . The diffusion equation can be spatially discretized as

$$\partial_t \vec{T}(t) = -\hat{H}_{\text{SSH}} \vec{T}(t), \quad (70)$$

with the diffusive SSH Hamiltonian

$$\hat{H}_{\text{SSH}} = \begin{pmatrix} D+D' & -D & 0 & \cdots & -D' \\ -D & D+D' & -D' & \cdots & 0 \\ 0 & -D' & D+D' & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -D' & 0 & 0 & \cdots & D+D' \end{pmatrix}. \quad (71)$$

Notice that  $\hat{H}_{\text{SSH}}$  are the on-site energy shifts of the prototypical SSH Hamiltonian and therefore preserve the topological properties of the 1D SSH model. In Eq. (71) fixed instead of open boundary conditions were imposed because the edge sites of the chain are connected to constant-temperature heat reservoirs.

We now discuss the topological properties of the diffusive 1D SSH model. In the momentum space, the Bloch Hamiltonian reads

$$\hat{h}_{\text{SSH}}(k) = \begin{pmatrix} D+D' & -D' - De^{ik} \\ -D' - De^{-ik} & D+D' \end{pmatrix}, \quad (72)$$

where  $k$  is the Bloch vector. This Hamiltonian preserves chiral symmetry and its topological properties are characterized by the winding number

$$W = - \int_{-\pi}^{\pi} \frac{dk}{4\pi i} \text{tr}[\sigma_3 \hat{h}'_{\text{SSH}}(k) \partial_k \hat{h}'_{\text{SSH}}(k)], \quad (73)$$

where  $\sigma_3$  is the Pauli matrix,  $\hat{h}'_{\text{SSH}} = \hat{h}_{\text{SSH}} - (D + D')\sigma_0$ , and  $\sigma_0$  is the identity matrix. The winding number is 1 (nontrivial topological phase) for  $0 \leq D' < 1$  and 0 (trivial topological phase) for  $D' \geq 1$ . The fixed-boundary-condition spectrum of the diffusive 1D SSH Hamiltonian is depicted in Fig. 21(b). For  $W = 1$ , there is an edge state with a decay rate  $\varepsilon = D + D'$ , which points to a bulk-edge correspondence in diffusion systems. Here the bulk-edge correspondence implies that the topological property in the bulk determines the character of the edge modes.

This begs the following question: How can we experimentally detect the edge states? One method is to observe the temperature evolution of the edge site. Figure 21(c) shows the time evolution of the temperature at the edge  $(0, A)$ . When in the nontrivial topological phase  $[(D, D') = (1, 0.2)]$ , the temperature  $T_{0A}$  closely follows the reference exponential decay  $T_{0A} \sim e^{-(D+D')t}$ . This peculiar time dependence is a signature of nontrivial topology. In contrast, the temperature evolution deviates from the reference curve in the topologically trivial phase  $[(D, D') = (0.2, 1)]$ .

The aforementioned conclusions were experimentally validated by Hu *et al.* (2022). They constructed a 1D thermal lattice composed of aluminum disks and channels to mimic the 1D SSH model [Fig. 22(a)]. The channels were alternately bent to tailor the effective thermal diffusivity between adjacent disks:  $D_1$  for the straight channels,  $D_2$  for the bent channels. They then designed two types of domain walls to observe the topological edge states between two topologically distinct thermal lattices, as illustrated in Fig. 22(b). At the domain wall A (B), the topological nontrivial and trivial domains are connected with a meandering (straight) channel that corresponds to the edge state I (II). To verify the existence of topological edge states, they heated site 1 (12) in the vicinity of domain wall A (B) and then measured the temperature evolution of the heated site [Fig. 22(c)]. We find that the temperature evolution of the two edge states follows an exponential decay (with the decay rates  $0.52 \text{ min}^{-1}$  for edge state I and  $0.91 \text{ min}^{-1}$  for edge state II). In contrast, two exponential functions are required to fit the temperature evolution of the bulk state by heating sites 3 and 4, which results from the contribution of several bulk modes.

The aforementioned theoretical and experimental works have been limited to 1D systems, but more interesting topological physics lies in the modeling of higher-dimensional systems. Liu, Xu, and Huang (2022) successfully realized a higher-order topological insulator (HOTI) in pure diffusion systems and characterized the thermal behavior of corner states. HOTIs exhibiting lower-dimensional topological states have attracted much attention from the condensed matter community (Benalcazar, Bernevig, and Hughes, 2017a, 2017b; Schindler *et al.*, 2018; Aggarwal *et al.*, 2021).

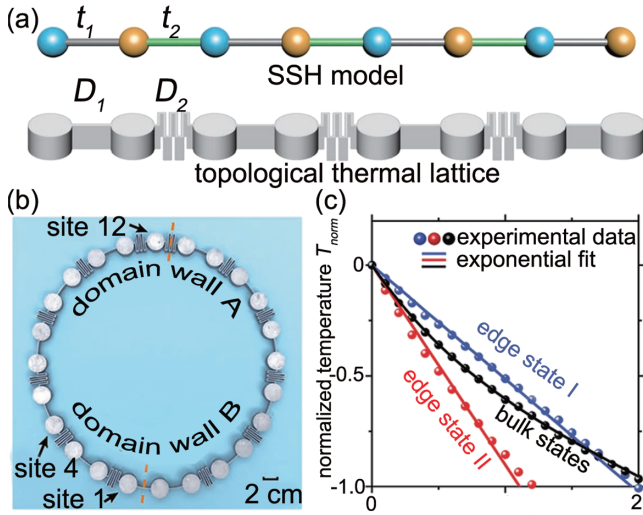


FIG. 22. Experimental realization of topological edge states in thermal diffusion systems. (a) Topological thermal lattice as an analog of the 1D SSH model. The disk in the thermal lattice is analogous to the atom, while the effective diffusivity of the straight  $D_1$  (meandering  $D_2$ ) channel corresponds to the hopping amplitude  $t_1$  ( $t_2$ ) in the SSH model. (b) Photograph of a thermal lattice with domain walls. The two dashed lines indicate two types of domain walls, the center of two edge states. (c) Measured temperature evolution of two edge states and one bulk state. The temperature is normalized as  $T_{\text{norm}} = \ln[(T - T_f)/(T_i - T_f)]$ , where  $T_i$  is the initial temperature of the heated site and  $T_f$  is the room temperature. Adapted from Hu *et al.*, 2022.

For example, an  $m$ D topological insulator with  $(m - n)$ D topological boundary states is referred to as an  $n$ th-order topological insulator. Unlike the Benalcazar-Bernevig-Hughes model, which is characterized by a bulk quadrupole moment, the 2D SSH model works as a HOTI without a multipole moment (Benalcazar, Li, and Hughes, 2019) and has been realized in classical wave systems (Chen *et al.*, 2019; Xie *et al.*, 2019, 2021; Zhang, López *et al.*, 2019).

To implement the diffusive counterpart of the 2D SSH model, Liu, Xu, and Huang (2022) created a 2D sphere-rod structure with the same density  $\rho$ , heat capacity  $c_p$ , thermal conductivity  $\kappa$ , and rod lengths  $L$  [Fig. 23(a)]. The radii of the intracell and intercell rods are  $R_{0,1}$  and  $R_{0,2}$ , respectively, while  $R$  is the radius of the spheres. The fixed boundary condition is achieved by connecting the boundary rods to constant-temperature heat reservoirs. To investigate the thermal behavior of the corner states, the four corner spheres were initially heated to 333.2 K. The temperature evolution of one corner sphere is shown in Fig. 23(b). The theoretical results were obtained by solving a series of partial differential equations, and COMSOL MULTIPHYSICS was employed to simulate the results. Theoretical and simulated temperature evolutions agree well with each other. Additionally, in the nontrivial topological phase the theoretical time dependence of the temperature at the corners follows the expected exponential decay. However, the simulated temperature appears to be slightly higher than the theoretical prediction. This is because heat travels through the rod for a while before

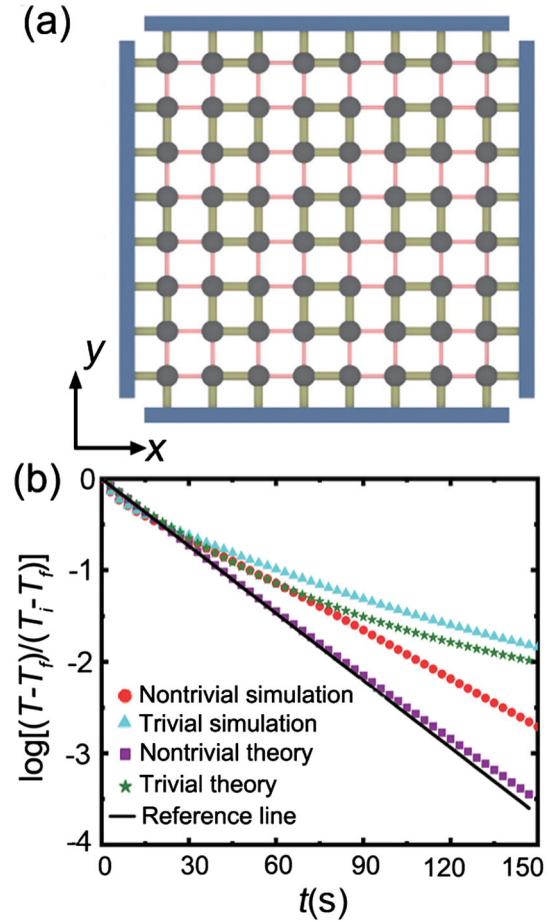


FIG. 23. HOTIs in thermal diffusion systems. (a) Sketch of the sphere-rod structure with four constant-temperature boundaries set at 293.2 K. Thermal diffusivities of thin and thick rods are denoted as  $D_1$  and  $D_2$ . The black bars denote constant-temperature heat reservoirs. The central black dashed square shows a unit cell where numbers label the four sublattices.  $(n_x, n_y)$  indicates the unit cell label. (b) Temperature evolution of a thermal corner state vs time  $t$ . The temperature was rescaled as  $\log[(T - T_f)/(T_i - T_f)]$ , with  $T_i = 333.2$  K and  $T_f = 293.2$  K. Adapted from Liu, Xu, and Huang, 2022.

reaching the next sphere in simulations, whereas heat instantly travels to the next sphere in theoretical calculations.

However, the tight-binding theory is not precise enough to uncover topological physics of diffusion systems (Qi *et al.*, 2022). This is because the temperature field is nonlocal and may extend over a wide area. Consequently, Qi *et al.* developed a new topological theory for thermal diffusion inspired by classical optics.

Qi *et al.* (2022) considered a 3D sphere-rod model with constituents of the same mass density  $\rho_{3D}$ , heat capacity  $c_{p3D}$ , and thermal conductivity  $\kappa_{3D}$  [Fig. 24(a)]. Band structure simulations deviate from the band structure of the 1D SSH model. To address this issue, they proposed a more refined 1D model equivalent to their 3D sphere-rod model. The band structures for the 1D equivalent model, which are depicted in Fig. 24(b) by red dots, closely agree with the 3D sphere-rod model simulation.

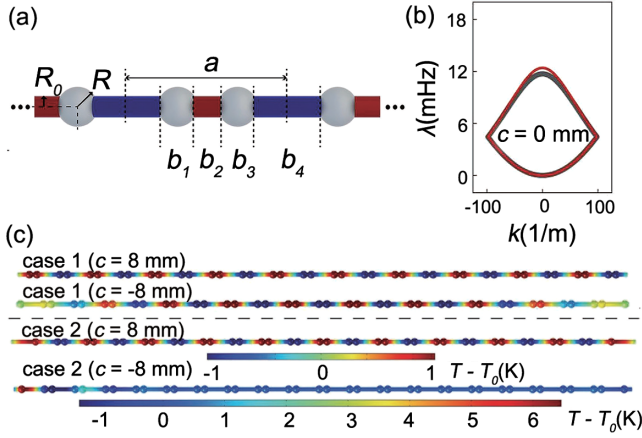


FIG. 24. Thermal diffusive topology beyond the tight-binding model. (a) 3D sphere-rod model. The lengths occupied by two spheres with radius  $R$  are  $b_1$  and  $b_3$  ( $= b_1$ ). The lengths of the shorter intracell and longer intercell rods of radius  $R_0$  are  $b_2$  and  $b_4$ , respectively. The length of a unit is  $a$  and the length difference between the two rods is  $c = (b_4 - b_2)/2$ . (b) Band structures of the 1D equivalent model and 3D sphere-rod model. (c) Temperature distributions of states within or at the edges of the band gap. Adapted from Qi *et al.*, 2022.

The Zak phase, which serves as the cornerstone of 1D topological physics, should be redefined in order to establish the bulk-edge correspondence in diffusion systems. It takes the form of

$$\exp(i\mathcal{Z}) = \text{sgn}(\underline{U}_1), \quad (74)$$

where  $\underline{U}_1$  is the value of  $U_1 = \text{Im}[M_{12} \exp(-ik_2a)]$  at the upper edge of the lower band,  $M$  is the transfer matrix, and  $k_m = (\lambda\rho_m c_{pm}/\kappa_m)^{1/2}$ , with  $m = 1, 2$ , denoting spheres and rods, respectively. The Zak phase can resolve two topologically distinct phases:  $\mathcal{Z} = \pi$  for  $c < 0$  and  $\mathcal{Z} = 0$  for  $c > 0$ .

However, the existence of edge states may be affected by the choice of the boundary condition. Qi *et al.* (2022) analyzed two different boundaries for their model. In case 1, no edge state was found in the eigenvalue simulation, regardless of the sign of  $c$ . The relevant temperature field simulations reported in Fig. 24(c) validate the results. However, in case 2 the existence of an edge state requires the equation  $[\text{Re}(M_{11})^2 - 1]^{1/2} = -U_1 \sin k_2 b_5$  to admit a solution. Therefore,  $\eta = U_1 \sin k_2 b_5$  defines the edge state, with  $\eta < 0$  indicating a topologically nontrivial phase. The topological index is thus the sign of  $\eta$ , which, in view of Eq. (74), can be rewritten as

$$\text{sgn}(\eta) = \exp(i\mathcal{Z}) \text{sgn}(\sin k_2 b_5). \quad (75)$$

According to Eq. (75), temperature field simulations [Fig. 24(c)] confirm the existence of an edge state for  $c < 0$ . The bulk-edge correspondence in diffusion systems was thus rigorously established beyond the scope of the tight-binding model. This work points to a richer topological physics in diffusion systems without condensed matter counterparts.

The other paradigm to detect topological effects in heat conduction consists in realizing the analog of the lattice model in condensed matter by means of coupled ring chain structures. Using this technique, a unique non-Hermitian topology, the well-known non-Hermitian skin effect, was first demonstrated by Cao *et al.* (2021) in diffusion systems. Topological properties under periodic and open boundary conditions do not conform well in nonreciprocal systems. Thus, the conventional bulk-edge correspondence of Hermitian physics is broken, and non-Bloch-band theory has to be established to solve this problem (Martinez Alvarez, Barrios Vargas, and Foa Torres, 2018; Yao and Wang, 2018; Yokomizo and Murakami, 2019; Foa Torres, 2020; Huang *et al.*, 2023). Additionally, it was found that the bulk state is localized at the edge, which is called the non-Hermitian skin effect (Zhang, Zhang *et al.*, 2022).

We begin with the simple double-ring model proposed by Y. Li *et al.* (2019). As illustrated in Fig. 25(a), two rings are connected by an interlayer. According to the Fourier law for heat conduction, the coupling equations can be formulated as

$$\frac{\partial T_1}{\partial t} = D_1 \frac{\partial^2 T_1}{\partial x^2} + h_{21}(T_2 - T_1), \quad (76)$$

$$\frac{\partial T_2}{\partial t} = D_2 \frac{\partial^2 T_2}{\partial x^2} + h_{12}(T_1 - T_2), \quad (77)$$

where  $T_1$  ( $T_2$ ) is the temperature field of the upper (lower) ring,  $D_1 = \kappa_1/\rho_1 C_1$  ( $D_2 = \kappa_2/\rho_2 C_2$ ) is the thermal diffusivity of the upper (lower) ring with  $\kappa_1$  ( $\kappa_2$ ),  $\rho_1$  ( $\rho_2$ ), and  $C_1$  ( $C_2$ ) the respective thermal conductivity, mass density, and heat

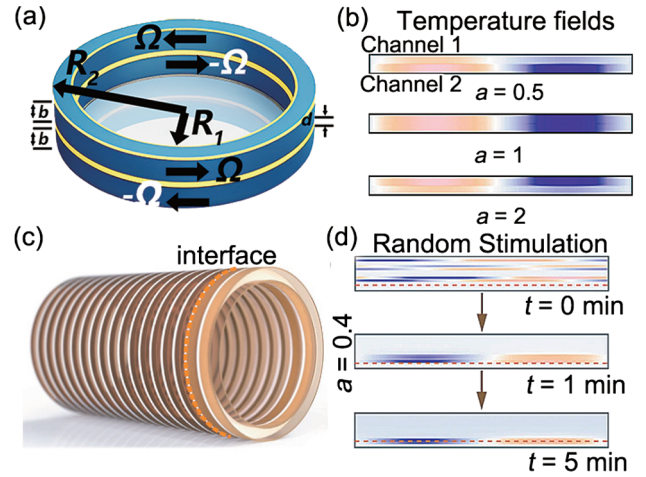


FIG. 25. Diffusive skin effect in coupled ring chain structure. (a) Double-ring model.  $R_1$ ,  $R_2$ , and  $b$  are the ring inner radius, the outer radius, and the thickness, while  $d$  is the interlayer thickness. Adapted from Y. Li *et al.*, 2019. (b) Temperature field distributions in double-ring structures have different asymmetric factors  $a = \sqrt{h_{12}/h_{21}}$ . The blue and orange areas indicate the minimum and maximum temperatures. (c) Sketch of a coupled ring chain structure with interfaces (red dashed curves). (d) Temperature field evolutions for the random initial condition with asymmetric coupling ( $a = 0.4$ ). The blue and orange areas indicate the minimum and maximum temperatures. Adapted from Cao *et al.*, 2021.

capacity. The heat exchange rate of the upper (lower) ring is  $h_{21} = \kappa_i/\rho_1 C_1 b d$  ( $h_{12} = \kappa_i/\rho_2 C_2 b d$ ), where  $\kappa_i$  denotes the thermal conductivity of the interlayer. Thanks to the periodic structure of the channel, one can assume that the temperature field has a plane-wave component  $T = A e^{i(\beta x - \omega t)}$ , where  $A$  is the amplitude,  $\beta$  is the wave number, and  $\omega$  is the complex eigenfrequency. According to the standing wave condition, the wave number has to be discrete with  $\beta = n/R$  (where  $n$  is the mode order and  $R \approx R_1 \approx R_2$  is the radius of the rings). Here the fundamental mode is  $n = 1$  because only the slowest decaying mode can be observed in the temperature field simulation. Substituting the plane-wave solution into Eq. (77), one can obtain the following effective Hamiltonian for the double-ring model:

$$\hat{H} = \begin{pmatrix} -i(\beta^2 D_1 + h_{21}) & i h_{21} \\ i h_{12} & -i(\beta^2 D_2 + h_{12}) \end{pmatrix}. \quad (78)$$

The diagonal elements in Eq. (78) can be unified as a constant by adjusting the diffusivities  $D_1$  and  $D_2$ . The resulting Hamiltonian is asymmetric for  $h_{21} \neq h_{12}$ , that is, for  $\rho_1 C_1 \neq \rho_2 C_2$ , which yields an asymmetric distribution of eigenstates. Consequently, the temperature field distribution for the double-ring model will become asymmetric [Fig. 25(b)].

Next a chain structure of coupled rings can be designed to realize a 1D nonreciprocal SSH model (Cao *et al.*, 2021). A heat funnel model with an interface between two mirrored SSH chains was devised to gain flexible control of the skin mode. The schematic diagram of the heat funnel model is drawn in Fig. 25(c). The thermal conductivity, mass density, and heat capacity of the rings and interlayers are denoted, respectively, by  $(\kappa_n, \rho_n, C_0)$  and  $(\kappa_{in}, \rho_0, C_0)$ , with  $n$  and  $in$  the ring and interlayer suffixes. Accordingly, the heat exchange rates between rings  $n$  and  $n + 1$  are  $h_{n,n+1} = \kappa_{in}/\rho_{n+1} C_0 b d$  and  $h_{n+1,n} = \kappa_{in}/\rho_n C_0 b d$ . To meet the requirements of the diffusive nonreciprocal SSH model, Cao *et al.* (2021) tuned the parameters of the rings and interlayers as

$$\rho_n = \begin{cases} a^{n-1} \rho_0, & n = 1, 3, 5, \dots, \\ a^n \rho_0, & n = 2, 4, 6, \dots, \end{cases} \quad (79a)$$

$$\kappa_{in} = a^n \kappa_{i0}, \quad n = 1, 2, 3, 4, \dots, \quad (79b)$$

with the constant  $a$  playing the role of an asymmetry factor. The temperature field was then simulated to illustrate the heat funneling effect [Fig. 25(d)]. For  $a = 0.4$ , the temperature field appeared to concentrate on the interface between rings 10 and 11.

The 1D Aubry-André-Harper (AAH) model (Harper, 1955; Aubry and André, 1980) is a case study of quasicrystal (an ordered but nonperiodic phase) in condensed matter physics. It exhibits Anderson localized states above a finite critical value of an incommensurate on-site potential. Recently the model was implemented in diffusion systems consisting of coupled ring chain structures (Liu and Huang, 2022) by tuning the thermal conductivity of each ring so as to map the Hamiltonian of the coupled ring chain onto an AAH Hamiltonian [Fig. 26(a)].

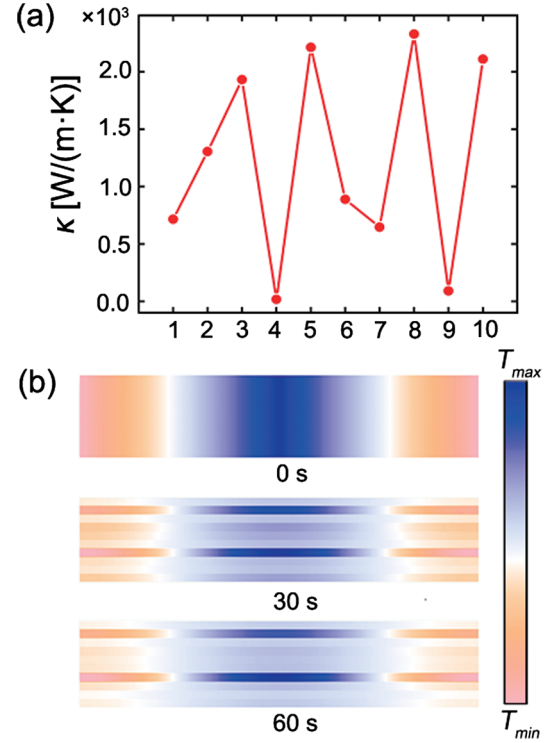


FIG. 26. Diffusive Anderson localized state in a coupled ring chain. (a) Adjusted thermal conductivity of each ring. (b) Temperature field vs time, with the initial temperature decreasing linearly from its maximum in the middle of the channel  $T_h$  down to its minimum  $T_l$  at the channel end points. Adapted from Liu and Huang, 2022.

In the temperature field simulation of the localized state [Fig. 26(b)], the field tends to concentrate around the fourth and ninth ring, which are referred to as double localization centers. This novel phenomenon arises from the proximity of decay rates of the slowest and second slowest branch.

Asymmetric heat conduction is of great interest due to its potential applications to energy collection, waste heat recovery, and heat rectification. However, space-reversal symmetry prevents heat from diffusing asymmetrically, making asymmetric transport in heat conduction a difficult problem. Xu, Xu, Li *et al.* (2022) proposed a novel mechanism based on thermal Willis coupling to induce asymmetric heat conduction. Willis thermal metamaterials with spatiotemporal modulation were designed to control the propagation of a heat pulse in a specific direction [Fig. 27(a)]. The propagation direction is highly tunable, determined by a critical point of spatiotemporal modulation [Fig. 27(b)].

Heat conduction in a uniform medium is governed by the Fourier law  $\rho C \partial T / \partial t + \nabla \cdot (-\kappa \cdot \nabla T) = S$ , where  $\rho$ ,  $C$ ,  $\kappa$ ,  $S$ , and  $T$  denote the mass density, the heat capacity, the thermal conductivity, the heat power density, and the temperature, respectively. In the common case of a passive system ( $S = 0$ ) with homogeneous parameters, the heat pulse does not propagate, due to space-reversal symmetry [Fig. 27(a)], and the temperature distribution remains unchanged over time.

Spatiotemporal modulation is therefore considered to break symmetric diffusion. Since heat capacity is difficult to control,

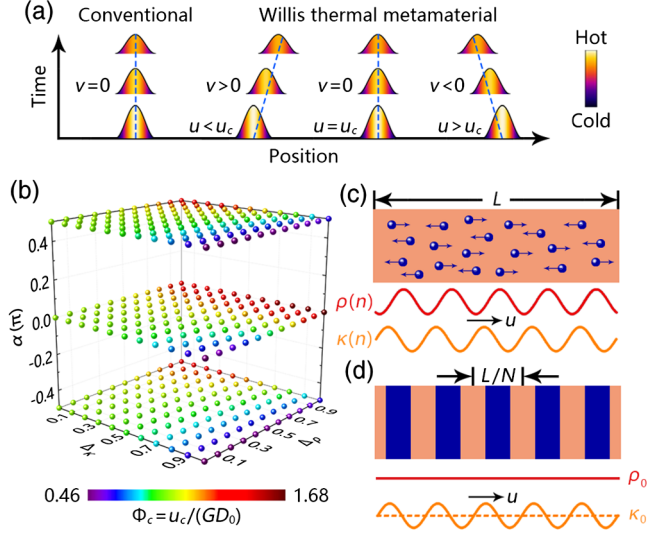


FIG. 27. Thermal Willis coupling. (a) Comparison between a conventional material and a Willis thermal metamaterial. (b) Critical point determining the propagation direction of a wavelike temperature field. Schematic diagrams of (c) a two-parameter modulation model and (d) a single-parameter modulation model. Adapted from Xu, Xu, Li *et al.*, 2022.

the mass density  $\rho(n)$  and thermal conductivity  $\kappa(n)$  were modulated in both space and time,

$$\rho(n) = \rho_0[1 + \Delta_\rho \cos(Gn + \alpha)], \quad (80)$$

$$\kappa(n) = \kappa_0[1 + \Delta_\kappa \cos(Gn)], \quad (81)$$

where  $\rho_0$  and  $\kappa_0$  are two constants,  $\Delta_\rho$  and  $\Delta_\kappa$  are the dimensionless variation amplitudes,  $\alpha$  is the phase difference between two spatiotemporal parameters,  $G$  is the modulation wave number, and  $n = x - ut$  is the generalized coordinate, with  $u$  the modulation speed. The heat transport along the chain obeys the 1D equation

$$\rho(n)C_0 \frac{\partial T}{\partial t} + u[\rho(n) - \rho_0]C_0 \frac{\partial T}{\partial x} + \frac{\partial}{\partial x} \left( -\kappa(n) \frac{\partial T}{\partial x} \right) = 0. \quad (82)$$

Mass conservation causes a local advection heat flux  $u[\rho(n) - \rho_0]C_0 \partial T / \partial x$ , but the time-averaged effect is still zero, so no directional advection appears. Xu, Xu, Li *et al.* (2022) then further introduced the wavelike temperature field  $T = e^{i(\beta x - \omega t)} + T_0$ , where  $\beta$  and  $\omega$  are the wave number and frequency, respectively, and  $T_0$  is the balanced temperature set at zero for brevity. Owing to the spatiotemporal periodicity of the Willis thermal metamaterial, the Bloch-Floquet theorem can be applied to describe the temperature distribution,

$$T = \epsilon(n)e^{i(\beta x - \omega t)} + T_0 = \left( \sum_s \epsilon_s e^{isGn} \right) e^{i(\beta x - \omega t)} + T_0, \quad (83)$$

where  $\epsilon(n)$  is the Bloch-Floquet modulation coefficient. Substituting Eq. (83) into Eq. (82) yields  $2s + 1$  component equations. As long as  $s$  is large enough, the final numerical

results are nearly accurate. To simplify the analytical calculation,  $s$  was limited to  $0, \pm 1$ . Equation (82) can then be homogenized as

$$\rho_0 C_0 \frac{\partial T_0}{\partial t} - K \frac{\partial^2 T_0}{\partial x^2} - R \frac{\partial^2 T_0}{\partial t^2} - W \frac{\partial^2 T_0}{\partial t \partial x} = 0, \quad (84)$$

where  $T_0$  describes the outline of the wavelike temperature field. The homogenized parameters  $K$ ,  $R$ , and  $W$  are expressed as

$$K = \kappa_0 \left( 1 + \frac{-\Delta_\kappa^2 + \Delta_\rho^2 \Phi^2 + 2\Delta_\kappa \Delta_\rho \Phi^2 \cos \alpha}{2(1 + \Phi^2)} \right), \quad (85)$$

$$R = \frac{\kappa_0}{u^2} \frac{\Delta_\rho^2 \Phi^2}{2(1 + \Phi^2)}, \quad (86)$$

$$W = \frac{\kappa_0}{u} \frac{\Delta_\rho^2 \Phi^2 + \Delta_\kappa \Delta_\rho \Phi^2 \cos \alpha}{1 + \Phi^2}, \quad (87)$$

and  $\Phi = u/GD_0 = uL/2\pi ND_0$  is a dimensionless parameter. Energy conservation requires the universal 1D heat-conduction equation  $\rho_0 C_0 \partial_t T_0 + \partial_x J = 0$ , with  $J$  the heat flux, to be satisfied. By comparing this equation to Eq. (84), the Fourier law should be modified as

$$-\frac{R}{\rho_0 C_0} \frac{\partial J}{\partial t} + J = -K \frac{\partial T_0}{\partial x} - W \frac{\partial T_0}{\partial t}. \quad (88)$$

The term  $\partial_t J$  is mathematically related to thermal relaxation, and the term  $\partial_t T_0$  illustrates the source of the thermal Willis coupling. In other words, the heat flux is associated with the temperature gradient  $\partial_x T_0$  and the rate of temperature change  $\partial_t T_0$ . This is analogous to the acoustic Willis coupling: the classical elastic wave equation cannot account for acoustic propagation in inhomogeneous materials. Equation (88) was derived based on two assumptions, i.e.,  $s = 0, \pm 1$  and  $\beta \ll G$ . The errors caused by these two assumptions can be addressed by examining numerical results and do not affect the conclusion.

Spatiotemporal modulation offers a promising approach to achieve asymmetry, but dynamic control is challenging to implement. To avoid this difficulty, Xu *et al.* proposed an alternative mechanism based on graded parameters (Xu, Liu, Jin *et al.*, 2023).

In electromagnetic wave systems, a graded refractive index can generate a phase gradient that acts on the propagating wave as an additional effective momentum applied. Thus, even when an electromagnetic wave is incident normally, the bending effect can still be observed. Although heat conduction has a distinct mechanism from wave propagation, a graded thermal conductivity can also produce a similar bias that behaves like an imitated advection.

The governing equation in a graded heat-conduction metadvice is analyzed to reveal the underlying mechanism

$$\rho(x)C(x) \frac{\partial T}{\partial t} + \frac{\partial}{\partial x} \left( -\kappa(x) \frac{\partial T}{\partial x} \right) = 0, \quad (89)$$

where  $\rho(x)$ ,  $C(x)$ , and  $\kappa(x)$  are the graded mass density, heat capacity, and thermal conductivity, respectively. Equation (89) can be further simplified as

$$\frac{\partial T}{\partial t} - \frac{1}{\rho(x)C(x)} \frac{\partial \kappa(x)}{\partial x} \frac{\partial T}{\partial x} - \frac{\kappa(x)}{\rho(x)C(x)} \frac{\partial^2 T}{\partial x^2} = 0. \quad (90)$$

A conventional conduction-advection equation has the form

$$\frac{\partial T}{\partial t} + v_0 \frac{\partial T}{\partial x} - D_0 \frac{\partial^2 T}{\partial x^2} = 0, \quad (91)$$

where  $v_0$  is the advection velocity and  $D_0$  is the thermal diffusivity. When one compares Eqs. (90) and (91), the term related to  $\partial_x T$  in Eq. (90) can be attributed to an imitated advection with the imitated advection velocity of  $v_i$ ,

$$v_i = \frac{-1}{\rho(x)C(x)} \frac{\partial \kappa(x)}{\partial x}. \quad (92)$$

Equation (92) demonstrates that a graded thermal conductivity with  $\partial_x \kappa(x) \neq 0$  is essential for the simulated advection. To obtain a constant effective advection velocity, Xu, Liu, Jin *et al.* (2023) further assumed the following exponential form for the material parameters:

$$\kappa(x) = \kappa_0 e^{\alpha x}, \quad (93)$$

$$\rho(x)C(x) = \rho_0 C_0 e^{\alpha x}, \quad (94)$$

where  $\kappa_0$  and  $\rho_0$  are constants representing the thermal conductivity and the mass density, respectively, and  $\alpha$  is a constant parameter gradient. In this way, the imitated advection velocity [i.e., Eq. (92)] becomes

$$v_{i0} = -\alpha D_0. \quad (95)$$

A graded heat-conduction metadvice was fabricated [Fig. 28(a)] to demonstrate the imitated advection experimentally. The measured temperature profiles are presented in Fig. 28(b). The temperature distribution [Fig. 28(c)] indicates that the average temperature  $T^* = 0.5$  is not at the center of the sample  $x/L = 0.5$ , which is direct evidence of imitated advection. For comparison, the temperature profiles with real advection are also shown in Fig. 28(d). Inspired by black holes that can trap light, Xu, Liu, Jin *et al.* (2023) further applied the imitated advection to realize thermal trapping. To this end, two 2D graded heat-conduction metadvice were fabricated, with the imitated advection pointing toward the center.

Finally, we mention that spatiotemporal modulation can also induce advectionlike effects (Torrent, Poncelet, and Batsale, 2018; Ordonez-Miranda *et al.*, 2021; Xu, Huang, and Ouyang, 2021b), thus contributing to asymmetric heat transfer. Traditional nonlinear schemes considering temperature-dependent thermal conductivity have also been applied to realize asymmetric control of wavelike temperature fields (Li, Li, Qi *et al.*, 2021; Liu and Xiao, 2022; Ordonez-Miranda *et al.*, 2022; Shimokusu *et al.*, 2022). These results significantly advance the ability to control nonreciprocal heat transfer.

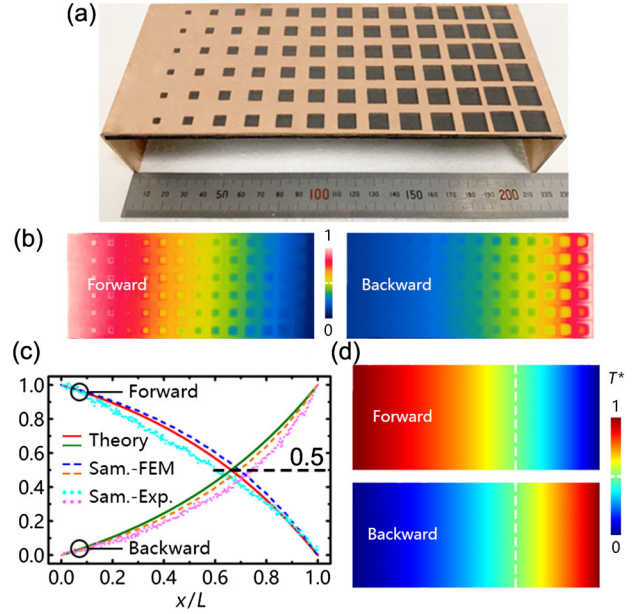


FIG. 28. Imitated advection in a graded heat-conduction metadvice. (a) A practical sample. (b) Measured forward and backward temperature profiles. (c) Temperature distributions along the  $x$  axis. (d) Temperature profiles in the presence of actual advection. Adapted from Xu, Liu, Jin *et al.*, 2023.

#### IV. THERMAL CONDUCTION AND CONVECTION

Convection is one of the primary modes of heat transfer and is always accompanied by heat conduction (Ju *et al.*, 2023). However, applying transformation theory in the presence of both is challenging due to the complexity of hydrodynamic equations. In 2011, the first attempt to control fluid flow in transformation media was proposed (Urzhumov and Smith, 2011) using the Darcy law to describe the motion of the fluid flow. Later Guenneau *et al.* (2015) proposed manipulating heat and mass diffusion by transforming the Fourier and Fick equations. They did prove the form invariance of the thermal conduction-convection equation under coordinate transformations but were unable to implement it into actual thermal metamaterials. Inspired by these works, Dai and Huang (2018) proposed a regime for transforming thermal conduction convection, realizing thermal cloaking, concentrating, and rotating by controlling creeping flow and heat flux simultaneously under certain conditions. We review these important results in this section.

##### A. Theory and transformation principles

In view of Darcy's law for an incompressible pore-filling fluid, the temperature field is governed by the conduction-convection law

$$(\rho C)_e \frac{\partial T}{\partial t} + \nabla \cdot [-\kappa_e \nabla T + (\rho C)_f v T] = 0, \quad (96a)$$

$$\nabla \cdot \mathbf{v} = 0, \quad (96b)$$

$$\mathbf{v} = -\frac{\eta}{\mu} \nabla P, \quad (96c)$$

where  $(\rho C)_e$ ,  $\kappa_e$ , and  $\nu$  are the effective mass density and heat capacity product, effective thermal conductivity, and velocity, respectively, and  $\eta$ ,  $\mu$ , and  $P$  are the permeability, the dynamic viscosity, and the pressure. The average volume method defines the aforementioned effective parameters as  $(\rho C)_e = \phi(\rho C)_f + (1 - \phi)(\rho C)_s$  and  $\kappa_e = \phi\kappa_f + (1 - \phi)\kappa_s$ , where  $\phi$  is the porosity and the subscripts  $s$  and  $f$  denote the solid and fluid components, respectively. Equation (96) is form invariant under coordinate transformations, with the transformation rules

$$(\rho C)'_s = \frac{(\rho C)'_e - \phi(\rho C)_f}{1 - \phi}, \quad (97a)$$

$$\kappa'_s = \frac{\kappa'_e - \phi\kappa_f}{1 - \phi}, \quad (97b)$$

$$\eta' = \frac{J\eta J^\dagger}{\det J}, \quad (97c)$$

where  $(\rho C)'_e = (\rho C)_e / \det J$  and  $\kappa'_e = J\kappa_e J^\dagger / \det J$ . The Reynolds number of the fluid creeping flow is assumed to be smaller than 1. Therefore, according to Eqs. (97) thermal metamaterials can be designed for use in the conduction-convection process.

In addition to the Darcy law, this methodology can also be applied to the Hele-Shaw flows (Oron, Davis, and Bankoff, 1997; Wang, Shih, and Huang, 2021; Dai *et al.*, 2022; Wang and Huang, 2022; Yao *et al.*, 2022; Dai and Wang, 2023a, 2023b), which model the Stokes flow between two close parallel plates. Further research is needed for other cases, such as fluid flows with high Reynolds numbers. Compared to thermal conduction, the experimental demonstration of transformation thermal convection is more complex. In 2019, Park, Youn, and Song (2019) fabricated a hydrodynamic cloak by transforming viscosity. Their microstructures suggest that the same approach can be used to regulate the permeability in porous media. This lead helped Chen, Shen *et al.* (2022) realize multifunctional metamaterials for fluid flow. Moreover, Boyko *et al.* (2021) experimentally demonstrated hydrodynamic cloaking and shielding using field-effect electro-osmosis, which might inspire further related research.

## B. Applications: Metamaterials and metadevices

Recently, Jin *et al.* (2023) reported a liquid-solid hybrid scheme to simultaneously control thermal convection and conduction. Utilizing advanced convection-conduction transformation theory, they designed, fabricated, and tested a liquid-solid hybrid thermal metamaterial with noteworthy heat-flux tunability.

Figure 29(a) displays a schematic of the proposed hybrid thermal metamaterial. A steady-state thermal transport should be taken into account,

$$\nabla \cdot \mathbf{J}_{\text{tot}} = \nabla \cdot (\mathbf{J}_{\text{cond}} + \mathbf{J}_{\text{conv}}) = 0, \quad (98)$$

where  $\mathbf{J}_{\text{tot}}$ ,  $\mathbf{J}_{\text{cond}}$ , and  $\mathbf{J}_{\text{conv}}$  are the total, conductive, and convective heat fluxes, respectively.  $\mathbf{J}_{\text{cond}}$  and  $\mathbf{J}_{\text{conv}}$  are governed by the Fourier law ( $\mathbf{J}_{\text{cond}} = -\boldsymbol{\kappa} \cdot \nabla T$ ) and the Darcy law ( $\mathbf{J}_{\text{conv}} = \rho C \nu T$ ,  $\nu = -\boldsymbol{\sigma} / \eta \cdot \nabla P$ ), with  $\boldsymbol{\kappa}$ ,  $T$ ,  $\rho$ ,  $C$ ,

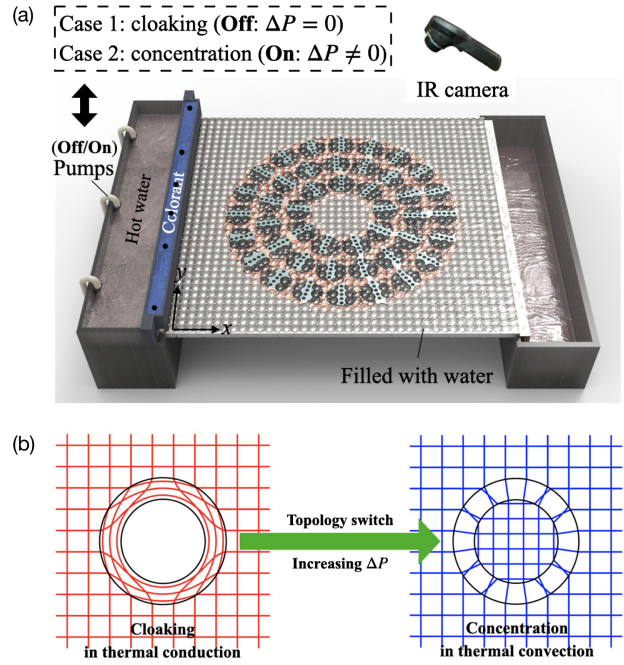


FIG. 29. Liquid-solid hybrid thermal metamaterials. (a) Experimental setup. (b) Topological transition occurs in the transformation space. Adapted from Jin *et al.*, 2023.

$\boldsymbol{\sigma}$ ,  $\eta$ , and  $P$  denoting the thermal conductivity, temperature, density, heat capacity, permeability, dynamic viscosity, and hydraulic pressure of the system. Therefore, Eq. (98) can be rewritten as

$$\nabla \cdot \left[ -\boldsymbol{\kappa} \cdot \nabla T + \rho C \left( -\frac{\boldsymbol{\sigma}}{\eta} \cdot \nabla P \right) T \right] = 0. \quad (99)$$

Equation (99) is invariant under any coordinate transformations. By means of the appropriate thermotics transformations of the thermal conductivity and permeability, one obtains both thermal conductive cloaking and convective concentration, thus ensuring a continuous tunability of the heat flux. By developing an  $r$ -independent transformation rule, the complexity of the parameter space is significantly reduced. For thermal cloaking, the space transformation rule is given by

$$r' = R_1 r / R_m, \quad 0 < r < R_m, \quad (100a)$$

$$r' = R_2 (r / R_2)^M, \quad R_m < r < R_2, \quad (100b)$$

$$\theta' = \theta, \quad (100c)$$

where  $M < 1$  represents the nonlinear order of the approximate space transformation for cloaking and  $R_m = R_2 (R_1 / R_2)^{1/M}$  determines the cloaking performance. The transformed thermal conductivity  $\boldsymbol{\kappa}'$  reads

$$\boldsymbol{\kappa}' = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \boldsymbol{\kappa}, \quad 0 < r' < R_1, \quad (101a)$$

$$\boldsymbol{\kappa}' = \begin{pmatrix} M & 0 \\ 0 & 1/M \end{pmatrix} \boldsymbol{\kappa}, \quad R_1 < r' < R_2. \quad (101b)$$

When thermal convection dominates, the metamaterial exhibits thermal concentration and the transformation rule is

$$r' = R_1 r / R_m, \quad 0 < r < R_m, \quad (102a)$$

$$r' = R_2 (r / R_2)^N, \quad R_m < r < R_2, \quad (102b)$$

$$\theta' = \theta, \quad (102c)$$

where  $N > 1$  represents the nonlinear order of the concentration's approximate space transformation and  $R_m = R_2 (R_1 / R_2)^{1/N}$ . The permeability  $\sigma'$  is obtained,

$$\sigma' = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \sigma, \quad 0 < r' < R_1, \quad (103a)$$

$$\sigma' = \begin{pmatrix} N & 0 \\ 0 & 1/N \end{pmatrix} \sigma, \quad R_1 < r' < R_2. \quad (103b)$$

Based on the previous rule, Jin *et al.* (2023) designed a basic unit that can independently and locally regulate thermal convection and conduction. This enabled the liquid-solid hybrid thermal metamaterial to undergo a topology transition in the transformation space, from cloaking to concentration, induced by the external hydraulic pressure difference [Fig. 29(b)]. This property may find extensive applications (Liu, Tan, and Xu, 2015; L.-J. Xu and Huang, 2020; Tong *et al.*, 2021; Chen, Shen *et al.*, 2022; Oyama *et al.*, 2022; Zhou, Li, and Marchesoni, 2023).

Inspired by wave systems, Y. Li, Zhu *et al.* (2019) proposed a thermal analog of zero-index metamaterials with infinite thermal conductivity (Fig. 30). The device is a bilayer structure, with its inner layer filled with a rotating fluid. The integrated convective part creates an extremely effective thermal conductivity, thereby eliminating the need for high thermal conductivity in the outer layer. The introduced extreme convection does not violate the original reciprocity of heat transfer, regardless of the detailed fluid motion. Similar rotating structures were investigated in later work (Li, Li, Cao *et al.*, 2020; Zhu, Ren *et al.*, 2021).

Thermal waves are usually triggered by thermal relaxation (Joseph and Preziosi, 1989). They have short relaxation times and dissipate quickly, making them difficult to use or control. The emergence of thermal metamaterials helped circumvent this difficulty. L. Xu and Huang (2020b) demonstrated the control of thermal waves using transformation complex

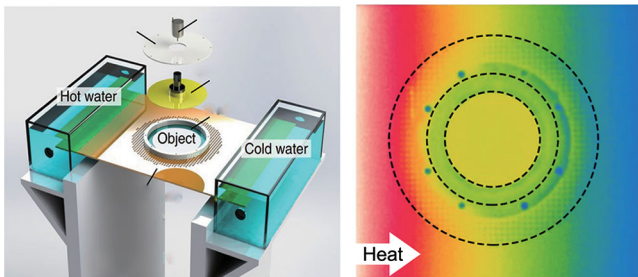


FIG. 30. Thermal analog to a zero-index photonics metamaterial. Adapted from Y. Li, Zhu *et al.*, 2019.

thermotics. They proved the form invariance of the complex conduction equation under a coordinate transformation. The real and imaginary parts of the complex thermal conductivity are related to conduction and convection, respectively. Similarly, by combining metamaterials and transformation theory, they designed thermal wave cloaks, concentrators, and rotators. The form of the complex conduction equation is the same as that of the conduction-convection equation. Therefore, Eqs. (97) are still applicable to this type of thermal wave. Along the same lines, thermal waveguides have also been proposed (Xu, Yang, and Huang, 2021; Zhang, Xu *et al.*, 2021).

### C. Nonreciprocal thermal waves, non-Hermitian thermal topology, and other phenomena

The introduction of convection has resulted in the emergence of numerous noteworthy physical phenomena in thermal metamaterials. An interesting example is the nonreciprocity of heat transport in convective devices (Fig. 31). A volume force was imposed in the counterclockwise direction of a three-port ring to generate an angular momentum bias (Fig. 31). This enabled the nonreciprocity of thermal waves to be observed in the angular-momentum-biased ring (Xu, Huang, and Ouyang, 2021a). The intensity of the volume force was used to manipulate the propagation behavior quantitatively. For a suitable value of the applied volume force, the amplitude of the oscillating temperature dropped to zero at port 3 but not at port 2, thus demonstrating the nonreciprocity of thermal wave propagation in the ring. Xu and Huang (2021) further demonstrated thermal edge states by arranging rings in a graphenelike array.

Diffusive Fizeau drag was also demonstrated with spatio-temporal modulation (Xu, Xu, Huang, and Qiu, 2022), as illustrated in Fig. 32. Fizeau drag indicates that light travels at different speeds along and against a water flow [Fig. 32(a)]. A similar phenomenon was also revealed for polaritons that propagate at different speeds in an electron flow [Fig. 32(b)].

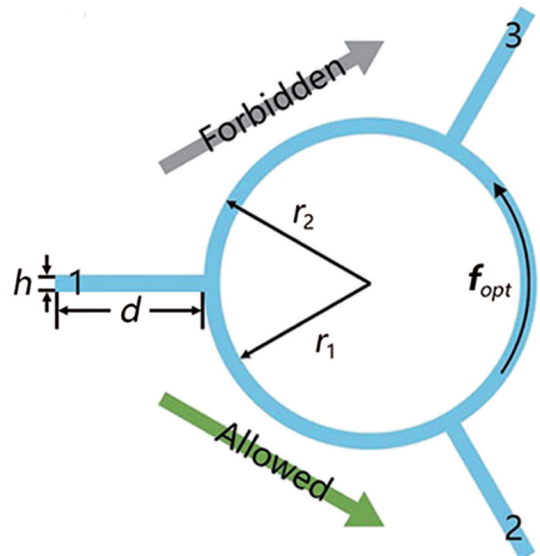


FIG. 31. Schematic of a three-port angular-momentum-biased ring. Adapted from Xu, Huang, and Ouyang, 2021a.



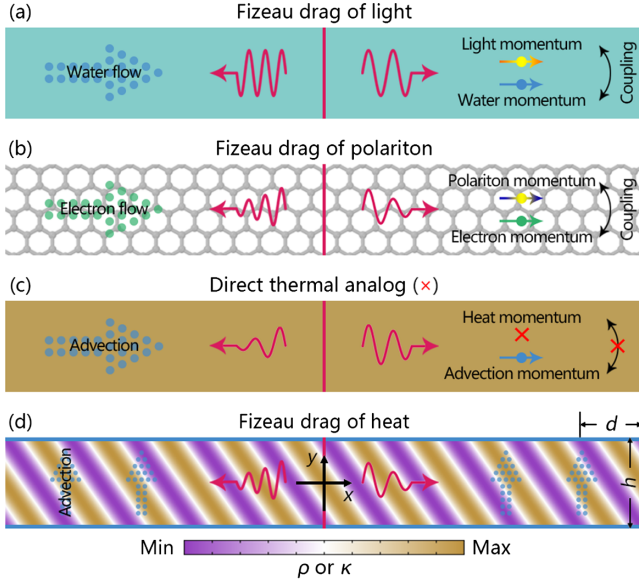


FIG. 32. Diffusive Fizeau drag mechanism. (a) Fizeau drag of light in a water flow. (b) Fizeau drag of polaritons in an electron flow. (c) Failure of a direct thermal analog. (d) Fizeau drag of heat in a porous medium modulated in space and time. Adapted from Xu, Xu, Huang, and Qiu, 2022.

The next question is whether dragging heat via a similar mechanism is possible. To this end, the concept of propagation speed should be established in heat transfer. Xu, Xu, Huang, and Qiu (2022) considered a wavelike temperature field  $T = Ae^{i(\beta x - \omega t)} + T_r$ , where  $A$ ,  $\beta$ ,  $\omega$ , and  $T_r$  are the amplitude, the wave number, the angular frequency, and the balanced temperature (set at zero for brevity), respectively. In this way, the propagation speed of a wavelike temperature field makes sense, laying a foundation for the Fizeau drag of heat. Common wisdom suggests that heat advection is the thermal counterpart of advection in water and electron flows. However, this idea fails because a temperature field does not carry “heat momentum” to interact with the advection momentum [Fig. 32(c)]. Specifically, temperature evolution with a horizontal advection is governed by

$$\rho_0 \frac{\partial T}{\partial t} + \nabla \cdot (\phi \rho_a \mathbf{u} T - \kappa_0 \cdot \nabla T) = 0, \quad (104)$$

where  $\rho_0$  ( $\rho_a$ ) is the product of the mass density and heat capacity of the porous medium (fluid),  $\phi$  is the porosity,  $\kappa_0$  is the thermal conductivity of the porous medium, and  $\mathbf{u}$  is the fluid velocity with components  $u_x$  and  $u_y$ . The forward and backward wave numbers (i.e.,  $\beta_f$  and  $\beta_b$ ) can be derived as

$$\beta_{f,b} = \pm \frac{\sqrt{2}\gamma}{4\kappa_0} + i \frac{-8\phi\rho_a u_x \omega \rho_0 \gamma_0 \pm \sqrt{2}\gamma(2\phi^2 \tilde{N}_a^2 u_x^2 + \gamma^2)}{16\omega\rho_0\kappa_0^2}, \quad (105)$$

with  $\gamma = \sqrt{-\phi^2 \rho_a^2 u_x^2 + \sqrt{\phi^4 \rho_a^4 u_x^4 + 16\omega^2 \rho_0^2 \kappa_0^2}}$ . A nonzero  $u_x$  cannot produce different magnitudes of  $|\text{Re}[\beta]|$  in opposite directions, indicating that no diffusive Fizeau drag is present.

Two crucial factors are taken into account to solve this problem: a vertical advective flow and a spatial distribution of the porous medium parameters. Neither ingredient alone causes thermal nonreciprocity in the horizontal direction. Diffusive Fizeau drag results from their interplay. The underlying mechanism is that time-related advection and space-related inhomogeneity induce spatiotemporal interaction and generate the thermal Willis coupling. The mass density  $\rho(\xi)$  and the thermal conductivity  $\kappa(\xi)$  of the porous medium are modulated as

$$\rho(\xi) = \rho_0[1 + \Delta_\rho \cos(G\xi + \theta)], \quad (106)$$

$$\kappa(\xi) = \kappa_0[1 + \Delta_\kappa \cos(G\xi)], \quad (107)$$

where  $\rho_0$  and  $\kappa_0$  are two constants,  $\Delta_\rho$  and  $\Delta_\kappa$  are the variation amplitudes,  $G$  is the modulation wave number,  $\xi$  is the generalized coordinate, and  $\theta$  is the phase difference between these two modulated parameters. Equation (104) can then be rewritten as

$$\begin{aligned} \bar{\rho}(\xi) \frac{\partial T}{\partial t} + \phi u_y \frac{\partial T}{\partial y} + \frac{\partial}{\partial x} \left( -D_0 \bar{\kappa}(\xi) \frac{\partial T}{\partial x} \right) \\ + \frac{\partial}{\partial y} \left( -D_0 \bar{\kappa}(\xi) \frac{\partial T}{\partial y} \right) = 0, \end{aligned} \quad (108)$$

with  $\bar{\rho}(\xi) = \rho(\xi)/\rho_0$ ,  $\bar{\kappa}(\xi) = \kappa(\xi)/\kappa_0$ ,  $\epsilon = \rho_a/\rho_0$ , and  $D_0 = \kappa_0/\rho_0$ . The forward and backward propagation speeds in such a metamaterial are different, as shown in Fig. 32(d).

Equation (108) is further homogenized to uncover the underlying mechanism. Similarly, the Fourier law should be modified to  $\tau \partial_t J + J = -\kappa_e \partial_x T_0 + \sigma_2 \partial_t T_0$ , where  $\tau$ ,  $\kappa_e$ ,  $\sigma_2$ , and  $T_0$  are the parameters after homogenization. This effect is referred to as the thermal Willis coupling, whereby the heat flux also depends on the rate of the temperature change. Therefore, whether it is pure conduction (Xu, Xu, Li *et al.*, 2022) or conduction advection (Xu, Xu, Huang, and Qiu, 2022), the thermal Willis coupling may exist broadly in dynamically inhomogeneous media featuring the relative “motion” of thermal parameters and mass.

Interesting topological and non-Hermitian physics are also revealed in diffusion systems where convective heat transfer is introduced into thermal conduction. Compared with purely conductive systems, conductive-convective systems present three main advantages.

(i) The first is that introducing heat convection allows anti-parity-time (APT) symmetry to be introduced in diffusive systems. Parity-time (PT) symmetry is an essential symmetry in non-Hermitian physics because the system exhibits a real spectrum (Bender and Boettcher, 1998; Bender, 2007). When the eigenstates of the PT-symmetric Hamiltonian preserve (violate) PT symmetry, the system is in the PT unbroken (broken) phase. The critical point between these two phases is the so-called exceptional point (EP). Controlling the PT symmetry in wave systems requires a delicate balance between gain and loss.

However, it is challenging to observe the PT-related phenomenon in diffusion systems that are intrinsically dissipative (anti-Hermitian). This issue was addressed by

introducing forward and backward wavelike fields, i.e., heat convection, which act as a Hermitian component. Y. Li *et al.* (2019) considered two coupled rings [Fig. 25(a)] with equal but opposite rotating velocities. The governing equations for this double-ring structure are

$$\frac{\partial T_1}{\partial t} = D \frac{\partial^2 T_1}{\partial x^2} - v \frac{\partial T_1}{\partial x} + h(T_2 - T_1), \quad (109)$$

$$\frac{\partial T_2}{\partial t} = D \frac{\partial^2 T_2}{\partial x^2} + v \frac{\partial T_2}{\partial x} + h(T_1 - T_2), \quad (110)$$

where  $D$  is the ring diffusivity,  $h$  is the heat exchange rate between two rings, and  $v$  is the angular velocity of rings. By employing the plane-wave solution, an effective APT symmetric Hamiltonian was obtained,

$$\hat{H} = \begin{pmatrix} -i(k^2 D + h) + kv & ih \\ ih & -i(k^2 D + h) - kv \end{pmatrix}, \quad (111)$$

where  $k$  is the wave number. The eigenvalue is

$$\omega_{\pm} = -i \left[ (k^2 D + h) \pm \sqrt{h^2 - k^2 v^2} \right]. \quad (112)$$

The EP emerges when  $h^2 = k^2 v_{\text{EP}}^2$ . We now focus on the fundamental mode  $k = 1/R$ . The theoretical decay rates and eigenfrequencies of the effective Hamiltonian are shown in Figs. 33(a) and 33(b) to agree with the simulations. In the APT unbroken phase, after a certain time transient the temperature of ring 1 approaches a stationary profile with a localized maximum. Conversely, in the APT broken phase the temperature profile keeps shifting due to the nonzero eigenfrequency.

By increasing the number of rings, the higher-order EPs of diffusion systems grow more robust against perturbations and phase oscillations (Cao *et al.*, 2020). Using the four-ring model, a third-order EP can be obtained at the critical velocity when the APT phase transition occurs. Further increasing the number of rings, the device will become a coupled ring chain structure, as previously mentioned. Introducing APT symmetry into the coupled ring chain structure will give rise to many interesting phenomena. For example, the phase-locking diffusive skin effect in the APT unbroken phase can be induced by heat convection and asymmetric coupling (Cao *et al.*, 2022); dynamical double localization centers can be induced by heat convection and Anderson localization in the APT broken phase (Liu and Huang, 2022).

(ii) The second is that heat convection acts as an additional highly tunable degree of freedom, which helps one to implement topological and non-Hermitian effects in diffusion systems. Recently the dynamical EP encircling in a non-Hermitian topology has been realized in multiple ring systems (Xu, Li, Li *et al.*, 2021). This system is composed of two orthogonal pairs of counterrotational convection rings. One is rotating in  $r - \theta$  space and the other is translating along the  $z$  direction (Fig. 34). This design creates a complex parameter space, providing enough degrees of freedom to encircle an EP. The complex spectrum of the system's effective Hamiltonian exhibits two EPs. The geometric phase generated by a dynamically encircling trajectory determines the topological

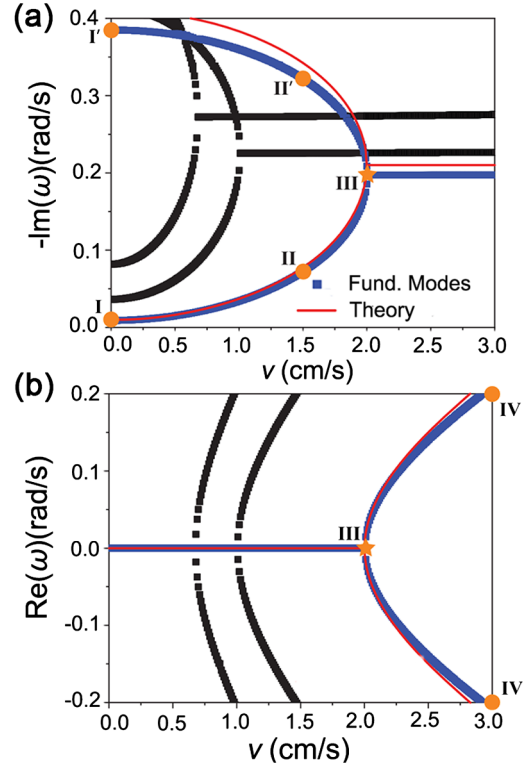


FIG. 33. Heat convection-induced APT symmetry in diffusion systems. (a) Decay rates and (b) eigenfrequencies of the double-ring model. The blue squares represent the simulation results of the fundamental mode, and the red lines represent the theoretical eigenvalues of the effective Hamiltonian. Adapted from Y. Li *et al.*, 2019.

properties of the EP. It is related to two quantized topological invariants: the eigenstate winding number  $\omega$  (Leykam *et al.*, 2017) and the eigenvalue vorticity  $\nu$  (Shen, Zhen, and Fu, 2018). When the adiabatic trajectory of heat convection encircles two EPs, both topological invariants take integer values, which reveals the non-Hermitian thermal topology. Accordingly the temperature profile of the surface of the central medium exhibits a dynamic-equilibrium distribution without large deviations, and so does the location of the maximum temperature point. In this case, the system will execute a closed path over a full Riemann sheet and its phase

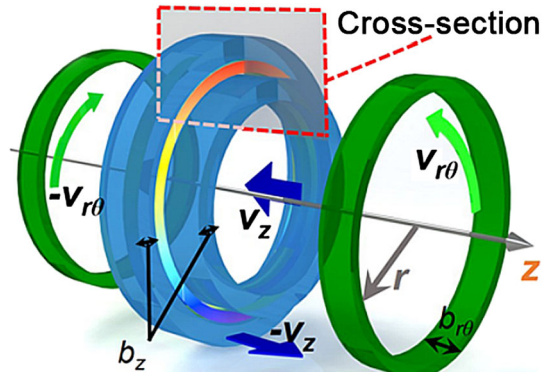


FIG. 34. Schematic diagram of a multiring system. Adapted from Xu, Li, Li *et al.*, 2021.

return to its initial value after one period. By comparison, when the trajectory encircles one EP, both topological invariants are half-integer numbers. The phase of the temperature profile and the location of the temperature maximum sharply jump by  $\pi$  after one period. The eigenstate will exchange to another state with a  $\pi$ -phase winding after one period and return to its initial position after two periods. The geometric phase induced by heat convection was also investigated by Xu, Wang *et al.* (2021). Based on the double-ring model, the temperature field will accumulate a phase during a cyclic evolution of time-varying rotating velocity. When the cyclic trajectory does not contain the EP, the temperature profile will revert to its initial state after one period (the zero geometric phase). Conversely, it gains an extra  $\pi$  geometric phase. Moreover, Xu, Liu, Xu *et al.* (2023) recently reported a Hall-like heat transfer. By rotating solid particles, they realized large thermal chirality without strong magnetic fields or extremely low temperatures.

Weyl points are band degeneracy points whose dispersion satisfies the Weyl equation in high-energy physics. When a non-Hermitian term is introduced into the Weyl Hamiltonian, the Weyl point evolves into a Weyl exceptional ring (WER) composed of EPs (Xu, Wang, and Duan, 2017). The WER has been implemented in topological photonics (Cerjan *et al.*, 2019) and topological acoustics (J.-J. Liu *et al.*, 2022) and, more relevant to this review, also in diffusive systems (Xu, Li *et al.*, 2022). As shown in Fig. 35, the basic model consists of a central strip surrounded by four counterconvection components arranged in orthogonal spaces.

The initial temperature profile of the combined structure was imposed periodically and alternately by the heating and cooling sources to generate a periodic wavelike field (Fig. 35). The synthetic 3D parameter space of this system provides enough degrees of freedom to encompass the WER. If the integration surface encircles the entire WER, the temperature profile and the location of the maximum temperature points in

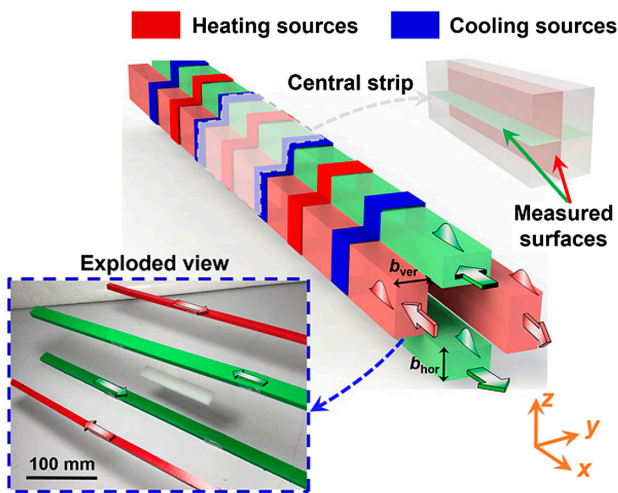


FIG. 35. Schematic diagram of an experimental setup showing a WER. Periodic and alternating heating and cooling sources are applied to the entire system. Green and red strips indicate horizontal and vertical convection, respectively, with arrows denoting the initial motion directions. Insets: enlarged views of the central strip. Adapted from Xu, Li *et al.*, 2022.

the  $x$ - $y$  and  $x$ - $z$  planes remain stationary, which is the signature of a nontrivial topology.

(iii) The third advantage is that the introduction of heat convection can circumvent the disadvantage of only being able to observe the temperature field of the slowest decaying branch in pure diffusion systems. Heat convection is a wavelike field that induces wave topology rather than diffusive topology. Many interesting and important topological states can be realized in diffusion systems. For instance, the thermal behavior of the topological edge state can be studied by tracking the temperature evolution in pure conduction systems, as previously mentioned. However, the temperature field for the edge state decays quickly with no obvious observation, making it difficult to achieve localized heat management. With the help of convection modulation, Xu, Yang *et al.* (2022) recently reported the observation of the topological edge state for a 1D system with a robust temperature profile.

Following the work of Xu, Yang *et al.* (2022), we consider a planar fluid surface in the virtual space  $(x_p, y_p, z)$  [Fig. 36(a)]. Periodic heat convection along the  $x_p$  direction is imposed in regions marked by different colors. A lattice with four units

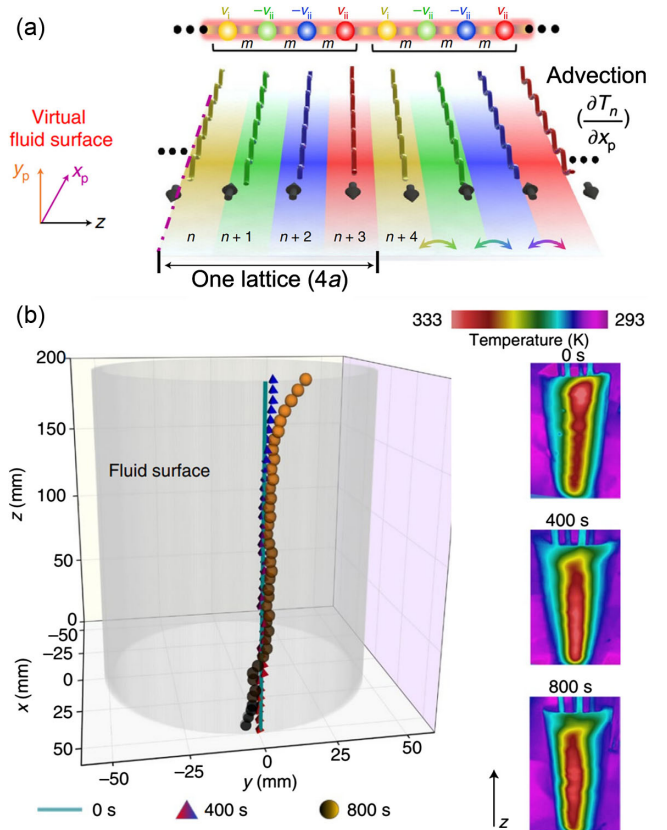


FIG. 36. 1D topological convective thermal insulators. (a) Planar fluid surface with periodic convection units in the virtual space  $(x_p, y_p, z)$ . These periodic convection units are oriented in the  $x_p$  direction and arranged in the  $z$  direction to form a lattice of four units. The unit heat exchange rate is denoted by  $m$ . (b) Experimental locations of the maximum temperature points for each unit at the specific moments with a topological edge state. The corresponding temperature profiles are shown on the right. Adapted from Xu, Yang *et al.*, 2022.

along the  $z$  direction are thus generated. Additionally the two ends for one unit along the  $x_p$  direction should be connected to form a ring in the transformed space  $(x, y, z)$  for practical implementation. This new setup is the coupled ring chain structure, as previously mentioned. This system has nontrivial topological properties, with a pair of edge states under the convection arrangement  $(v_i, -v_{ii}, -v_i, v_{ii})$ . The locations of maximum temperature points and temperature profiles at the specific moments are presented in Fig. 36(b) for this arrangement. In this case, the thermal profile is robust and stationary with some slight deviations relative to the initial positions at the two system boundaries, which is a signature of topological edge states.

Recent work has demonstrated the extension of the heat-convection-induced topological state to 2D systems (Xu, Zhou *et al.*, 2023). As an example, Xu, Zhou *et al.* (2023) considered an effective 2D square lattice with 16 discrete sites sketched in Fig. 37. The corresponding unit cell consists of four sites. The opposite convective velocities of the light-yellow and light-red sites are denoted as  $\Omega_I$  and  $\Omega_{II}$ , respectively. Channel overcoupling (undercoupling) are indicated by solid (dashed) lines, in analogy with the positive (negative) hopping amplitudes required for a quadrupole topological insulator. When tuning the ratio  $\Omega_{II}/\Omega_I$  to a specific value, in-gap corner states can be found in the real spectrum. A Chern insulator with a chiral edge state was demonstrated in diffusion systems in a separate work (Xu and Huang, 2021). The basic unit there was the hexagonal structure, where the vertex regions were solid pumps driving fluids with convective speed  $v$ . Based on this structure, a honeycomb lattice can be designed to emulate the Haldane model. A periodic temperature source is then applied at the edge in analogy with an optical pump. As in the case of the chiral edge mode, a steady temperature field will propagate unidirectionally along the boundary of the system without backscattering. Moreover, Wettlaufer (1991), Omstedt and Wettlaufer (1992), Toppaladoddi, Succi, and Wettlaufer (2015, 2017), Marath and Wettlaufer (2020), Toppaladoddi *et al.* (2021), and Moon *et al.* (2022) produced pioneering works in the field of climate science, revealing thermal

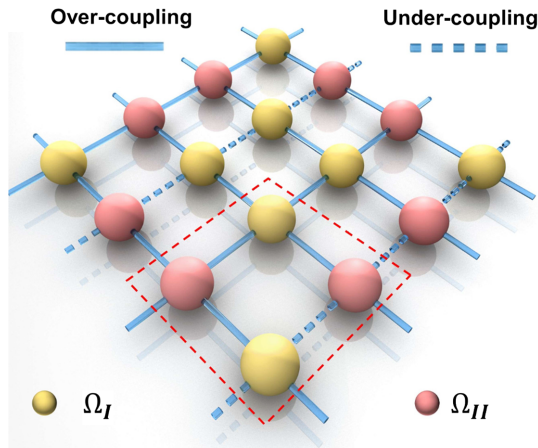


FIG. 37. Schematic diagram of a square lattice with 16 sites. The red dashed border denotes a four-site unit cell. Adapted from Xu, Zhou *et al.*, 2023.

convection transport mechanisms that affect global warming. Researchers in metamaterial physics notice the necessity of convection in thermal transport.

## V. THERMAL CONDUCTION AND RADIATION

Thermal radiation is another major mode of heat transfer. Any object with a nonzero temperature emits thermal radiation. Therefore, like thermal convection, thermal radiation usually occurs in conjunction with thermal conduction. Joint manipulation of thermal conduction and radiation is currently an active area of metamaterial research.

### A. Theory and transformation principles

Thermal radiation is essentially electromagnetic waves that transformation optics can manipulate in principle. However, in certain cases where the photon mean free path is far smaller than the system size (i.e., optically thick media), thermal photons have diffusive properties. Xu, Dai, and Huang (2020) developed transformation multithermotics theory (incorporating conduction and radiation) to simultaneously control thermal radiation and conduction. They employed the Rosseland diffusion approximation to couple the two thermal mechanisms through the following equation:

$$\rho C \frac{\partial T}{\partial t} + \nabla \cdot \left[ -\kappa \nabla T + \left( -\frac{16}{3} \gamma^{-1} n^2 \sigma T^3 \nabla T \right) \right] = 0, \quad (113)$$

where  $\rho$ ,  $C$ , and  $\kappa$  have been previously mentioned, while  $\gamma$ ,  $n$ , and  $\sigma$  are the Rosseland mean absorption coefficient, the relative refractive index, and the Stefan-Boltzmann constant, respectively. Equation (113) is invariant under coordinate transformations with the transformation

$$\rho' C' = \frac{\rho C}{\det J}, \quad (114a)$$

$$\gamma' = J^{-\dagger} \gamma J^{-1} \det J, \quad (114b)$$

$$\kappa' = \frac{J \kappa J^{\dagger}}{\det J}. \quad (114c)$$

### B. Applications: Metamaterials and metadevices

Xu, Dai, and Huang (2020) applied their transformation theory to the design of thermal cloaks, concentrators, and rotators. The temperature fields with thermal conduction and radiation exhibit distinct behavior at different temperature ranges compared to temperature fields with pure thermal conduction. In the low-temperature range between 300 and 320 K, thermal conduction is the dominant mode of heat transfer; thus, the temperature gradient remains uniform. As the temperature increases, thermal radiation begins to take effect, causing the isotherms to shift to the right. At extremely high temperatures, thermal radiation dominates and thermal conduction can be disregarded altogether.

Radiating thermal metamaterials find applications to thermal imaging. One can control thermal conduction and

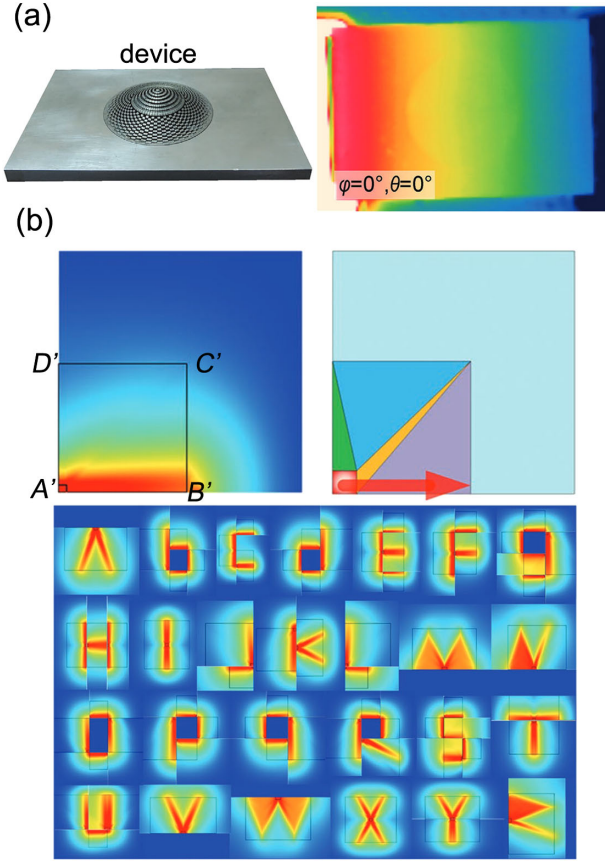


FIG. 38. Joint manipulation thermal conduction and radiation. (a) 3D printed metahelmet (right image) and thermograph of the camouflaged surface (left image). Adapted from Peng *et al.*, 2020. (b) Schematic of the compartmentalized transformation (upper images) and heat signatures of the entire alphabet (lower image). Adapted from Hu *et al.*, 2019.

radiation to achieve the desired radiation pattern (Dede *et al.*, 2020). For example, Li, Bai *et al.* (2018) realized thermal radiative camouflage based on transformation thermotics. They constructed a 2D structured camouflage surface through a twofold transformation. Thus, the surface temperature field can be adjusted without influencing the background thermal conductivity. Subsequently 3D thermal camouflage was proposed by Peng *et al.* (2020). They printed a 3D metahelmet for wide-angle thermal camouflage. The thermograph in Fig. 38(a) demonstrates the camouflage capabilities of their device. Moreover, Zhou, Hu, and Luo (2018) and Hu *et al.* (2019) reported encrypted thermal printing with compartmentalized transformation theory [Fig. 38(b)]. A point heat source was applied at point  $A'$ . By adjusting the thermal conductivities of rectangular regions according to transformation theory, they realized the entire alphabet, which has potential application value in thermal encoding. The heat was conducted directionally as a brush for thermal paintings.

### C. Thermal nonlinearities and near-field thermal radiation

Thermal radiation, which is closely linked to temperatures, thus produces nonlinear effects. For instance, thermal radiation is much weaker than thermal conduction when the

temperature is relatively low. Consequently thermal radiation can be disregarded, and the system is in a linear region. As the temperature increases, thermal radiation becomes essential and affects the temperature field, and the system enters a nonlinear region. This nonlinear characteristic was utilized to create a thermostat for flexible temperature control (Ordonez-Miranda, 2020).

Compared to far-field thermal radiation (Wang *et al.*, 2019), near-field radiative heat transfer with novel properties has also attracted considerable attention (Sun, 2019; Fernández-Alcázar *et al.*, 2021; Wang, Lu, and Jiang, 2021; Ge *et al.*, 2023; Tian *et al.*, 2023). Enhancing near-field radiation is an interesting topic because the enhanced near-field coupling of evanescent waves can surpass the far-field limit predicted by the Stefan-Boltzmann law. Fernández-Hurtado *et al.* (2017) achieved this goal by designing Si-based metasurfaces composed of 2D periodic arrays of holes. They highlighted the importance of the bandwidth of surface-plasmon polaritons. Later Du *et al.* (2020) reported near-field heat transfer measurements between two hyperbolic metamaterials. They experimentally validated the effective medium theory for near-field radiative metamaterials, stimulating the research of new metamaterials for thermophotonic devices (Wang, Lu, and Jiang, 2019; Wang, Wang, Lu, and Jiang, 2022; Wang, Lu *et al.*, 2023).

## VI. THERMAL CONDUCTION, CONVECTION, AND RADIATION

In reality the three fundamental modes of heat transfer always occur together. However, managing them simultaneously remains a challenge due to their distinct characteristics.

### A. Theory and transformation principles

It is beneficial to unify the three fundamental modes within the framework of transformation theory. Xu, Yang *et al.* (2020) performed this by incorporating the convective flux in Eq. (113). In pure fluids, their omnithermotics equation reads

$$\rho_f C_f \frac{\partial T}{\partial t} + \nabla \cdot \left[ -\kappa_f \nabla T + \rho_f C_f \mathbf{v} T + \left( -\frac{16}{3} \gamma_f^{-1} n_f^2 \sigma T^3 \nabla T \right) \right] = 0, \quad (115)$$

where  $\rho_f$ ,  $C_f$ ,  $\kappa_f$ , and  $\mathbf{v}$  are the density, the heat capacity, the thermal conductivity, and the fluid velocity, respectively. This equation is form invariant under the transformation rules

$$\rho'_f C'_f = \frac{\rho_f C_f}{\det \mathbf{J}}, \quad (116a)$$

$$\gamma'_f = \mathbf{J}^{-\dagger} \gamma_f \mathbf{J}^{-1} \det \mathbf{J}, \quad (116b)$$

$$\kappa'_f = \frac{\mathbf{J} \kappa_f \mathbf{J}^\dagger}{\det \mathbf{J}}, \quad (116c)$$

$$\mathbf{v}' = \mathbf{J} \mathbf{v}. \quad (116d)$$

Directed by Eq. (116), one can control the total heat flux at their discretion and design various thermal metadevices. Here and in the following, we use the term *omnithermotics* to encompass conduction, convection, and radiation.

The parameters of transformation-based metamaterials are always anisotropic, and omnithermotics is no exception. However, certain conventional methods still prove effective, such as layered structure design (Xu, Dai, and Huang, 2020) and scattering-cancellation technology (Xu and Huang, 2019b).

### B. Applications: Metamaterials and metadevices

Wang, Fang *et al.* (2020) recently proposed a reconfigurable metasurface. They theoretically calculated the surface temperature by considering the three fundamental modes of heat transfer. With the radiation-cavity effect, they linked the surface emissivity to the depth of the unit hole. Therefore, the surface temperature can be adjusted by individually tuning the depth of its units. This discretized metasurface is reconfigurable for both infrared-light illusion and visible-light similarity.

Radiative cooling is another key application for omnithermal systems. In 2014, Raman *et al.* (2014) proposed a passive radiative cooling system under direct sunlight. The schematic illustration of their setup is shown in Fig. 39(a). To design selective thermal emission in the atmospheric window, they used  $\text{HfO}_2$  and  $\text{SiO}_2$  to construct a multilayered structure. Additionally a well-sealed air pocket was designed to reduce the influence of thermal convection. The curve in Fig. 39(b) demonstrated the excellent performance of the radiative cooler device.

### C. Thermal nonlinearities

Omnithermotics offers unprecedented possibilities to achieve advanced thermal control and explore novel physics. Following the work of Xu, Yang *et al.* (2020), Yang, Xu *et al.* (2020) developed an effective medium theory and designed switchable omnithermal metamaterials that could be manipulated between transparency and cloaking by controlling three basic methods of heat transfer. According to the Stefan-Boltzmann law, radiative heat flow is quadratic in the temperature, and its strong nonlinearity is stimulating fresh interest in thermal topology problems. Introducing nonlinearities into classical topological models can lead to a variety of novel phenomena beyond the linear regime (Kosterlitz, 1976; Haldane, 1983; Chen *et al.*, 2014; Leykam and Chong, 2016; Morimoto and Nagaosa, 2016; Liu *et al.*, 2017; Zhou *et al.*, 2017; Maczewsky *et al.*, 2020; Smirnova *et al.*, 2020; Bhalla, 2021; Ezawa, 2021; Hang *et al.*, 2021; Hu, Bongiovanni *et al.*, 2021; Jürgensen, Mukherjee, and Rechtsman, 2021; Kirsch *et al.*, 2021; Xia *et al.*, 2021; Ezawa, 2022a, 2022b; Yuan *et al.*, 2022). In a topological heat radiation system, nonlinearity can be realized by converting the higher-order dependence of the radiation coefficient, thus enabling functions that linear thermal systems cannot. Additionally, non-Hermitian physics can be studied in heat radiative systems due to their energy exchange with the environment (T. J. Liu *et al.*, 2022).

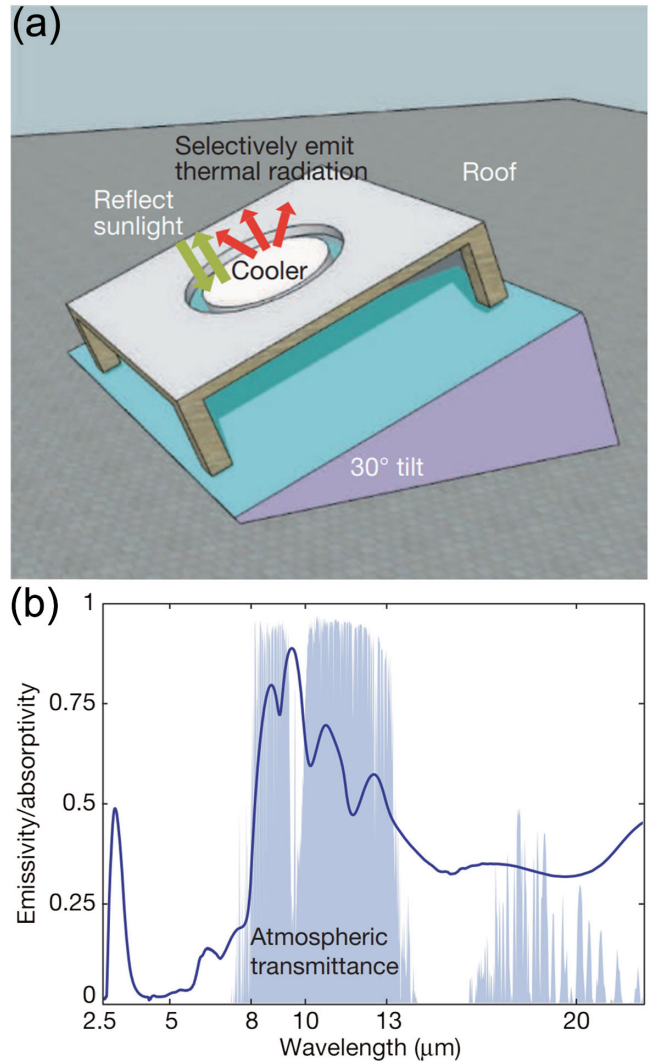


FIG. 39. (a) Schematic of a radiative cooling device. (b) Measured emissivity. The shaded area marks the atmospheric transmittance window. Adapted from Raman *et al.*, 2014.

## VII. THERMAL AND ELECTRIC CONDUCTION

Thermoelectric equipment is essential in industry and everyday life. Manipulating both thermal and electric fields in a single device is of critical importance. In this context, the thermal and electric components of the thermoelectric field can be treated as acting separately (decoupled) or as interacting (coupled). When a device has both a temperature and a voltage difference, the thermal and electrical conductivity of the decoupled thermoelectric field control the thermal and electric fields independently without affecting each other. However, the thermal and electric fields of a coupled thermoelectric field interact with each other and cannot be separately controlled. In other words, when the thermoelectric effect is taken into account the coupling terms cannot be ignored, significantly increasing the complexity of the problem.

### A. Theory and transformation principles

The steady-state heat and electric conduction equations without heat or power sources can be formulated as

$$\nabla \cdot (\kappa \nabla T) = 0, \quad (117a)$$

$$\nabla \cdot (\sigma \nabla \mu) = 0, \quad (117b)$$

where  $\kappa$  and  $\sigma$  are thermal and electrical conductivities, respectively,  $T$  is the temperature, and  $\mu$  is the electric potential. Equations (117) satisfy form invariance with transformation rules (Li, Gao, and Huang, 2010),

$$\kappa' = \frac{A \kappa A^\dagger}{\det A}, \quad (118a)$$

$$\sigma' = \frac{A \sigma A^\dagger}{\det A}, \quad (118b)$$

where  $\kappa'$  and  $\sigma'$  are the transformed thermal and electrical conductivities, respectively. In Eqs. (118)  $A$  is the relevant Jacobian transformation matrix. The physical parameters in real space can be obtained by substituting the transformed coordinates into the Jacobian matrix.

In coupled thermoelectric fields, electricity and heat can be converted into each other. The heat flow generated by the potential difference is known as the Peltier effect. If the charge carriers flow from high to low energy levels, an excess heat is released into the material. Conversely, if the charge carriers move in the opposite direction, heat is absorbed from the environment (i.e., the material is refrigerated). The current generated by a temperature difference is known as the Seebeck effect. Under a temperature gradient, the charge carriers in the conductor move from a hot to a cold end and accumulate there, thus causing an electric potential difference, which in turn induces an electric current in the opposite direction. In the case of the thermoelectric effect, the thermal and electric fields are coupled with the Seebeck coefficient, which is used to characterize the strength of the Seebeck effect. When temperature and voltage differences are simultaneously applied to a medium, coupled heat and current are separately induced by each other in addition to their independent transport. In the linear regime, the coupling between the electric density  $J_E$  and the heat current density  $J_Q$  is expressed as

$$J_E = -\sigma \nabla \mu - \sigma S \nabla T, \quad (119a)$$

$$J_Q = -\kappa \nabla T + T S^\dagger J_E, \quad (119b)$$

where  $S$  is the Seebeck coefficient and  $S^T$  is its transpose. Accordingly Eqs. (117) can be rewritten as

$$\nabla \cdot J_E = 0, \quad (120a)$$

$$\nabla \cdot J_Q = -\nabla \mu \cdot J_E. \quad (120b)$$

Equations (120) express the local conservation in the steady state of charge and energy, respectively. The thermoelectric coupling transport produces a heat source term  $-\nabla \mu \cdot J_E$  corresponding to the Joule effect. In view of the Onsager reciprocal relations (Onsager, 1931), electric and thermal conductivity matrices should be symmetric:  $\sigma = \sigma^\dagger$  and  $\kappa = \kappa^\dagger$ . Substituting Eqs. (120) into Eqs. (119), the complete

governing equations for the thermoelectric effect can be formulated as

$$\nabla \cdot (\sigma \nabla \mu + \sigma S \nabla T) = 0, \quad (121a)$$

$$-\nabla \cdot (\kappa \nabla T + T S^\dagger \sigma S \nabla T + T S^\dagger \sigma \nabla \mu) = \nabla \mu \cdot (\sigma \nabla \mu + \sigma S \nabla T). \quad (121b)$$

Equation (121) satisfies formal invariance under the coordinate transformation (Stedman and Woods, 2017; Zhuang and Huang, 2023), and the relevant transformation rules can be written as

$$\begin{aligned} \kappa'(r') &= \frac{A \kappa A^\dagger}{\det A}, \\ \sigma'(r') &= \frac{A \sigma A^\dagger}{\det A}, \\ S'(r') &= A^{-\dagger} S A^\dagger, \end{aligned} \quad (122)$$

where  $\kappa'(r')$ ,  $\sigma'(r')$ , and  $S'(r')$  are the transformed thermal conductivity, electrical conductivity, and Seebeck coefficient, respectively. Compared to the decoupled thermoelectric field, the transformation of the Seebeck coefficient should be taken into account in the coupled thermoelectric field.

## B. Applications: Metamaterials and metadevices

For practical purposes, finding the anisotropic thermoelectric materials required by transformation theory is challenging. Therefore, in thermoelectric metamaterials, the effective medium theory and scattering-cancellation theory are often used. We first discuss the function of a decoupled thermoelectric field (Lan, Bi, Fu *et al.*, 2016; Lan, Bi, Gao *et al.*, 2016; Zhang and Shi, 2018; Zhang, He, and Wu, 2020). A thermal and electric concentrator was first realized experimentally by returning to the effective medium theory (Lan, Li, and Zhou, 2015). In the proposed geometry, the concentrator shell consists of wedges of two different materials that are alternately arranged to form an annulus [Figs. 40(a)]. Electric and thermal fields can also achieve different functions. Thermal concentration and electric cloaking were simultaneously realized by embedding a mixture of different shapes and materials in the host medium [Figs. 40(b)] (Moccia *et al.*, 2014). An invisible sensor that can simultaneously sense and hide thermal and electric fields is proposed and realized (Yang, Bai *et al.*, 2015). Li, Gao, and Huang (2010) theoretically formed a composite cloak shell by distributing nonspherical nanoparticles with different shapes and volume fractions along the cloak radius. Based on the effective medium theory, the effective electric and thermal conductivities were shown to meet the cloaking requirement calculated with transformation theory (Li, Gao, and Huang, 2010). Thermal and electric cloaks were realized experimentally in a bilayer structure designed using scattering-cancellation theory (Ma *et al.*, 2014).

In addition to temperature-dependent transformation theory, functional switching can be obtained through *ad hoc* geometries of the thermoelectric metamaterials. Adjusting the functions of multiple physical fields requires many parameters

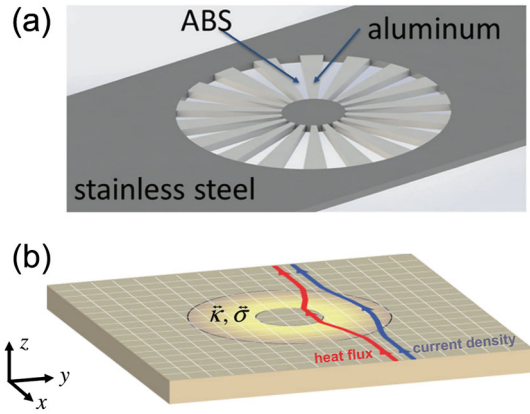


FIG. 40. Multifunctional devices for decoupled thermoelectric fields. (a) Experimental realization of a thermal and electric concentrator in a single device. The concentrator shell consists of 18 wedges of material *A* (acrylonitrile butadiene styrene) and 18 wedges of material *B* (aluminum). Adapted from [Lan, Li, and Zhou, 2015](#). (b) Schematic showing the implementation of thermal concentration and electric cloaking in a single device. Adapted from [Moccia \*et al.\*, 2014](#).

to be simultaneously fine-tuned, which is experimentally difficult. Therefore, [Lei, Xu, and Huang \(2023\)](#) brought forward the notion of spatiotemporal multiphysics metamaterial to expand the functional parameter space. Their spatiotemporal metadvice consisted of a rotating checkerboard structure in which the even layers rotated to modulate its geometry and, therefore, continuously vary its functions.

When temperature and electric potential differences are applied to the two ends of the structure, background thermal and electric currents flow through it. Their direction in the central region determines the function of thermal and electric fields, as can be predicted when calculating the effective thermal and electric conductivities. Using the effective medium theory layer by layer, the effective thermal and electric conductivities of the checkerboard structure can be obtained. The chessboard structure of two (four) materials makes the thermal and electric fields switch over time with the same (different) function. Two-material (four-material) spatiotemporal metamaterials allow multiphysics fields to achieve three (five) functional combinations in a single device. The simulation further verifies the theoretical prediction. The multifunctional combination of multiple physical fields can also be adjusted by controlling the checkerboard material composition, geometry, and rotation time.

Transformation theory also suggests how the basic functions of cloaking, concentration, and rotation apply to a coupled thermoelectric field ([Shi, Stedman, and Woods, 2019](#); [Lei \*et al.\*, 2021](#)). A bilayer structure based on the generalized scattering-cancellation method can effectively reduce the difficulty of preparing thermoelectric metamaterials with the parameters required by transformation theory ([Qu, Wang, and Huang, 2021](#)). Two practical applications in coupled thermoelectric fields based on temperature-dependent transformation theory have been proposed ([Lei \*et al.\*, 2021](#)): a switchable thermoelectric device that adapts to the ambient temperature by switching between cloak and concentrator mode and an improved thermoelectric cloak that keeps the

internal temperature nearly constant. In the coupled thermoelectric field, the thermal and electric fields are difficult to control independently due to the coupling terms. This conundrum can be solved within a general computational scheme for designing composite materials capable of enhancing or suppressing current and thermal current in the desired direction that follow the simple circuit theory of in-parallel and in-series connected transmission properties ([Shi, Stedman, and Woods, 2020](#)).

### C. Nonlinear thermoelectric effects and effective thermoelectric metamaterials toward high-energy conversion efficiency

To improve the adaptability and versatility of the actual complex scene, multifield transformation theory can be generalized to account for nonlinear effects. Nonlinear transformation theory refers to temperature-dependent or temperature-independent coordinate transformations of temperature-dependent background parameters. For the thermoelectric effect coupled by the Seebeck coefficient, the governing equations coincide with Eq. (121), considering the temperature-dependent thermal conductivity  $\kappa(T)$ , the electrical conductivity  $\sigma(T)$ , and the Seebeck coefficient  $S(T)$ . According to this theory, the transformation rule can be expressed as ([Lei \*et al.\*, 2021](#))

$$\kappa'(r, T) = \frac{\mathbf{A}\kappa(T)\mathbf{A}^\dagger}{\det \mathbf{A}}, \quad (123a)$$

$$\sigma'(r, T) = \frac{\mathbf{A}\sigma(T)\mathbf{A}^\dagger}{\det \mathbf{A}}, \quad (123b)$$

$$S'(r, T) = \mathbf{A}^{-\dagger}S(T)\mathbf{A}^\dagger, \quad (123c)$$

where  $\kappa(T)$ ,  $\sigma(T)$ , and  $S(T)$  are the temperature-dependent background parameters and the transformed parameters  $\kappa'(r, T)$ ,  $\sigma'(r, T)$ , and  $S'(r, T)$  are related to the temperature and the transformed coordinates. Temperature-dependent transformation theory also applies to the decoupled thermoelectric field upon setting  $S(T) = 0$  ([Jiang, Fang, and Shen, 2019](#)).

In summary, both temperature-independent and temperature-dependent transformation theories can be used to analyze decoupling and coupling thermoelectric fields. By designing appropriate coordinate transformations, the thermal and electric fields can be manipulated to achieve the desired functions.

Thermoelectric conversion technology utilizes the Seebeck effect (thermoelectric generation) and the Peltier effect (electric refrigeration) to convert electricity into heat. This technology is widely used, from waste heat recovery in motor vehicles to satellite powering in deep space exploration. The thermoelectric conversion efficiency is an important parameter for measuring the performance of thermoelectric materials, which is determined by the thermoelectric performance value  $zT = \alpha^2\sigma T/\kappa$ . Thermoelectric materials with excellent performance should have a large thermoelectric force  $\alpha$ , a high conductivity  $\sigma$ , and a low thermal conductivity  $\kappa$ . Research on thermoelectric materials focuses on improving efficiency, reducing cost, and expanding the application range of thermoelectric devices. The thermoelectric parameters are



intertwined in the coupled thermoelectric field, and one parameter cannot be changed unilaterally. Effectively regulating coupled thermoelectric parameters is key to improving the  $zT$  value and conversion efficiency. Tin sulfide (SnS) crystal materials have the essential characteristics of effective thermoelectric materials due to their abundant reserves, low cost, and friendly environment. W. He *et al.* (2019) used the evolution law of multiple energy bands of SnS with temperature to optimize the contradictions between effective mass and mobility, thereby achieving high thermoelectric properties (the maximum  $zT$  of SnS<sub>0.91</sub>Se<sub>0.09</sub> crystal at 873 K is close to 1.6). The conversion efficiency can be further improved by doping. Bromine doping in tin selenide (SnSe) can maintain low thermal conductivity in the out-of-plane direction of the layered material, making it another promising thermoelectric material (Chang *et al.*, 2018). Pore-doped SnSe crystals have reached  $zT$  values ranging from 0.7 to 2.0 for temperatures from 300 to 773 K (Zhao *et al.*, 2016). The improved conversion performance of thermoelectric materials has brought new vitality to the research of thermoelectric metamaterials. It is relatively simple to couple thermal and electric fields in order to have them perform the same function; conversely, it is much harder to realize simultaneously different field functions, such as thermal cloaking and electric concentration.

Finally, the Seebeck-driven transverse thermoelectric generation has recently gained attention. Most existing thermoelectric applications are based on the Seebeck effect alone, which limits the flexibility and durability of the devices. Yamamoto *et al.* (2021), Zhou *et al.* (2021), and Y. Zhou *et al.* (2023) addressed this issue by combining the Seebeck and anomalous Hall effects. They designed a closed circuit with thermoelectric and magnetic materials and used the Seebeck-induced charge current to drive transverse thermoelectric generation. Their approach demonstrated a significant increase in transverse thermopower.

### VIII. PARTICLE DIFFUSION

Particle diffusion is a ubiquitous phenomenon in systems driven by a concentration gradient and is typically described using the Fick equations (Hänggi and Marchesoni, 2009). Although transformation theory is not perfectly applicable to the Fick second equation, mass diffusion cloaking can be achieved by employing the low-diffusivity approximation (Guenneau and Puvirajesinghe, 2013). This work sparked an increased interest in the research of transformation particle diffusion. In 2014, Schittny *et al.* (2014) reported a water-based invisibility cloak for light diffusion propagation. Using the scattering-cancellation method, they fabricated cylindrical invisibility cloaks with thin shells made of polydimethylsiloxane doped with melamine-resin microparticles. Subsequently they discussed the transient case and experimentally demonstrated the robustness of their diffusive light cloaking in static and quasistatic regimes (Schittny *et al.*, 2015). This light diffusion cloaking overcomes one of the limitations of conventional electromagnetic cloaking and provides a new direction for designing electromagnetic cloaking (Schittny *et al.*, 2016).

### A. Theory and transformation principles

We introduce now the transformation theory of particle diffusion. The Fick second equation is used to describe the diffusion process in the absence of external sources,

$$\frac{\partial c}{\partial t} = \nabla \cdot (D \nabla c - \mathbf{v}c), \quad (124)$$

where  $c$ ,  $D$ , and  $\mathbf{v}$  are the particle concentration, the diffusivity, and the advection velocity, respectively. The transformed equation is expressed as

$$\frac{1}{\det \mathbf{J}} \frac{\partial c}{\partial t} = \nabla' \cdot (D' \nabla' c - \mathbf{v}''c), \quad (125)$$

where  $D' = \mathbf{J} D \mathbf{J}^\dagger / \det \mathbf{J}$  and  $\mathbf{v}'' = \mathbf{J} \mathbf{v} / \det \mathbf{J}$ . The additional metric term  $1/\det \mathbf{J}$  breaks the transformation invariance of Eq. (124). Therefore, transformation particle metadevices do not work ideally. To address this issue, an optimized theory was proposed to control transient particle diffusion (Zhang, Xu, and Huang, 2022). This theory transformed the starting Fick equation as

$$\frac{\partial c}{\partial t} = \nabla' \cdot (D' \nabla' c - \mathbf{v}'c), \quad (126)$$

with  $D' = \mathbf{J} D \mathbf{J}^\dagger$  and  $\mathbf{v}' = \mathbf{J} \mathbf{v}$ . Equation (126) has the same form as Eq. (124) under low diffusivity and the velocity approximation. Consequently they designed four typical devices for separating, cloaking, concentrating, and rotating chemical waves.

### B. Applications: Metamaterials and metadevices

Particle diffusion plays a key role in chemical and biological systems. With diffusion metamaterials, Restrepo-Flórez and Maldovan (2017a, 2017b) reported cloaking and focusing of particle diffusion in the delivery of water-soluble drugs by concentric liposomes. Furthermore, Zhou, Xu, and Zhang (2021) achieved binary mixture manipulation through a bilayer metadvice. They separated O<sub>2</sub> and N<sub>2</sub> in the central region by exploiting the different diffusivities of these two particles in the same medium.

One can realize particle separation by selecting suitable materials to cloak one kind of particle and concentrate the other. Particle separation can also be achieved by metamaterial membranes (Restrepo-Flórez and Maldovan, 2017b; Liu, Song, Wang *et al.*, 2020; Chen *et al.*, 2021). Recently Y. Li *et al.* (2022) reported a plug and switch modulus to design metamaterials for controlling ion diffusion. They employed scattering-cancellation theory to obtain the parameters of the cloak, concentrator, and selector modes. They then resorted to the effective medium theory to calculate the diffusivity of resins and filling media (the materials used). To experimentally obtain the switchable particle devices, they adopted fixed backgrounds and a plug and switch central region. All parts were fabricated using 3D printing. Resin was chosen as the zero-index material, and a copper sulfate solution was the filling media. For the selector, they adopted fan-shaped

structures filled with ion-exchange resin to achieve selection through selective particle penetration.

Particle diffusion metamaterials have various applications. For instance, a monolayer cloak based on scattering cancellation was designed to improve the electrical conductivity by eliminating electron scattering (Li, Liu *et al.*, 2020). Furthermore, numerical algorithms are also employed to design diffusion metamaterials, providing a useful approach to facilitate the realization of experiments (Avanzini, Falasco, and Esposito, 2020; Khodayi-Mehr and Zavlanos, 2020).

Recently there has been progress in the development of particle-diffusion-based intelligent devices. Zhang, Yang, and Huang (2023) reported a chameleonlike metashell based on transformation-invariant metamaterials. They assumed chemical components with the same solubility and neglected effects due to different chemical potentials. As a result, the passively stable mass diffusion process is governed by Fick's law (Fick, 1995),

$$\nabla \cdot (-D\nabla c) = 0, \quad (127)$$

where  $c$  is the volume concentration and  $D$  is the diffusivity. Consider an arbitrary 2D transformation expressed in cylindrical coordinates (Leonhardt, 2006; Pendry, Schurig, and Smith, 2006),

$$r' = R(r, \theta), \quad (128a)$$

$$\theta' = \Theta(r, \theta), \quad (128b)$$

where  $R(r, \theta)$  and  $\Theta(r, \theta)$  are certain functions of radius  $r$  and angle  $\theta$  and the transformed diffusivity  $\mathbf{D}'$  is  $\mathbf{D}' = \mathbf{J}D\mathbf{J}^\dagger / \det \mathbf{J}$ , with  $\mathbf{J}$  the Jacobian transformation matrix. Or more explicitly

$$\mathbf{D}' = \frac{1}{\det \mathbf{J}} \begin{bmatrix} D_{rr} \left( \frac{\partial R}{\partial r} \right)^2 + D_{\theta\theta} \left( \frac{\partial R}{\partial \theta} \right)^2 & D_{rr} \frac{\partial R}{\partial r} \frac{\partial \Theta}{\partial r} + D_{\theta\theta} \frac{\partial R}{\partial \theta} \frac{\partial \Theta}{\partial \theta} \\ D_{rr} \frac{\partial R}{\partial r} \frac{\partial \Theta}{\partial r} + D_{\theta\theta} \frac{\partial R}{\partial \theta} \frac{\partial \Theta}{\partial \theta} & D_{rr} \left( \frac{\partial \Theta}{\partial r} \right)^2 + D_{\theta\theta} \left( \frac{\partial \Theta}{\partial \theta} \right)^2 \end{bmatrix}, \quad (129)$$

where  $D_{rr}$  and  $D_{\theta\theta}$  are the radial and tangential components of diffusivity, respectively. Consequently the eigenvalues of  $\mathbf{D}'$  can be obtained as

$$\lambda_1 \approx \frac{D_{rr}}{\det \mathbf{J}} \left[ \left( \frac{\partial R}{\partial r} \right)^2 + \left( \frac{\partial \Theta}{\partial r} \right)^2 \right], \quad (130a)$$

$$\lambda_2 \approx \frac{D_{\theta\theta}}{\det \mathbf{J}}. \quad (130b)$$

For transformation-invariant metamaterials with  $D_{rr} \rightarrow \infty$  and  $D_{\theta\theta} \rightarrow 0$  for transformation-invariant metamaterials, Eq. (130) can be further simplified to

$$\lambda_1 \approx \infty, \quad (131a)$$

$$\lambda_2 \approx 0. \quad (131b)$$

Equations (131) indicate that the eigenvalues are invariant under any coordinate transformation, meaning that the transparency of transformation-invariant metamaterials is robust under coordinate transformations.

Zhang, Yang, and Huang (2023) further demonstrated the chameleonlike behavior of transformation-invariant metamaterials for particle diffusion. They divided the system into three regions with diffusivities  $\mathbf{D}_1$ ,  $\mathbf{D}_2$ , and  $\mathbf{D}_3$ . To this purpose, they rewrote Eq. (127) directly in cylindrical coordinates as

$$\frac{1}{r} \frac{\partial}{\partial r} \left( r D_{rr} \frac{\partial c}{\partial r} \right) + \frac{1}{r} \frac{\partial}{\partial \theta} \left( \frac{D_{\theta\theta}}{r} \frac{\partial c}{\partial \theta} \right) = 0. \quad (132)$$

For the transformation-invariant metashell,  $D_1 = D_3 = \text{const}$  and  $\mathbf{D}_2 = \text{diag}(D_{rr}, D_{\theta\theta})$ . Hence, the effective diffusivity of the core-shell structure can be calculated as (Xu and Huang, 2019a, 2019b; L. Xu and Huang, 2020a)

$$D_e = \eta D_{rr} \frac{(1 + \xi^\eta) D_3 + (1 - \xi^\eta) \eta D_{rr}}{(1 - \xi^\eta) D_3 + (1 + \xi^\eta) \eta D_{rr}}, \quad (133)$$

with  $\xi = r_1^2/r_2^2$  and  $\eta = \sqrt{D_{\theta\theta}/D_{rr}}$ . The effective diffusivity can be approximated as  $D_e \approx D_3$  since  $D_{rr} \approx \infty$  and  $D_{\theta\theta} \approx 0$ , which indicates that it can adapt to the environment. Zhang, Yang, and Huang (2023) referred to this as chameleonlike behavior. Furthermore, note that this metashell does not require external energy, making it suitable for advanced intelligent devices. Furthermore, Zhang, Yang, and Huang (2023) designed an irregular-shaped chameleonlike concentrator and a circular chameleonlike rotator, to demonstrate the advantages of transformation-invariant metashells over conventional devices. To design the irregular-shaped chameleonlike concentrator, they twisted the annulus region into an irregular shape in the virtual space by means of the coordinate transformation

$$r' = r\Gamma(\theta), \quad r_1 < r < r_2, \quad (134a)$$

$$\theta' = \theta, \quad (134b)$$

where  $\Gamma(\theta) = 1.2 + 0.5 \sin(\theta) + 0.5 \cos(2\theta)$ .  $r_1$  and  $r_2$  are the inner and outer radii of the annulus, respectively. The transformed diffusivity of the metashell can then be obtained by referring to Eqs. (129) and (134).

To compare the capabilities of standard devices and chameleonlike devices, they calculated the coordinate transformation for the standard concentrator with the same shape,

$$r' = \frac{r_1}{r_m} r, \quad r' < r_1\Gamma(\theta), \quad (135a)$$

$$r' = \alpha r + \beta\Gamma(\theta), \quad r_1\Gamma(\theta) < r' < r_2\Gamma(\theta), \quad (135b)$$

$$\theta' = \theta, \quad (135c)$$

where  $r_m$  is a constant,  $\alpha = (r_2 - r_1)/(r_2 - r_m)$ , and  $\beta = (r_1 - r_m)r_2/(r_2 - r_m)$ . Thus, the transformed diffusivity of the normal concentrator is given by (Sedeh *et al.*, 2020)

$$\mathbf{D}' = D_3 \begin{bmatrix} D_{11} & D_{12} \\ D_{21} & D_{22} \end{bmatrix}, \quad (136)$$

where the components  $D_{ij}$  are expressed as

$$D_{11} = \frac{(r_2 - r_m)r' + r_2(r_m - r_1)\Gamma(\theta)}{(r_2 - r_m)r'} + \frac{r_2^2(r_m - r_1)^2[d\Gamma(\theta)/d\theta]^2}{[(r_2 - r_m)^2r' + r_2(r_m - r_1)(r_2 - r_m)\Gamma(\theta)]r'}, \quad (137a)$$

$$D_{12} = D_{21} = \frac{r_2(r_1 - r_m)d\Gamma(\theta)/d\theta}{(r_2 - r_m)r' + r_2(r_m - r_1)\Gamma(\theta)}, \quad (137b)$$

$$D_{22} = \frac{(r_2 - r_m)r'}{(r_2 - r_m)r' + r_2(r_m - r_1)\Gamma(\theta)}. \quad (137c)$$

A larger ratio  $r_m/r_1$  indicates a higher convergence effect. For the transformation-invariant concentrator, the ratio of  $r_m/r_1$  approaches the maximum possible value  $r_2/r_1$ , which implies a larger concentration gradient in the core region than in the normal concentrator.

For the circular chameleonlike rotator, the coordinate transformations for both the chameleonlike rotator and the regular rotator are identical,

$$r' = r, \quad (138a)$$

$$\theta' = \theta + \theta_0 \frac{r - r_2}{r_1 - r_2}, \quad r_1 < r < r_2, \quad (138b)$$

where  $\theta_0$  is the rotation angle, this coordinate transformation can be explained by rotating the space in which the annulus is located in the virtual space. Similarly, they derived the transformed diffusivity of the chameleonlike rotator according to Eqs. (129) and (138). The designed rotator has both converging and rotating mass flow functions. For the normal rotator, the transformed diffusivity is instead

$$\mathbf{D}' = D_3 \begin{bmatrix} 1 & \frac{r'\theta_0}{r_1 - r_2} \\ \frac{r'\theta_0}{r_1 - r_2} & 1 + \left(\frac{r'\theta_0}{r_1 - r_2}\right)^2 \end{bmatrix}. \quad (139)$$

Simulations to validate the theory prediction are presented in Fig. 41, which compares the performance of transformation-invariant and normal-transforming metashells for different values of the background diffusivity  $D_b$ . In particular, simulated mass flows along the  $x$  axis of a transformation-invariant concentrator (first row) and a normal-transforming concentrator (second row) are compared. Concentration distributions are displayed for different values of the background diffusivity, namely,  $D_b = 10D_0$  and  $D_0$ , respectively, in the first and second. In Fig. 41(b1), the concentration in the device's inner region is affected by the increase or decrease of  $D_b$ , pointing to the ineffectiveness of the normal concentrator. Conversely, the transformation-invariant concentrator remains effective whether or not the background diffusivity is varied.

Although materials with extreme anisotropy are rare, two isotropic materials with different diffusivities can be used to

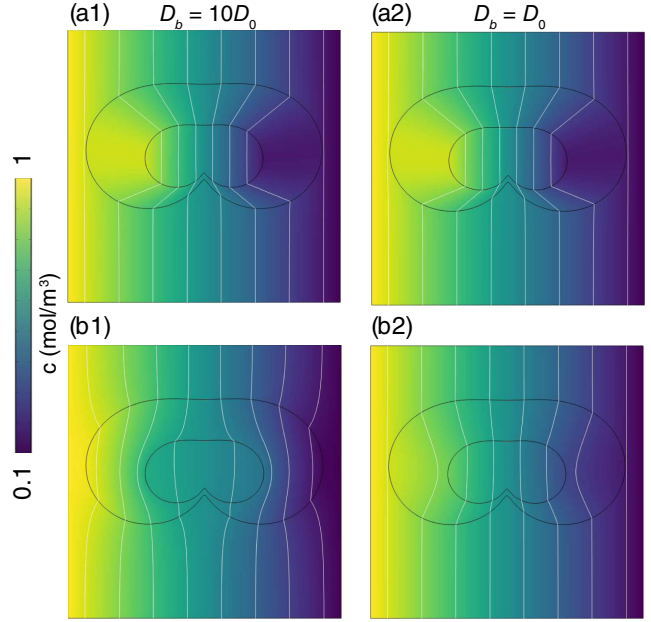


FIG. 41. (a1),(a2) Simulations of the transformation-invariant concentrator. (b1),(b2) Simulations of the normal-transforming concentrator. The background diffusivities in the first and second columns are  $10D_0$  and  $D_0$ , respectively. Adapted from Zhang, Yang, and Huang, 2023.

achieve a similar effect. Zhang, Yang, and Huang (2023) used this method to design experimentally more accessible chameleonlike concentrators and rotators with a multilayered structure. As an example, the concentrator is composed of a background medium with a chessboard structure and a composite metashell, both of which are made of materials with high ( $D_h$ ) and low ( $D_l$ ) diffusivity. Their experimental setup consisted of two parts (Y. Li *et al.*, 2022). One part provides particle sources with two tanks positioned on two sides, each filled with a solution to produce the desired concentration gradient. The other part is the device itself, which comprises the background medium and the metashell. The effective diffusivity of the background medium can be calculated using the Maxwell Garnett formula (Xu and Huang, 2019b),

$$D_e = \frac{(1 + \delta)D_i + (1 - \delta)D_h}{(1 - \delta)D_i + (1 + \delta)D_h} D_h, \quad (140)$$

where  $\delta$  and  $D_i$  are the inclusion volume fraction and diffusivity and  $D_h$  is the diffusivity of the host. The background diffusivity can thus be varied by tuning the volume fraction  $\delta$ .

### C. Geometric phase, odd diffusivity, and asymmetric diffusion

As discussed in Sec. III.C, wave propagation usually involves energy conservation and is therefore described by a Hermitian Hamiltonian; non-Hermitian wave propagation requires a fine balancing of gain and loss. Particle diffusion, instead, is inherently dissipative, thus offering a natural playground for novel non-Hermitian and topological physics.

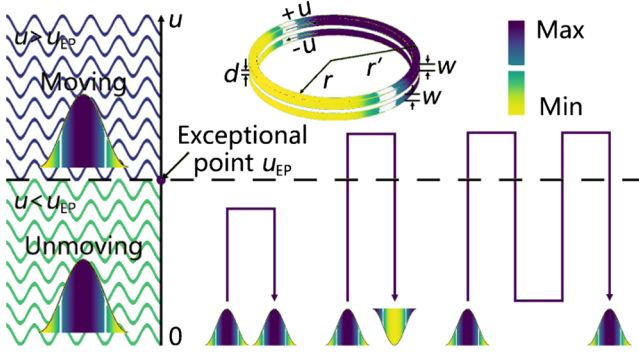


FIG. 42. Schematic diagrams of the EP and the geometric phase in a particle diffusion system. Adapted from Xu, Dai *et al.*, 2020.

In this context, Xu, Dai *et al.* (2020) investigated the EP and geometric phase of particle diffusion systems.

To this purpose, they considered a three-layer ring structure (Fig. 42). The top and bottom rings were made to rotate at the same speed, but in opposite directions. The layer in the middle was kept at rest and assumed to allow particle exchange with the rotating rings, coupling them together. The equation describing particle diffusion in such a system is

$$\frac{\partial C_1}{\partial t} = D \left( \frac{\partial^2 C_1}{\partial x^2} + \frac{\partial^2 C_1}{\partial z^2} \right) - u \frac{\partial C_1}{\partial x}, \quad \frac{d}{2} \leq z \leq w + \frac{d}{2}, \quad (141a)$$

$$\frac{\partial C_m}{\partial t} = D_m \left( \frac{\partial^2 C_m}{\partial x^2} + \frac{\partial^2 C_m}{\partial z^2} \right), \quad -\frac{d}{2} < z < \frac{d}{2}, \quad (141b)$$

$$\frac{\partial C_2}{\partial t} = D \left( \frac{\partial^2 C_2}{\partial x^2} + \frac{\partial^2 C_2}{\partial z^2} \right) + u \frac{\partial C_2}{\partial x}, \quad -w - \frac{d}{2} \leq z \leq -\frac{d}{2}, \quad (141c)$$

where  $C_1$ ,  $C_2$ , and  $C_m$  are the concentrations in the top, bottom, and central layers, respectively,  $D$  is the diffusivity of the top and bottom layers,  $D_m$  is the diffusivity of the middle layer, and  $u$  is the ring rotation speed. Since the central layer allows particle exchange, it acts on both rotating rings as an effective particle source that couples them. Therefore, Eq. (141) can be reformulated as

$$\frac{\partial C_1}{\partial t} = D \frac{\partial^2 C_1}{\partial x^2} - u \frac{\partial C_1}{\partial x} + \frac{D_m(C_2 - C_1)}{wd}, \quad \frac{d}{2} \leq z \leq w + \frac{d}{2}, \quad (142a)$$

$$\frac{\partial C_2}{\partial t} = D \frac{\partial^2 C_2}{\partial x^2} + u \frac{\partial C_2}{\partial x} + \frac{D_m(C_1 - C_2)}{wd}, \quad -w - \frac{d}{2} \leq z \leq -\frac{d}{2}. \quad (142b)$$

Since the thickness  $w$  was assumed to be small, here we set  $\partial^2 C_1 / \partial z^2 = \partial^2 C_2 / \partial z^2 = 0$ . Consider next a concentration wave represented by the real part of  $Ae^{i(kx - \omega t)} + B$ , where  $A$ ,  $B$ ,  $k$ , and  $\omega$  are the wave amplitude, the reference concentration, the wave number, and the wave frequency, respectively. Consequently Eq. (142) can be further reduced to the eigenvalue equation

$$\mathbf{H}\psi = \omega\psi \quad (143)$$

with Hamiltonian

$$\mathbf{H} = \begin{pmatrix} -i(k^2 D + h) + ku & ih \\ ih & -i(k^2 D + h) - ku \end{pmatrix}, \quad (144)$$

where  $h = D_m/wd$  is the particle exchange rate between the upper and lower layers. The eigenvalues of  $\mathbf{H}$  are

$$\omega = -i \left[ (k^2 D + h) \pm \sqrt{h^2 - k^2 u^2} \right]. \quad (145)$$

An interesting property related to  $u$  then appears. When  $u < u_{EP} (= h/k)$ , the eigenvalue is purely imaginary (the concentration wave does not move) and the system is in the APT unbroken state. When  $u = u_{EP} (= h/k)$ , the two eigenvalues merge and the corresponding eigenvectors are degenerate. When  $u > u_{EP} (= h/k)$ , the real part of the eigenvalue appears (demonstrating that the concentration wave moves) and the system is in the APT broken state. Therefore,  $u_{EP} = h/k$  acts as system's EP. We know that encircling an EP is an effective technique to reveal its topological properties. To this end, the rotation speed was made to execute a closed loop variation over time (Fig. 42). When the loop did not enclose the EP, the system returned to its initial state; no phase difference was observed. Conversely, when the EP was encircled, a phase difference of  $\pi$  was detected; the system acquired a geometric phase.

Besides non-Hermitian and topological physics, particle diffusion systems also exhibit the interesting property of odd diffusivity (Hargus, Epstein, and Mandadapu, 2021; Kalz *et al.*, 2022). Odd diffusivity is distinctly different from the known isotropic and anisotropic diffusivity. Its tensor is characterized by opposing off-diagonal components, that is,

$$\mathbf{D} = \begin{bmatrix} D_{\parallel} & -D_{\perp} \\ D_{\perp} & D_{\parallel} \end{bmatrix}, \quad (146)$$

where  $D_{\parallel}$  is the common isotropic diffusivity driving the particle flux from an area of high concentration to an area of low concentration and  $D_{\perp}$  is the antisymmetric odd diffusivity inducing the transverse particle flux. A longitudinal concentration gradient can induce a transverse particle flux; see Fig. 43(a). Hargus, Epstein, and Mandadapu (2021) investigated this noteworthy diffusivity tensor for a chiral random walk, where chiral active matter breaks parity and time-reversal symmetry. They used the Green-Kubo relations to derive the position-velocity correlation functions [Fig. 43(b)] and analyzed a random sample of 50 time-reversed trajectories to elucidate the microscopic origin of odd diffusivity [Fig. 43(c)].

Asymmetric diffusion is an important physical concept, indicating that the diffusion properties vary in opposite directions (Burada *et al.*, 2009). However, owing to the inherent space-inversion symmetry of the underlying microscopic mechanisms, demonstrating asymmetric diffusion proves a challenging task. To address this issue, Camacho, Edwards, and Engheta (2020) proposed a novel spatiotemporal modulation mechanism to experimentally realize asymmetric charge diffusion.

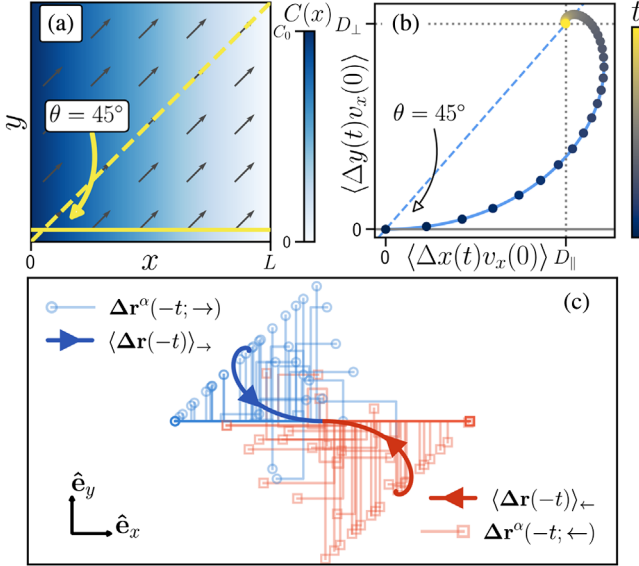


FIG. 43. Odd diffusivity. (a) Concentration profile. (b) Position-velocity correlation functions. (c) Fifty time-reversed trajectories. Adapted from Hargus, Epstein, and Mandadapu, 2021.

Fick's law describes a diffusion process, and the governing equation for 1D diffusion can be written as

$$\frac{\partial q(x, t)}{\partial t} = \frac{\partial}{\partial x} \left( \sigma \frac{\partial}{\partial x} [gq(x, t)] \right), \quad (147)$$

where  $\sigma$  denotes the conductivity,  $g$  represents the inverse of the capacity, and  $q(x, t)$  is the charge density varying in space and time. Generally, if a conventional diffusion process occurs in a static and uniform material,  $\sigma$  and  $g$  can be combined to form the diffusivity  $D = \sigma g$  for brevity. In this case, Eq. (147) would take the standard form

$$\frac{\partial q(x, t)}{\partial t} = D \frac{\partial^2}{\partial x^2} q(x, t). \quad (148)$$

A diffusion process described by Eq. (148) exhibits space-inversion symmetry. Therefore, an initial symmetric pulselike profile  $q(x, 0)$  would not drift in either direction, but rather symmetrically spread out over time [Fig. 44(a)].

To break symmetric particle diffusion, Camacho, Edwards, and Engheta (2020) modulated  $\sigma$  and  $g$  periodically in space and time with the same wave number  $k$  and angular frequency  $\omega$  [Fig. 44(b)],

$$\sigma(x, t) = \sigma_0 + \sigma_m \sin(kx - \omega t), \quad (149)$$

$$g(x, t) = g_0 + g_m \sin(kx - \omega t + \phi), \quad (150)$$

where  $\sigma_0$  and  $g_0$  are the parameter reference values,  $\sigma_m$  and  $g_m$  are the modulation amplitudes (with  $0 < \sigma_m < \sigma_0$  and  $0 < g_m < g_0$ ), and  $\phi$  is the phase difference between them. Substituting Eqs. (149) and (150) into Eq. (147) yields a diffusion process with dynamically inhomogeneous parameters, making a full analytical treatment complicated. However, homogenization simplifies the mathematical description.

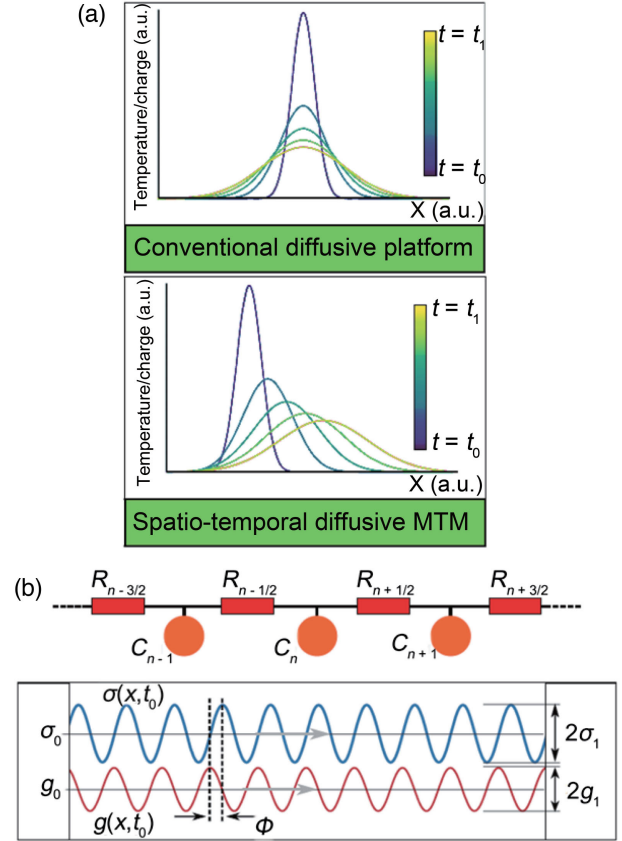


FIG. 44. Asymmetric particle diffusion under spatiotemporal modulation. (a) Comparison between a conventional material and a spatiotemporally modulated metamaterial. (b) Schematic illustration of spatiotemporal parameters. Adapted from Camacho, Edwards, and Engheta, 2020.

Indeed, the homogenized equation can be conveniently formulated as (Camacho, Edwards, and Engheta, 2020)

$$\frac{\partial \tilde{q}(x, t)}{\partial t} = \tilde{D} \frac{\partial^2}{\partial x^2} \tilde{q}(x, t) - v \frac{\partial \tilde{q}(x, t)}{\partial x}, \quad (151)$$

where  $\tilde{q}(x, t)$  is the time-averaged charge density,  $\tilde{D}$  is the homogenized diffusivity, and  $v$  can be treated as an advection velocity. The explicit expressions for  $\tilde{D}$  and  $v$  are

$$\tilde{D} = \sigma_0 g_0 + \frac{1}{2} \sigma_m g_m \cos \phi, \quad (152)$$

$$v = \frac{1}{2} k \sigma_m g_m \sin \phi. \quad (153)$$

Since the advection term proportional to  $v$  involves a first-order derivative with respect to  $x$ , the space-inversion symmetry of the charge diffusion process is broken. For the advection velocity to be nonzero, the amplitudes of both spatiotemporal parameters must be nonzero ( $\sigma_m \neq 0$  and  $g_m \neq 0$ ) and the phase difference between them must be chosen such that  $\sin \phi \neq 0$ , i.e.,  $\phi \neq 0$  and  $\phi \neq \pi$ . Under these conditions, a pulse not only decays but also moves in a specific direction due to an effective advection effect [Fig. 44(a)].

When it comes to experimental realizations, square and triangular parameter wave profiles are easier to implement. For instance, one can choose

$$\sigma(x, t) = \sigma \Pi(\beta x - \omega t), \quad (154)$$

$$g(x, t) = \frac{1}{C(x, t)} = \frac{1}{c_1 \Lambda(\beta x - \omega t + \phi) + c_0}, \quad (155)$$

where  $\Pi$  denotes a square function,  $\Lambda$  represents a triangular function,  $C$  is the capacity,  $c_0$  is its reference value, and  $c_1$  is its modulation amplitude. Equations (154) and (155) can be realized by rotating disks, thus making an experimental demonstration possible. These results can also be extended to two or three dimensions. The aforementioned effect is the basis for diffusion trapping, whereby a pulse can be trapped in an interface where the effective advection points in opposite directions, thus providing a flexible tool to control charge diffusion.

The asymmetric particle diffusion investigated by [Camacho, Edwards, and Engheta \(2020\)](#) assumed time-independent boundary conditions. The next question involves what happens when the boundary potential is periodically modulated, thus exciting a diffusion wave. Changing boundary conditions may bring about novel nonreciprocal mechanisms. Based on the diffusion-wave framework, [J. Li, Zhang \*et al.\* \(2022\)](#) uncovered a different mechanism to rectify a diffusion wave in a medium where the electrical conductivity alone was spatiotemporally modulated. In particular, they showed that, for a forward diffusion wave, the average output is higher than for the corresponding backward diffusion wave.

The diffusion process is governed once again by Fick's law  $\partial(c\Phi)/\partial t = \nabla \cdot (\sigma \nabla \Phi)$ , where  $\Phi$  represents the potential field,  $c$  denotes the capacity, and  $\sigma$  is the conductivity. Here, however, they imposed oscillating boundary conditions with frequency  $\omega_0$ . The capacity of the medium is set to a constant  $c_0$ , and the conductivity  $\sigma(x, t)$  is assumed to vary periodically in space and time. The corresponding 1D diffusion equation was rewritten as

$$c_0 \frac{\partial \Phi(x, t)}{\partial t} = \frac{\partial}{\partial x} \left[ \sigma(x, t) \frac{\partial \Phi(x, t)}{\partial x} \right], \quad (156)$$

where the conductivity has the profile of a traveling wave,

$$\sigma(x, t) = \sigma(\beta_p x - \omega_p t), \quad (157)$$

with wave number and angular frequency  $\beta_p$  and  $\omega_p$ , respectively. In view of the spatiotemporal periodicity, the Bloch-Floquet theorem can be applied to expand the potential field  $\Phi(x, t)$  as

$$\Phi(x, t) = e^{i(\beta x - \omega_0 t)} \sum_m F_m e^{im(\beta_p x - \omega_p t)}, \quad (158)$$

where  $\beta$  is the wave number of the diffusion wave and  $F_m$  is the Bloch-Floquet modulation coefficient. Truncating the Fourier expansions to the tenth order yields accurate solutions of the dispersion relation [Fig. 45(a)]. Particle diffusion waves differ from their electromagnetic counterpart in that, due to their inherently dissipative nature, the corresponding

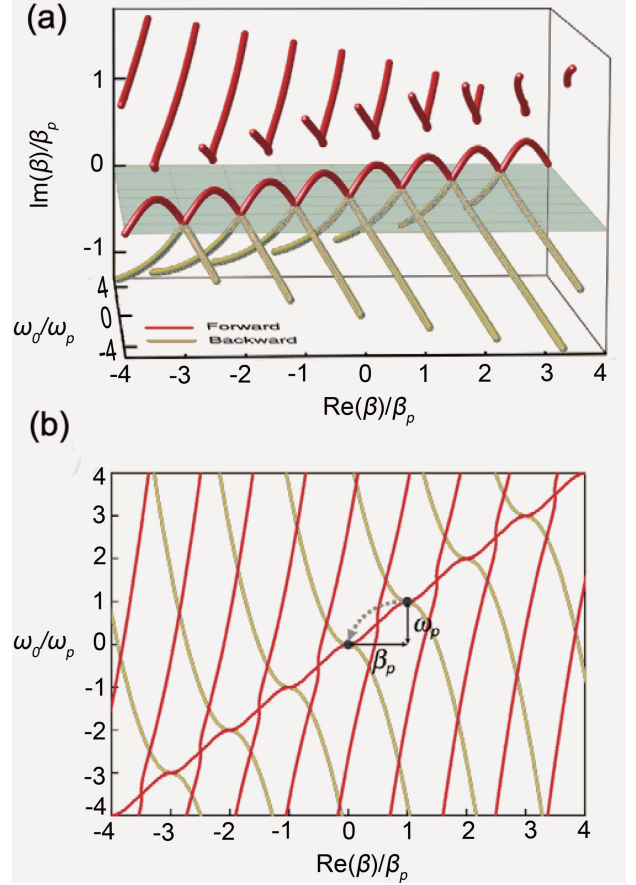


FIG. 45. (a) Dispersion diagrams of the spatiotemporally modulated medium. (b) Projection of the dispersion diagrams onto the  $\text{Re}(\beta) - \omega_0$  plane. Adapted from [J. Li, Zhang \*et al.\*, 2022](#).

dispersion relations usually involve complex wave numbers [Fig. 45(a)], with the imaginary part of the wave number responsible for the spatial decay of the diffusion-wave amplitude. The projection of the dispersion relations on the  $\text{Re}(\beta) - \omega_0$  plane are also suggestive [Fig. 45(b)]. The shifts of the  $\Phi(x, t)$  wave parameters are due to the interplay of all applied spatiotemporal modulations, which is similar to the mode transition in diffusion systems. When the angular frequency  $\omega_p$  satisfies the condition  $\omega_0 = n_0 \omega_p$ , with  $n_0$  an integer,  $\Phi(x, t)$  admits of a non-null zero-frequency component, which implies particle rectification. Both  $\beta_p \neq 0$  and  $\omega_p \neq 0$  are necessary conditions for the rectification effect to occur, as spatial or temporal modulation alone do not suffice.

Finally, [Camacho, Edwards, and Engheta \(2020\)](#) also designed a 1D equivalent circuit for experimental demonstration. The spatiotemporal modulation of the electrical conductivity was obtained using an appropriate combination of standard capacitors and resistors varied periodically in time by means of step motors. The rectification of diffusive charge waves was experimentally reported and was in close agreement with the simulation.

## IX. PLASMA TRANSPORT

Plasma transport can also be viewed as a diffusion process. Plasma is a highly ionized gaseous substance with collective

properties so unique as to be regarded as the fourth state of matter together with solids, liquids, and gases. Its high conductivity allows it to be captured, moved, and accelerated by electromagnetic forces (hence the possibility to control its transport by carefully designed external electromagnetic fields). Plasma can be created artificially by charging gases with currents, radio-frequency waves, or microwave sources (Lieberman and Lichtenberg, 2005). Unlike other diffusive systems, plasma is strongly coupled to electromagnetic fields, making it an intrinsically nonlinear medium (Huang, Chen, and Nishimura, 2015; Cui *et al.*, 2019; Zheng *et al.*, 2021). The analytical treatment of plasma transport is therefore an extremely difficult problem that lies outside the scope of this review. Here we instead focus on the most common numerical approaches employed in the literature, such as the particle-in-cell or Monte Carlo collision models.

### A. Theory and transformation principles

Recently Zhang and Huang (2022) applied transformation theory to plasma physics. Plasma transport was described using a simplified diffusion-migration model, ignoring convection and the magnetic field and assuming a constant temperature of charged particles. The governing equation was written according to the Einstein relation, which was used to define the mobility in terms of the diffusivity as

$$\frac{\partial n_i}{\partial t} - \nabla \cdot (D \nabla n_i) + \nabla \cdot \left[ \left( \frac{DE}{T_i} \right) n_i \right] = 0, \quad (159)$$

where  $n_i$ ,  $D$ , and  $E$  are the density, the diffusivity, and the electric field, respectively. In Eq. (159),  $T_i = T k_B / q$  is the reduced temperature, where  $T$  is the temperature,  $k_B$  is the Boltzmann constant, and  $q$  is the unit charge. Additionally, under an optimized transformation theory that is used in Eqs. (124) and (126), the transformed form of Eq. (159) is

$$\frac{\partial n_i}{\partial t} - \nabla' \cdot (D' \nabla' n_i) + \nabla' \cdot \left[ \left( \frac{D' E'}{T_i} \right) n_i \right] = 0, \quad (160)$$

with  $D' = J D J^\dagger$  and  $E' = J^{-\dagger} E$ . The two key parameters of plasma control in this model, namely, the diffusivity and the electric field, can thus be calculated according to the relevant transformation rules. Following this procedure, Zhang and Huang (2022) designed plasma metamaterials performing the fundamental functions of cloaking, concentration, and rotation, and thus numerically validated their theory.

### B. Applications: Metamaterials and metadevices

The advancement of plasma physics has enabled the emergence of new technologies and processes with innovative applications in biomedicine, crystal industry, and materials science (Liang, Ming, and Alshareef, 2018). The surface of a material can be uniquely modified by plasmas filled with high-energy particles. This makes plasma essential for the development of advanced processes such as etching, curing, and depositing. Additionally, plasmas can generate chemical reactions at the plasma-matter interface due to the highly reactive radicals, which are effectively used in catalyst

preparation and greenhouse gas conversion. In the medical field, cold plasma is also used to treat infected wounds and cancers. Moreover, plasma is beneficial in the aerospace industry, as it can break down high-carbon molecules into low-carbon molecules, thus improving the ignition and combustion performance of aerospace engines. Notably transformation theory provides a new perspective for plasma regulation (Zhang and Huang, 2022). For example, plasma cloaks can be designed in other diffusion systems to prevent healthy tissue from being damaged while treating infected wounds. Plasma concentrators can also be used to increase plasma concentration in local areas, thus improving catalytic efficiency. Other metadevices, such as rotators, separators, and waveguides, can be realized with the appropriate coordinate transformations. According to the theory, diffusivity and electric field are two key parameters for manipulating plasma transport. Although it is challenging to realize the transformed parameters in practice, there are still possible ways to achieve the same effect, such as scattering-cancellation technology. One can fabricate a bilayer structure using two homogeneous materials to achieve the same effect predicted by transformation theory.

Notably the term *plasma metamaterials* has already been used (Sakai and Tachibana, 2012; Navarro, Liard, and Sokoloff, 2019; Zeng *et al.*, 2020; Rodríguez *et al.*, 2021). The term refers to plasma-based metamaterials in the field of electromagnetic waves. Indeed, transformation-based plasma metamaterials could open up a new research direction in plasma physics. The simplified diffusion-migration model could be extended to more complex cases. For example, the effects of a magnetic field, a gas-phase reaction, and changing temperature fields can be gradually taken into account. Despite the inevitable challenges, further exploration of transformation plasma physics is warranted.

### C. Potential nonreciprocal and topological impacts

Since the field of plasma transport transformation is still in its infancy, much novel physics remains to be explored. Promising directions may include the following:

- (i) Nonreciprocal plasma transport is a first challenging topic. As diffusion equations always exhibit space-inversion symmetry, uncovering nonreciprocity mechanisms in plasma transport can prove to be difficult. To this end, one might have to resort to spatiotemporally modulated or nonlinear parameters, as reported for heat and particle diffusion.
- (ii) Topological plasma transport is another interesting topic. Inspired by the known topological particle and heat diffusion mechanisms, one could try different options, like devising a plasma counterpart of the Su-Schrieffer-Heeger model to uncover bulk-boundary correspondence and edge states (Liu and Huang, 2023). The existence of exceptional points and geometric phases also merits investigation (Parker, 2021). In a linear approximation, Chern numbers can be defined to characterize nontrivial plasma topologies (Parker *et al.*, 2020). However, a flow shear or a density gradient might cause

non-Hermiticity, thus making plasma an excellent platform to investigate non-Hermitian physics.

Finally, plasma control may be a potential bridge connecting diffusion and wave metamaterials. Despite the many distinctions between diffusion and wave metamaterials, it is a more meaningful advancement to control diffusion and wave propagation simultaneously. On the one hand, plasma transport is a diffusion process. On the other hand, plasmas are usually utilized to control electromagnetic waves due to their unique permittivity. Consequently novel physics could be anticipated when diffusion and wave propagation occur together in one system.

## X. MANIPULATING DIFFUSION BEYOND METAMATERIALS

In addition to metamaterials, there are other approaches and techniques to control thermal diffusion. Elmfors, Hansson, and Zahed (1999) and Lee *et al.* (1999) provided the thermal dipole model in nuclear physics, providing inspiration for a classical thermal dipole model (Xu, Yang, and Huang, 2019b). To explain this, we first consider a thermal matrix with uniform thermal conductivity  $\kappa_m$ , with a particle of radius  $r_p$  and thermal conductivity  $\kappa_p$  embedded in the center. When hot and cold heat sources are applied to the opposite sides of the matrix, its temperature distribution  $T_{me}$  can be expressed in terms of the polar coordinates  $(r, \theta)$ , with the origin located at the center (Xu, Yang, and Huang, 2019a), that is,

$$T_{me} = -G_0 r \cos \theta - \frac{\kappa_m - \kappa_p}{\kappa_m + \kappa_p} r_p^2 G_0 r^{-1} \cos \theta + T_0. \quad (161)$$

In Eq. (161)  $G_0$  is the thermal field of the matrix without the embedded particle and  $T_0$  is the temperature in the middle of the matrix. As illustrated in Figs. 46(a) and 46(b), the particle distorts the matrix thermal field.

The thermal dipole is composed of two adjacent heat sources, each with power  $Q$ , separated by a distance  $l$ , as shown in Fig. 46(c). When placed at the center of a particle, the thermal field generated by it is given by

$$T_{md} = \frac{M}{\pi(\kappa_m + \kappa_p)} r^{-1} \cos \theta + T_0, \quad (162)$$

where  $M$  is the thermal dipole moment defined as  $M = Ql$ .

According to the superposition principle, the total thermal field of the dipole and the external heat source turns out to be

$$T_s = -G_0 r \cos \theta - \left[ \frac{\kappa_m - \kappa_p}{\kappa_m + \kappa_p} r_p^2 G_0 - \frac{M}{\pi(\kappa_m + \kappa_p)} \right] r^{-1} \cos \theta + T_0. \quad (163)$$

Equation (163) tells us how to design thermal dipoles with specific thermal functions. For instance, thermal transparency would require a uniform matrix thermal field that can be achieved by imposing

$$M = (\kappa_m - \kappa_p) \Gamma G_0, \quad (164)$$

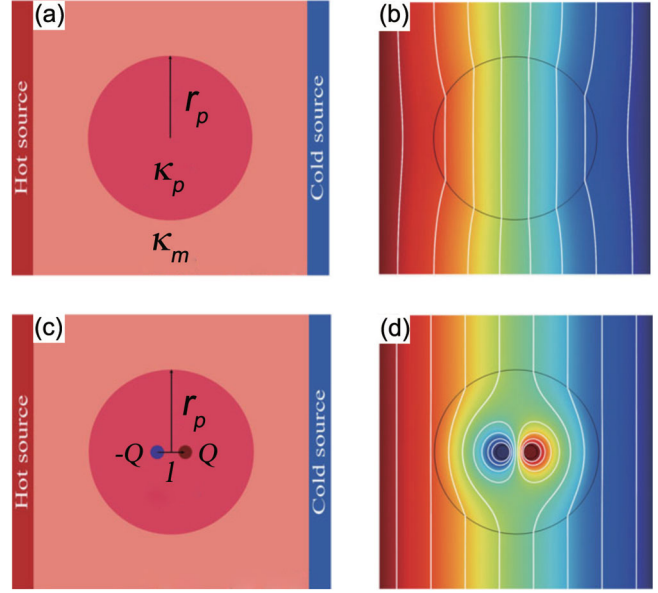


FIG. 46. (a),(c) Thermal control by means of a thermal dipole: system (a),(b) without a dipole and (c),(d) with a dipole. The relevant simulated temperature distributions are reported in (b) and (c), with the white lines representing isotherms. Adapted from Xu, Yang, and Huang, 2019b.

where  $\Gamma = \pi r_p^2$  denotes the area of the particle. The heat sources in the particle can then be determined to achieve a thermal transparency whose simulation result is shown in Fig. 46. This thermal-dipole-based scheme eliminates the need for singular thermal conductivities, facilitating further progress in active thermal control.

Inspired by negative refraction in wave systems, L. Xu and Huang (2020c) proposed a complex thermal conductivity  $\kappa$  as an analogy to the complex refractive index to explore a new path toward negative thermal transport. When considering a typical thermal conduction-advection process and applying a wavelike solution  $T = A_0 e^{i(\beta r - \omega t)} + T_0$  to the conduction-advection equation, a dispersion relation was derived,

$$\omega = \boldsymbol{\beta} \cdot \mathbf{v} - i \frac{\sigma \beta^2}{\rho C}, \quad (165)$$

where  $\omega$ ,  $\mathbf{v}$ ,  $\boldsymbol{\beta}$ ,  $\sigma$ ,  $\rho$ , and  $C$  are the angular frequency, the advection velocity, the wave vector, the thermal conductivity, the density, and the heat capacity, respectively.  $A_0$  and  $T_0$  are two constants. The resulting equation defines a complex thermal conductivity  $\kappa = \sigma + i\rho C \mathbf{v} \cdot \boldsymbol{\beta} / \beta^2$ . Indeed, for the aforementioned wavelike solution, the dispersion relation in terms of  $\kappa$  reads

$$\omega = -i \frac{\kappa \beta^2}{\rho C} = \boldsymbol{\beta} \cdot \mathbf{v} - i \frac{\sigma \beta^2}{\rho C}, \quad (166)$$

which is consistent with Eq. (165).

The physical representation of the complex frequency can be elucidated by rewriting the waveform solution as

$$T = A_0 e^{\text{Im}(\omega)t} e^{i[\boldsymbol{\beta} \cdot \mathbf{r} - \text{Re}(\omega)t]} + T_0, \quad (167)$$



where  $\text{Im}(\kappa)$  and  $\text{Re}(\kappa)$  determine the propagation and dissipation of the wavelike heat diffusion according to Eqs. (167) and Eq. (166).  $\text{Im}(\kappa)$  indicates the direction of propagation of the wavelike temperature field. Positive (negative)  $\text{Re}(\kappa)$  indicates the amplitude decrement (increment) of the wavelike temperature field. Because energy flow is determined only by thermal advection ( $\mathbf{J} = \rho C_v T_0$ ), negative thermal transport can be achieved when  $\text{Im}(\kappa) < 0$ . Solid ring structures, a widely used approach in thermal topology (Y. Li *et al.*, 2019), may offer a solution for realizing negative thermal transport.

In chemistry, researchers have found that controlling the pH value of the environment, chemical potential gradients, and concentration gradients can affect the reaction-diffusion process (Cera and Schalley, 2018). For instance, the growth of polycrystalline nanorods can hierarchically self-organize into interesting structures such as conical funnels, leaflike sheets, and wormlike ropes, which are seemingly generated by pH fluctuations (Kellermeier, Cölfen, and García-Ruiz, 2012; Kaplan *et al.*, 2017). Furthermore, particle diffusion can be controlled by mechanisms based on acoustic (Çetin, Özer, and Solmaz, 2014) or magnetic effects (Çetin, Özer, and Solmaz, 2014). All of the aforementioned approaches provide a wide range of possibilities for controlling diffusion through specially designed metamaterials.

Besides energy and mass diffusion, information diffusion is another particular type. Unlike energy and mass diffusion, which are physical processes in a strict sense, information diffusion is not. Nevertheless, some physical equations can still be used to describe it (Huang, 1997; Iribarren and Moro, 2009; Nematzadeh *et al.*, 2014; D'Orsogna and Perc, 2015; Zhang *et al.*, 2016; Cui, Liu, and Zhang, 2017; Yurevich *et al.*, 2018). For instance, information can be treated as molecular gas, which flows between two locations in the presence of a gradient. This suggests the possibility of controlling information diffusion using physical mechanisms such as transformation theory.

## XI. CONCLUSION AND FUTURE RESEARCH PROSPECTS

### A. Conclusion

Transformation theory has flourished over the past decade, bringing a wealth of new physics and phenomena to diffusion systems. In this review, we provided an overview of transformation theory in diffusive processes and the most recent advances in diffusion metamaterials, with a focus on heat diffusion, particle dynamics, and plasma transport. We discussed the theoretical foundations, practical applications, and novel physics of diffusion metamaterials. Unlike metamaterials in wave systems, diffusion metamaterials, due to their frequency independence, are not limited by working bandwidth. This property alone makes diffusion metamaterials attractive for many practical applications. In conclusion, diffusion metamaterials is a booming field with great scientific and technological potential that has yet to be fully explored.

### B. Future research prospects

Although significant progress has been made since 2008, many challenges and issues remain to be addressed. The transformed parameters are often anisotropic and singular

due to the inherent issues of transformation theory, making experimental realization difficult. Extremely high parameters are also inaccessible, thus impacting the performance of designed intelligent metamaterials. Additionally, research on multifunctional metadevices involving multiple physical fields and intelligent diffusive devices is still inadequate. Furthermore, most diffusion metamaterials concentrate on macroscopic physics, while studies at the microscale and nanoscale are still rare (Ye and Cao, 2016; Choe *et al.*, 2019; Chen, Wang *et al.*, 2023; Jiang, Lu, and Chen, 2023; Ren *et al.*, 2023; Shirzadkhani, Eskandari, and Akbarzadeh, 2023; Wang, Han *et al.*, 2023; Wei and He, 2023). To fill this gap, investigating phonon diffusion could be a viable option (Gu *et al.*, 2018; Seif *et al.*, 2018; Hu and Luo, 2019; Gandolfi, Giannetti, and Banfi, 2020; Wu *et al.*, 2023).

Transformation thermotics and scattering cancellation are typically considered two distinct mechanisms for designing diffusion metamaterials. However, a recent theory on diffusive pseudoconformal mapping has revealed the geometric connection between them (Dai, Yang *et al.*, 2023). When a specific angle-preserving mapping is constructed, a unified perspective of transformation thermotics yields the same parameters as those derived from scattering cancellation for bilayer cloaking design. In other words, the intrinsic anisotropic parameters from transformation thermotics can be eliminated without having to solve the diffusion equations. This insight could revolutionize the paradigm of diffusion metamaterials and have a profound impact on the field of wave manipulation by metamaterials.

The interfacial thermal resistance between two materials is an essential factor that affects heat transfer (Liu *et al.*, 2014; Canbazoglu, Vemuri, and Bandaru, 2015; Yang, Li, and Zhang, 2021; Chen, Xu *et al.*, 2022; Lin and Chen, 2023). This is often caused by two key factors: the presence of air and a lattice mismatch. However, this effect is often overlooked at the macroscale level due to the lack of air between materials (Narayana and Sato, 2012b; Schittny *et al.*, 2013). This phenomenon is currently under investigation (Li, Gao, and Huang, 2010; Zheng and Li, 2020), especially at the microscale or nanoscale level (Liu *et al.*, 2014; Ye and Cao, 2016; Choe *et al.*, 2019), where lattice mismatch plays a major role. Moreover, for mass diffusion the issue is that transformation theory is not perfectly applicable to transient cases. However, the optimized transformation theory performs well. Therefore, more devices similar to those proposed in thermal systems can be realized, such as invisible sensors (Xu, Huang *et al.*, 2020; Wang, Xu *et al.*, 2021).

To date only a small number of exotic phases of matter have been realized in diffusion systems. The implementation of various topological states is an emerging trend in this field. Topological wave metamaterials have inspired the development of topological thermal metamaterials (Lu, Joannopoulos, and Soljačić, 2014; Feng, El-Ganainy, and Ge, 2017). Besides the non-Hermitian formalism, Floquet thermal metamaterials can be created by spatiotemporally modulating the material parameters (Yin, Galiffi, and Alù, 2022). Furthermore, higher-dimensional systems can bring about interesting new topological diffusive phenomena. Various peculiar configurations, such as fractal systems (Biesenthal *et al.*, 2022), moiré lattices (Wang, Zheng *et al.*, 2020), and disclinations (Liu, Leung *et al.*, 2021), can be engineered in thermal lattices. Higher-dimensional

non-Hermitian topology may also be implemented in diffusion systems, such as the geometry-dependent skin effect (Zhang, Yang, and Fang, 2022) and the higher-order skin effect (Zhang, Tian *et al.*, 2021). Diffusion metamaterials may also contribute to the study of protein diffusion inside bacteria (Irbäck and Mitternacht, 2006; Sadoon, Oliver, and Wang, 2022), non-Gaussian diffusion near surfaces (Alexandre *et al.*, 2023), metastatic diffusion of cancer cells (Stacker *et al.*, 2001; Kennecke *et al.*, 2010; Gundem *et al.*, 2015), and thermal superconductivity (Haviland, Liu, and Goldman, 1989; Jaeger *et al.*, 1989; Delsing *et al.*, 1994).

Several interesting phenomena can be more intuitively realized in diffusion than in wave systems. One typical example is the implementation of APT symmetry in a diffusion system due to its natural dissipation (Leggett *et al.*, 1987; Gnan *et al.*, 2013; Parisi *et al.*, 2019). Additionally, the flexible adjustment of heat convection can help to realize the braiding statistics in non-Hermitian topological bands (Wang, Dutt *et al.*, 2021; Zhang, Li, Sun *et al.*, 2023). Furthermore, temperature-dependent thermal transport can add nonlinearity to topological thermal metamaterials, in addition to heat radiation.

Emerging machine-learning techniques (Pillozzi *et al.*, 2018; Zhang, Shen, and Zhai, 2018; Long, Ren, and Chen, 2020) can be used to reveal topological effects in diffusion systems, a technique that promises to further broaden the research in topological diffusion metamaterials. The potential applications of topological thermal metamaterials are also worthy of attention, as the topological properties of the edge state may be beneficial for localized heat management and robust heat transport. Additionally, diffusion metamaterials have unique properties that make them useful in many applications, such as radiative cooling (Baranov *et al.*, 2019) and crystal growth control (Gao *et al.*, 2021; Chen, Ye *et al.*, 2023). In conclusion, research into the fundamental theory and practical applications of diffusion metamaterials is still in its infancy.

## ACKNOWLEDGMENTS

We are grateful to Dr. Gaole Dai and Dr. Jun Wang for the stimulating conversations regarding the geometric connection between transformation thermotics and scattering-cancellation theory. J.H. is grateful for the financial support from the National Natural Science Foundation of China under Grants No. 12035004, No. 11725521, and No. 12320101004, from the Innovation Program of Shanghai Municipal Education Commission under Grant No. 2023ZKZD06, and from the Science and Technology Commission of Shanghai Municipality under Grant No. 20JC1414700. J.-H. J. is also thankful for the financial support from the National Natural Science Foundation of China under Grants No. 12125504 and No. 12074281. F.M. expresses gratitude for the financial support from the National Natural Science Foundation of China under Grant No. 12350710786. L.X. also extends appreciation for the financial support from the National Natural Science Foundation of China under Grants No. 12375040, No. 12088101, and No. U2330401.

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