

Single top-quark production at the Tevatron and the LHC

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 (published 18 July 2018)

This paper provides a review of the experimental studies of processes with a single top quark at the Tevatron proton-antiproton collider and the LHC proton-proton collider. Single top-quark production in the t -channel process has been measured at both colliders. The s -channel process has been observed at the Tevatron, and its rate has also been measured at the center-of-mass energy of 8 TeV at the LHC in spite of the comparatively harsher background contamination. LHC data also brought the observation of the associated production of a single top quark with a W boson as well as with a Z boson. The Cabibbo-Kobayashi-Maskawa matrix element $|V_{tb}|$ is extracted from the single top-quark production cross sections, and t -channel events are used to measure several properties of the top quark and set constraints on models of physics beyond the standard model. Rare final states with a single top quark are searched for, as enhancements in their production rates, if observed, would be clear signs of new physics.

DOI: [10.1103/RevModPhys.90.035001](https://doi.org/10.1103/RevModPhys.90.035001)

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I. INTRODUCTION

The top quark is the heaviest elementary particle in the standard model (SM), having a mass of more than 170 GeV (Patrignani *et al.*, 2016). According to the description of the origin of fermion masses provided by the SM (also valid in many of its extensions) (Weinberg, 1967), we can relate the top-quark mass to the strength of the interaction between top-quark and Higgs-boson fields (a so-called “Yukawa coupling,” here indicated as y_t), obtaining a value of order unity. After the discovery of the Higgs boson (ATLAS Collaboration, 2012c; CMS Collaboration, 2012e) this was confirmed by direct studies of its couplings (ATLAS and CMS Collaborations, 2016). The top quark therefore plays an outsized role in electroweak symmetry breaking due to its large mass, which also makes it a sensitive probe to physics beyond the SM (BSM).

The relationship between the mass and the decay width of an elementary fermion allows one to determine for the top quark a lifetime of order 10^{-25} s, a couple of orders of magnitude shorter than the time scale of the so-called hadronization process that “dresses” colored quarks into color-neutral hadrons. That a decay mediated by a weak interaction may be faster than a process mediated by the strong interaction is at first sight surprising; intuitively, this is due to the fact that the top-quark mass is larger than the sum of the W and b masses, therefore there is no barrier to overcome and we have a two-body decay $t \rightarrow Wb$ with a real W boson, instead of the usual three-body decay mediated by a virtual W boson. The top quark is the only quark to decay before it can hadronize (Bigi *et al.*, 1986), providing the unique opportunity to study a “naked” quark.

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At hadron colliders, the predominant production process is top-quark pair production ($t\bar{t}$), mediated by the strong force. In contrast, this review is devoted to various mechanisms that produce single top quarks or antiquarks, mediated in the SM by electroweak interactions and possibly receiving contributions from BSM physics. While the pair-production process was discovered more than 20 years ago (Abachi *et al.*, 1995; Abe *et al.*, 1995) and entered the domain of precision physics many years ago, single top-quark production was observed less than a decade ago at the Tevatron (Aaltonen *et al.*, 2009a; Abazov *et al.*, 2009). In comparison to $t\bar{t}$ production, the single top-quark signal is small and difficult to separate from the backgrounds (including $t\bar{t}$ itself), hence the measurement precision for its cross sections and other properties has generally been relatively modest until recently. Nevertheless, despite being mediated by the weak interaction, single top-quark production has a production cross section that is within an order of magnitude of $t\bar{t}$ production. This is due to the more copious bottom quark and gluon content of the proton at the smaller energy required to produce a single top quark (≈ 200 GeV) compared to two of them (≈ 400 GeV), as pointed out by Willenbrock and Dicus (1986) for the first time.

In the SM, single top-quark production is a charged-current electroweak process that involves the tWb vertex in the production of the top quark and in its decay, with only negligible contributions from tWd and tWs couplings, and even smaller contributions from flavor-changing neutral currents (FCNC). Precise measurements of single top-quark cross sections are motivated by their sensitivity to new physics that modifies either the production or the decay vertex or both (Aguilar-Saavedra, 2009a). The single top-quark production cross section under the SM assumptions is proportional to the square of the Cabibbo-Kobayashi-Maskawa (CKM) (Cabibbo, 1963; Kobayashi and Maskawa, 1973) matrix element V_{tb} (Alwall *et al.*, 2007; Lacker *et al.*, 2012). The three most abundant and most studied single top-quark processes are illustrated at the Born level in Fig. 1. Their production cross sections differ between the Tevatron proton-antiproton collider and the LHC proton-proton collider. The t -channel process proceeds through the exchange of a W boson between a light-quark line and a heavy-quark line and has the largest production cross section at both colliders. The s -channel process is the production and decay of a heavy off-shell W boson. Since it starts from a quark-antiquark initial state, this process has a comparatively large cross section in $p\bar{p}$ collisions (roughly half that of the t channel at the Tevatron) and a comparatively small cross section in pp collisions at the LHC. The W -associated production, or tW ,

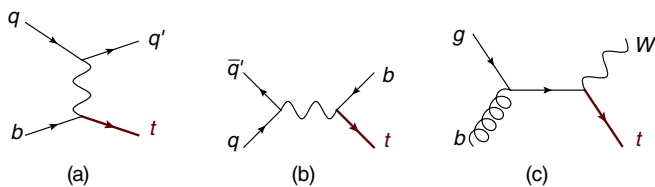


FIG. 1. Representative diagrams for electroweak single top-quark production in the (a) t -channel, (b) s -channel, and (c) W -associated production (tW).

has a top quark and a W boson in the final state. Its initial state consists of a gluon and a b quark, and its production cross section at the Tevatron center-of-mass (c.m.) energy is so small that this was never observed at that collider, while at LHC energies it is the second-largest production mechanism.

Being produced by parity-violating electroweak processes, the top quarks in single top-quark production are always polarized. The degree of polarization is close to 100% in t - and s -channel production (Jezabek and Kuhn, 1994; Mahlon and Parke, 2000), in striking difference to $t\bar{t}$ production, where the SM expects them to be completely unpolarized. Both the time scales for production ($\approx 1/m_t$) and decay ($1/\Gamma$, where Γ is about 2 GeV) of the top quark are smaller than the hadronization time scale ($\approx 1/\Lambda_{\text{QCD}}$, where $\Lambda_{\text{QCD}} \approx 0.2$ GeV) which, in turn, is an order of magnitude smaller than the spin decorrelation time ($\approx m_t/\Lambda_{\text{QCD}}^2$). Thus the top-quark polarization is transferred to its decay products and can be accessed through their angular distributions, as described in Sec. IV.D.

Different BSM scenarios predict different effects in the different production channels (Tait and Yuan, 2000), and this motivates the study of all of them, in conjunction with $t\bar{t}$ properties, to exploit their complementarity. Some of these new-physics effects in t channel and tW production might be mimicked by inaccuracies in the gluon or b -quark parton distribution functions (PDFs) at large x_B ,¹ and it is therefore necessary to rule out this possibility by additional dedicated inputs. Precise measurements of the cross sections of the three main production modes may have a deep impact on PDF constraints, with the three channels being complementary to each other and also to $t\bar{t}$ production. For example, the t channel and tW cross sections are sensitive to the b -quark PDF and anticorrelated with the W/Z cross section, while the s channel (essentially a Drell-Yan process, hence correlated with the W/Z cross section) is insensitive to the b -quark PDF and can therefore act as a control process (Guffanti and Rojo, 2010). Moreover, the integrated or differential charge asymmetry in t -channel production provides a powerful input to constrain PDFs, similar to the case of W -boson production, in a region of x_B very relevant for several searches. Examples of new physics that might influence t -channel production include a vectorlike fourth-generation quark with chromomagnetic couplings (Nutter *et al.*, 2012), a color triplet (Drueke *et al.*, 2015), and FCNC interactions of the top quark with the gluon and the charm quark (Aguilar-Saavedra, 2009a). The s -channel mode is also sensitive to new resonances decaying to a top quark (Drueke *et al.*, 2015), while the tW mode is sensitive to vectorlike quarks (Aguilar-Saavedra, 2009b) and resonances decaying to a top quark and a W boson (Nutter *et al.*, 2012).

Experimentally, the study of top quarks proceeds by the reconstruction of its decay products. Almost all top quarks decay into a W boson and a b quark (Abazov *et al.*, 2011a; Aaltonen *et al.*, 2013, 2014b; CMS Collaboration, 2014b). The former promptly decays either into a charged lepton and a

¹The symbol x_B is used to indicate the quantity ‘‘Bjorken x ,’’ i.e., the fraction of the incoming proton’s total momentum involved in the parton-level scattering.

neutrino or into a light quark-antiquark pair. The presence of an isolated electron or muon, in particular, is used as a selection requirement in almost all single top-quark production studies, as those two particles are particularly easy to identify with large efficiency and low background contamination even in the busy particle environment created by hadron-hadron collisions. The neutrino is undetectable because of its negligible cross section of interaction with the detector material. But the large momentum that it carries, being boosted by the decay of the massive W boson, which is in turn boosted by the decay of the even more massive top quark, is conspicuous by its absence: the large momentum imbalance of the system formed by all visible particles can be used to reconstruct the neutrino momentum. At hadron colliders, this quantity is meaningful only in the plane transverse to the beam directions (the fraction of proton or antiproton momentum carried by the interacting quarks or gluons is known only on a statistical basis via their PDF), and therefore it is customary to define a missing transverse momentum or missing transverse energy (\cancel{E}_T). The jets from b -quark hadronization can be separated on a statistical basis from those originating from lighter quarks (i.e., those jets can be “ b tagged”). The heavier a quark is, the more asymmetric is the sharing of energy among the hadronization products (Bjorken, 1978); in particular, a b -flavored hadron carries about 70% of the original momentum of the corresponding b quark (Heister *et al.*, 2001; Abe *et al.*, 2002; Abbiendi *et al.*, 2003; Abdallah *et al.*, 2011). The long lifetime of this b -flavored hadron (10^{-12} s) corresponds to a flight distance of the order of millimeters, which can be measured in the detectors. Charged leptons, \cancel{E}_T , and b -tagged jets are among the tell-tale signs of the presence of top quarks in a collision event; to further identify the production mechanism, the presence or absence of accompanying objects is crucially exploited, as we will show in the following sections. The single top-quark signal is further separated from the backgrounds through the use of multivariate analysis (MVA) algorithms that combine kinematic properties of the reconstructed objects into a powerful discriminant.

Ten years ago, Gerber *et al.* (2007) extrapolated the Tevatron single top-quark studies to LHC conditions; it was already clear, at the time of that report, that the large increase in cross section would make precision measurements possible. We recommend Boos and Dudko (2012) as reading material for the relevant theoretical issues, while Cristinziani and Mulders (2017) and Husemann (2017) provide recent overviews of the full LHC top-quark physics program. Giammanco (2016) wrote a previous experimental review of single top-quark studies, limited to the LHC experiments and written before the first measurements at 13 TeV were available.

The theoretical cross section for single top-quark production in the t channel has been computed at next-to-leading order (NLO) in quantum chromodynamics (QCD) (Harris *et al.*, 2002; Campbell, Ellis, and Tramontano, 2004; Cao and Yuan, 2005; Cao *et al.*, 2005; Schwienhorst *et al.*, 2011), including next-to-next-to-leading log (NNLL) corrections (Kidonakis, 2011) and at next-to-next-to-leading order (NNLO) (Brucherseifer, Caola, and Melnikov, 2014; Berger

et al., 2016). The cross section for the s -channel process was computed at NLO (Harris *et al.*, 2002; Campbell, Ellis, and Tramontano, 2004; Cao, Schwienhorst, and Yuan, 2005; Heim *et al.*, 2010) and included NNLL corrections (Kidonakis, 2010a). The cross section for the tW process was computed at NLO (Campbell, Ellis, and Tramontano, 2004) and included NNLL corrections (Kidonakis, 2010b). For each process, both total and differential cross sections are available.

This review is organized as follows: The Tevatron and LHC colliders and experiments are described in Sec. II, the cross-section measurements are summarized and compared in Sec. III, and the extraction of parameters from the cross-section measurements and searches for new physics are described in Sec. IV. We conclude in Sec. V, providing some thoughts on the future of this research direction.

II. HADRON COLLIDERS AND EXPERIMENTS

Only two particle colliders have had sufficient c.m. energy and integrated enough luminosity to produce top quarks—the Tevatron proton-antiproton collider at Fermilab (Wilson, 1977; Holmes, 1998; Lebedev and Shiltsev, 2014) and the LHC proton-proton collider at CERN (Evans and Bryant, 2008). The different initial states lead to different production processes: At the Tevatron, hard-scale processes (including all top-quark production mechanisms or processes involving the exchange of massive mediators) are dominated by quark-antiquark initial states, while at the LHC they are dominated by initial states with one or two gluons. In addition, the LHC has accumulated large amounts of proton-proton (pp) collision data at three different c.m. energies, 7, 8, and 13 TeV, while the Tevatron accumulated a large amount of proton-antiproton data at 1.96 TeV. The Tevatron initially collected data at 1.8 TeV, with sufficient statistics to discover the top quark in pair production (Abachi *et al.*, 1995; Abe *et al.*, 1995), but insufficient to measure single top-quark production (Abbott *et al.*, 2000; Acosta *et al.*, 2002).

The algorithms for the identification and reconstruction of the so-called analysis objects (e.g., electrons, muons, hadronic jets) are similar although not identical at the different experiments, reflecting their complementary strengths. The focus of single top-quark selections is on identifying isolated high- p_T electrons or muons together with large \cancel{E}_T and one or more jets, at least one of which is required to be b tagged to identify the b quark from the top-quark decay. The Tevatron experiments, CDF and D0, use two different jet reconstruction algorithms with different cone sizes. The LHC experiments ATLAS and CMS use the same anti- k_T algorithm (Salam and Soyez, 2007), although during run 1 different radius parameters were used. The p_T thresholds for leptons and jets at the Tevatron are typically lower (15 to 20 GeV) than at the LHC (20 to 30 GeV), giving higher acceptances for single top-quark events, compensated partially by the harder spectrum caused by the larger c.m. energies at the LHC. All b -tagging algorithms in these four experiments exploit information related to the lifetime of the b -flavored hadrons, in many cases combined with complementary information such as the mass and track multiplicity of the secondary vertices (when present) and/or by the observation of charged leptons inside the jet. The b -tagging efficiencies, for similar light-quark

rejection factors, are smaller at the Tevatron (50% to 65%) (Acosta *et al.*, 2005b; Abazov *et al.*, 2014) compared to the LHC (65% to 85%) (CMS Collaboration, 2013b; ATLAS Collaboration, 2016b).

A. Tevatron

The Tevatron was a proton-antiproton collider with two interaction regions that were surrounded by two multipurpose experiments, CDF and D0, to record the collisions. Run 1 at the Tevatron lasted from 1992 to 1996 and delivered 0.12 fb^{-1} of data at a c.m. energy of 1.8 TeV. That was sufficient to produce top-quark pairs via the strong interaction, leading to the top-quark discovery (Abachi *et al.*, 1995; Abe *et al.*, 1995). Run 2 at the Tevatron lasted from 2002 to 2011, delivering 10 fb^{-1} of data at a c.m. energy of 1.96 TeV and kicking off the single top-quark program.

1. CDF

The CDF (Collider Detector at Fermilab) experiment (Acosta *et al.*, 2005a) in run 2 at the Tevatron consisted of a magnetic spectrometer surrounded by calorimeters and muon detectors. The charged-particle tracking system was contained in a 1.4 T solenoid. CDF had a precision tracking system, with silicon microstrip detectors providing charged-particle tracking close to the beam pipe. It was surrounded by an open-cell drift chamber which covered a radial distance out to 137 cm and provided up to 96 measurements of the track position. The fiducial region of the silicon detector extended in pseudorapidity $|\eta|$ up to $|\eta| = 2$, while the drift chamber provided full radial coverage up to $|\eta| = 1$. Segmented electromagnetic (EM) and hadronic (iron-scintillator) sampling calorimeters surrounded the tracking system and measured the energy of interacting particles, covering the range $|\eta| < 3.6$. The momentum of muons was measured by drift chambers and scintillation counters out to $|\eta| = 1.5$. The CDF trigger system selected events in a three-level architecture. The first (hardware-based) level accepted events at a rate of up to 30 kHz, while the second (firmware and software-based) level reduced the rate to less than 750 Hz, and the third (software-based) level reduced that rate to up to 200 Hz.

In the offline analyses of CDF data, jets were identified using a fixed-cone algorithm with a cone radius of 0.4. Heavy-flavor jets were b tagged based on secondary vertex reconstruction. Electrons were reconstructed as charged particles in the tracking system that leave the majority of their energy in the electromagnetic section of the calorimeter. Muons were identified as charged particles in the tracker that leave hits in the muon chambers located outside the calorimeter. The \cancel{E}_T was measured from the imbalance of energy observed in the calorimeter, projected in the transverse plane of the detector, with corrections to take into account the calibration of the energy that could be attributed to analysis objects such as jets, electrons, or muons. CDF collected an integrated luminosity of 9.5 fb^{-1} in run 2.

2. D0

The D0 detector (Abazov *et al.*, 2006) in run 2 at the Tevatron had a central tracking system consisting of a silicon

microstrip tracker and a central fiber tracker, both located within a 2 T superconducting solenoidal magnet. The central tracking system was designed to optimize tracking and vertexing at detector pseudorapidities of $|\eta| < 2.5$. A liquid-argon sampling calorimeter had a central section covering $|\eta| < 1.1$ and two end cap calorimeters that extended coverage to $|\eta| < 4.2$. An outer muon system, with pseudorapidity coverage of $|\eta| < 2$, consisted of a layer of tracking detectors and scintillation trigger counters in a magnetic field of 1.8 T provided by iron toroids. Events were selected by a three-level trigger system, with the first two (hardware-based and hardware- and software-based) levels accepting an event rate of about 1 kHz, which was reduced to less than 100 Hz with the software-based third level.

In the offline analyses, jets were identified as energy clusters in the electromagnetic and hadronic parts of the calorimeter, reconstructed using an iterative midpoint cone algorithm with radius $R = 0.5$ (Blazey *et al.*, 2000). Heavy-flavor jets were b tagged based on a MVA algorithm that combines the information from the impact parameters of tracks and from variables that characterize the properties of secondary vertices within jets. Electrons were identified as energy clusters in the calorimeter with a radius of 0.2 matched to a track. Muons were identified as segments in the muon system that are matched to tracks reconstructed in the central tracking system. The \cancel{E}_T was measured with the calorimeter and corrected for the presence of reconstructed objects. D0 collected an integrated luminosity of 9.7 fb^{-1} in run 2.

B. LHC

The Large Hadron Collider (LHC) has operated since 2009 as a proton-proton, proton-lead, and lead-lead collider,² at c.m. energies ranging from 900 GeV to 13 TeV. Collisions happen at four beam-crossing points, and data are recorded by seven experiments: the multipurpose experiments ATLAS (ATLAS Collaboration, 2008) and CMS (CMS Collaboration, 2008), the b -physics experiment LHCb (LHCb Collaboration, 2008), the heavy-ion experiment ALICE (ALICE Collaboration, 2008), the forward-physics experiments TOTEM (at the CMS collision point) (Berardi *et al.*, 2004a, 2004b) and LHCf (at the ATLAS collision point) (Adriani *et al.*, 2006), and the MoEDAL experiment (at the LHCb collision point) optimized for the search of magnetic monopoles and other highly ionizing hypothetical particles (Pinfold *et al.*, 2009). The following run periods are of relevance for the studies reported in this review: 7 TeV runs in 2010 and 2011 with about 5 fb^{-1} of good data collected by each of the multipurpose experiments; 8 TeV run in 2012, where about 20 fb^{-1} of data were collected per experiment; and 13 TeV runs since 2015 with around 40 fb^{-1} per experiment collected by the end of 2016. The LHC and the experiments continue to operate well at the time of writing with much larger data sets expected to be collected. Only the experiments that contribute to single top-quark studies (ATLAS, CMS, and LHCb) are described in this section.

²A short “pilot run” in October 2017 also provided a few hours of xenon-xenon collisions.

1. ATLAS

The ATLAS (A Toroidal LHC ApparatuS) experiment (ATLAS Collaboration, 2008) is a multipurpose particle detector with a forward-backward symmetric cylindrical geometry. ATLAS comprises an inner detector (ID) surrounded by a thin superconducting solenoid providing a 2 T axial magnetic field, a calorimeter system, and a muon spectrometer in a toroidal magnetic field. The ID tracking system covers the pseudorapidity range $|\eta| < 2.5$ and consists of a silicon pixel, a silicon microstrip, and transition radiation tracking detectors. Lead and liquid-argon sampling EM and forward calorimeters and steel and scintillator-tile central hadronic calorimeters provide energy measurements with pseudorapidity coverage of $|\eta| < 4.9$. The muon spectrometer surrounds the calorimeters and consists of large air-core toroid superconducting magnets with trigger and tracking chambers out to $|\eta| < 2.7$. Events are selected in run 1 in a three-level trigger system with the first (hardware-based) level accepting an event rate of less than 75 kHz and level 2 and the event filter (both software-based) reducing the accepted rate to about 400 Hz. In run 2, there are two trigger levels, accepting event rates of 100 and 1 kHz, respectively.

Jets are reconstructed using the anti- k_T jet clustering algorithm (Salam and Soyez, 2007) with a radius parameter of $R = 0.4$. Heavy-flavor jets are b tagged based on a combination of multivariate algorithms which take advantage of the long lifetime of b -flavored hadrons and the topological properties of secondary and tertiary decay vertices reconstructed within the jet. Electrons are reconstructed from energy clusters in the calorimeter which are matched to inner detector tracks. Electrons are identified in the pseudorapidity region $|\eta| < 2.47$, excluding the transition region between barrel and end cap calorimeters of $1.37 < |\eta| < 1.52$. Muons are reconstructed by combining matching tracks reconstructed in both the inner detector and the muon spectrometer up to $|\eta| < 2.5$. An upgrade of the silicon pixel detector, with the addition of a fourth layer of pixel sensors closer to the beam pipe, was performed between run 1 and run 2, enhancing the ATLAS performances in tracking and vertexing and consequently improving b -tagging performances.

During the runs at 7 TeV, in 2010 and 2011, ATLAS accumulated, respectively, 35 pb⁻¹ and about 5 fb⁻¹ of data usable for physics analysis. In 2012, about 20 fb⁻¹ were accumulated at 8 TeV, while about 3 and 33 fb⁻¹ were collected at 13 TeV in 2015 and 2016, respectively.

2. CMS

The CMS (Compact Muon Solenoid) experiment is, similar to ATLAS, a multipurpose detector with cylindrical forward-backward symmetry. It features a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two end cap sections. Forward calorimeters extend the pseudorapidity coverage provided by the barrel and end cap detectors. Muons are measured in gas-ionization detectors embedded in the steel flux-return yoke outside the solenoid. A more detailed

description of the CMS detector can be found in CMS Collaboration (2008). Events of interest are selected using a two-tiered trigger system (CMS Collaboration, 2017h). The first level (L1), composed of custom hardware processors, uses information from the calorimeters and muon detectors to select events at a rate of around 100 kHz. The second level, known as the high-level trigger (HLT), consists of a farm of processors running a version of the full event reconstruction software optimized for fast processing and reduces the event rate to less than 1 kHz before data storage.

All single top-quark analyses published by the CMS Collaboration have profited from the performances of the so-called particle-flow (PF) algorithm (CMS Collaboration, 2017g). The PF algorithm (also called global event reconstruction) reconstructs and identifies each individual particle with an optimized combination of information from the various elements of the CMS detector. The energy of photons is directly obtained from the ECAL measurement. The energy of electrons is determined from a combination of the electron momentum at the primary interaction vertex as determined by the tracker, the energy of the corresponding ECAL cluster, and the energy sum of all bremsstrahlung photons spatially compatible with originating from the electron track. The energy of muons is obtained from the curvature of the corresponding track. The energy of charged hadrons is determined from a combination of their momentum measured in the tracker and the matching ECAL and HCAL energy deposits, corrected for zero-suppression effects and for the response function of the calorimeters to hadronic showers. Finally, the energy of neutral hadrons is obtained from the corresponding corrected ECAL and HCAL energy. Jets and E_T are reconstructed using as input the list of particles provided by the PF algorithm. Jets are reconstructed with the anti- k_T jet clustering algorithm with a radius parameter of $R = 0.5$ in run 1 and $R = 0.4$ in run 2. Heavy-flavor jets are b tagged based on a combination of multivariate algorithms which take advantage of the long lifetime of b hadrons and the topological properties of secondary and tertiary decay vertices reconstructed within the jet.

During the runs at 7 TeV, in 2010 and 2011, CMS accumulated, respectively, 36 pb⁻¹ and 5 fb⁻¹ of certified data, defined as the data collected when all subdetectors and the magnet are fully operational. In 2012, 20 fb⁻¹ were accumulated at 8 TeV, while 2.3 and 36 fb⁻¹ of certified data were recorded at 13 TeV in 2015 and 2016, respectively.

3. LHCb

The LHCb detector (LHCb Collaboration, 2008) is a single-arm forward spectrometer with pseudorapidity acceptance of $2 < \eta < 5$, designed for the study of particles containing b or c quarks. A warm dipole magnet provides an integrated field of 4 Tm and surrounds the tracking systems, which include a vertex locator and silicon microstrip tracker. Additional tracking stations are located outside the magnet, made of silicon microstrips and ring imaging Cherenkov counters. The calorimeter has a preshower, electromagnetic, and hadronic part. Five muon stations based on multiwire proportional chambers, one in front of and the rest behind the calorimeters, record the trajectory of muons. Events are recorded by a

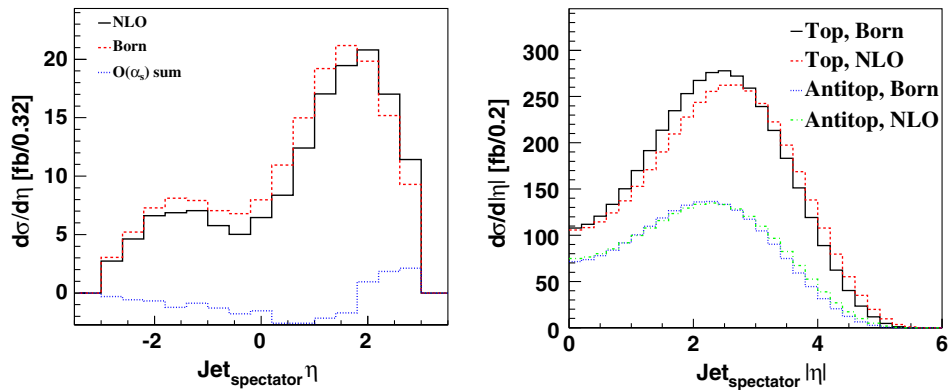


FIG. 2. Spectator jet pseudorapidity distribution, corresponding to the light-quark line in Fig. 1(a), comparing the Born level to NLO, (left) for η at the Tevatron for top-quark (not antiquark) production. From Cao *et al.*, (2005). (Right) For $|\eta|$ at the LHC for top-quark and antiquark t -channel production. From Schwienhorst *et al.*, 2011.

two-level triggering: a hardware-based level 0 which accepts events at a rate of about 1 MHz and a software-based HLT that reduces the rate to about 2 kHz. Events passing the muon trigger have been used for top-quark analysis (Sec. III.B.3.)

As the LHCb detector is not hermetic, a complete reconstruction of top-quark decay products is unfeasible as \cancel{E}_T , the usual proxy for the sum of transverse neutrino momenta, is not usable, and the visible decay products of the top quark are unlikely to be all directed to the same hemisphere in $t\bar{t}$ events. For this reason, top-quark measurements can be performed only in a fiducial region that includes contributions to the $W + b$ and $W + b\bar{b}$ final states from single and pair-production modes (LHCb Collaboration, 2015, 2017). LHCb recorded 1.1 fb^{-1} at 7 TeV, 2.1 fb^{-1} at 8 TeV, and about 2 fb^{-1} at 13 TeV in 2015 and 2016.

III. CROSS-SECTION MEASUREMENTS

The cross sections of four single top-quark production mechanisms have been measured at the hadron colliders. The cross section of t -channel production, Fig. 1(a), is largest at both the Tevatron and LHC colliders, about one-third of the top-quark pair-production cross section. The production of s -channel single top quarks, Fig. 1(b), is initiated at the Born level by $q\bar{q}'$ annihilation and the cross section is therefore larger in $p\bar{p}$ than in pp collisions (at the same c.m. energy), about one-half that of t -channel production at the Tevatron. The cross section of tW production, Fig. 1(c), while being experimentally inaccessible at the Tevatron, is the second-largest one at the LHC due to the higher c.m. energy and larger gluon PDF. The much rarer tZq process has been observed only recently thanks to the large statistics accumulated by the LHC in run 2.

Figure 2 compares the pseudorapidity distributions of the light quark in the dominant t -channel production at the Born level (LO) and NLO between the Tevatron and the LHC (Cao *et al.*, 2005; Schwienhorst *et al.*, 2011). At the Tevatron, the distribution is asymmetric due to the proton-antiproton initial state. The light quark that recoils against the top quark (antiquark), often called the “spectator” quark, goes preferentially along the direction of the incoming proton (anti-proton). At the LHC, the pseudorapidity distribution is

symmetric; thus only $|\eta|$ is shown. For the same reason, the cross sections for the production of top quarks and antiquarks are different. The light-quark distribution peaks more forward at the LHC than at the Tevatron due to the larger c.m. energy, and more forward for top quarks than top antiquarks because the incoming light quark is a valence quark for top-quark production.

The single top-quark analyses in the t channel and s channel at the Tevatron and the LHC select events in the lepton plus jets ($l + \text{jets}$) final state,³ which requires a high- p_T lepton and at least one b -tagged jet. The exception is one CDF analysis, which selects events with large \cancel{E}_T and b -tagged jets. The tW measurements select events in the dilepton final state. The searches for tZq production exploit the trilepton final state, where the price paid in terms of leptonic branching fractions of the Z boson and of the top quark gets compensated in terms of purity.

In this review we follow the usual convention in the high-energy physics community⁴ of indicating with the words “evidence” and “observation” a significance of the signal with respect to the background-only hypothesis that surpasses 3 and 5 standard deviations, respectively.

A. Tevatron

At the Tevatron, the t -channel process has the largest predicted production cross section of $2.10 \pm 0.13 \text{ pb}$ (Kidonakis, 2011) and is easiest to separate from the backgrounds due to the unique signature of a forward light-quark jet; see Figs. 1(a) and 2. The s -channel process has a smaller predicted production cross section of $1.05 \pm 0.06 \text{ pb}$ (Kidonakis, 2010a). Both theory predictions have been computed at NLO, including NNLL corrections, and for a

³Here and anywhere in this review, l is used to refer to a charged lepton (electron or muon), p_x and p_y indicate momentum components along the x and y axes chosen as orthogonal directions to the beam axis, and $p_T \equiv \sqrt{p_x^2 + p_y^2}$ (transverse momentum).

⁴We are aware of the shortcomings of this convention, especially in cases where the signal expectation is precisely determined in the SM; see the discussion by Dorigo (2015).

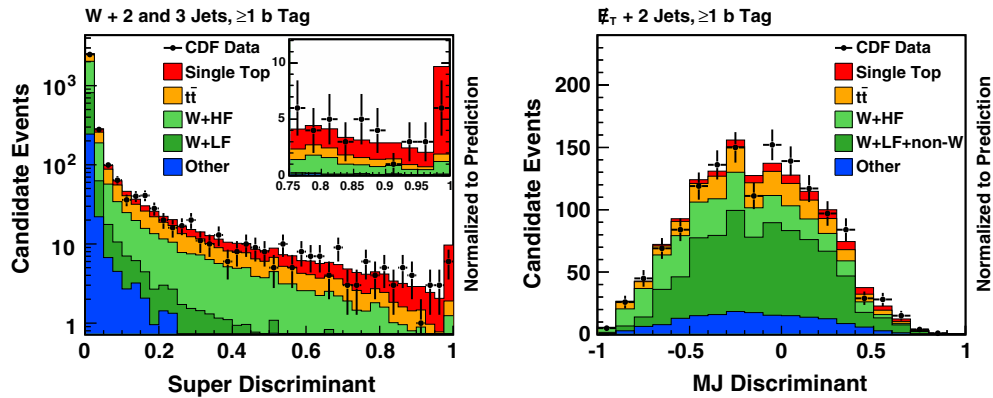


FIG. 3. (Left) Combination discriminant distribution and (right) $E_T + \text{jets}$ analysis discriminant distribution for the CDF single top-quark observation analysis. From Aaltonen *et al.*, 2010.

top-quark mass of 172.5 GeV. The tW cross section is 0.10 ± 0.01 pb (Kidonakis, 2017b), too small to disentangle from other processes with similar final states, and it is therefore neglected in all Tevatron analyses. Because of the challenge of separating the signal from the background and the two signals from each other, the Tevatron experiments report both combined ($s + t$)-channel measurements, where the ratio between the two processes is assumed to take the SM value and individual measurements for the t channel and the s channel. The SM ratio assumption is suitable for the early measurements that aim to establish the existence of this signal and provide the first $|V_{tb}|$ extraction. It does limit the sensitivity to new physics,⁵ for which a two-dimensional cross-section fit is more appropriate as presented in Sec. III.A.3.

1. Observation of single top-quark production

The amount of data collected in run 1 at the Tevatron at a c.m. energy of 1.8 TeV was not sufficient to accumulate a measurable sample of single top-quark events and only upper limits on the production cross section were set (Abbott *et al.*, 2000; Abazov *et al.*, 2001; Acosta *et al.*, 2002). In run 2, Tevatron delivered collisions at a c.m. energy of 1.96 TeV. Tighter constraints were set (Abazov *et al.*, 2005), and then evidence for single top-quark production was reported by D0 in 2006 (Abazov *et al.*, 2007a, 2008) and by CDF in 2008 (Aaltonen *et al.*, 2008a). The production of single top-quark events was first observed in 2009 by CDF (Aaltonen *et al.*, 2009a, 2010) and D0 (Abazov *et al.*, 2009). The two measurements were also combined (CDF and D0 Collaborations, Tevatron Electroweak Working Group, 2009).

Two approaches are critical in the Tevatron single top-quark discovery. First, no attempt is made to separate the t -channel and s -channel production modes, although the analyses are mostly sensitive to t -channel production due to its larger expected cross section and distinct kinematic properties, in particular, the forward light-quark jet, the pseudorapidity of

which is shown in Fig. 2. The number of expected signal events with two jets and one b tag in 3.2 and 2.3 fb⁻¹ for CDF and D0 was 85 and 77 for the t channel and 62 and 45 for the s channel.

Second, the Tevatron single top-quark searches and measurements rely on MVA techniques to separate the small signal from the large backgrounds with large systematic uncertainties. And not just MVAs, but the discovery sensitivity is reached only when multiple MVAs are combined in another MVA. Figure 3 shows the discriminant distributions in the two CDF analyses that enter the observation: The super discriminant, from a combination of multiple $l + \text{jets}$ analyses, and the MVA discriminant, from the $E_T + \text{jets}$ (MJ) analysis which vetoes isolated leptons (Aaltonen *et al.*, 2010). The super discriminant only has a single bin with more than five signal events expected, and the MJ discriminant also has very few signal events in the signal-enriched region. Figure 4 shows the combination discriminant for the D0 analysis. Even in the signal-enriched region close to an MVA output of 1, there are only about eight expected signal events for an expected background of about ten events. The combined cross section for t -channel and s -channel production is obtained in a Bayesian likelihood analysis, assuming the SM ratio of the two processes. The same approach is also used to combine the two measurements, and the combined t -channel plus s -channel ($t + s$) cross section is $2.76^{+0.58}_{-0.47}$ pb (CDF and D0 Collaborations, Tevatron Electroweak Working Group, 2009).

CDF required a data sample about 50% larger than D0 to observe single top-quark production due to a downward fluctuation in the data, as can be seen in Fig. 3 (left), while D0 had an upward fluctuation in data in the signal region; see Fig. 4. An additional reason was the limited accuracy of single top-quark theory modeling. Only leading-order (LO) generators existed at the time, while the production cross section receives contributions from both the $2 \rightarrow 2$ process shown in Fig. 5(a) and the $2 \rightarrow 3$ process shown in Fig. 5(b). The $2 \rightarrow 2$ process corresponds to the 5-flavor-number scheme (5FNS) where the parton distribution functions include b quarks. The $2 \rightarrow 3$ process is a part of the real corrections in QCD to the $2 \rightarrow 2$ process in this scheme. However, this diagram actually contributes a large fraction of the selected single top-quark events (Cao *et al.*, 2005). Alternatively, when generating

⁵This approach is rigorous only as a test for models that coherently modify the cross section of both channels, such as an anomalous tWb coupling.

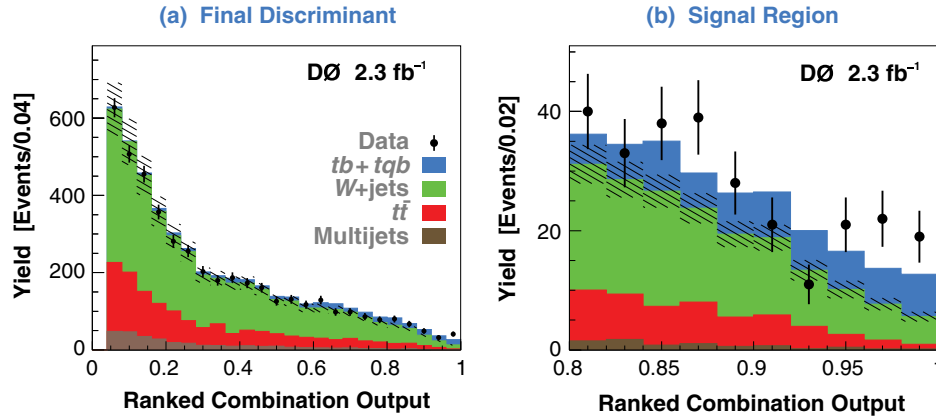


FIG. 4. Combination discriminant distribution for the D0 single top-quark observation analysis for (a) the full range and (b) zoomed in on the signal region. From [Abazov et al., 2009](#).

events in the 4-flavor-number scheme (4FNS) where the parton distribution functions do not include b quarks, the $2 \rightarrow 3$ process in Fig. 5 is the LO process ([Frederix, Re, and Torrielli, 2012](#)). Consequently, LO generators need to employ a matching scheme that includes both diagrams. D0 employs the SINGLETOP generator ([Boos et al., 2006](#)), based on COMPHEP ([Boos et al., 2004](#)), which matches the kinematics of the scattered b quark to the NLO prediction. This approach gives reasonable agreement with the NLO distribution ([Campbell et al., 2009](#); [Binoth et al., 2010](#)). This is not the case for the CDF signal model, which was tuned by comparing the LO parton-level distribution to NLO ([Aaltonen et al., 2010](#)). For the analysis with the full Tevatron run 2 data set, the CDF signal model was updated to NLO using the POWHEG generator ([Alioli et al., 2009](#); [Re, 2011](#)).

2. Tevatron legacy measurements and s -channel observation

The CDF and D0 analyses with the full Tevatron data set of about 10 fb^{-1} utilize the same analysis techniques as the observation analyses previously described. CDF combines two measurements, one in the $l + \text{jets}$ channel, and one in the MJ channel. The first measurement selects events with a lepton (electron or muon), jets, and large \cancel{E}_T in 7.5 fb^{-1} of data ([Aaltonen et al., 2014c](#)). The data events are separated into four categories by jet multiplicity (2-jet and 3-jet) and b -tag multiplicity (1-tag and 2-tag). The single top-quark signal is separated from the backgrounds using a neural network (NN) discriminant, trained separately in each analysis region, using only s -channel events as the signal in the training for 2-jet, 2-tag

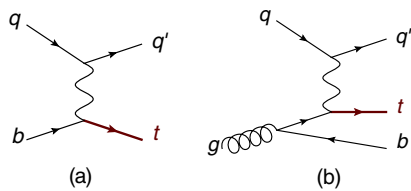


FIG. 5. Representative diagrams for electroweak single top-quark t -channel production in (a) the $2 \rightarrow 2$ mode, corresponding to the 5-flavor-number scheme and (b) the $2 \rightarrow 3$ mode, corresponding to the 4-flavor-number scheme.

events, and only t -channel events as the signal in the training for all other events. This dedicated training enhances the separate sensitivity to s channels and t channels. In addition, simulated samples with variations related to the main systematic uncertainties (jet energy scale, factorization, and renormalization scales) are included in the training in order to reduce the sensitivity to these sources of uncertainty. The NN discriminant for 1-tag events is shown in Fig. 6.

The second measurement selects events containing large \cancel{E}_T , b -tagged jets, but no identified leptons ([Aaltonen et al., 2016](#)) in 9.5 fb^{-1} of data. Events are separated into six regions by jet multiplicity (2 or 3) and b -tag categories (exactly one tight, one tight and one loose, and two tight tags). In total, 22 700 events are selected in data, of which 530 are expected to be from single top-quark production. This amount of signal is similar to the $l + \text{jets}$ analysis, but the background here is much larger. The signal is separated from the large background from QCD multijet events with a NN. The t -channel (s -channel) signal is isolated from the background in one b -tag (two b -tag) events with a separate NN. The resulting NN output for events with two b -tagged jets is shown in Fig. 6. The $\cancel{E}_T + \text{jets}$ analysis has less sensitivity than the $l + \text{jets}$ one, but still contributes in the combination and enhances the single-top sensitivity.

The $l + \text{jets}$ and MJ discriminants are combined in a likelihood fit that includes all bins of the MVA distributions in all channels of both measurements, with a coherent treatment of the systematic uncertainties and their correlations ([Aaltonen et al., 2016](#)). The resulting two-dimensional posterior probability density as a function of the t -channel and s -channel cross sections for CDF is shown in Fig. 7 (left).

D0 measures the combined single top-quark cross section using a combination of several MVA techniques ([Abazov et al., 2013](#)) using 9.7 fb^{-1} of data, selecting events in the $l + \text{jets}$ channel. Each event is required to have an electron or a muon with $p_T > 20 \text{ GeV}$ and two or three jets, at least one of which is required to be b tagged. The leading jet is required to have $p_T > 25 \text{ GeV}$, while all other jets have $p_T > 20 \text{ GeV}$. The missing transverse momentum is required to be $\cancel{E}_T > 20 \text{ GeV}$ for 2-jet events and $\cancel{E}_T > 25 \text{ GeV}$ for 3-jet events. Events where a hadronic jet is misidentified as a lepton are

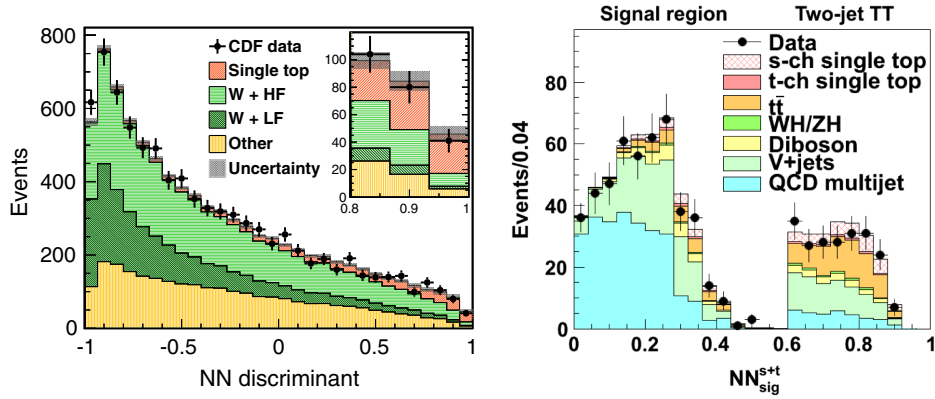


FIG. 6. Multivariate discriminant for (left) the CDF $l + \text{jets}$ analysis for events with one b tag. From Aaltonen *et al.*, 2014c. (Right) The CDF $E_T + \text{jets}$ analysis for events with two tight b tags. From Aaltonen *et al.*, 2016.

rejected through additional event topology requirements. In total, 12 000 data events are selected, of which 630 are expected to be from single top-quark production. The t -channel and s -channel signals are separated from the large background with three MVA discriminants: a Bayesian NN (BNN), a boosted decision tree (BDT), and a matrix-element (ME) discriminant. The inputs to the BNN and the BDT are kinematic properties of individual analysis objects and whole-event features and include the output of the b -tag algorithm. In the ME method, also known as dynamic likelihood method (Kondo, 1988, 1991), a discriminant is built using probabilities calculated from the squared matrix element for each signal and background process hypothesis based on the corresponding leading-order Feynman diagrams, and thus in principle uses all the kinematic information available for the event. The three individual discriminants are then combined in another BNN to form the final discriminant. The methods are optimized separately for the t channel (where the s channel is included as part of the background) and the s channel (where the t channel is included as part of the

background) in each of four regions (two or three jets, one or two b tags). The signal region for the two discriminants is shown in Fig. 8. The cross section is measured in a Bayesian likelihood analysis (Bertram *et al.*, 2000). The resulting two-dimensional posterior as a function of t -channel and s -channel single top-quark production cross sections for D0 is shown in Fig. 7 (right).

3. Tevatron combination

The results from the two experiments are combined starting from the s - and t -channel discriminants in the two CDF (Aaltonen *et al.*, 2014c, 2016) and one D0 (Abazov *et al.*, 2013) analyses previously listed. The various channels of the different analyses are combined by taking the product of their likelihoods and simultaneously varying the correlated uncertainties and by comparing data to the predictions for each contributing signal and background process. The combined Tevatron cross sections are measured using a Bayesian statistical analysis (Bertram *et al.*, 2000). No assumption is

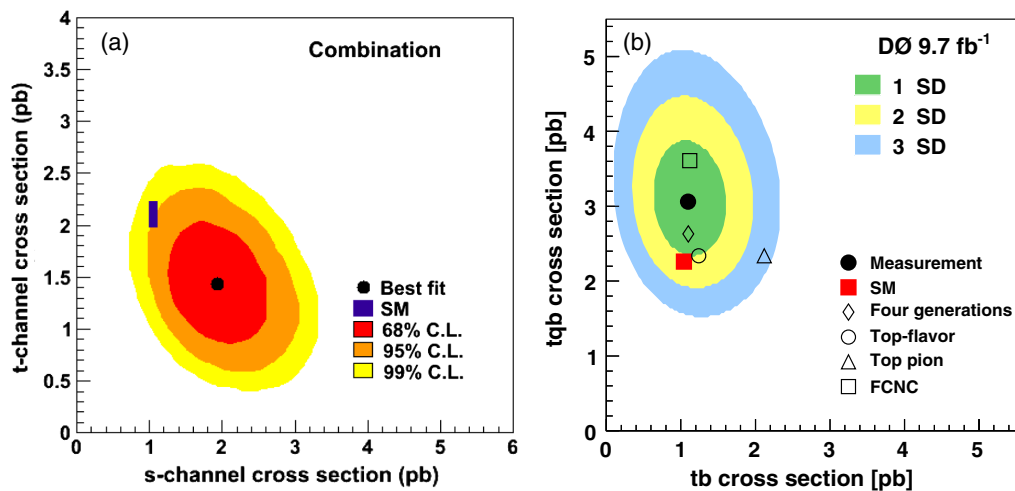


FIG. 7. Two-dimensional posterior probability density as a function of the t -channel and s -channel single top-quark production cross sections for (left) the combined CDF analysis. From Aaltonen *et al.*, 2016. (Right) The D0 analysis. From Abazov *et al.*, 2013. Overlaid on the D0 plot are several representative new-physics models: FCNC top-gluon interactions (Tait and Yuan, 2000; Abazov *et al.*, 2007b), a fourth-generation model (Alwall *et al.*, 2007), a top-flavor model (Tait and Yuan, 2000), and a top pion (Hill, 1995; Tait and Yuan, 2000).

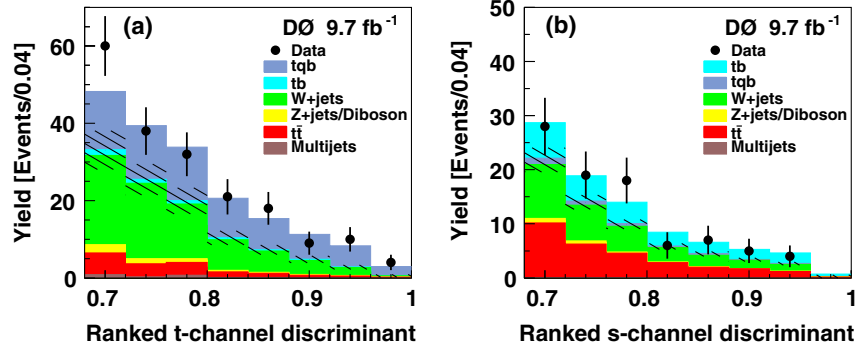


FIG. 8. Signal region of the multivariate discriminant (ranked by expected signal-to-background ratio) for the D0 single top-quark analysis for (a) the t -channel discriminant and (b) the s -channel discriminant. From [Abazov et al., 2013](#).

made about the ratio of the t -channel and s -channel cross sections (unlike for the single top-quark discovery). The several hundred bins of the individual discriminants are sorted by their t -channel and s -channel signal-to-background ratios as $s - t$ and rebinned. This discriminant is shown in Fig. 9. The t -channel signal appears on the left, at large negative values. The s -channel signal appears on the right, at large positive values. The signal + background distribution shows good agreement with the data over the full discriminant range. The largest background in both the t -channel and s -channel signal regions is from W -boson production in association with jets ($W + \text{jets}$), with smaller contributions from $t\bar{t}$ production and other backgrounds.

The two-dimensional Bayesian posterior density as a function of the t -channel and s -channel cross sections is shown in Fig. 10 (left). The measurement agrees with the SM prediction and is also compared to several new-physics models for illustration. FCNC couplings of the top quark to the gluon ([Tait and Yuan, 2000](#); [Abazov et al., 2007b](#)) increase the t -channel cross section. A possible fourth generation ([Alwall et al., 2007](#)) results in an increased top-quark coupling to first- and second-generation quarks and thus reduces the s -channel cross section while increasing the t -channel cross section. A top-flavor model ([He, Tait, and Yuan, 2000](#); [Tait and Yuan, 2000](#)) with an additional boson coupling to the top quark increases the s -channel cross section and has no impact on t -channel production. A charged “top pion”⁶ results in a s -channel resonance decaying to a top quark and a bottom quark ([Tait and Yuan, 2000](#)).

4. s -channel

The existence of s -channel production was established a few years ago by the combination of Tevatron measurements ([Aaltonen et al., 2014a](#)) and it is one of the few “Tevatron

⁶The term top pion refers to hypothetical composite bosons formed by top and bottom quarks and antiquarks, predicted in models with additional strong interactions that act only on third-generation quarks, generally known as “top-color” models ([Hill, 1991, 1995](#)). These models seek to explain the largeness of the top-quark mass by a top-quark condensation that plays the role of the Higgs field, in analogy with the phenomenon of superconductivity. Top pions play for such a theory the same role that the SM pions, formed by up and down quarks and antiquarks, play in QCD.

legacies” that have not been surpassed in precision by the LHC experiments. The input measurements and procedure are the same as described in Sec. III.A.3, but here the likelihood fit is one dimensional for the s -channel signal, including t -channel single top-quark production in the background. The combined discriminant, rebinned to bring out the s -channel signal, is shown in Fig. 11 (left). The dominant background in the signal region is from $W + \text{jets}$ production and top-quark pair production. The t -channel contribution in the s -channel signal region is negligible.

The cross section is measured to be $1.29^{+0.26}_{-0.24}$ pb, consistent with the SM expectation. The significance of the excess of the data over the background expectation is 6.3 standard deviations. A summary of the Tevatron s -channel measurements is shown in Fig. 11 (right).

The Tevatron cross-section measurements are summarized in Fig. 10 (right) and are compared to the LHC measurements in Fig. 24.

B. LHC

Single top-quark production at the LHC is dominated by the t channel, even more than at the Tevatron. The production cross section for the t channel, shown in Table I, is sufficiently

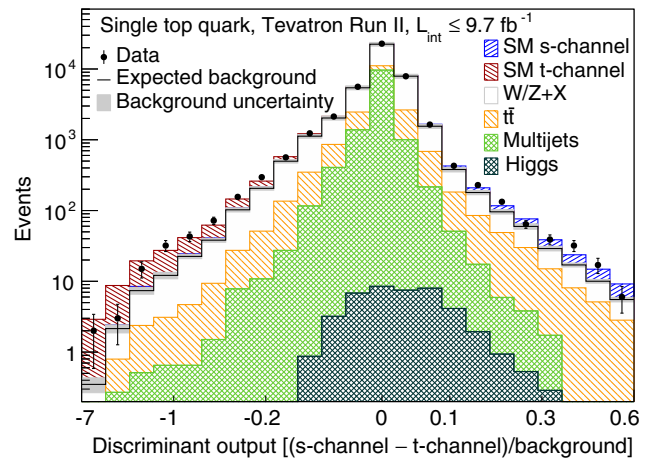


FIG. 9. Distribution of the discriminant histograms, summed over bins with similar ratios $[(s - t)/\text{background}]$. A nonlinear scale is used on the horizontal axis to better bring out the signal regions of the discriminant. From [Aaltonen et al., 2015](#).

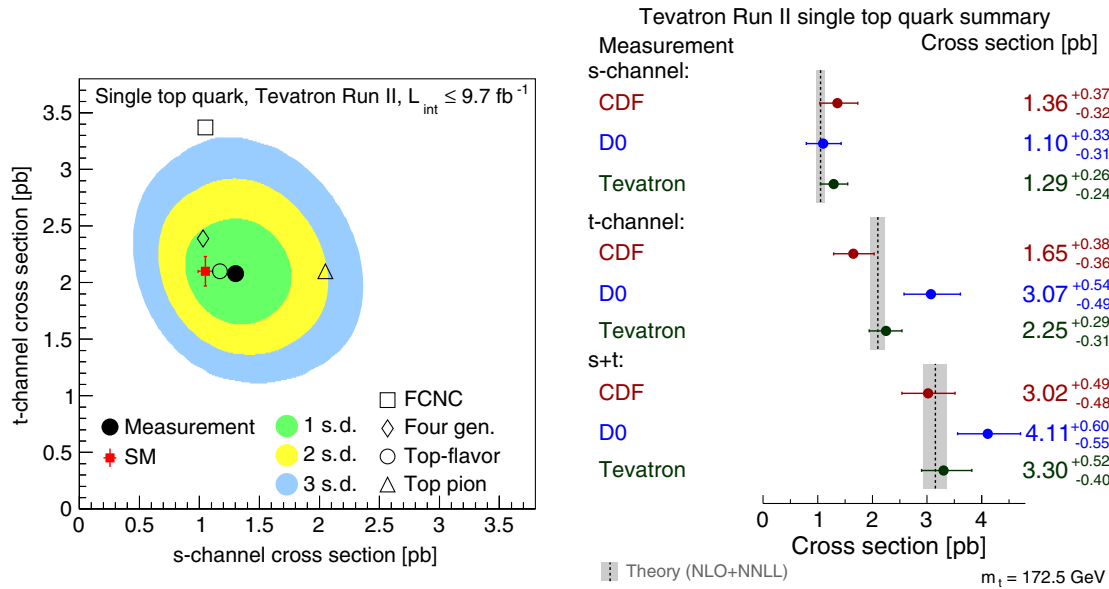


FIG. 10. (Left) Posterior probability density as a function of the t -channel and s -channel cross sections. Adapted from Aaltonen *et al.*, 2015. Also shown are new-physics models: FCNC top-gluon interactions (Tait and Yuan, 2000; Abazov *et al.*, 2007b), a four-generation model (Alwall *et al.*, 2007), a top-flavor model (Tait and Yuan, 2000), and a top pion (Hill, 1995; Tait and Yuan, 2000). (Right) Summary of the Tevatron single top-quark measurements. Adapted from Aaltonen *et al.*, 2015.

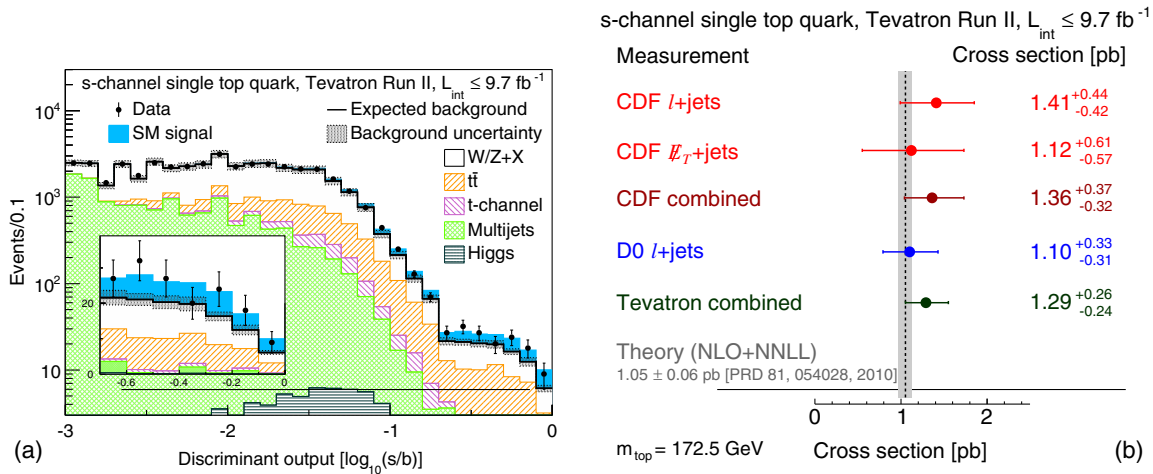


FIG. 11. (Left) Tevatron s -channel discriminant with bins sorted by signal to background yields and (right) summary of Tevatron s -channel cross-section measurements. From Aaltonen *et al.*, 2014a.

large to produce millions of single top quarks, enough to measure the cross section inclusively and differentially and to measure top-quark properties precisely (see Sec. IV). The cross section for the production of a top quark in association with a W boson, shown in Table III, is second largest and is sufficiently high to observe this process at the LHC. The s -channel cross section, shown in Table IV, is small due to its quark-antiquark initial state and so far only evidence for this process has been reported.

1. t channel

The ATLAS and CMS experiments have recorded proton-proton data at various c.m. energies. The t -channel production mode [Fig. 1(a)] has the largest cross section and is the only

single top-quark process whose cross section has been measured at four c.m. energies so far. Effort has also gone into providing precise theoretical predictions for this mode. The t -channel cross sections have been calculated at NNLO in QCD (Berger, Gao, and Zhu, 2017; Brucherseifer, Caola, and Melnikov, 2014; Berger *et al.*, 2016) and at NLO with NNLL resummation (Kidonakis, 2011). Automatic calculations as a function of various parameters can be performed with the HATHOR v2.1 program at NLO (Aliev *et al.*, 2011; Kant *et al.*, 2015), based on MCFM (Campbell, Ellis, and Tramontano, 2004). The dependence of the theory predictions on the flavor-number scheme in the predictions has also been studied by comparing the full NLO calculations in the 4FNS [Fig. 5(a)] with that in the 5FNS [Fig. 5(b)] (Frederix, Re, and Torrielli, 2012). The different predictions are compared in Table I.

TABLE I. Theoretical predictions for the t -channel production cross sections at the LHC. The NNLO predictions at 8 (Brucherseifer, Caola, and Melnikov, 2014) and 13 TeV (Berger *et al.*, 2016) use a top-quark mass of 172.5 and 173.2 GeV, respectively, and the uncertainties include scale variations. The NLO + NNLL predictions (Kidonakis, 2011, 2017a, 2014) have been calculated for a top-quark mass of 173 GeV and the uncertainties include scale and PDF (Martin, Stirling, and Watt, 2009) variations. The NLO predictions have been computed using the HATHOR v2.1 program (Aliev *et al.*, 2011; Kant *et al.*, 2015) based on MCFM (Campbell *et al.*, 2009). They are obtained at a top-quark mass of 172.5 GeV and the uncertainties include scale, PDF, and α_s (Martin *et al.*, 2009; Martin, Stirling, and Watt, 2009; Lai *et al.*, 2010; Botje *et al.*, 2011; Ball *et al.*, 2013) variations.

t -channel cross section (pb)	7 TeV	8 TeV	13 TeV
NNLO			
t	...	$54.2^{+0.5}_{-0.2}$	$134.3^{+1.3}_{-0.7}$
\bar{t}	...	$29.7^{+0.3}_{-0.1}$	$79.3^{+0.8}_{-0.6}$
$t + \bar{t}$...	$83.9^{+0.8}_{-0.3}$	$213.6^{+2.1}_{-1.1}$
NLO + NNLL			
t	$43.0^{+1.8}_{-0.9}$	$56.4^{+2.4}_{-1.2}$	136^{+4}_{-3}
\bar{t}	$22.9^{+0.9}_{-1.0}$	$30.7^{+1.5}_{-1.6}$	82^{+3}_{-2}
$t + \bar{t}$	$65.9^{+2.6}_{-1.8}$	$87.2^{+3.4}_{-2.5}$	218^{+5}_{-4}
NLO			
t	$41.8^{+1.8}_{-1.5}$	$54.9^{+2.3}_{-1.9}$	136 ± 5
\bar{t}	$22.0^{+1.3}_{-1.2}$	$29.7^{+1.7}_{-1.5}$	81 ± 4
$t + \bar{t}$	$63.8^{+2.9}_{-2.2}$	$84.7^{+3.8}_{-3.2}$	217^{+9}_{-8}

The NLO + NNLL predictions are slightly larger than the NLO ones, while the NNLO calculations predict a smaller cross section. The cross sections have also been computed differentially (Berger, Gao, and Zhu, 2017; Schwienhorst *et al.*, 2011; Kidonakis, 2016).

At the LHC, the inclusive t -channel cross sections have been measured at 7 TeV (CMS Collaboration, 2011, 2012a; ATLAS Collaboration, 2014a), 8 TeV (ATLAS Collaboration, 2017a; CMS Collaboration, 2014a), and 13 TeV (ATLAS Collaboration, 2017b; CMS Collaboration, 2017c) by ATLAS and CMS. All these analyses enhance the t -channel signal by selecting events with one isolated electron or muon, significant \cancel{E}_T , and/or large invariant mass (m_T^W) of the lepton plus \cancel{E}_T system,⁷ and two or three jets. Exactly one of the jets is required to pass a tight threshold on the b -tagging discriminant and is interpreted as coming from the decay of the top quark, while the other (failing the same threshold) as originating from the spectator quark that recoils against the top quark. Main backgrounds to this final state are $t\bar{t}$ and $W + \text{jets}$. Orthogonal control regions with different multiplicities of jets and/or b -tagged jets are used to measure these backgrounds *in situ*, to validate the Monte Carlo (MC) models used for their predictions, or to constrain the main experimental uncertainties (e.g., b -tag modeling). QCD multijet events constitute a small but non-negligible background. Given the uncertainties in its modeling, it is necessary to predict the size and properties of this process by data. A reliable model of this background is usually extracted from events that fail the isolation requirement or other elements of

⁷Defined as $m_T^W = \sqrt{(p_T^l + \cancel{E}_T)^2 - (p_x^l + \cancel{E}_{T,x})^2 - (p_y^l + \cancel{E}_{T,y})^2}$.

the charged-lepton selection, while fulfilling all other selection criteria.

The extraction of the signal cross section is performed by both collaborations by profile likelihood fits (Verkerke and Kirkby, 2003; Cowan *et al.*, 2011; Cranmer *et al.*, 2012). The fit variable is a multivariate discriminant in the case of ATLAS (ATLAS Collaboration, 2014a, 2017a, 2017b) and of some of the CMS analyses (CMS Collaboration, 2011, 2012a, 2017c). ATLAS also measured the cross section at 7 TeV in a simple cut-based approach (ATLAS Collaboration, 2012b). CMS also demonstrated the feasibility of entirely relying on a simple kinematic observable η_j defined as the pseudorapidity of the jet failing b -tag requirement (CMS Collaboration, 2012a, 2014a).

Table II compares the acceptances and event yields of the LHC t -channel analyses to the Tevatron ($s + t$)-channel analyses. The kinematic thresholds on leptons, jets, and \cancel{E}_T are higher at the LHC than at the Tevatron, resulting in an acceptance that is about a factor of 2 lower. However, since the cross section is so much larger, the number of signal events and the signal-to-background ratio are larger.

Systematic uncertainties are dominant over the statistical uncertainties in these t -channel measurements with the exception of the earliest measurement at 7 TeV using the data collected in 2010 (CMS Collaboration, 2011). The important detector-related uncertainties are from b tagging and jet energy scale (JES). The theory modeling uncertainties contribute about half of the total systematic uncertainties. These are related to the renormalization and factorization scales in the simulated signal sample, the PDFs, the amount of initial-state radiation (ISR) and final-state radiation (FSR), the modeling of the parton shower, and the NLO subtraction (treatment of phase space that is populated by both the NLO corrections in the matrix element and the parton shower). Theory modeling uncertainties are included for both the t -channel signal and the background from $t\bar{t}$ production.

TABLE II. Comparison of Tevatron and LHC single top-quark acceptances, event yields, and signal-to-background ratio. The 7 TeV CMS analysis was done separately for electron and muon events and the luminosity and single top-quark acceptances are given separately, while the number of events and the signal-to-background ratio (s/b) are quoted for electron and muon channels combined.

Experiment	Signal acceptance (%)	No. of t -channel events	s/b (%)
1.96 TeV Tevatron			
CDF $s + t\ell + \text{jets}$	2.2	550	6.4
CDF $s + t\cancel{E}_T + \text{jets}$	1.7	530	2.3
D0 $s + t\ell + \text{jets}$	2.0	630	5.3
7 TeV LHC			
ATLAS t channel, 4.6 fb^{-1}	1.0	5 700	10
CMS t channel, $1.2(\mu)$, $0.8(\mu)$, $0.6(e)$ $1.6(e) \text{ fb}^{-1}$		950	31
8 TeV LHC			
ATLAS t channel, 20.3 fb^{-1}	1.0	17 700	18
CMS t channel, 19.7 fb^{-1}	0.6	10 400	21
13 TeV LHC			
ATLAS t channel, 3.2 fb^{-1}	1.0	6 900	11
CMS t channel, 2.2 fb^{-1}	0.5	2 400	11

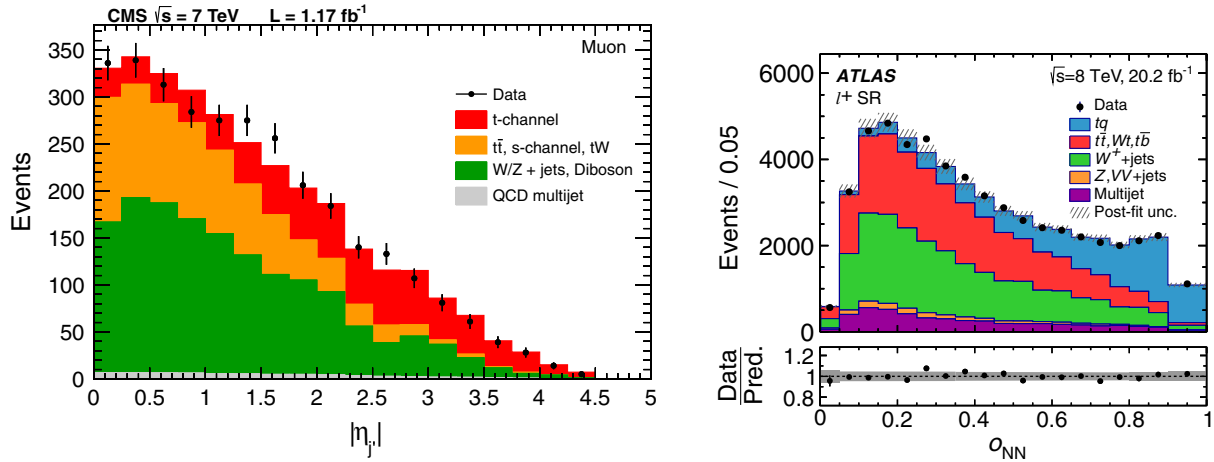


FIG. 12. (Left) CMS 7 TeV t -channel pseudorapidity distribution of the light-quark jet for muon events. From CMS Collaboration, 2012a. (Right) ATLAS 8 TeV t -channel NN discriminant distribution. From ATLAS Collaboration, 2014a.

The scale and ISR and FSR uncertainties are evaluated by both ATLAS and CMS by varying the relevant parameters in the simulation. The NLO subtraction is evaluated by comparing the POWHEG method to the AMC@NLO method (Alwall *et al.*, 2014; Frederix, Re, and Torrielli, 2012; Frixione, Nason, and Oleari, 2007). For the CMS 8 TeV analysis, this also includes a comparison of events generated in the 4FNS and the 5FNS. The uncertainty due to the description of parton showers is evaluated by comparing PYTHIA to HERWIG, for ATLAS in the entire analysis chain, and for CMS only in the JES. The PDF uncertainty is evaluated with the PDF4LHC prescription (Botje *et al.*, 2011). The background-related uncertainties are dominated by the $t\bar{t}$ modeling and normalization and also have contributions from $W + \text{jets}$ and fake-lepton background modeling. Figure 12 shows the light-quark jet pseudorapidity distribution for muon events in the CMS 7 TeV analysis and the NN discriminant for positively charged leptons in the ATLAS 8 TeV analysis. Already with a limited-size sample at 7 TeV, the t -channel signal is clearly visible, and at 8 TeV, even bins of the final discriminant where the background is reduced to

negligible levels still retain thousands of signal events. Figure 13 (left) shows the CMS NN distribution in the 13 TeV t -channel analysis. Even with the small data sample analyzed so far in run 2, the t -channel signal can be easily extracted. These figures clearly show that in comparison to 7 and 8 TeV, the $t\bar{t}$ background is now larger than the $W + \text{jets}$ background, as expected due to the larger increase in the $t\bar{t}$ cross section.

The cross section is evaluated in a likelihood fit, and some of the uncertainties are constrained by data in the fit, i.e., these nuisance parameters are profiled. For the ATLAS analyses, only the uncertainties on the normalization of the $t\bar{t}$ and $W + \text{jets}$ backgrounds (and for the 7 TeV analysis also the b -tag scale factor) are profiled, while the other uncertainties are evaluated through pseudoexperiments. The CMS 7 TeV analysis uses a Bayesian approach to measure the cross section (Jaynes, 2003) and marginalizes the systematic uncertainties, except for the theory modeling uncertainties, which are evaluated in pseudoexperiments.

The cross sections measured by ATLAS and CMS at 7 TeV are 68 ± 8 and 67.2 ± 6.1 pb, respectively. ATLAS also

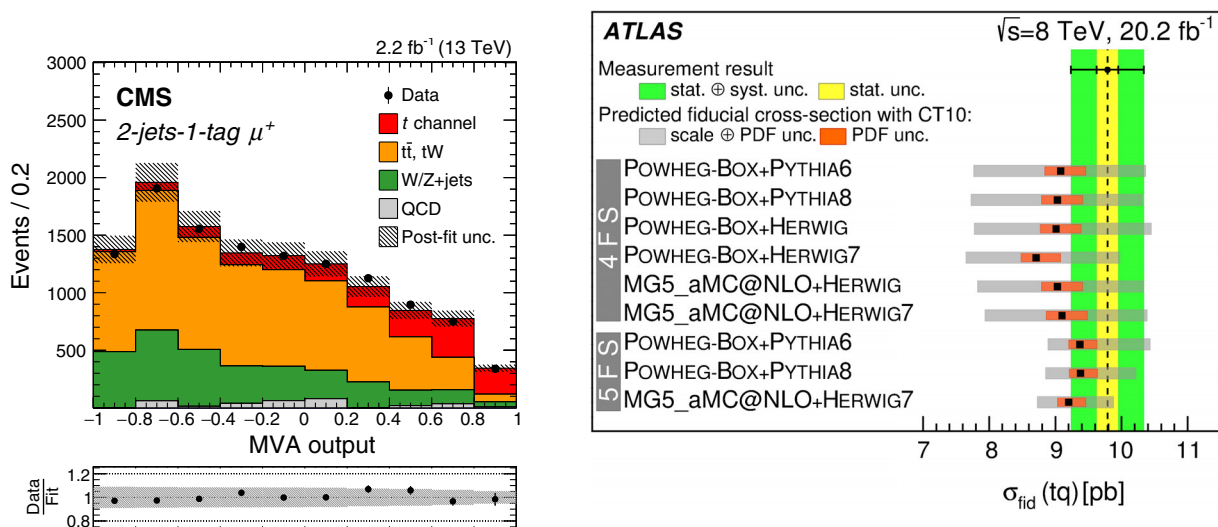


FIG. 13. (Left) CMS 13 TeV t -channel NN discriminant. From CMS Collaboration, 2017c. (Right) ATLAS 8 TeV t -channel fiducial cross-section measurement compared to different signal simulations. From ATLAS Collaboration, 2014a.

measures the cross section for top-quark production separately from that for top antiquark production 46 ± 6 and 23 ± 4 pb, respectively. The CMS measurement is a combination of the electron and muon channels, both of which have a tight event selection that leads to a high s/b ratio, see Table II, resulting in a slightly smaller total uncertainty for CMS than for ATLAS. The cross sections measured by ATLAS and CMS are consistent with each other and with the theory predictions.

At 8 TeV, the inclusive t -channel cross section measured by ATLAS is $89.6_{-6.3}^{+7.1}$ pb. The cross section has also been measured separately for top quarks and top antiquarks, $56.7_{-3.8}^{+4.3}$ pb for top-quark production and $32.9_{-2.7}^{+3.0}$ pb for top antiquark production. At 8 TeV, the inclusive t -channel cross section measured by CMS is $83.6 \pm 2.3(\text{stat}) \pm 7.4(\text{syst})$ pb, with $53.8 \pm 1.5(\text{stat}) \pm 4.4(\text{syst})$ pb for top quarks and $27.6 \pm 1.3(\text{stat}) \pm 3.7(\text{syst})$ pb for top antiquarks. The cross sections measured by ATLAS and CMS are again consistent with each other and with the theory predictions, both inclusively and for top quarks and antiquarks separately. The systematic uncertainties are dominant, and the precision of the measurements is comparable.

At 13 TeV, the inclusive cross sections measured by ATLAS and CMS are 247 ± 46 and 238 ± 32 pb, respectively. The largest systematic uncertainty for ATLAS is the parton shower uncertainty (13%, when the total uncertainty is 17%), evaluated by comparing the parton shower models of PYTHIA and HERWIG, both applied to events simulated at the matrix-element level with POWHEG. ATLAS and CMS also evaluated the cross sections for top-quark and antiquark production separately, 156 ± 28 and 91 ± 19 pb, respectively, for ATLAS, and 154 ± 22 and 85 ± 16 pb, respectively, for CMS. The measured cross sections are consistent with each other and with the theory predictions.

A fiducial t -channel cross section has been measured by the ATLAS Collaboration using the 8 TeV data set (ATLAS Collaboration, 2017a). The benefit of measuring a production cross section within a fiducial volume is that uncertainties related to event generation can be reduced, as a smaller extrapolation is needed between the reconstruction level and the particle level (unobservable regions of the phase become numerically irrelevant). Differences between generators, hadronization models, or PDFs can be separated into components visible in the measured phase space (similar between particle level and reconstruction level) and in the nonvisible phase space (where there would be larger differences between particle level and reconstruction level). The fiducial phase space for this analysis is defined close to that of the reconstructed and selected events. The particle-level objects are constructed from stable particles in the final state, with a very similar definition to the reconstructed objects, in order to minimize the sensitivity of the fiducial cross section to the signal modeling. The fiducial measurement is then extrapolated to the full phase space using different Monte Carlo generators, obtaining the spread of results shown in Fig. 13 (right).

Differential cross sections of t -channel production as a function of top-quark p_T and pseudorapidity have been measured by ATLAS at 7 and 8 TeV (ATLAS Collaboration, 2014a, 2017a) at particle and parton level, showing a good agreement with the predictions of various MC generators. Figure 14 (left) shows the transverse momentum distribution of the top quark (not the antiquark) at parton level. The CMS Collaboration reported a relative differential cross-section measurement as a function of $\cos \theta_\ell$ at 8 TeV (CMS Collaboration, 2016c), where θ_ℓ is defined at parton level as the angle in the top-quark rest frame between the momentum of the charged lepton from

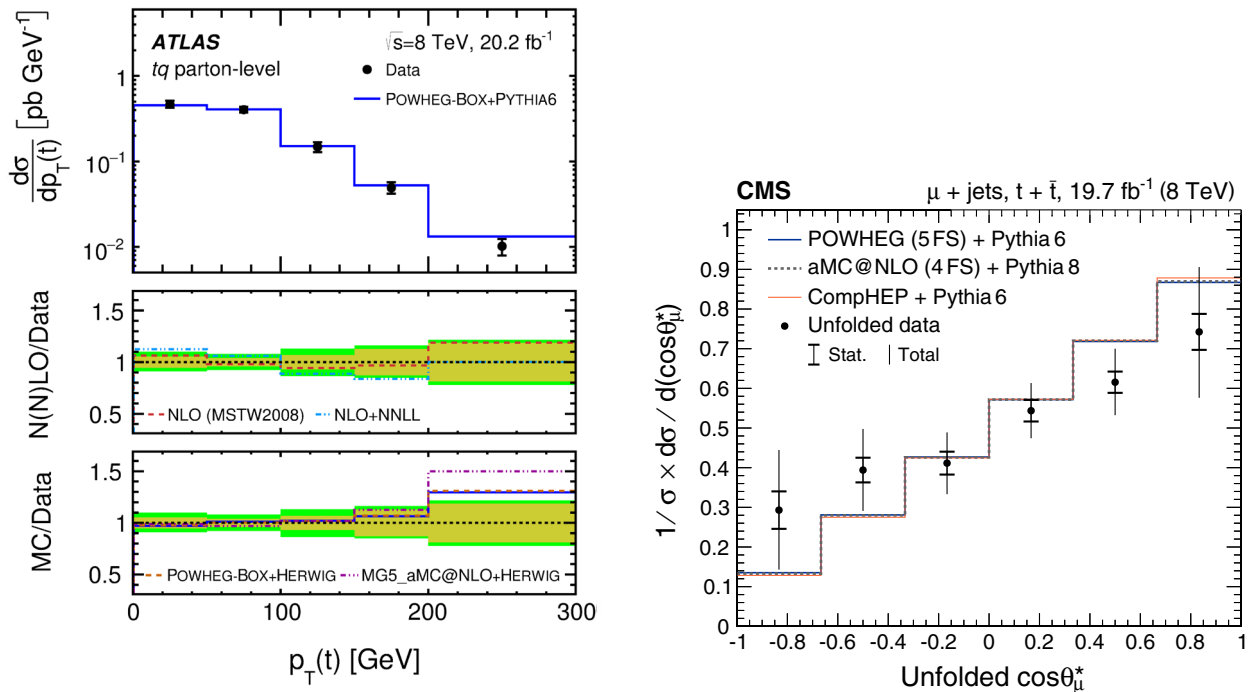


FIG. 14. Differential distributions in t -channel events unfolded to parton level (left) of the transverse momentum of the top quark in the ATLAS analysis at 8 TeV. From ATLAS Collaboration, 2017a. (Right) $\cos \theta_\ell$ in the CMS analysis at 8 TeV in the muon channel. From CMS Collaboration, 2016c.

top-quark decay and a polarization axis approximated by the direction of the light quark recoiling against the top quark. This differential measurement, shown in Fig. 14 (right), is an intermediate step in the extraction of top-quark polarization, see Sec. IV.D, and proves that the observed distribution is linear, as expected in V - A production mechanisms such as the electroweak force in the SM. The ATLAS Collaboration reported a differential measurement in two bins at the parton level in this variable as well as in two additional variables that characterize the angular correlations in top-quark events (ATLAS Collaboration, 2017d).

2. W associated (tW)

The tW process, Fig. 1(c), has the second-largest cross section. The theoretical prediction for tW production has been calculated at NLO with NNLL corrections (Kidonakis, 2010b) and at NLO (Campbell, Ellis, and Tramontano, 2004; Aliev *et al.*, 2011; Kant *et al.*, 2015). This process is of particular interest because it overlaps experimentally and interferes by quantum principles with top-quark pair production. The tW process is well defined only at the Born level. When higher-order QCD diagrams are taken into account, such as the production of tW with an associated b quark as shown in Fig. 15, quantum interference induces a mixing with $t\bar{t}$ as exemplified in Fig. 15(b). Some proposals have been made to define the two processes in an unambiguous way (Belyaev and Boos, 2001; Campbell and Tramontano, 2005; Frixione *et al.*, 2008). The NLO event generators MC@NLO (Frixione and Webber, 2002) and POWHEG (Frixione, Nason, and Oleari, 2007) allow one to choose between the so-called “diagram removal” (DR) or the “diagram subtraction” (DS) approach (Frixione *et al.*, 2008; Re, 2011; White *et al.*, 2009). The DR approach removes all diagrams where the associated W boson and the associated b quark that are shown in Fig. 15(b) form an on-shell top quark. The DS approach makes use of a subtraction term designed to locally cancel the $t\bar{t}$ contributions. While the latter approach is designed to be gauge invariant, the former breaks gauge invariance explicitly, but this is demonstrated to have little practical effect in most of the phase space. This difference has a larger impact in extreme regions of phase space, such as those sampled by supersymmetry searches [see, for example, the ATLAS Collaboration (2014c) and the CMS Collaboration (2016e)]. The ATLAS and CMS tW cross-section measurements are tailored for the Born-level description of this process and thus not very sensitive to the difference between the DR and the DS approaches; nevertheless a systematic uncertainty is assigned to account for the difference.

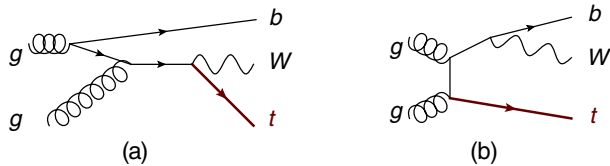


FIG. 15. Representative Feynman diagram for W -associated single top-quark production (tW) from a gluon-gluon initial state, (a) $O(\alpha_s)$ correction that contributes to tW and (b) correction with an on-shell top quark that needs to be removed.

TABLE III. Theoretical predictions for the tW production cross sections at the LHC. The NLO + NNLL predictions (Kidonakis, 2017b) have been calculated for a top-quark mass of 172.5 GeV and the uncertainties include scale and PDF (Harland-Lang *et al.*, 2015) variations. The NLO predictions have been prepared using the HATHOR v2.1 program (Aliev *et al.*, 2011; Kant *et al.*, 2015) based on MCFM (Campbell and Tramontano, 2005; Campbell *et al.*, 2009). They are obtained at a top-quark mass of 172.5 GeV and the uncertainties include scale, PDF, and α_s (Martin *et al.*, 2009; Martin, Stirling, and Watt, 2009; Lai *et al.*, 2010; Botje *et al.*, 2011; Ball *et al.*, 2013) variations. The cutoff threshold for the b quark p_T from gluon splitting is set to 60 GeV.

tW cross section (pb)	7 TeV	8 TeV	13 TeV
NLO + NNLL	17.0 ± 0.7	24.0 ± 1.0	76.2 ± 2.5
NLO	13.2 ± 1.4	18.9 ± 1.9	60 ± 6

The tW cross section has been calculated at NLO + NNLL (also called approximate N^3 LO) (Kidonakis, 2017b) and at NLO with HATHOR (Aliev *et al.*, 2011; Kant *et al.*, 2015), based on MCFM (Campbell and Tramontano, 2005). The NLO + NNLL calculation is based on a NLO tW calculation (Zhu, 2002) that removes the interference terms at the cross-section level. The MCFM calculation introduces a cutoff on the transverse momentum of the b quark from gluon splitting, and the cross section is somewhat sensitive to this threshold. Table III compares the two predictions to each other. The NLO + NNLL prediction is quite a bit higher than the NLO calculation due to the b -quark cutoff in the latter.

The first evidence of tW production was reported by the ATLAS and CMS Collaborations using 7 TeV data (ATLAS Collaboration, 2012a; CMS Collaboration, 2013a). The conventional 5σ threshold has been crossed with 8 TeV data (ATLAS Collaboration, 2016e; CMS Collaboration, 2014c). More recently, the ATLAS Collaboration measured the tW inclusive cross section at 13 TeV using 3 fb^{-1} of data collected in 2015 (ATLAS Collaboration, 2018b), and CMS reported a precision measurement of the tW cross section at the same c.m. energy with 36 fb^{-1} of 2016 data (CMS Collaboration, 2018b). The cross-section measurements at all three c.m. energies are in agreement with the SM calculation at NLO in QCD with NNLL corrections (Kidonakis, 2014) shown in Table III.

All these analyses are performed in the dilepton final state, exploiting the presence of two real W bosons (the associated one and the one from top-quark decay), by selecting events with two charged leptons (electrons or muons). The distribution of the number of reconstructed jets in the ATLAS 7 TeV analysis, shown in Fig. 16, shows that even in the signal region with one jet, the tW signal is overwhelmed by a larger background from $t\bar{t}$ production where one of the two b -quark jets is not reconstructed. Measurements of this process in the $l + \text{jets}$ final state, i.e., with one W boson decaying leptonically and one hadronically, suffer from the combinatorial problem of jet-parton association and from the difficulty of discriminating the signal from the overwhelming $t\bar{t}$ background (CMS Collaboration, 2007; Giorgi, 2016). A measurement in the $l + \text{jets}$ channel, however, would have the added value that the top-quark-to-antiquark ratio would

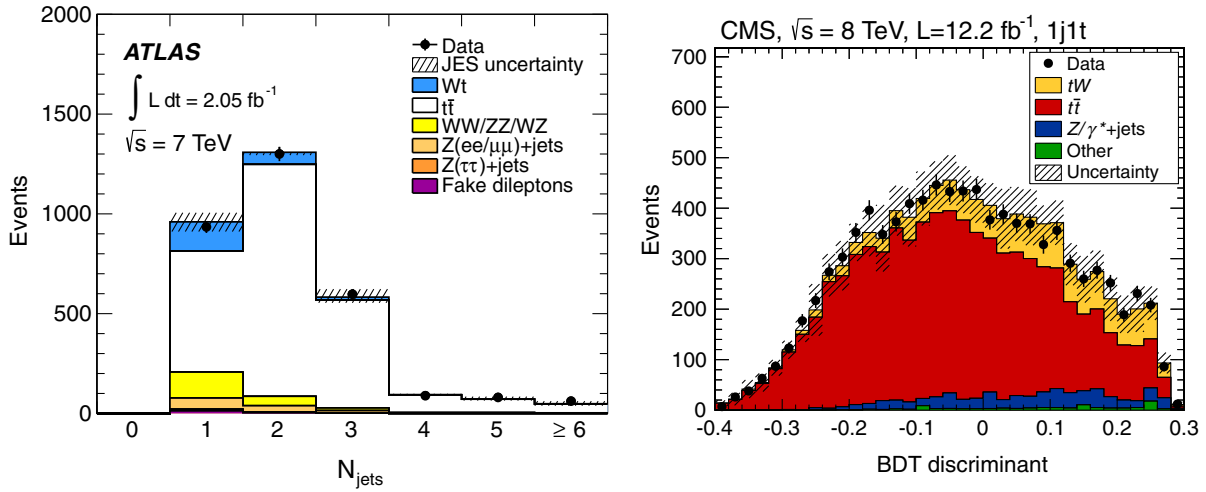


FIG. 16. (Left) Distribution of the number of reconstructed jets in the ATLAS 7 TeV tW analysis. From [ATLAS Collaboration, 2012a](#). (Right) BDT discriminant for 1-jet events in the CMS 8 TeV tW analysis. From [CMS Collaboration, 2014c](#).

become accessible⁸ and could be used as a handle to constrain $|V_{td}|$, as an initial-state d -quark parton makes this ratio deviate from unity ([Alvarez *et al.*, 2018](#)).

The distributions of multivariate discriminants are used in a likelihood fit to extract the signal cross section. The fit utilizes multiple regions: Not only 1-jet, one b -tag events that have the largest fraction of tW signal, see Fig. 16 (left), but also 2-jet events with one or two b tags, which are used to constrain the dominant background from $t\bar{t}$ production and the large systematic uncertainties. In particular, the $t\bar{t}$ modeling uncertainties would otherwise swamp the precision of the signal measurement. The BDT distribution for the CMS 8 TeV analysis is shown in Fig. 16 (right). The tW signal appears at high discriminant values, with a s/b ratio approaching 1/1.

The largest systematic uncertainties in the tW measurements arise from the modeling of $t\bar{t}$ as mentioned and the modeling of the tW signal. Detector-modeling uncertainties from b -tag modeling, JES, and \cancel{E}_T modeling are also important. The systematic uncertainties affect not only the signal and background acceptance and the shape of the MVA distributions, but also result in migration between the different analysis regions. The sensitivity to this migration provides constraints on $t\bar{t}$ uncertainties in the likelihood fit. This also has the consequence that the precision with which the signal can be measured is determined in part by the assumptions about correlations of modeling uncertainties between $t\bar{t}$ and tW , i.e., how much a strong constraint on $t\bar{t}$ also applies to tW . This includes the parton shower and ISR and FSR and other generator modeling uncertainties. The DR and DS uncertainty is not constrained in the fit but is also not a large uncertainty contribution. Figure 17 (left) shows the impact of the systematic uncertainties on the

ATLAS 8 TeV tW measurement and how much each uncertainty is constrained in the fit. The detector-related uncertainties that have the largest impact are only moderately constrained and are shifted somewhat away from their nominal (0) value. The largest constraint is on the NLO matching method, which is obtained by comparing tW and $t\bar{t}$ samples generated with POWHEG ([Frixione, Nason, and Oleari, 2007](#)) with those generated with MC@NLO ([Frixione and Webber, 2002](#)), both interfaced to HERWIG. This uncertainty, as well as that from ISR and FSR $t\bar{t}$, is pulled to a central value below zero and constrained because it shifts events between different jet multiplicities. Care needs to be taken when interpreting this pull. It implies that neither MC@NLO nor POWHEG is able to model the kinematic properties of the tW event selection. While MC@NLO is more disfavored in the fit, both need improving. The modeling can be improved with the help of fiducial measurements at the particle level; see Sec. III.B.3.

At 7 TeV, ATLAS measures a tW cross section of 16.8 ± 5.7 pb, while CMS measures 16_{-4}^{+5} pb. At 8 TeV, ATLAS measures a tW cross section of 23.0 ± 3.8 pb, while CMS measures 23.4 ± 5.4 pb. At 13 TeV, ATLAS measures a tW cross section of 94 ± 28 pb, while CMS measures 63.6 ± 6.1 pb. The cross sections measured by ATLAS and CMS are consistent with each other and are quite close to each other at 7 and 8 TeV. At 13 TeV, the cross section measured by CMS is based on a data set about 10 times larger than the ATLAS one and about 1 standard deviation below the measurement by ATLAS (hence the smaller CMS uncertainty). All measurements are consistent with the theoretical predictions.

Differential measurements of the tW cross section have also been reported as a function of the energy and invariant mass of different combinations of final-state objects by ATLAS at 13 TeV ([ATLAS Collaboration, 2018a](#)). The kinematic distributions are unfolded to the particle level (defined by the presence of one lepton and one b -quark jet) and are compared to different MC simulations. This first differential measurement shows some conflict with the different MC generators, which all have about the same level of agreement with the data as can be seen in the distribution of the energy of the b quark from the top-quark decay in Fig. 17 (right).

⁸A top-quark-mass constraint allows one to assign the charged lepton to either the top quark or the associated W boson. Therefore, the charge of this lepton would provide discrimination between tW^- and $t\bar{W}^+$ production. This is much more difficult, and so far unfeasible, in the dilepton final state, because of the presence of two neutrinos and an insufficient number of mass constraints to determine all the degrees of freedom.

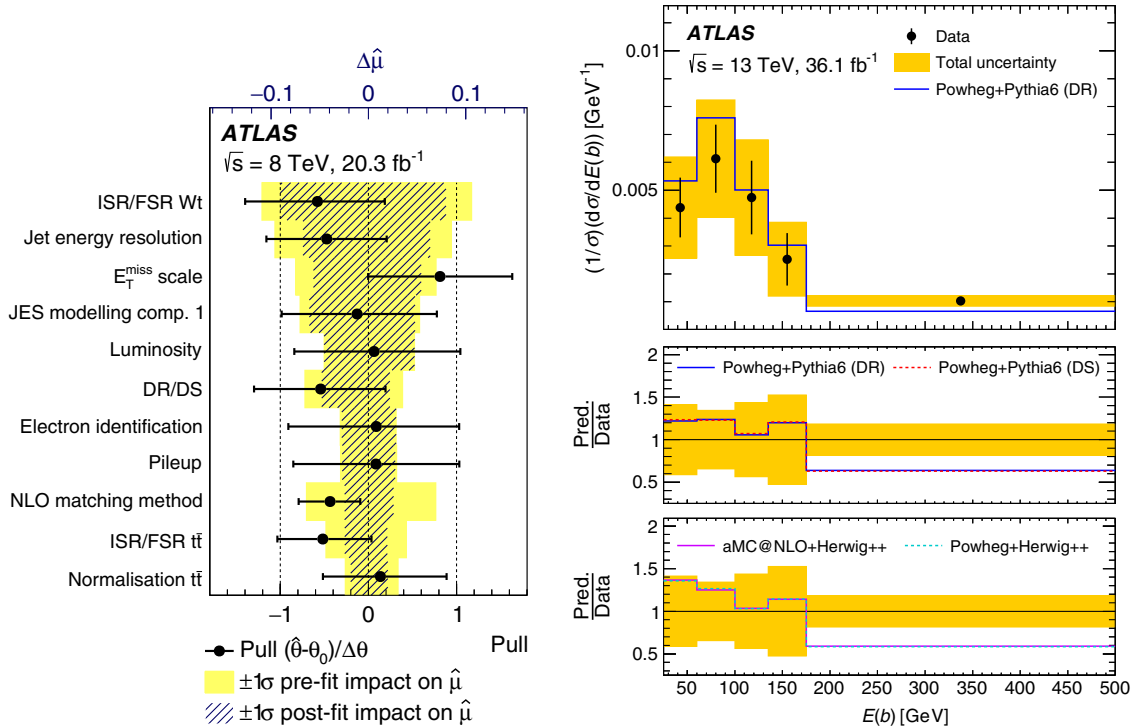


FIG. 17. (Left) Constraints on the systematic uncertainties (pull, for which the nominal value is $0 \pm 1\sigma$) and impact of those uncertainties on the tW cross-section measurement in the ATLAS 8 TeV tW analysis. From [ATLAS Collaboration, 2016e](#). The shaded and hashed areas refer to the top axis: the shaded bands show the initial impact of that source of uncertainty on the precision of the signal strength $\Delta\hat{\mu}$; the hatched areas show the impact of that source of uncertainty, after the profile likelihood fit at the $\pm 1\sigma$ level. The points and associated error bars show the pull of the nuisance parameters and their uncertainties and refer to the bottom axis. A mean of zero and a width of 1 would imply no constraint due to the profile likelihood fit. (Right) Differential tW cross section as a function of the energy of the b quark measured by ATLAS at 13 TeV. From [ATLAS Collaboration, 2018a](#).

3. tW plus $t\bar{t}$ in fiducial regions

To reduce the dependence on the theory assumptions, the ATLAS Collaboration reports a cross section in a fiducial detector acceptance defined by the presence of two charged leptons and exactly one b jet at particle level ([ATLAS Collaboration, 2016e](#)). This signal definition encompasses not only tW production but also $t\bar{t}$ production where one of the final-state b quarks is outside of the acceptance. The result is shown in Fig. 18 and is found to be in agreement with the predictions from two different NLO matrix-element generators (POWHEG and MC@NLO) matched to two different parton-shower generators [PYTHIA 6 ([Sjöstrand, Mrenna, and Skands, 2006](#)) and HERWIG 6 ([Corcella et al., 2001](#))], the DR and DS approaches, and a variety of PDF sets. In this comparison, where the relative normalization of tW and $t\bar{t}$ is important, the measurement has the best compatibility with the simulation when tW is normalized to the NLO + NNLL calculation and $t\bar{t}$ is normalized to the NNLO + NNLL calculation. In particular, the $t\bar{t}$ normalization plays an important role. While no conclusion about individual generators can be drawn given the size of the uncertainties, it is clear that in the fiducial measurement, POWHEG predicts a lower cross section than MC@NLO, when both are interfaced to HERWIG.

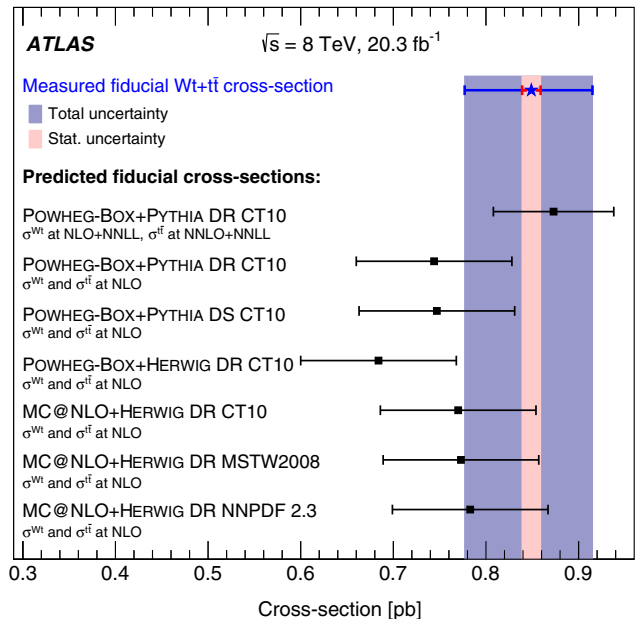


FIG. 18. Fiducial cross-section measurement in the ATLAS 8 TeV tW analysis compared to theoretical predictions. From [ATLAS Collaboration, 2016e](#).

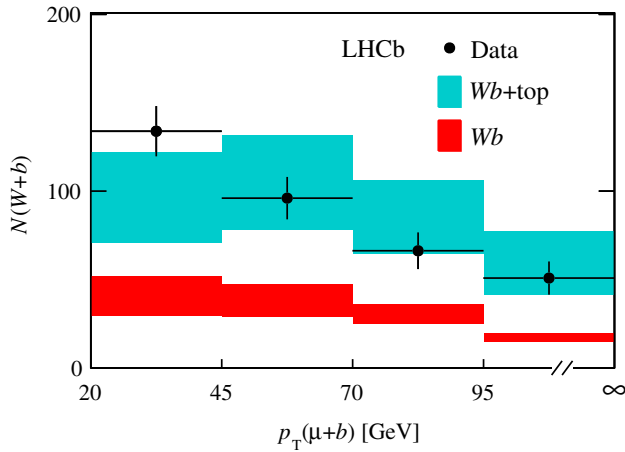


FIG. 19. Number of events with a W boson and a b quark observed by LHCb as a function of $p_T(\mu + b)$, compared to expectations with and without a top-quark signal ($t\bar{t} + tW$) at NLO accuracy. From LHCb Collaboration, 2015.

Although top-quark physics was not among the design goals of the LHCb experiment, it has been remarked that, by accessing a kinematical region beyond the reach of ATLAS and CMS, studies of top-quark production with the LHCb data may have a strong impact on constraining PDFs (Gauld, 2014) or indirectly probe anomalous top-quark couplings in single and pair production in a complementary way with respect to multipurpose experiments, in particular, in BSM scenarios where top-quark production proceeds via t -channel exchange of a new low-mass particle (Kagan *et al.*, 2011). Using samples of 1.0 and 2.0 fb⁻¹ collected at c.m. energies of 7 and 8 TeV in 2011 and 2012, respectively, the LHCb Collaboration (2015) achieved the first observation of top-quark production in the forward region defined by its acceptance to muons ($2.0 < \eta < 4.5$) and to b jets ($2.2 < \eta < 4.2$); see Fig. 19. Inclusive top-quark production cross sections were measured in a fiducial particle-level region that includes contributions mainly from $t\bar{t}$ and also from tW and presented together with differential yields and charge asymmetries. Results are in agreement with SM predictions at NLO accuracy.

4. s channel

The s -channel process, Fig. 1(b), poses particular challenges at the LHC because of the very small cross section in comparison with backgrounds with a very similar final state, a situation comparatively worse than at the Tevatron. The theoretical prediction for s -channel production has been calculated at NLO with NNLL corrections (Kidonakis, 2010a) and at NLO (Campbell, Ellis, and Tramontano, 2004; Heim *et al.*, 2010; Aliev *et al.*, 2011; Kant *et al.*, 2015). Table IV compares the two predictions to each other. The cross section rises by only a factor of 2 from 8 to 13 TeV, making this process even harder to observe in run 2 than in run 1 at the LHC.

The ATLAS and CMS s -channel analyses select events with one isolated electron or muon, significant \cancel{E}_T and/or large m_T^W , and two jets, both b tagged. Main backgrounds are $t\bar{t}$,

TABLE IV. Theoretical predictions for the s -channel production cross sections at the LHC. The NLO + NNLL predictions (Kidonakis, 2010a) have been calculated for a top-quark mass of 173 GeV and the uncertainties include scale and PDF (Martin, Stirling, and Watt, 2009) variations. The NLO predictions have been prepared using the HATHOR v2.1 program (Aliev *et al.*, 2011; Kant *et al.*, 2015) based on MCFM (Campbell, Ellis, and Tramontano, 2004). They are obtained at a top-quark mass of 172.5 GeV and the uncertainties include scale, PDF, and α_S (Martin *et al.*, 2009; Martin, Stirling, and Watt, 2009; Lai *et al.*, 2010; Botje *et al.*, 2011; Ball *et al.*, 2013) variations.

s -channel cross section (pb)	7 TeV	8 TeV	13 TeV
NLO + NNLL			
t	3.1 ± 0.1	3.8 ± 0.1	7.1 ± 0.2
\bar{t}	1.4 ± 0.1	1.8 ± 0.1	4.1 ± 0.2
$t + \bar{t}$	4.6 ± 0.2	5.6 ± 0.2	11.2 ± 0.4
NLO			
t	2.8 ± 0.1	3.3 ± 0.1	6.3 ± 0.4
\bar{t}	1.5 ± 0.1	1.9 ± 0.1	4.0 ± 0.2
$t + \bar{t}$	4.3 ± 0.2	5.2 ± 0.2	10.3 ± 0.2

$W + \text{jets}$, QCD multijet production, and the other single top-quark processes. Several orthogonal control regions with different multiplicities of jets and/or b -tagged jets are used to measure these backgrounds *in situ* or to validate the Monte Carlo models used for their predictions, or to constrain the main experimental systematics (e.g., b -tagging efficiency).

With the 7 TeV data set, ATLAS and CMS were not able to observe the s -channel process and only set upper limits on its production cross section (ATLAS Collaborations, 2011; CMS Collaboration, 2016f). With the 8 TeV data set, ATLAS first published a search (ATLAS Collaboration, 2015c) and then improved the sensitivity of the analysis to report evidence for s -channel single top-quark production (ATLAS Collaboration, 2016a). The latter analysis employs a ME method (see Sec. III.A.2) to optimize the sensitivity to the s -channel signal. Here the likelihood for each event to originate from the signal or one of the backgrounds is computed based on the four-vectors of the particles in the corresponding LO Feynman diagrams. Unobserved four-vector components and detector resolution effects are integrated over, resulting in large computing-time requirements. The final ME discriminant for the ATLAS s -channel analysis is shown in Fig. 20 (left). The background is subtracted from the data in this figure, making the otherwise small signal visible. CMS measured the cross section simultaneously at 7 and 8 TeV (CMS Collaboration, 2016f), taking advantage of the correlations between the different c.m. energies to constrain backgrounds and systematic uncertainties. The signal is separated from the large backgrounds using a BDT discriminant, which is shown in Fig. 20 (right), with the small s -channel signal visible on the right-hand side of the distribution.

The s -channel analyses are limited by large backgrounds in the signal region, in particular, from $t\bar{t}$ as Fig. 20 shows. The bins with the largest signal fraction correspond to unusual phase-space regions for the largest backgrounds, thus very large amounts of simulated events are necessary for the analysis. The MC statistics uncertainty is the largest of all systematic uncertainties. For both the ATLAS and CMS analyses, large detector-related uncertainties arise from JES

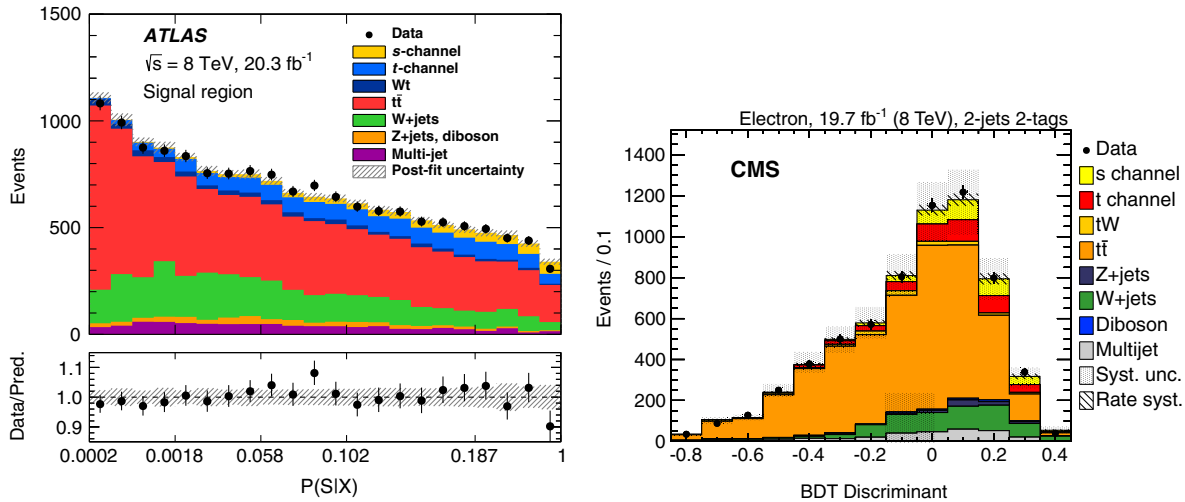


FIG. 20. (Left) Matrix-element discriminant expressed as the probability for an observed event X to be a signal event (S), $P(S|X)$, in the ATLAS 8 TeV s -channel analysis. From [ATLAS Collaboration, 2016a](#). (Right) BDT discriminant in the CMS 8 TeV s -channel analysis. From [CMS Collaboration, 2016f](#).

and b -tag modeling, and the theory modeling uncertainties are dominated by t -channel and $t\bar{t}$ modeling uncertainties.

At 7 TeV, the limit set by ATLAS on the s -channel cross section is 26.5 pb (20.5 pb expected). The limit set by CMS is 31.4 pb (20.2 pb expected). At 8 TeV, ATLAS reported evidence with an observed (expected) significance of 3.2 (3.9) standard deviations. The measured cross section is 4.8 ± 1.8 pb. The CMS limit at 8 TeV is 28.8 pb (15.6 pb expected). The combined CMS 7 + 8 TeV analysis, which assumes the SM ratio between the cross sections at the two c.m. energies, has an observed (expected) significance of 2.5 (1.1) standard deviations. The measured cross-section value for CMS at 8 TeV is 13.4 ± 7.3 pb. The limits and measurements are all consistent with each other and with the theory predictions. The two analyses have similar selections and amounts of signal and background, but the matrix-element-based discriminant in use by ATLAS is able to better separate the single top-quark signal from the large backgrounds. The s -channel measurements will improve with the large run 2 data set and better understanding of the theory modeling for $t\bar{t}$ and t -channel single top-quark production.

5. Z associated (tZq)

The cross section for single top-quark production at the LHC is sufficiently large, in particular, in the t -channel mode, that it is possible to observe the coupling to additional particles in single top-quark events. Figure 21 shows an example of this where single top quarks in the t -channel mode are produced in association with a Z boson. This process probes both the WZ coupling and the top- Z coupling. The production cross section for this process has been calculated at NLO ([Campbell, Ellis, and Rontsch, 2013](#)). At 8 TeV, the cross section is 236 ± 15 fb, while at 13 TeV it is 800 ± 60 fb.

The signature of tZq production is that of t -channel single top-quark production, plus a Z boson. Thus, the description of the process, background estimates, and kinematic properties described in Sec. III.B.1 all apply here, except that a Z boson is added to each. The experimental signature consists of a

leptonically decaying top quark, with a central high- p_T b quark, and a forward light quark, plus a leptonically decaying Z boson. The main backgrounds are WZ + jets (instead of W + jets), Z + jets with a jet misidentified as an isolated lepton (instead of multijets with a misidentified lepton), and $t\bar{t}Z$ (instead of $t\bar{t}$). The requirement of the presence of the Z boson reduces the event rates for all of these processes by 3 orders of magnitude compared to Sec. III.B.1. In addition, the requirement of a leptonically decaying Z boson reduces the rate by about another order of magnitude. Selecting events in a narrow region around the Z -boson mass peak is important to effectively reject non- Z backgrounds, and this is not viable for hadronically decaying Z bosons, for which there is an overwhelmingly large QCD background. Final states with hadronically decaying top quarks and leptonically decaying Z bosons are similarly challenging, analogous to t -channel production, where hadronic top-quark decays are also overwhelmed by a large QCD background.

Using the full data set at 8 TeV, the CMS Collaboration presented a search for the tZq production mechanism ([CMS Collaboration, 2017b](#)), exploiting the very clean signature of three charged leptons (electrons or muons), two of them consistent with originating from the decay of a Z boson, accompanied by a b quark, a forward jet, and significant \cancel{E}_T . About 16 signal events are expected with basic selection requirements, compared to the 17 700 events selected in the

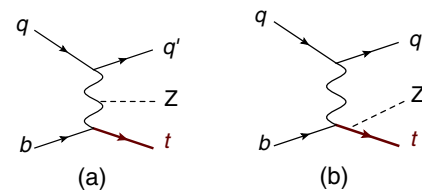


FIG. 21. Representative Feynman diagrams for electroweak single top-quark production in association with a Z boson (tZq), (a) with the Z -boson coupling to the exchanged W boson, and (b) the Z -boson coupling to the top quark.

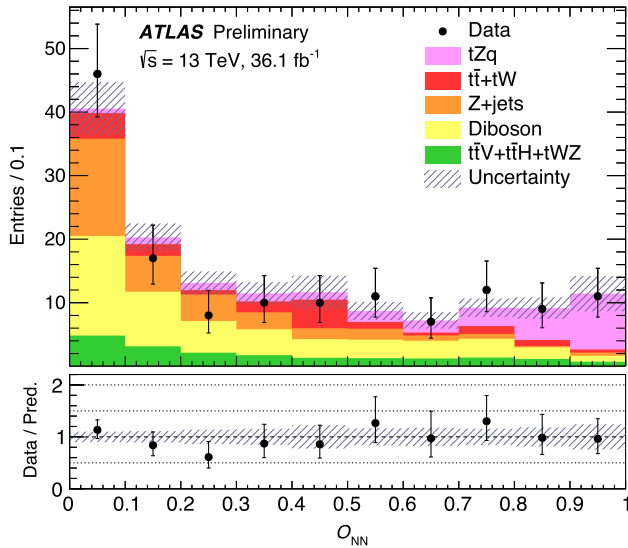


FIG. 22. Post-fit neural network discriminant distribution in the ATLAS search for the tZq process in 13 TeV data. From [ATLAS Collaboration, 2018c](#).

8 TeV t -channel analysis (see Table II). The signal is separated from the background using a BDT discriminant, and the cross section is measured in a fit to the BDT output and to the W transverse mass in a control region to control the systematic uncertainties and backgrounds. The observed significance is 2.4 standard deviations (1.8 standard deviations expected), and the measured cross section is 10_{-7}^{+8} fb. The 95% C.L. limit on the tZq signal is 21 fb, consistent with the theory expectation.

ATLAS reported evidence for tZq production with 13 TeV data ([ATLAS Collaboration, 2018c](#)), also relying on the three-lepton final state. Exactly two jets are required, one b -tagged jet and one light-quark jet. This selects 143 events in data with 35 signal events expected from a LO simulation in the 4FNS rescaled to NLO. A neural network is utilized to separate the tZq signal from the background, and the signal is extracted from a profile likelihood fit to the NN discriminant in the signal region. The post-fit NN distribution is shown in Fig. 22. The observed

(expected) significance is 4.2 (5.4) standard deviations. The measured cross section is $600 \pm 170(\text{stat}) \pm 140(\text{syst})$ fb.

CMS also reported evidence for tZq production with 13 TeV data ([CMS Collaboration, 2018a](#)). Three-lepton events are selected separately for each lepton combination, and two or three jets are required, with 1- b -jet events defining the signal region and 2- b -jet and 0- b -jet events defining two control regions that are also included in the final likelihood fit to constrain uncertainties. The signal region has 343 data events, 25 of which are expected to come from the tZq signal according to a NLO simulation of the signal in the 5FNS. The discriminant used in each of the three regions is shown in Fig. 23. The observed (expected) significance is 3.7 (3.1) standard deviations. The measured cross section, including only leptonic Z boson decays, is $123_{-31}^{+33}(\text{stat})_{-23}^{+29}(\text{syst})$ fb. This corresponds to an inclusive cross section of 1040 ± 370 fb. The ATLAS and CMS measurements are consistent with each other within about 1 standard deviation. ATLAS observed a small deficit compared to the theory prediction, while CMS observed an excess. The expected signal event yield in the highest bin of the MVA distribution is comparable for the two experiments, while the background is larger for CMS, in part due to the better b -tag performance in the ATLAS analysis thanks to their upgrade of the pixel detector at the beginning of run 2, see Sec. II.B.1 (the corresponding upgrade was made by CMS at the beginning of 2017).

The approaches followed by the two experiments differ in a few aspects, each exemplifying a particular issue in single top analyses in general. The most important differences are the inclusion of three signal regions in the CMS analysis compared to just one for ATLAS, the treatment of the nonprompt lepton (NPL) background, and the signal simulation.

- The background in the highest signal bins is larger for CMS than for ATLAS, thus CMS benefits from profiling background normalizations and systematic uncertainties that affect the background estimate, which would have less of an impact on the ATLAS analysis.
- It can be seen, by comparing the ATLAS (Fig. 22) and CMS signal regions (Fig. 23, left), that the NPL

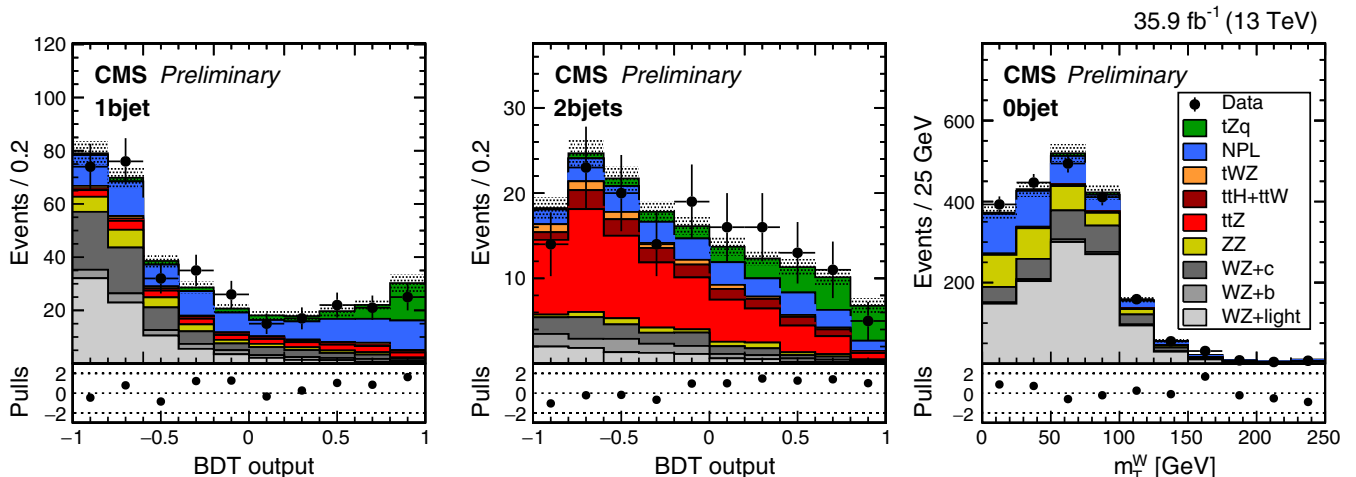


FIG. 23. Post-fit discriminant distribution in the CMS tZq analysis at 13 TeV: (left) BDT for 1- b -jet events, (middle) BDT for 2- b -jet events, and (right) W transverse mass distribution for 0- b -jet events. From [CMS Collaboration, 2018a](#).

background is larger in the high-discriminant region for CMS than for ATLAS. This corresponds to $t\bar{t}$ dilepton and $Z + \text{jets}$ events where an additional jet is misidentified as an isolated lepton. The ATLAS approach is to separately estimate the $t\bar{t}$ (real top quark, misidentified Z boson) and $Z + \text{jets}$ (misidentified top quark, real Z boson) backgrounds, both from simulation samples normalized to and checked in control regions in data. Both samples are included in the MVA training. CMS grouped these sources together and focused instead on the origin of the NPL separately for each lepton flavor. This results in a smaller NPL uncertainty, but the background is larger in the high-discriminant region.

- The signal simulations of the two experiments also differ, affecting the MVA training. Although both normalize the event yields to NLO predictions, the simulation samples generated by ATLAS are at LO in the 4FNS, while those simulated by CMS are at NLO in the 5FNS. Generating events at LO avoids negative event weights and the associated MC statistics issues, making it easier to obtain optimal MVA training. Generating events at NLO gives improved modeling of the kinematic properties of the signal and smaller signal-modeling uncertainties. However, a large fraction of simulated events in the signal region that have negative weights results in a nonoptimal MVA.
- A significant fraction of events has three jets in the final state, the two from the Feynman diagram shown in Fig. 21, plus the forward b jet shown in Fig. 5(b) or a gluon. This migration to 3-jet events is more pronounced at NLO in the 5FNS. This motivates the inclusion of 3-jet

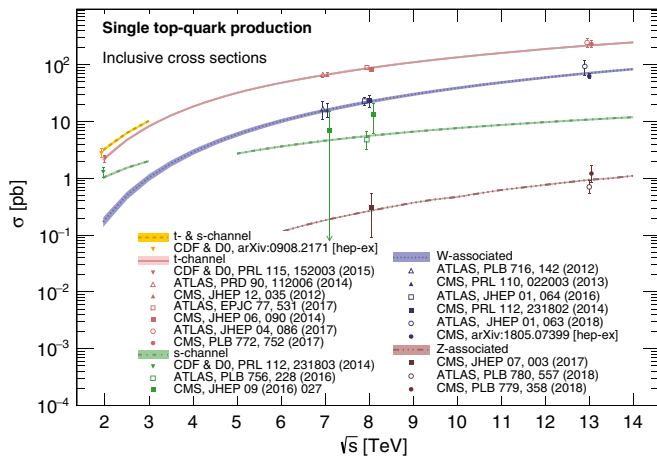


FIG. 24. Summary of Tevatron and LHC measurements of the inclusive single top-quark production cross sections in the t -channel, s -channel, tW , and tZq production. The measurements are compared to theoretical calculations based on NLO QCD complemented with NNLL resummation. The full theory curves as functions of the c.m. energy are calculated as in Kidonakis (2010a, 2010b, 2011) for the t channel, s channel, and tW , and are calculated with AMC@NLO (v.254) (Alwall *et al.*, 2014) for tZq . The curves for the s channel and the sum of the s and the t channel are calculated for $p\bar{p}$ collisions up to 3 TeV and for pp collisions beyond; for the t channel, tW and tZq the curves for pp and $p\bar{p}$ coincide at the considered accuracy.

events in the CMS analysis, which recovers signal events, but also adds more $t\bar{t}Z$ background, similar to 3-jet events in the t -channel analysis.

It should be stressed that the modeling differences affect the expectations, and indirectly the selection strategy, but do not bias the cross-section measurement itself.

C. Summary of the inclusive cross-section measurements

Figure 24 summarizes all of the experimental measurements of the inclusive cross sections for single top-quark production at the Tevatron and at the LHC. The measurements are compared to the NLO + NNLL predictions for the t channel, tW , and the s channel, and to a NLO calculation with MC@NLO for tZq , using the NNPDF3.0 PDF set (Ball *et al.*, 2015).

Figure 25 visualizes the most precise single top-quark cross-section measurements at 8 TeV at the LHC for the three dominant channels, displayed versus each other. For each channel only one result from either ATLAS or CMS is shown; thus the correlations between individual measurements can be assumed to be small. The measurements are compared to examples of new-physics models that lead to deviations in one or more of the cross sections. If the CKM matrix is not unitary, then deviations from 1 are possible for V_{tb} , and in turn, large nonzero values are possible for V_{td} and V_{ts} (Alwall *et al.*, 2007). Here we calculate the corrections to the single top-quark cross sections for a value of $V_{ts} = 0.2$, keeping $V_{td} = 0$

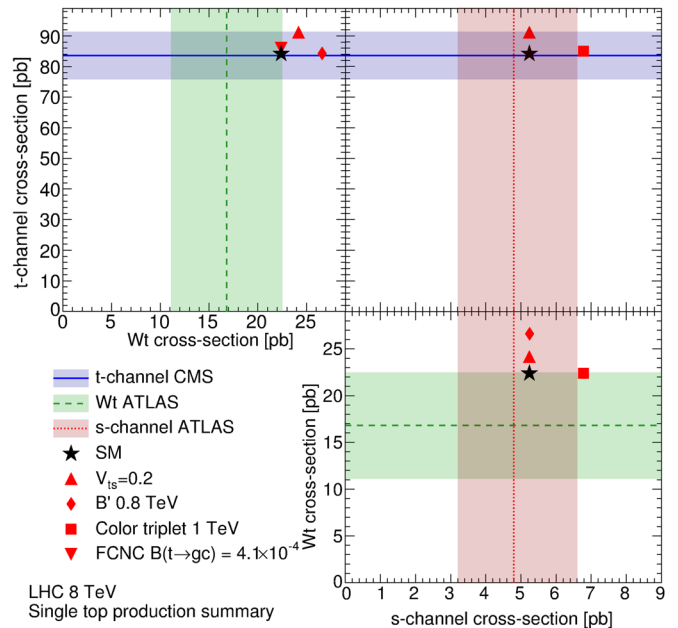


FIG. 25. Inclusive single top-quark cross sections measured at 8 TeV at the LHC, the t channel vs tW and the s channel and tW vs the s channel. The SM theory predictions are calculated as in Kidonakis (2010a, 2010b, 2011). Also shown are example BSM scenarios: A model with CKM element $V_{ts} = 0.2$ (Alwall *et al.*, 2007), a vectorlike fourth-generation quark with chromomagnetic couplings (Nutter *et al.*, 2012), a color triplet (Drueke *et al.*, 2015), and flavor-changing neutral current interactions of the top quark with the gluon and the charm quark (Aguilar-Saavedra, 2009a).

and thus setting $V_{tb} = 0.98$. Thus, the impact of this model on the top-quark decay is not detectable given the uncertainty of the branching ratio of $t \rightarrow Wb$ (see Sec. IV.A), and only the production cross sections for the t channel and tW are increased. For another example, a vectorlike fourth-generation quark B' with a mass of 0.8 TeV and chromomagnetic couplings (Nutter *et al.*, 2012) modifies the tW production cross section but has only a negligible impact on t -channel and s -channel production. A color triplet with a mass of 1 TeV decays to tb and thus enhances the s -channel cross section but has no effect on the t channel or tW . And finally, a small FCNC interaction corresponding to a branching ratio of 4.1×10^{-4} for $t \rightarrow gc$ (Aguilar-Saavedra, 2009a) increases the t -channel cross section but has no impact on tW or the s channel. It should be noted that for all of these examples, a proper evaluation of the sensitivity includes not just the modification of the cross section but also of the experimental acceptance. In particular, since the experimental analyses use MVA techniques, the sensitivity is mainly to SM-like production mechanisms. Dedicated searches, such as those presented in the next sections, are generally more sensitive for each possible BSM scenario.

IV. SM PARAMETER EXTRACTION AND SEARCHES FOR NEW PHYSICS LEADING TO ANOMALOUS COUPLINGS

Since the mass of the top quark is of the order of the electroweak symmetry-breaking scale ($|y_t| \approx 1$, where y_t is the top-quark Yukawa coupling), several new-physics models assign a special role to the top quark, with the consequence of typically predicting larger anomalies in the top-quark sector than for other quarks. Examples include top-flavor models with a seesaw mechanism (He, Tait, and Yuan, 2000), top-color seesaw models (Dobrescu and Hill, 1998), models with vectorlike quarks (Okada and Panizzi, 2013), and others.

The large data sets accumulated so far allow the use of single top-quark events as tools to constrain the parameters of the SM and to search for evidences of new physics, directly and indirectly. Beyond measuring the cross section, which provides access to the CKM matrix element $|V_{tb}|$, single top-quark events are now also used to measure asymmetries and angular correlations with increasing complexity. The t -channel production mode has the largest production cross section and the smallest background and is thus the only channel where these measurements have been made so far. These measurements provide indirect limits on effective field theory couplings of the top quark to the W boson and other bosons (Barducci *et al.*, 2018).

A. Constraints on $|V_{tb}|$ and other CKM matrix elements

The moduli of the elements of the CKM matrix that connect the top quark with the down-type quarks $|V_{td}|$, $|V_{ts}|$, and $|V_{tb}|$ are precisely determined from measurements of B -meson oscillations and loop-mediated rare K and B decays (Charles *et al.*, 2005). From these data, and with some model assumptions such as the existence of only three generations of quarks and the absence of non-SM particles in the loops (Alwall *et al.*, 2007), the value of $|V_{tb}|$ is derived with a precision of order 10^{-5} : $|V_{tb}| = 0.999\,097 \pm 0.000\,024$

(Patrignani *et al.*, 2016). The strong reliance of this derivation on the aforementioned assumptions motivates alternative inferences based on different sets of hypotheses. There is interest, for example, in exploring the possibility that a hypothetical heavier quarklike particle, such as a fourth-generation up-type quark or a heavy vectorlike quark (Aguilar-Saavedra *et al.*, 2013) (both named t' in the following), mixes with the top quark, yielding a lower value of $|V_{tb}|$ than expected from 3×3 unitarity. Mixing may happen not only with sequential replicas of the known quarks, easily accommodated in the SM framework but severely constrained by the Higgs cross-section measurements (Lenz, 2013), but in general with any hypothetical quarklike particle with the appropriate quantum numbers. Differently from the new-generations case, the effective mixing matrix may be rectangular, as in the case of vectorlike quarks (Aguilar-Saavedra *et al.*, 2013; Okada and Panizzi, 2013). While the sum $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 + |V_{tb'}|^2$ and, *a fortiori*, the sum $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2$ is bound to be ≤ 1 also in the extended matrix, the constraints on $|V_{td}|$ and $|V_{ts}|$ derived from precision physics (Patrignani *et al.*, 2016) do not hold when their underlying assumptions (e.g., no non-SM particles in the loops) are relaxed (Alwall *et al.*, 2007).

Swain and Taylor (1998) made a first attempt to extract $|V_{tb}|$ without relying on 3×3 unitarity, using electroweak loop corrections, in particular, from the $Z \rightarrow b\bar{b}$ branching ratio, and combining several electroweak data from the Large Electron-Positron Collider (LEP), the SLAC Linear Collider (SLC), the Tevatron, and neutrino experiments, to obtain $|V_{tb}| = 0.77^{+0.18}_{-0.24}$. Alwall *et al.* (2007) applied the same principle to derive a lower limit on the mixing angle between the top quark and a t' from the branching fraction of the Z boson into b quarks measured at LEP and SLD.

Another complementary approach links $|V_{tb}|$ with measurements of the ratio $R_b \equiv \text{BR}(t \rightarrow Wb) / \text{BR}(t \rightarrow Wq)$ in $t\bar{t}$ events (Abazov *et al.*, 2011a; Aaltonen *et al.*, 2013, 2014b; CMS Collaboration, 2014b), where $q = d, s, b$. The SM with three fermion families imposes the 3×3 unitarity condition $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 = 1$, implying that this quantity can be written as

$$R_b = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2}$$

and can thus be used to infer $|V_{tb}|$ directly. The most precise measurement of this ratio $R_b = 1.014 \pm 0.032$ (CMS Collaboration, 2014b) yields a 1.6% precision on $|V_{tb}|$ if no unitarity assumption is made ($|V_{tb}| = 1.007 \pm 0.016$), and a lower limit $|V_{tb}| > 0.975$ at 95% confidence level is obtained with the Feldman-Cousins frequentist approach (Feldman and Cousins, 1998) if 3×3 unitarity is imposed to the CKM matrix.

The ratio R_b can be combined with the t -channel cross-section measurement in order to extract an indirect measurement of the top-quark width, which is directly proportional to the t -channel cross section as long as $|V_{tb}| \simeq 1$. Using this approach, the width measured by D0 is $\Gamma_t = 2.0^{+0.47}_{-0.43}$ GeV (Abazov *et al.*, 2012a), which is significantly improved upon in the measurement by CMS of $\Gamma_t = 1.36^{+0.14}_{-0.11}$ GeV

(CMS Collaboration, 2014b). These measurements assume that the initial-state W boson is on shell in the t -channel exchange, which of course is not generally valid. The width of the top quark will be measurable directly, in a theoretically well-defined approach, by exploiting a selection targeting t -channel single top quarks, and distinguishing between resonant and nonresonant Wb production ($t \rightarrow W^+b$ and $\bar{t} \rightarrow W^- \bar{b}$, vs W^-b and $W^+ \bar{b}$ production) (Giardino and Zhang, 2017).

The single top-quark production cross sections in the t and s channels and the W -associated mode can be written, in the SM, as the sum of three contributions:

$$\sigma_{\text{tot}} = |V_{td}|^2 \sigma_d + |V_{ts}|^2 \sigma_s + |V_{tb}|^2 \sigma_b, \quad (1)$$

where σ_d , σ_s , and σ_b represent the cross sections expected for the subprocesses where, respectively, a down, strange, and bottom quark are connected to a top quark; see Fig. 1. Therefore, these production modes are potentially sensitive to all three elements of the third row of the CKM matrix. The single top-quark cross sections in t -channel and tW production modes, in particular, have an enhanced sensitivity to $|V_{td}|$

and $|V_{ts}|$ due to the large parton densities of d and s quarks in the proton (Tait and Yuan, 2000; Alwall *et al.*, 2007; Lacker *et al.*, 2012), differently from the s -channel mode.

Single top-quark cross-section measurements can be used to derive $|V_{tb}|$ without the need to rely on the 3×3 unitarity condition, under the simplifying assumption that, whatever the values, the relationships $|V_{tb}| \gg |V_{td}|$ and $|V_{tb}| \gg |V_{ts}|$ hold true, which makes the cross section of the processes in Fig. 1 directly proportional to $|V_{tb}|^2$. Under these conditions, the product $|f_L V_{tb}|$ is extracted by dividing the measured cross section for each channel by the corresponding theory prediction and then taking the square root. The factor f_L is the form factor for the purely left-handed vector tWb coupling; see Eq. (2). It is unity in the SM but could be larger than unity if anomalous couplings due to new physics are present. It is customary to also quote the 95% confidence level interval obtained by setting $f_L = 1$, i.e., with the additional unitarity constraint $0 \leq |V_{tb}| \leq 1$. The procedure outlined so far ignores the possibility that the tWb coupling may receive contributions from right-handed or nonvectorial operators that are instead usually considered in studies such as those reported in Sec. IV.D. Figure 26 shows the $|V_{tb}|$ values times

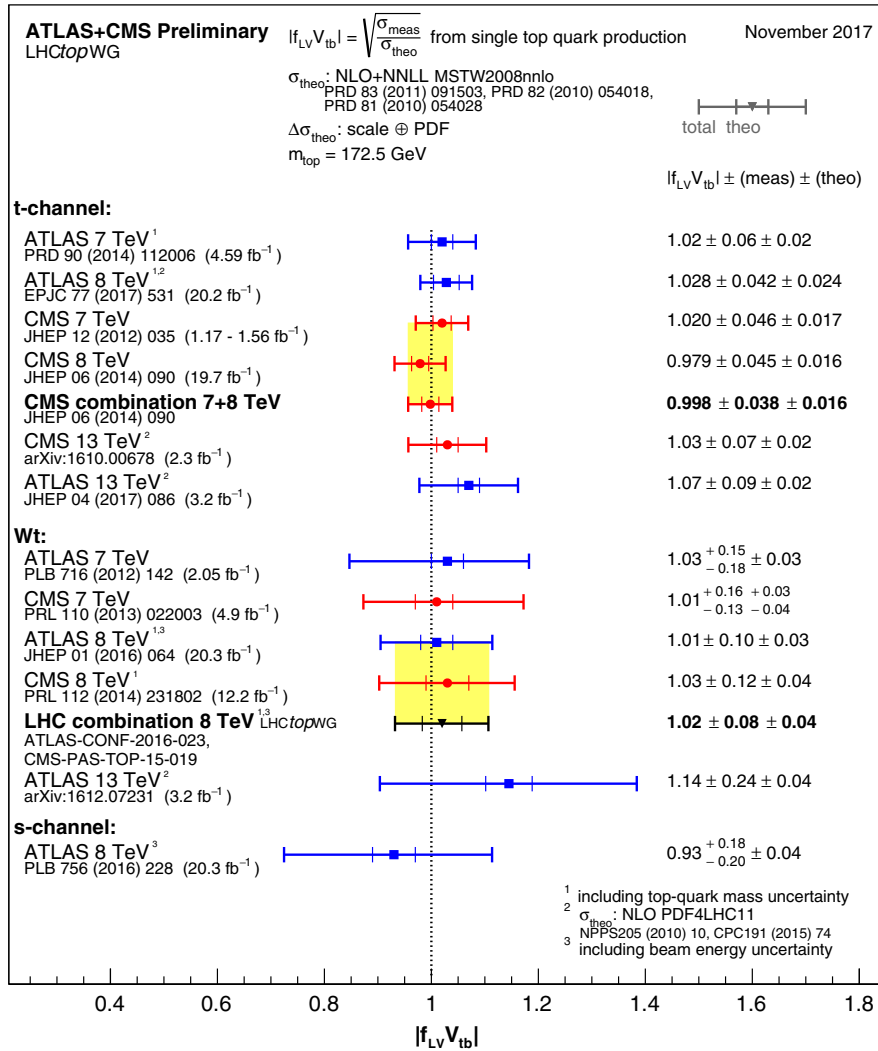


FIG. 26. Summary of ATLAS and CMS extractions of $|f_L V_{tb}|$ from the single top-quark cross-section measurements, using NLO + NNLL theoretical predictions. From The LHC Top Working Group, 2017, including some preliminary results.

f_L extracted by the LHC experiments from single top-quark cross-section measurements under these assumptions (The LHC Top Working Group, 2017). At the Tevatron, the CKM matrix element $|V_{tb}|$ is extracted from the $s + t$ cross-section measurement, obtaining $|f_L V_{tb}| = 1.02^{+0.06}_{-0.05}$, corresponding to a lower limit at the 95% confidence level of $|V_{tb}| > 0.92$ (CDF and D0 Collaborations, Tevatron Electroweak Working Group, 2009).

Alwall *et al.* (2007), Aguilar-Saavedra and Onofre (2011), and Lacker *et al.* (2012) illustrated how to derive less model-dependent limits on all three $|V_{tq}|$ matrix elements by reexamining the measurements of single top-quark cross sections and R_b published at the time. Not having direct access to the data requires several approximations in the analysis. A particularly tricky case for the reinterpretation is that single top-quark analyses are based on multivariate techniques. The MVA input variables are related to the kinematic properties of the reconstructed top quark and the event, which would be modified in production through $|V_{ts}|$ or $|V_{td}|$, thus modifying the acceptance. Moreover, the jet coming from the top-quark decay is assumed to be a b jet, thus $|V_{tb}|^2 \gg |V_{td}|^2 + |V_{ts}|^2$ is assumed.

Aguilar-Saavedra and Onofre (2011) proposed to use the rapidity of the single top quark and antiquark in the t -channel and tW production modes to set direct limits on $|V_{td}|$. Similarly, Alvarez *et al.* (2018) proposed to use the integrated charge asymmetry in tW to extract $|V_{td}|$. Both methods rely on the consideration that b -quark-initiated tW production, Fig. 1, has exactly the same kinematic properties and rate whether the initiator quark is a b or \bar{b} , while d -quark-initiated processes feature different rate, spectra, and angular distributions, depending on the initiator being a d or \bar{d} , due to the different x_B spectrum of quark and antiquark.

B. Cross-section ratios as inputs for PDF extraction

A feature of SM single top-quark production at the LHC, absent in $p\bar{p}$ collisions and therefore unmeasurable in Tevatron data, is the difference in production rate (integrated charge asymmetry $R_t \equiv \sigma_t/\sigma_{\bar{t}}$) between top quark and antiquark production in the t - and s -channel modes. The magnitude of these ratios is primarily driven by the relative importance of the up- and down-quark densities and is therefore potentially helpful to constrain those densities, making single top-quark production a useful input to global PDF fits. This section focuses on the integrated charge asymmetry in t -channel production, as no measurement of this quantity has been performed yet for the other single top-quark production modes. The interest of charge asymmetry in tW is discussed in Sec. IV.A.

The R_t expectations depend on the c.m. energy: predictions at 13 TeV are, in general, significantly smaller than those at 8 TeV, which are in turn smaller than at 7 TeV, as intuitively understandable from the consideration that “sea” quarks contribute more than “valence” quarks at large x_B . The R_t measurements are complementary to W -boson cross-section ratios (that are similarly sensitive to up- and down-quark densities) by probing larger x_B values. The ABMP16 PDF set (Alekhin *et al.*, 2017) already includes this information in the

fit, and the relative importance of R_t in PDF extractions is expected to grow with more integrated luminosity available to the LHC experiments in run 2.

The values of R_t measured by the ATLAS Collaboration at 7, 8, and 13 TeV (ATLAS Collaboration, 2014a, 2017a, 2017b) and the CMS Collaboration at 8 and 13 TeV (CMS Collaboration, 2014a, 2017c) have been compared to the predictions for a variety of PDF sets. Figure 27 compares the R_t measurements at 8 and 13 TeV between the two experiments and with predictions for several PDF sets: HERAPDF 2.0 NLO (H1 and ZEUS Collaborations, 2010), ABM11 NLO (Alekhin, Blümlein, and Moch, 2012), ABM12 NNLO (Alekhin, Blümlein, and Moch, 2014), MMHT14 NLO (Harland-Lang *et al.*, 2015), CT14 NLO (Dulat *et al.*, 2016), and NNPDF 3.0 NLO (Ball *et al.*, 2015). The perturbative part of these calculations is performed at NLO with the HATHOR program (Aliiev *et al.*, 2011; Kant *et al.*, 2015) and has been cross-checked with the POWHEG generator (Alioli *et al.*, 2009; Re, 2011). The scale and top-quark mass uncertainty components on the predictions are numerically small in comparison with the PDF and number of iterations components. HATHOR and POWHEG are found to yield compatible predictions within the statistical uncertainty. The ratios computed from the NNLO predictions shown in Table I are 1.82 at 8 TeV and 1.69 at 13 TeV, computed with MSTW2008, although no PDF uncertainty is available. This NNLO ratio is slightly higher than the MMHT14-based calculation at 8 TeV and consistent with it at 13 TeV.

Alekhin *et al.* (2016) (Fig. 13 of that paper) showed that the ATLAS measurement of R_t at 7 TeV and the one by CMS at 8 TeV give consistent pictures, with the CT10 (Lai *et al.*, 2010), CT14, MMHT14, and NNPDF 3.0 sets slightly disfavored, while ABM12 and ABM15 (Alekhin *et al.*, 2016) are favored. The latter includes W -boson charge ratios in the fit, while the single top-quark charge ratio in the t channel is used as a “standard candle” to validate the predictions of their PDF set.⁹ However, this picture became inconsistent with the later publication of the most precise R_t result in the literature, which is the ATLAS measurement at 8 TeV: this yields smaller values than most PDF sets and is in tension with most of the PDF set predictions for this observable, as shown in Fig. 27, while the aforementioned ATLAS and CMS measurements at 7 and 8 TeV both yield larger values than most PDF sets. The small uncertainty of the ATLAS measurement highlights the value of time in hadron collider analyses. The ATLAS analysis was published almost three years after the CMS analysis, and that time was used to improve the detector understanding and theory modeling, and to devise an optimal analysis strategy. Rather than obtaining R_t from the ratio of measured cross sections, ATLAS extracts R_t in one simultaneous fit to the top quark and antiquark cross sections. This directly accounts for all correlations, including those between the two analysis regions and those between different systematic uncertainties that are induced in the fit.

⁹The individual cross-section measurements of single top quark and antiquark production at the LHC, not yet including the 8 TeV ATLAS measurement, have been used to extract the ABMP16 set (Alekhin *et al.*, 2017).

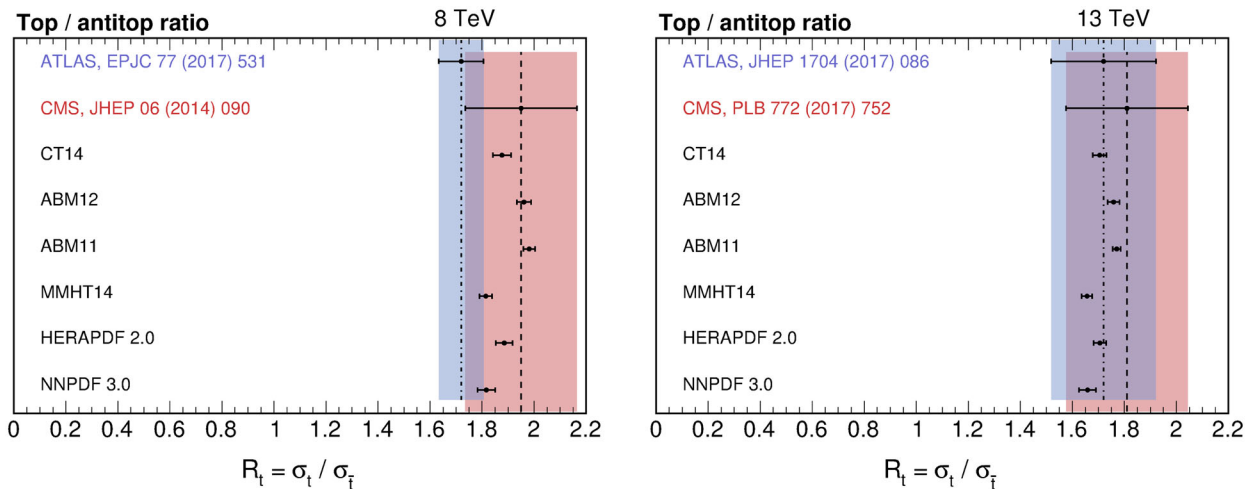


FIG. 27. Summary of ATLAS and CMS measurements of $R_t \equiv \sigma_t / \sigma_{\bar{t}}$ at (left) 8 TeV (CMS Collaboration, 2014a; ATLAS Collaboration, 2017a) and (right) 13 TeV (ATLAS Collaboration, 2017b; CMS Collaboration, 2017c), compared with theoretical expectations at NLO obtained with HATHOR (Aliev *et al.*, 2011; Kant *et al.*, 2015) and a variety of PDF sets (H1 and ZEUS Collaborations, 2010; Alekhin, Blümlein, and Moch, 2012, 2014; Ball *et al.*, 2015; Harland-Lang *et al.*, 2015; Dulat *et al.*, 2016). Error bars for the different PDF sets represent the quadratic sum of the following uncertainty components: the 68% confidence level interval of the predictions of the eigenvectors in the set, the statistical uncertainty due to the finite number of iterations employed for the calculation, the uncertainty in the factorization and renormalization scales, derived varying both of them by factors of 1/2 and 2, and the uncertainty in the top-quark mass.

The currently available R_t measurements at 13 TeV, based on the data collected in 2015, are limited by their statistical uncertainty and do not shed light on this inconsistency yet. However, future measurements of R_t based on the full run 2 data set may be expected to surpass the best run 1 measurements in precision, and, in conjunction with them, may provide strong constraints on future global PDF fits. Moreover, with more data, differential distributions of R_t as a function of the rapidity and transverse momentum of the top quark will provide significant additional discriminating power (Berger *et al.*, 2016).

Another useful input for constraining PDFs is the measurement of the ratios of single top-quark cross sections between different c.m. energies, as done by the CMS Collaboration in the t -channel case. The ratio of the cross sections of the $\eta_{j'}$ -based analysis at 7 and 8 TeV (CMS Collaboration, 2014a) is ($R_{8/7 \text{ TeV}} = 1.24 \pm 0.08(\text{stat}) \pm 0.12(\text{syst})$). Measurements of the ratios $R_{X \text{ TeV}/Y \text{ TeV}}$ profit from cancellations of several important systematic uncertainties and are sensitive to the evolution of the partonic distributions in the proton. Given the larger jump in energy, it will be instructive to see the results of the same exercise using the 13 TeV results, as well as the double ratio obtained by taking the ratio of R_t between different c.m. energies. Unfortunately, these measurements have not yet been reported by the LHC experiments.

C. Top-quark mass

Similar to $t\bar{t}$, single top-quark events can be exploited for the measurement of the top-quark mass m_t either directly by kinematic reconstruction of a top-quark candidate or indirectly through the dependence of the cross section on the mass.

The CMS Collaboration (2017e) has performed a direct measurement of the top-quark mass with t -channel single top-quark events using the 8 TeV data set. Top-quark candidates are reconstructed in the t -channel topology from their decay to a W boson and a b quark, with the W boson decaying leptonically to a muon and a neutrino. At variance with respect to $t\bar{t}$ events, there is typically only one central b jet in the t -channel single top-quark process. Top-quark pair events constitute a relatively large fraction of the events even in a single top-quark optimized signal region, but in the context of this measurement they are treated as a component of the signal, as they carry information on the parameter of interest. However, care is taken in making the selection orthogonal to the $t\bar{t}$ -based measurements of the same quantity in the single and dilepton final states, in order to facilitate future combinations (CMS Collaboration, 2016a). The interest of performing this measurement in a single top-quark topology lies in the complementarity with $t\bar{t}$, with which the systematic uncertainties are partially uncorrelated as the color flow is very different (there is no color flux between the two quark lines in t -channel production), and the statistical uncertainty is uncorrelated.

The event selection and the procedure to reconstruct the top-quark candidates closely follow the t -channel cross-section measurement in the same data set (CMS Collaboration, 2014a), with two additional conditions imposed in order to enhance the purity of the sample: the absolute value of $\eta_{j'}$, defined as in Sec. III.B.1, is required to be larger than 2.5; and in order to exploit the large charge asymmetry of the t -channel production mode, the main result is restricted to events with positive muons, hence with top quarks, while those with negative muons (top antiquarks) are used only to cross-check the result on an independent data set. A fit to the invariant mass distribution of reconstructed top-quark

candidates¹⁰ yields a value of the top-quark mass of $172.95 \pm 0.77(\text{stat})_{-0.93}^{+0.97}(\text{syst})$ GeV, in agreement with the results from $t\bar{t}$ (ATLAS Collaboration, 2015a; CDF and D0 Collaborations, 2016; CMS Collaboration, 2016a). Several systematic uncertainties are larger than in the standard analyses in the $l + \text{jets } t\bar{t}$ topology, where the invariant mass of the jets failing b tagging is expected to peak at the mass of the W boson, allowing one to calibrate the jet energy scale *in situ* and also reducing several modeling uncertainties related to soft QCD effects. Moreover, in comparison with $t\bar{t}$ -optimized selections, the t -channel signal region is more contaminated by $W/Z + \text{jets}$ backgrounds, whose modeling parameters are relatively poorly constrained, due to its lower multiplicity of jets and b jets.

Similar to the $t\bar{t}$ case (ATLAS Collaboration, 2014b; CMS Collaboration, 2016b; Abazov *et al.*, 2016), the inclusive single top-quark cross sections can be used to extract the top-quark pole mass thanks to the strong dependence of the theoretical predictions on this parameter (Kant *et al.*, 2015). The strongest dependence is found for s -channel production [$\Delta\sigma_s/\sigma_s = -3.9(\Delta m_t/m_t)$ at $\sqrt{s} = 8$ TeV], followed by tW [$\Delta\sigma_{tW}/\sigma_{tW} = -3.1(\Delta m_t/m_t)$ at $\sqrt{s} = 8$ TeV], while the t channel shows a weaker dependence [$\Delta\sigma_t/\sigma_t = -1.6(\Delta m_t/m_t)$ at $\sqrt{s} = 8$ TeV]. However, for a practical use of this method, particular care should be taken to minimize the dependence of the experimental measurement of the cross section on m_t (Schuh, 2016). The 8 and 13 TeV ATLAS t -channel analyses (ATLAS Collaboration, 2017a, 2017b) measure a cross section that decreases with the assumed top-quark mass. This is the same behavior as in the theoretical prediction, and this imposes an additional limitation on the precision of the extraction of the top-quark mass.

D. tWb vertex structure

All single top-quark production processes are sensitive to anomalous couplings in the tWb vertex and provide sensitivity beyond $t\bar{t}$ because the tWb vertex appears both in the production of the top quark and in its decay. In particular, since the top-quark lifetime is shorter than the time scale of spin decoherence induced by QCD, its decay products retain memory of its polarization imprinted by the production mechanism. This provides additional powerful tools in the search for BSM physics in single top-quark studies: in single top-quark production via the t channel, the SM predicts that top quarks are produced almost fully polarized through the V - A coupling along the direction of the momentum of the quark that recoils against the top quark (Ježabek and Kuhn, 1994; Mahlon and Parke, 2000), while new-physics models may lead to a depolarization in production or decay by altering the coupling structure (Aguilar-Saavedra, 2008, 2009a; Aguilar-Saavedra and Bernabeu, 2010; Bach and Ohl, 2012).

The most general Lagrangian term that one can write for the tWb coupling up to dimension-six gauge invariant operators (Aguilar-Saavedra, 2009a), under the condition $|V_{tb}| = 1$, is

$$\mathcal{L}_{tWb} = -\frac{g}{\sqrt{2}}\bar{b}\left[\gamma^\mu(f_L P_L + f_R P_R) + \frac{i\sigma^{\mu\nu}q_\nu}{M_W}(g_L P_L + g_R P_R)\right]tW_\mu^- + \text{H.c.}, \quad (2)$$

where the form factors f_L and f_R denote the strength of the left- and right-handed vectorlike couplings, and g_L and g_R denote the left- and right-handed tensorlike couplings. Slightly different notations are used in the figures in this review, $f_L = f_{LV} = f_V^L = V_L$. Similarly, $g_R = f_T^R$. The SM predicts $f_L = 1$, $f_R = g_L = g_R = 0$ at tree level. In single top-quark production, the production and the decay of the top quark are both sensitive to anomalous couplings. When considering one form factor at a time, the cross section is proportional to the form factor squared. When considering two or more simultaneously, interference effects may also come into play. For consistency, the Tevatron limits are given in terms of the absolute value of couplings squared.

At the Tevatron, anomalous coupling searches have focused on the magnitude of the four form factors. D0 optimized the single top-quark anomalous couplings search in the two-dimensional plane of one anomalous coupling and the SM-like left-handed vector coupling f_L (Abazov *et al.*, 2012c). The D0 single top-quark anomalous couplings search uses an MVA, which is trained on samples with either purely left-handed or purely right-handed vector couplings, in both production and decay. The single top-quark search was also combined with a W -boson helicity measurement in $t\bar{t}$ to set stringent limits on pairs of form factors (Abazov *et al.*, 2012b). Figure 28 shows the two-dimensional Bayesian posterior density for one such pair of anomalous couplings. Note that the limit is set as a function of the coupling squared since the cross section is proportional to that. For comparison with the LHC experiments, one should take the square root.

At the LHC, the approach followed by ATLAS and CMS has been to consider the relationship between top-quark production and decay. At 8 TeV, ATLAS relied on the definition of eight polarization variables, together with the magnitude of the polarization. The angular distributions of the decay products of the top quark are given by

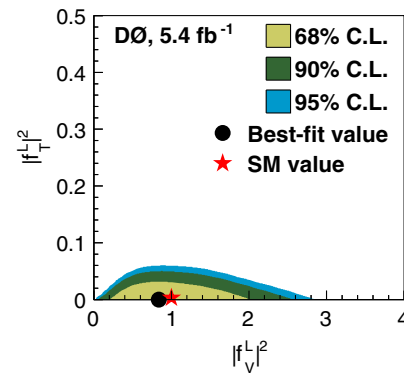


FIG. 28. Limits on pairs of anomalous couplings squared from the D0 combination of single top and $t\bar{t}$ anomalous coupling searches: left-handed tensor coupling vs left-handed vector coupling. From Abazov *et al.*, 2012b.

¹⁰The fit assumes, of course, the same top-quark mass in single top-quark and $t\bar{t}$ events; therefore, the latter are effectively treated as a component of the signal.

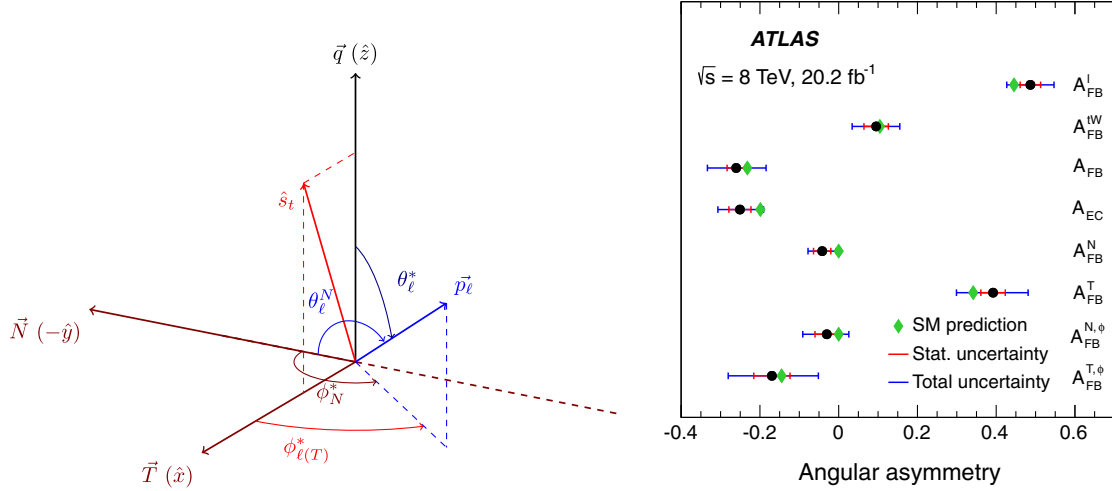


FIG. 29. (Left) The definition of the polarization angles in t -channel single top-quark production, and (right) predicted and observed angular asymmetries. From *ATLAS Collaboration, 2017d*.

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta} = \frac{1}{2} (1 + \alpha P \cos \theta), \quad (3)$$

where θ is the angle between the direction of flight of the decay product and a properly chosen spin quantization axis, P is the top-quark degree of polarization along this quantization axis, and α is the spin analyzing power for this decay product, which takes a value of ± 0.998 at NLO for charged leptons in the SM (*ATLAS Collaboration, 2017d; Jezabek and Kuhn, 1994; Brandenburg, Si, and Uwer, 2002*). The relevant angles θ are illustrated in Fig. 29. The z axis is given by the direction of the W boson in the top-quark rest frame, the x axis is given by the top-quark spin component that is orthogonal to z , and the y axis is orthogonal to these two, defining a right-handed coordinate system. With these definitions, three angles are defined: θ_ℓ is the angle between the z axis and the lepton momentum in the top-quark rest frame, $\phi_\ell(T)$ is the angle between the projection of the lepton momentum in the top-quark rest frame onto the x - y plane and the x axis, and θ_ℓ^N is the angle between the lepton momentum in the top-quark rest frame and the y axis. Quantifying the degree of polarization along the direction of the spectator quark gives 0.91 for top quarks and -0.86 for top antiquarks (*Schwienhorst et al., 2011*).

The ATLAS and CMS experiments select single top-quark events in the t -channel final state consisting of a charged lepton from the decay of the W boson from the top-quark decay, large E_T , and two jets, one of which is b tagged and the other one is in the forward detector region. In the ATLAS analysis (*ATLAS Collaboration, 2017d*), using 8 TeV data, the signal region contains about 9000 events, half of which are expected to come from t -channel production. The angular observables are unfolded to the parton level in two bins, one for the positive cosine of the relevant angle (i.e., forward-going direction of the decay product with respect to the corresponding spin quantization axis) and one for the negative cosine (backward going with respect to the same axis). Based on these angular observables as well as for the $\cos \theta_\ell$ variable, forward-backward asymmetries are defined. The measured asymmetries and the corresponding theory predictions are shown in Fig. 29 (right). From the asymmetries, a limit on the

imaginary part of g_R is also derived. The limit interval at the 95% confidence level is $[-0.18, 0.06]$.

CMS measured the single top-quark polarization with 8 TeV data (*CMS Collaboration, 2016c*). A model-independent selection targets t -channel production, then the observed $\cos \theta_\ell$ distribution (Fig. 14) is used to infer the differential cross section as a function of the parton level $\cos \theta_\ell$ (see Sec. III.B.1). This is found to be compatible with the linear expectation of Eq. (3), and a linear fit yields $P \times \alpha_\ell = 0.52 \pm 0.06(\text{stat}) \pm 0.20(\text{syst})$, compatible with the SM expectation within 2 standard deviations.

With the same data set, CMS also used a different selection, targeting t -channel events but tolerating a larger contamination from $t\bar{t}$ with respect to typical analyses in the same final state, to extract the W -boson helicity amplitudes with 8 TeV data (*CMS Collaboration, 2015*). The sensitivity to those parameters comes mostly from the decay vertex of the top quark rather than from the production vertex, exploiting the helicity angle θ_W^* defined as the angle between the W -boson momentum in the top-quark rest frame and the momentum of the down-type fermion from the W -boson decay, in the rest frame of the mother particle. A fit to the distribution of θ_W^* discriminates the components of the signal originating from the right-handed (F_R), left-handed (F_L), and longitudinal (F_0) helicity fractions of the W boson. Similar to the top-quark mass case described in Sec. IV.C, the interest of an analysis in this final state lies in the complementarity with the measurements traditionally performed with selections targeting $t\bar{t}$ production. In this measurement, $t\bar{t}$ events, that constitute the majority of the population in the signal region, are treated as a component of the signal as they carry information on the parameters of interest. The measured helicity fractions are $F_L = 0.298 \pm 0.028(\text{stat}) \pm 0.032(\text{syst})$, $F_0 = 0.720 \pm 0.039(\text{stat}) \pm 0.037(\text{syst})$, and $F_R = -0.018 \pm 0.019(\text{stat}) \pm 0.011(\text{syst})$. These results are used to set limits on the real part of the tWb anomalous couplings g_L and g_R assuming no CP violation (hence no imaginary components for those couplings).

ATLAS also measured double-differential angular correlations in 7 TeV data (*ATLAS Collaboration, 2016d*) and triple-differential angular correlations in 8 TeV data

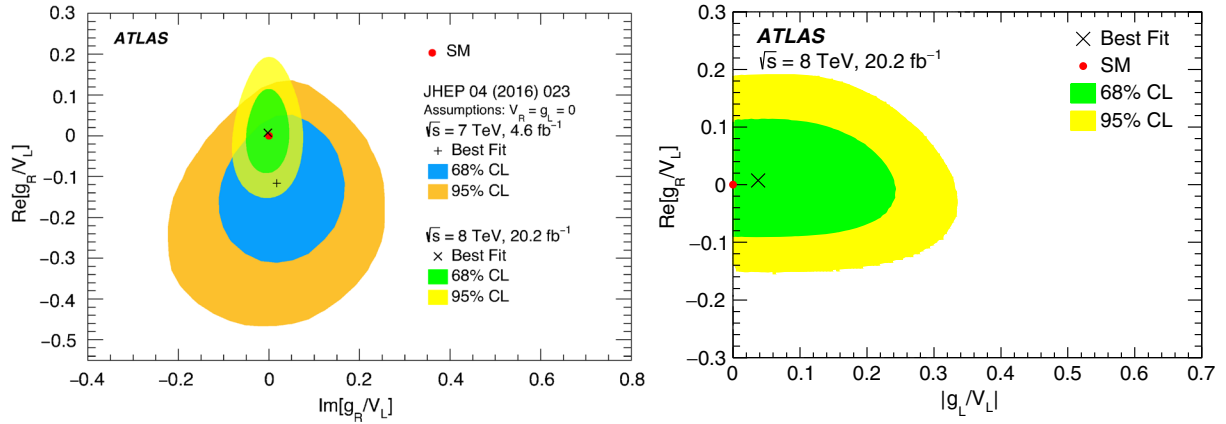


FIG. 30. Limits on anomalous couplings from the ATLAS (left) two- and (right) three-angle analyses. From [ATLAS Collaboration, 2017c](#).

([ATLAS Collaboration, 2017c](#)). The angular observables are expressed in terms of spherical harmonics in the 7 TeV analysis and in terms of orthonormal functions that are the products of spherical harmonics ([Boudreau *et al.*, 2013, 2016](#)). Figure 30 summarizes the results at both c.m. energies, shown as a function of the ratio of the anomalous coupling over the SM-like left-handed vector coupling, including both the real and imaginary parts for the right-handed tensor coupling (g_R). The measurements are consistent with the SM prediction, and the 8 TeV measurement is a significant improvement over the 7 TeV one.

The CMS analysis that combines 7 and 8 TeV data ([CMS Collaboration, 2017a](#)) is based on the anomalous couplings model in [Boos *et al.* \(2017\)](#). The search is for combinations of anomalous couplings similar to the D0 analysis, except that here the limit is set simultaneously on three anomalous couplings: the right-handed vector coupling and the two tensor couplings. A BNN is trained to separate the anomalous signal from the different backgrounds and the SM prediction. The resulting contours projected onto two dimensions are shown in Fig. 31. The contours are significantly tighter than the two-dimensional limit contours from D0 shown in Fig. 28, even though there is an additional degree of freedom here. Comparing the limits from ATLAS (Fig. 30) and CMS (Fig. 31), the figure shows that for the left-handed tensor

coupling the CMS analysis is more sensitive, while for the right-handed tensor coupling the ATLAS analysis is more sensitive.

E. Searches for flavor-changing neutral currents

Models that try to solve the so-called “flavor problem” ([Georgi, 1986](#)) usually predict a large coupling of new particles to the top quark, and therefore sizable FCNC effects in the B - and K -meson sectors. These are interesting to look for in single top-quark production, where the effect of a small $u-t$ coupling would be enhanced by the large u -quark density ([Tait and Yuan, 2000](#)). The same effect would come from a $c-t$ coupling, although with a less spectacular enhancement from the PDF. Formulations exist where BSM effects in quantum loops are absorbed by effective tuX or tcX couplings, where X can be a gluon, a photon, a Z , or H boson [see, e.g., [Aguilar-Saavedra \(2009a\)](#) and [Zhang and Willenbrock \(2011\)](#)]. Based on the consideration that higher-order effects mix the effects of different couplings, inducing ambiguities in the interpretation of single signatures, a global approach is advocated by [Durieux, Maltoni, and Zhang \(2015\)](#) and [Barducci *et al.* \(2018\)](#). However, the results reviewed in this paper make use of leading-order FCNC models.

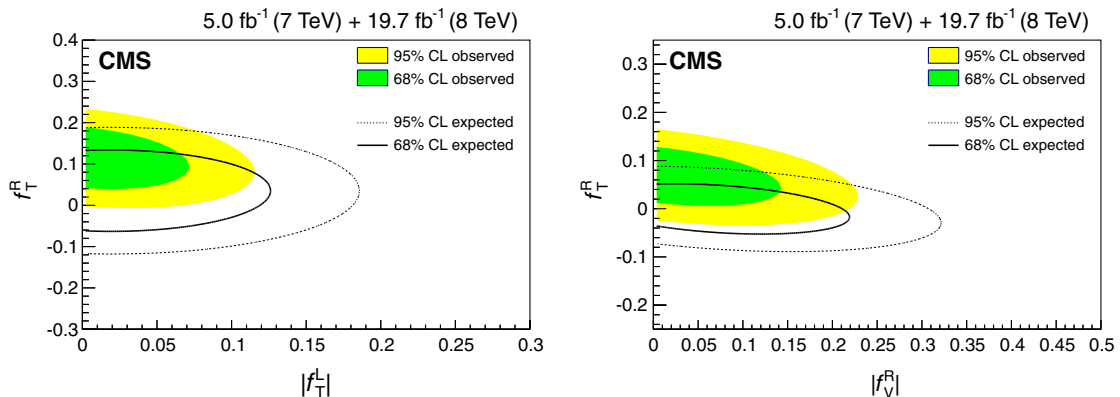


FIG. 31. Limits on anomalous tWb couplings from the CMS analysis combining 7 and 8 TeV, projected onto two dimensions: (left) left- vs right-handed tensorial coupling, and (right) vectorial vs tensorial right-handed coupling. From [CMS Collaboration, 2017a](#).

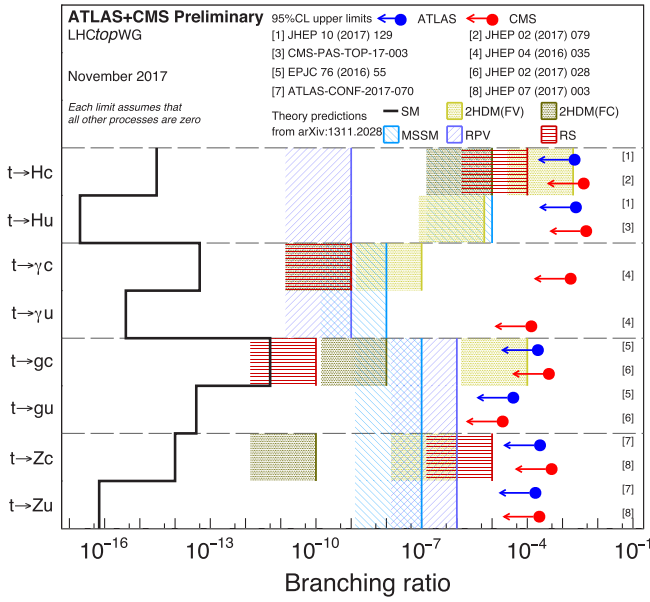


FIG. 32. Summary of ATLAS and CMS limits on FCNC processes, expressed in equivalent branching ratios and compared with the expectations from the SM and several new-physics models. For each FCNC process, the ATLAS limit is shown at the top and the CMS one at the bottom. From [The LHC Top Working Group, 2017](#).

CDF searched for single top quarks produced by top-gluon FCNC in $W + 1$ jet events ([Aaltonen et al., 2009b](#)). The ATLAS Collaboration searched for the same exotic signature of a single top quark produced in isolation (i.e., a $2 \rightarrow 1$

partonic reaction producing a top quark) with the 7 and 8 TeV data sets ([ATLAS Collaboration, 2012d, 2016c](#)) to constrain the top-gluon FCNC couplings t_{gu} and t_{gc} . The analysis selects events with a single charged lepton, significant \cancel{E}_T , and a single jet, passing b -tagging identification. A BNN is applied on the selected events, trained to separate FCNC signals from SM events.

D0 searched for a single top quark produced together with a light quark, i.e., a t -channel signature, created by a top-gluon FCNC ([Abazov et al., 2007b](#)). This is also the basis for the CMS top-gluon FCNC search that combines 7 and 8 TeV data ([CMS Collaboration, 2017a](#)). Just as for the anomalous couplings search described in the same paper (see Sec. IV.D), here also a MVA is trained to maximize sensitivity to the t_{ug} and t_{gc} interactions.

The CMS Collaboration searched for events containing a top quark and a large- p_T photon with the 8 TeV data set ([CMS Collaboration, 2016d](#)). The semileptonic decay of the top quark is used, and a MVA is performed to discriminate the FCNC signal from the SM backgrounds. The dominant $W + \text{jets}$ and $W + \gamma + \text{jets}$ backgrounds are estimated from data. This statistically limited analysis makes use of the event counts to set limits on the effective couplings of the $ut\gamma$ and $ct\gamma$ types. For the purpose of easy comparison with measurements in $t\bar{t}$ production, the result is also interpreted in terms of an equivalent branching ratio of top-quark decay into a photon and a quark. CMS also searched for events containing a single top quark and a Z boson decaying to two leptons ([CMS Collaboration, 2017b](#)) using the 8 TeV data set. This analysis not only sets limits on SM tZ production (see Sec. III.B.5), but also searches for FCNC production of tZ . The resulting limit

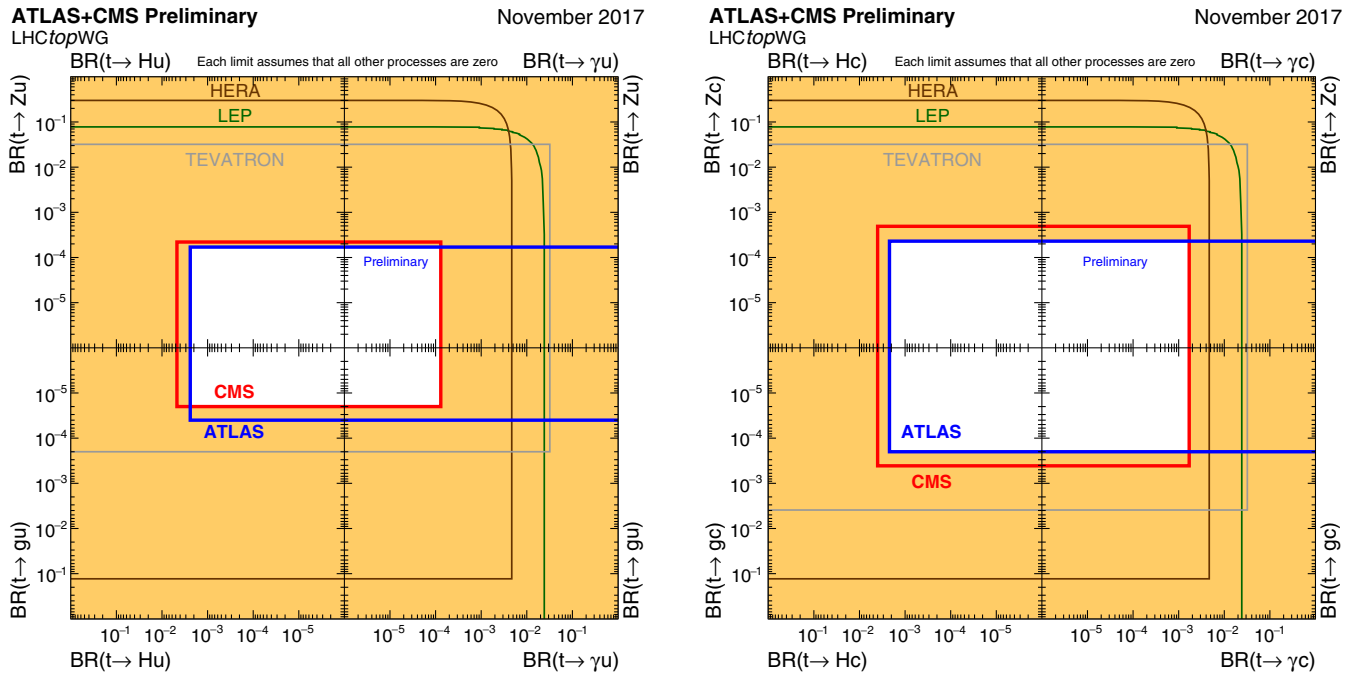


FIG. 33. Observed 95% C.L. upper limit on the branching ratio of $t \rightarrow Zq$ vs the branching of $t \rightarrow \gamma q$ ($q = u, c$) as derived directly or indirectly by experiments at LEP, HERA, Tevatron, and the LHC: search for $e^+e^- \rightarrow \gamma^*/Z \rightarrow t\bar{q}/\bar{t}q$ by L3 ([Achard et al., 2002](#)), search for $e\bar{q} \rightarrow e\bar{t}$ by ZEUS ([Abramowicz et al., 2012](#)) and H1 ([Aaron et al., 2009](#)), search for $t \rightarrow Zq$ decays in $t\bar{t}$ events by D0 ([Abazov et al., 2011b](#)), CDF ([Aaltonen et al., 2008b](#)), and search for $t \rightarrow \gamma q$ decays in $t\bar{t}$ events by CDF ([Abe et al., 1998](#)). From [The LHC Top Working Group, 2017](#).

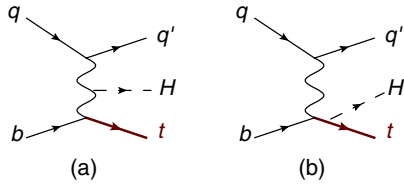


FIG. 34. Dominant Feynman diagrams for the production of tHq events.

on the tZq coupling is competitive with the sensitivity from top-quark decay searches.

Figure 32 summarizes the limits on FCNC interactions from ATLAS and CMS from both top-quark decay searches and single top-quark production searches, expressed in terms of equivalent branching ratios of top-quark decay. Figure 33 shows a summary that also includes the limits from HERA (Aaron *et al.*, 2009; Abramowicz *et al.*, 2012) and LEP (Barate *et al.*, 2000; Abbiendi *et al.*, 2001; Achard *et al.*, 2002; Abdallah *et al.*, 2004), where the c.m. energy or the integrated luminosity is not sufficient to produce a measurable number of top-quark events in the SM. At HERA, the FCNC exchange of a photon or Z boson between the electron and the proton leads to a single top quark in the final state. At LEP, the exchange of a photon or Z boson leads to a tu or tc final state. Thus, single top-quark final states are responsible for all HERA and LEP limits in Fig. 33, as well as all limits on $\text{BR}(t \rightarrow gu)$ and $\text{BR}(t \rightarrow gc)$.

F. H -associated single top-quark production (tH)

The associated production of a single top quark and a Higgs boson (tH) provides a complementary experimental view on the interaction of the Higgs boson with the top quark, with respect to the measurement of $t\bar{t}$ production in association with a Higgs boson ($t\bar{t}H$). In particular, while the $t\bar{t}H$ process is

sensitive to the modulus of y_t , tH production is characterized by a tree-level sensitivity to the relative phase between y_t and the coupling of the Higgs to the gauge bosons (Bordes and van Eijk, 1993), thanks to an accidental numerical similarity of the amplitudes of the diagrams where the Higgs boson is radiated by the W boson and the top quark (see Fig. 34). In the SM the couplings of the Higgs boson to the W boson and the top quark have opposite sign, leading to destructive interference and very small cross sections, while a significant enhancement is expected if some kind of BSM physics induces a relative phase between these two couplings [more than 1 order of magnitude in the so-called “inverted top-quark coupling scenario” (ITC), where $y_t = -1$]. In the case of other processes used to set constraints on the y_t phase, such as $H \rightarrow \gamma\gamma$ and $gg \rightarrow HZ$ (Hespel, Maltoni, and Vryonidou, 2015), sensitivity to this phase comes through loop corrections, making their interpretation intrinsically more model dependent as the particles running in the loop have to be specified. Any analysis of the Higgs-boson couplings that aims at being agnostic about new physics in these loops is unable to use these processes to lift the degeneracy on the sign of y_t (Ellis and You, 2012, 2013).

Single top-quark plus Higgs-boson production proceeds mainly through t -channel diagrams (tHq), as in Fig. 34, and therefore the current searches are optimized for this final state, although the interest of the tHW signature is similar and it has also been explored in the theoretical literature (Farina *et al.*, 2013; Demartin *et al.*, 2017). The $t\bar{t}H$ and tHW processes feature the same kind of mixing discussed in Sec. III.B.2 in the case of $t\bar{t}$ and tW .

While the SM rate is arguably too low to be observed with available and future LHC data, the large enhancement in the ITC scenario will allow one to either observe or exclude this case with the LHC run 2 data as has been suggested in a number of phenomenological papers (Biswas *et al.*, 2013; Biswas, Gabrielli, and Mele, 2013; Farina *et al.*, 2013; Chang *et al.*, 2014).

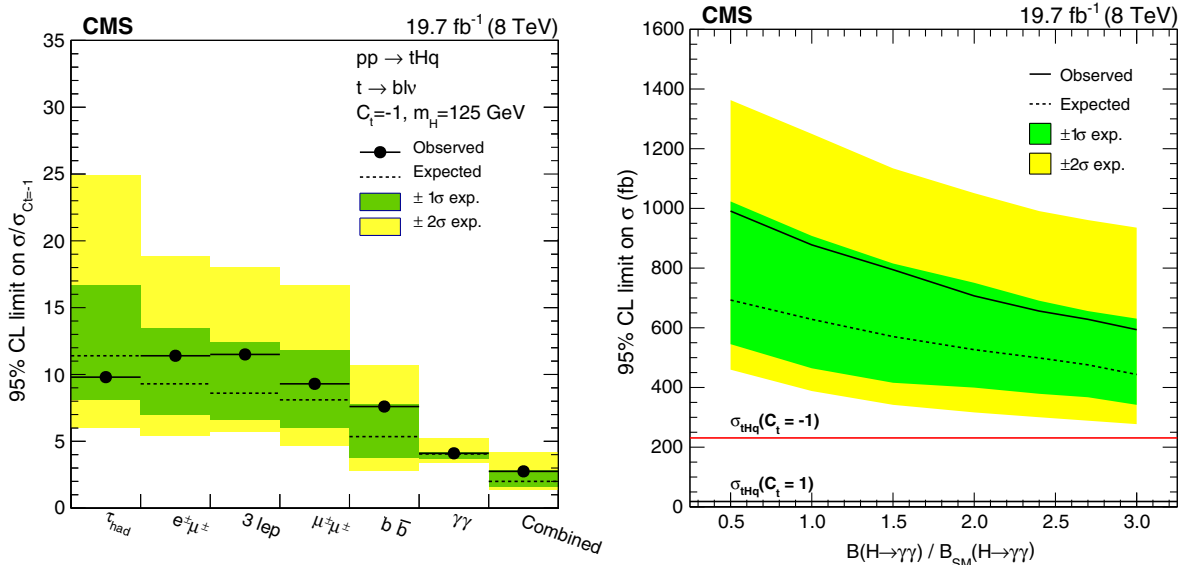


FIG. 35. Left: 95% C.L. upper limits on the tHq cross section, divided by its expectation in the $y_t = -1$ scenario, by decay channel and combined. Right: 95% C.L. upper limits on the tHq production cross section vs $\text{BR}(H \rightarrow \gamma\gamma)$; the red horizontal line shows the predicted tHq cross section for the SM Higgs boson with $m_H = 125$ GeV in the $y_t = -1$ scenario, while the black horizontal line shows the predicted tHq cross section for the SM (i.e., $y_t = +1$) scenario. From CMS Collaboration, 2016g.

Using the full 8 TeV data set, the [CMS Collaboration \(2016g\)](#) performed dedicated searches for tHq in a variety of signatures: $\gamma\gamma$, $b\bar{b}$, same-sign leptons, three leptons, and electron or muon plus hadronically decaying τ . In all Higgs decay channels, the top quark is assumed to decay semileptonically. The data generally agree with the SM expectations, and limits are set in the individual channels and combined with and without the assumption that the value of y_t affects $\text{BR}(H \rightarrow \gamma\gamma)$ and σ_{tHq} coherently. When this assumption is made, as shown in Fig. 35 (left), the $\gamma\gamma$ channel is the most sensitive as expected from the theory literature ([Biswas, Gabrielli, and Mele, 2013](#)). The combined limit is also provided with $\text{BR}(H \rightarrow \gamma\gamma)$ treated as a free parameter, thus facilitating possible reinterpretations in different theoretical frameworks; see Fig. 35 (right). The [ATLAS Collaboration \(2015b\)](#), also using the 8 TeV data set, followed a different approach. Instead of a direct search for this process, single top-quark plus Higgs-boson production is included in the signal model in a $t\bar{t}H$ -optimized search in the $H \rightarrow \gamma\gamma$ decay channel, which allows one to set limits on negative values of y_t .

V. CONCLUSIONS AND OUTLOOK

In the decade that has passed since the first experimental evidence for electroweak production of a single top quark was reported, the study of single top-quark production has become a very fertile and mature research direction. Production rates of processes with a single top quark have been measured in four production modes at four distinct center-of-mass energies, using five detectors at two accelerators with two different beam particle configurations. Precision measurements of top-quark properties and searches for new couplings of the top quark utilize single top-quark processes as a powerful probe for new-physics effects.

The groundwork for today's single top-quark studies was laid at the Tevatron, where measurements, searches, and analysis techniques that are in use at the LHC today were first established. The single top-quark discovery relied on multivariate approaches, and the first single top-quark samples were used to search for anomalous couplings and new physics.

Thanks to the excellent performance of the LHC during the ongoing run 2, an integrated luminosity of $\mathcal{O}(100) \text{ fb}^{-1}$ is expected to be collected at 13 TeV by the end of 2018. This large amount of data will have a large impact on several of the analyses described here: measurements that so far have been statistics limited, such as the tZq cross section and top-quark to antiquark cross-section ratios; differential measurements, whose power to constrain new physics, SM parameters, and MC generator settings will benefit from more bins and more population in the tails of some crucial distributions; and searches for new physics, especially those in clean final states involving neutral bosons. The interference between $t\bar{t}$ and tW will be a point of study in the coming years, on both the theoretical and the experimental side. This effort and precision measurements in general rely on improvements in the theoretical modeling of single top-quark processes, not only including off-shell processes but also bringing the theoretical cross-section calculations to NNLO accuracy for single top-quark production channels beyond the t channel.

Currently, we are still waiting for the first measurement of s -channel single top-quark production at 13 TeV. The larger amount of available data, by itself, does not make the study of this process easier than it was at 7 and 8 TeV: the signal cross section at 13 TeV is only about twice that at 8 TeV ([Kant et al., 2015](#)), while the dominant background $t\bar{t}$ is 3 times larger ([Czakon and Mitov, 2014](#)). As the run 1 analyses were already limited by systematic uncertainties, measuring an s -channel single top at 13 TeV with a useful precision will require significant progress on the theory side, such as to reduce the signal and background modeling uncertainties, and new ideas for an experimental breakthrough. More data can help, for example, through a more extended exploitation of auxiliary control regions to better constrain the modeling of the backgrounds *in situ*.

Single top-quark analyses at Tevatron were among the pioneers for the introduction or broader acceptance of several multivariate analysis techniques in collider physics ([Bhat, 2011](#)). In spite of conventional wisdom that, at the time, favored simple cut-and-count methods in the searches for new processes in hadron-hadron collisions, the challenges posed by the search for single top-quark production at Tevatron created a strong incentive for practicing machine-learning methods such as neural networks and boosted decision trees that at the time count among the most popular tools for LHC analysis, and the ME method that had been developed for top-quark physics ([Kondo, 1988, 1991](#)), although applied until then for different use cases such as top-quark mass measurements. We are currently witnessing a burst of interest in borrowing even more advanced machine-learning techniques from the larger world outside of high energy physics ([Cowan et al., 2015](#)), and it is likely that single top-quark analyses again will be among the early adopters. With regard to the ME method, a recent methodological breakthrough has been the inclusion of NLO Feynman diagrams in the computation of the dynamical likelihoods ([Martini and Uwer, 2015, 2017a, 2017b](#)), overcoming the computational challenge by an efficient method to calculate NLO QCD weights for events with jets. This development is expected to reduce the biases in analyses that aim at extracting model parameters, and to improve the sensitivity of the searches for new processes. [Martini and Uwer \(2017b\)](#) specifically addressed the interest of this development in the context of single top-quark studies.

Apart from pushing the energy and luminosity frontier in its regular proton-proton runs, the LHC continues to advance knowledge by an intense program of collisions involving heavy ions, complemented by "reference runs" of proton-proton collisions at lower energy. The $t\bar{t}$ cross section has already been measured by the CMS Collaboration at a c.m. energy of 5.02 TeV ([CMS Collaboration, 2017d](#)) using a data set of 26 pb^{-1} collected in 2015. With an order-of-magnitude larger data set collected in 2017, the multipurpose ATLAS and CMS experiments may have the potential to also study single top-quark production at that energy, providing further input to PDF fits. Recently, top-quark pair production has been observed in proton-lead collisions at $\sqrt{s_{NN}} = 8.16 \text{ TeV}$ ([CMS Collaboration, 2017f](#)), and it is expected that single top-quark measurements will also join the physics program with future heavy-ion runs at the LHC ([Baskakov et al., 2015](#);

d'Enterria, Krajczár, and Paukkunen, 2015). The single top-quark production cross section increases by a factor 30 to 40 for heavy-ion runs at a possible future circular collider (d'Enterria, 2017), which turns single top-quark events into precise probes. These and $t\bar{t}$ events will serve as a probe for parton density functions in nuclei at small x_B and large momentum transfer (Dainese *et al.*, 2017).

At future hadron colliders such as the HL-LHC, top-quark measurements will reach high precision (Agashe *et al.*, 2013), including single top-quark measurements (Schoenrock *et al.*, 2013). At a possible future 100 TeV hadron collider, single top-quark triggers might be possible, which would allow for unbiased studies of everything produced on the opposite side, including objects at high transverse momenta (Arkani-Hamed *et al.*, 2016).

Top-quark production occurs dominantly through single top-quark events at the future electron-hadron collider (Abelleira Fernandez *et al.*, 2012), where top-quark pair production (via a neutral current) is suppressed by an order of magnitude. Searches for tH FCNC interactions are also promising (Liu *et al.*, 2015), equivalent to those for tZ and $t\gamma$ (Aaron *et al.*, 2009; Abramowicz *et al.*, 2012).

At future lepton colliders, top quarks are produced in pairs through electroweak interactions. The focus will be on high-precision measurements of the top-quark mass and of the top-quark couplings to the Z boson and the photon (Agashe *et al.*, 2013; Baer *et al.*, 2013; Bicer *et al.*, 2014). Single top-quark production proceeds in an electron-photon collision, with one incoming lepton radiating off a photon and the other incoming lepton radiating off a W boson, resulting dominantly in a final state of a top quark plus a b quark plus a forward lepton (Penunuri, Larios, and Bouzas, 2011; Boos and Dudko, 2012). The cross section for this process is about an order of magnitude smaller than that for $t\bar{t}$ production. Similar to hadron colliders, single top-quark production at lepton colliders is directly proportional to $|V_{tb}|$ and the $|V_{tb}|$ precision is limited by the theoretical and experimental understanding of the production process.

ACKNOWLEDGMENTS

We acknowledge Nikolaos Kidonakis for his kindness in providing the theory curves for t -channel, s -channel, and W -associated production and Jérémy Andrea for calculating the theory curve for the Z -associated process in Fig. 24. We acknowledge the CMS Collaboration for an earlier version of that figure. Jérémy Andrea, together with Mara Senghi Soares, also helped to clarify the differences between the ATLAS and CMS modeling choices for the tZq signal. Figure 27 was produced starting from a macro from the CMS Collaboration and the theory predictions for that figure were calculated by Wajid Ali Khan and Dominic Hirschebühl. We also thank the latter as well as Alberto Orso Maria Iorio for clarifying the methodology followed in ATLAS and CMS, respectively, to compute the impact of PDF uncertainties on their R_t measurements. Some paragraphs in Secs. I, III.B.1, IV.A, IV.E, and IV.F are adapted from Giammanco (2016). We thank Muhammad Alhroob, Thorsten Chwalek, Tom Junk, Rebeca Gonzalez Suarez, Regina Moles Valls, and C.-P. Yuan for their comments on a preliminary draft of this paper. The Feynman

diagrams in this paper were created with JAXODRAW (Binosi and Theussl, 2004). All other original figures were created with ROOT (Brun and Rademakers, 1997). The work of R. S. was supported in part by NSF Grants No. PHY-1410972 and No. PHY-1707812.

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