

# Quarkonia and their transitions

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Valuable data on quarkonia (the bound states of a heavy quark  $Q=c,b$  and the corresponding antiquark) have recently been provided by a variety of sources, mainly  $e^+e^-$  collisions, but also hadronic interactions. This permits a thorough updating of the experimental and theoretical status of electromagnetic and strong transitions in quarkonia. The  $Q\bar{Q}$  transitions to other  $Q\bar{Q}$  states are discussed, with some reference to processes involving  $Q\bar{Q}$  annihilation.

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## I. INTRODUCTION

Quarkonium spectroscopy has celebrated a great resurgence in the past few years thanks to a wealth of new information, primarily from electron-positron colliders, but also from hadronic interactions. Transitions between quarkonium states shed light on aspects of quantum chromodynamics (QCD), the theory of the strong interactions, in both the perturbative and nonperturbative regimes. In the present paper we review the new information on these states and their transitions and indicate theoretical implications, updating earlier discussions such as those by [Kwong \*et al.\* \(1987, 1988\)](#); [Kwong and Rosner \(1988\)](#); [Godfrey and Rosner \(2001a, 2001b, 2002\)](#); [Barnes and Godfrey \(2004\)](#); [Brambilla \*et al.\* \(2004\)](#); [Eichten \*et al.\* \(2004\)](#) (which may be consulted for explicit formulas).

We deal with states composed of a heavy quark  $Q=c$  or  $b$  and the corresponding antiquark  $\bar{Q}$ . We discuss  $Q\bar{Q}$  transitions primarily to other  $Q\bar{Q}$  states, with some reference to processes involving  $Q\bar{Q}$  annihilation, and largely bypass decays to open flavor [treated, for example, by [Barnes and Godfrey \(2004\)](#); [Brambilla \*et al.\* \(2004\)](#); [Eichten \*et al.\* \(2004, 2006\)](#); [Barnes \*et al.\* \(2005\)](#)].

A brief overview of the data on the charmonium and bottomonium systems is provided in Sec. II. We then review theoretical underpinnings in Sec. III discussing quarks and potential models, lattice gauge theory approaches, perturbative QCD and decays involving gluons, and hadronic transitions of the form  $Q\bar{Q} \rightarrow (Q\bar{Q})' + (\text{light hadrons})$ . Section IV is devoted to charmonium. Section V treats the  $b\bar{b}$  levels and includes a brief mention of interpolation to the  $b\bar{c}$  system. Section VI provides a summary.

## II. OVERVIEW OF QUARKONIUM LEVELS

Since the discovery of the  $J/\psi$  more than 30 years ago, information on quarkonium levels has grown to the point that more is known about the  $c\bar{c}$  and  $b\bar{b}$  systems than about their namesake positronium, the bound state of an electron and a positron. The present status of charmonium ( $c\bar{c}$ ) levels is shown in Fig. 1, while that of bottomonium ( $b\bar{b}$ ) levels is shown in Fig. 2. The best-

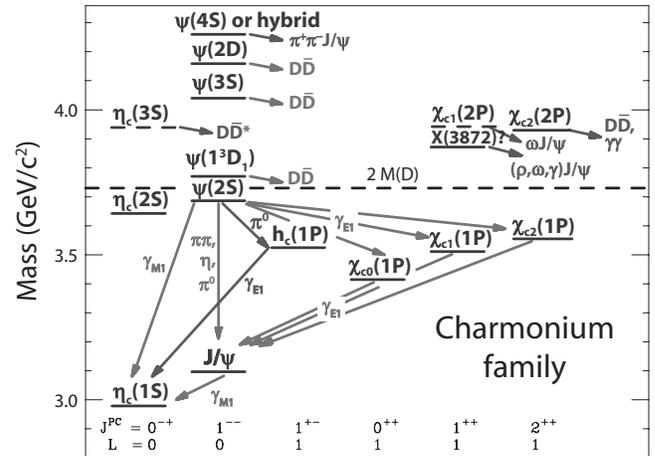


FIG. 1. Known charmonium states and candidates, with selected decay modes and transitions.

established states are summarized in Tables I and II.

The levels are labeled by  $S, P, D$ , corresponding to relative orbital angular momentum  $L=0,1,2$  between quark and antiquark. (No candidates for  $L \geq 3$  states have been seen yet.) The spin of the quark and antiquark can couple to either  $S=0$  (spin-singlet) or  $S=1$  (spin-triplet) states. The parity of a quark-antiquark state with orbital angular momentum  $L$  is  $P=(-1)^{L+1}$ ; the charge-conjugation eigenvalue is  $C=(-1)^{L+S}$ . Values of  $J^{PC}$  are shown at the bottom of each figure. States are often denoted by  $^{2S+1}[L]_J$ , with  $[L]=S,P,D,\dots$ . Thus  $L=0$  states can be  $^1S_0$  or  $^3S_1$ ;  $L=1$  states can be  $^1P_1$  or  $^3P_{0,1,2}$ ;  $L=2$  states can be  $^1D_2$  or  $^3D_{1,2,3}$ , and so on. The radial quantum number is denoted by  $n$ .

## III. THEORETICAL UNDERPINNINGS

### A. Quarks and potential models

An approximate picture of quarkonium states may be obtained by describing them as bound by an interquark force whose short-distance behavior is approximately

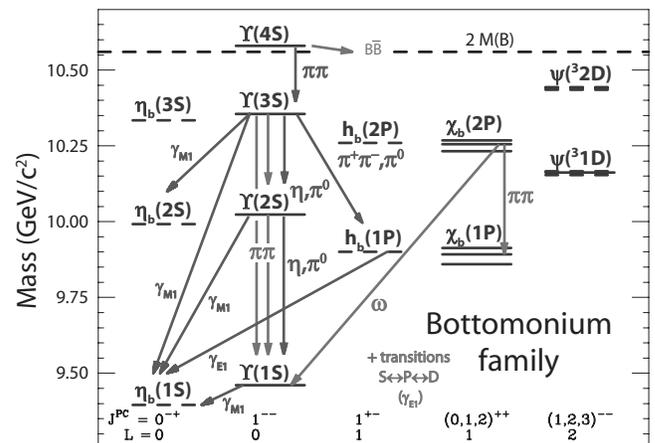


FIG. 2. Transitions among  $b\bar{b}$  levels. There are also numerous electric dipole transitions  $S \leftrightarrow P \leftrightarrow D$  (not shown).

TABLE I. Observed charmonium states. All numbers are quoted from Yao *et al.* (2006). More recent information is included in the text, where available.

Quantum numbers					Mass	Width
$n$	$L$	$J^{PC}$	$n^{2S+1}L_J$	Name	(MeV)	(MeV <sup>a</sup> )
1	0	0 <sup>++</sup>	1 <sup>1</sup> S <sub>0</sub>	$\eta_c(1S)$	2980.4±1.2	25.5±3.4
1	0	1 <sup>--</sup>	1 <sup>3</sup> S <sub>1</sub>	$J/\psi$	3096.916±0.011	93.4±2.1 keV
1	1	0 <sup>++</sup>	1 <sup>3</sup> P <sub>0</sub>	$\chi_{c0}(1P)$	3414.76±0.35	10.4±0.7
1	1	1 <sup>++</sup>	1 <sup>3</sup> P <sub>1</sub>	$\chi_{c1}(1P)$	3510.66±0.07	0.89±0.05
1	1	2 <sup>++</sup>	1 <sup>3</sup> P <sub>2</sub>	$\chi_{c2}(1P)$	3556.20±0.09	2.06±0.12
1	1	1 <sup>+-</sup>	1 <sup>1</sup> P <sub>1</sub>	$h_c(1P)$	3525.93±0.27	<1
1	2	1 <sup>--</sup>	1 <sup>3</sup> D <sub>1</sub>	$\psi(3770)$	3771.1±2.4	23.0±2.7
2	0	0 <sup>++</sup>	2 <sup>1</sup> S <sub>0</sub>	$\eta_c(2S)$	3638±4	14±7
2	0	1 <sup>--</sup>	2 <sup>3</sup> S <sub>1</sub>	$\psi(2S)$	3686.093±0.034	337±13 keV
2	1	2 <sup>++</sup>	2 <sup>3</sup> P <sub>2</sub>	$\chi_{c2}(2P)$	3929±5	29±10

<sup>a</sup>Unless noted otherwise.

Coulombic (with an appropriate logarithmic modification of coupling strength to account for asymptotic freedom) and whose long-distance behavior is linear to account for quark confinement. An example of this approach can be found in Eichten *et al.* (1975, 1976, 1978, 1980); early reviews may be found in Appelquist *et al.* (1978); Novikov *et al.* (1978); Quigg and Rosner (1979); Grosse and Martin (1980). Radford and Repko (2007) presented more recent results.

### 1. Validity of nonrelativistic description

In order to estimate whether a nonrelativistic (NR) quarkonium description makes sense, “cartoon” versions of  $c\bar{c}$  and  $b\bar{b}$  spectra may be constructed by noting that the level spacings are remarkably similar in the two cases. They would be exactly equal if the interquark po-

TABLE II. Observed bottomonium states. All numbers are quoted from Yao *et al.* (2006). More recent information is included in the text, where available.

Quantum numbers					Mass	Width
$n$	$L$	$J^{PC}$	$n^{2S+1}L_J$	Name	(MeV)	
1	0	1 <sup>--</sup>	1 <sup>3</sup> S <sub>1</sub>	$\Upsilon(1S)$	9460.30±0.26	54.02±1.25 keV
1	1	0 <sup>++</sup>	1 <sup>3</sup> P <sub>0</sub>	$\chi_{b0}(1P)$	9859.44±0.52	Unknown
1	1	1 <sup>++</sup>	1 <sup>3</sup> P <sub>1</sub>	$\chi_{b1}(1P)$	9892.78±0.40	Unknown
1	1	2 <sup>++</sup>	1 <sup>3</sup> P <sub>2</sub>	$\chi_{b2}(1P)$	9912.21±0.40	Unknown
1	2	2 <sup>--</sup>	1 <sup>3</sup> D <sub>J</sub> <sup>a</sup>	$\Upsilon(1D)$	10161.1±1.7	Unknown
2	0	1 <sup>--</sup>	2 <sup>3</sup> S <sub>1</sub>	$\Upsilon(2S)$	10023.26±0.31	31.98±2.63 keV
2	1	0 <sup>++</sup>	2 <sup>3</sup> P <sub>0</sub>	$\chi_{b0}(2P)$	10232.5±0.6	Unknown
2	1	1 <sup>++</sup>	2 <sup>3</sup> P <sub>1</sub>	$\chi_{b1}(2P)$	10255.46±0.55	Unknown
2	1	2 <sup>++</sup>	2 <sup>3</sup> P <sub>2</sub>	$\chi_{b2}(2P)$	10268.65±0.55	Unknown
3	0	1 <sup>--</sup>	3 <sup>3</sup> S <sub>1</sub>	$\Upsilon(3S)$	10355.2±0.5	20.32±1.85 keV
4	0	1 <sup>--</sup>	4 <sup>3</sup> S <sub>1</sub>	$\Upsilon(4S)$	10579.4±1.2	20.5±2.5 MeV

<sup>a</sup>Probably all or mostly  $J=2$ .

tential were of the form  $V(r)=C \ln(r/r_0)$  [see Quigg and Rosner (1977)], which may be regarded as a phenomenological interpolation between the short-distance  $\sim -1/r$  and long-distance  $\sim r$  behaviors expected from QCD. In such a potential the expectation value of the kinetic energy  $\langle T \rangle = (r/2) dV/dr$  is  $C/2 \approx 0.37$  GeV with  $C=0.733$  as found by Quigg and Rosner (1979). Since  $\langle T \rangle = 2(1/2)m_Q \langle v^2 \rangle$ , one has  $\langle v^2 \rangle \approx 0.24$  for a charmed quark of mass  $m_c \approx 1.5$  GeV/ $c^2$  (roughly half the  $J/\psi$  mass) and  $\langle v^2 \rangle \approx 0.08$  for a  $b$  quark of mass  $m_b \approx 4.9$  GeV/ $c^2$  [roughly half the  $\Upsilon(1S)$  mass]. Thus a nonrelativistic description for charmonium is quite crude, whereas it is substantially better for  $b\bar{b}$  states.

### 2. Role of leptonic partial widths: $|\Psi(0)|^2$

The partial widths for  $^3S_1$  states to decay to a lepton pair through a virtual photon are a probe of the squares  $|\Psi_n(0)|^2$  of the relative  $n^3S_1$  wave functions at the origin through the relation (Van Royen and Weisskopf, 1967)

$$\Gamma(n^3S_1 \rightarrow e^+e^-) = \frac{16\pi\alpha^2 e_Q^2 |\Psi_n(0)|^2}{M_n^2} \left( 1 - \frac{16\alpha_S}{3\pi} + \dots \right), \quad (1)$$

where  $e_Q=2/3$  or  $-1/3$  is the quark charge,  $M_n$  is the mass of the  $n^3S_1$  state, and the last term is a QCD correction (Kwong *et al.*, 1988). Thus leptonic partial widths probe the compactness of the quarkonium system, and provide important information complementary to level spacings. Indeed, for the phenomenologically adequate potential  $V(r)=C \ln(r/r_0)$ , a change in the quark mass  $m_Q$  can be compensated by a change in  $r_0$  without affecting quarkonium mass predictions ( $r_0$  can be viewed as setting the overall energy scale), whereas a larger quark mass will lead to a spatially more compact bound state and hence to an increased value of  $|\Psi(0)|^2$  for each state. A more general form is the power-law potential,  $V(r) \sim \text{sgn}(\nu)r^\nu$ , which approaches the logarithmic potential in the limit of  $\nu \rightarrow 0$ . One can show that in the power-law potential lengths scale as  $m_Q^{-1/(2+\nu)}$  and hence  $|\Psi(0)|^2$  scales as  $m_Q^{3/(2+\nu)}$ , or  $\sim m_Q^3, m_Q^{3/2}, m_Q$  for  $\nu=-1, 0, 1$  (Quigg and Rosner, 1979). [In charmonium and bottomonium the ground states have sizes of about 0.4–0.5 and 0.2 fm, respectively (Quigg and Rosner, 1981).] Thus the effective quark mass in a potential description is constrained by measured leptonic widths. One can expect that in descriptions such as lattice gauge theories, discussed in Sec. III.B, similar constraints will hold.

The scaling of leptonic widths from the charmonium to the bottomonium family can be roughly estimated using the above discussion, assuming an effective power  $\nu \approx 0$ . In that case the leptonic width for each  $n$  scales as  $\Gamma_{ee}(nS) \propto e_Q^2 |\Psi(0)|^2 / m_Q^2 \propto e_Q^2 / m_Q^{1/2}$ . As the QCD correction in Eq. (1) is appreciable [as are relativistic corrections, particularly for charmonium], this is only an approximate rule.

The important role of leptonic widths is particularly evident in constructions of the interquark potential

based on inverse-scattering methods (Thacker *et al.*, 1978a, 1978b; Schonfeld *et al.*, 1980; Quigg and Rosner, 1981; Kwong and Rosner, 1986). The reduced radial wave functions  $u_{nS}(r) = r \Psi_{nS}(r)$  on the interval  $0 \leq r < \infty$  for an  $S$ -wave Schrödinger equation with central potential  $V(r)$  may be regarded as the odd-parity levels (since they must vanish at  $r=0$ ) in a symmetric potential  $V(-r) = V(r)$  on the interval  $-\infty < r < \infty$ . The even-parity levels [with  $u(0) \neq 0$ ] do not correspond to bound states but, rather, equivalent information is provided by the leptonic widths of the  $nS$  levels, which gives the quantities  $|\Psi(0)| = |u'_{nS}(0)|$ . Thus if QCD and relativistic corrections can be brought under control, leptonic widths of the  $S$ -wave levels are every bit as crucial as their masses.

A recent prediction of the leptonic width ratio  $\Gamma_{ee}[Y(2S)]/\Gamma_{ee}[Y(1S)] = 0.43 \pm 0.05$  in lattice QCD (Gray *et al.*, 2005) raises the question of what constitutes useful measurement and prediction precisions, both for ratios and for absolute leptonic widths. [For comparison, the CLEO Collaboration has measured this ratio to be  $0.457 \pm 0.006$  (Rosner *et al.*, 2006).] Potential models have little trouble in predicting ratios  $\Gamma_{ee}(n'S)/\Gamma_{ee}(nS)$  to an accuracy of a few percent, and one would thus hope for lattice approaches eventually to be capable of similar accuracy. Much more uncertainty is encountered by potential models in predicting *absolute* leptonic widths as a result of QCD and relativistic corrections [see, for example, the inverse-scattering approach of Quigg and Rosner (1981)]. Measurements with better than a few percent accuracy, such as those by Rosner *et al.* (2006) and others to be discussed presently, thus outstrip present theoretical capabilities.

### 3. Spin-dependent interactions

Hyperfine and fine-structure splittings in quarkonium are sensitive to the Lorentz structure of the interquark interaction (Appelquist *et al.*, 1978; Novikov *et al.*, 1978; Kwong *et al.*, 1987; Brambilla *et al.*, 2004). One may regard the effective potential  $V(r)$  as the sum of Lorentz vector  $V_V$  and Lorentz scalar  $V_S$  contributions. The spin-spin interaction is due entirely to the Lorentz vector:

$$V_{SS}(r) = \frac{\sigma_Q \cdot \sigma_{\bar{Q}}}{6m_Q^2} \nabla^2 V_V(r), \quad (2)$$

where  $\sigma_Q$  and  $\sigma_{\bar{Q}}$  are Pauli matrices acting on the spins of the quark and antiquark, respectively. For a Coulomb-like potential  $\sim -1/r$  the Laplacian is proportional to  $\delta^3(r)$ , so that  $V_{SS}(r)$  contributes to hyperfine splittings only for  $S$  waves, whose wave functions are nonzero at the origin. In QCD the coupling constant undergoes slow (logarithmic) variation with distance, leading to small nonzero contributions to hyperfine splittings for  $L > 0$  states. Relativistic corrections also result in small nonzero contributions to these splittings.

Both spin-orbit and tensor forces affect states with  $L > 0$ . The spin-orbit potential is

$$V_{LS}(r) = \frac{L \cdot S}{2m_Q^2 r} \left( 3 \frac{dV_V}{dr} - \frac{dV_S}{dr} \right), \quad (3)$$

where  $L$  is the relative orbital angular momentum of  $Q$  and  $\bar{Q}$ , while  $S$  is the total quark spin. The tensor potential is (Messiah, 1999; Radford and Repko, 2007)

$$V_T(r) = \frac{S_T}{12m_Q^2} \left( \frac{1}{r} \frac{dV_V}{dr} - \frac{d^2 V_V}{dr^2} \right), \quad (4)$$

with  $S_T \equiv 2[3(S \cdot \hat{r})(S \cdot \hat{r}) - S^2]$  (where  $S = S_Q + S_{\bar{Q}}$  is the total spin operator and  $\hat{r}$  is a unit vector). It has nonzero expectation values only for  $L > 0$  (e.g.,  $-4, 2, -2/5$  for  ${}^3P_{0,1,2}$  states).

### B. QCD on the lattice

At momentum scales less than about  $2 \text{ GeV}/c$  (distance scales greater than about  $0.1 \text{ fm}$ ) the QCD coupling constant  $\alpha_S(Q^2)$  becomes large enough that perturbation theory cannot be used. The value  $\alpha_S(m_\tau^2) = 0.345 \pm 0.010$  (Kluth, 2006; Bethke, 2007; Davier *et al.*, 2007) is just about at the limit of usefulness of perturbation theory, and  $\alpha_S(Q^2)$  increases rapidly below this scale. One must resort to nonperturbative methods to describe long-distance hadronic interactions.

If space-time is discretized, one can overcome the dependence in QCD on perturbation theory. Quark confinement is established using this lattice gauge theory approach. For low-lying heavy quarkonium states, below the threshold for Zweig-allowed decay to open heavy flavor mesons, an accurate description of the spectrum can be obtained, once one takes account of the degrees of freedom associated with the production of pairs of light ( $u, d, s$ ) quarks (Davies *et al.*, 2004). For example, recent lattice calculations of the spin-splitting between  $J/\psi$  and  $\eta_c$  yield  $111 \pm 5 \text{ MeV}$  (Follana *et al.*, 2007), while the experimental value is  $117.1 \pm 1.2 \text{ MeV}$ .

Above threshold, the situation is more challenging: (i) Heavy quarkonium states have more typical-size hadronic widths, (ii) such states are usually not the ground state for a given set of quantum numbers, and (iii) these resonances are embedded in a multibody continuum. In the lattice approach, information is extracted from Euclidean correlation functions. This makes dealing with excited-state resonances in a multibody continuum particularly difficult (Bulava *et al.*, 2007).

Lattice QCD also provides a theoretical underpinning for the phenomenological potential model approach. The well-measured static energy between a heavy quark-antiquark pair justifies the form of the nonrelativistic potential (Bali, 2001). Recently high-accuracy lattice calculations of the spin-dependent potentials have also been made (Koma *et al.*, 2006; Koma and Koma, 2007). This approach allows the direct determination of the spin-orbit, spin-spin, and tensor potentials as well. At present, these spin-dependent potential calculations have not yet included the effects of light quark loops.

### C. Electromagnetic transitions

The theory of electromagnetic (EM) transitions between quarkonium states is straightforward, with terminology and techniques familiar from the study of EM transitions in atomic and nuclear systems. Although electromagnetic transition amplitudes can be computed from first principles in lattice QCD, these calculations are in their infancy. At the present time, only potential model approaches provide the detailed predictions that can be compared to experimental results. In this approach, the spatial dependence of EM transition amplitudes reduces to functions of quark position and momentum between the initial and final state wave functions. Expanding the matrix elements in powers of photon momentum generates the electric and magnetic multipole moments and is also an expansion in powers of velocity. The leading order transition amplitudes are electric dipole ( $E1$ ) and magnetic dipole ( $M1$ ). In what follows we take  $m_c=1.5 \text{ GeV}/c^2$  and  $m_b=4.9 \text{ GeV}/c^2$  (Kwong *et al.*, 1988), which are considered “constituent-quark” values, appropriate to the nonperturbative regime found in charmonium and bottomonium.

#### 1. Magnetic dipole transitions

Magnetic dipole transitions flip the quark spin, so their amplitudes are proportional to the quark magnetic moment and therefore inversely proportional to the constituent quark mass. At leading order the magnetic dipole ( $M1$ ) amplitudes between  $S$ -wave states are independent of the potential model: The orthogonality of states guarantees, in the limit of zero recoil, that the spatial overlap is one for states within the same multiplet and zero for transitions between multiplets which have different radial quantum numbers.

Including relativistic corrections due to spin dependence in the Hamiltonian spoils this simple scenario and induces a small overlap between states with different radial quantum numbers. Such  $n \neq n'$  transitions are referred to as “hindered.” Including finite size corrections the rates are given by (Eichten *et al.*, 1975, 1976, 1978, 1980)

$$\left\{ \begin{array}{l} \Gamma(n^3S_1 \rightarrow n'^1S_0 + \gamma) \\ \Gamma(n^1S_0 \rightarrow n'^3S_1 + \gamma) \end{array} \right\} = 4\alpha e_Q^2 k^3 (2J_f + 1) \times |\langle f | j_0(kr/2) | i \rangle|^2 / 3m_Q^2, \quad (5)$$

where  $e_Q=2/3$  or  $-1/3$  is the quark charge,  $k$  is the photon energy,  $j_0(x)=\sin x/x$ , and  $m_Q$  is the quark mass. The only  $M1$  transitions between quarkonia states so far observed occur in charmonium, but the corresponding transitions in  $b\bar{b}$  systems are the objects of current searches. For small  $k$ ,  $j_0(kr/2) \rightarrow 1$ , so that transitions with  $n'=n$  have favored matrix elements, though the corresponding partial decay widths are suppressed by smaller  $k^3$  factors.

Numerous authors have studied these  $M1$  transitions including full relativistic corrections (Zambetakis and Byers, 1983; Grotch *et al.*, 1984; Godfrey and Isgur, 1985;

Zhang *et al.*, 1991; Godfrey and Rosner, 2001b; Ebert *et al.*, 2003a; Lahde, 2003). They depend explicitly on the Lorentz structure of the nonrelativistic potential. Several sources of uncertainty make  $M1$  transitions particularly difficult to calculate. In addition to issues of relativistic corrections and what are known as “exchange currents,” there is the possibility of an anomalous magnetic moment of the quark ( $\kappa_Q$ ). Furthermore, the leading-order results depend explicitly on the constituent quark masses, and corrections depend on the Lorentz structure of the potential.

#### 2. Electric dipole transitions

The partial widths for electric dipole ( $E1$ ) transitions between states  $^{2S+1}3L_{iJ_i}$  and  $^{2S+1}L_{fJ_f}$  are given by (Eichten *et al.*, 1975, 1976, 1978, 1980)

$$\begin{aligned} \Gamma(n^{2S+1}L_{iJ_i} \rightarrow n'^{2S+1}L_{fJ_f} + \gamma) \\ = \frac{4\alpha e_Q^2 k^3}{3} (2J_f + 1) S_{if} |\langle f | r | i \rangle|^2. \end{aligned} \quad (6)$$

The statistical factor  $S_{if}$  is

$$S_{if} = S_{fi} = \max(L_i, L_f) \begin{Bmatrix} J_i & 1 & J_f \\ L_f & S & L_i \end{Bmatrix}. \quad (7)$$

For transitions between spin-triplet  $S$ -wave and  $P$ -wave states,  $S_{if}=\frac{1}{9}$ . Expressions for  $P \leftrightarrow D$  transitions, which have also been observed both in charmonium and in the  $b\bar{b}$  system, have been given by Kwong and Rosner (1988).

The leading corrections for electric dipole corrections have been considered by a number of authors (Feinberg and Sucher, 1975; Kang and Sucher, 1978; Sucher, 1978; Grotch and Sebastian, 1982; McClary and Byers, 1983; Moxhay and Rosner, 1983; Zambetakis and Byers, 1983; Grotch *et al.*, 1984; Godfrey and Isgur, 1985; Ebert *et al.*, 2003a; Lahde, 2003). A general form was derived by Grotch, Owen, and Sebastian (1984). There are three main types of corrections: relativistic modification of the nonrelativistic wave functions, relativistic modification of the electromagnetic transition operator, and finite-size corrections. In addition to these there are additional corrections arising from the quark anomalous magnetic moment. For the  $^3P_J \leftrightarrow ^3S_1$  transitions in which we are primarily interested, the dominant relativistic corrections arise from modifications of the wave functions and are included by the quarkonium analog of Siegert’s theorem (Siegert, 1937; McClary and Byers, 1983). We find that differences in theoretical assumptions of the various potential models make it difficult to draw sharp conclusions from the level of agreement of a particular model with experimental data. However, there is usually very little model variation in the NR predictions if the models are fit to the same states (Kwong and Rosner, 1988). The only exceptions are transitions where the dipole matrix element exhibits large dynamical cancellations, for instance when higher radial excitations are involved which have nodes in their wave functions.

### 3. Higher multipole contributions in charmonium

Magnetic quadrupole ( $M2$ ) amplitudes are higher order in  $v^2/c^2$ . They are of interest because they provide an indirect measure of the charmed quark's magnetic moment (Karl *et al.*, 1976, 1980) and are sensitive to  $D$ -wave admixtures in  $S$ -wave states, providing another means of studying the  $1^3D_1-2^3S_1$  mixing in the  $\psi(3770)-\psi(2S)$  states (Godfrey *et al.*, 1986; Sebastian *et al.*, 1992). They affect angular distributions in decays such as  $\psi(2S) \rightarrow \chi_{cJ} + \gamma$  and  $\chi_{cJ} \rightarrow J/\psi + \gamma$  and become experimentally accessible through interference with the dominant  $E1$  amplitudes.

The  $\chi_{cJ} \rightarrow \gamma J/\psi$  or  $\psi(2S) \rightarrow \gamma \chi_{cJ}$  decays may be described by the respective helicity amplitudes  $A_\lambda$  or  $A'_\lambda$ , in which  $\lambda$  labels the projection of the spin of the  $\chi_{cJ}$  parallel (for  $A_\lambda$ ) or antiparallel (for  $A'_\lambda$ ) to the photon, which is assumed to have helicity  $+1$ . The radiative widths are given in terms of these amplitudes by

$$\Gamma(\psi(2S) \rightarrow \gamma \chi_{cJ}) = \frac{E_\gamma^3}{3} \sum_{\lambda \geq 0} |A'_\lambda|^2, \quad (8)$$

$$\Gamma(\chi_{cJ} \rightarrow J/\psi) = \frac{E_\gamma^3}{2J+1} \sum_{\lambda \geq 0} |A_\lambda|^2. \quad (9)$$

In terms of a parameter  $\epsilon \equiv \xi E_\gamma / 4m_c$ , where  $\xi = -1$  for  $\psi(2S) \rightarrow \gamma \chi_{cJ}$  and  $\xi = +1$  for  $\chi_{cJ} \rightarrow \gamma J/\psi$ , the predicted helicity amplitudes  $A_\lambda$  or  $A'_\lambda$  are in the following relative proportions (Karl *et al.*, 1976, 1980):

$$\chi_{c2}: \quad A_2 = \sqrt{6}[1 + \epsilon(1 + \kappa_c)], \quad (10)$$

$$A_1 = \sqrt{3}[1 - \epsilon(1 + \kappa_c)], \quad (11)$$

$$A_0 = [1 - 3\epsilon(1 + \kappa_c)], \quad (12)$$

$$\chi_{c1}: \quad A_1 = \sqrt{3}[1 + \epsilon(1 + \kappa_c)], \quad (13)$$

$$A_0 = \sqrt{3}[1 - \epsilon(1 + \kappa_c)], \quad (14)$$

$$\chi_{c0}: \quad A_0 = \sqrt{2}[1 - 2\epsilon(1 + \kappa_c)]. \quad (15)$$

Here an overall  $E1$  amplitude has been factored out, and  $\kappa_c$  is the charmed quark's anomalous magnetic moment.

### D. Perturbative QCD and decays involving gluons

Many quarkonium decays proceed through annihilation of  $Q\bar{Q}$  into final states consisting of gluons and possibly photons and light-quark pairs. Expressions for partial widths of color-singlet  $Q\bar{Q}$  systems have been given by Kwong *et al.* (1988), and have been updated by Petrelli *et al.* (1998). In the update, annihilation rates are also given for the color-octet component of the  $Q\bar{Q}$  system, which appears necessary for successful description of  $Q\bar{Q}$  production in hadronic interactions. We confine

our discussion to the effects of the color-singlet  $Q\bar{Q}$  component in decays. Discrepancies between theory and experiment can be ascribed in part to neglected relativistic effects (particularly in charmonium) and in part to the neglected color-octet component.

### E. Hadronic transitions [ $Q\bar{Q} \rightarrow (Q\bar{Q})' + (\text{light hadrons})$ ]

A number of transitions from one  $Q\bar{Q}$  state to another occur with the emission of light hadrons. So far the observed transitions in charmonium include  $\psi(2S) \rightarrow J/\psi \pi^+ \pi^-$ ,  $\psi(2S) \rightarrow J/\psi \pi^0 \pi^0$ ,  $\psi(2S) \rightarrow J/\psi \eta$ ,  $\psi(2S) \rightarrow J/\psi \pi^0$ , and  $\psi(2S) \rightarrow h_c \pi^0$ . In addition, above charm threshold several new states have been found that decay to a charmonium state along with light hadrons.

The observed transitions in the  $b\bar{b}$  system include  $Y(2S) \rightarrow Y(1S) \pi \pi$ ,  $Y(3S) \rightarrow Y(1S, 2S) \pi \pi$ ,  $\chi(2P)_{b1,2} \rightarrow Y(1S) \omega$ , and  $\chi(2P)_{bJ} \rightarrow \chi_{bJ} \pi \pi$ . Many of these transitions have been observed only in the past few years (see later sections for experimental data).

The theoretical description of hadronic transitions uses a multipole expansion for gluon emission developed by Gottfried (1978), Bhanot and Peskin (1979), Bhanot *et al.* (1979), Peskin (1979), Voloshin (1979), and Yan (1980). Formally, it resembles the usual multipole expansion for photonic transitions discussed in Sec. III.C. The interaction for color electric and magnetic emission from a heavy quark is given by

$$H_I = \int d^3x Q^\dagger(x) \mathbf{t}^a [\mathbf{x} \cdot \mathbf{E}_a(\mathbf{x}) + \sigma \cdot \mathbf{B}_a(\mathbf{x})] \mathbf{Q}(\mathbf{x}) + \dots, \quad (16)$$

where  $\mathbf{t}^a$  ( $a=1, \dots, 8$ ) is a generator of color SU(3) and the  $(\bar{Q})Q$  and  $\mathbf{E}$ ,  $\mathbf{B}$  are dressed (anti)quarks and color electric and magnetic fields (Yan, 1980). As usual, the multipole expansion arises from expanding the color-electric and color-magnetic fields about their values at the center of mass of the initial quarkonium state. However, unlike EM transitions, a single interaction of  $H_I$  changes a color singlet  $Q\bar{Q}$  initial state ( $i$ ) into some color octet  $Q\bar{Q}$  state. Therefore a second interaction  $H_I$  is required to return to a color singlet  $Q\bar{Q}$  final state ( $f$ ). In the overall process at least two gluons are emitted. Assuming factorization for the quarkonium systems (Kuang and Yan, 1981), the full transition amplitude can be expressed as a product of two subamplitudes: One that acts on the quarkonium system to produce the multipole transition and a second that creates the final light hadrons ( $H$ ) from the action of the gluonic operators on the vacuum state.

In nonrelativistic QCD (NRQCD) (Caswell and Lepage, 1986; Bodwin *et al.*, 1995; Luke and Manohar, 1997), the strength of the various interactions can be ordered in powers of the heavy quark velocity  $v$ . The leading behavior comes from two color-electric ( $E1$ ) gluon emis-

sions. This amplitude can be written in the following factorized form (Kuang and Yan, 1981):

$$\sum_{\mathcal{O}} \frac{\langle i | \mathbf{r}^j \mathbf{t}^a | \mathcal{O} \rangle \langle \mathcal{O} | \mathbf{r}^k \mathbf{t}^b | f \rangle}{E_i - E_{\mathcal{O}}} \langle 0 | \mathbf{E}_a^j \mathbf{E}_b^k | H \rangle. \quad (17)$$

The sum runs over allowed  $Q\bar{Q}$  octet intermediate states  $\mathcal{O}$ . Phenomenological models [e.g., the Buchmüller-Tye vibrating string model (Buchmüller and Tye, 1980)] are used to estimate this quarkonium overlap amplitude. The quantum numbers of the initial and final quarkonium states determine which terms in the multipole expansion may contribute. For the light hadron amplitude the states allowed are determined by the overall symmetries. In transitions between various  $^3S_1$  quarkonium states the leading term in the multipole expansion has two color-electric ( $E1$ ) interactions. The lowest-mass light hadron state allowed is a two-pion state with either an  $S$ - or  $D$ -wave relative angular momentum. The form of the light hadron amplitude is determined by chiral symmetry considerations (Brown and Cahn, 1975):

$$\langle 0 | \mathbf{E}_a^j \mathbf{E}_b^k | \pi(k_1) \pi(k_2) \rangle = \delta_{ab} [c_1 \delta^{jk} k_1 \cdot k_2 + c_2 (k_1^j k_2^k + k_2^j k_1^k - \frac{2}{3} \delta^{jk} k_1 \cdot k_2)]. \quad (18)$$

The two unknowns ( $c_1, c_2$ ) are the coefficients of the  $S$ -wave and  $D$ -wave two-pion systems. Their values are determined from experiment. Additional terms can arise in higher orders in  $v$  (Voloshin, 2006).

Hadronic transitions which can flip the heavy quark spins first occur in amplitudes with one color-electric ( $E1$ ) and one color-magnetic ( $M1$ ) interaction. These transitions are suppressed by an additional power of  $v$  relative to the purely electric transitions. Transitions involving two color-magnetic interactions ( $M1$ ) are suppressed by an additional power of  $v$ . Many detailed predictions for hadronic transition rates can be found in Kuang and Yan (1981, 1990), Voloshin (1986, 2003, 2006), Kuang *et al.* (1988), and Kuang (2002, 2006).

#### IV. CHARMONIUM

In what follows we quote masses and partial widths from Yao *et al.* (2006) unless otherwise noted. The masses are used to calculate photon transition energies. We use an electromagnetic coupling constant  $\alpha=1/137$  in all cases. For gluon emission in  $Q\bar{Q}$  annihilation we use a momentum-dependent strong coupling constant  $\alpha_S(Q^2)$  evaluated at  $Q^2=m_Q^2$ . The QCD corrections to the decay widths we quote are performed for this scale choice (Kwong *et al.*, 1988). Typical values are  $\alpha_S(m_c^2) \approx 0.3$ ,  $\alpha_S(m_b^2) \approx 0.2$  (Kwong *et al.*, 1988). A different scale choice would lead to different  $\mathcal{O}(\alpha_S)$  corrections (Brodsky *et al.*, 1983).

##### A. The $J/\psi$

The  $J/\psi$  was the first charmonium state discovered in 1974 (Aubert *et al.*, 1974; Augustin *et al.*, 1974). It is the

lowest  $^3S_1$   $c\bar{c}$  state and thus can couple directly to virtual photons produced in  $e^+e^-$  collisions. The most precise mass determination to date comes from the KEDR Collaboration (Aulchenko *et al.*, 2003),  $m(J/\psi) = 3096.917 \pm 0.010 \pm 0.007$  MeV, a relative uncertainty of  $4 \times 10^{-6}$ .

The  $J/\psi$  intrinsic width originally was determined indirectly. The history of these measurements shows values below 70 MeV (Bai *et al.*, 1995). Direct determination by measuring the excitation curve in  $p\bar{p} \rightarrow e^+e^-$  (Armstrong *et al.*, 1993) was the first to result in a substantially higher value, albeit still with considerable statistical uncertainty:  $\Gamma(J/\psi) = 99 \pm 12 \pm 6$  keV. Recent indirect measurements, resulting in uncertainties of 3–4 keV, were carried out (Aubert *et al.*, 2004a; Adams *et al.*, 2006b) using the radiative return process  $e^+e^- \rightarrow \gamma e^+e^- \rightarrow \gamma J/\psi \rightarrow \gamma(\mu^+\mu^-)$ . The experimental observable is the radiative cross section, a convolution of the photon emission probability and the  $J/\psi$  Breit-Wigner resonance shape. The cross section is calculable and proportional to the coupling of the  $J/\psi$  to the annihilating  $e^+e^-$  pair and the  $J/\psi$  decay branching fraction,  $\Gamma_{ee} \mathcal{B}(J/\psi \rightarrow \mu^+\mu^-)$ . Interference with the QED process  $e^+e^- \rightarrow \gamma \mu^+\mu^-$  introduces an asymmetry around the  $J/\psi$  peak in  $m(\mu^+\mu^-)$  and must be taken into account.  $\mathcal{B}(J/\psi \rightarrow \mu^+\mu^-)$  is known well, hence the product gives access to  $\Gamma_{ee}$  and, together with  $\mathcal{B}(J/\psi \rightarrow \mu^+\mu^-)$ , to  $\Gamma_{\text{tot}}$ . The current world average is  $\Gamma(J/\psi) = 93.4 \pm 2.1$  keV (Yao *et al.*, 2006).

The largest data sample now consists of  $58 \times 10^6 J/\psi$  collected by the BES-II Collaboration. Decays from the  $\psi(2S)$  state, in particular  $\psi(2S) \rightarrow \pi^+\pi^- J/\psi \rightarrow \pi^+\pi^- +$  hadrons, offer a clean avenue to study  $J/\psi$  final states, yielding one  $\pi^+\pi^- J/\psi$  event per three  $\psi(2S)$  produced. Experimentally, this can be handled by requiring a  $\pi^+\pi^-$  pair recoiling against a system of  $m(J/\psi)$ , without further identification of the  $J/\psi$  decay products. This path also eliminates contamination of the sample by continuum production of the final state under study,  $e^+e^- \rightarrow \gamma^* \rightarrow$  hadrons. Other  $J/\psi$  production mechanisms include  $p\bar{p}$  collisions and radiative return from  $e^+e^-$  collisions with center-of-mass energy greater than  $m(J/\psi)$ . Many decays of  $J/\psi$  to specific states of light hadrons provide valuable information on light-hadron spectroscopy. Here we are concerned primarily with its decay to the  $\eta_c(1^1S_0)$ , the lightest charmonium state of all; its annihilation into lepton pairs; and its annihilation into three gluons, two gluons and a photon, and three photons.

##### 1. $J/\psi \rightarrow \gamma \eta_c$

The rate predicted for the process  $J/\psi \rightarrow \gamma \eta_c$  on the basis of Eq. (5) is  $\Gamma(J/\psi \rightarrow \gamma \eta_c) = 2.85$  keV. Here we have taken the photon energy to be 114.3 MeV based on  $m(J/\psi) = 3096.916$  MeV and  $m(\eta_c) = 2980.4$  MeV, and have assumed that the matrix element of  $j_0(kr/2)$  between initial and final states is 1. With  $\Gamma_{\text{tot}}(J/\psi) = 93.4 \pm 2.1$  keV, this implies a branching ratio  $\mathcal{B}(J/\psi$

$\rightarrow \gamma\eta_c) = (3.05 \pm 0.07)\%$ . The branching ratio observed by [Gaiser \*et al.\* \(1986\)](#) is considerably less,  $\mathcal{B}_{\text{exp}}(J/\psi \rightarrow \gamma\eta_c) = (1.27 \pm 0.36)\%$ , calling for reexamination of both theory and experiment.

One might be tempted to ascribe the discrepancy to relativistic corrections or the lack of wave-function overlap generated by a relatively strong hyperfine splitting. A calculation based on lattice QCD does not yet provide a definitive answer ([Dudek \*et al.\*, 2006](#)), though it tends to favor a larger decay rate. Theoretical progress may also be made using a NRQCD approach ([Brambilla \*et al.\*, 2006](#)). Part of the ambiguity is associated with the effective value of the charmed quark mass, which we take to be  $1.5 \text{ GeV}/c^2$ .

## 2. New measurements of leptonic branching ratios

New leptonic  $J/\psi$  branching ratios were measured by the CLEO Collaboration ([Li \*et al.\*, 2005](#)) by comparing the transitions  $\psi(2S) \rightarrow \pi^+\pi^-J/\psi(1S) \rightarrow \pi^+\pi^-X$  with  $\psi(2S) \rightarrow \pi^+\pi^-J/\psi(1S) \rightarrow \pi^+\pi^-\ell^+\ell^-$ . The results,  $\mathcal{B}(J/\psi \rightarrow e^+e^-) = (5.945 \pm 0.067 \pm 0.042)\%$ ,  $\mathcal{B}(J/\psi \rightarrow \mu^+\mu^-) = (5.960 \pm 0.065 \pm 0.050)\%$ , and  $\mathcal{B}(J/\psi \rightarrow \ell^+\ell^-) = (5.953 \pm 0.056 \pm 0.042)\%$ , are all consistent with, but more precise than, previous measurements.

## 3. Hadronic, $gg\gamma$ , and $\gamma\gamma\gamma$ decays: Extraction of $\alpha_s$

The partial decay rate of  $J/\psi$  to hadrons through the three-gluon final state in principle provides information on  $\alpha_s(m_c^2)$  through the ratio

$$\frac{\Gamma(J/\psi \rightarrow ggg)}{\Gamma(J/\psi \rightarrow \ell^+\ell^-)} = \frac{5}{18} \left[ \frac{m(J/\psi)}{2m_c} \right]^2 \frac{(\pi^2 - 9)[\alpha_s(m_c^2)]^3}{\pi\alpha^2} \times \left[ 1 + 1.6 \frac{\alpha_s(m_c^2)}{\pi} \right]. \quad (19)$$

Both processes are governed by  $|\Psi(0)|^2$ , the squared magnitude of the  $S$ -wave charmonium wave function at the origin. [Kwong \*et al.\* \(1988\)](#) extracted a value of  $\alpha_s(m_c^2) = 0.175 \pm 0.008$  from the ratio (19), which at the time was measured to be  $9.0 \pm 1.3$ . This is far below what one expects from the running of  $\alpha_s$  down to low momentum scales [ $\alpha_s(m_c^2) \approx 0.3$  ([Kwong \*et al.\*, 1988](#); [Kluth, 2006](#); [Bethke, 2007](#); [Davier \*et al.\*, 2007](#))], highlighting the importance of relativistic corrections to Eq. (19). We update the value of the ratio as extracted from data, but the qualitative conclusion will remain the same.

The branching ratio  $\mathcal{B}(J/\psi \rightarrow ggg)$  is inferred by counting all other decays, to  $\gamma\eta_c$ ,  $\ell^+\ell^-$ ,  $\gamma^* \rightarrow \text{hadrons}$ , and  $\gamma gg$ . As mentioned earlier, we have  $\mathcal{B}(J/\psi \rightarrow \gamma\eta_c) = (1.27 \pm 0.36)\%$  ([Gaiser \*et al.\*, 1986](#)) and  $\mathcal{B}(J/\psi \rightarrow \ell^+\ell^-) = (5.953 \pm 0.056 \pm 0.042)\%$  ([Li \*et al.\*, 2005](#)) for  $\ell = e, \mu$ . We use the value  $R_{e^+e^-} = 2.28 \pm 0.04$  at  $E_{\text{cm}}/c^2 = m(J/\psi)$  ([Seth, 2004](#)) and the leptonic branching ratio to estimate

$$\begin{aligned} \mathcal{B}(J/\psi \rightarrow \gamma^* \rightarrow \text{hadrons}) &= R_{e^+e^-} \mathcal{B}(J/\psi \rightarrow \ell^+\ell^-) \\ &= (13.6 \pm 0.3)\% . \end{aligned} \quad (20)$$

Thus the branching ratio of  $J/\psi$  to states other than  $ggg + gg\gamma$  is

$$\begin{aligned} &[(1.27 \pm 0.36) + (2 + 2.28 \pm 0.04)(5.953 \pm 0.070)] \\ &= (26.75 \pm 0.53)\% . \end{aligned}$$

Finally, we use  $\Gamma(J/\psi \rightarrow \gamma gg)/\Gamma(J/\psi \rightarrow ggg) = (10 \pm 4)\%$  ([Lepage, 1983](#)) to infer  $\Gamma(J/\psi \rightarrow ggg) = (66.6 \pm 2.5)\% \Gamma_{\text{tot}}(J/\psi)$ . Then

$$\frac{\Gamma(J/\psi \rightarrow ggg)}{\Gamma(J/\psi \rightarrow \ell^+\ell^-)} = \frac{66.6 \pm 2.5}{5.953 \pm 0.070} = 11.2 \pm 0.4 \quad (21)$$

implying  $\alpha_s(m_c^2) = 0.188_{-0.003}^{+0.002}$ . Although somewhat higher than the earlier estimate, this is still far below what we estimate from other decays, and indicates that the small hadronic width of the  $J/\psi$  remains a problem within a nonrelativistic approach. As mentioned earlier, this could have been anticipated. In particular, the contribution of color-octet  $Q\bar{Q}$  components is expected to be large ([Petrelli \*et al.\*, 1998](#); [Maltoni, 2000](#)). In any event, the hadronic width of the  $J/\psi$  provides a useful testing ground for any approach which seeks to treat relativistic effects in charmonium quantitatively. The ratio

$$\begin{aligned} \frac{\Gamma(J/\psi \rightarrow \gamma gg)}{\Gamma(J/\psi \rightarrow ggg)} &= \frac{16}{5} \frac{\alpha}{\alpha_s(m_c^2)} \left[ 1 - 2.9 \frac{\alpha_s(m_c^2)}{\pi} \right] \\ &= (10 \pm 4)\% \end{aligned} \quad (22)$$

itself provides information on  $\alpha_s(m_c^2)$  within a much larger range, yielding  $\alpha_s(m_c^2) = 0.19_{-0.05}^{+0.10}$  as found by [Kwong \*et al.\* \(1988\)](#).

The decay  $J/\psi \rightarrow \gamma\gamma\gamma$  is also governed by  $|\Psi(0)|^2$ . The ratio of its rate to that for  $J/\psi \rightarrow ggg$  is ([Kwong \*et al.\*, 1988](#))

$$\begin{aligned} \frac{\Gamma(J/\psi \rightarrow \gamma\gamma\gamma)}{\Gamma(J/\psi \rightarrow ggg)} &= \frac{54}{5} e_Q^6 \left( \frac{\alpha}{\alpha_s(m_c^2)} \right)^3 \frac{1 - 12.7\alpha_s/\pi}{1 - 3.7\alpha_s/\pi} \\ &= \frac{128}{135} \left( \frac{\alpha}{\alpha_s(m_c^2)} \right)^3 \frac{1 - 12.7\alpha_s/\pi}{1 - 3.7\alpha_s/\pi} . \end{aligned} \quad (23)$$

The last ratio is a QCD correction;  $e_Q = 2/3$  for the charmed quark's charge. [For the  $Y(1S)$  ratio, take  $e_Q = -1/3$  and replace 3.7 by 4.9 in the denominator of the QCD correction term.] With  $\alpha_s(m_c^2) = 0.3$ , the uncorrected ratio is  $1.4 \times 10^{-5}$ . The large negative QCD correction indicates that this is only a rough estimate but probably an upper bound.

## B. The $\eta_c$

Some progress has been made in pinning down properties of the  $\eta_c(1S)$ , but better measurements of its mass, total width, and two-photon partial width would still be welcome.

The mass has been determined through fits to the invariant mass spectrum of  $\eta_c(1S)$  decay products in reactions such as  $\gamma\gamma \rightarrow \eta_c(1S)$  (Asner *et al.*, 2004; Aubert *et al.*, 2004b),  $B \rightarrow \eta_c(1S)K$  (Fang *et al.*, 2003), and  $J/\psi, \psi(2S) \rightarrow \gamma\eta_c(1S)$  (Bai *et al.*, 2000, 2003) using all-charged or dominantly charged final states, and in  $p\bar{p} \rightarrow \eta_c(1S) \rightarrow \gamma\gamma$  (Ambrogiani *et al.*, 2003). All these recent measurements have uncertainties in the few-MeV range, but do not agree with each other particularly well. The averaged value is  $m[\eta_c(1S)] = 2980.4 \pm 1.2$  MeV (Yao *et al.*, 2006), which includes an error inflation of  $S=1.5$  to account for the spread of results. The observed splitting of  $116.5 \pm 1.2$  MeV between  $J/\psi$  and  $\eta_c(1S)$  is consistent with an unquenched lattice QCD prediction of  $\approx 110$  MeV (Davies *et al.*, 2006).

The square of the wave function at the origin cancels out in the ratio of partial widths (Kwong *et al.*, 1988),

$$\frac{\Gamma(\eta_c \rightarrow \gamma\gamma)}{\Gamma(J/\psi \rightarrow \mu^+\mu^-)} = \frac{4}{3} \left[ 1 + 1.96 \frac{\alpha_S(m_c^2)}{\pi} \right]. \quad (24)$$

Using the “evaluated” partial widths in Yao *et al.* (2006),  $\Gamma(\eta_c \rightarrow \gamma\gamma) = 7.2 \pm 0.7 \pm 2.0$  keV and  $\Gamma(J/\psi \rightarrow \mu^+\mu^-) = 5.55 \pm 0.14 \pm 0.02$  keV, one finds that  $(3/4)\Gamma(\eta_c \rightarrow \gamma\gamma)/\Gamma(J/\psi \rightarrow \mu^+\mu^-) = 0.97 \pm 0.29$ , which is consistent with Eq. (24) but still not precisely enough determined to test the QCD correction. A more precise test would have taken into account  $m(J/\psi) \neq 2m_c$  and the running of  $\alpha_S$ .

The total width of  $\eta_c$  is dominated by the  $gg$  final state. Its value has not remained particularly stable over the years, with Yao *et al.* (2006) quoting  $\Gamma_{\text{tot}}(\eta_c) = 25.5 \pm 3.4$  MeV. This value is  $(3.54 \pm 1.14) \times 10^3$  that of  $\Gamma(\eta_c \rightarrow \gamma\gamma)$ . The  $gg/\gamma\gamma$  ratio is predicted (Kwong *et al.*, 1988) to be

$$\frac{\Gamma(\eta_c \rightarrow gg)}{\Gamma(\eta_c \rightarrow \gamma\gamma)} = \frac{9[\alpha_S(m_c^2)]^2}{8\alpha^2} \left[ 1 + 8.2 \frac{\alpha_S(m_c^2)}{\pi} \right], \quad (25)$$

leading to  $\alpha_S(m_c^2) = 0.30^{+0.03}_{-0.05}$ . This value should be regarded with caution in view of the large QCD correction factor  $1 + 8.2\alpha_S/\pi \sim 1.8$ .

New measurements have been reported of the product of the two-photon widths and branching ratios to selected four-meson final states for the  $\eta_c$  (Uehara *et al.*, 2008). Combining with branching ratios from the Particle Data Group (PDG), one obtains  $\Gamma(\eta_c \rightarrow \gamma\gamma) = 2.46 \pm 0.60$  keV (Metreveli, 2007), a value considerably lower than that just quoted, and disagreeing with the prediction in Eq. (24).

### C. P-wave $\chi_{cJ}$ states

The  $1P$  states of charmonium,  $\chi_{cJ}$ , were first seen in radiative decays from the  $\psi(2S)$ . The  $\chi_{cJ}$  states lie 128/171/261 MeV ( $J=2/1/0$ ) below the  $\psi(2S)$ . Their masses can most accurately be determined in  $p\bar{p}$  collisions (Armstrong *et al.*, 1992; Andreotti *et al.*, 2003, 2005b) with  $\chi_{cJ} \rightarrow \gamma J/\psi \rightarrow \gamma(e^+e^-)$  or  $\chi_{c0} \rightarrow \pi^0\pi^0$  by measuring the excitation curve, where the well-known and

TABLE III. Properties of  $\psi(2S) \rightarrow \gamma\chi_{cJ}$  decays, using results from Yao *et al.* (2006) and branching fractions  $\mathcal{B}$  from Athar *et al.* (2004), as well as Eq. (6).

$J$	$k_\gamma$ (MeV)	$\mathcal{B}$ (%)	$\Gamma[\psi(2S) \rightarrow \gamma\chi_{cJ}]$ (keV)	$ \langle 1P r 2S \rangle $ (GeV <sup>-1</sup> )
2	127.60 ± 0.09	9.33 ± 0.14 ± 0.61	31.4 ± 2.4	2.51 ± 0.10
1	171.26 ± 0.07	9.07 ± 0.11 ± 0.54	30.6 ± 2.2	2.05 ± 0.08
0	261.35 ± 0.33	9.22 ± 0.11 ± 0.46	31.1 ± 2.0	1.90 ± 0.06

small beam energy spread results in low systematic uncertainty [ $\mathcal{O}(100)$  keV] (Andreotti *et al.*, 2003). In principle, a precise measurement of the photon energy in  $\psi(2S) \rightarrow \gamma\chi_{cJ}$  allows a mass measurement as well, given that the  $\psi(2S)$  mass is well known. The BES Collaboration used the decay  $\psi(2S) \rightarrow \gamma\chi_{cJ}$  followed by photon conversions  $\gamma \rightarrow e^+e^-$  to improve upon the photon energy resolution (Ablikim *et al.*, 2005c).

The  $J=0$  state is wide, about 10 MeV, while the  $J=1$  and 2 states are narrower [ $0.89 \pm 0.05$  and  $2.06 \pm 0.12$  MeV, respectively (Yao *et al.*, 2006)], which is below detector resolution for most exclusive  $\chi_{cJ}$  decays. The most accurate width determinations to date come from  $p\bar{p}$  experiments, again from fits to the excitation curve (Andreotti *et al.*, 2003, 2005b).

### 1. Production and decay via E1 transitions

$E1$  transitions have played an important role in quarkonium physics with the initial theoretical work describing charmonium suggesting that the triplet  $1P$  states could be observed through the  $E1$  transitions from the  $\psi(2S)$  resonance (Appelquist *et al.*, 1975; Eichten *et al.*, 1975, 1976, 1978, 1980). It is a great success of this picture that the initial calculations by the Cornell group (Eichten *et al.*, 1975, 1976, 1978, 1980) agree within 25% of the present experimental values.

New studies have been performed by the CLEO Collaboration of the rates for  $\psi(2S) \rightarrow \gamma\chi_{c0,1,2}$  (Athar *et al.*, 2004) and  $\psi(2S) \rightarrow \gamma\chi_{c0,1,2} \rightarrow \gamma\gamma J/\psi$  (Adam *et al.*, 2005a). We use these data to extract the magnitudes of electric dipole matrix elements and compare them with various predictions.

The inclusive branching ratios and inferred rates for  $\psi(2S) \rightarrow \gamma\chi_{cJ}$  are summarized in Table III. Photon energies are based on masses quoted by Yao *et al.* (2006). Branching ratios are from Athar *et al.* (2004). Partial widths are obtained from these using  $\Gamma_{\text{tot}}[\psi(2S)] = 337 \pm 13$  keV (Yao *et al.*, 2006). The  $E1$  matrix elements  $|\langle 1P|r|2S \rangle|$  extracted using the nonrelativistic expression in Eq. (6) are shown in the last column.

In the nonrelativistic limit the dipole matrix elements in  ${}^3S_1 \rightarrow {}^3P_J$  transitions  $|\langle r \rangle_{\text{NR}}|$  for different  $J$  values are independent of  $J$ . Predictions of specific nonrelativistic potential models sit in a small range from 2.4 to 2.7 GeV<sup>-1</sup> (see Fig. 3), with a slightly larger range obtained using potentials constructed from charmonium

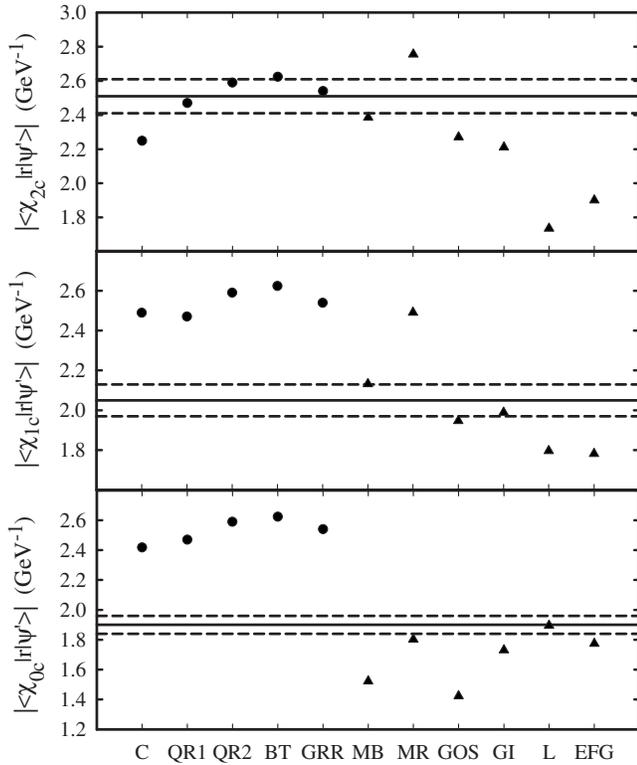


FIG. 3.  $E1$  dipole transition matrix elements for the charmonium decays  $2^3S_1 \rightarrow 1^3P_J$ . The horizontal bands indicate the experimental results. The circles designate nonrelativistic predictions and triangles relativistic predictions. Within these subsets the results are given in chronological order of the publication date. The labels refer to C, Cornell model (Eichten *et al.*, 1975, 1976, 1978, 1980); QR, Quigg-Rosner,  $c\bar{c}$   $\rho=2$  and  $b\bar{b}$  potentials (Quigg and Rosner, 1981); BT, Buchmüller-Tye (Buchmüller and Tye, 1981); GRR, Gupta-Radford-Repko (Gupta *et al.*, 1986); MB, McClary-Byers (McClary and Byers, 1983); MR, Moxhay-Rosner (Moxhay and Rosner, 1983); GOS, Grotch-Owen-Sebastian (Grotch *et al.*, 1984); GI, Godfrey-Isgur, calculated using the wave functions of Godfrey and Isgur (1985); L, Lahde, DYN column (Lahde, 2003); EFG, Ebert-Faustov-Galkin (Ebert *et al.*, 2003a).

and  $b\bar{b}$  data using inverse-scattering methods (Quigg and Rosner, 1981). However, the magnitudes of the matrix elements are observed with the ordering  $|\langle\chi_{c2}|r|\psi(2S)\rangle| > |\langle\chi_{c1}|r|\psi(2S)\rangle| > |\langle\chi_{c0}|r|\psi(2S)\rangle|$ . This is in accord with predictions that take into account relativistic corrections (McClary and Byers, 1983; Moxhay and Rosner, 1983; Grotch *et al.*, 1984; Godfrey and Isgur, 1985; Ebert *et al.*, 2003a). Figure 3 shows that at least some models are in good agreement with the observed rates so that we can conclude that relativistic corrections can explain the observed rates. However, it is probably premature to say that the transitions are totally understood given the large scatter of the predictions around the observed values.

Information on the electromagnetic cascades  $\psi(2S) \rightarrow \gamma\chi_{cJ} \rightarrow \gamma\gamma J/\psi$  is summarized in Table IV. The products  $\mathcal{B}_1\mathcal{B}_2 \equiv \mathcal{B}[\psi(2S) \rightarrow \gamma\chi_{cJ}]\mathcal{B}[\chi_{cJ} \rightarrow \gamma J/\psi]$  are taken from Adam *et al.* (2005a). These and prior measurements may

TABLE IV. Properties of the exclusive transitions  $\psi(2S) \rightarrow \gamma\chi_{cJ} \rightarrow \gamma\gamma J/\psi$ .

$J$	$\mathcal{B}_1\mathcal{B}_2$ (%) <sup>a</sup>	$\mathcal{B}_2$ (%) <sup>b</sup>	$\Gamma_{\text{tot}}$ (MeV) <sup>b</sup>
2	$1.85 \pm 0.04 \pm 0.07$	$20.1 \pm 1.0$	$2.06 \pm 0.12$
1	$3.44 \pm 0.06 \pm 0.13$	$35.6 \pm 1.9$	$0.89 \pm 0.05$
0	$0.18 \pm 0.01 \pm 0.02$	$1.30 \pm 0.11$	$10.4 \pm 0.7$

<sup>a</sup>From Adam *et al.*, 2005a.

<sup>b</sup>From Yao *et al.*, 2006.

be combined with values of  $\mathcal{B}_1$  from Athar *et al.* (2004) and previous references to obtain the values of  $\mathcal{B}_2$  in the table (Yao *et al.*, 2006). Other data come from the high-statistics studies of Fermilab Experiment E835 (Andreotti *et al.*, 2005b), which also measured total  $\chi_{cJ}$  widths and presented partial widths for  $\chi_{cJ} \rightarrow \gamma J/\psi$ .

The partial widths for  $\chi_{cJ} \rightarrow \gamma J/\psi$  extracted from PDG averages for  $\mathcal{B}_2$  and the values of  $\Gamma_{\text{tot}}(\chi_{c2,1,0})$  mentioned above are summarized in Table V. The dipole matrix elements have been extracted using Eq. (6) with photon energies obtained from the  $\chi_{cJ}$  and  $J/\psi$  masses by Yao *et al.* (2006).

Predictions from both nonrelativistic and relativistic calculations are shown in Fig. 4. Overall the nonrelativistic calculations, with typical values of  $1.9\text{--}2.2 \text{ GeV}^{-1}$ , are in reasonable agreement with the observed values reflecting their relative  $J$  independence. The predictions including relativistic corrections are generally poorer, which is surprising because both the  $1P$  and  $1S$  wave functions have no nodes so that the integrals should be relatively insensitive to details of the calculation.

## 2. Search for $M2$ transitions

Attempts have been made to observe magnetic quadrupole ( $M2$ ) transitions in charmonium through their interference with the dominant  $E1$  amplitudes. These are not yet conclusive (Oreglia *et al.*, 1982; Ambrogiani *et al.*, 2002). The best prospects are expected for the most energetic photons, i.e., those in  $\chi_{cJ} \rightarrow \gamma J/\psi$ . Using the notation of Ambrogiani *et al.* (2002), the expected normalized  $M2/E1$  amplitude ratios  $a_2$  for these decays are

$$a_2(\chi_{c1}) = -E_{\gamma_1}(1 + \kappa_c)/4m_c, \quad (26)$$

TABLE V. Properties of the transitions  $\chi_{cJ} \rightarrow \gamma J/\psi$  [Yao *et al.* (2006); Eq. (6)].

$J$	$k_\gamma$ (MeV)	$\Gamma(\chi_{cJ} \rightarrow \gamma J/\psi)$ (keV)	$ \langle 1S   r   1P \rangle $ (GeV) <sup>-1</sup>
2	$429.63 \pm 0.08$	$416 \pm 32$	$1.91 \pm 0.07$
1	$389.36 \pm 0.07$	$317 \pm 25$	$1.93 \pm 0.08$
0	$303.05 \pm 0.32$	$135 \pm 15$	$1.84 \pm 0.10$

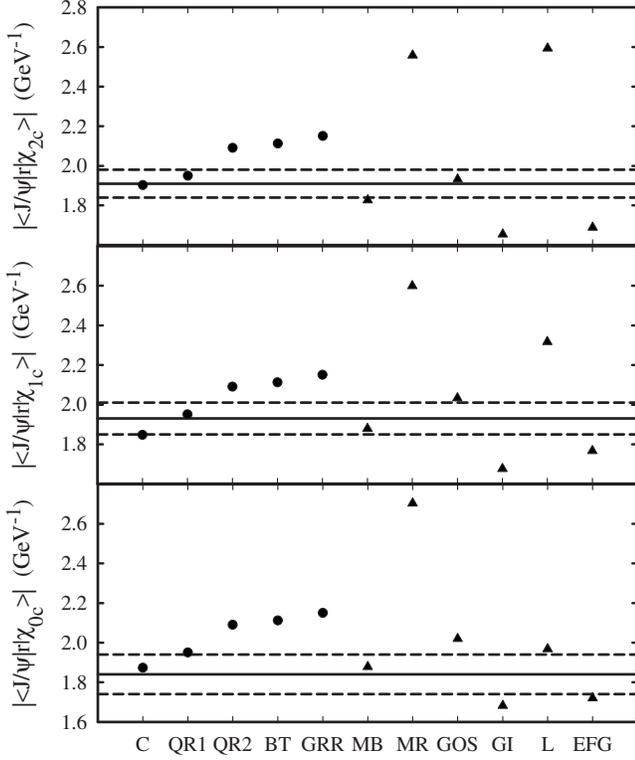


FIG. 4.  $E1$  dipole transition matrix elements for the charmonium decays  $1^3P_J \rightarrow 1^3S_1$ . Labels are as in Fig. 3.

$$a_2(\chi_{c2}) = -(3/\sqrt{5})E_{\gamma_2}(1 + \kappa_c)/4m_c, \quad (27)$$

and are shown in Table VI. These values are based on averages (Yao *et al.*, 2006) of those by Oreglia *et al.* (1982) and Ambrogiani *et al.* (2002). We note that a comparison between the *ratios* of the two decays would yield a more stringent test due to the cancellation of the charm quark mass (theory) and possible systematic uncertainties (experiment).

### 3. Hadronic and $\gamma\gamma$ decays

In principle the measured  $\chi_{cJ}$  widths (Yao *et al.*, 2006) can be used to determine  $\alpha_s(m_c^2)$  if the value of the derivative of the  $L=1$  radial wave function for zero separation  $|R'_{nP}(0)|$  is known. Potential models or lattice gauge theories can be used to estimate such quantities. However, they cancel out in ratios of partial widths to various final states. We concentrate on the ratios

TABLE VI. Predicted and observed  $M2/(E1^2 + M2^2)^{1/2}$  ratios for the transitions  $\chi_{cJ} \rightarrow \gamma J/\psi$ .

State	Prediction <sup>a</sup>	Experiment <sup>b</sup>
$\chi_{c1}$	$-0.065(1 + \kappa_c)$	$-0.002^{+0.008}_{-0.017}$
$\chi_{c2}$	$-0.096(1 + \kappa_c)$	$-0.13 \pm 0.05$

<sup>a</sup>From Ambrogiani *et al.*, 2002.

<sup>b</sup>From Yao *et al.*, 2006.

$\Gamma_{\gamma\gamma}(\chi_{cJ})/\Gamma_{gg}(\chi_{cJ})$  for  $J=2,0$  ( $\chi_{c1}$  cannot decay into two photons). These are predicted to be (Kwong *et al.*, 1988; Ebert *et al.*, 2003b)

$$\frac{\Gamma_{\gamma\gamma}(\chi_{cJ})}{\Gamma_{gg}(\chi_{cJ})} = \frac{8\alpha^2}{9[\alpha_s(m_c^2)]^2} C_J; \quad C_2 = \frac{1 - (16\alpha_s)/(3\pi)}{1 - (2.2\alpha_s)/\pi},$$

$$C_0 = \frac{1 + (0.2\alpha_s)/\pi}{1 + (9.5\alpha_s)/\pi}. \quad (28)$$

Here we have exhibited the corrections separately to the  $\gamma\gamma$  partial widths (numerators) and  $gg$  partial widths (denominators).

The CLEO Collaboration has reported a measurement of  $\Gamma(\chi_{c2} \rightarrow \gamma\gamma) = 559 \pm 57 \pm 48 \pm 36$  eV based on  $14.4 \text{ fb}^{-1}$  of  $e^+e^-$  data at  $\sqrt{s} = 9.46\text{--}11.30$  GeV (Dobbs *et al.*, 2006b). The result is compatible with other measurements when they are corrected for CLEO's  $\mathcal{B}(\chi_{c2} \rightarrow \gamma J/\psi)$  and  $\mathcal{B}(J/\psi \rightarrow \ell^+\ell^-)$ . The errors given are statistical, systematic, and  $\Delta\mathcal{B}(\chi_{c2} \rightarrow \gamma J/\psi)$ . One can average the CLEO measurement with a Belle Collaboration result (Abe *et al.*, 2002a) that is likewise corrected for updated input branching fractions to obtain  $\Gamma(\chi_{c2} \rightarrow \gamma\gamma) = 565 \pm 62$  eV. Using  $\Gamma_{\text{tot}}(\chi_{c2}) = 2.06 \pm 0.12$  MeV (Yao *et al.*, 2006) and  $\mathcal{B}(\chi_{c2} \rightarrow \gamma J/\psi) = (20.2 \pm 1.0)\%$  (Yao *et al.*, 2006) one finds  $\Gamma(\chi_{c2} \rightarrow gg) \approx \Gamma(\chi_{c2} \rightarrow \text{light hadrons}) = 1.64 \pm 0.10$  MeV. This can be compared to  $\Gamma(\chi_{c2} \rightarrow \gamma\gamma)$ , taking account of the QCD radiative corrections noted above, to obtain  $\alpha_s(m_c^2) = 0.296^{+0.016}_{-0.019}$ .

The decay  $\chi_{c0} \rightarrow \gamma\gamma$  also has been measured. Results from the Fermilab E835 Collaboration (Ambrogiani *et al.*, 2000; Andreotti *et al.*, 2004) are combined with other data to yield  $\mathcal{B}(\chi_{c0} \rightarrow \gamma\gamma) = (2.76 \pm 0.33) \times 10^{-4}$  (Yao *et al.*, 2006), or, with  $\Gamma_{\text{tot}}(\chi_{c0}) = 10.4 \pm 0.7$  MeV (Yao *et al.*, 2006),  $\Gamma(\chi_{c0} \rightarrow \gamma\gamma) = 2.87 \pm 0.39$  keV. Taking account of the  $(1.30 \pm 0.11)\%$  branching ratio of  $\chi_{c0}$  to  $\gamma J/\psi$  (Yao *et al.*, 2006) one estimates  $\Gamma(\chi_{c0} \rightarrow gg) = 10.3 \pm 0.7$  MeV and hence  $\mathcal{B}(\chi_{c0} \rightarrow \gamma\gamma)/\mathcal{B}(\chi_{c0} \rightarrow gg) = (2.80 \pm 0.42) \times 10^{-4}$ . Using Eq. (28) one then finds  $\alpha_s(m_c^2) = 0.32 \pm 0.02$ , compatible both with the value found from the corresponding  $\chi_{c2}$  ratio and with a slightly higher value obtained by extrapolation from higher momentum scales (Kluth, 2006; Bethke, 2007; Davier *et al.*, 2007).

The success of the above picture must be regarded with some caution, as the experimental values of the ratios

$$R_{\gamma\gamma} \equiv \frac{\Gamma(\chi_{c2} \rightarrow \gamma\gamma)}{\Gamma(\chi_{c0} \rightarrow \gamma\gamma)}, \quad R_{gg} \equiv \frac{\Gamma(\chi_{c2} \rightarrow gg)}{\Gamma(\chi_{c0} \rightarrow gg)}, \quad (29)$$

namely,  $R_{\gamma\gamma} = 0.197 \pm 0.034$ ,  $R_{gg} = 0.159 \pm 0.015$ , are far from their predicted values,

$$R_{\gamma\gamma} = \frac{4}{15} \frac{1 - 1.70\alpha_s}{1 + 0.06\alpha_s}, \quad R_{gg} = \frac{4}{15} \frac{1 - 0.70\alpha_s}{1 + 3.02\alpha_s}, \quad (30)$$

for the nominal value  $\alpha_s(m_c^2) = 0.3$ , which are  $R_{\gamma\gamma} = 0.128$  and  $R_{gg} = 0.110$ . This may be due to the large values of some of the first-order QCD corrections (particularly for  $\chi_{c2} \rightarrow \gamma\gamma$  and  $\chi_{c0} \rightarrow gg$ ), rendering a pertur-

bation expansion unreliable; it could signify effects of neglected color-octet components of the  $\chi_{cJ}$  wave functions (Petrelli *et al.*, 1998; Maltoni, 2000); or it could signify that the values of  $|R'_{nP}(0)|$  differ for the  ${}^3P_2$  and  ${}^3P_0$  states. It would be interesting to see if lattice gauge theories could shed light on this last possibility.

Measurements of the product of two-photon widths and branching ratios to  $2(\pi^+\pi^-)$ ,  $K^+K^-\pi^+\pi^-$ , and  $2(K^+K^-)$  in Uehara *et al.* (2008) for  $\chi_{c0,2}$  lead [combining with the relevant branching ratios from the Particle Data Group (Yao *et al.*, 2006)] to  $\Gamma(\chi_{c0} \rightarrow \gamma\gamma) = 1.99 \pm 0.24$  keV and  $\Gamma(\chi_{c2} \rightarrow \gamma\gamma) = 0.44 \pm 0.06$  keV. The results entail a value  $R_{\gamma\gamma} = 0.22 \pm 0.04$ , even farther from the prediction based on first-order QCD corrections.

#### D. The $\psi(2S)$

The  $\psi(2S)$  resonance was discovered at SLAC in  $e^+e^-$  collisions within days after the announcement of the  $J/\psi$  (Abrams *et al.*, 1974).

The most precise  $\psi(2S)$  mass measurement to date comes, as for the  $J/\psi$ , from KEDR (Aulchenko *et al.*, 2003), at a relative uncertainty of  $7 \times 10^{-6}$ . The current world average is  $m[\psi(2S)] = 3686.093 \pm 0.034$  MeV.

The total  $\psi(2S)$  width has been determined in direct  $p\bar{p}$  production [E760 from the shape of the resonance curve (Armstrong *et al.*, 1993)] as well as in  $e^+e^-$  collisions [BES (Bai *et al.*, 2002) from a fit to the cross sections  $\psi(2S) \rightarrow$  hadrons,  $\pi^+\pi^-J/\psi$ , and  $\mu^+\mu^-$  to obtain the corresponding partial widths; the total width is computed as the sum of hadronic and leptonic widths]. The PDG average of these two “direct” measurements is  $277 \pm 22$  keV. [Not included in the average is a recent value of  $290 \pm 25 \pm 4$  keV based on a measurement of the shape of the resonance curve by Fermilab Experiment E835 (Andreotti *et al.*, 2007).] Another estimation comes from the PDG’s global fit (Yao *et al.*, 2006), which among many other measurements takes a measurement of  $\Gamma_{ee}$  into account. As for the  $J/\psi$ , the radiative return process can be used (Adam *et al.*, 2006); the decay chain presented there is  $e^+e^- \rightarrow \gamma\psi(2S) \rightarrow \gamma(X+J/\psi)$ , which holds for any decay  $\psi(2S) \rightarrow XJ/\psi$ . The observed cross section is proportional to  $\Gamma_{ee}[\psi(2S)] \mathcal{B}(J/\psi \rightarrow XJ/\psi)$ , where  $X = \pi^+\pi^-, \pi^0\pi^0, \eta$  were used. The result of the global fit is  $337 \pm 13$  keV.

The two largest modern on-resonance samples are  $27 \times 10^6$   $\psi(2S)$  decays from the CLEO detector and a 14 M sample collected with the BES II detector. We have already discussed the transitions  $\psi(2S) \rightarrow \gamma\chi_{cJ}$  in the previous subsection. Here we treat a variety of other electromagnetic and hadronic transitions of the  $\psi(2S)$ . We also briefly comment on  $\psi(2S)$  decay via  $c\bar{c}$  annihilation.

##### 1. Decay to $\gamma\eta_c(1S)$

The decay  $\psi(2S) \rightarrow \gamma\eta_c(1S)$  is a forbidden magnetic dipole ( $M1$ ) transition, which would vanish in the limit of zero photon energy because of the orthogonality of  $1S$

and  $2S$  wave functions. The photon energy is 638 MeV, leading to a nonzero matrix element  $\langle 1S | j_0(kr/2) | 2S \rangle$ . The decay was first observed by the Crystal Ball Collaboration (Gaiser *et al.*, 1986) in the inclusive photon spectrum of  $\psi(2S)$  decays with branching ratio  $(2.8 \pm 0.6) \times 10^{-3}$ . The CLEO Collaboration measured  $\mathcal{B}[\psi(2S) \rightarrow \gamma\eta_c(1S)] = (3.2 \pm 0.4 \pm 0.6) \times 10^{-3}$ , also using the inclusive  $\psi(2S)$  photon spectrum. We note that the yield fit depends considerably on the  $\eta_c$  width. The Crystal Ball Collaboration arrived at a width that is substantially below more recent experimental data,  $11.5 \pm 4.5$  MeV as opposed to about 25 MeV. CLEO’s result is for a nominal width of  $24.8 \pm 4.9$  MeV; rescaled to the width found by Crystal Ball the CLEO result becomes  $\mathcal{B}[\psi(2S) \rightarrow \gamma\eta_c(1S)] = (2.5 \pm 0.6) \times 10^{-3}$ . We average the two primary results and arrive at  $(3.0 \pm 0.5) \times 10^{-3}$ . When combined with  $\Gamma_{\text{tot}}[\psi(2S)] = 337 \pm 13$  keV, this implies  $\Gamma[\psi(2S) \rightarrow \gamma\eta_c(1S)] = 1.00 \pm 0.16$  keV, and hence [via Eq. (5)]  $|\langle 1S | j_0(kr/2) | 2S \rangle| = 0.045 \pm 0.004$ . While this result is in agreement with some quark model predictions—for example, Eichten *et al.* (1975, 1976, 1978, 1980) and Ebert *et al.* (2003a) give 0.053 and 0.042, respectively—there is a wide scatter of predictions (Kang and Sucher, 1978; Zambetakis and Byers, 1983; Grotch *et al.*, 1984; Godfrey and Isgur, 1985; Zhang *et al.*, 1991; Lahde, 2003). It would therefore be useful to have a prediction from lattice QCD for this matrix element, as well as for corresponding forbidden matrix elements in the  $b\bar{b}$  system.

##### 2. Decay to $\gamma\eta_c(2S)$

The decay  $\psi(2S) \rightarrow \gamma\eta_c(2S)$  is an allowed  $M1$  transition and thus should be characterized by a matrix element  $\langle 2S | j_0(kr/2) | 2S \rangle$  of order unity in the limit of small  $k$ . One may estimate the branching ratio  $\mathcal{B}[\psi(2S) \rightarrow \gamma\eta_c(2S)]$  by scaling from  $J/\psi \rightarrow \gamma\eta_c(1S)$ .

With  $\mathcal{B}(J/\psi \rightarrow \gamma\eta_c) = (1.27 \pm 0.36)\%$  (Gaiser *et al.*, 1986) and  $\Gamma_{\text{tot}}(J/\psi) = 93.4 \pm 2.1$  keV (Yao *et al.*, 2006), one has  $\Gamma(J/\psi \rightarrow \gamma\eta_c) = 1.19 \pm 0.34$  keV. Assuming that the matrix elements for  $\psi(2S) \rightarrow \gamma\eta_c(2S)$  and  $J/\psi(1S) \rightarrow \gamma\eta_c(1S)$  are equal, the  $2S \rightarrow 2S$  rate should be  $[E_\gamma(2S \rightarrow 2S)/E_\gamma(1S \rightarrow 1S)]^3$  times that for  $1S \rightarrow 1S$ . With photon energies of 47.8 MeV for  $2S \rightarrow 2S$  and 114.3 MeV for  $1S \rightarrow 1S$ , this factor is 0.073, giving a predicted partial width  $\Gamma[\psi(2S) \rightarrow \gamma\eta_c(2S)] = 87 \pm 25$  eV [compare, for example, with 170–210 eV by Barnes *et al.* (2005)]. Using  $\Gamma_{\text{tot}}[\psi(2S)] = 337 \pm 13$  keV (Yao *et al.*, 2006), one then finds  $\mathcal{B}[\psi(2S) \rightarrow \gamma\eta_c(2S)] = (2.6 \pm 0.7) \times 10^{-4}$ , below the sensitivity of current experiments.

##### 3. Hadronic transitions from $\psi(2S)$ to $J/\psi$

The transitions  $\psi(2S) \rightarrow \pi^+\pi^-J/\psi$  and  $\psi(2S) \rightarrow \pi^0\pi^0J/\psi$  are thought to proceed via electric dipole emission of two gluons followed by hadronization of the gluon pair into  $\pi\pi$  (Gottfried, 1978; Bhanot and Peskin, 1979; Bhanot *et al.*, 1979; Peskin, 1979; Voloshin, 1979).

TABLE VII. Branching ratios for hadronic transitions  $\psi(2S) \rightarrow J/\psi X$  (Adam *et al.*, 2005a).

Channel	$\mathcal{B}$ (%)
$\pi^+ \pi^- J/\psi$	$33.54 \pm 0.14 \pm 1.10$
$\pi^0 \pi^0 J/\psi$	$16.52 \pm 0.14 \pm 0.58$
$\eta J/\psi$	$3.25 \pm 0.06 \pm 0.11$
$\pi^0 J/\psi$	$0.13 \pm 0.01 \pm 0.01$
$X J/\psi$	$59.50 \pm 0.15 \pm 1.90$

In addition, the hadronic transitions  $\psi(2S) \rightarrow \eta J/\psi$  and  $\psi(2S) \rightarrow \pi^0 J/\psi$  have been observed. Recent CLEO measurements of the branching ratios for these transitions (Adam *et al.*, 2005a) are summarized in Table VII. (We have already quoted the branching ratios to  $J/\psi$  via the  $\chi_{cJ}$  states in Table IV.)

Isospin predicts the  $\pi^0 \pi^0$  rate to be one-half that of  $\pi^+ \pi^-$ . CLEO measurements determined  $\mathcal{B}(\pi^0 \pi^0 J/\psi)/\mathcal{B}(\pi^+ \pi^- J/\psi) = (49.24 \pm 0.47 \pm 0.86)\%$  (Adam *et al.*, 2005a), taking cancellations of common uncertainties into account. Two other direct measurements of this ratio are  $(57.0 \pm 0.9 \pm 2.6)\%$  [BES, Ablikim *et al.* (2004e)],  $(57.1 \pm 1.8 \pm 4.4)\%$  [E835, Andreotti *et al.* (2005a)]; the PDG fit result is  $(51.7 \pm 1.8)\%$  (Yao *et al.*, 2006). The  $\pi^0/\eta$  ratio has been measured as  $(4.1 \pm 0.4 \pm 0.1)\%$  [CLEO, Adam *et al.* (2005a)] and  $(4.8 \pm 0.5)\%$  [BES, Bai *et al.* (2004b)]. These results are somewhat above theoretical expectations, for example, 1.6% quoted in Bai *et al.* (2004b) based on Miller *et al.* (1990), or 3.4% from Ioffe and Shifman (1980, 1981); Kuang *et al.* (1988); Maltman (1991). The inclusive branching ratio for  $\psi(2S) \rightarrow J/\psi X$ ,  $\mathcal{B} = (59.50 \pm 0.15 \pm 1.90)\%$ , is to be compared with the sum of known modes  $(58.9 \pm 0.2 \pm 2.0)\%$ . Thus there is no evidence for any “missing” modes. The results imply  $\mathcal{B}[\psi(2S) \rightarrow \text{light hadrons}] = (16.9 \pm 2.6)\%$ , whose significance will be discussed.

#### 4. Light-hadron decays

Decays to light hadrons proceed via annihilation of the  $c\bar{c}$  pair into either three gluons or a virtual photon. This includes production of baryons. Such studies can receive substantial background due to continuum production of the same final state,  $e^+e^- \rightarrow \gamma^* \rightarrow \text{hadrons}$ . When interpreting the observed rate on the  $\psi(2S)$ , interference effects between on-resonance and continuum production can complicate the picture.

The CLEO-c Collaboration has collected a sample of  $20.7 \text{ pb}^{-1}$  at  $\sqrt{s} = 3.67 \text{ GeV}$ , while BES’s below- $\psi(2S)$  continuum data,  $6.6 \text{ pb}^{-1}$ , were taken at  $\sqrt{s} = 3.65 \text{ GeV}$ . At the two center-of-mass energies, the  $\psi(2S)$  tail is of order 1/1000 (1/5000) compared to the peak cross section for the two experiments (this number depends on the collider’s beam energy spread).

One expects  $Q \equiv \mathcal{B}[\psi(2S) \rightarrow f]/\mathcal{B}(J/\psi \rightarrow f)$  to be comparable to  $\mathcal{B}[\psi(2S) \rightarrow \ell^+ \ell^-]/\mathcal{B}(J/\psi \rightarrow \ell^+ \ell^-) = (12.4 \pm 0.3)\%$

(the “12% rule”), since light-quark decays are presumably governed by  $|\Psi(0)|^2$  as are leptonic decays. In fact,  $Q$  is much smaller than 12% for most  $VP$  and  $VT$  modes, where  $P$ =pseudoscalar,  $V$ =vector,  $T$ =tensor, and severely so in some cases (Bai *et al.*, 2004a; Adam *et al.*, 2005b). For example,  $Q(\rho\pi) = (1.9 \pm 0.6) \times 10^{-3}$ , with a similar suppression for  $K^{*\pm} K^\mp$ . Many models have been brought forward to explain this behavior. Another interesting observation is that the Dalitz plot for the decay to  $\pi^+ \pi^- \pi^0$  looks quite different for  $J/\psi$ ,  $\psi(2S)$ , and the continuum below the  $\psi(2S)$  (Ablikim *et al.*, 2005b): In the case of the  $J/\psi$ , the  $\rho$  bands dominate, while at the two higher energies the  $m(\pi\pi)$  distributions tend towards higher values. Studies of  $\psi(2S) \rightarrow VP$  states by CLEO (Adam *et al.*, 2005b) and BES (Ablikim *et al.*, 2004b, 2004c, 2005a) show that the 12% rule is much better obeyed for  $VP$  decays forbidden by  $G$ -parity and isospin and hence proceeding via electromagnetism [e.g.,  $\psi(2S) \rightarrow \omega \pi^0, \rho\eta, \rho\eta'$ ]. The  $AP$  ( $A$  for axial-vector) final state  $b_1\pi$  obeys the scaling prediction for both the charged and the neutral isospin configuration (Yao *et al.*, 2006).

Investigating decays of the kind  $\psi(2S) \rightarrow PP$  for  $P = \pi^+, K^+$ , and  $K^0$  allows one to extract the relative phase and strength ratio between the  $\psi(2S) \rightarrow ggg$  and  $\psi(2S) \rightarrow \gamma^*$  amplitudes. This has been done by the CLEO and BES Collaborations [Dobbs *et al.* (2006a) and references therein].

The CLEO Collaboration has studied many exclusive multibody final states of  $\psi(2S)$  (Briere *et al.*, 2005), several of which have not been reported before. Mode by mode, deviations from the 12% rule rarely amount to more than a factor of 2. Moreover, the ratio of  $\mathcal{B}[\psi(2S) \rightarrow (\text{light hadrons})] = (16.9 \pm 2.6)\%$  to  $\mathcal{B}[J/\psi \rightarrow (\text{light hadrons})] = (86.8 \pm 0.4)\%$  (Yao *et al.*, 2006) is  $(19.4 \pm 3.1)\%$ , which exceeds the aforementioned corresponding ratio for lepton pairs,  $(12.4 \pm 0.3)\%$ , by  $2.3\sigma$ . The suppression of hadronic  $\psi(2S)$  final states thus appears to be confined to certain species such as  $\rho\pi, K^* \bar{K}$ .

The CLEO Collaboration has measured decays of  $\psi(2S)$  to baryon-antibaryon pairs (Pedlar *et al.*, 2005), as has the BES Collaboration (Ablikim *et al.*, 2007a). The branching ratios indicate that flavor SU(3) seems approximately valid for octet-baryon pair production. In all measured channels, the values of  $Q$  are either compatible with or greater than the expected 12% value.

No clear pattern emerges, with some channels obeying the 12% rule while others fail drastically, and so the conclusion at this point is that the simplified picture as painted by the 12% rule is not adequate, and more refined models are necessary.

#### E. The $h_c(1^1P_1)$

The  $h_c(1^1P_1)$  state of charmonium has been observed by the CLEO Collaboration (Rosner *et al.*, 2005; Rubin *et al.*, 2005) via  $\psi(2S) \rightarrow \pi^0 h_c$  with  $h_c \rightarrow \gamma \eta_c$ . These transitions are denoted by dark arrows in Fig. 5 (Cassel and

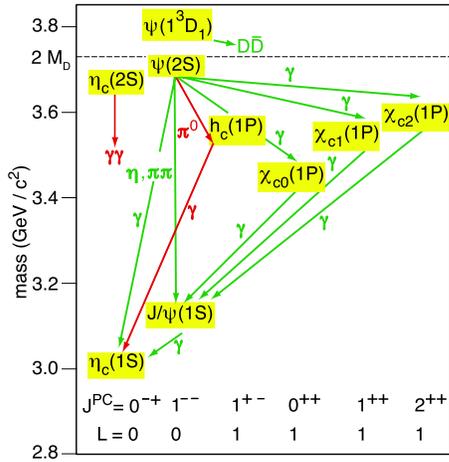


FIG. 5. (Color online) Transitions among low-lying charmonium states. From Cassel and Rosner, 2006.

Rosner, 2006). It has also been seen by Fermilab Experiment E835 (Andreotti *et al.*, 2005c) in the reaction  $\bar{p}p \rightarrow h_c \rightarrow \gamma\eta_c \rightarrow \gamma\gamma\gamma$ , with 13 candidate events. A search for the decay  $B^\pm \rightarrow h_c K^\pm$  by the Belle Collaboration, however, has resulted only in an upper limit on the branching ratio  $\mathcal{B}(B^\pm \rightarrow h_c K^\pm) < 3.8 \times 10^{-5}$  for  $m(h_c) = 3527$  MeV and  $\mathcal{B}(h_c \rightarrow \gamma\eta_c) = 0.5$  (Fang *et al.*, 2006). Attempts at previous observations are given in Rubin *et al.* (2005).

### 1. Significance of $h_c$ mass measurement

Hyperfine splittings test the spin dependence and spatial behavior of the  $Q\bar{Q}$  force. Whereas these splittings are  $m(J/\psi) - m(\eta_c) = 116.5 \pm 1.2$  MeV for 1S and  $m[\psi(2S)] - m[\eta_c(2S)] = 48 \pm 4$  MeV for 2S levels,  $P$ -wave splittings should be less than a few MeV since the potential is proportional to  $\delta^3(\vec{r})$  for a Coulomb-like  $c\bar{c}$  interaction. Lattice QCD (Manke *et al.*, 2000; Okamoto *et al.*, 2002) and relativistic potential (Ebert *et al.*, 2003a) calculations confirm this expectation. One expects  $m(h_c) \equiv m(1^1P_1) \approx \langle m(^3P_J) \rangle = 3525.36 \pm 0.06$  MeV.

### 2. Detection in $\psi(2S) \rightarrow \pi^0 h_c \rightarrow \pi^0 \gamma \eta_c$

In the CLEO data, both inclusive and exclusive analyses saw a signal near  $\langle m(^3P_J) \rangle$ . The exclusive analysis reconstructed  $\eta_c$  in seven decay modes, while no  $\eta_c$  reconstruction was performed in the inclusive analysis. The exclusive signal is shown in the upper figure in Fig. 6. A total of 19 candidates were identified, with a signal of  $17.5 \pm 4.5$  events above background. The result of one of two inclusive analyses is shown in the lower figure in Fig. 6. Combining exclusive and inclusive results yields  $m(h_c) = 3524.4 \pm 0.6 \pm 0.4$  MeV,  $\mathcal{B}_1 \mathcal{B}_2 = 4.0 \pm 0.8 \pm 0.7 \times 10^{-4}$ . The  $h_c$  mass is  $1.0 \pm 0.6 \pm 0.4$  MeV below  $\langle m(^3P_J) \rangle$ , at the edge of the (nonrelativistic) bound (Stubbe and Martin, 1991)  $m(h_c) \geq \langle m(^3P_J) \rangle$  and indicating little  $P$ -wave hyperfine splitting in charmo-

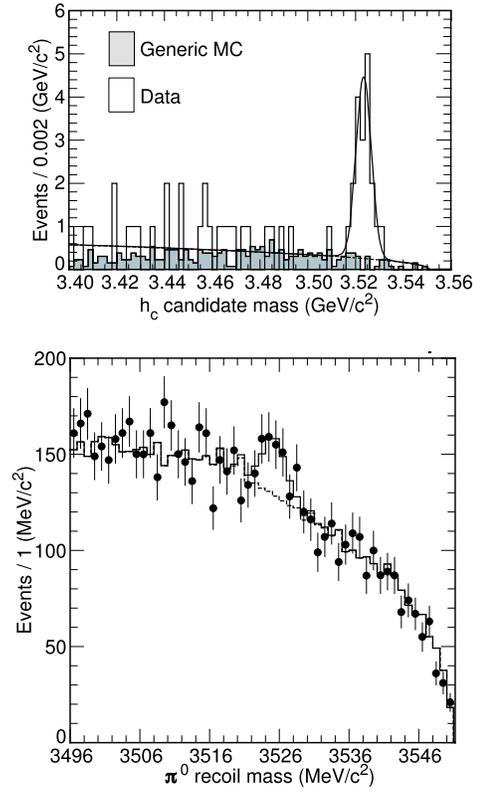


FIG. 6. (Color online) CLEO signals for  $h_c$  [ $3 \times 10^6$   $\psi(2S)$  decays]. Upper: Exclusive  $h_c$  signal. Data events correspond to the open histogram; Monte Carlo background estimate is denoted by the shaded histogram. The signal shape is a double Gaussian, obtained from signal Monte Carlo estimate. The background shape is an ARGUS function. Lower: Inclusive  $h_c$  signal. The curve denotes the background function based on generic Monte Carlo plus signal. The dashed line shows the contribution of background alone. From Rubin *et al.*, 2005.

onium. The value of  $\mathcal{B}_1 \mathcal{B}_2$  agrees with theoretical estimates (Godfrey and Rosner, 2002) of  $10^{-3} \times 0.4$ .

### 3. Detection in the exclusive process $p\bar{p} \rightarrow h_c \rightarrow \gamma\eta_c \rightarrow 3\gamma$

The Fermilab E835 Collaboration (Andreotti *et al.*, 2005c) studied a number of charmonium resonances accessible in the direct  $\bar{p}p$  channel using the carefully controlled  $\bar{p}$  energy of the Fermilab Accumulator ring and a gas-jet fixed target. The signal of 13 events sits above an estimated background of three events and corresponds to a mass  $m(h_c) = 3525.8 \pm 0.2 \pm 0.2$  MeV. The signal strength is evaluated to be  $\Gamma_{\bar{p}p} \mathcal{B}_{\eta_c \gamma} = (10.0 \pm 3.5, 12.0 \pm 4.5)$  eV for  $\Gamma_{\text{tot}}(h_c) = (0.5, 1.0)$  MeV. With  $\mathcal{B}_{\eta_c \gamma} = 0.4$  this would correspond to  $\Gamma_{h_c \rightarrow \bar{p}p} = (25, 30)$  eV. Kuang, Tuan, and Yan predicted  $\Gamma_{h_c \rightarrow \bar{p}p} = 186$  eV (Kuang *et al.*, 1988). For comparison the partial widths of  $\eta_c, J/\psi, \chi_{c0,1,2}$ , and  $\psi(2S)$  to  $\bar{p}p$  are roughly  $33 \pm 11$  keV,  $203 \pm 9$  eV,  $2.25 \pm 0.25$  keV,<sup>1</sup>  $60 \pm 6$  eV,  $136 \pm 13$  eV, and  $89 \pm 8$  eV, where we have used branching ratios and total widths from Yao *et al.* (2006).

<sup>1</sup>Using  $\mathcal{B}(\chi_{c0} \rightarrow p\bar{p}) = (2.16 \pm 0.19) \times 10^{-4}$ .

## F. The $\eta_c(2S)$

The claim by the Crystal Ball Collaboration (Edwards *et al.*, 1982) for the first radial excitation of the  $\eta_c$ , the  $\eta_c(2S)$ , at a mass of  $3594 \pm 5$  MeV, remained unconfirmed for 20 years. Then, the Belle Collaboration observed a candidate for  $\eta_c(2S)$  in  $B \rightarrow K(K_S K \pi)$  (Choi *et al.*, 2002) and  $e^+e^- \rightarrow J/\psi + X$  (Abe *et al.*, 2002b) at a significantly higher mass. An upper limit on the decay  $\psi(2S) \rightarrow \gamma \eta_c(2S)$  by the CLEO Collaboration (Athar *et al.*, 2004) failed to confirm the Crystal Ball state at 3594 MeV. The Belle result stimulated a study of what other charmonium states could be produced in  $B$  decays (Eichten *et al.*, 2002).

By studying its production in photon-photon collisions, the CLEO Collaboration (Asner *et al.*, 2004) confirmed the presence of the new  $\eta_c(2S)$  candidate, as did the BaBar Collaboration (Aubert *et al.*, 2004b). The mass of the  $\eta_c(2S)$  is found to be only  $48 \pm 4$  MeV/ $c^2$  below the corresponding spin-triplet  $\psi(2S)$  state, a hyperfine splitting which is considerably less than the  $116.5 \pm 1.2$  MeV/ $c^2$  difference seen in the  $1S$  charmonium states [i.e., between the  $J/\psi$  and the  $\eta_c(1S)$ ]. While potential models predict the  $\psi(2S) - \eta_c(2S)$  splitting to be less than the  $J/\psi - \eta_c$  splitting due to the smaller wave function at the origin for the  $2S$  state compared to the  $1S$  state, most models [e.g., Fulcher (1991); Eichten and Quigg (1994); Gupta and Johnson (1996); Ebert *et al.* (2003a)], but not all (Godfrey and Isgur, 1985; Zeng *et al.*, 1995), predict a much larger splitting than what is observed. It is likely that the proximity of the charmed meson pair threshold, which can lower the  $\psi(2S)$  mass by tens of MeV/ $c^2$  (Martin and Richard, 1982; Eichten *et al.*, 2004, 2006), plays an important role in the  $\psi(2S) - \eta_c(2S)$  splitting.

The width of the  $\eta_c(2S)$  can be determined in similar ways as that of the  $\eta_c(1S)$  in principle. In practice, the  $M1$  photon  $\psi(2S) \rightarrow \gamma_{M1} \eta_c(2S)$  is difficult to measure well in an inclusive measurement  $\eta_c(2S) \rightarrow X$  due to background, and all channels  $\eta_c(2S) \rightarrow Y$  that can be faked by  $\psi(2S)$  decay, which is several orders of magnitude more copious, will not be helpful in an exclusive measurement either. The only available width measurements come from two-photon reactions:  $\Gamma = 6.3 \pm 12.4 \pm 4.0$  MeV (Asner *et al.*, 2004) and  $\Gamma = 17.0 \pm 8.3 \pm 2.5$  MeV (Aubert *et al.*, 2004b), leading to an average of  $\Gamma = 14 \pm 7$  MeV (Yao *et al.*, 2006). The measurements are dominated by statistical uncertainties, which is dictated by the need to identify an  $\eta_c(2S)$  final state. To date, the only known decay modes of the  $\eta_c(2S)$  are  $K_S K^\pm \pi^\mp$  and  $\gamma\gamma$ . One may be led to try the same modes to which the  $\eta_c(1S)$  decays; the listed two measurements use  $\eta_c(2S) \rightarrow K_S K^\pm \pi^\mp$ .

The CLEO Collaboration found that the product  $\Gamma[\eta_c(2S) \rightarrow \gamma\gamma] \mathcal{B}[\eta_c(2S) \rightarrow K_S K \pi]$  is only  $0.18 \pm 0.05 \pm 0.02$  times the corresponding product for  $\eta_c(1S)$ . This could pose a problem for descriptions of charmonium if the branching ratios to  $K_S K \pi$  were equal. More likely, the heavier  $\eta_c(2S)$  has more decay modes

available to it, so its branching ratio to  $K_S K \pi$  is likely to be less than that of the  $\eta_c(1S)$ .

## G. The $\psi(3770)$

The  $\psi(3770)$  is primarily a  $1^3D_1$  state with small admixtures of  $n^3S_1$  states [notably  $\psi(2S)$ ] (Eichten *et al.*, 2004, 2006; Rosner, 2005). It is most easily produced in  $e^+e^-$  collisions, where it appears at 3770 MeV as a broad resonance [ $23.0 \pm 2.7$  MeV (Yao *et al.*, 2006)]. Both the Belle (Chistov *et al.*, 2004) and BaBar Collaborations (Aubert *et al.*, 2006a) observed  $\psi(3770)$  in  $B$  decay. The broadness of the state is due to the fact that decay to open charm  $D\bar{D}$  is kinematically available and also allowed by quantum numbers. Final states involving  $D^*$  and  $D_s$  are not accessible at this energy. The mass and width are most accurately determined in a scan. Ablikim *et al.* (2006a) achieved uncertainties of below 1 MeV for the mass and below 10% relative on the width. The leptonic width can be determined via a hadron production rate measurement as the cross section is proportional to the coupling,  $\Gamma_{ee}$ . The BES Collaboration has been studying its decays to charmed and noncharmed final states [see, e.g., Ablikim *et al.* (2004a)], and for the past few years it has been the subject of dedicated studies by the CLEO Collaboration (Briere *et al.*, 2001).

### 1. $\psi(3770)$ as a “charm factory”

The fact that  $\psi(3770)$  lies so close to charm threshold [only about 40 MeV above  $2m(D^0)$ ] makes it a source of charmed particle pairs in a well-defined quantum state (without additional pions) in  $e^+e^-$  collisions. An interesting question is whether the total cross section  $\sigma[e^+e^- \rightarrow \psi(3770)]$  is nearly saturated by  $D\bar{D}$ . If not, there could be noticeable non- $D\bar{D}$  decays of the  $\psi(3770)$  (Rosner, 2005). A CLEO measurement (Besson *et al.*, 2006a),  $\sigma[\psi(3770)] = 6.38 \pm 0.08^{+0.41}_{-0.30}$  nb, appears very close to the CLEO value  $\sigma(D\bar{D}) = 6.39 \pm 0.10^{+0.17}_{-0.08}$  nb (He *et al.*, 2005), leaving little room for non- $D\bar{D}$  decays. Some question has nonetheless been raised by BES analyses (Ablikim *et al.*, 2006a, 2006b, 2007c) in which a substantial non- $D\bar{D}$  component could still be present.

As a result of the difference between  $D^0$  and  $D^-$  masses, the  $\psi(3770)$  decays to  $D^0\bar{D}^0$  more frequently than to  $D^+D^-$ . For example, He *et al.* (2005) found

$$\begin{aligned} \sigma[e^+e^- \rightarrow \psi(3770) \rightarrow D^+D^-] / \sigma[e^+e^- \rightarrow \psi(3770) \rightarrow D^0\bar{D}^0] \\ = 0.776 \pm 0.024^{+0.014}_{-0.006}. \end{aligned}$$

This ratio reflects not only the effect of differing phase space, but also different final-state electromagnetic interactions (Voloshin, 2005), and is expected to vary somewhat as center-of-mass energy is varied over the resonance peak.

## 2. Leptonic width and mixing

The CLEO measurement of  $\sigma[\psi(3770)]$  mentioned above (Besson *et al.*, 2006a) also leads to a more precise value for the  $\psi(3770)$  leptonic width,  $\Gamma_{ee}[\psi(3770)] = 0.204 \pm 0.003_{-0.027}^{+0.041}$  keV. This enters into the quoted average (Yao *et al.*, 2006) of  $0.242_{-0.024}^{+0.027}$  keV. Subsequent results are  $0.251 \pm 0.026 \pm 0.011$  keV (Ablikim *et al.*, 2006a) and  $0.279 \pm 0.011 \pm 0.013$  keV (Ablikim *et al.*, 2007c) from BES-II. These improvements allow a more precise estimate for the angle  $\phi$  describing the mixing between  $1D$  and  $2S$  states in  $\psi(2S)$  and  $\psi(3770)$ :

$$\psi(2S) = -\sin \phi |1^3D_1\rangle + \cos \phi |2^3S_1\rangle,$$

$$\psi(3770) = \cos \phi |1^3D_1\rangle + \sin \phi |2^3S_1\rangle. \quad (31)$$

This mixing affects the ratio  $R_{\psi(3770)/\psi(2S)}$  of leptonic widths of  $\psi(2S)$  and  $\psi(3770)$  and their predicted rates for  $E1$  transitions to the  $\chi_{cJ}$  states (Rosner, 2001; Kuang, 2002). A previous analysis based on  $\Gamma_{ee}[\psi(3770)] = 0.26 \pm 0.04$  keV (Rosner, 2005) gave  $\phi = (12 \pm 2)^\circ$ , while the present leptonic width will give smaller errors on  $\phi$ . The large present and anticipated CLEO-c  $\psi(3770)$  data sample will further constrain this value. A solution with negative  $\phi$  consistent with  $R_{\psi(3770)/\psi(2S)}$  gives an unphysically large rate for  $\psi(2S) \rightarrow \gamma\chi_{c0}$ .

As noted earlier, the nonrelativistic predictions for the  $\psi(2S) \rightarrow \gamma\chi_{cJ}$  rates are generally too high, indicating the limitations of a nonrelativistic approach. The predicted rate for  $\psi(3770) \rightarrow \gamma\chi_{c0}$ , which has recently been observed by the CLEO Collaboration (Briere *et al.*, 2006), is also a factor of 2 too high in a nonrelativistic approach but is satisfactory when relativistic and coupled-channel effects are taken into account.

## 3. $\psi(3770)$ transitions to $\pi\pi J/\psi$

The rates for transitions of  $\psi(3770)$  to  $\pi\pi J/\psi$  have been predicted assuming that it is mainly a  $D$ -wave state with a small  $S$ -wave admixture as in the above example (Kuang, 2006). [The sign convention for the mixing angle by Kuang (2006) is opposite to ours.] A wide range of partial widths,  $\Gamma[\psi(3770) \rightarrow \pi^+\pi^-J/\psi] = 26\text{--}147$  keV, corresponding to branching ratios ranging from about 0.1% to 0.7%, is predicted.

The BES Collaboration (Bai *et al.*, 2005) finds  $\mathcal{B}[\psi(3770) \rightarrow \pi^+\pi^-J/\psi] = (0.34 \pm 0.14 \pm 0.09)\%$ . The CLEO Collaboration has measured a number of branching ratios for  $\psi(3770) \rightarrow XJ/\psi$  (Adam *et al.*, 2006):

$$\mathcal{B}[\psi(3770) \rightarrow \pi^+\pi^-J/\psi] = (0.189 \pm 0.020 \pm 0.020)\% ,$$

$$\mathcal{B}[\psi(3770) \rightarrow \pi^0\pi^0J/\psi] = (0.080 \pm 0.025 \pm 0.016)\% ,$$

$$\mathcal{B}[\psi(3770) \rightarrow \eta J/\psi] = (0.087 \pm 0.033 \pm 0.022)\% ,$$

and

TABLE VIII. Radiative decays  $\psi(3770) \rightarrow \gamma\chi_{cJ}$ : energies, predicted, and measured partial widths. Theoretical predictions of Eichten *et al.* (2004) are (a) without and (b) with coupled-channel effects; nonrelativistic (c) and relativistic (d) predictions of Barnes *et al.* (2005); (e) shows predictions of Rosner (2001).

Mode	$E_\gamma$ (MeV) <sup>a</sup>	Predicted (keV)					CLEO (keV) <sup>b</sup>
		(a)	(b)	(c)	(d)	(e)	
$\gamma\chi_{c2}$	208.8	3.2	3.9	4.9	3.3	$24 \pm 4$	$< 21$
$\gamma\chi_{c1}$	251.4	183	59	125	77	$73 \pm 9$	$70 \pm 17$
$\gamma\chi_{c0}$	339.5	254	225	403	213	$523 \pm 12$	$172 \pm 30$

<sup>a</sup>From Yao *et al.*, 2006.

<sup>b</sup>From Briere *et al.*, 2006.

$$\mathcal{B}[\psi(3770) \rightarrow \pi^0 J/\psi] < 0.028\% .$$

Together these account for less than 0.5% of the total  $\psi(3770)$  decays. In these analyses, the contribution from the tail of the  $\psi(2S)$  decaying to the same final states has been subtracted incoherently.

## 4. $\psi(3770)$ transitions to $\gamma\chi_{cJ}$

The CLEO Collaboration has recently reported results on  $\psi(3770) \rightarrow \gamma\chi_{cJ}$  partial widths, based on the exclusive process  $\psi(3770) \rightarrow \gamma\chi_{c1,2} \rightarrow \gamma\gamma J/\psi \rightarrow \gamma\gamma\ell^+\ell^-$  (Coan *et al.*, 2006b) and reconstruction of exclusive  $\chi_{cJ}$  decays (Briere *et al.*, 2006). The results are shown in Table VIII, implying  $\sum_J \mathcal{B}[\psi(3770) \rightarrow \gamma\chi_{cJ}] = \mathcal{O}(1\%)$ . Recent calculations (Eichten *et al.*, 2004; Barnes *et al.*, 2005) including relativistic corrections are in good agreement with these measurements while nonrelativistic treatments overestimate  $\Gamma[\psi(3770) \rightarrow \gamma\chi_{c0}]$ . The contribution from the tail of the  $\psi(2S)$  decaying to the same final states has been subtracted incoherently.

## 5. $\psi(3770)$ transitions to light-hadron final states

Several searches for  $\psi(3770) \rightarrow$  (light hadrons), including  $VP$  (Adams *et al.*, 2006a; Zhu, 2006),  $K_L K_S$  (Ablikim *et al.*, 2004d; Cronin-Hennessy *et al.*, 2006), and multi-body (Huang *et al.*, 2006; Ablikim *et al.*, 2007b) final states, have been performed. No evidence was seen for any light-hadron  $\psi(3770)$  mode above expectations from continuum production except for a marginally significant branching ratio  $\mathcal{B}[\psi(3770) \rightarrow \phi\eta] = (3.1 \pm 0.7) \times 10^{-4}$  (Adams *et al.*, 2006a), indicating no obvious signature of non- $D\bar{D}$   $\psi(3770)$  decays.

## H. Missing charmonium $1D$ states

In addition to the  $\psi(3770)$ , three more charmonium  $1D$  states are expected: the spin triplet  $^3D_2$  and  $^3D_3$  states and a spin singlet  $^1D_2$  state. All these remaining states are expected to be narrow.

The masses of the remaining states are expected to be slightly above the  $\psi(3770)$ . Using the usual spin-

dependent potentials we expect the  ${}^3D_2$ ,  ${}^1D_2$ ,  ${}^3D_3$  to lie about (+20, +20, +30) MeV, respectively, above the  $\psi(3770)$  mass (Brambilla *et al.*, 2004). The effects of coupling to decay channels may also produce important mass splittings. In one model these additional shifts are (+37, +44, +59) MeV, respectively (Eichten *et al.*, 2004, 2006).

The  $J=2$  states ( ${}^3D_2$  and  ${}^1D_2$ ) are forbidden by parity to decay into two pseudoscalar  $D$  mesons. Hence these states are quite narrow. The principal decay modes for the  ${}^3D_2$  state are expected to be radiative transitions ( $\gamma^3P_1$  and  $\gamma^3P_2$ ), hadronic transitions ( $\pi\pi J/\psi$ ), and decay to light hadrons ( $ggg$ ). The total width is expected to be about 400 keV (Eichten *et al.*, 2002, 2004; Barnes and Godfrey, 2004). The principal decay modes for the spin-singlet  ${}^1D_2$  state are similar: radiative transitions ( $\gamma^1P_1$ ), hadronic transitions [ $\pi\pi\eta_c(1S)$ ], and decay to light hadrons ( $gg$ ). The total width is expected to be about 460 keV (Eichten *et al.*, 2002, 2004; Barnes and Godfrey, 2004).

Finally, the  ${}^3D_3$  state has a Zweig-allowed strong decay to  $D\bar{D}$  but only in an  $F$  wave (Barnes and Godfrey, 2004; Eichten *et al.*, 2004). Hence the expected rate of this dominant decay is small. For example, at a mass of 3868 MeV this decay width is only 0.8 MeV (Eichten *et al.*, 2004, 2006; Barnes *et al.*, 2005). Thus other decay modes such as  $\pi\pi J/\psi$  and  $\gamma^3P_2$  may be observable.

Production rates for these remaining  $1D$  states in hadronic collisions or  $B$  meson decays are expected to be not significantly larger than those for  $\psi(3770)$ . Qualitatively, this is based on the assumption that the production of  $c\bar{c}$  states with large relative orbital momentum is suppressed, and the states in question do not mix with  $S$ - or  $P$ -wave charmonium states.

### I. $\psi(4040)$ , $\psi(4160)$ , and $\psi(4415)$

The  $\psi(4040)$  and  $\psi(4160)$  resonances appear as elevations in the measurement of  $R = \sigma(\text{hadrons})/\sigma(\mu^+\mu^-)$ . They are commonly identified with the  $3S$  and  $2D$  states of charmonium (Fig. 1). Their parameters have undergone some refinement as a result of a recent analysis by Seth (2005). Results using initial state radiation events from the Belle Collaboration (Pakhlova *et al.*, 2007) indicate that the  $D^*\bar{D}$  and  $D^*\bar{D}^*$  final states are populated throughout this energy region, making interference effects between the resonances inevitable. The BES Collaboration has reevaluated earlier published data from a scan in the region 2–5 GeV in center-of-mass energy (Ablikim *et al.*, 2008) to arrive at estimates of masses, total widths, partial electronic widths, and relative phases of  $\psi(3770)$ ,  $\psi(4040)$ ,  $\psi(4160)$ , and  $\psi(4415)$ . The analysis is the first to take interference between the states into account. Doing so affects especially the parameters extracted for the three upper states significantly. In summary, the treatment of charmonium states above threshold from inclusive decays is not unambiguous, and parameters must be seen within the context

of the method that was used to obtain them. Belle has reported a result (Pakhlova *et al.*, 2008) for the first exclusive decay of  $\psi(4415)$ ,  $\psi(4415) \rightarrow DD_2^*(2460) \rightarrow DD\pi$ . Belle determines mass and total width of the  $\psi(4415)$  from the  $m[DD_2^*(2460)]$  distribution, achieving a result in agreement with Ablikim *et al.* (2008).

Data taken at the  $\psi(4040)$  and the  $\psi(4160)$  can be useful to search for the  $2P$  states through radiative decays  $\psi(4160) \rightarrow \gamma\chi_{c0,1,2}(2P)$ . Identifying the transition photon in the inclusive photon spectrum requires excellent background suppression and is therefore a challenge. The  $E1$  branching fractions listed in Barnes (2006) are calculated for  $\chi_{cJ}(2P)$  masses chosen to be<sup>2</sup> 3929, 3940, 3940 MeV for  $J=2/1/0$ :

$$\psi(4040) \rightarrow \gamma\chi_{c2,1,0}(2P): \quad 0.7 / 0.3 / 0.1 \times 10^{-3},$$

$$\psi(4160) \rightarrow \gamma\chi_{c2,1,0}(2P): \quad 0.1 / 1.3 / 1.7 \times 10^{-3}.$$

The  $J=0$  and  $J=1$  states can be distinguished since the decays  $\chi_{c0} \rightarrow D\bar{D}$  and  $\chi_{c1} \rightarrow D\bar{D}^*$  are possible but not the reverse.  $\chi_{c2}(2P)$  can decay to either, where the relative rate depends on the amount of phase space, which in turn depends on the mass. Exclusive decays to charmonium have not been observed, though the CLEO Collaboration has set upper limits on a number of final states involving charmonium (Coan *et al.*, 2006a).

### J. New charmoniumlike states

Many new charmonium states above  $D\bar{D}$  threshold have recently been observed. While some of these states appear to be consistent with conventional  $c\bar{c}$  states, others do not. Here we give a brief survey of the new states and their possible interpretations. Reviews may be found in Godfrey (2006), Rosner (2006b), Swanson (2006), and Godfrey and Olsen (2008). In all cases, the picture is not entirely clear. This situation could be remedied by a coherent search of the decay pattern to  $D\bar{D}^{(*)}$ , search for production in two-photon fusion and initial state radiation, the study of radiative decays of  $\psi(4160)$ , and of course tighter uncertainties by way of improved statistical precision upon the current measurements.

#### 1. $X(3872)$

The  $X(3872)$ , discovered by the Belle Collaboration in  $B$  decays (Choi *et al.*, 2003) and confirmed by the BaBar Collaboration (Aubert *et al.*, 2005d) and in hadronic production by CDF (Acosta *et al.*, 2004) and D0 (Abazov *et al.*, 2004), is a narrow state of mass 3872 MeV that was first seen decaying to  $\pi^+\pi^-J/\psi$ . No signal at this mass was seen in  $B \rightarrow X^-K$ ,  $X^- \rightarrow \pi^-\pi^0J/\psi$  (Aubert *et al.*, 2005c), which would have implied a charged partner of

<sup>2</sup>The motivation for this choice will become apparent in Sec. IV.J.

TABLE IX. Summary of the  $X(3872)$  decay modes and searches. The two entries for  $D^0\bar{D}^0\pi^0$  are both from the Belle Collaboration and are based on samples of 88 and 414  $\text{fb}^{-1}$ , respectively.

Final state	$X(3872)$ branching fraction	Reference
$\pi^+\pi^-J/\psi$	$(11.6\pm 1.9)\times 10^{-6}/\mathcal{B}_{B^+\rightarrow X(3872)K^+} (>10\sigma)$	Aubert <i>et al.</i> , 2006a
$\pi^-\pi^0J/\psi$	Not seen	Aubert <i>et al.</i> , 2005c
$\gamma\chi_{c1}$	$<0.9\times\mathcal{B}_{\pi^+\pi^-J/\psi}$	Choi <i>et al.</i> , 2003
$\gamma J/\psi$	$(3.3\pm 1.0\pm 0.3)\times 10^{-6}/\mathcal{B}_{B\rightarrow X(3872)K^+} (>4\sigma)$	Aubert <i>et al.</i> , 2006c
	$(0.14\pm 0.05)\times\mathcal{B}_{X(3872)\rightarrow\pi^+\pi^-J/\psi} (4.0\sigma)$	Abe <i>et al.</i> , 2005a
$\eta J/\psi$	$<7.7\times 10^{-6}/\mathcal{B}_{B\rightarrow X(3872)K^+}$	Aubert <i>et al.</i> , 2004c
$\pi^+\pi^-\pi^0J/\psi$	$(1.0\pm 0.4\pm 0.3)\times\mathcal{B}_{X(3872)\rightarrow\pi^+\pi^-J/\psi} (4.3\sigma)$	Abe <i>et al.</i> , 2005a
$D^0\bar{D}^0$	$<6\times 10^{-5}/\mathcal{B}_{B^+\rightarrow X(3872)K^+}$	Chistov <i>et al.</i> , 2004
$D^+D^-$	$<4\times 10^{-5}/\mathcal{B}_{B^+\rightarrow X(3872)K^+}$	Chistov <i>et al.</i> , 2004
$D^0\bar{D}^0\pi^0$	$<6\times 10^{-5}/\mathcal{B}_{B^+\rightarrow X(3872)K^+}$	Chistov <i>et al.</i> , 2004
	$(12.2\pm 3.1_{-3.0}^{+2.3})\times 10^{-5}/\mathcal{B}_{B^+\rightarrow X(3872)K^+}^a (6.4\sigma)$	Gokhroo <i>et al.</i> , 2006

<sup>a</sup>The Belle Collaboration reports the quoted number as branching fraction at the peak. They find a peak position that is slightly above that seen by other experiments for other  $X(3872)$  decays.

$X(3872)$ . It was not observed in two-photon production or initial state radiation (Dobbs *et al.*, 2005). Subsequent studies focused on determining the mass, width, and decay properties in order to establish its quantum numbers and possible position in the charmonium system of states. To date, decays to  $\pi^+\pi^-J/\psi$ ,  $\gamma J/\psi$ ,  $\pi^+\pi^-\pi^0J/\psi$ , and possibly  $D^0\bar{D}^0\pi^0$  have been reported. Results on decay modes of  $X(3872)$  are summarized in Table IX.

The averaged mass of this state is  $M=3871.2\pm 0.5$  MeV (Yao *et al.*, 2006); the width is determined to be  $\Gamma<2.3$  MeV (90% C.L.) (Choi *et al.*, 2003), below detector resolution. Signal distributions from two experiments are shown in Fig. 7, and mass measurements [including  $m(D^0)+m(D^{*0})$  (Cawfield *et al.*, 2007)] are compared in Fig. 8.

The combined branching fraction product from Belle and BaBar is  $\mathcal{B}[B^+\rightarrow K^+X(3872)]\times\mathcal{B}[X(3872)\rightarrow\pi^+\pi^-J/\psi]=(11.4\pm 2.0)\times 10^{-6}$  (Yao *et al.*, 2006). After setting a limit of  $\mathcal{B}[B^+\rightarrow K^+X(3872)]<3.2\times 10^{-4}$  (90%

C.L.), BaBar (Aubert *et al.*, 2006a) derived  $\mathcal{B}[X(3872)\rightarrow\pi^+\pi^-J/\psi]>4.2\%$  (90% C.L.). For comparison, examples of other states above open flavor threshold are  $\mathcal{B}[\psi(3770)\rightarrow\pi^+\pi^-J/\psi]=(1.93\pm 0.28)\times 10^{-3}$  (Yao *et al.*, 2006) (partial width 46 keV) and limits  $\mathcal{B}[\psi(4040,4160)\rightarrow\pi^+\pi^-J/\psi]$  of order  $10^{-3}$  (Yao *et al.*, 2006) (partial widths  $\sim 100$  keV).

Decay into a pair of  $D$  mesons has not been observed, and upper limits on the rate are in the range of a few times that for  $\pi^+\pi^-J/\psi$  (Chistov *et al.*, 2004). A signal in  $B\rightarrow(D^0\bar{D}^0\pi^0)K$  with  $m(D^0\bar{D}^0\pi^0)$  in the right range is the first candidate for open-charm decays of  $X(3872)$ . The observed rate is an order of magnitude above that for  $\pi^+\pi^-J/\psi$ .

The dipion mass distribution favors high  $m(\pi^+\pi^-)$  values. This is not untypical for charmonium states [cf.  $\psi(2S)\rightarrow\pi^+\pi^-J/\psi$ ], but could be an indication that the pion pair might even be produced in a  $\rho$  configuration; if

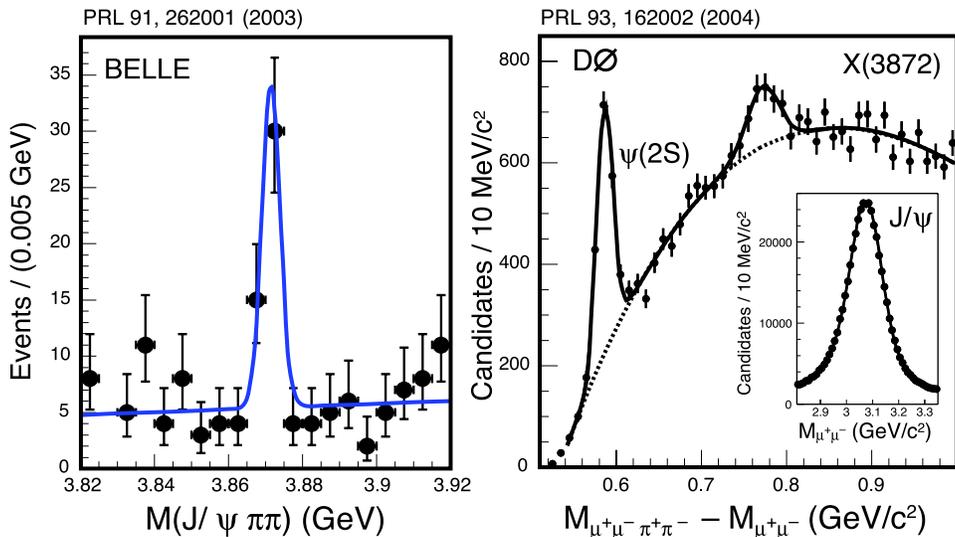


FIG. 7. (Color online) Observation of  $X(3872)\rightarrow\pi^+\pi^-J/\psi$  in  $B$  decay [example from Belle (Choi *et al.*, 2003)] and in  $p\bar{p}$  collisions [example from D0 (Abazov *et al.*, 2004)].

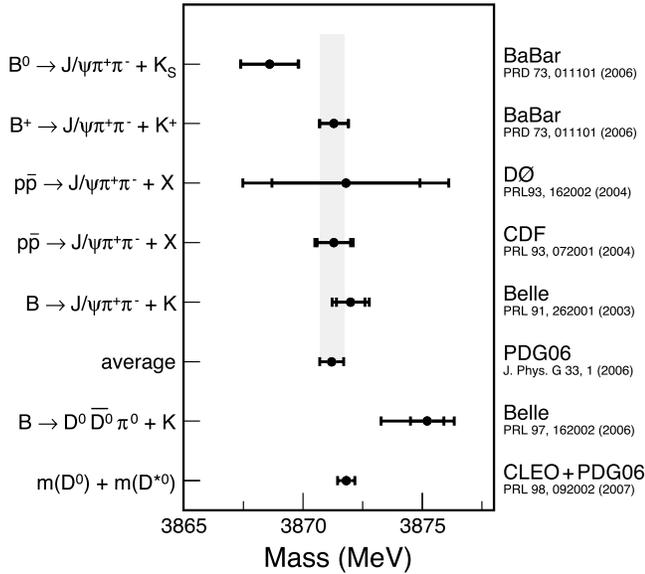


FIG. 8. Comparison of mass determinations: From  $X(3872) \rightarrow \pi^+ \pi^- J/\psi$ , their weighted average as computed by PDG, an observed threshold enhancement in  $B \rightarrow D^0 \bar{D}^0 \pi^0 + K$  (at  $2\sigma$  deviation from the average), and the sum of the  $D^0$  and  $D^*$  mass (Cawfield *et al.*, 2007).

that were indeed the case, the  $X(3872)$  could not be a charmonium state.

The decay  $X(3872) \rightarrow \pi^+ \pi^- \pi^0 J/\psi$  was observed at a rate comparable to that of  $\pi^+ \pi^- J/\psi$  (Abe *et al.*, 2005a) (preliminary results). The  $m(\pi^+ \pi^- \pi^0)$  distribution is concentrated at the highest values, coinciding with the kinematic limit, which spurred speculations that the decay might proceed through (the low-side tail of) an  $\omega$ . In any case, if confirmed, the coexistence of both the  $X(3872) \rightarrow \pi^+ \pi^- J/\psi$  and  $X(3872) \rightarrow \pi^+ \pi^- \pi^0 J/\psi$  transitions implies that the  $X(3872)$  is a mixture of both  $I=0$  and  $I=1$ .

Since the  $X(3872)$  lies well above  $D\bar{D}$  threshold but is narrower than experimental resolution, unnatural  $J^P = 0^-, 1^+, 2^-$  is favored. An angular distribution analysis by the Belle Collaboration, utilizing in part suggestions by Rosner (2004), favors  $J^{PC}=1^{++}$  (Abe *et al.*, 2005b); although a higher-statistics analysis by the CDF Collaboration cannot distinguish between  $J^{PC}=1^{++}$  or  $2^{++}$  (Abulencia *et al.*, 2007) (see also Kravchenko, 2006; Marsiske, 2006; Swanson, 2006).  $J^{PC}=2^{++}$  is disfavored by Belle's observation (Gokhroo *et al.*, 2006) of  $X \rightarrow D^0 \bar{D}^0 \pi^0$ , which would require at least two units of relative orbital angular momentum in the three-body state, very close to threshold.

Setting aside the  $X(3872) \rightarrow \pi^+ \pi^- \pi^0 J/\psi$  observation for the sake of argument, among conventional  $c\bar{c}$  states only the  $1D$  and  $2P$  multiplets are nearby in mass. Taking into account the angular distribution analysis, only the  $J^{PC}=1^{++}$   $2^3P_1$  and  $2^{++}$   $1^1D_2$  assignments are possible. The decay  $X(3872) \rightarrow \gamma J/\psi$  is observed at a rate about a quarter or less of that for  $X(3872) \rightarrow \pi^+ \pi^- J/\psi$  (Abe *et al.*, 2005a; Aubert *et al.*, 2006c). This decay would be an  $E1$

transition for  $2^3P_1$  but a more suppressed higher multipole for  $2^{++}$ , and therefore the  $J^{PC}=1^{++}$  interpretation appears more likely assuming  $c\bar{c}$  content. For a  $1^{++}$  state the only surviving candidate is the  $2^3P_1$ . However, identification of the  $Z(3931)$  with the  $2^3P_2$  implies a  $2^3P_2$  mass of  $\sim 3930$  MeV, which is inconsistent with the  $2^3P_1$  interpretation of  $X(3872)$  if the  $2^3P_2-2^3P_1$  mass splittings are decidedly lower than 50 MeV (Barnes *et al.*, 2005; Eichten *et al.*, 2006). This favors the conclusion that the  $X(3872)$  may be a  $D^0 \bar{D}^{*0}$  molecule or “tetraquark” (Maiani, Piccinini, *et al.*, 2005; Ebert *et al.*, 2006) state. One prediction of the tetraquark interpretation is the existence of a second  $X$  particle decaying to  $D^0 \bar{D}^0 \pi^0$  (Maiani, Piccinini, *et al.*, 2005), which has been reported by the Belle Collaboration (Pakhlova *et al.*, 2007). However, the  $X(3872)$  also has many features in common with an  $S$ -wave bound state of  $(D^0 \bar{D}^{*0} + \bar{D}^0 D^{*0})/\sqrt{2} \sim c\bar{c}u\bar{u}$  with  $J^{PC}=1^{++}$  (Tornqvist, 2003; Close and Page, 2004; Swanson, 2004a, 2004b). Its simultaneous decay to  $\rho J/\psi$  and  $\omega J/\psi$  with roughly equal branching ratios is a consequence of this “molecular” assignment. A new measurement of  $m(D^0) = 1864.847 \pm 0.150 \pm 0.095$  MeV/ $c^2$  (Cawfield *et al.*, 2007) implies  $m(D^0 \bar{D}^{*0}) = 3871.81 \pm 0.36$  MeV/ $c^2$  and hence a binding energy of  $0.6 \pm 0.6$  MeV (see also Fig. 8). Irrespective of its eventual interpretation, the evidence is mounting that the  $X(3872)$  is not a conventional  $c\bar{c}$  state.

## 2. Z(3930)

The Belle Collaboration has reported a candidate for a  $2^3P_2$  [ $\chi_{c2}(2P)$ ] state in  $\gamma\gamma$  collisions (Uehara *et al.*, 2006), decaying to  $D\bar{D}$ . The state appears as an enhancement in the  $m(D\bar{D})$  distribution at a statistical significance of  $5.3\sigma$ . The relative  $D^+D^-$  and  $D^0\bar{D}^0$  rates are consistent with expectations based on isospin invariance and the  $D^+-D^0$  mass difference. Combining charged and neutral modes, a fit shown in the left-hand panel of Fig. 9 yields mass and width  $M = 3929 \pm 5 \pm 2$  MeV and  $\Gamma = 29 \pm 10 \pm 2$  MeV, respectively. Although in principle the  $D$  pair could be produced from  $D^*\bar{D}$ , the observed transverse momentum spectrum of the  $D\bar{D}$  pair is consistent with no contribution from  $D^*\bar{D}$ .

The observation of decay to  $D\bar{D}$  makes it impossible for  $Z(3930)$  to be the  $\eta_c(3S)$  state. Both  $\chi_{c0}(2P)$  and  $\chi_{c2}(2P)$  are expected to decay to  $D\bar{D}$  [ $\chi_{c1}(2P)$  is not; it only decays to  $D^*\bar{D}$ ]. To distinguish between the two remaining hypotheses, the distribution in  $\theta^*$ , which is the angle of the  $D$  meson relative to the beam axis in the  $\gamma\gamma$  center-of-mass frame, is examined. This distribution is consistent with  $\sin^4 \theta^*$  as expected for a state with  $J=2$ ,  $\lambda=\pm 2$  (right-hand panel of Fig. 9). The two-photon width is, under the assumption of a tensor state, measured to be  $\Gamma_{\gamma\gamma} \mathcal{B}_{D\bar{D}} = 0.18 \pm 0.05 \pm 0.03$  keV.

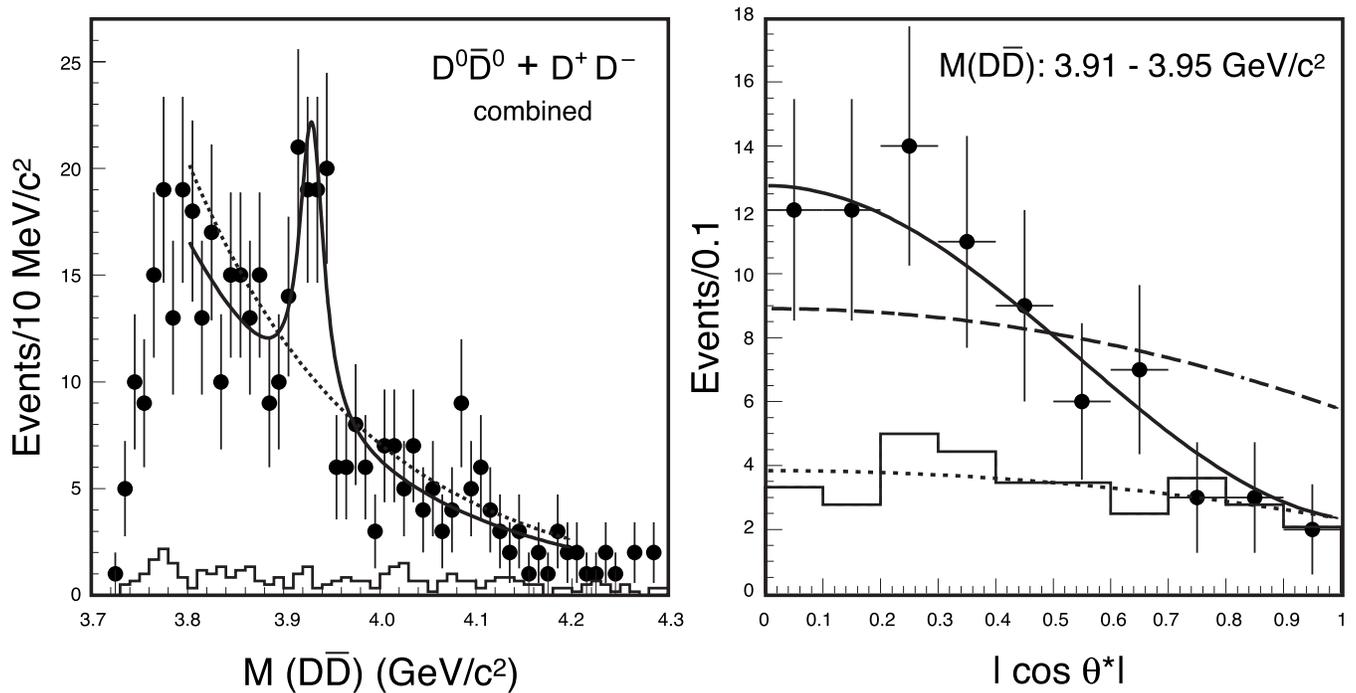


FIG. 9. Belle's  $\chi_{c2}(2P)$  candidate (Uehara *et al.*, 2006): Left: The invariant mass  $m(D\bar{D})$  distribution in two-photon production of the  $Z(3930)$ ,  $D^+D^-$  and  $D^0\bar{D}^0$  combined. The signal yield is  $64 \pm 18$  events. The two curves are fits with and without a resonance component. Right:  $\cos \theta^*$ , the angle of the  $D$  meson relative to the beam axis in the  $\gamma\gamma$  center-of-mass frame for events with  $3.91 < m(D\bar{D}) < 3.95$  GeV; the data (circles) are compared with predictions for  $J=2$  (solid) and  $J=0$  (dashed). The background level can be judged from the solid histogram or the interpolated smooth dotted curve.

The BaBar Collaboration has searched for  $Z(3930)$  decay into  $\gamma J/\psi$  (Aubert *et al.*, 2006c), and set an upper limit  $\mathcal{B}[B \rightarrow Z(3930) + K] \mathcal{B}[Z(3930) \rightarrow \gamma J/\psi] < 2.5 \times 10^{-6}$ .

The predicted mass of the  $\chi_{c2}(2P)$  is 3972 MeV and the predicted partial widths and total width assuming  $M[2^3P_2(c\bar{c})] = 3930$  MeV are (Eichten *et al.*, 2006)<sup>3</sup>

$$\Gamma[\chi_{c2}(2P) \rightarrow D\bar{D}] = 21.5 \text{ MeV},$$

$$\Gamma[\chi_{c2}(2P) \rightarrow D\bar{D}^*] = 7.1 \text{ MeV},$$

and

$$\Gamma_{\text{total}}[\chi_{c2}(2P)] = 28.6 \text{ MeV},$$

in good agreement with the experimental measurement. Furthermore, using  $\Gamma[\chi_{c2}(2P) \rightarrow \gamma\gamma] = 0.67$  keV (Barnes, 1992) times  $\mathcal{B}[\chi_{c2}(2P) \rightarrow D\bar{D}] = 70\%$  implies  $\Gamma_{\gamma\gamma} \mathcal{B}_{D\bar{D}} = 0.47$  keV, which is within a factor of 2 of the observed number, fairly good agreement considering the typical reliability of two-photon partial width predictions.

The observed  $Z(3930)$  properties are consistent with those predicted for the  $\chi_{c2}(2P)$   $2^3P_2(c\bar{c})$  state. So far, the only surprise is the observed mass, which is 40–50 MeV

<sup>3</sup>Barnes, Godfrey, and Swanson (Barnes *et al.*, 2005) obtained similar results when the  $2^3P_2$  mass is rescaled to 3930 MeV; see Swanson (2006).

below expectations. Adjusting that, all other properties observed so far can be accommodated within the framework of Eichten *et al.* (2006) and Swanson (2006). The  $\chi_{c2}(2P)$  interpretation could be confirmed by observation of the  $D\bar{D}^*$  final state. We also note that the  $\chi_{c2}(2P)$  is predicted to undergo radiative transitions to  $\psi(2S)$  with a partial width of  $\mathcal{O}(100 \text{ keV})$  (Barnes *et al.*, 2005; Eichten *et al.*, 2006).

### 3. $Y(3940)$

The  $Y(3940)$  was first seen by the Belle Collaboration in the  $\omega J/\psi$  subsystem in the decay  $B \rightarrow K\omega(\rightarrow \pi^+\pi^-\pi^0)J/\psi$  (Choi *et al.*, 2005). The final state is selected by kinematic constraints that incorporate the parent particle mass  $m(B)$  and the fact that the  $B$ -meson pair is produced with no additional particles. Background from decays such as  $K_1(1270) \rightarrow \omega K$  is reduced by requiring  $m(\omega J/\psi) > 1.6$  GeV. The  $K\omega J/\psi$  final state yield is then further examined in bins of  $m(\omega J/\psi)$ . A threshold enhancement is observed, shown in Fig. 10, which is fit with a threshold function suitable for phase-space production of this final state and an  $S$ -wave Breit-Wigner shape. The reported mass and width of the enhancement are  $M = 3943 \pm 11 \pm 13$  MeV and  $\Gamma = 87 \pm 22 \pm 26$  MeV. A fit without a resonance contribution gives no good description of the data. BaBar confirmed the existence of the state (Aubert *et al.*, 2008), also for charged and neutral  $B$  decays; the values are

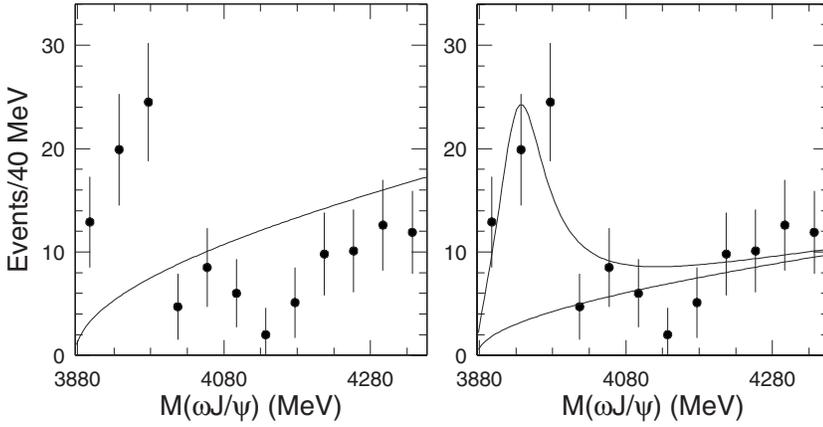


FIG. 10. Belle's  $\chi_{c1}(2P)$  candidate (Choi *et al.*, 2005): The invariant mass  $m(\omega J/\psi)$  distribution in  $B \rightarrow K\omega J/\psi$  decay. The signal yield is  $58 \pm 11$  events. The two curves are fits without (left) and including (right) a resonance component.

$M = 3914.6^{+3.8}_{-3.4} \pm 1.9$  MeV and  $\Gamma = 33^{+12}_{-8} \pm 5$  MeV, somewhat different from Belle's.

The mass and width of  $Y(3940)$  suggest a radially excited  $P$ -wave charmonium state. The combined branching ratio is  $\mathcal{B}(B \rightarrow KY)\mathcal{B}(Y \rightarrow \omega J/\psi) = (7.1 \pm 1.3 \pm 3.1) \times 10^{-5}$ . One expects that  $\mathcal{B}[B \rightarrow K\chi_{c1}(2P)] < \mathcal{B}(B \rightarrow K\chi_{c1}) = 4 \times 10^{-4}$ . This implies that  $\mathcal{B}(Y \rightarrow \omega J/\psi) > 12\%$ , which is unusual for a  $c\bar{c}$  state above open charm threshold.

For the  $\chi_{c1}(2P)$  we expect  $D\bar{D}^*$  to be the dominant decay mode with a predicted width of 140 MeV (Barnes, 2006), which is consistent with that of the  $Y(3940)$  within the theoretical and experimental uncertainties. Furthermore, the  $\chi_{c1}$  is also seen in  $B$  decays. Although the decay  $1^{++} \rightarrow \omega J/\psi$  is unusual, the corresponding decay  $\chi_{b1}(2P) \rightarrow \omega Y(1S)$  has also been seen (Cronin-Hennessy *et al.*, 2004). One possible explanation for this unusual decay mode is that rescattering through  $D\bar{D}^*$  is responsible:  $1^{++} \rightarrow D\bar{D}^* \rightarrow \omega J/\psi$ . Another contributing factor might be mixing with the possible molecular state tentatively identified with the  $X(3872)$ .

The BaBar Collaboration has searched for  $Y(3940)$  decay into  $\gamma J/\psi$  (Aubert *et al.*, 2006c), and set an upper limit  $\mathcal{B}[B \rightarrow Y(3940) + K]\mathcal{B}[Y(3940) \rightarrow \gamma J/\psi] < 1.4 \times 10^{-5}$ .

The  $\chi_{c1}(2P)$  assignment can be tested by searching for the  $D\bar{D}$  and  $D\bar{D}^*$  final states and by studying their angular distributions. With the present experimental data, a  $\chi_{c0}(2P)$  assignment cannot be ruled out.

#### 4. Charmonium in $e^+e^- \rightarrow J/\psi + X$ : $X(3940)$ and $X(4160)$

The Belle Collaboration studied double-charmonium production and  $e^+e^- \rightarrow J/\psi + X$  near the  $Y(4S)$  (Abe *et al.*, 2007a) and observed enhancements for the well-known charmonium states  $\eta_c$ ,  $\chi_{c0}$ , and  $\eta_c(2S)$ , at rates and masses consistent with other determinations. In addition, a peak at a higher energy was found. The mass and width were measured to be  $M = 3936 \pm 14 \pm 6$  MeV and  $\Gamma = 39 \pm 26$  (stat) MeV.

To further examine the properties of this enhancement, Belle searched for exclusive decays  $J/\psi \rightarrow D\bar{D}^{(*)}$ , given that these decays are kinematically accessible. The  $J/\psi$  recoil mass for the cases  $D\bar{D}$  and  $D\bar{D}^*$  are also shown in Fig. 11. An enhancement at the  $X(3940)$  mass is seen for  $D\bar{D}^*$ , but not for  $D\bar{D}$ . The mass and width determined in this study are  $M = 3943 \pm 6 \pm 6$  MeV and  $\Gamma < 52$  MeV (90% C.L.). Note that the inclusive and exclusive samples have some overlap, and thus the two mass measurements are not statistically independent.

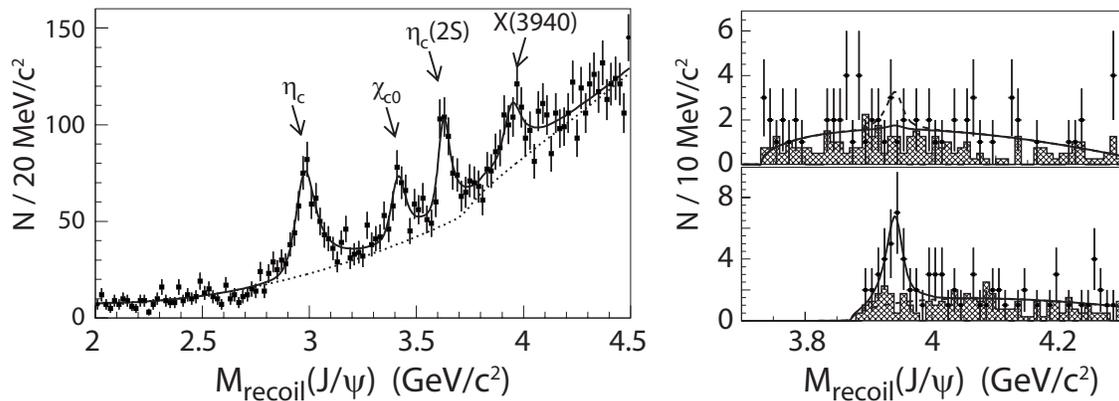


FIG. 11. Belle's  $X(3940)$  (Abe *et al.*, 2007a), sighted in  $e^+e^- \rightarrow J/\psi + X$ . Left: The mass of the system recoiling against the  $J/\psi$ . The excess at  $X(3940)$  contains  $266 \pm 63$  events and has a statistical significance of  $5.0\sigma$ . Right: Study of  $X(3940)$  decay into  $D$  mesons,  $e^+e^- \rightarrow J/\psi + D\bar{D}^{(*)}$ . Top:  $D\bar{D}$ , no signal is seen at 3940 MeV. Bottom:  $D\bar{D}^*$ , the signal amounts to  $24.5 \pm 6.9$  events ( $5.0\sigma$ ).

TABLE X. Properties of the  $X(3940)$  (Abe *et al.*, 2007a).

Mass	$3936 \pm 14 \pm 6$ MeV (incl.) $3943 \pm 6 \pm 6$ MeV ( $D\bar{D}^*$ )
Total width	$< 52$ MeV
$\mathcal{B}(X(3940) \rightarrow D\bar{D}^*)$	$(96_{-32}^{+45} \pm 22)\%$ , $> 45\%$ (90% C.L.)
$\mathcal{B}(X(3940) \rightarrow D\bar{D})$	$< 41\%$ (90% C.L.)
$\mathcal{B}(X(3940) \rightarrow \omega J/\psi)$	$< 26\%$ (90% C.L.)

The overlap has been eliminated for the branching fraction determination. A signal of  $5.0\sigma$  significance was seen for  $D\bar{D}^*$ , but none for  $D\bar{D}$ . In addition, the  $X(3940)$  did not show a signal for a decay to  $\omega J/\psi$ , unlike the  $Y(3940)$ . These findings are summarized in Table X.

The Belle Collaboration updated their study with slightly higher luminosity (Abe *et al.*, 2007b). The study confirmed the observation in the exclusive decay with comparable parameters of the  $X(3940)$  but higher significance, and added the following pieces of information: (i) There is no indication of an  $X(3940)$  signal in the invariant mass spectrum of  $D\bar{D}$ , but there is a statistically significant population spread out over a wide range. It is mandatory to understand this before it is possible to quantify an upper limit on  $X(3940) \rightarrow D\bar{D}$ . (ii) In the final state  $D^*\bar{D}^*$ , a peak of  $5.1\sigma$  statistical significance is fit with a Breit-Wigner shape and claimed as a resonance of mass  $M = 4156_{-20}^{+25} \pm 15$  MeV and width  $\Gamma = 139_{-61}^{+111} \pm 21$  MeV, distinct from the  $X(3940)$  (preliminary).

If confirmed, the decay to  $D\bar{D}^*$  but not  $D\bar{D}$  suggests the  $X(3940)$  has unnatural parity. The lower-mass states  $\eta_c(1S)$  and  $\eta_c(2S)$  are also produced in double charm production. One is therefore led to try an  $\eta_c(3S)$  assignment, although this state is expected to have a somewhat higher mass (Barnes *et al.*, 2005). The predicted width for a  $3^1S_0$  state with a mass of 3943 MeV is  $\sim 50$  MeV (Eichten *et al.*, 2006), which is in not too bad an agreement with the measured  $X(3940)$  width.

Another possibility due to the dominant  $D\bar{D}^*$  final states is that the  $X(3940)$  is the  $2^3P_1(c\bar{c})$   $\chi_1(2P)$  state. It is natural to consider the  $2P(c\bar{c})$  since the  $2^3P_J$  states are predicted to lie in the 3920–3980 MeV mass region and the widths are predicted to be in the range  $\Gamma(2^3P_J) = 30$ –165 MeV (Barnes *et al.*, 2005). The dominant  $D\bar{D}^*$  mode would then suggest that the  $X(3940)$  is the  $2^3P_1(c\bar{c})$  state. The problems with this interpretation are (i) there is no evidence for the  $1^3P_1(c\bar{c})$  state in the same data, (ii) the predicted width of the  $2^3P_1(c\bar{c})$  is 140 MeV [assuming  $m(2^3P_1(c\bar{c})) = 3943$  MeV] (Barnes, 2006), and (iii) there is another candidate for the  $2^3P_1(c\bar{c})$  state, the  $Y(3940)$ .

The most likely interpretation of the  $X(3940)$  is that it is the  $3^1S_0(c\bar{c})$   $\eta_c(3S)$  state. Tests of this assignment are to study the angular distribution of the  $D\bar{D}^*$  final state and to observe it in  $\gamma\gamma \rightarrow D\bar{D}^*$ .

### 5. $\pi^+\pi^-J/\psi$ in initial state radiation: $Y(4260)$ and $X(4008)$

Perhaps the most intriguing of the recently discovered states is the  $Y(4260)$  reported by the BaBar Collaboration as an enhancement in the  $\pi\pi J/\psi$  subsystem in the radiative return reaction  $e^+e^- \rightarrow \gamma_{\text{ISR}} J/\psi \pi\pi$  (Aubert *et al.*, 2005b), where “ISR” stands for initial state radiation. This and subsequent independent confirmation signals (He *et al.*, 2006; Yuan *et al.*, 2007) are shown in Fig. 12. The measured mass, width, and leptonic width times  $\mathcal{B}(Y(4260) \rightarrow J/\psi \pi^+\pi^-)$  are summarized in the first row of Table XI. Further evidence was seen by BaBar in  $B \rightarrow K(\pi^+\pi^-J/\psi)$  (Aubert *et al.*, 2006e).

The CLEO Collaboration has confirmed the  $Y(4260)$ , both in a direct scan (Coan *et al.*, 2006a) and in radiative return (He *et al.*, 2006). Results from the scan are shown in Fig. 13, including cross-section increases at  $E_{\text{cm}} = 4260$  MeV consistent with  $Y(4260) \rightarrow \pi^+\pi^-J/\psi$  ( $11\sigma$ ),  $\pi^0\pi^0J/\psi$  ( $5.1\sigma$ ), and  $K^+K^-J/\psi$  ( $3.7\sigma$ ). There are also weak signals for  $\psi(4160) \rightarrow \pi^+\pi^-J/\psi$  ( $3.6\sigma$ ) and  $\pi^0\pi^0J/\psi$  ( $2.6\sigma$ ), consistent with the  $Y(4260)$  tail, and for  $\psi(4040) \rightarrow \pi^+\pi^-J/\psi$  ( $3.3\sigma$ ). He *et al.* (2006) determined the resonance parameters shown in the second row of Table XI.

The Belle Collaboration (Yuan *et al.*, 2007), also in ISR events, fitted the  $\pi^+\pi^-J/\psi$  enhancement with an additional component, two coherent Breit-Wigner functions in total, in order to achieve a better description of the low-side tail of the  $Y(4260)$ . The fit resulted in mass and width of  $M = 4008 \pm 40_{-28}^{+72}$  MeV and  $\Gamma = 226 \pm 44_{-79}^{+87}$  MeV for the lower resonance. The values for the upper resonance [the  $Y(4260)$ ] are listed in Table XI. Interference leads to a twofold ambiguity in the rate, corresponding to constructive and destructive interference. Both solutions arrive at the same fit function. The two solutions differ markedly. The lower-lying state is not associated with any currently known charmonium state.

The invariant mass distribution  $m(\pi^+\pi^-)$  looks quite different for events at  $\sim 4260$  MeV than above and below; the distribution is shifted towards higher values, not consistent with phase space (Yuan *et al.*, 2007).

A variety of ratios between channels have been measured now (Aubert *et al.*, 2006d; Coan *et al.*, 2006a; Gowdy, 2006; Ye, 2006; Heltsley, 2007), which should help narrow down the possible explanations of  $Y(4260)$ . They are listed in Table XII. The preliminary upper limit for the ratio of  $D\bar{D}$  to  $\pi^+\pi^-J/\psi$  of 7.6 may not seem particularly tight at first glance, but is to be compared, for example, with the same ratio for the  $\psi(3770)$ , where it is about 500.

A number of explanations have appeared in the literature:  $\psi(4S)$  (Llanes-Estrada, 2005),  $c\bar{s}\bar{c}s$  tetraquark (Ma-

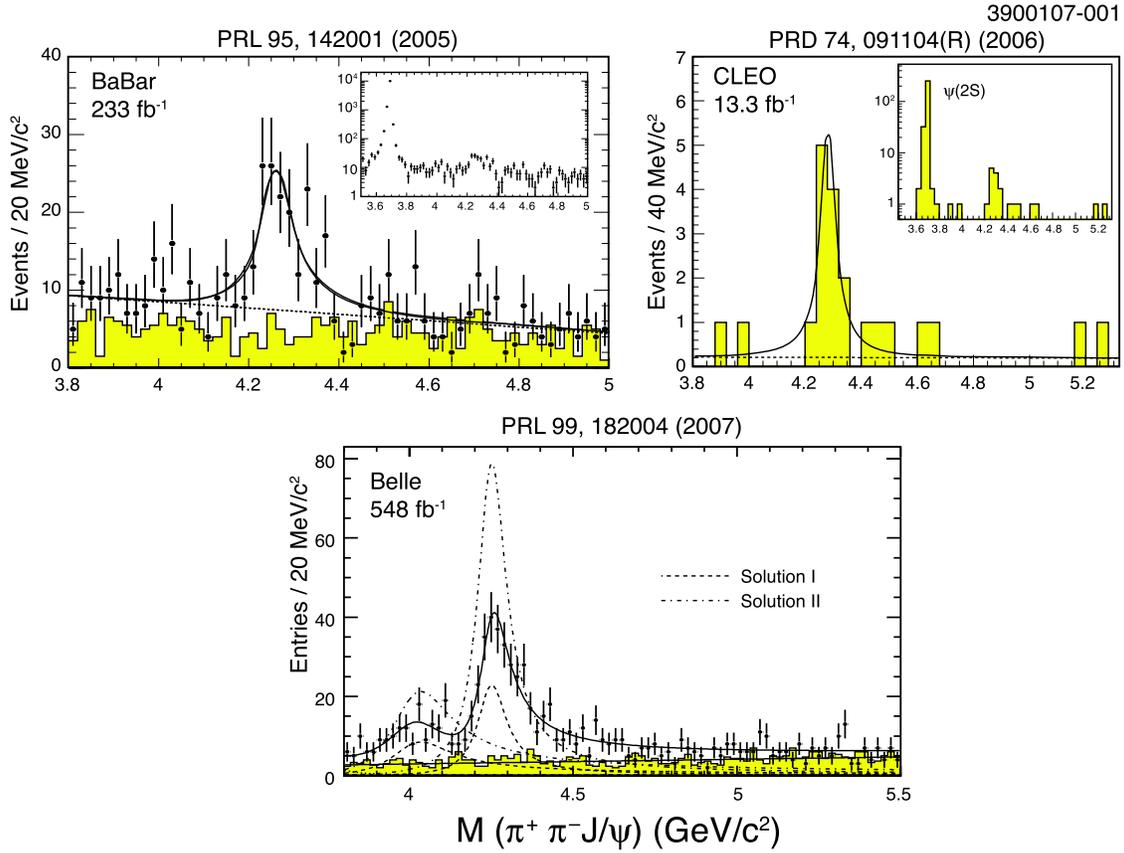


FIG. 12. (Color online)  $Y(4260)$  signal in ISR from the  $Y(4S)$  by the BaBar (Aubert *et al.*, 2005b), CLEO (He *et al.*, 2006), and Belle Collaborations (Yuan *et al.*, 2007). The fit parameters are given in Table XI.

iani, Riquer, *et al.*, 2005), and  $c\bar{c}$  hybrid (Close and Page, 2005; Kou and Pene, 2005; Zhu, 2005). In some models the mass of the  $Y(4260)$  is consistent with the  $4S(c\bar{c})$  level (Llanes-Estrada, 2005). Indeed, a  $4S$  charmonium level at  $4260 \text{ MeV}/c^2$  was anticipated on exactly this basis (Quigg and Rosner, 1977). With this assignment, the  $nS$  levels of charmonium and bottomonium are remarkably congruent to one another. However, other calculations using a linear plus Coulomb potential identify the  $4^3S_1(c\bar{c})$  level with the  $\psi(4415)$  state [see, e.g., Barnes *et al.* (2005)]. If this is the case, the first unaccounted-for  $1^{--}(c\bar{c})$  state is the  $\psi(3^3D_1)$ . Quark models estimate its

mass to be  $m(3^3D_1) \approx 4500 \text{ MeV}$ , which is much too heavy to be the  $Y(4260)$ . The  $Y(4260)$  therefore represents an overpopulation of the expected  $1^{--}$  states. The absence of open charm production also argues against it being a conventional  $c\bar{c}$  state.

The hybrid interpretation of  $Y(4260)$  is appealing. The flux tube model predicts that the lowest  $c\bar{c}$  hybrid mass is  $\sim 4200 \text{ MeV}$  (Barnes *et al.*, 1995) with lattice gauge theory having similar expectations (Lacock *et al.*, 1997). Models of hybrids typically expect the wave function at the origin to vanish implying a small  $e^+e^-$  width in agreement with the observed value. Lattice gauge theory

TABLE XI. Comparison of parameters of  $Y(4260)$  as measured by the BaBar (Aubert *et al.*, 2005b), CLEO (He *et al.*, 2006), and Belle (Yuan *et al.*, 2007) Collaborations.

Collab.	Mass ( $\text{MeV}/c^2$ )	$\Gamma$ ( $\text{MeV}/c^2$ )	$\Gamma_{ee}\mathcal{B}[Y(4260) \rightarrow \pi^+\pi^-J/\psi]$ (eV)
BaBar	$4259 \pm 8^{+2}_{-6}$	$88 \pm 23^{+6}_{-4}$	$5.5 \pm 1.0^{+0.8}_{-0.7}$
CLEO	$4284^{+17}_{-16} \pm 4$	$73^{+39}_{-25} \pm 5$	$8.9^{+3.9}_{-3.1} \pm 1.8$
Belle	Two-resonance fit:		
	$4247 \pm 12^{+17}_{-32}$	$108 \pm 19 \pm 10$	$6.0 \pm 1.2^{+4.7}_{-0.5}$ or $20.6 \pm 2.3^{+9.1}_{-1.7}$
	Single-resonance fit:		
	$4263 \pm 6$	$126 \pm 18$	$9.1 \pm 1.1$

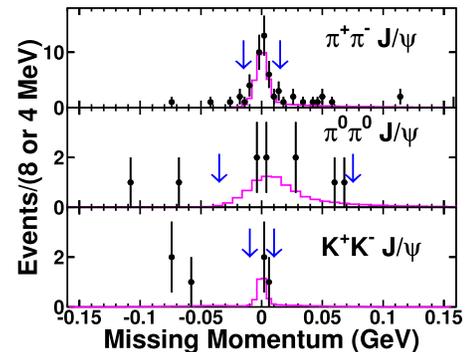


FIG. 13. (Color online) Evidence for  $Y(4260)$  from a direct scan by the CLEO Collaboration (Coan *et al.*, 2006a).

TABLE XII. Experimental results on  $Y(4260)$  decay. The last column gives the relative rate compared to  $\pi^+\pi^-J/\psi$  for each channel. Data are from [Coan \*et al.\* \(2006a\)](#) and [Heltsley \(2006\)](#), except (a) [Aubert \*et al.\* \(2006d\)](#), (b) [Gowdy \(2006\)](#), and (c) [Ye \(2006\)](#). Unless indicated otherwise, upper limits are at 90% C.L.

Channel	Cross section (pb)	$\mathcal{B}/\mathcal{B}_{\pi^+\pi^-J/\psi}$
$\pi^+\pi^-J/\psi$	$58_{-10}^{+12} \pm 4$	1
	$51 \pm 12$ (a)	1
$\pi^0\pi^0J/\psi$	$23_{-8}^{+12} \pm 1$	$0.39_{-0.15}^{+0.20} \pm 0.02$
$K^+K^-J/\psi$	$9_{-5}^{+9} \pm 1$	$0.15_{-0.08}^{+0.10} \pm 0.02$
$\eta J/\psi$	$<32$	$<0.6$
$\pi^0J/\psi$	$<32$	$<0.2$
$\eta'J/\psi$	$<19$	$<0.3$
$\pi^+\pi^-\pi^0J/\psi$	$<7$	$<0.1$
$\eta\eta J/\psi$	$<44$	$<0.8$
$\pi^+\pi^-\psi(2S)$	$<20$	$<0.3$
$\eta\psi(2S)$	$<25$	$<0.4$
$\omega\chi_{c0}$	$<234$	$<4.0$
$\gamma\chi_{c1}$	$<30$	$<0.5$
$\gamma\chi_{c2}$	$<90$	$<1.6$
$\pi^+\pi^-\pi^0\chi_{c1}$	$<46$	$<0.8$
$\pi^+\pi^-\pi^0\chi_{c2}$	$<96$	$<1.7$
$\pi^+\pi^-\phi$	$<5$	$<0.1$ [also see (b)]
$D\bar{D}$		$<7.6$ (95% C.L.) (c)
$p\bar{p}$		$<0.13$ (a)

found that the  $b\bar{b}$  hybrids have large couplings to closed flavor channels ([McNeile \*et al.\*, 2002](#)). This proposed scenario resembles the situation in charmonium, where the hybrid candidate  $Y(4260)$  shows a surprisingly large partial width  $\Gamma(\pi^+\pi^-J/\psi)$  compared to its other decay modes. Moreover,  $J/\psi\pi^+\pi^-$  production is much more prominent at the  $Y(4260)$  than at the conventional states  $\psi(4040)$ ,  $\psi(4160)$ , and  $\psi(4415)$ .

One predicted consequence of the hybrid hypothesis is that the dominant hybrid charmonium open-charm decay modes are expected to be a meson pair with an  $S$  wave ( $D$ ,  $D^*$ ,  $D_s$ ,  $D_s^*$ ) and a  $P$  wave ( $D_J$ ,  $D_{sJ}$ ) in the final state ([Close and Page, 2005](#)). The dominant decay mode is expected to be  $D\bar{D}_1 + c.c.$  (Subsequently we omit “+c.c.” in cases where it is to be understood.) A large  $D\bar{D}_1$  signal would be strong evidence for the hybrid interpretation. One complication is that the  $D\bar{D}_1$  threshold is  $4287 \text{ MeV}/c^2$  if we consider the lightest  $D_1$  to be the narrow state noted by [Yao \*et al.\* \(2006\)](#) at  $2422 \text{ MeV}/c^2$ . The possibility also exists that the  $Y(4260)$  could be a  $D\bar{D}_1$  bound state. It would decay to  $D\pi\bar{D}^*$ , where the  $D$  and  $\pi$  are not in a  $D^*$ . Note that the dip in  $R_{e^+e^-}$  occurs just below  $D\bar{D}_1$  threshold, which may be the first  $S$ -wave meson pair accessible in  $c\bar{c}$  fragmentation ([Close and Page, 2005](#); [Rosner, 2006a](#)). In addition

to the hybrid decay modes given above, lattice gauge theory suggests that we search for other closed charm modes with  $J^{PC}=1^{--}$ :  $J/\psi\eta$ ,  $J/\psi\eta'$ ,  $\chi_{cJ}\omega$ , and more. Distinguishing among the interpretations of the  $Y(4260)$  will likely require careful measurement of several decay modes.

If the  $Y(4260)$  is a hybrid, it is expected to be a member of a multiplet consisting of eight states with masses in the 4.0–4.5-GeV mass range with lattice gauge theory preferring the higher end of the range ([Liao and Manke, 2002](#)). It would be most convincing if some of these partners were found, especially the  $J^{PC}$  exotics. In the flux tube model the exotic states have  $J^{PC}=0^{+-}$ ,  $1^{-+}$ , and  $2^{+-}$  while the nonexotic low-lying hybrids have  $0^{++}$ ,  $1^{++}$ ,  $2^{++}$ ,  $1^{+-}$ , and  $1^{--}$ .

## 6. States decaying to $\pi^+\pi^-\psi(2S)$

In the radiative return process  $e^+e^- \rightarrow \gamma + X$ , the BaBar Collaboration ([Aubert \*et al.\*, 2007](#)) reported a broad structure decaying to  $\pi^+\pi^-\psi(2S)$ , where  $\psi(2S) \rightarrow \pi^+\pi^-J/\psi$ . A single-resonance hypothesis with  $m(X) = 4324 \pm 24$  (stat) MeV and  $\Gamma(X) = 172 \pm 33$  (stat) MeV is adequate to fit the observed mass spectrum.

The Belle Collaboration, with more than twice the sample size used in the BaBar analysis, observed two enhancements in the same reaction ([Wang \*et al.\*, 2007](#)): One that confirms BaBar’s measurement, at  $M = 4361 \pm 9 \pm 9$  MeV with a width  $\Gamma = 74 \pm 15 \pm 10$  MeV (statistical significance  $8\sigma$ ), and a second,  $M = 4664 \pm 11 \pm 5$  MeV with a width of  $\Gamma = 48 \pm 15 \pm 3$  MeV ( $5.8\sigma$ ). The existence of the higher-energy peak is not excluded in the BaBar data.

Given the uncertainty in the masses and widths of the lower state decaying into  $\pi^+\pi^-\psi(2S)$  and the  $Y(4260)$ , the possibility that they are different manifestations of the same state cannot be excluded.

## V. BOTTOMONIUM

### A. Overview

Some properties and decays of the  $Y(b\bar{b})$  levels are summarized in Fig. 2. The measured masses of the  $Y$  states below open flavor threshold have accuracies comparable to those in charmonium since similar techniques are used. Experimentally, the situation is more difficult due to the larger multiplicities involved and due to the increased continuum background compared to the charmonium region.

Modern data samples are CLEO’s 22M, 9M, 6M  $Y(1,2,3S)$  decays (with smaller off-resonance samples in addition) and Belle’s  $Y(3S)$  sample of 11M  $Y(3S)$ .

The  $\chi_{bJ}(1,2P)$  states are reached through  $E1$  transitions; branching fractions for  $n \rightarrow n-1$  range from 4% to 14%. Their masses are determined from the transition photon energies. Their intrinsic widths are not known. Examples of fits to the inclusive photon spectrum that led to  $\chi_{bJ}(1,2P)$  mass determinations ([Artuso \*et al.\*,](#)

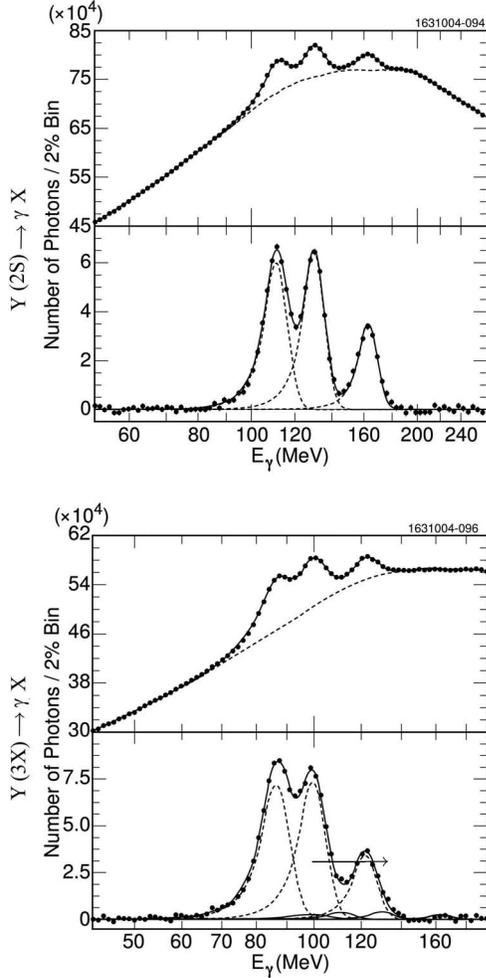


FIG. 14. Inclusive photon spectrum in  $Y(nS) \rightarrow \gamma X$ , for  $n=2$  (upper two plots) and  $n=3$  (lower two plots), before and after background subtraction. In the first and third plots, the dashed lines indicate the background level; in the second and fourth plots, the fit contributions for each resonance are delineated. The low-lying solid curves in the bottom plot show two background contributions. The three peaks corresponding to the  $\chi_{bj}(1,2P)$  are clearly visible. The peak position determines the  $\chi_{bj}(1,2P)$  masses. The signal area is used to determine the  $Y(nS) \rightarrow \gamma \chi_{bj}(1,2P)$  branching fraction. From Artuso *et al.*, 2005.

2005) are shown in Fig. 14. Exclusive hadronic decays of the  $\chi_{bj}(1,2P)$  states have not been reported; information exists only on transitions within the bottomonium spectrum. An  $Y(1D)$  candidate has been observed; the singlets  $\eta_b(1,2S)$  and  $h_b(1,2P)$  have thus far escaped detection.

Mass differences within the bottomonium spectrum are in agreement with unquenched lattice QCD calculations (Lepage, 2005). Direct photons have been observed in  $1S$ ,  $2S$ , and  $3S$  decays, leading to estimates of the strong fine-structure constant  $\alpha_s$  consistent with others (Besson *et al.*, 2006b). The transitions  $\chi_b(2P) \rightarrow \pi\pi\chi_b(1P)$  have been seen (Cawfield *et al.*, 2006). BaBar has observed  $Y(4S) \rightarrow \pi^+\pi^-Y(1S,2S)$  transitions (Aubert *et al.*, 2006b), while Belle has seen  $Y(4S) \rightarrow \pi^+\pi^-Y(1S)$  (Sokolov *et al.*, 2007).

Decays to light hadrons proceed, as in the case of the charmonium states, via annihilation of the heavy quarks into  $ggg$ ,  $gg\gamma$ , or  $\gamma^*$ , which subsequently hadronize. At higher energies, fragmentation into low-multiplicity states is suppressed, and so the second step makes it difficult to arrive at a simple scaling prediction to translate bottomonium and charmonium results into each other. Comparing the  $Y$  states with each other, for example, by constructing a prescription akin to the 12% rule in charmonium, is possible, but to date only a few exclusive radiative decays to light mesons, but no exclusive nonradiative decays to light mesons, have been observed.

## B. $Y(1S,2S,3S)$

### 1. Masses and total widths

The best measurements of the narrow  $Y(nS)$  states, as was the case for the  $J^{PC}=1^{--}$  states in charmonium, come from fits to the cross section  $Y(nS) \rightarrow \text{hadrons}$  around the resonance together with a precise beam energy calibration using resonant depolarization. This leads to precision mass determinations with uncertainties of order 100 keV.

The  $Y(1S)$  mass measurements from CUSB (Mackay *et al.*, 1984) and MD-1 (Artamonov *et al.*, 2000) each have a relative precision of 1 part in  $10^5$ , but are about 0.5 MeV apart. The  $Y(2S)$  determinations by MD-1 (Artamonov *et al.*, 2000) and DORIS experiments (Barber *et al.*, 1984) agree well. There is only one measurement of  $m[Y(3S)]$ , again by MD-1 (Artamonov *et al.*, 2000).

The below-flavor  $Y(nS)$  states are narrow, some 10 keV, whereas the  $Y(4S)$ , for which the decay to  $B\bar{B}$  is kinematically possible, has a full width three orders of magnitude higher. The intrinsic widths of the  $Y(1,2,3S)$  cannot be determined directly in  $e^+e^-$  collisions as they lie well below the beam energy spread. They can be determined indirectly, using the relation

$$\Gamma = \frac{\Gamma_{\ell\ell}}{\mathcal{B}_{\ell\ell}} = \frac{\Gamma_{ee}}{\mathcal{B}_{\mu\mu}}, \quad (32)$$

where the last step assumes lepton universality. Expanding using the hadronic partial width  $\Gamma_{\text{had}} = (1 - 3\mathcal{B}_{\mu\mu})/\Gamma$ , Eq. (32) reads

$$\Gamma = \frac{\Gamma_{ee}\Gamma_{\text{had}}/\Gamma}{\mathcal{B}_{\mu\mu}(1 - 3\mathcal{B}_{\mu\mu})}. \quad (33)$$

The expression in the numerator is directly accessible in the reaction  $e^+e^- \rightarrow Y(nS) \rightarrow \text{hadrons}$ ; the integral of the hadronic cross section over the resonance is proportional to the product of widths. The muonic branching fraction can be determined from a measurement of  $\xi = \Gamma_{\mu\mu}/\Gamma_{\text{hadrons}}$ , which is independent of the total width;  $\mathcal{B}_{\mu\mu} = \Gamma_{\mu\mu}/\Gamma = \Gamma_{\mu\mu}/(\Gamma_{\text{had}} + 3\Gamma_{\mu\mu}) = \xi/(1 + 3\xi)$ . The current status of experimental precision is below 2% for  $\Gamma_{ee}\Gamma_{\text{had}}/\Gamma$  and 3–4% for  $\mathcal{B}_{\mu\mu}$ . The corresponding measurements are discussed in Sec. V.B.2.

TABLE XIII. Comparison of (a) observed and (b) predicted partial widths for  $2S \rightarrow 1P_J$  and  $3S \rightarrow 2P_J$  transitions in  $b\bar{b}$  systems.

	$\Gamma$ (keV), $2S \rightarrow 1P_J$ transitions			$\Gamma$ (keV), $3S \rightarrow 2P_J$ transitions		
	$J=0$	$J=1$	$J=2$	$J=0$	$J=1$	$J=2$
(a)	$1.20 \pm 0.18$	$2.22 \pm 0.23$	$2.32 \pm 0.23$	$1.38 \pm 0.19$	$2.95 \pm 0.30$	$3.21 \pm 0.33$
(b)	1.39	2.18	2.14	1.65	2.52	2.78

## 2. Leptonic branching ratios and partial widths

New values of  $\mathcal{B}[Y(1S, 2S, 3S) \rightarrow \mu^+ \mu^-] = (2.49 \pm 0.02 \pm 0.07, 2.03 \pm 0.03 \pm 0.08, 2.39 \pm 0.07 \pm 0.10)\%$  (Adams *et al.*, 2005), when combined with new measurements  $\Gamma_{ee}(1S, 2S, 3S) = (1.354 \pm 0.004 \pm 0.020, 0.619 \pm 0.004 \pm 0.010, 0.446 \pm 0.004 \pm 0.007)$  keV (Rosner *et al.*, 2006), imply total widths  $\Gamma_{\text{tot}}(1S, 2S, 3S) = (54.4 \pm 1.8, 30.5 \pm 1.4, 18.6 \pm 1.0)$  keV. The values of  $\Gamma_{\text{tot}}(2S, 3S)$  changed considerably with respect to previous world averages. Combining with previous data, the Particle Data Group (Yao *et al.*, 2006) now quotes  $\Gamma_{\text{tot}}(1S, 2S, 3S) = (54.02 \pm 1.25, 31.98 \pm 2.63, 20.32 \pm 1.85)$  KeV, which we use in what follows. This will lead to changes in comparisons of predicted and observed transition rates. As one example, the study of  $Y(2S, 3S) \rightarrow \gamma X$  decays (Artuso *et al.*, 2005) has provided new branching ratios for  $E1$  transitions to  $\chi_{bj}(1P), \chi_{bj}(2P)$  states. These may be combined with the new total widths to obtain updated partial decay widths [line (a) in Table XIII], which may be compared with one set of nonrelativistic predictions (Kwong and Rosner, 1988) [line (b)]. The suppression of transitions to  $J=0$  states by 10–20 % with respect to nonrelativistic expectations agrees with relativistic predictions (McClary and Byers, 1983; Moxhay and Rosner, 1983; Skwarnicki, 2005). The partial width for  $Y(3S) \rightarrow \gamma 1^3P_0$  is found to be  $61 \pm 23$  eV, about nine times the highly suppressed value predicted by Kwong and Rosner (1988). That prediction is very sensitive to details of wave functions; the discrepancy indicates the importance of relativistic distortions.

The branching ratios  $\mathcal{B}[Y(1S, 2S, 3S) \rightarrow \tau^+ \tau^-]$  have been measured by the CLEO Collaboration (Besson *et al.*, 2007), and are shown in Table XIV. They are consistent with lepton universality and represent the first measurement of the  $Y(3S) \rightarrow \tau\tau$  branching ratio.

## 3. $\gamma g g / g g g$ ratios

The direct photon spectrum in  $1S, 2S, 3S$  decays has been measured using CLEO III data (Besson *et al.*,

TABLE XIV. Ratio  $R_{\tau\tau} \equiv \mathcal{B}[Y(nS) \rightarrow \tau\tau] / \mathcal{B}[Y(nS) \rightarrow \mu\mu]$  and  $\mathcal{B}[Y(nS) \rightarrow \tau\tau]$  (Besson *et al.*, 2007).

	$R_{\tau\tau}$	$\mathcal{B}[Y(nS) \rightarrow \tau\tau]$ (%)
$Y(1S)$	$1.02 \pm 0.02 \pm 0.05$	$2.54 \pm 0.04 \pm 0.12$
$Y(2S)$	$1.04 \pm 0.04 \pm 0.05$	$2.11 \pm 0.07 \pm 0.13$
$Y(3S)$	$1.05 \pm 0.08 \pm 0.05$	$2.52 \pm 0.19 \pm 0.15$

2006b). The ratios  $R_\gamma \equiv \mathcal{B}(g\gamma g) / \mathcal{B}(g\gamma g)$  are found to be  $R_\gamma(1S) = (2.70 \pm 0.01 \pm 0.13 \pm 0.24)\%$ ,  $R_\gamma(2S) = (3.18 \pm 0.04 \pm 0.22 \pm 0.41)\%$ , and  $R_\gamma(3S) = (2.72 \pm 0.06 \pm 0.32 \pm 0.37)\%$ .  $R_\gamma(1S)$  is consistent with an earlier CLEO value of  $(2.54 \pm 0.18 \pm 0.14)\%$ .

## C. $E1$ transitions between $\chi_{bj}(nP)$ and $S$ states

We have already discussed the inclusive branching ratios for the transitions  $Y(2S) \rightarrow \gamma \chi_{bj}(1P)$ ,  $Y(3S) \rightarrow \gamma \chi_{bj}(1P)$ , and  $Y(3S) \rightarrow \gamma \chi_{bj}(2P)$ . When these are combined with branching ratios for exclusive transitions where the photons from  $\chi_{bj} \rightarrow \gamma Y(1S)$  and  $\chi_{bj}(2P) \rightarrow \gamma Y(1S, 2S)$  and the subsequent decays  $Y(1S, 2S) \rightarrow \ell^+ \ell^-$  also are observed, one can obtain branching ratios for the radiative  $E1$  decays of the  $\chi_{bj}(1P)$  and  $\chi_{bj}(2P)$  states. The  $\chi_{bj}(1P)$  branching ratios have not changed since the treatment by Kwong and Rosner (1988), and are consistent with the predictions quoted there. There has been some improvement in knowledge of the  $\chi_{bj}(2P)$  branching ratios, as summarized in Table XV.

The dipole matrix elements for  $Y(2S) \rightarrow \gamma \chi_{bj}(1P)$  and  $Y(3S) \rightarrow \gamma \chi_{bj}(2P)$  are shown in Figs. 15 and 16, along with predictions of various models. The dipole matrix element predictions are in generally good agreement with the observed values.

As already pointed out, the most notable exceptions are the matrix elements  $\langle 3^3S_1 | r | 1^3P_J \rangle$ . In the NR limit this overlap is less than 5% of any other  $S$ - $P$  overlap, and its suppression occurs for a broad range of potential shapes (Grant and Rosner, 1992). This dynamical acci-

TABLE XV. Predicted (Kwong and Rosner, 1988) and measured (Yao *et al.*, 2006) branching ratios for  $\chi_{bj}(2P) = 2^3P_J$  radiative  $E1$  decays.

Level	Final state	Predicted <sup>a</sup> $\mathcal{B}$ (%)	Measured <sup>b</sup> $\mathcal{B}$ (%)
$2^3P_0$	$\gamma+1S$	0.96	$0.9 \pm 0.6$
	$\gamma+2S$	1.27	$4.6 \pm 2.1$
$2^3P_1$	$\gamma+1S$	11.8	$8.5 \pm 1.3$
	$\gamma+2S$	20.2	$21 \pm 4$
$2^3P_2$	$\gamma+1S$	5.3	$7.1 \pm 1.0$
	$\gamma+2S$	18.9	$16.2 \pm 2.4$

<sup>a</sup>From Kwong and Rosner, 1988.

<sup>b</sup>From Yao *et al.*, 2006.

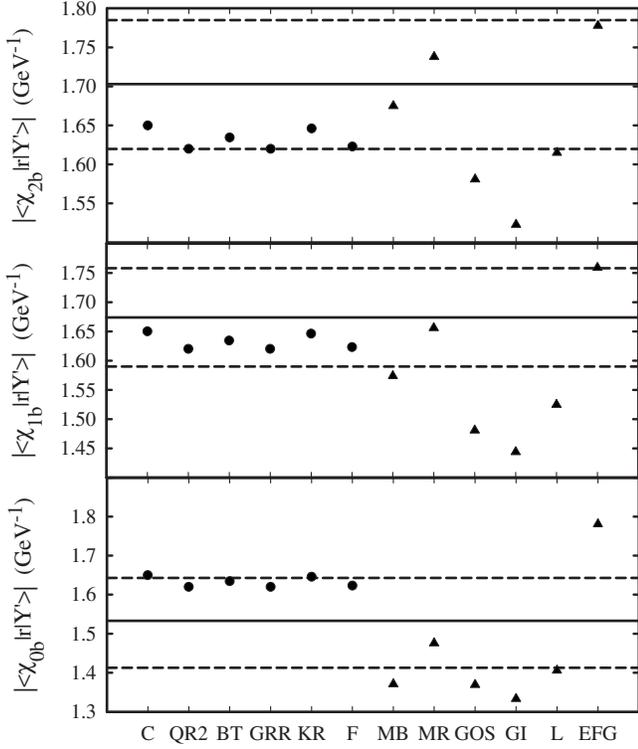


FIG. 15.  $E1$  dipole transition matrix elements for the bottomonium decays  $2^3S_1 \rightarrow 1^3P_J$ . The labels are the same as in Fig. 3 with the addition of two sets of predictions: KR Kwong-Rosner (Kwong and Rosner, 1988); F, Fulcher (Fulcher, 1990).

dent makes these transition rates sensitive to the details of wave functions and relativistic corrections, which are not known to this level of precision. This sensitivity is shown most clearly by examining the signs of the matrix elements as well as their magnitudes. The average experimental value for this matrix element is  $\langle 3^3S_1 | r | 1^3P_J \rangle = 0.050 \pm 0.006 \text{ GeV}^{-1}$  (Cinabro *et al.*, 2002). Taking the predictions of Godfrey and Isgur (1985) for comparison, the average over  $J$  values gives  $0.052 \text{ GeV}^{-1}$ , which is in good agreement with the observed value. However, more detailed scrutiny gives  $0.097$ ,  $0.045$ , and  $-0.015 \text{ GeV}^{-1}$  for  $J=2$ ,  $1$ , and  $0$  matrix elements, respectively. Not only is there a large variation in the magnitudes but the sign also changes, highlighting how sensitive the results for this particular transition are to details of the model due to delicate cancellations in the integral.

The branching ratios can also be used to measure the ratios of various  $E1$  matrix elements, which can then be compared to potential model predictions. The CLEO Collaboration (Cinabro *et al.*, 2002) obtained the following values for ratios:

$$\frac{|\langle 2^3P_2 | r | 1^3S_1 \rangle|}{|\langle 2^3P_2 | r | 2^3S_1 \rangle|} = 0.105 \pm 0.004 \pm 0.006,$$

$$\frac{|\langle 2^3P_1 | r | 1^3S_1 \rangle|}{|\langle 2^3P_1 | r | 2^3S_1 \rangle|} = 0.087 \pm 0.002 \pm 0.005,$$

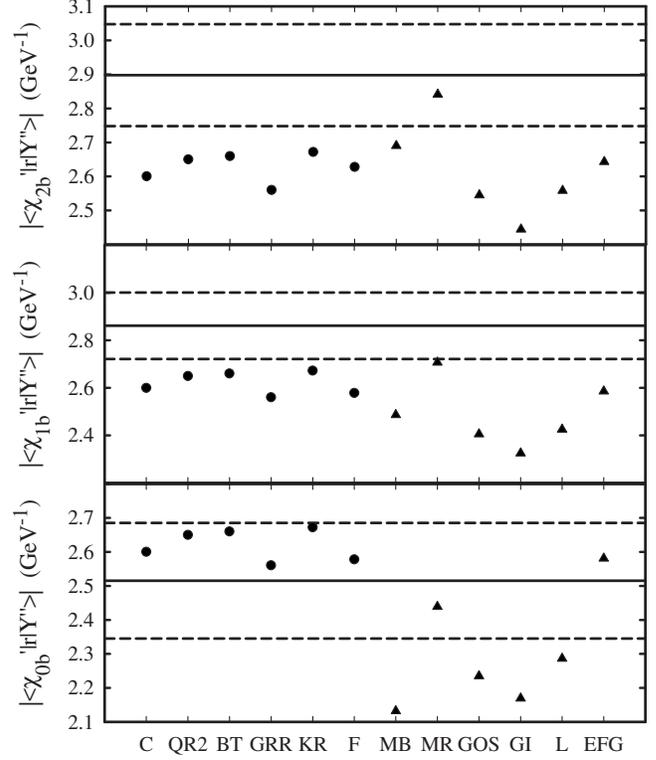


FIG. 16.  $E1$  dipole transition matrix elements for the bottomonium decays  $3^3S_1 \rightarrow 2^3P_J$ . The labels are the same as in Fig. 15.

$$\frac{|\langle 2^3P_{1,2} | r | 1^3S_1 \rangle|}{|\langle 2^3P_{1,2} | r | 2^3S_1 \rangle|} = 0.096 \pm 0.002 \pm 0.005,$$

where the final ratio averages the results for  $J=1$  and  $J=2$ . In nonrelativistic calculations the  $E1$  matrix elements do not depend on  $J$ . The deviation of the results for  $J=1$  and  $J=2$  from each other suggests relativistic contributions to the matrix elements.

#### D. $D$ -wave states

The precise information on the masses of  $S$ -wave and  $P$ -wave  $b\bar{b}$  levels leads to highly constrained predictions for the masses and production rates for the  $D$ -wave levels (Kwong and Rosner, 1988; Godfrey and Rosner, 2001a). The CLEO Collaboration (Bonvicini *et al.*, 2004) has presented evidence for at least one of these levels in the four-photon cascade  $Y(3S) \rightarrow \gamma\chi_b(2P)$ ,  $\chi_b(2P) \rightarrow \gamma Y(1D)$ ,  $Y(1D) \rightarrow \gamma\chi_b(1P)$ ,  $\chi_b(1P) \rightarrow \gamma Y(1S)$ , followed by the  $Y(1S)$  annihilation into  $e^+e^-$  or  $\mu^+\mu^-$ . CLEO III (Bonvicini *et al.*, 2004) finds their data are dominated by the production of one  $Y(1D)$  state consistent with the  $J=2$  assignment and a mass  $10161.1 \pm 0.6 \pm 1.6 \text{ MeV}$ , which is consistent with predictions from potential models and lattice QCD calculations. The signal product branching ratio obtained is  $\mathcal{B}(\gamma\gamma\gamma\gamma\ell^+\ell^-)_{Y(1D)} = (2.5 \pm 0.5 \pm 0.5) \times 10^{-5}$  where the first error is statistical and the second one is systematic. The branching ratio is

consistent with the theoretical estimate of  $2.6 \times 10^{-5}$  (Kwang and Rosner, 1988; Godfrey and Rosner, 2001a) for the  $Y(1^3D_2)$  intermediate state.

### E. New hadronic transitions

#### 1. $\chi_{b1,2}(2P) \rightarrow \omega Y(1S)$

The first transition of one heavy quarkonium state to another involving  $\omega$  emission was reported by the CLEO Collaboration (Cronin-Hennessy *et al.*, 2004):  $Y(2^3P_{1,2}) \rightarrow \omega Y(1S)$ , which we have already mentioned in connection with the corresponding transition for the  $\chi_{c1}(2P)$  ( $2^3P_1$ ) charmonium state.

#### 2. $\chi_{b1,2}(2P) \rightarrow \chi_{b1,2}$

The transitions  $\chi_b(2P) \rightarrow \chi_b(1P)\pi\pi$  have been observed for the first time (Cawfield *et al.*, 2006). One looks for  $Y(3S) \rightarrow \gamma\chi_b(2P) \rightarrow \gamma\pi\pi\chi_b(1P) \rightarrow \gamma\pi\pi\gamma Y(1S)$  in CLEO data consisting of  $5.8 \times 10^6$   $Y(3S)$  events. Both charged and neutral pions are detected. Assuming that  $\Gamma[\chi_{b1}(2P) \rightarrow \pi\pi\chi_{b1}(1P)] = \Gamma[\chi_{b2}(2P) \rightarrow \pi\pi\chi_{b2}(1P)]$ , both are found equal to  $0.83 \pm 0.22 \pm 0.08 \pm 0.19$  keV, with the uncertainties being statistical, internal CLEO systematics, and common systematics from outside sources. This value is in satisfactory agreement with theoretical expectations (Kuang and Yan, 1981).

#### 3. Searches for $Y(2S, 3S) \rightarrow \eta Y(1S)$

The decay  $\psi(2S) \rightarrow \eta J/\psi$  has been known to occur since the early decays of charmonium spectroscopy. The world average for its branching ratio is  $\mathcal{B}[\psi(2S) \rightarrow \eta J/\psi] = (3.09 \pm 0.08)\%$  (Yao *et al.*, 2006). The corresponding  $Y(2S) \rightarrow \eta Y(1S)$  process is represented by the upper limit  $\mathcal{B} < 2 \times 10^{-3}$  (Fonseca *et al.*, 1984). The corresponding upper limit for  $Y(3S) \rightarrow \eta Y(1S)$  is  $\mathcal{B} < 2.2 \times 10^{-3}$  (Brock *et al.*, 1991). However, because these transitions involve a quark spin flip, they are expected to be highly suppressed in the  $b\bar{b}$  system. Defining the ratios

$$R' \equiv \frac{\Gamma[Y(2S) \rightarrow \eta Y(1S)]}{\Gamma[\psi(2S) \rightarrow \eta J/\psi]},$$

$$R'' \equiv \frac{\Gamma[Y(3S) \rightarrow \eta Y(1S)]}{\Gamma[\psi(2S) \rightarrow \eta J/\psi]}, \quad (34)$$

Yan (1980) estimated  $R' \approx 1/400$ , while Kuang (2006) found in one model  $R' = 0.0025$ ,  $R'' = 0.0013$ .

Combining these results with the latest total widths (Yao *et al.*, 2006), one predicts

$$\mathcal{B}[Y(2S) \rightarrow \eta Y(1S)] = (8.1 \pm 0.8) \times 10^{-4}, \quad (35)$$

$$\mathcal{B}[Y(3S) \rightarrow \eta Y(1S)] = (6.7 \pm 0.7) \times 10^{-4}. \quad (36)$$

The present CLEO III samples of  $9 \times 10^6$   $Y(2S)$  and  $6 \times 10^6$   $Y(3S)$  decays are being used to test these predictions. Preliminary results (Kreinick, 2007) indicate  $\mathcal{B}[Y(2S) \rightarrow \eta Y(1S)] = (2.5 \pm 0.7 \pm 0.5) \times 10^{-4}$  and

$$\mathcal{B}[Y(2S) \rightarrow \pi^0 Y(1S)] < 2.1 \times 10^{-4} \text{ (90\% C.L.)}$$

### F. Searches for spin singlets

Decays of the  $Y(1S, 2S, 3S)$  states can yield  $b\bar{b}$  spin singlets, but none have been seen yet. One expects 1S, 2S, and 3S hyperfine splittings to be approximately 60, 30, and 20 MeV/ $c^2$  (Godfrey and Rosner, 2001b). The lowest  $P$  wave singlet state ( $h_b$ ) is expected to be near  $\langle m(1^3P_J) \rangle \approx 9900$  MeV/ $c^2$  (Godfrey and Rosner, 2002).

The CDF Collaboration has identified events of the form  $B_c \rightarrow J/\psi\pi\pi^\pm$ , allowing for the first time a precise determination of the mass. The value quoted by Aaltonen *et al.* (2008),  $m(B_c) = 6275.6 \pm 2.9 \pm 2.5$  MeV/ $c^2$ , is in reasonable accord with the latest lattice prediction of  $6304 \pm 12_{-0}^{+18}$  MeV (Allison *et al.*, 2005).

The mass of the observed  $b\bar{c}$  state can be used to distinguish among various theoretical approaches to  $c\bar{c}$ ,  $b\bar{c}$ , and  $b\bar{b}$  spectra. In this manner, in principle, one can obtain a more reliable prediction of the masses of unseen  $b\bar{b}$  states such as  $\eta_b(1S, 2S, 3S)$ . For example, by comparing predictions of potential models to the measured values of the  $J/\psi$ ,  $\eta_c$ ,  $Y$ , and  $B_c$  states one could use the prediction of the most reliable models (Godfrey and Isgur, 1985; Fulcher, 1991, 1999; Eichten and Quigg, 1994; Ebert *et al.*, 2003a) to estimate the mass of the  $\eta_b(1S) = 9400 - 9410$  MeV.

Several searches have been performed or are under way in 1S, 2S, and 3S CLEO data. The allowed  $M1$  transition in  $Y(1S) \rightarrow \gamma\eta_b(1S)$  can be studied by reconstructing exclusive final states in  $\eta_b(1S)$  decays. One may be able to dispense with the soft photon, which could be swallowed up in background. Final states are likely to be of high multiplicity.

One can search for higher-energy but suppressed  $M1$  photons in  $Y(n'S) \rightarrow \gamma\eta_b(nS)$  ( $n \neq n'$ ) decays. Inclusive searches already exclude many models. The strongest upper limit obtained is for  $n' = 3$ ,  $n = 1$ :  $\mathcal{B} < 4.3 \times 10^{-4}$  (90% C.L.) (Artuso *et al.*, 2005). Exclusive searches (in which  $\eta_b$  decay products are reconstructed) also hold some promise. Searches for  $\eta_b$  using the sequential processes  $Y(3S) \rightarrow \pi^0 h_b(1^1P_1) \rightarrow \pi^0 \gamma \eta_b(1S)$  and  $Y(3S) \rightarrow \gamma \chi_{b0}(2P) \rightarrow \gamma \eta \eta_b(1S)$  [suggested by Voloshin (2004)] are being conducted. Additional searches for  $h_b$  involve the transition  $Y(3S) \rightarrow \pi^+ \pi^- h_b$  [for which a typical experimental upper bound based on earlier CLEO data (Brock *et al.*, 1991; Butler *et al.*, 1994) is  $\mathcal{O}(10^{-3})$ ]. The  $h_b \rightarrow \gamma \eta_b$  transition is expected to have a 40% branching ratio (Godfrey and Rosner, 2002), much like  $h_c \rightarrow \gamma \eta_c$ .

### G. $Y(4S)$

The  $Y(4S)$  is the lowest-lying bound bottomonium state above open-flavor threshold. Its mass and total width as well as electronic width have been determined in scans, most recently by the BaBar Collaboration (Aubert *et al.*, 2005a):  $M = 10579.3 \pm 0.4 \pm 1.2$  MeV/ $c^2$ ,

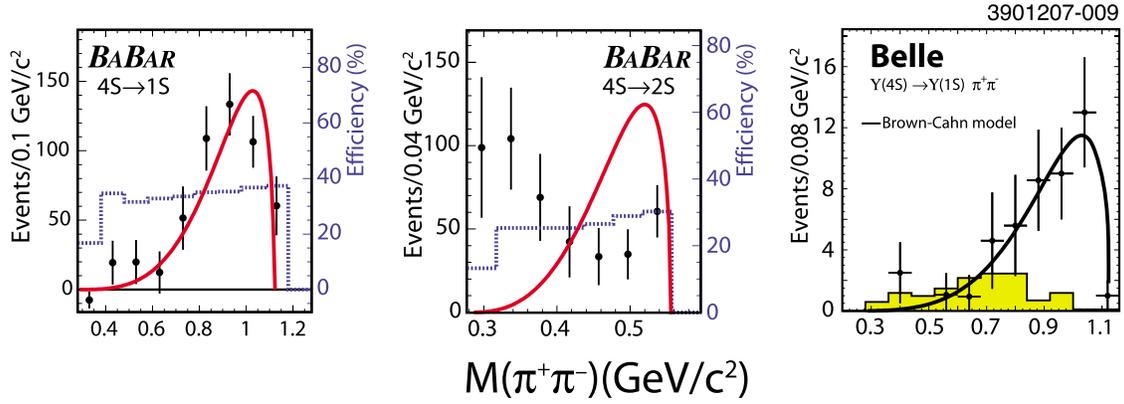


FIG. 17. (Color online) Invariant mass of the dipion system in  $Y(4S) \rightarrow \pi^+ \pi^- Y(1,2S)$  as measured by the BaBar Collaboration (Aubert *et al.*, 2006b) and Belle (Sokolov *et al.*, 2007) (points), after efficiency correction. For the BaBar figures, the dotted line is the selection efficiency, and the solid line is the prediction of Kuang and Yan (1981). In the Belle plot, the shaded histogram is a background estimate, and the curve is based on the model by Brown and Cahn (1975); Voloshin (1975); and Yan (1980).

$\Gamma_{ee} = 0.321 \pm 0.017 \pm 0.029$  keV, and  $\Gamma = 20.7 \pm 1.6 \pm 2.5$  MeV. Although the  $Y(4S)$  has primarily been regarded as a  $B\bar{B}$  “factory,” its decays to bound  $b\bar{b}$  states are beginning to be observed in the large data samples accumulated by the BaBar and Belle Collaborations. This is not surprising, as the corresponding first charmonium state above flavor threshold, the  $\psi(3770)$ , does decay—rarely—to charmonium (Yao *et al.*, 2006).

The BaBar Collaboration (Aubert *et al.*, 2006b) measured the product branching fractions  $\mathcal{B}[Y(4S) \rightarrow \pi^+ \pi^- Y(1S)] \times \mathcal{B}[Y(1S) \rightarrow \mu^+ \mu^-] = (2.23 \pm 0.25 \pm 0.27) \times 10^{-6}$  and  $\mathcal{B}[Y(4S) \rightarrow \pi^+ \pi^- Y(2S)] \times \mathcal{B}[Y(2S) \rightarrow \mu^+ \mu^-] = (1.69 \pm 0.26 \pm 0.20) \times 10^{-6}$ , while the Belle Collaboration (Sokolov *et al.*, 2007) found  $\mathcal{B}[Y(4S) \rightarrow \pi^+ \pi^- Y(1S)] \times \mathcal{B}[Y(1S) \rightarrow \mu^+ \mu^-] = (4.4 \pm 0.8 \pm 0.6) \times 10^{-6}$ . These product branching fractions when combined with  $\mathcal{B}(Y(1S)[Y(2S)] \rightarrow \mu^+ \mu^-) = (2.48 \pm 0.05)\%$  [(1.93 ± 0.17)%] (Yao *et al.*, 2006) result in branching fractions of the order of  $10^{-4}$  and partial widths of a few keV, comparable with other partial widths for dipion transitions in the  $Y$  system of the same order of magnitude. An interesting feature is that the distribution of  $m(\pi^+ \pi^-)$  in  $Y(4S) \rightarrow Y(2S)$  looks markedly different from the  $Y$  dipion transitions with  $\Delta n = 1$  [ $Y(3S) \rightarrow Y(2S)$ ,  $Y(2S) \rightarrow Y(1S)$ ] and more resembles that of  $Y(3S) \rightarrow Y(1S)$ ; however, the  $Y(4S) \rightarrow Y(1S)$  dipion spectrum ( $\Delta n = 3$ ) can be described by a model that suits the  $\Delta n = 1$  bottomonium transitions and also the shape in  $\psi(2S) \rightarrow \pi^+ \pi^- J/\psi$  (Kuang and Yan, 1981).

The measured dipion invariant mass distributions for  $Y(4S) \rightarrow \pi^+ \pi^- Y(1S, 2S)$  are shown in Fig. 17.

#### H. States above open flavor threshold

Two states have been seen in  $e^+e^-$  scattering (Yao *et al.*, 2006), establishing quantum numbers  $J^{PC} = 1^{--}$ :  $Y(10\,860)$  (mass  $10.865 \pm 0.008$  GeV, total width  $110 \pm 13$  MeV) and  $Y(11\,020)$  (mass  $11.019 \pm 0.008$  GeV,

total width  $79 \pm 16$  MeV). These states are often identified as  $5S$  and  $6S$  bottomonium levels.

#### VI. SUMMARY

In the presence of much more accurate data, multipole expansions for both electromagnetic and hadronic transitions hold up well. The coefficients appearing in these expansions have been described in the past by a combination of potential models and perturbative QCD. As expected there are significant relativistic corrections for the charmonium system. The overall scales of these corrections are reduced for the  $b\bar{b}$  system and are consistent with expectations from the NRQCD velocity expansion. Relativistic corrections are determined in the same framework as leading order terms. However, relativistic corrections have not improved markedly upon the nonrelativistic treatments, though some qualitative patterns (such as hierarchies in electric dipole matrix elements) are reproduced.

Electromagnetic transitions for which the leading-order expansion coefficient is dynamically suppressed are particularly sensitive to relativistic corrections. For the  $Y(3S) \rightarrow \chi_b(1P)$   $E1$  transitions there is a large cancellation in overlap amplitude because of the node in the  $3S$  radial wave function. The result is a wide scatter of theoretical predictions. For the  $Y(3S) \rightarrow \eta_b(1S)$   $M1$  transition, the overlap coefficient vanishes in leading order (a hindered transition). Here the experimental upper bound on the rate is smaller than expected in potential models for relativistic corrections. Modern theoretical tools (effective theories and nonperturbative lattice QCD) combined with more detailed high-statistics experimental data will help pin down the various relativistic corrections.

Decays described by perturbative QED or QCD, such as  $\chi_{c0,2} \rightarrow (\gamma\gamma, gg)$ , appear to behave as expected, yielding values of  $\alpha_S$  for the most part consistent with other determinations. Exceptions (as in the case of the anomalously small  $J/\psi$  hadronic width) can be ascribed to large

QCD or relativistic corrections or to neglected color-octet components of the wave function which are not yet fully under control.

Recent experiments have also observed a number of new hadronic transitions. Many details remain to be understood. The two-pion invariant mass distributions in both the  $Y(3S) \rightarrow Y(1S) + 2\pi$  and  $Y(4S) \rightarrow Y(2S) + 2\pi$  transitions do not show typically strong  $S$  wave behavior. Perhaps some dynamical suppression plays a role in these transitions. To further complicate the situation, the  $Y(4S) \rightarrow Y(1S) + 2\pi$  decay seems to show the usual  $S$  wave behavior with the dipion spectrum peaked toward the highest effective masses.

Coupled-channel effects appear to be important in understanding quarkonium behavior, especially in such cases as the  $X(3872)$ , which lies right at the  $D^0\bar{D}^{*0}$  threshold. It seems that long-awaited states such as “molecular charmonium” [with  $X(3872)$  the leading candidate] and hybrids [perhaps such as  $Y(4260)$ ] are making their appearance, and the study of their transitions will shed much light on their nature. Now that we are entering the era of precise lattice QCD predictions for low-lying quarkonium states, it is time for lattice theorists to grapple with these issues as well.

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