are approximately parallel around the equilibrium distance in agreement with the Hellmann-Feynman theorem.

Finally, an example is given in which spin-orbit coupling appears to be important. As indicated in Table I and Fig. 2, the first excited state of the NO molecule is split into two states of total angular momentum $\frac{1}{2}$ and $\frac{3}{2}$ to such an extent that the equilibrium distances differ by 0.063 A. This seems to be an extreme case of coupling. As previously mentioned, the calculation of this effect requires the evaluation of the expectation value of terms such as $\partial(\mathbf{s} \cdot \mathbf{\Lambda}) / \partial R$. The treatment of this subject is deferred to a future publication. An extension of the present results to polyatomic molecules where the Jahn-Teller effect may be important is also contemplated.

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Bond Alternation in Long Polyenes

MIKIO TSUJI, SIGERU HUZINAGA,* AND TASUKE HASINO General Education Department, Kyushu University, Japan

1. INTRODUCTION

HE bond alternation in the conjugated polyene chain has been the subject of many investigations. A classical paper on this subject was published by Lennard-Jones¹ in 1937, in which it is concluded that in long chains the bond lengths tend to become equal to 1.38 A. In the present paper we correct this incorrect conclusion and show that in long chains the bond alternation does remain and the two asymptotic bond lengths are 1.40 A and 1.36 A if we adopt Lennard-Jones' approximations in treating the conjugated chains. After the completion and the preliminary publication² (in Japanese) of the present investigation, an important paper of Longuet-Higgins and Salem³ appeared. Their investigation is essentially on the same line as ours. Now that the excellent discussions on the present subject are supplied by Ooshika,⁴ Labhart,⁵ and Longuet-Higgins and Salem,³ which seem to cover almost completely what we wish to say, we describe our investigation only briefly in the following.

2. CHAINS WITH 2N (EVEN) CARBON ATOMS

In this case the secular equation to be solved is¹

$$\begin{vmatrix} \epsilon & \beta_1 \\ \beta_1 & \epsilon & \beta_2 \\ & \beta_2 & \epsilon & \beta_1 \\ & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ &$$

* Present address: Laboratory of Molecular Structure and Spectra, Department of Physics, The University of Chicago, Chicago 37, Illinois.

- ¹ J. E. Lemmard-Jones, 11 (1937).
 ² M. Tsuji, S. Huzinaga, and T. Hasino, Busseiron Kenkyu
 2-5-5, 575 (1959).
 ⁸ H. C. Longuet-Higgins and L. Salem, Proc. Roy. Soc. (London)
- A251, 172 (1959). ⁴ Y. Ooshika, J. Phys. Soc. Japan 12, 1238, 1246 (1957); ibid.
- 14, 747 (1959). ⁵ H. Labhart, J. Chem. Phys. 27, 947 (1957).

Here and in the following we denote two alternate bond lengths by x_1 and x_2 and the corresponding exchange integrals by β_1 and β_2 . $\epsilon \equiv \alpha - E$, α and E being the Coulomb integral and one π -electron energy, respectively.

If we put

$$\epsilon^2 - \beta_1^2 - \beta_2^2 = 2\beta_1\beta_2\cos\theta, \qquad (2)$$

the solutions of (1) can be expressed through θ 's $(0 < \theta < \pi)$ which satisfy the equation,

$$a \sin N\theta + \sin (N+1)\theta = 0, \quad a \equiv \beta_2/\beta_1.$$
 (3)

Equation (3) gives N real solutions when a < 1 + (1/N). In case that a>1+(1/N), we get N-1 real solutions from (3). An additional real solution $(\theta \neq 0)$ which corresponds to a so-called "surface state" is given by the following equations:

$$\epsilon^2 - \beta_1^2 - \beta_2^2 = -2\beta_1\beta_2 \cosh\theta, \qquad (4)$$

$$a \sinh N\theta - \sinh (N+1)\theta = 0. \tag{5}$$

Let us denote the N real solutions thus obtained by θ_m $(m=1, 2, \dots, N)$. Then the ground state energy of the π -electron system is

$$E_{\text{total}}^{\pi} = 2N\alpha - 2\sum_{m=1}^{N} (\beta_1^2 + \beta_2^2 + 2\beta_1\beta_2\cos\theta_m)^{\frac{1}{2}}.$$
 (6)

On the other hand, it seems natural to express the total σ -bond energy as

$$E_{\text{total}}^{\sigma} = N E_{\sigma}(x_1) + (N-1) E_{\sigma}(x_2). \tag{7}$$

Our task is to determine the bond lengths x_1 and x_2 which minimize the total energy of the system:

$$E_T = E_{\text{total}}^{\pi} + E_{\text{total}}^{\sigma}.$$
 (8)

A straightforward way of doing this is to draw the energy surface (x_1, x_2) numerically under suitable assumptions for $\beta(x)$ and $E_{\sigma}(x)$. Using Lennard-Jones'

¹ J. E. Lennard-Jones, Proc. Roy. Soc. (London) A158, 280

Ň	a		b		c	
	x_1	x_2	x_1	x_2	x_1	x_2
2 3	1.34 1.35	1.41 1.40	1.34 1.34	1.43 1.42	1.34	1.43
4 5 6	1.35 1.36 1.36	1.40 1.40 1.39			1.35	1.41
∞	1.38	1.38			1.36	1.40

TABLE I. Calculated bond lengths (in A).

First approximation of Lennard-Jones.

^b Second approximation of Lennard-Jones.
 ^c Present calculations.

expressions for $\beta(x)$ and $E_{\sigma}(x)$ we have actually drawn the energy surfaces for N=2 (butadiene) and N=5(decapentane) and found the minimum points. The case of $N \rightarrow \infty$ is explained separately in the next section. The results are listed in Table I together with the values obtained by Lennard-Jones.¹

Next let us consider the subject more generally. The actual shapes of the energy surfaces we have drawn suggest that for intermediate and large values of N we may put safely as

$$x_1 = x_0 - \xi, \quad x_2 = x_0 + \xi,$$

where x_0 is the bond length which minimizes the total energy under the restriction of $x_1 = x_2$. Then at $x = x_0$ we have the relation

$$0 = \partial E_T / \partial x \simeq (2N-1) (\partial E_\sigma / \partial x) + [4(2N+1)/\pi] (\partial \beta / \partial x), \quad (9)$$

where

$$E_T(x) = (2N-1)E_{\sigma}(x) + 2N\alpha + 2\beta \sum_{m=1}^N \cos[m\pi/(2N+1)].$$

Next, assuming that ξ is small, we expand $\beta(x_1)$ and $\beta(x_2)$: 1 220

$$\beta(x_1) \simeq \beta_0 - \frac{\partial \beta}{\partial x} \xi + \frac{1}{2} \frac{\partial^2 \beta}{\partial x^2} \xi^2,$$

$$\beta(x_2) \simeq \beta_0 + \frac{\partial \beta}{\partial x} \xi + \frac{1}{2} \frac{\partial^2 \beta}{\partial x^2} \xi^2,$$
(10)

where $\beta_0 \equiv \beta(x_0)$. By using these expressions and the approximate formula for θ_m due to Lennard-Jones,¹

$$\theta_m \simeq \frac{2m}{2N+1} \pi - (2N+1)^{-1} \left(1 - \frac{\beta_2}{\beta_1}\right) \tan \frac{m\pi}{2N+1},$$
 (11)

we finally arrive at the next expression for finite values of N after some manipulations,

$$\frac{\partial E_T}{\partial \xi} \underbrace{\overset{4}{\sim}}_{\pi} \left\{ \frac{2N+1}{2N-1} - \log \frac{4(2N+1)}{\pi} \right\} \frac{\partial \beta}{\partial x}.$$
 (12)

This formula tells us that if $\partial \beta / \partial x > 0$, we find the real minimum point of the energy surface in the positive side of ξ , namely, in the region of $x_1 < x_2$ for finite values of N.

3. INFINITELY LONG CHAINS $(N \rightarrow \infty)$

This case requires and permits separate treatment. Now it is justifiable to replace the sum in (7) by the integral

$$(E_T/N) \rightarrow 2\alpha - (2/\pi) \int_0^{\pi} (\beta_1^2 + \beta_2^2 + 2\beta_1\beta_2 \cos\theta)^{\frac{1}{2}} d\theta + E_{\sigma}(x_1) + E_{\sigma}(x_2) \quad (13) = 2\alpha - (4/\pi) |\beta_1 + \beta_2| E(\frac{1}{2}\pi, k) + E_{\sigma}(x_1) + E_{\sigma}(x_2).$$

Here

$$E(\frac{1}{2}\pi,k) = \int_0^{\frac{1}{2}\pi} (1-k^2\sin^2\phi)^{\frac{1}{2}}d\phi, \quad k^2 \equiv 4\beta_1\beta_2/[\beta_1+\beta_2]^2,$$

is the complete elliptic integral of the second kind. Again let us expand the final expression of (13) with respect to ξ . By using (10) and an expansion formula of $E(\frac{1}{2}\pi,k)$, we find that

$$\left(\frac{E_T}{N}\right)_{\substack{x_1=x_2-\xi,\\x_2=x_0+\xi}} = \left(\frac{E_T}{N}\right)_{x_1=x_2=x_0} + \left[\mp \frac{4}{\pi} \left|\frac{\partial^2\beta}{\partial x^2}\right| + \frac{\partial^2 E_{\sigma}}{\partial x^2} + \frac{4}{\pi} \left(\frac{1}{2} - \log \frac{4|\beta|}{|\partial\beta/\partial x|}\right) |\beta| \left(\frac{\partial\beta/\partial x}{\beta}\right)^2\right] \xi^2 + \frac{4}{\pi} |\beta| \left(\frac{\partial\beta/\partial x}{\beta}\right)^2 \xi^2 \log|\xi|, \quad (N \to \infty), \quad (14)$$

where the sign of the first term in the second bracket coincides with the sign of $\beta \cdot \partial \beta / \partial x$ and we neglect the terms higher than $O(\xi^4)$. The formula (14) is an even function of ξ and $\partial(E_T/N)\partial\xi=0$ at $\xi=0$. Further, if $\partial\beta/\partial x \neq 0$, we always find that

$$(E_T/N)_{x_2=x_0+\xi}^{x_1=x_0-\xi} < (E_T/N)_{x_1=x_2=x_0}^{x_1=x_2=x_0}, \quad (N \to \infty), \quad (15)$$

provided that $|\xi|$ is appropriately small. This means that the energy surface (x_1, x_2) of E_T/N $(N \to \infty)$ has a saddle point at $x_1 = x_2 = x_0$ and the true minimum point should be found in the off-diagonal region where $\hat{\xi} \neq 0$. In other words we always have bond alternation in very long chains when $\partial \beta / \partial x \neq 0$ regardless of the functional forms of $\beta(x)$ and $E_{\sigma}(x)$.

The actual values of x_1 and x_2 which give the true minimum point can be obtained either by using the



FIG. 1. Energy contours for E_T/N , $(N \to \infty)$ (distance in A and energy in kcal/mole).

formula (14) or by drawing the energy surface directly. We have done both by adopting Lennard-Jones' expressions for $\beta(x)$ and $E_{\sigma}(x)$ and found that $x_1=1.36$ A and $x_2=1.40$ A, while $x_0=1.38$ A. In this case we have two minimum points situated symmetrically with respect to the ridge $x_1=x_2$. We show schematically how the energy surface looks in Fig. 1.

4. CHAINS WITH 2N+1 (ODD) CARBON ATOMS

The discussion of the preceding section $(N \rightarrow \infty)$ includes both even and odd number carbon chains. However, there seems to be actually no bond alternation in shorter odd number carbon chains. Let us try to fix the critical number of carbon atoms theoretically at which the bond alternation begins to emerge as a bulk effect in the odd carbon chain.

According to Coulson,⁶ the total energy of 2N+1 carbon chain is given as

$$E_{T} = N\{E_{\sigma}(x_{1}) + E_{\sigma}(x_{2})\} + (2N+1)\alpha$$
$$-2\sum_{m=1}^{N} \{\beta_{1}^{2} + \beta_{2}^{2} + 2\beta_{1}\beta_{2}\cos[m\pi/(N+1)]\}^{\frac{1}{2}}.$$
 (16)

As in the foregoing, we expand E_T in terms of ξ in the neighborhood of the minimum point x_0 of the diagonal line $(x_1=x_2)$ of the energy surface (x_1,x_2) . The result

turns out to be

$$E_{T} \simeq 2NE_{\sigma}(x_{0}) + N(\partial^{2}E_{\sigma}/\partial x^{2})\xi$$

$$+ (2N+1)\alpha - 4|\beta| \sum_{m=1}^{N} \cos\frac{m\pi}{2(N+1)}$$

$$+ 2\left\{\frac{\partial^{2}\beta}{\partial x^{2}} \sum_{m=1}^{N} \cos\frac{m\pi}{2(N+1)} - \frac{(\partial\beta/\partial x)^{2}}{\beta} \times \sum_{m=1}^{N} \left[\sin^{2}\frac{m\pi}{2(N+1)} / \cos\frac{m\pi}{2(N+1)}\right]\right\}\xi^{2}. \quad (17)$$

If we put the following approximate formula (with $\eta \simeq 0.5$) into (17),

$$\sum_{m=1}^{N} \cos[m\pi/2(N+1)] \simeq 2(N+1)/\pi,$$

$$\sum_{n=1}^{N} \left[\sin^2 \frac{m\pi}{2(N+1)} \middle/ \cos \frac{m\pi}{2(N+1)} \right] \qquad (18)$$

$$\simeq \frac{2(N+1)}{\pi} \left\{ \log \frac{4(N+1)}{\pi} + \eta \right\}, \quad (0 < \eta < 1),$$

and again adopt Lennard-Jones' $\beta(x)$ and $E_{\sigma}(x)$, we can find that the critical number is $N \simeq 20$. That is, in the chains with odd (2N+1) carbon atoms the bond alternation occurs when N>20. Labhart's conclusion⁵ is that N>31.

5. CONCLUDING REMARK

The primary purpose of our investigation was to correct the wrong conclusion of Lennard-Jones' paper.¹ We have given the corrected numerical values and also general discussions on the conditions for the occurrence of the bond alternation.

Finally, it is to be noted that the reasoning of Lennard-Jones gives the right answers to shorter chains in which $\partial E_T/\partial \xi \neq 0$. This is seen in Table I: The second approximation of Lennard-Jones does actually give the correct values of x_1 and x_2 in the case of N=2. The same would be expected also for other cases of finite N. Unfortunately, however, in the case of $N \to \infty$ where $\partial (E_T/N)/\partial \xi = 0$, his procedure of successive approximation does not seem to work smoothly.

⁶ C. A. Coulson, Proc. Roy. Soc. (London) A164, 383 (1938).