### Multi-GeV Electron Bunches from an All-Optical Laser Wakefield Accelerator

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We present the first demonstration of multi-GeV laser wakefield acceleration in a fully optically formed plasma waveguide, with an acceleration gradient as high as 25 GeV/m. The guide was formed via self-waveguiding of <15 J, 45 fs (<  $\sim$  300 TW) pulses over 20 cm in a low-density hydrogen gas jet, with accelerated electron bunches driven up to 5 GeV in quasimonoenergetic peaks of relative energy width as narrow as  $\sim$ 15%, with divergence down to  $\sim$ 1 mrad and charge up to tens of picocoulombs. Energy gain is inversely correlated with on-axis waveguide density in the range  $N_{e0} = (1.3-3.2) \times 10^{17}$  cm<sup>-3</sup>. We find that shot-to-shot stability of bunch spectra and charge are strongly dependent on the pointing of the injected laser pulse and gas jet uniformity. We also observe evidence of pump depletion-induced dephasing, a consequence of the long optical guiding distance.

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### I. INTRODUCTION

Among the compact techniques for laser-driven electron acceleration [1–3], laser wakefield acceleration (LWFA) in plasmas has achieved the highest energy gains by far [4–6]. For application to light sources and to high-energy physics, a key goal has been the development of a high repetition rate ~10 GeV-scale laser-driven accelerator module. For a ~1 TeV-scale center of mass lepton collider, the sequential staging [7] of dozens of these modules is envisioned [8].

Achieving multi-GeV electron bunches with LWFA requires maintaining the laser intensity at a level sufficient to drive a relativistic plasma wake over distances corresponding to many Rayleigh ranges of the focused pulse. This demands some type of optical guiding, either relativistic self-guiding [9,10] or preformed plasma waveguides that are laser generated [11] or formed by a capillary

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. discharge [12,13]. For multi-GeV acceleration in a single stage, low-density plasmas are needed: the electron energy gain, accounting for dephasing and depletion, scales with electron density  $(N_e)$  and laser intensity  $[I(W/cm^2) = 1.4 \times 10^{18} a_0^2 [\lambda (\mu m)]^{-2}]$  as  $\Delta W/mc^2 \sim$  $a_0^r N_{\rm cr} / N_e$  [14], where  $a_0$  is the normalized vector potential and  $N_{\rm cr}$  is the critical density, and where r=2 in the quasilinear regime ( $a_0 > \sim 1$ ) and r = 1 in the 3D nonlinear blowout regime  $(a_0 \gg 1)$ . At the low-plasma densities  $N_e \sim 10^{17} \text{ cm}^{-3}$  consistent with multi-GeV acceleration, relativistic self-guiding in the blowout regime requires at least petawatt laser powers [5,6]. The required laser power per GeV of acceleration is significantly reduced for preformed plasma waveguides, where one can operate closer to the quasilinear regime. There are two major advantages for operating in the quasilinear regime [8]. First, the transverse and longitudinal wakefields can be controlled by the shape of the laser profile, which enables control of the electron beam dynamics inside the wakes. Second, as the wakefield is approximately sinusoidal, the acceleration and focusing regions are nearly symmetric for both electrons and positrons, a property absent in the bubble regime [8].

The  $\Delta W$  scaling predicts that a dephasing-limited  $\sim 10$  GeV acceleration stage driven by a guided laser pulse with  $a_0 > \sim 1$  requires  $N_e \sim 10^{17}$  cm<sup>-3</sup>. This corresponds

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to dephasing lengths  $L_d < \sim 1$  m, which sets the approximate length  $L_{\rm guide}$  required for the plasma waveguide. Plasma waveguides also enable independent control of guided laser mode structure and propagation characteristics, as well as control over dephasing, depletion, and phase matching in electron acceleration [15–19].

In this paper, we present the first demonstration of an all-optical multi-GeV laser wakefield accelerator where laser pulses both generate the plasma waveguide and drive the wakefield acceleration. We observe quasimonoenergetic electron bunches of energy up to 5 GeV in quasimonoenergetic peaks of relative energy width as narrow as  $\sim$ 15%, with divergence down to  $\sim$ 1 mrad and charge up to tens of picocoulombs. The accelerator wake buckets are injected by electrons from tunneling ionization of Helike nitrogen  $(N^{5+})$  [20,21]. Energy gain is found to be inversely correlated with on-axis waveguide density in the range  $N_{e0} = (1.3-3.2) \times 10^{17} \text{ cm}^{-3}$ . We find that shot-toshot stability of bunch spectra and charge are strongly dependent on the pointing of the injected laser pulse and gas jet uniformity. We also observe evidence of pump depletion-induced dephasing, a consequence of the long optical guiding distance.

The first laser-generated plasma waveguides [11] relied on cylindrical shock expansion of a Bessel beam-heated plasma to form both the core and cladding of the waveguide. To generate sufficiently large plasma pressure gradients to drive this process required inverse bremsstrahlung (IB) heating of plasma densities  $> \sim 10^{19} \text{ cm}^{-3}$  to temperatures of tens of eV, typically using  $\sim 100$  ps pulses requiring ~100 mJ per centimeter of waveguide generated [22]. However, the plasma density required for a  $\sim 10 \text{ GeV}$ acceleration stage is 2 orders of magnitude lower and unsuitable for IB heating. As an alternative, the use of optical field ionization (OFI) by ultrashort laser pulses has recently been explored [23–28] for waveguide generation, motivated by advances leading to cheaper and higher energy short pulse capability. The advantage of OFI is that ionization of the working gas is purely dependent on local laser intensity and *not* gas density. The disadvantage is that electron heating by OFI is limited to electron temperatures comparable to the electron ponderomotive energy in the laser field at the ionization threshold of the gas, less than  $\sim 10$  eV in hydrogen. For long, low-density OFI plasmas, such as those heated with a Bessel beam, the resulting pressure gradient is too weak to drive a shock wall-based plasma cladding sufficient to confine an optical pulse without dominant leakage losses [27–29].

Recently, we have experimentally demonstrated two techniques for optical generation of *meter-scale* low-density plasma waveguides, the "2-Bessel" method [29] and "self-waveguiding" [30], with the latter previously demonstrated [31] on few millimeter long, high plasma density channels. While both methods generate low-density guides with negligible leakage losses by imprinting the waveguide cladding via OFI, they differ in the details of

how the cladding is generated. Both methods use an initial lowest-order  $J_0$  Bessel beam pulse [we adopt the notation  $J_q$  (q = 0, 1, 2, ...) to denote an experimental, finite aperture Bessel beam of order q] to generate a fully ionized  $< \sim 10$  eV hydrogen plasma on axis. This plasma expands radially, snowplowing the peripheral neutral gas into a cylindrical shell of enhanced density, with the central density dropping by up to  $\sim 10\times$ . We call this  $J_0$ -prepared profile the "refractive index structure." In the 2-Bessel method, the intense ring of a few-nanosecond-delayed high-order Bessel beam pulse ( $J_8$  and  $J_{16}$  in Ref. [29]) ionizes the peripheral neutrals, forming the plasma cladding. In self-waveguiding, the leading edge of a highintensity pulse injected into the index structure ionizes the neutral shell, forming the cladding on the fly. Self-waveguiding is initially easier to implement than the 2-Bessel method, and we have employed it for the experiments of this paper. But for future experiments requiring improved energy efficiency and additional control of waveguide parameters, the 2-Bessel method remains attractive. The two methods are compared in Ref. [30]. We note that the results of earlier guiding experiments [27,28] on lowdensity OFI-heated plasma channels have been reinterpreted [32] in terms of self-waveguiding, where evidence that a guided pulse could generate additional ionization in its wings had been earlier considered in Refs. [33,34].

### II. EXPERIMENT

The experimental setup is shown in Fig. 1. The laser used in the experiments is the ALEPH laser at Colorado State University [35]. A LWFA drive pulse P1 ( $\lambda = 800$  nm,  $\tau = 45$  fs FWHM, energy <15 J) was focused by an f/25 off-axis paraboloid into the refractive index structure generated in a 20-cm-long gas jet by a 0.5 J, 75 fs,  $J_0$  Bessel beam pulse, P2, which was phase corrected by a deformable mirror [29,30,36] and then compressed by a separate pulse compressor.

The drive pulse P1 is focused through a hole in mirror M1, with its beam waist located at the entrance of the index structure; a vacuum mode image is shown in Fig. 1(a). P2 is generated by passing a 5.5-cm-diameter super-Gaussian pulse through a four-level [39,40] transmissive or diffractive axicon (fused silica substrate, 0.5 mm thick) which converts the 0.9 J input to a  $J_0$  beam of energy 0.5 J. The beams forming pulses P1 and P2 are split upstream in the laser chain, prior to their respective compressors, using several fixed ratio beam splitters. An axial (z) imaging scan of the  $J_0$  beam intensity profile is shown in Fig. 1(b). The diffractive axicon simplifies the experimental geometry and enables copropagation of P1 and P2, in contrast to counterpropagation necessitated by our prior use of reflective axicons [29,30]. The rays of the  $J_0$  pulse approach the optical axis at angle  $\gamma = 2.3^{\circ}$ ; the 65-cm-long focus longitudinally overfills and fully ionizes (via OFI) a 20-cm-long column in the gas sheet 3 mm above a

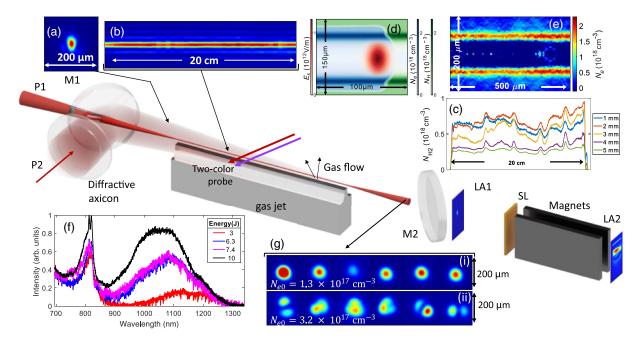


FIG. 1. Experimental setup. LWFA drive laser pulse (P1): ( $\lambda=800~\text{nm}, \tau_{\text{FWHM}}=45~\text{fs}, \text{energy} < 15~\text{J}$ ), focused by an f/25~off-axis paraboloid through a 9.5-mm-diameter, 45° hole in mirror M1. Index-structuring pulse (P2): ( $\lambda=800~\text{nm}, \tau_{\text{FWHM}}=75~\text{fs}, \text{energy} 0.5~\text{J})$   $J_0$  Bessel beam pulse formed by four-level transmissive or diffractive axicon, forming a 20-cm-long plasma by OFI in the working gas 2.5 ns in advance of P1. The plasma expands radially, forming an elongated refractive index structure: a low-density plasma on axis surrounded by an enhanced density annular shell of neutral gas. Two-color interferometer probes: for measuring  $N_e$  and neutral gas density profiles (see Appendix A). M2: pickoff mirror for guided mode imaging. LA1: Lanex fluorescing screen for full electron beam profile imaging. Magnetic spectrometer (see Appendix A and Supplemental Material [37]): 1-mm-wide, 10-cm-deep lead entrance slit (SL), 30-cm-long permanent magnet array (field 0.93 T), Lanex fluorescing screen for electron energy spectrum (LA2). Gas jet: Mach 4 supersonic nozzle, orifice length 20 cm, fed by 5 solenoid valves backed by pure  $H_2$  or a 95/5%  $H_2/N_2$  mixture at backing pressure  $\sim$ 14–35 bar. Insets: (a) Focal profile of P1. (b) Longitudinal scan of the  $J_0$  Bessel beam (P2) focus. (c) Axial profiles of gas density versus height above the nozzle (Appendix A). (d) Simulation using the particle-in-cell code FBPIC [38] (see Appendix C) of self-waveguiding in hydrogen refractive index structure. (e) Plasma waveguide profile interferometrically measured  $\sim$ 1 ps after passage of self-waveguided pulse. (f) Guided laser spectra at waveguide exit versus input pulse energy (and injected peak  $a_0$ ). (g) Effect of shot-to-shot P1 pointing fluctuations on guided mode for (i) low-density guide and (ii) higher-density guide. The root-mean-square pointing jitter is (i)  $\sigma_x=4~\mu\text{m}, \sigma_y=6~\mu\text{m}$  and (ii)  $\sigma_x=7~\mu\text{m}, \sigma_y=7~\mu\text{m}$ . Batlow color map versions of the figures are available at [37]

Mach 4 supersonic nozzle fed by five high pressure pulsed solenoid valves fed with pure  $H_2$  or a 95/5%  $H_2/N_2$  gas mixture. The  $J_0$  beam's average on-axis intensity over the gas jet is  $\sim 8 \times 10^{15}$  W/cm², well in excess of the  $\sim 10^{14}$  W/cm² OFI threshold for hydrogen. Axial profiles of  $H_2$  density are shown in Fig. 1(c) for various heights above the nozzle, measured as described in Appendix A. The gas density at the ends of the jet sharply transitions to vacuum over  $\sim 3$  mm. The bumps in the density profiles are due to slight variations in the nozzle orifice width along z and structural obstructions inside the nozzle. Electron spectra are measured by a 0.75–6.5 GeV range magnetic spectrometer consisting of a 30-cm-long permanent magnet array (field 0.93 T) with a 1-mm-wide, 10-cm-deep lead entrance slit 3 m from the plasma waveguide exit [37].

Figure 1(d) shows a particle-in-cell (PIC) simulation using the code FBPIC [38] (see Appendix C) of the self-waveguiding process: the leading edge of the pulse injected into the index structure forms the plasma waveguide

cladding as it propagates (left to right) into the index structure. An interferometric measurement (Appendix A) of the plasma density profile  $\sim 1$  ps after self-waveguiding is shown in Fig. 1(e), where the enhanced plasma density cylinder is the cladding generated by the self-waveguided pulse. The P1 injection delay of 2.5 ns after P2 is chosen so that the  $1/e^2$  intensity radius of the lowest-order mode of the formed plasma channel matches P1's  $1/e^2$  intensity spot radius. The P1 energy leakage from the index structure before self-waveguiding is established is small: for a  $\sim 10$  J, ~50 fs pulse, the hydrogen ionization threshold of  $10^{14} \text{ W/cm}^2$  is reached at  $r = w_{ch} = 30 \,\mu\text{m}$ , ~100 fs before the peak of the pulse. For the guides generated by self-waveguiding in these experiments, we estimate a total cost of 15–20 mJ/cm on target (in the  $J_0$  beam and the self-waveguiding beam, aside from any optical losses such as ring grating inefficiency), based on scaling from Ref. [30] and simulations (see later discussion). While the self-waveguiding energy cost is small for a 10-J-scale pulse, the laser energy invested in plasma waves can be substantial. The experimental signature of this is increasing energy in the redshifted wings [3] of guided pulses at increasing energy, as seen in Fig. 1(f). The peak of the red wing trending bluer with higher laser pulse energy may be due to pulse lengthening accompanying depletion (see Appendix C).

Waveguide throughput (laser energy exiting the waveguide divided by energy in the P1 focal spot) was measured by integrating CCD camera images of the P1 and guided modes, with the camera energy response calibrated by imaging the P1 focus with known laser energy and calibrated neutral density filters, and adjusted for the pixel spectral response. In this experiment, throughput could be measured only for P1 shots of energy >1.4 J because of several fixed P2/P1 energy ratios and the need for ~2 J of precompressed laser energy for the index-structuring (P2) beam. Under these conditions, we measured guided pulse throughputs of <40%, and as low as  $\sim10\%$  (see Appendix A), depending on laser energy, waveguide density, and P1 pointing, with plasma wave excitation responsible for most of the reduced transmission. This is supported by simulations discussed later.

For fixed nominal laser and waveguide parameters, a major source of shot-to-shot variation in accelerated electron bunches is fluctuating alignment of the drive pulse P1 into the refractive index structure generated by P2. The index structure's transverse position is relatively stable from shot to shot (centroid standard deviations  $\sigma_x \sim \sigma_v \sim 2 \ \mu \text{m}$ ), as it is mainly determined by transverse positioning of the diffractive axicon and not by variations in P2 pointing. P1 pointing fluctuations, however, are  $\sigma_r \sim$  $\sigma_{\rm v} \sim 9~\mu{\rm m}$  owing to a longer effective lever arm. The effect of these fluctuations on the waveguide exit mode is shown in Fig. 1(g) for multiple 8 J shots in waveguides with (i)  $N_{e0} = 1.3 \times 10^{17} \text{ cm}^{-3} \text{ and (ii) } N_{e0} = 3.2 \times 10^{17} \text{ cm}^{-3}.$ At the lower density, the waveguide supports only the fundamental mode (p, m) = (0, 0) (where p and m are the radial and azimuthal mode indices), with P1 pointing fluctuations affecting only the mode peak intensity. At the higher density, the waveguide can also support the (0,1) mode, which accounts for shot-to-shot asymmetry in the waveguide exit beam. Here the 1/e power leakage distance of the (0,0) mode is  $L_{1/e}^{(0,0)} > 2$  m, while  $L_{1/e}^{(0,1)} \sim 1$  m (see Appendix B), showing that off-axis coupling will lead to asymmetric mode contributions that do not leak out of the guide.

### III. RESULTS AND DISCUSSION

The effect of P1 pointing variation on the accelerated electron bunch beam profile and energy spectrum are shown in Fig. 2 for 43 consecutive shots with pulse energy 15 J  $\pm$  10%. Here,  $N_{e0}=3.2\times10^{17}\,\mathrm{cm^{-3}}$  [as in Fig. 1(g) (ii)] for a dephasing length  $L_d=\lambda_p/2(1-\beta_\phi)\sim10$  cm,

shorter than  $L_{\rm guide}=20$  cm, where  $\lambda_p\approx 60~\mu{\rm m}$  is the plasma wavelength,  $\beta_\phi c=v_g-v_{\rm etch}$  is the effective plasma wave velocity,  $v_g$  is the laser group velocity, and  $v_{\rm etch}=(N_{e0}/N_{\rm cr})c$  is the velocity at which the pulse's leading edge erodes backward from pump depletion into the plasma wave [41]. For the plasma waveguides of Fig. 2, this dephasing length estimate is negligibly affected by the waveguide's contribution [15] to the laser propagation wave number.

While most injected pulses are guided [Fig. 2(a)], the presence of a transmitted mode does not guarantee generation of a high-quality electron beam. More important is the quality of guiding, which we assess by the second moment  $\sigma^2 = [\int dA I(\mathbf{r})]^{-1} \int dA |\mathbf{r} - \mathbf{r}_c|^2 I(\mathbf{r})$  of the transmitted intensity profile over the guide cross section, where  $\mathbf{r}_c$  is the mode centroid. These are normalized and plotted in Fig. 2(b), showing a clear correlation to the electron bunch quality variation in beam profile [Fig. 2(c)] and energy [Fig. 2(d)]. For this run, the pickoff mirror M2 (see Fig. 1) was placed in the beam path in order to enable simultaneous measurement of the waveguide laser exit mode, and the electron beam profile and spectrum. Scattering in the 1 cm path through the glass of M2 is calculated [43] to increase the beam divergence of the measured 1–2 GeV electron beams by ~4 mrad. It is important to emphasize that for all experiments of this paper, there was no observed electron acceleration for waveguides generated in pure H<sub>2</sub> gas; only the H<sub>2</sub>/N<sub>2</sub> gas mix yielded LWFA bunches, showing that our accelerator is purely ionization injected.

To provide physical insight on the effects of P1 coupling misalignment, results of 3D particle-in-cell simulations (Appendix C) using WarpX [42] of guiding and acceleration in our 20 cm plasma waveguides are shown in Figs. 2(e)-2(g). We consider P1 coupling transverse offsets of 0, 10, and 20  $\mu$ m. Figure 2(e) plots the transmitted pulse energy fraction T(z) versus propagation distance for  $a_0 = 0.1$ , 0.3, and 3.0, illustrating (1) poor transmission for a laser intensity ( $a_0 = 0.1$ ) insufficient to support self-waveguiding, but greatly improved for  $a_0 = 0.3$ , (2) high transmission even at low  $a_0$  for preionized index structures, and (3) significant laser pulse energy depletion into plasma waves, showing transmission consistent with our measured throughput down to  $\sim 10\%$  at high energy (see Appendix A). For the  $a_0 = 3.0$  curves, the simulations show reduced transmission for the zero offset case owing to the higher on-axis laser field, resulting in greater selfsteepening [41] and greater laser attenuation from plasma wave excitation. Figure 2(f) shows that increasingly offaxis P1 coupling leads to increased guided mode centroid oscillation and reduced accelerated charge. Figure 2(g) shows simulated accelerated bunch spectra. While all are in the range  $\sim 1-2.5$  GeV [limited by dephasing, since  $L_d < L_{guide}$ , and consistent with the measurements of Fig. 2(d)], the accelerated charge (integral of the spectra) decreases significantly with P1 coupling offset, as also

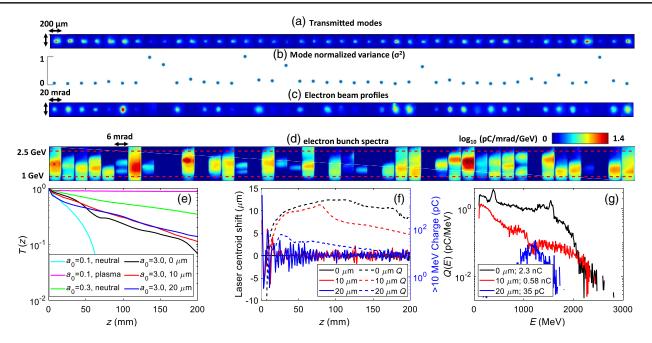


FIG. 2. (a) Plasma waveguide exit modes for 43 consecutive shots at 1/8 Hz repetition rate. Laser 15 J,  $\tau = 45$  fs, plasma waveguide density  $N_{e0} = 3.2 \times 10^{17}$  cm<sup>-3</sup>. (b) Normalized mode second moment  $\sigma^2$  for each shot. (c) Associated electron beam profiles measured at Lanex screen LA1 in Fig. 1, and (d) associated angle-resolved electron bunch spectra plotted on a linear energy scale. Particle-in-cell simulations using WarpX [42] (see Appendix C): (e) Guided pulse energy transmission for three values of  $a_0$  and P1 coupling offset. (f) Guided mode centroid oscillation and accelerated charge >10 MeV for the three P1 coupling offsets ( $a_0 = 3.0$ ). (g) Accelerated bunch spectra for the three P1 coupling offsets ( $a_0 = 3.0$ ).

seen in Fig. 2(f) and in the measurements [Figs. 2(a)–2(d)]. Interestingly, while the measured electron spectra [Fig. 2(d)] show multiple peaks, the simulations show wide, continuous spectra except for the 20  $\mu$ m coupling offset case. We discuss the implications of these features in the context of the highest acceleration results, presented next.

We achieve electron bunch acceleration up to a maximum of ~5 GeV by operating at lower plasma density. In this experiment, the mirror M2 was removed. Figure 3 shows results from a plasma waveguide central density scan for  $N_{e0} = (1.3-3.2) \times 10^{17} \text{ cm}^{-3}$  and laser energy 11 J, spanning the transition from monomode to low-order multimode guiding shown in Fig. 1(g). Notably, using higher laser energy of 15 J under these conditions resulted in reduced peak electron energies, as will be explained below. Figure 3(a) plots peak bunch energy and associated charge (in the highest energy peak) for all shots in the density scan. This is overlaid by average peak energy versus  $N_{e0}$ , showing good agreement with the expected  $\Delta W \propto N_{e0}^{-1}$  scaling [14,44]. The charge measurements in all panels represent a lower bound due to the 1 mm entrance slit on the magnetic spectrometer ( $\sim 0.3$  mrad acceptance), employed to increase the energy resolution. The actual accelerated charge on a given shot could be up to ~100 times higher (see Supplemental Material [37]). Angleresolved spectra are shown in Fig. 3(b), while spectrum lineouts and angle-resolved spectra for the highest energy shots (for  $N_{e0}$  <  $2 \times 10^{17}$  cm<sup>-3</sup>, where  $L_d > L_{guide} = 20$  cm) up to  $\sim$ 5 GeV are plotted in Fig. 3(c). The narrowest beams have ~mrad divergence and the narrowest quasimonoenergetic peaks have relative energy width of  $\sim 15\%$ . The stability of P2 ensures a 5  $\mu$ rad maximum tilt of the plasma waveguide axis and electron beam source (at the waveguide exit), while the spectrometer slit acceptance of  $0.3 \times$ 6 mrad<sup>2</sup> (slit width × slit length) gives an energy uncertainty of  $\sim 3\%$  at 5 GeV [37]. While the highest energy peaks in Fig. 3(c) have comparable energy spreads, the shotto-shot variation of charge and divergence is significant. Over all shots, we see no evidence of a trade-off among the different beam parameters, and we attribute the beam variations to fluctuations in P1 pointing and electron injection, as discussed below. Some shots [Figs. 3(c)(1) and 3(c)(2)] demonstrate  $\sim$  pC bunches with  $< \sim$  mrad divergence and ~15\% energy spread, while others demonstrate > mrad divergence for bunch charges either  $> \sim 10 \text{ pC [Fig. 3(c)(4)] or } < \sim 10 \text{ pC [Fig. 3(c)(7)]}.$ 

While electron beam pointing into the spectrometer does not affect measured energies [37], it does affect the measured charge. Inspection of Figs. 3(b) and 3(c) shows that the electron beam is often clipped at the  $\pm 3$  mrad edges of the lengthwise slit acceptance, suggesting >6 mrad variation in beam pointing along and across the slit. One possible explanation of this pointing variation is that deformation of the Bessel beam focus due

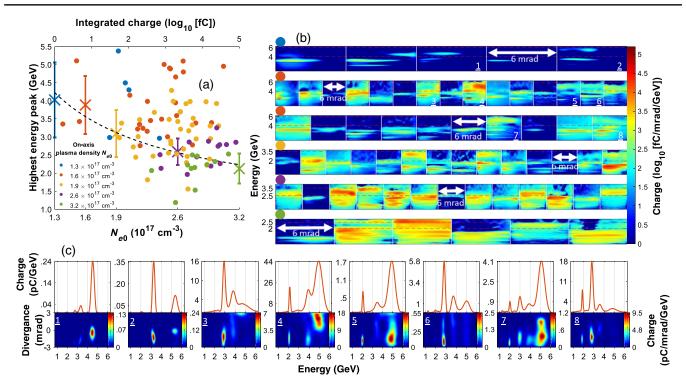


FIG. 3. Density scan of 20 cm plasma waveguide showing increasing peak bunch energy for decreasing on-axis waveguide density  $N_{e0}$ . Laser energy 11 J, pulsewidth  $\tau=45$  fs. (a) Peak bunch energy and associated charge in the highest energy peak for each shot (colored dots, plotted versus charge) and average peak energy (crosses, plotted versus  $N_{e0}$ ). The vertical bars are the standard deviations in energy. The dashed curve is a fit to  $\Delta W \propto N_{e0}^{-1}$ . (b) Angle-resolved electron spectra plotted on a linear energy scale corresponding to colored dots in (a). Each row represents all shots from a 20 shot series at each  $N_{e0}$  yielding acceleration. The energy scales are varied to allow closer inspection of the specific features. (c) Spectrum lineouts and angle-resolved spectra for shots with highest energy bunches. The two leftmost panels are for  $N_{e0}=1.3\times10^{17}$  cm<sup>-3</sup> and the rest are for  $N_{e0}=1.6\times10^{17}$  cm<sup>-3</sup>.

to obstruction by the nozzle may lead to a slight asymmetry in the prepared index structure, which causes wake oscillation at the end of the guide. Electron bunches then reach the end of the guide at different phases in the wake on different shots, and exit the guide with trajectories at varying angles to the waveguide axis. This pointing variability contributes to the large measured charge fluctuations: even >1 mrad pointing fluctuations perpendicular to the slit would result in sampling of low-intensity portions of the electron beam. However, it is clear, even aside from instrumental effects of electron beam pointing, that there should be shot-to-shot bunch spectrum and charge variations for fixed nominal waveguide and laser parameters. As illustrated by the higher-density experiment of Fig. 2, these variations are partially attributable to fluctuations in P1 pointing. But in the low-density experiments of Fig. 3, where  $L_d > L_{guide}$ , the highest energy electron spectra are significantly more sensitive to the axial location of electron injection, which is itself affected by the laser coupling offset and the details of the longitudinal variation of the plasma waveguide.

Insight into the effects of beam pointing and axial wave-guide nonuniformity on electron injection is obtained from the WarpX particle-in-cell simulations [42] (see Appendix C)

shown in Fig. 4. Accelerated bunch spectra for an axially uniform waveguide with  $N_{e0} = 1.7 \times 10^{17} \text{ cm}^{-3}$  $(L_d = 27 \text{ cm}, \text{ accounting for etching } [39] \text{ as discussed})$ earlier) are shown in Fig. 4(a) for on-axis coupling of P1 with  $a_0 = 2.0 - 3.0$ . Broad, relatively flat spectra are seen with highest energy in the range ~4-5 GeV. While this agrees with the maximum energy of the experiments, the experimental spectra show peaks with quasimonoenergetic structure. The broad spectra observed in the simulations originate from continuous ionization injection during guided propagation in the uniform waveguide, while more localized injection evidently occurs in the experiment. The reduction in peak electron energy in going from  $a_0 = 2.5$  to  $a_0 = 3.0$  in Fig. 4(a) is consistent with our observations of reduced acceleration at higher laser energy. This is likely due to laser depletion-induced dephasing, as discussed in Appendix C.

Shot-to-shot variation in localized injection likely occurs from a combination of P1 pointing fluctuations and axial nonuniformity of the plasma waveguide, with the latter originating from axial nonuniformity in the jet's gas density [as measured in Fig. 1(c)]. As OFI-driven plasma ionization and heating is independent of gas density, the plasma waveguide transverse shape is z invariant, but the waveguide on-axis density  $N_{e0}$  (and the He-like nitrogen

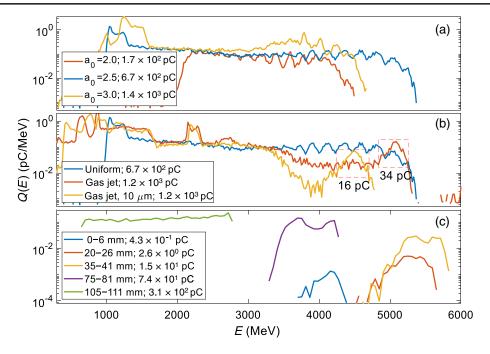


FIG. 4. Particle-in-cell simulations using WarpX [42] of electron acceleration in 20-cm-long plasma waveguide formed in 95%  $\rm H_2$  and 5%  $\rm N_2$ . The guide is initialized with hydrogen fully ionized and nitrogen ionized to  $\rm N^{5+}$  (see Appendix C). The charges shown are for electrons with energy >300 MeV. (a) On-axis coupling of  $a_0 = 2.0$ , 2.5, and 3.0 pulses into axially uniform waveguide with  $N_{e0} = 1.7 \times 10^{17}$  cm<sup>-3</sup> ( $L_d = 27$  cm). (b) Coupling of  $a_0 = 2.5$  pulse (i) on axis into uniform waveguide with  $N_{e0} = 1.7 \times 10^{17}$  cm<sup>-3</sup>, (ii) on axis into waveguide with on-axis waveguide density  $N_{e0}$  proportional to the longitudinal gas jet profile of Fig. 1(c) at 3 mm above the nozzle, and (iii) 10  $\mu$ m off axis into the same profile as (ii). Also shown is the charge in the peaks of (i) and (ii), bounded in energy by the dashed boxes. (c) On-axis coupling of  $a_0 = 2.5$  pulse into uniform waveguide with  $N_{e0} = 1.7 \times 10^{17}$  cm<sup>-3</sup> with restricted 6 mm sections of 5%  $\rm N^{5+}$  placed successively at locations shown in the legend. The short 5% dopant region consists of a 1 mm up ramp, a 4 mm plateau, and a 1 mm down ramp.

density) varies, and this affects electron injection. This is seen in Fig. 4(b), where the electron spectra are different for a uniform guide and one where the on-axis density follows the measured gas jet density profile of Fig. 1(c) (at 3 mm above the nozzle). Off-axis coupling of P1 by 10  $\mu$ m into the gas jet index structure changes the electron spectrum even more substantially, both in distribution and maximum energy, with the appearance of a quasimonoenergetic peak centered near 4.5 GeV with ~10% spread, suggesting localized injection in this case. The tens of picocoulomb charges in these peaks are consistent with our measurements.

We note that another contributing factor to the narrow energy spread may be beam loading. For our conditions, we estimate the maximum charge allowed by beam loading to be several hundred picocoulomb [using Eq. (30) of Ref. [45]], which is well beyond the measured bunch charges of ~10 pC in the high-energy peaks. However, the stability of our electron beam does not currently enable a systematic study to clearly determine the effects of beam loading—this will be a subject of further study.

Detailed inspection of the simulation results shows that localized injection can be triggered by multiple contributing factors during the experiment. First, nonuniformity along the waveguide can lead to ionization injection assisted by sharp density gradients [46–48]. Second, toward the end of the plasma channel, laser pulse depletion may decrease the peak intensity below the barriersuppression-ionization threshold of N<sup>5+</sup> of  $a_0 \sim 2.2$ . Third, transverse offset coupling of the drive laser pulse into the plasma waveguide can have several effects. One is that the transverse oscillation of the laser pulse centroid at the beginning of the waveguide will drive transverse oscillating plasma wakes, suppressing electron injection (see Fig. 5 and discussion). Another is that the beating of the multiple transverse modes excited by off-axis injection can result in intensity spikes that trigger localized ionization injection and minima that suppress it. Consideration of all these effects points to the advantage of localizing the dopant region by design to improve the energy spread and stability of the accelerated electron beam.

When electrons are injected over a restricted longitudinal region, the final electron energy spread can be <10%, with total charge on the order of 10 pC, as illustrated in the simulations of Fig. 4(c). Here, nitrogen dopant is confined to a short 6 mm section successively placed at five locations along an axially uniform plasma waveguide. Note that the maximum energy of  $> \sim 5$  GeV occurs for electron injection 2–4 cm after the beginning of the waveguide rather

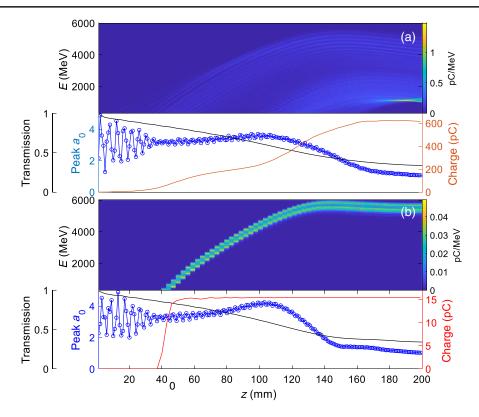


FIG. 5. Electron spectrum, normalized vector potential  $a_0$ , total accelerated charge (>300 MeV), and guided pulse energy transmission T(z) versus z for (a) injected  $a_0=2.5$ , continuous 5%  $N_2$  dopant,  $N_{e0}=1.7\times10^{17}$  cm<sup>-3</sup>, and (b) injected  $a_0=2.5$ , 5%  $N_2$  dopant in z=35–41 mm,  $N_{e0}=1.7\times10^{17}$  cm<sup>-3</sup>.

than near the guide entrance. This is because some propagation distance is needed for stabilization of the injected mode and its driven wakefield, and for intensity enhancement by self-steepening, which triggers ionization injection. The short section length is chosen to correspond to the smallest scale of axial gas density variation in Fig. 1(c), qualitatively modeling the effect of axial gas density variations on producing quasimonoenergetic structure in our measured electron bunches.

To visualize the evolution of the laser pulse and acceleration process along the waveguide for continuous versus localized ionization injection, we examine further the simulation results of Fig. 4. Figure 5(a) plots the electron energy spectrum, peak normalized vector potential  $a_0$ , and total accelerated charge (at >300 MeV) versus z for the case of Fig. 4(a) (injected  $a_0 = 2.5$ , continuous ionization injection), and Fig. 5(b) plots the same quantities for the case of Fig. 4(c) (injected  $a_0 = 2.5$ , ionization injection restricted to z = 35-41 mm). In both cases [Figs. 5(a) and 5(b)], the slight mismatch between the injected mode and the waveguide (including the effect of the abruptly excited plasma wake on guiding) causes oscillations in  $a_0$  that settle down by  $z \sim 35$  mm, after which the stabilized mode undergoes steady self-steepening, reaching  $a_0 > 3$ near z = 10 cm. In Fig. 5(a) (N<sub>2</sub> dopant everywhere), little charge is injected in the oscillation region, and then charge is injected continuously. The injection rate increases near z=10 cm (peak of self-steepening) and then turns off near z=16 cm when depletion and beam loading causes  $a_0$  to dip below ~2.2, the ionization threshold for N<sup>5+</sup>. Continuous ionization injection over most of the waveguide results in a large energy spread to ~5.5 GeV with a beam divergence of ~1 mrad, and a total charge >0.5 nC.

By contrast, with the  $N_2$  dopant restricted to z=35–41 mm, injection occurs only near the end of that range after the mode stabilizes. No further injection occurs downstream in the pure hydrogen plasma waveguide, even as the laser continuously self-steepens to  $a_0>3.5$  near z=10 cm. The pulse amplitude then drops owing to depletion. The locally injected bunch is continually accelerated to  $\sim 5.5$  GeV until depletion-induced dephasing occurs by z=14 cm. The output bunch is in a  $\sim 10\%$  FWHM quasimonoenergetic peak with beam divergence  $\sim 0.25$  mrad and charge  $\sim 15$  pC. From the scalings in Ref. [14], the peak  $a_0$  curves of Fig. 5 suggest that injection and acceleration is initially in the nonlinear regime, followed by acceleration in the quasilinear regime once pump depletion reduces  $a_0$ .

These results bracket our experimental acceleration results, which show a mix of shots with broad spectra and narrow spectra ranging up to 5 GeV, with  $\sim \! 10$  pC charge in the highest energy quasimonoenergetic peaks consistent with these simulations. As discussed earlier, in spite of  $N_2$  dopant distribution all along the waveguide in the

experiments, a combination of effects is likely responsible for the localized injection leading to these peaks, with the 5 GeV peaks seeded by injection occurring in the early portion of the waveguide. These results clearly point to the need in future experiments for driver laser pointing control, stability of guided modes, and controlled localized injection.

### IV. CONCLUSIONS

We have presented results from the first all-optical laser wakefield acceleration experiment to generate multi-GeV electron bunches, here up to 5 GeV. Key to these results are the development of the self-waveguiding technique for generation of meter-scale low-density plasma waveguides, as well as long supersonic gas jets that make guiding and acceleration possible.

Optical guiding of relativistically intense  $(a_0 > 1)$  pulses over such long distances highlights a number of experimental factors whose control will lead to far more stable and monoenergetic accelerated electron bunches. (1) Pointing stability of the drive laser pulse is of paramount importance: if the plasma waveguide supports modes higher than the fundamental, they will be excited by off-axis coupling of the drive pulse, and the resulting guided beam centroid oscillations will excite asymmetric plasma wakes leading to poor or no acceleration. In guides supporting only the fundamental mode, drive laser pointing fluctuations lead to shot-to-shot variation in guided mode intensity and in the driven plasma wakefields. While methods for drive pulse pointing stabilization are ultimately essential, in their absence the entrance section of our plasma waveguides can be designed to perform a mode-filtering function, where the plasma cladding is designed to enable the higher-order optical modes excited by off-axis injection to preferentially leak out of the guide [15]. (2) Fine control of the gas-type and axial density distribution is essential to ensure control over the location of electron injection and of the guiding and acceleration properties. This can be implemented by introducing specialized axial sections of the gas jet and by tighter tolerances in the nozzle fabrication to ensure local gas flow uniformity. In addition, the "2-Bessel" technique [29] can be applied for even better energy efficiency and control of waveguide parameters. (3) Over these long guided propagation distances, the evolution of the pulse envelope must be considered in the accelerator design. From initial self-steepening to later redshifting and stretching owing to depletion—and depletion induced dephasing—this evolution shows that simulations can be employed to design the input drive pulse envelope and peak field  $a_0$  to optimize accelerator performance.

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### APPENDIX A: EXPERIMENTAL SETUP

# 1. Measurement of axial density distribution of gas jet

The gas jet system consists of a Mach 4 supersonic slit nozzle fed by five high pressure solenoid valves (backed in the range 14–34 bar), triggered by a driving circuit synchronized to the laser pulses. The nozzle is 20 cm long with 2-mm-wide orifice. The valves are held open for  $\sim$ 10 ms to allow the nozzle flow to reach steady state before the arrival of the  $J_0$  Bessel beam pulse. The gas feed for the solenoids is pure  $H_2$  or a 95%/5%  $H_2/N_2$  mix.

Here we present a new technique for measurement of axial gas density profiles of very long jets. The profile along the nozzle is measured by imaging the hydrogen recombination fluorescence induced by ionization of the pulsed gas sheet by the  $J_0$  beam [Fig. 6(a)] and comparing this image to images from ionization of a known hydrogen density backfill in the chamber, with the nozzle still in place but with the valves off [Fig. 6(b)]. Images are taken through a 656 nm bandpass filter (bandwidth 10 nm) to restrict recombination imaging to the H-alpha line. The nozzle is kept in place for this measurement so that it presents the same end-on projected obstacle to the Bessel beam. The sharp ends in the fluorescence image of Fig. 6(a) are defined by gas sheet-vacuum boundaries, which have a 2–3 mm falloff at each end. The longer fluorescence strip in the hydrogen backfill of Fig. 6(b) follows the on-axis intensity profile of the  $J_0$  beam.

The axially (z) resolved fluorescence intensity of backfill [Fig. 6(b)] is  $I_{\text{backfill}}(z) = f(N_{\text{backfill}}, z)$ , where  $I_{\text{backfill}}$  is the fluorescence intensity and  $N_{\text{backfill}}$  is the constant backfill gas molecular density, measured with a piezopressure transducer (MKS 902B). Here, because  $N_{\text{backfill}}$  is constant, any z dependence of  $I_{\text{backfill}}$  is purely from z-dependent variations in the Bessel beam intensity. This measurement is repeated for a range of  $N_{\text{backfill}}$  values as plotted in Fig. 6(c). Then the chamber is pumped out and the fluorescence measurement is repeated for the pulsed gas jet [Fig. 6(a)], giving  $I_{\text{jet}}(z) = f[N_{\text{jet}}(z), z]$ , where here the z variation in  $I_{\text{jet}}$  is from z dependence of both  $N_{\text{jet}}$  and the Bessel beam intensity. Because the z dependence of Bessel beam intensity is common to both  $I_{\text{backfill}}$  and

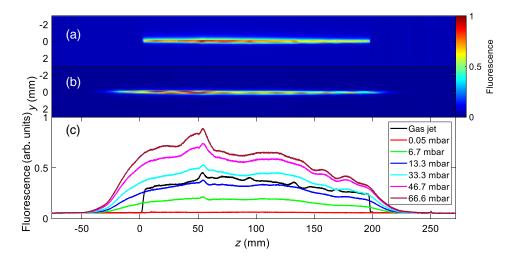


FIG. 6. Measurement of longitudinal gas density profile. (a) Image of hydrogen plasma fluorescence from the gas jet with 17 bar backing pressure. The  $J_0$  beam axis is 3 mm above the nozzle orifice. (b) Image of hydrogen plasma fluorescence in 66.6 mbar backfill. (c) Lineouts of hydrogen fluorescence for gas jet and hydrogen backfill of various pressures. The bumps in the gas jet fluorescence lineout (black curve) are from gas density variations from nozzle throat width variations and a local intensity bump in the  $J_0$  beam focus (near 50 mm).

 $I_{\rm jet}$ , we can interpolate the backfill measurements to get  $N_{\rm jet}=f^{-1}(I_{\rm jet})$ . The process is repeated for different vertical displacements between the  $J_0$  beam axis and jet orifice to yield the axial density profiles plotted in Fig. 1(c) of the main text.

# 2. Two-color interferometry for measurement of electron density and neutral gas profiles

As discussed in Ref. [30], two-color interferometry (interferometric probe pulses at  $\lambda = 800$  nm and  $\lambda = 400$  nm as shown in Fig. 1) was required to extract the

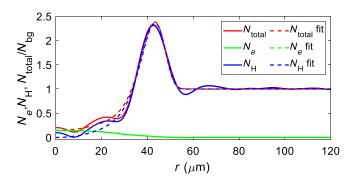


FIG. 7. Sample measured (solid lines) and fit (dashed lines) profiles of electron density  $N_e$ , atomic hydrogen density  $N_{\rm H}$ , and total density  $N_{\rm total} = N_{\rm H} + N_{\rm H^+}$  at 2.5 ns delay after the indexstructuring pulse P2, normalized to the background gas atomic density  $N_{\rm bg}$  (here  $6.6 \times 10^{18}~{\rm cm^{-3}}$ ). Note that the concave  $N_e$  profile shown here generated by OFI cannot support waveguiding; injection of P1 at 2.5 ns ionizes the neutral density cylindrical shock wall to generate the cladding of the plasma waveguide, as shown in Ref. [30] and discussed in the main text.

electron density and neutral gas density profiles in the same shot. These profiles were used for waveguide mode analysis (Appendix B) and for PIC simulations of selfwaveguiding and acceleration (Appendix C). Because the low gas and plasma densities imposed very small phase shifts on the probe pulses, noise errors were minimized using many shot averaging in backfill [30]. Sample profiles of plasma and neutral density at 2.5 ns delay after P2 (the index-structuring pulse) are shown in Fig. 7. For use in simulations, the extracted Abel-inverted plasma and neutral density profile is fit piecewise with an eighthorder polynomial multiplied by an exponential function. To simulate channels formed at different on-axis plasma densities, the profile is normalized to the background gas density  $(N_{bg})$  and scaled to the appropriate background level. Based on our extensive two-color probe measurements of the plasma or neutral expansion [30], the waveguide central density  $N_{e0}$  scales with background gas density  $N_{\rm bg}$ , while the profile shapes do not significantly depend on  $N_{\rm bg}$ .

# 3. Magnetic spectrometer and electron spectra charge calibration

Details of the magnetic spectrometer design, including field maps and results of electron trajectory calculations, are presented in the Supplemental Material [37]. Electron beams passed through the magnetic spectrometer's 1 mm (~0.3 mrad) lead entrance slit and were bent in a 0.85 T field of 30 cm extent. Kodak Biomax MS Lanex [40] was used as the scintillating screen LA2 (see Fig. 1), with the fluorescence imaged by an Andor Zyla 4.2 camera. During processing, the x-ray and other background noise

was removed from the raw images before they were deconvolved and interpolated onto a linear energy scale. We note that the Lanex response has been observed to decrease approximately linearly with electron energy up to 1.5 GeV [41]. However, we did not have the means to verify the calibration for our Lanex screen at multi-GeV electron energies, so we used the low-energy (40 MeV) calibration from Ref. [40]. Assuming the Lanex response trend of Ref. [41] leads to undercounting of the signal and a conservative estimate of the bunch charge.

More important to the measurement of bunch charge is our use of the 1 mm, 0.33 mrad slit to aperture the beam. Even with milliradian divergence, the electron beams produced in this experiment overfill the slit. Furthermore, as seen in the electron spectra of Figs. 2 and 3, there is shotto-shot variation in the electron beam pointing, resulting in misalignment between the beam and slit, where the signal is seen to be cut off by the edges of the magnets. While a precise measurement of the charge was not possible with our setup, we improved the lower bound on the charge estimate by using the measured beam divergence to estimate the percentage of the beam blocked by the slit. For a given shot, we assumed the electron beam profile was Gaussian, then used the measured divergence to calculate the beam size. From this, we found the percentage of the charge that would be transmitted into the spectrometer for a perfectly aligned electron bunch. This ratio is used for each shot as an additional calibration factor to estimate the actual charge. This still underestimates the charge, sometimes significantly, for electron bunches not perfectly aligned through the slit.

# 4. Measurement of drive laser transmission through the plasma waveguide

The drive laser pulse (P1) transmission through the plasma waveguide depended on laser energy, waveguide density, and injection offset. Because significant energy goes into plasma waves, transmission is not merely dependent on plasma waveguide coupling or leakage as it would be in the linear regime. This is seen in the simulation plots of Fig. 2(e), where the " $a_0 = 0.1$ , plasma" curve shows nearly 100% transmission. The " $a_0 = 0.3$ , neutral" curve illustrates the energy cost for self-waveguiding over 20 cm. This is, at most, a few percent of the energy in the  $a_0 > 1$  pulses of this experiment resulting in electron acceleration. By comparison, the much reduced transmission for any of the  $a_0 = 3.0$  curves is attributable to excitation of plasma waves.

Figure 8 plots measured transmission versus waveguide central density  $N_{e0}$  for P1 energies in the range 1.4–15 J [accompanying  $a_0$  values were calculated using  $\tau=45$  fs FWHM and the P1 focal profile of Fig. 1(a)], showing a maximum transmission of ~40% for  $a_0 \sim 1$  (P1 energy ~1.4 J), with transmission decreasing to ~10%–15% for  $a_0 \sim 2-3$  (P1 energy ~15 J). Experimental evidence of

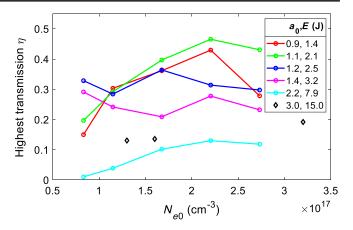


FIG. 8. Measured transmission of P1 versus plasma waveguide central density for P1 energies in the range 1.4–15 J.

plasma wave excitation is shown Fig. 1(f), where an increasing fraction of the pulse spectrum is redshifted for increasing  $a_0$ .

### APPENDIX B: QUASIBOUND MODE ANALYSIS

Because of the finite thickness and height of their cladding, optically generated plasma waveguides are leaky to varying degree, and their modes are quasibound [15]. While excessive leakiness can lead to rapid exponential energy attenuation, a guide with a well-bound fundamental mode but leaky higher-order modes is desirable to help regularize the laser profile for generation of well-behaved laser wakefields. For a given waveguide transverse profile, the leakiness of a quasibound mode is quantified by the 1/e energy decay length  $L_{1/e}$  [15]. For application to LWFA, the plasma waveguide should be designed to ensure that the attenuation length of the fundamental mode satisfies  $L_{1/e} > L_d$ ,  $L_{\rm depl}$ , the dephasing and depletion lengths in the LWFA process.

The quasibound mode structure and attenuation lengths for a radially symmetric plasma profile are found by solving the cylindrical Helmholtz equation for (p, m) modes, where p = 0, 1, 2, ... and m = 0, 1, 2, ... are radial and azimuthal indices,

$$\frac{d^2 \mathcal{E}}{ds^2} + \frac{1}{s} \frac{d \mathcal{E}}{ds} + \left( n^2(s) - \frac{\beta^2}{k_0^2} - \frac{m^2}{s^2} \right) \mathcal{E} = 0, \quad (B1)$$

where  $E(r,z)=\mathcal{E}(r)e^{i\beta z}$  is the electric field,  $k_0$  is the vacuum wave number,  $\beta$  is the longitudinal propagation number,  $s=k_0r$  is the dimensionless radial coordinate, and n(s) is the refractive index profile corresponding to the plasma profile. We identify the quasibound modes by solving Eq. (B1) for a range of  $\beta'=\beta/k_0$  for fixed azimuthal mode number m and using the  $\mathcal{E}(r)$  solutions to identify the maxima of  $\eta(\beta')=(|\mathcal{E}_{\text{vacuum}}|^2A)^{-1}\int_A |\mathcal{E}|^2dA$ ,

0.99985

(b)

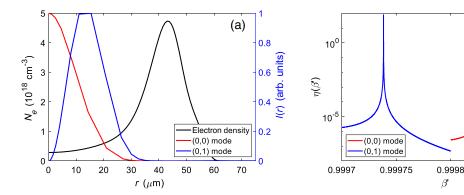


FIG. 9. (a) Calculated (p, m) = (0, 0) and (0, 1) modes calculated for waveguide of Fig. 1(g)(ii) with  $N_{e0} = 3.2 \times 10^{17}$  cm<sup>-3</sup>. (b) Plots of  $\eta(\beta')$  versus  $\beta'$  showing the resonances corresponding to the (0, 0) and (0, 1) modes.

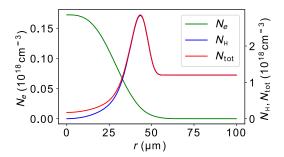


FIG. 10. Plasma or neutral density profile used in the WarpX simulation of Fig. 2(e).

where A denotes the waveguide cross section. For a given m, each maximum of  $\eta$  identifies the longitudinal wave number  $\beta$  corresponding to a radial mode p. Furthermore, the full width at half maximum  $\Delta\beta$  of the resonance peaks around these maxima gives the attenuation length of each mode:  $L_{1/e} = 1/\Delta\beta$  [15]. This is illustrated in Fig. 9(a), where we find the (0,0) and (0,1) modes for the plasma density profile of (a), corresponding to the  $N_{e0} = 3.2 \times 10^{17}$  cm<sup>-3</sup> waveguide of Fig. 1(g)(ii). In Fig. 9(b), the plot of  $\eta(\beta')$  shows resonance peaks corresponding to these modes.

In general, the fundamental mode will have the longest attenuation length, while the higher-order modes will leak more quickly. However, as illustrated in Fig. 1(g)(ii), guides with high and thick plasma walls may sustain high-order modes for tens of centimeters. The copropagation of different modes (with different longitudinal propagation wave numbers  $\beta_j$ ,  $\beta_k$ ) leads to mode beating at a spatial interval  $\Lambda = 1/(\beta_j - \beta_k)$ , as measured in our paper demonstrating self-waveguiding [30].

### APPENDIX C: PARTICLE-IN-CELL SIMULATIONS

The particle-in-cell simulations of this paper are performed with the quasicylindrical code FBPIC [38] and WarpX [42] in fully 3D geometry. Below we present the simulation parameters and the corresponding plasma or neutral density profiles in detail.

### 1. Simulation of the self-waveguiding process

Figure 1(d) shows the self-waveguiding process simulated using FBPIC in the lab frame, using the parameters shown in Table I. The plasma or neutral density profile is derived from background density scaling of the two-color interferometry measurement shown in Fig. 7. Additional self-waveguiding simulations (see Sec. C2) use WarpX.

TABLE I. FBPIC [36] simulation parameters. Boundary conditions: "open" in z and "reflective" in r.

$a_0$	Polarization	$w_0 \; (\mu \mathrm{m})$	τ (fs)	Window size $(N_r \times N_z)$	Grid $(\mu m)$ $(\Delta z, \Delta r)$	Number of modes $(N_m)$	Particles per cell $(z, r, \phi)$
0.3	x	30	40	150 × 2000	0.05,1.0	2	1,2,4

TABLE II. WarpX [37] simulation parameters. P1 transverse coupling offsets are 0, 10, and 20  $\mu$ m. Boundary conditions: perfectly matching layer at x, y, and z boundaries.

$a_0$	Polarization	$w_0 \; (\mu \mathrm{m})$	τ (fs)	Window size $(N_x \times N_y \times N_z)$	Grid $(\mu m)$ $(\Delta x, \Delta y, \Delta z)$	Boosted frame $(\gamma)$	Particles per cell $(x, y, z)$
2.0,2.5,3.0	х	30	40	$256 \times 256 \times 4096$	1,1,0.05	10	1,1,1

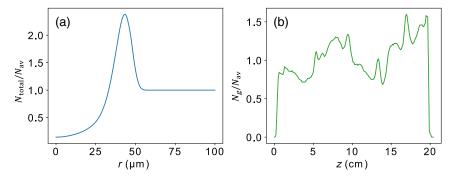


FIG. 11. (a) Transverse plasma density profile [where  $N_{\text{tot}}(r) = N_e(r)$  for a fully ionized profile] and (b) measured longitudinal gas density profile of the gas jet [3 mm profile from Fig. 1(c)], where  $N_{\text{av}}$  is the axial average gas density.

## 2. Simulations of high-intensity guiding and laser wakefield acceleration

Three-dimensional simulations of high-intensity guiding, wakefield generation, and acceleration were performed with WarpX in a boosted frame [42]. The simulation parameters are shown in Table II, with the plasma and neutral density profiles plotted in Fig. 11. The simulation uses a Cole-Karkkainen solver with Cowan coefficients [50] and uses a bilinear filter to smooth all the charges and currents on the mesh after decomposition from macroparticles. We note that while boosted frame simulations reduce the number of macroparticles [51], this does not degrade the statistics for our case of ionization injection. Unless otherwise indicated, all simulations assume a fully ionized plasma waveguide with nitrogen atoms ionized to N<sup>5+</sup>. For the high laser energies of our experiments, we found that these results were indistinguishable from selfwaveguiding of pulses in the "refractive index structure" consisting of on-axis plasma surrounded by the neutral hydrogen cylindrical shock wall.

In Fig. 2(e), two types of plasma or neutral profiles are used to demonstrate the energy loss due to waveguide generation by self-waveguiding and by plasma wave excitation. In the first case, we use a pre-self-waveguiding "index structure" profile for pure hydrogen composed of the plasma and neutral hydrogen density profiles shown in Fig. 10. In the second case, we use a preionized 95% hydrogen and 5% nitrogen gas mix, with the nitrogen atoms ionized to N<sup>5+</sup>. In both cases the density profile is

longitudinally uniform over the 20 cm length of the waveguide.

The effect of longitudinal nonuniformity was modeled by scaling the plasma density profile [Fig. 11(a)] by the measured axial density distribution of Fig. 1(c) [reproduced in Fig. 11(b)].

### 3. Effect of pump depletion on electron dephasing

As discussed in prior work, pump depletion can effectively shorten the dephasing length in LWFA [3,41,52,53]. This is caused by the wake-induced laser redshift that slows down the pulse group velocity and therefore the plasma wake phase velocity. The group velocity of an undepleted laser pulse in a plasma waveguide is [15,30]  $v_{g0}/c \approx 1 - \omega_{p0}^2/2\omega_0^2 - 1/(k_0w_{ch})^2$ , which corresponds to the plasma wake phase velocity  $v_{p0}/c$  in the undepleted case. By replacing the initial central laser frequency  $\omega_0$  and wave number  $k_0$  by their instantaneous average in the comoving simulation frame,  $\omega$  and k, one can account for the pump depletion-induced slowdown of plasma wakes in the plasma channel [3,41,52,53].

We demonstrate this correction by 3D PIC simulation in WarpX and show that pump depletion can reduce the effective dephasing length during propagation in long plasma waveguides. The WarpX simulation parameters are shown in Table III.

Figure 12 shows the mean normalized laser wave number  $\langle k \rangle/k_0$  in the moving simulation window along the waveguide for several laser and plasma conditions

TABLE III. WarpX parameters for simulation of pump depletion-induced dephasing. Boundary conditions: perfectly matching layer at *x*, *y*, and *z* boundaries.

$a_0$	Polarization	$w_0 \; (\mu \mathrm{m})$	$\tau$ (fs)	$N_{eo} \ (10^{18} \ {\rm cm}^{-3})$	Window size $(N_x \times N_y \times N_z)$	Grid $(\mu m)$ $(\Delta x, \Delta y, \Delta z)$	Boosted frame $(\gamma)$	Particles per cell $(x, y, z)$
2.0,2.5	х	30	40	0.17-0.34	$256 \times 256 \times 4096$	1,1,0.05	10	1,1,1

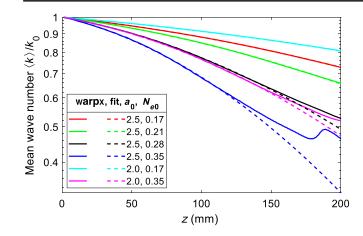


FIG. 12. Mean normalized wave number  $\langle k \rangle/k_0$  of the laser pulse along the plasma waveguide. The solid lines are simulation results and the dashed lines are exponential fits  $\langle k \rangle = k_0 \exp(-z/L_{\rm pd1} - z^2/L_{\rm pd2}^2)$ .  $N_{e0}$  is in units of  $10^{18}$  cm<sup>-3</sup>.

(solid lines). It is seen that for a given waveguide central density  $N_{e0}$ ,  $\langle k \rangle / k_0$  drops increasingly with propagation for larger  $a_0$  (increased redshifting), with saturation occurring for the blue curve and incipient saturation for the black and pink curves. Those curves correspond to the higher  $N_{e0}$  cases.

To establish the connection between  $\langle k \rangle/k_0$  and pump depletion, we fit  $\langle k \rangle$  as  $\langle k \rangle = k_0 \exp(-z/L_{\rm pd1} - z^2/L_{\rm pd2}^2)$  and compare with the simulation result from WarpX. The result is plotted as dashed lines in Fig. 12. The quadratic term in the exponent is to account for faster depletion due to pulse steepening, which is not included in the quasistatic approximation in Ref. [52]. We take  $L_{\rm pd1}$  as the depletion length and in all the cases  $L_{\rm pd1} \sim 10k_0^2/k_p^3$ , consistent with

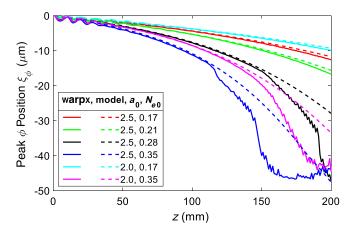


FIG. 13. Position  $\xi$  of the peak potential of the plasma wake bucket following the laser pulse in a frame moving at the group velocity  $v_{g0}$  of the undepleted laser pulse. The reduced plasma wave phase velocity effectively shortens the LWFA dephasing length. Solid curves: WarpX simulation. Dashed curves: model fit.

Eq. (10) in Ref. [52], where  $k_p$  is the plasma wave number in each case.

To see the depletion-induced plasma wake slowdown, in Fig. 13 we plot the wake position (taken as the location  $\xi_{\phi}$ of the peak wake potential after the laser pulse) in the comoving simulation window with  $v_{\text{window}} = v_{q0}$ . Increasing  $a_0$  from 2.0 to 2.5 for fixed waveguide density is seen to increase the wake lag, as does increasing the plasma density for fixed  $a_0$ . We model this wake lag as a correction,  $\Delta v_p/c = (v_{p0} - v_p)/c = \omega_{p0}^2/2\omega_0^2(1 - \omega_0^2/\langle\omega\rangle^2) +$  $1/(k_0 w_{ch})^2 (1 - k_0^2/\langle k \rangle^2) + \alpha \sqrt{a_0} (\omega_p/\omega_0)^{3/2}$ , with fitting parameter  $\alpha = -0.022$ . As the laser pulse self-steepens along propagation, the energy depletion rate increases and  $\langle k \rangle$  drops faster. This, combined with the  $\langle k \rangle^{-2}$  dependence in the correction term, causes  $\xi_{\phi}$  to decrease faster along propagation. The model fits best for the lower  $N_{e0}$  or  $a_0$ cases (cyan, red, and green curves), where the accelerated charge is low and the beam loading effect is not significant over the whole propagation length. For the higher  $a_0$  or  $N_{e0}$ cases (black, blue, and magenta curves), the model still fits well until beam loading effect significantly affects the wake structure. In summary, we show through PIC simulations that pump depletion contributes to dephasing during laser propagation over long distances and the depletion length is a function of both  $a_0$  and  $N_{e0}$ .

# 4. Effect of drive laser coupling offset on plasma wakefield symmetry

Transverse coupling offset of the drive laser P1 results in centroid oscillations [see Fig. 2(f)] originating from excitation of several modes. This leads to transverse oscillations in the wakefield, as shown in Fig. 14, based on conditions of Fig. 4(b) (gas jet waveguide,  $a_0 = 2.5$ ). Snapshots of the wakefield and its projections simulated using WarpX are shown at z = 15 mm and z = 55 mm for the cases of on-axis coupling and a transverse coupling offset of 10  $\mu$ m. Here  $(x, y, \xi) = (0, 0, 0)$  corresponds to the laser beam axis and the centroid of the laser pulse in the simulation window moving at the laser pulse group velocity. On-axis coupling leads to transversely symmetric wakefields, with the symmetry persisting over the full length of the waveguide. This is seen most clearly in the projection into the xy plane of the symmetric plasma wake density near the back of the first potential bucket at  $\xi = -53 \mu m$ , the center of the accelerated electron bunch [Figs. 14(a') and 14(b')]. A coupling offset of 10  $\mu$ m, however, leads to transverse wake asymmetry that persists as long as higher-order mode(s) are confined [here, mainly the (0,1) mode], as seen in the same xy projection (for  $\xi = -53 \mu \text{m}$ ) at z = 55 mm[Figs. 14(c') and 14(d')]. Also notable is the presence of an on-axis electron bunch of much larger charge in the case of zero P1 coupling offset [compare Figs. 14(b) and 14(d), where in Fig. 14(d) the lower charge bunch is

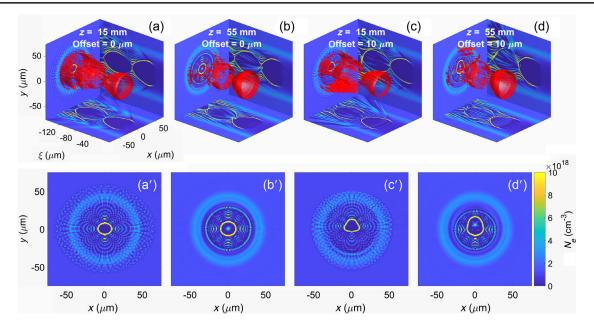


FIG. 14. WarpX simulation assessing the effect on the plasma wake of off-axis drive pulse (P1) coupling, using the parameters of Fig. 4(b). Here  $(x, y, \xi) = (0, 0, 0)$  corresponds to the laser beam axis and the centroid of the laser pulse. The red contours are for  $N_e = 9.4 \times 10^{18}$  cm<sup>-3</sup>, the xy projection is for  $\xi = -53$   $\mu$ m (center of the accelerated electron bunch), and the  $x\xi$  and  $y\xi$  projections are for y = 0 and x = 0, respectively. (a) No P1 coupling offset, z = 15 mm, (b) no P1 coupling offset, z = 55 mm, (c) 10  $\mu$ m P1 offset, z = 15 mm, (d) 10  $\mu$ m P1 offset, z = 55 mm. (a')–(d') zy projections of electron density in (a)–(d).

located off axis]. These cases correspond to the two "gas jet" electron spectra shown in Fig. 4(b). This is consistent with the electron bunch fluctuations associated with P1 pointing variations discussed throughout this paper.

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Correction: A minor error in an inline equation in the last paragraph of Appendix C 3 has been fixed. A missing minus sign has been inserted for the value of  $\xi$  in the first paragraph of Appendix C 4 and in the Fig. 14 caption. Incorrect citations of Fig. 14 panels in the first paragraph of Appendix C 4 have been set right. The information given for panels (b) and (c) in the Fig. 14 caption has been corrected.