# Numerical model of electron cyclotron resonance ion source

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Important features of the electron cyclotron resonance ion source (ECRIS) operation are accurately reproduced with a numerical code. The code uses the particle-in-cell technique to model the dynamics of ions in ECRIS plasma. It is shown that a gas dynamical ion confinement mechanism is sufficient to provide the ion production rates in ECRIS close to the experimentally observed values. Extracted ion currents are calculated and compared to the experiment for a few sources. Changes in the simulated extracted ion currents are obtained with varying the gas flow into the source chamber and the microwave power. Empirical scaling laws for ECRIS design are studied and the underlying physical effects are discussed.

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## I. INTRODUCTION

The electron cyclotron resonance ion source (ECRIS) is a plasma-based device designed to produce intense beams of multiply charged ions [\[1\].](#page-21-0) Plasma in the source is a microwave low-pressure  $(10^{-8}-10^{-6}$  mbar) discharge in a static magnetic field of a few Tesla. Typically, microwave power is in the kW range and frequency of the microwaves is of a few GHz, with the modern ECRIS designs aimed to 56 GHz or higher. Electrons in the plasma are heated by absorption of the microwaves at the electron cyclotron resonance surface, where the electron cyclotron frequency is equal to the microwave frequency. The resonance magnetic field of 0.5 T corresponds to the 14 GHz microwave frequency. The ECR (electron cyclotron resonance) surface should be closed and not touching the vacuum chamber walls to get the plasma electron temperature around 1 keV favorable for producing the highly charged ions. Electrons in the ECRIS plasma are confined by the mirror magnetic forces. The socalled minimum-B magnetic structure is needed that is formed by a set of solenoids to produce a longitudinal magnetic trap combined with a multipole magnetic field increasing toward the walls in the radial direction. To form the multipole field, either a set of superconducting coils is used or a set of permanent magnets, e.g., in the hexapole Halbach configuration [\[2\]](#page-21-1). The minimum-B structure provides a favorable curvature of the magnetic field lines inside the plasma capable to suppress the plasma macroinstabilities.

In Fig. [1](#page-0-0), the magnetic field lines are visualized for a typical ECRIS. Only those lines are shown that cross the ECR zone, where the main plasma production takes place.

The lines are terminated at the walls of a cylindrical vacuum chamber. The ECR zone is colored grey. Since the plasma flows predominantly along the magnetic field lines, this picture also depicts the plasma shape in ECRIS with its 120-degree symmetry. Two solenoid coils (not in a scale) and a multipole structure are also schematically shown in Fig. [1.](#page-0-0)

Along the axis of plasma symmetry  $(z-axis)$ , plasma is limited by two flanges at the "injection" and "extraction" sides of the source. At the injection flange, microwaves are

<span id="page-0-0"></span>

FIG. 1. Schematic view of the magnetic field lines crossing the ECR zone in ECRIS. The ECR zone is colored grey.

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coupled into the plasma chamber through a waveguide with an open end. Also, a gas inlet is placed there, as well as the axial negatively biased electrode used to control a plasma potential spatial distribution [\[3\]](#page-21-2). At the extraction flange, ions leave the source through an extraction aperture. Normally, sources are positively biased in respect to a ground with up to a few tens of kV voltage for the ion beam formation and acceleration. Extracted ion beams are then used in a variety of applications, e.g., for injection into particle accelerators. It is worth noting that this is an ECRIS source that is used as a particle injector for the heavy ion program at the LHC.

Theoretical models for the ECRIS operation began to be developed soon after the source invention in the 1980s of the past century. The dimensionless models solved a set of nonlinear equations for a balance of ion and electron densities inside the plasma taking into account particle production and loss processes [\[4\].](#page-21-3)

Ion lifetime (confinement time) plays an important role in such equations defining the mean charge state of ions achievable for a given electron density. It has been commonly argued that a potential dip should be formed inside the ECR zone in respect to the globally positive plasma potential to balance the ion and electron losses out the ECRIS plasma [\[5\].](#page-21-4) Ion production volume is limited predominantly by the ECR zone, and due to the fast ion-ion collisions, ions with the different charge states are thermally equilibrated. Potential dip value is assumed to be large compared to the ion temperature. Ion confinement times in these conditions have the exponential dependence on the ion charge state Q.

At least two experimental results are in contradiction with the potential dip concept. Douysett *et al.* [\[6\]](#page-21-5) measured the spectrally resolved x-ray emission from argon ECRIS plasma. From the line intensities, ion densities were obtained and compared with the extracted ion currents. Weak linear dependence of the confinement times on the ion charge state was observed. Pulsed injection of metal atoms into ECRIS has been studied by using the laser ablation technique [\[7\]](#page-21-6). Time structure of the extracted ion currents was not consistent with the exponential dependence of the ion confinement times on Q.

A zero-dimensional self-consistent code had been developed by Girard et al. [\[8\].](#page-21-7) The linear dependence of ion confinement times on their charge is assumed in the model on the base of the experimental results of [\[6\]](#page-21-5). Good qualitative reproduction of ECRIS operation was achieved. Mascali et al. [\[9\]](#page-21-8) perform the 3D modeling of the electron and ion dynamics in ECRIS. The double layer structure is supposed to be formed at the boundary of a plasmoid inside the ECR zone. The potential barrier height is estimated in the model as  $(0.1-0.2) V_p$ , where  $V_p$  is the plasma potential; the barrier is of a few Volts for the typical plasma potentials in the range of (20–50) V. The ion temperature is close to the barrier height in the model. The calculated ion current distributions in comparison with the experiments have not been reported yet. The model is mainly focused on calculations of the microwave spatial distribution inside the plasma vacuum chamber.

Cluggish et al. [\[10\]](#page-21-9) developed the 1D/2D GEM code for simulations of processes in ECRIS. Scaling of the global plasma parameters with the gas pressure and microwave power is obtained. In the calculations, plasma is strongly localized inside the ECR zone; the ions are confined by the potential dip of a few V inside the zone.

In the present paper we report on further development of the three-dimensional model that had been presented in [\[11\]](#page-21-10), solving some problems in interpretation of the ECRIS behavior and reaching the predictive level. Our approach is based on the particle-in-cell algorithm with the Monte-Carlo collision block to model a dynamic of ions inside the plasma. Electrons in the model are treated as a neutralizing background—during the calculations the electron density is obtained by summing up the ion charge densities in a cell. The electron temperature is an input. We do not use the potential dip in the calculations though technically it is possible to do.

The "no-dip" condition is a limiting scenario from the point of view of the highly charged ion production in ECRIS. The fact that the simulated extracted currents are close to the experiment does not exclude the dip existence but shows that the ion dynamics is to a great extent caused by some other effects, which are studied in the present text.

The paper is organized as follows. In Sec. [II](#page-1-0) parameters of the modeled ECR sources are given and the model is described. Section [III](#page-6-0) reports the results of calculations concerning a general source behavior, such as the source responses to variations in the microwave power and gas flow into the source chamber. Spatial distributions of the plasma inside the source and of the ion fluxes to the walls are discussed, ion confinement times are estimated. Section [IV](#page-16-0) presents the source output variations with changing the magnetic field parameters. Conclusions are given in the end.

### II. THE MODEL DESCRIPTION

<span id="page-1-0"></span>The code uses the standard particle-in-cell technique to trace a movement of a large number of macroparticles representing heavy ions and atoms. Calculations are done on the Cartesian computational mesh of  $65 \times 65 \times 64$  cells in  $x$ ,  $y$  and  $z$  directions respectively. The total number of macroparticles is  $2 \times 10^5$  with a statistical weight of a macroparticle being an input for the code. The weight varies in the range from  $10^7$  to  $10^{10}$  real particles per macroparticle. The time step is  $10^{-7}$  s. Calculations are done at a single CPU 3.6-GHz computer, the code is serial and not parallelized. Normally, it takes around 1 h for one run to complete depending on the amount of the requested statistics.

				KVI-AECRIS ECR4-M <sup>2</sup> DECRIS-2M DECRIS-SC2 14 GHz DECRIS-SC2 18 GHz	
Length, cm	30	18	20	28	28
Diameter, cm	7.6	7.4	6.4	7.4	7.4
$B_{\rm inj}$ , T	1.97	1.1	1.21	1.66	1.97
$B_{\text{ext}}$ , T	1.07	1.09	1.01	1.08	1.35
$B_{\text{min}}$ , T	0.35	0.38	0.4	0.35	0.47
$B_H$ , T	0.85	1.0	1.0		
Extraction aperture, cm	0.8	1.0	1.0	1.0	1.0
$L_{\text{ECR}}$ , cm	10.8	6.7	6.2	7.3	7.4

<span id="page-2-0"></span>TABLE I. Plasma chamber dimensions and magnetic field extremes of the modeled sources.

In typical conditions, neutral particles constitute around 75% of the total particle number. Atoms move straight in the line inside the cylindrical plasma chamber and are reflected back when hitting the chamber walls. Angles of reflection are selected according to the cosine law for the perfectly diffusing walls. Atom velocities are selected from the Maxwell-Boltzmann velocity distribution with the gas temperature of 0.025 eV (room temperature); velocity is selected whenever an atom hits the wall or when an atom is injected into the plasma chamber. Particles leave the system through the round aperture at the extraction side of the source. After leaving the chamber, particles are returned back into the computational domain with the initial coordinates that correspond to the gas inlet position. Thus, the total number of particles in the domain is always kept constant. The result is that only the stationary processes are calculated properly, while the transient processes need to be treated in a different manner [\[12\]](#page-21-11). The calculations deal exclusively with argon as a working gas. Inclusion of other elements is possible but is not considered as needed at the moment.

Particles are moving in the magnetic field of the source. The magnetic field is characterized with a set of its extreme values: the hexapole  $(B_H)$  field at the chamber wall, the longitudinal magnetic field at injection  $(B_{\text{inj}})$ , extraction  $(B<sub>ext</sub>)$  sides of the source and minimum magnetic field  $(B<sub>min</sub>)$  at the source axis. The code uses the source dimensions (plasma chamber length and diameter) and magnetic field distributions (including the length of the ECR zone  $L_{ECR}$ ) of four ECR ion sources—KVI AECRIS from KVI, Groningen [\[13\]](#page-21-12), ECR-4 $M^2$  [\[14\]](#page-21-13), DECRIS-2M [\[15\]](#page-21-14) and DECRIS-SC2 [\[16\]](#page-21-15) sources from Flerov Laboratory for Nuclear Reactions (FLNR), J[I](#page-2-0)NR. Parameters of the sources are listed in Table I. DECRIS-SC2 source is modeled in two distinctive variants with using either 14 or 18 GHz microwaves for the plasma production. This implies different tunings of the magnetic field while keeping the source geometry the same. Other sources use 14 GHz microwaves. The magnetic field values are shown for the tunings of the sources optimizedto produce maximal  $Ar^{8+}$  ion beams.

Though the sources have different sizes of the plasma chamber and magnetic field distributions, their outputs are comparable. Current of the extracted  $Ar<sup>8+</sup>$  ions varies from 0.4 to 0.7 mA, being maximal for the DECRIS-SC2 18 GHz. The sources can be considered as well-performing typical representatives of their generation. All sources use the permanent magnets to form the hexapole field. Four superconducting coils form the longitudinal trap in DECRIS-SC2, other sources have two solenoids. The vacuum chamber of KVI-AECRIS is made of aluminum, the FLNR source chambers are made from stainless steel.

To calculate the solenoidal magnetic field components  $(B_{rs}, B_{zs})$  we use the POISSON-SUPERFISH code [\[17\]](#page-21-16) for an axially symmetric set of solenoids in combination with soft iron plugs and yokes. We distinguish between the extraction and the injection solenoids. In this geometry, the magnetic field along the z-axis behaves as it is shown in Fig. [2.](#page-2-1) The extremes of the field  $B_{\text{ini}}$ ,  $B_{\text{ext}}$  and  $B_{\text{min}}$  inside the source vacuum chamber are labeled at the graph, as well as the resonant magnetic field  $B_{\text{ECR}} = 0.5$  T.

The magnetic field of the source is a sum of the longitudinal and the hexapolar components. In our model, the hexapolar field is calculated analytically for the Halbach configuration neglecting the edge effects. Then the radial  $B_x$  and  $B_y$  components of the total magnetic field are calculated as follows:

<span id="page-2-1"></span>

FIG. 2. Magnetic field at the axis of KVI AECRIS.

where  $B_{rs}$  is the radial component of the solenoidal magnetic field,  $\theta$  is the polar angle, R is radius,  $B_H$  is the hexapolar field at the plasma chamber wall and  $R_0$  is the chamber radius.

In the FLNR sources, the hexapole structures are designed to be close to the perfect Halbach configuration. The KVI AECRIS hexapole significantly deviates from the Halbach configuration, but we neglect this difference at the moment and use the analytical approximation.

Since the edge effects are neglected, the longitudinal component of the magnetic field  $B<sub>z</sub>$  is fully determined by the solenoidal magnetic field  $B_{\text{zs}}$ .

At the plane where the solenoidal magnetic field at the axis of symmetry (z-axis) reaches its minimum, the radial component  $B_{rs}$  of the solenoidal field is zero and the  $B_{zs}$ component of the solenoidal field decreases with the radius. The total magnetic field (solenoidal  $+$  hexapolar) at this plane is displayed at Fig. [3](#page-3-0) for two sources simulated by the code, KVI AECRIS and ECR-4M<sup>2</sup>. The  $B_{\text{zs}}$  solenoidal components are shown by dashed lines. Fields are shown close to the axis.

For KVI AECRIS the radial gradient of the solenoidal field is smaller compared to  $ECR-4M^2$  and other FLNR sources. The result is that the full (solenoidal  $+$  hexapole) field is smoothly increasing with the radius for KVI AECRIS but has a local minimum at  $R \approx 0.7$  cm for ECR-4M<sup>2</sup>, DECRIS-2M and DECRIS-SC2 sources. This influences the plasma shape in the way that will be discussed later.

<span id="page-3-0"></span>

FIG. 3. Radial dependence of the magnetic field at the plane where the magnetic field at the source axis reaches its minimum. Fields of ECR-4M<sup>2</sup> are shown as the blue lines; red lines are for the KVI AECRIS.

In calculations the electron density is always assumed to be equal to the ion charge density from the requirement of charge neutrality. No other treatment of the electron dynamics is performed in the code. The electron energy distribution function (EEDF) is supposed to be Maxwellian with the electron temperature taken as an input for the code. This is a simplification, since it is experimentally known that the EEDF deviates from the Maxwell-Boltzmann distribution significantly. X-ray measurements (see e.g., [\[18\]](#page-21-17)) indicate that there is a high-energy tail in the distribution with the "spectral temperature" of 25–50 keV. In the following, we neglect these "hot" electrons, which anyway do not contribute into the ionization and heating of the ions in the plasma due to their low collisionality.

We divide the plasma electrons into two spatially separated components: the "warm" electrons inside the ECR zone are assumed to have a temperature  $T_{ew}$  in keV range, the electrons outside the zone are assumed to be "cold" with the fixed temperature  $T_{\text{ec}}$  of 5 eV. The cold electron temperature can vary in a wide range from 1 to 10 eV without affecting the code outputs significantly. When cold electron temperature exceeds 10 eV in calculations,  $Ar^{1+}$  ion current is much higher than the  $Ar^{2+}$ current, which is not observed in the experiments. In unmagnetized argon plasma with an electron temperature of 5 eV, the plasma positive potential should be roughly 25 V to balance the ion and electron losses to the plasma conducting boundaries. Such plasma potential values are typical for the ECRIS plasmas. Electrostatic probe measurements of the cold electron temperature in peripheral ECRIS plasma give the same estimates of a few eV. Localization of the warm electrons inside the ECR zone follows from the dynamics of electron heating by microwaves perpendicular to the magnetic field lines and electron trapping by the magnetic mirror forces. Such localization was confirmed by the numerical simulations reported elsewhere [\[19\]](#page-21-18). The plasma spatial characteristics are defined by this key assumption.

When moving in the magnetic field, ions experience elastic and inelastic collisions with each other and with electrons. The Monte-Carlo collision block of the code includes the following processes: ion-ion collisions, ion heating and diffusion due to the electron-ion collisions, elastic and inelastic collisions of ions with atoms, ionization and recombination.

The fastest process in the collision block is the ion scattering in ion-ion collisions. Frequency of the collisions is comparable or exceeds the Larmor frequency of ion rotation in the magnetic field. Collision frequency is calculated as given in [\[20\]](#page-21-19). The collisions are treated by using the standard Takizuke-Abe method of pairing the collision partners in a cell [\[21\].](#page-21-20) The method ensures the energy and momentum conservation for ions. The angle of scattering is calculated each time step according to the Nanbu algorithm [\[22\]](#page-21-21). The Coulomb logarithm  $\lambda_{\alpha\beta}$  for the ion-ion collisions is chosen to be constant and equal to 10, close to values given by Huba [\[20\]](#page-21-19) for the mixed ion-ion collisions.

Cross sections for the elastic and inelastic collisions of singly charged argon ions with argon atoms are taken from [\[23,24\]](#page-21-22). For the multiply charged ions, we scale the cross sections of the singly charged ions linearly with the ion charge state Q. The elastic scattering in ion-atom collisions is treated as isotropic. For the charge transfer, we consider only the single-electron transfer neglecting the multipleelectron transfer processes. After charge transfer for the multiply charged ions, kinetic energy release results in an energization of the colliding particles. The energy release with the typical value of 10 eV is considered to be equally distributed between the collision partners. Ion-atom collisions are included for the sake of completeness; they do not influence the ion dynamics in ECRIS substantially, mainly because of a very low atom density inside the plasma.

Ion heating and scattering in ion-electron collisions are computed by kicking an ion each time step in a (biased) random direction:

$$
V_i = V_{i0} + D(R+\delta) \frac{|R|}{|R+\delta|}.
$$

Here,  $\boldsymbol{R}$  is a random vector, components of which are uniform random numbers in the interval from  $-0.5$  to 0.5. The factor  $D$  in the above equation is calculated every time step and scales with the ion heating rate.

From the theory of random walks [\[25\]](#page-21-23), it follows that the probability density function  $P_N(x)$  for a random walker tends to a Gaussian distribution, whose width depends on the variance of the individual displacements  $\delta x$ . For N walks,  $N \rightarrow \infty$  and displacements in one dimension

$$
P_N(x) = \frac{e^{-x^2/2(\delta x^2 N)}}{(2\pi \delta x^2 N)^{1/2}}.
$$

Comparing this with the Maxwell-Boltzmann distribution for velocities, we conclude that the random kick procedure is equivalent to the ion heating due to the electron-ion collisions if

$$
D = \sqrt{12} \times 9.79 \times 10^3 \left(\frac{1}{M_i} \frac{dT_i}{dt} dt\right)^{1/2}
$$

$$
= \left(\frac{3.7 \times 10^{-6} n_e \lambda_{ei} Q^2 dt}{M_i^2 \sqrt{T_e}}\right)^{1/2} [\text{m/sec}],
$$

where the heating rate  $\frac{dT_i}{dt}$  is from Huba [\[20\]](#page-21-19),  $n_e$  is the electron density  $[m^{-3}]$ ,  $T_e$  is the electron temperature [eV],  $M<sub>i</sub>$  and Q are the ion mass and charge in atomic units, the Coulomb logarithm  $\lambda_{ei}$  for the ion-electron collisions is 17. The factor  $\sqrt{12}$  in the equation is due to the selected procedure to generate the random vector **R**,  $9.79 \times 10^3$  is the unit conversion factor.

The heating rate is directly proportional to the electron density at the given computational cell, quadratically depends on the ion charge state and is inversely proportional to the square root of the electron temperature—the colder the electrons, the more efficiently they heat the ions, providing that the electron temperature remains much larger than the ion temperature.

Direction of the kick in ion velocity is not fully random in the calculations. For the fully ionized plasma in a strong magnetic field, the resistively driven flow antiparallel to the pressure gradient should be taken into account,  $u_{\perp} = -\frac{\eta}{B^2} \dot{\nabla}_{\perp} p$ , where  $u_{\perp}$  is the drift velocity,  $\nabla_{\perp} p$  is the pressure gradient perpendicular to magnetic field, B is the magnetic field and  $\eta$  is the plasma resistivity [\[26\].](#page-21-24)

Electrons in ECRIS plasmas are strongly magnetized and rotating around the magnetic field lines. Ions are preferentially pushed by the electron-ion collisions in the direction of electron diamagnetic drift, which is perpendicular to the direction of the electron (ion) density gradient. In the strong magnetic field, the ions are then driven in the  $\mathbf{F} \times \mathbf{B}$  direction, where **F** is the force acting on the ions and B is the magnetic field. To account for this effect, we estimate the electron density radial gradient on the computational mesh, scale it with the electron Larmor radius and the electron density in the cell, and generate a vector  $\delta$  in the direction of the electron diamagnetic drift for the given mesh cell. Only x and y components of  $\delta$  are calculated, z-component is small compared to other components:

$$
\delta_x = -\frac{R_L}{n_e(ix, iy, iz)} \begin{pmatrix}\n-n_e(ix, iy + 1, iz) + n_e(ix, iy - 1, iz) + \\
\frac{1}{2} \begin{pmatrix}\n-n_e(ix - 1, iy + 1, iz) - n_e(ix + 1, iy + 1, iz) + \\
n_e(ix + 1, iy - 1, iz) + n_e(ix - 1, iy - 1, iz)\n\end{pmatrix}, \\
\delta_y = -\frac{R_L}{n_e(ix, iy, iz)} \begin{pmatrix}\n-n_e(ix - 1, iy, iz) + n_e(ix + 1, iy, iz) + \\
\frac{1}{2} \begin{pmatrix}\n-n_e(ix - 1, iy + 1, iz) + n_e(ix + 1, iy + 1, iz) + \\
n_e(ix + 1, iy - 1, iz) - n_e(ix - 1, iy - 1, iz)\n\end{pmatrix}, \\
\delta_z = 0.
$$

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Here, scaling coefficient  $R_L$  is the electron Larmor radius in meters scaled with the mesh step:

$$
R_L = \frac{2.38 \times 10^{-6} \sqrt{T_e}}{B(ix, iy, iz)\Delta X}.
$$

The electron temperature in the equation is in eV and the magnetic field  $B(ix, iy, iz)$  is in Tesla. The factor of  $\frac{1}{2}$  in the diagonal terms is because the diamagnetic drift components are  $\sqrt{2}/2$  and the distance between the nodes is  $\sqrt{2}\Delta X$  for these terms.

For the typical plasma conditions, amplitude of  $\delta$  is much smaller than 1. After calculating  $\delta$ , we add it to a random vector R. The obtained vector after renormalization defines the direction and amplitude of the ion kick due to the electron-ion collisions.

The probability for a particle to change its charge state is calculated each time step depending on the electron density and temperature in the given computational cell. The single ionization rates are combined from data sets [\[27,28\]](#page-21-25). Double ionization rates are taken from the fit of [\[29\]](#page-21-26) after correcting for some mistypes in their table of fitting coefficients. For the ionization rates with a number of removed electrons  $n \geq 3$  we use the scaling from [\[30\]](#page-21-27). Recombination processes are included for completeness, though they are too small [\[28\]](#page-21-28) for the considered plasma parameters and ion charge states to influence the plasma dynamics noticeably. In Fig. [4,](#page-5-0) the ionization rates are shown for the electron temperature of 1 keV for argon ions with the charge state from 0 to 11, as well as the recombination rate for the cold electrons with the temperature of 5 eV. Double ionization is important; the processes with  $n \geq 3$  are minor contributors to the full ionization rates. Error bars for the rates are not shown in the graph, but they can be large for some charge states.

<span id="page-5-0"></span>

FIG. 4. Rates for single and multiple ionization of argon atoms and ions for the electron temperature of 1 keV. Recombination rate is shown for the electron temperature of 5 eV.

Just before hitting the walls of the plasma chamber, the charged particles are accelerated in a plasma sheath up to the substantially high energies around  $(20–50) \times Q$  eV. We assume full neutralization of ions in collisions with the walls and full accommodation of their energy. Neutralized particles are reflected back into the chamber diffusively with the velocities selected from the Maxwell-Boltzmann distribution with the room temperature. Generally speaking, depending on the atomic masses of particles and wall material, wall conditions, angle of incidence and other factors, the reflected particles retain some of their initial energy and momentum. In our simulations, however, we take the thermal accommodation factor equal to 1 and use the "cosine-law" for calculation of the scattering angles. According to the experimental observations, this is justified for the cases when gas atomic mass is higher than the mass of wall atoms [\[31\]](#page-21-29). The argon accommodation coefficient for the aluminum surface is reported [\[32\]](#page-21-30) to be 0.8–0.9.

Those particles that leave the plasma chamber through the round aperture at the extraction side of the source form the charge state distribution of the extracted ion currents. The gas flow through the extraction aperture should be equal to the flow into the system. Gas pressure distribution inside the plasma chamber varies so much that it cannot be used as a global parameter that describes the source conditions. Instead, we use the gas flow out of the chamber to parametrize the source operation. Most of the particles flowing out of the chamber are ions; atom flux is less than 15% of the total value in the typical source conditions.

When performing the simulations of ECRIS, the total losses of ions to the chamber walls and into the extraction aperture are calculated. These losses should be equilibrated with exactly the same flux of electrons out of the plasma  $(I_e)$ . The typical calculated current of electrons to the walls is around 30 mA in our conditions. The power deposition to the walls due to electron losses is  $P_e = \frac{3}{2} kT_{ew}I_e$ ; summing this value with the potential and kinetic energies of the lost ions gives us the total power losses out of the plasma. Other power loss channels, such as x-ray and electron cyclotron emission are negligibly small in our conditions. From the power balance, it follows that the power losses from the plasma are equal to the microwave power coupled to the plasma. These estimates give the lower limit for the coupled power, since we do not account for the power losses connected with the high-energy tail of the electron energy distribution. Connection of the injected microwave power to the coupled power is also not straightforward, since there are losses of microwaves at the chamber walls and the microwave reflection. The measurements of the plasma diamagnetism [\[33\]](#page-21-31) indicate that the microwave coupling coefficient can be as low as 15%. The microwave reflection coefficient in most cases does not exceed 10%, which is a safety margin for the microwave-generating hardware. Most of the injected microwave power is directly absorbed by the source chamber walls.

For the given configuration of ECRIS magnetic field, the model requires only two input parameters: statistical weight of the macroparticles and the warm electron temperature. When the solution is converged, the applied inputs result in the plasma that can be characterized with two output parameters: gas flow out/into the plasma chamber and the power losses out of the plasma (coupled microwave power). Normally we perform the calculations by adjusting the inputs such as to reach the required output parameters  $(\pm 10\%)$ , e.g., by fixing the power losses at some level and then varying the gas flow in some range to study the source response to the gas flow variations.

In the following sections, we describe the results of applying the model for simulations of ECRIS, starting with studying the general features of the sources.

## III. GENERAL CHARACTERISTICS

### <span id="page-6-0"></span>A. Charge state distributions of the extracted ions

The charge state distribution (CSD) of the extracted ion currents is one of the most important characteristics of any ECRIS. It quantifies the ion beam intensity extracted from the source for the given ion charge states. The simulated CSD for KVI-AECRIS is shown in Fig. [5](#page-6-1) for the source conditions optimized to produce the maximal current of  $Ar^{8+}$  ions. At this, the warm electron temperature is 2 keV and the particle statistical weight was chosen to reach the total power coupled to the plasma equal to 100 W.

The experimental data in Fig. [5](#page-6-1) were obtained at the injected microwave power of 800 W and at the gas flow into the plasma chamber tuned to maximize  $Ar^{8+}$  current. An overall satisfactory agreement is seen between the simulations and the experiment, with some overestimation of the

<span id="page-6-1"></span>

FIG. 5. Charge state distribution of the extracted ion currents for KVI-AECRIS.  $T_{ew} = 2 \text{ keV}, P = 100 \text{ W}.$  Experimental points are shown as open squares.

<span id="page-6-2"></span>

FIG. 6. Relative changes in the extracted ion currents when the charge-exchange reactions are switched off. The same parameters of the source as in Fig. [5.](#page-6-1)

calculated low charge state currents. Note here that the experimental points are not corrected for the beam losses in the low-energy beam line, which can be as high as 30% [\[34\]](#page-21-32). These losses as well as the uncertainties in the ionizations rates may account for the observed deviations for the lower charge states.

For all other simulated sources, the same level in reproduction of the experimental charge state distributions is achieved. For three FLNR sources, the best correspondence is obtained with setting the coupled microwave power to 200 W, compared to 100 W for the KVI-AECRIS source. The optimal electron temperature for these sources is slightly higher compared to KVI-AECRIS, 3 keV instead of 2 keV.

The charge-exchange reactions influence the ion dynamics in the ECRIS plasma by decreasing the ion charge and causing the ion heating by the kinetic energy release. The reaction influence is not as strong as it is commonly supposed by the community. In Fig. [6](#page-6-2), we plot the ratio between the extracted ion currents of argon with the chargeexchange switched off and the currents that are calculated with taking the reaction into account. A mild gain is seen for the currents of the ions with charge state exceeding  $(10+)$ . Overall, the charge exchange plays no decisive role mainly because of the relatively low neutral density inside the central parts of the plasma as will be discussed in the next section.

#### B. Shapes of the plasma and of the extracted ion beams

The basic assumption of the model about the localization of the warm electron component in the ECR zone defines the plasma shape. Electron density is peaked on the source axis and has a maximum close to the B-min position along the z-axis. This is illustrated by Figs. [7](#page-7-0) and [8,](#page-7-1) where the electron density is shown as a function of transversal

<span id="page-7-0"></span>

FIG. 7. Electron density as a function of radius for KVI AECRIS  $(z = 17$  cm).

coordinate x at the center of the plasma ( $z = 17$  cm), and along the z-axis at  $x = y = 0$  cm.

Direction of the z-coordinate is chosen such that the injection side of the source is at  $z = 0$ . The calculations are done for KVI-AECRIS tuned for the  $Ar^{8+}$  production. The source parameters are chosen the same as presented in Fig. [5](#page-6-1).

Outside the ECR zone, the electron density decreases fast. The maximal density of the distribution is around  $1 \times 10^{12}$  cm<sup>-3</sup>, well below the critical density of  $2.5 \times 10^{12}$  cm<sup>-3</sup> for the 14 GHz microwave frequency.

In Figs.  $9-11$  $9-11$  we show the x-y projection of particle trajectories at  $z = 17 \pm 0.5$  cm close to position of  $B_{\text{min}}$ along the source axis. The pictures are obtained by tracing the particle movement and incrementing a screen pixel color index by 1 whenever a particle hits the pixel. The color scale is shown in an upper part of the pictures and corresponds to the number of the hits from 1 to 250. Times

<span id="page-7-1"></span>

FIG. 8. Electron density as a function of the longitudinal coordinate  $(x = y = 0$  cm) (KVI AECRIS).

<span id="page-7-2"></span>

FIG. 9. Trajectories of argon atoms  $Ar^0$  in the transversal  $x-y$  plane close to the  $B_{\text{min}}$  position along the source longitudinal axis (KVI AECRIS).

of exposition are different for the shown distributions. The trajectories depict in a qualitative way the particle density spatial distributions.

The six-arm symmetry is seen in the figures. The gas density decreases fast in the direction toward the chamber center, where the plasma density is high. The highest atom density is close to the chamber walls where atoms are reflected back into the chamber after neutralization.

<span id="page-7-3"></span>

FIG. 10. Trajectories of argon ions  $Ar^{1+}$  in the transversal  $x-y$  plane close to the  $B_{\text{min}}$  position along the source longitudinal axis (KVI AECRIS).



FIG. 11. Trajectories of argon ions  $Ar^{8+}$  in the transversal x-y plane close to the  $B_{\text{min}}$  position along the source longitudinal axis (KVI AECRIS).

These positions are visible as the bright red spots in Fig. [9](#page-7-2). The "hole" in the distribution is due to effective ionization of neutral argon by electron impact in the plasma. The ionization rate for neutral argon atoms is around  $1 \times 10^{-7}$  cm<sup>3</sup>/sec, the atom thermal velocity for the T<sub>0</sub> = 0.025 eV is  $4 \times 10^4$  cm/ sec. For the electron density of  $5 \times 10^{11}$  cm<sup>-3</sup> the mean-free path of argon atoms before ionization is  $\approx$ 1 cm—new ions are produced from neutral atoms almost exclusively at the ECR zone periphery. Transport of ions into the central parts of plasma across the magnetic field lines is slow. In these conditions, if gas mean velocity is increased in some way, this results in a substantial increase of the extracted ion currents. Also decreasing the ECR zone size facilitates a penetration of atoms into the plasma dense regions.

Spatial distributions of the lower charged ion are hollow in the radial direction (Fig. [10](#page-7-3)). The higher the charge state of ions, the stronger is localization of ions close to the source axis.

In a more quantitative way, the spatial distributions of particle densities are shown in Fig. [12](#page-8-0) for the dependence on the transversal coordinate  $x$  and Fig. [13](#page-9-0) for the dependence on the z-coordinate along the plasma axis of symmetry  $(x = y = 0)$ .

The particle density in Fig. [12](#page-8-0) is shown along the *x*-axis; this is a density profile in the horizontal direction of the distributions shown in Figs. 9–[11](#page-7-2).

It is seen from Figs. [12](#page-8-0) and [13](#page-9-0) that the atom density drops by more than an order of magnitude when comparing the regions outside the dense plasma to the plasma center. The ion densities decrease fast outside the ECR zone both in radial and axial directions, the highest charge states are peaked at the source axis. The distributions are obtained with the warm electron temperature  $T_{ew} = 2 \text{ keV}$  and the coupled microwave power of 100 W.

The distributions vary with changing the gas flow into the source chamber and electron temperature. In Fig. [14](#page-9-1), the same distributions are shown as in Fig. [12,](#page-8-0) but for the lower warm electron temperature  $T_{ew} = 900$  eV. The coupled microwave power is still 100 W.

Comparing Figs. [12](#page-8-0) and [14](#page-9-1), we see that the gas density outside the ECR zone is substantially higher for the source conditions with the lower warm electron temperature (approximately  $6 \times 10^{10}$  cm<sup>-3</sup> vs  $3.5 \times 10^{10}$  cm<sup>-3</sup>), while the highly charged ion density drops at the source axis by a factor of almost 50%. Changes in the extracted ion currents due to such broadening of the plasma will be discussed in the following subsection, where response of the source to variations in the gas flow and the coupled microwave power is studied.

In Fig. [15,](#page-9-2) z-dependence of total ion density along the source axis is shown in combination with the ion pressure dependence. The ion pressure is calculated as  $P_i(z) = \sum_{i} n_{i,Q}(z) T_{i,Q}(z)$ , where summation is done over all ion charge states Q. Also, mean ion temperature is shown there as a function of  $z$ , being defined as  $P_i(z)/\sum_{\mathcal{Q}} n_{i,\mathcal{Q}}(z)$ . Ion density peaks inside the ECR zone and decreases outside the zone. At the same time, ion temperature gradually increases along the z-coordinate outside the ECR zone due to more effective ion heating by the cold electrons with  $T_{\text{ec}} = 5 \text{ eV}$  compared to the heating rate by the warm electrons with  $T_{ew} = 2 \text{ keV}$ . To the great extent the ion density drop outside the ECR zone is caused by this boost in the ion heating rate. The ion pressure is uniform along the source axis—all irregularities

<span id="page-8-0"></span>

FIG. 12. Ion and atom density as a function of x at the transversal plane close to the  $B_{\text{min}}$  position in the *z*-direction  $(z = 17$  cm).  $T_{ew} = 2$  keV (KVI AECRIS).

<span id="page-9-0"></span>

FIG. 13. Ion and atom density as a function of the  $z$  coordinate along the axis of symmetry of the plasma.  $T_{ew} = 2 \text{ keV}$  (KVI AECRIS).

in the ion pressure profile are smoothed by an ion movement along the magnetic field lines.

Six-arm spatial distributions of Figs. [9](#page-7-2) and [10](#page-7-3) in the plasma center are transformed into three-arm stars close to the terminating flanges at the injection and extraction sides of the source (Fig. [16\)](#page-9-3). The reason for the transformation can be understood from symmetries illustrated by Fig. [1](#page-0-0). These profiles can be directly compared with the sputtering marks at the extraction electrode observed experimentally.

The sputtering marks reveal the rather narrow deep trenches along the star arms visible as white lines in the Fig. [17.](#page-10-0) The lines are surrounded with the wider and less pronounced halo. This pattern is clearly seen in the simulated profile. The halo around the narrow strip is due to the lowly charged ions, mostly  $Ar^{1+}$  ions.

<span id="page-9-1"></span>

FIG. 14. Ion and atom density as a function of x at the transversal plane close to the B-min position in the z-direction  $(z = 17 \text{ cm})$ .  $T_{ew} = 900 \text{ eV}$  (KVI AECRIS).

<span id="page-9-2"></span>

FIG. 15. Total ion density and ion pressure as a function of the  $z$ coordinate along the axis of symmetry of the source.  $T_{\text{ew}} = 2 \text{ keV}$ . The ion mean temperature is also shown (blue circles, right scale) (KVI AECRIS).

The ions form the extracted ion beam when leaving the source chamber through the extraction aperture (Ø8-mm for KVI AECRIS). The calculated spatial distributions of the extracted ions for KVI AECRIS are always peaking at the axis. The higher the charge state of ions, the more they are localized close to the axis. This is illustrated by Fig. [18,](#page-10-1) where the mean radial displacement of the extracted ions in the transversal direction  $\left(\frac{\sum_{N} \sqrt{(x_i^2 + y_i^2)}}{N}\right)$  is plotted as a function of the ion charge state.

Emittance of the extracted ion beams for ECRIS is mainly determined by the transversal size of the ion spatial

<span id="page-9-3"></span>

FIG. 16. Ion trajectories close to the extraction electrode of KVI AECRIS. Trajectories of all charge states are shown. Extraction aperture and plasma electrode are shown as the circles.

<span id="page-10-0"></span>

FIG. 17. Sputtering marks at the extraction electrode of KVI AECRIS.

distribution. The so-called "magnetic emittance" term of the total emittance value is caused by the conservation of the canonical angular momentum of ions during their extraction from the magnetic field of ECRIS. For the uniform initial radial distribution of ions, the normalized emittance can be calculated as

$$
\varepsilon_{\rm MAG}^{\rm rms\text{-}norm} \approx 0.04 B_0 r_0^2 \frac{Q}{M} [\pi \times {\rm mm} \times {\rm mrad}],
$$

where  $B_0$  is the magnetic field in Tesla,  $r_0$  is the beam initial size in mm and M is the ion atomic mass [\[35\].](#page-21-33) It is seen that the beam emittance is directly proportional to the ion charge state. In practice, however, it is had been measured in several sources that the ion beam emittance is decreasing with the ion charge state [\[36\]](#page-21-34). The usual explanation for the effect is that ions with higher charge state are localized at the axis of ECRIS such that their initial radial distribution becomes smaller with increasing their charge state Q.

<span id="page-10-1"></span>

FIG. 18. Mean size of the extracted ion spatial distribution in the radial direction and normalized magnetic emittance as a function of ion charge state (right scale) ( $T_{ew} = 2$  keV, 100 W).

We see from Fig. [18](#page-10-1) that the extracted beam initial size is indeed decreasing with Q, but not fast enough to make the emittance decreasing with the ion charge state.

For KVI AECRIS, the extracted ion beam profiles had been observed in experiment by using the viewing targets [\[34\]](#page-21-32). The triangular shapes had been seen with a rather uniform distribution of beam intensity inside the triangle, in good agreement with what is illustrated by Fig. [16.](#page-9-3) For other sources, hollow beam profiles are reported [\[37\]](#page-22-0). In part, the hollow profiles can be explained by aberrations in optical elements of low-energy beam line during the beam formation and transport. There are indications, however, that the hollow beams are formed inside ECRIS plasma [\[38\]](#page-22-1).

Hollow beams are seen in our simulations for FLNR sources: ECR4-M<sup>2</sup>, DECRIS-2M and DECRIS-SC2. For all these sources, a local minimum in the magnetic field radial distribution is observed as shown in Fig. [3](#page-3-0). An example of such a beam profile is shown in Fig. [19](#page-10-2) for DECRIS-SC2 18 GHz source. The trajectories of  $Ar^{8+}$  ions are shown there close to the extraction, for a tuning of the source when the  $B_{\text{min}}$  exceeds the value optimal for the Ar<sup>8+</sup> ion beam extraction. For the optimal conditions of the source, the profile is not so sharp but remains to be hollow with the ion current density maximum shifted from the axis.

For the lower charged ions, the hole in their spatial distribution is not so pronounced due to the larger Larmor radius of ions and faster diffusion of ions in the radial direction (Fig. [20](#page-11-0)).

Comparing the profiles of Figs. [19](#page-10-2) and [20](#page-11-0), we see that the mean radius of  $Ar^{8+}$  extracted ion distribution is larger

<span id="page-10-2"></span>

FIG. 19. Trajectories of  $Ar^{8+}$  ions close to the extraction electrode (DECRIS-SC2 18 GHz source). The  $B_{\text{min}}$  value is above the optimum. The extraction aperture is shown as the red circle in the center.

<span id="page-11-0"></span>

FIG. 20. Trajectories of  $Ar^{1+}$  ions close to the extraction electrode (DECRIS-SC2 18 GHz source). The  $B_{\text{min}}$  value is above the optimum. The extraction aperture is shown as the red circle in the center.

compared to  $Ar^{1+}$  in this specific condition of the source tuning. However, spread in the distribution of the radial coordinates is smaller for the higher charged ions. To some extent, we have three separate narrow beamlets when extracting such a hollow ion beam. This is an open question what will be the result of measuring the emittance of such a beam and may it be smaller than the above-mentioned value for the magnetic emittance term. The subject definitely needs further investigation.

## C. Dependencies on the gas flow and on the coupled microwave power

Dependencies of the extracted ion currents on the gas flow into the system (gas pressure in the plasma chamber) were studied in the following way. First, we selected the value of the coupled power. Then, we fixed the warm electron temperature  $(T_{ew})$  and adjusted the statistical weight of computational particles such that in the stationary conditions the coupled power equals the selected value  $\pm$ 5%. The charge state distribution of the extracted ions is calculated and the process is then repeated by varying the  $T_{ew}$  value in the desired range.

The total particle flux into the extraction aperture is calculated and is used as a measure of the total gas flow into the plasma chamber. For convenience, we express the gas flow in particle-Amperes; 1 pmA corresponds to the same particle flux as for 1 mA current of singly charged ions. The calculated dependencies are an equivalent of what an operator sees after fixing the injected microwave power at some level and varying the gas flow into the plasma chamber, in the assumption that the microwave-plasma coupling coefficient remains the same for the changing plasma conditions.

In the real sources, there are pumping ports either in between the hexapole magnets in KVI AECRIS or at the injection flanges in the FLNR sources. For simplicity we neglect the gas losses out of the sources via these ports, expecting that the particle flux into the extraction aperture is mainly due to the ion flux and much higher than the fluxes through the ports.

Variations in the extracted ion currents are shown in Fig. [21](#page-11-1) for KVI AECRIS and the coupled microwave power of 100 W. The warm electron temperature is also shown in the graph. The ion and electron currents to the source walls and into the extraction aperture increase when gas flow increases. Then, the electron temperature should decrease, if the coupled microwave power is supposed to be constant. The extracted ion currents grow gradually with the gas flow until the moment when the electron temperature comes below 1.5–2 keV. Below this limiting value, the ion currents drop suddenly; this "high-to-low" (HL) transition is accompanied with an increase in the radial size of the highly charged ion distribution (Figs. [12](#page-8-0) and [14](#page-9-1)) and with decrease in the electron density at the source axis. Such the transition is experimentally observed when tuning the KVI AECRIS.

The experimentally measured charge state distributions of the extracted argon ion currents are shown in Fig. [22](#page-12-0) for KVI AECRIS with different gas flows. At this, the injected microwave power was 800 W. The blue bars in Fig. [22](#page-12-0) represent the maximized output of the source, while cyan bars show the source output when the gas flow into the chamber is slightly above the optimal value. The graphs illustrate the mode jump in the source performance and increase in the source output with increasing the gas flow in the high mode of operation.

<span id="page-11-1"></span>

FIG. 21. Currents of argon ions as a function of gas flow in/out of the source, left scale. The warm electron temperatures are shown in open blue circles, right logarithmic scale. KVI AEC-RIS, 100 W of the coupled microwave power.

<span id="page-12-0"></span>

FIG. 22. Experimentally measured charge state distributions of the extracted ion currents for different gas flows (KVI AECRIS, 800 W).

Comparing Figs. [21](#page-11-1) and [22,](#page-12-0) we see that a ratio between currents in the high and low modes is well reproduced in the simulations. When tuning the source for production of moderately charged ions such as  $Ar^{8+}$ , most of the effort typically is put to increasing the gas flow into the chamber without slipping into the low mode; when the source is in the low mode, it is necessary to decrease the gas flow substantially to restore the source good performance.

The total particle current out of the source for the charge states  $(2 + -10+)$  is indicated in Fig. [22.](#page-12-0) These values are indicators of the gas flow into the plasma chamber to some extent, neglecting the flux due to  $Ar^{1+}$  ions and  $Ar^{0}$  atoms. Contribution of these particles is small, neutral atom flux does not exceed (10–15)%. Comparing Figs. [21](#page-11-1) and [22,](#page-12-0) we see that the gas flow at the mode jump significantly differs from the simulations indicating the overestimation of the low charged ion currents in the model (see also Fig. [5](#page-6-1)).

In Fig. [23](#page-12-1), mean electron density inside the ECR zone at the source axis is shown as a function of the gas flow into the plasma chamber in the same conditions as in Fig. [21.](#page-11-1) The density is growing steadily with increasing the gas flow, but never exceeds the critical value of  $2.5 \times 10^{12}$  cm<sup>-3</sup> for 14 GHz microwave frequency. Saturation is observed when the electron temperature is close to 3 keV. At the HL transition, the electron density drops by a factor of almost 2, and then slowly increasing again until the moment when the electron temperature reaches (300–200) eV.

This is the electron temperature that defines the conditions for the HL transition. After changing the selected value for the coupled microwave power from 100 to 200 W, the transition occurs at the higher gas flow but at the same electron temperature. This is illustrated by Fig. [24,](#page-12-2) where the extracted ion current for  $Ar^{8+}$  ions is shown as a function of the gas flow for 100 W of the coupled microwave power (open black squares) and 200 W (open

<span id="page-12-1"></span>

FIG. 23. Mean electron density at the source axis inside the ECR zone as a function of gas flow in/out of the source, left scale. The warm electron temperatures are shown in open blue circles, right logarithmic scale. KVI AECRIS, 100 W of the coupled microwave power.

red circles). The corresponding electron temperature values are also shown as solid blue squares for 100 W and solid blue circles for 200 W of the power. In the low mode, current of  $Ar^{8+}$  ions steadily decreases with the gas flow for 100 W of the coupled power, but increases for the higher power until the electron temperature drops to  $\approx 300$  eV, where the local maximum of the current exists. The higher charge state ion currents decrease both for 100 and 200 W in the low mode. In the high mode currents of extracted ions are the same for 100 and 200 W, indicating the source output saturation when increasing the microwave power in the given range.

The sudden change in the extracted ion currents during the HL transition is specific for the cold room temperature gas inside the plasma source chamber. The cold gas

<span id="page-12-2"></span>

FIG. 24. Extracted current of  $Ar^{8+}$  ions as a function of the gas flow for 100 and 200 W of the coupled microwave power. The corresponding electron temperature values are shown (right logarithmic scale) (KVI AECRIS).

<span id="page-13-0"></span>

FIG. 25. Currents of argon ions as a function of gas flow in/out of the source, left scale. The warm electron temperatures are shown in open blue circles, right logarithmic scale. KVI AEC-RIS, 100 W of the coupled microwave power. The temperature of the reflected atoms after neutralization of ions at the walls is 0.15 eV.

condition assumes full accommodation of the excess energy when ions hit the walls and are reflected back into the plasma chamber. If the reflected atoms retain some energy that was acquired in the sheath layer before hitting the wall, then atoms can penetrate deeper into the plasma before being ionized.

In Fig. [25](#page-13-0), the results of calculation of the extracted ion currents are shown as a function of the gas flow into the chamber in assumption that the reflected atoms after ion neutralization have the energy distribution with the temperature of 0.15 eV. It was checked prior to the calculations that changes in the temperature of the reflected atoms in the range of  $(0.1-1)$  eV do not affect the dependencies significantly. The specific value of 0.15 eV was selected on a base of experimental studies of the velocity distributions of neon atoms released out of a carbon surface in contact with hot neon plasma [\[39\]](#page-22-2) and measurements of argon atom velocities scattered from a silicon surface under argon keV ion irradiation [\[40\].](#page-22-3) Energy of the reflected atoms is supposed to be fully accommodated in their next collision with the walls. For the warm atoms, extracted ion currents are significantly higher compared to the case of the cold gas; the gain for the  $Ar^{8+}$  ions is around 50% for the same gas flow. No high-to-low transition occurs and currents are smoothly increasing with the gas flow up to some level and then decrease with decreasing electron temperature. For  $Ar^{8+}$  ions, the optimal electron temperature is around 1 keV in these conditions.

Comparing the dependencies of Figs. [21](#page-11-1) and [25](#page-13-0) with the experimentally observed behavior of KVI AECRIS, we conclude that for this source the cold gas model is more appropriate. The wall material for KVI AECRIS is (oxidized) aluminum, and the wall atoms are lighter than the impinging argon ions. Kinematically this presumes the

<span id="page-13-1"></span>

FIG. 26. Extracted ion currents at  $T_{ew} = 2 \text{ keV}$  as a function of the coupled microwave power (KVI AECRIS).

full energy accommodation [\[31\].](#page-21-29) The situation can be different for other wall materials, such as a stainless steel used in FLNR sources. In the following calculations, however, we assume the full accommodation and cold gas conditions.

Having the electron temperature fixed at the level of 2 keV optimal for the medium charged ion production, we investigate the dependence of the extracted ion currents on the coupled microwave power. This dependence for  $Ar^{8+} - Ar^{10+}$  ion currents is shown in Fig. [26](#page-13-1).

The extracted ion currents increase when increasing the coupled microwave power up to 150 W and then saturate. Note here that the gas flow should also increase when increasing the microwave power in order to keep the electron temperature fixed at the optimum. From the source operator's point of view, dependence of Fig. [26](#page-13-1) can be obtained by optimizing the gas flow into the source to maximize the highly charged ion currents for each selected microwave power in the depicted range.

Another way to study the source response to variations in the coupled microwave power is to fix the gas flow and then change the power. The simulated extracted currents for such situation are presented in Fig. [27.](#page-14-0) The gas flow is selected to be 0.5 pmA, which corresponds to the source parameters tuned to maximize the  $Ar^{8+}$  current at 100 W of the coupled power. With the fixed gas flow, changes in the coupled power are due to changes in the electron temperature, which vary from 500 eV to 4 keV for the depicted range of the power variation.

The simulated dependencies can be directly compared with the experimental data of the ion current response to changes in the injected microwave power (Fig. [28](#page-14-1)) for KVI AECRIS. The general trend is well reproduced in the simulations, with fast increase in the currents followed by the saturation of low charged ion currents at above 500 W for the experimental dependence and 50 W for the simulations. From the dependencies, we may conclude

<span id="page-14-0"></span>

FIG. 27. Dependence of the extracted ion currents on the coupled microwave power at the gas flow fixed at  $(0.5 \pm 10\%)$  pmA. The electron temperature is also shown (right scale, open blue squares).

that the injected microwave power of 1 kW roughly corresponds to 100 W of the coupled power for KVI AECRIS. This means that the microwave power coupling coefficient is around 10% for the given source. The value looks small but it is worth reminding here that the coupled power is calculated without taking into account the non-Maxwellian shape of the electron energy distribution function. Energetic tails of EEDF can carry away as much power as the main body of the distribution—the coupled power is defined in the simulations with a possible error of 2 or so.

All in all, the simulations agree well with the experimentally observed behavior of the source when varying the gas flow into the plasma and the injected microwave power.

It is often assumed that the experimentally observed saturation (decrease) of the highly charged ion currents with increasing the gas flow into the sources is caused by the increased rate of the charge-exchange collisions. We see

<span id="page-14-1"></span>

FIG. 28. Experimentally measured extracted ion currents for different injected microwave powers. Gas flow is fixed at the level optimized to maximize the  $Ar^{8+}$  ion current at 800 W of the injected microwave power (KVI AECRIS).

in our calculations that this is not the case and the effect is caused by the decreasing electron temperature and by the increasing rates of ion heating in the denser plasma.

### D. Ion confinement

The longer ions stay in the plasma with a given electron temperature and density, the higher is their chance to be ionized into the higher charge states. Residence (confinement) times define a shape and intensity of the charge state distributions of the extracted ion currents. To measure these times, we calculate the time interval for each individual ion between the moment of its creation after ionization of argon atom and the moment when the ion hits the extraction aperture being in some charge state [\[11\].](#page-21-10)

Distributions of these times are the first passage time distributions (FPTD) widely used in theories of a random walk. Typical distribution for KVI AECRIS is shown in Fig. [29](#page-14-2) for the conditions presented in Fig. [5](#page-6-1) and optimized to produce  $Ar^{8+}$  ions. The total distribution is constructed by summing the passage times of ions in all charge states. The distribution is shown in combination with the charge state resolved distributions for argon ions with the charges  $(1+, 8+$  and  $10+)$ . The maxima of distributions shift to the larger times with increasing the charge state. The total distribution's shape is well described by the exponential decay curve at the times  $\geq 0.1$  ms. The decay constant of the curve is 0.45 ms for the given conditions. This is the value that defines in our model the ion confinement time, which is supposed to be the same for all ion charge states.

Mean values for the charge-resolved FPTDs are shown in Fig. [30](#page-15-0). A linear increase in the mean passage times is seen for the highest charge states. These times can be understood as the breeding times. It is requested around 2 ms for argon ions to reach the charge state of  $13+$  in the given conditions of the plasma. Only a small fraction of all ions reach this charge state; this fraction depends on how fast the ions are

<span id="page-14-2"></span>

FIG. 29. First passage time distributions for the extracted ions (KVI AECRIS,  $T_{ew} = 2$  keV).

<span id="page-15-0"></span>

FIG. 30. Mean values of the charge-resolved FPTD as a function of the ion charge state.

leaving the plasma. The breeding times calculated here should not be mixed with the breeding times as they are defined in the ECR charge-breeders' community. There, the times are measured between starting an injection of  $(1+)$  ions into a breeder and reaching an equilibrium for the  $(n+)$  extracted ion current. These times can be as long as 1 s [\[41\]](#page-22-4) and most probably reflect the gas pressure equilibration processes inside the source after the ion recycling on the walls.

Experimentally, the ion confinement times in ECRIS plasma were estimated for argon ions with  $Q \ge 9$  by comparing the ion densities  $n_q$  in plasma and the extracted ion currents  $I_q$  [\[6\]](#page-21-5). These times were calculated by Douysett et al. as

$$
\tau_q = \kappa \frac{(2L)S n_q q e}{2} \frac{n_q q e}{I_q},
$$

where  $2L$  is the estimated plasma length,  $L$  is the ECR zone length, S is the extraction aperture area and  $\kappa$  is a transmission efficiency of the beam line. Uncertainty in the confinement times was quoted to be within a factor of 2.

We make the same estimations by using the ion densities along the source axis inside the ECR zone as presented in Fig. [13](#page-9-0). In our case, the ECR zone length is  $L = 10.8$  cm and  $S = 0.5$  cm<sup>2</sup> for the Ø8-mm extraction aperture. The confinement times calculated as quoted above are presented in Fig. [31.](#page-15-1) Saturation is observed for Q higher than  $8+$  at the level of 0.5 ms, which is close to the FPTD decay time constant. For the lower charge states, the times grow linearly with Q indicating the ion "losses" due to ionization into the higher charge states.

Direct comparison of the calculated confinement times with the data of Douysett et al. is not feasible since the sources are quite different. The experiments were done with the Caprice 10 GHz source in the gas mixing of argon with

<span id="page-15-1"></span>

FIG. 31. Confinement times of argon ions.

oxygen. Nonetheless, we see the qualitative agreement of our calculations with the experimental estimations for the case of the source optimization to produce the  $Ar^{12+}$  ions.

The decay constants of the FPTD depend on the ion temperature and the ECR zone length. We calculated these constants for all sources that were simulated by the model with different gas flows and coupled powers. The KVI AECRIS data are presented for all three simulated configurations of the source—default,  $B_{\text{min}}$  and flat configurations. Parameters of the two last configurations will be discussed in the next subsection. Combined all together, the constants are presented in Fig. [32](#page-15-2) as a function of the scaling factor that is calculated as  $\frac{L_{\text{ECR}}}{\langle |v_{iz}| \rangle}$ , where  $L_{\text{ECR}}$ is the ECR zone length along the source axis and  $\langle |v_{iz}| \rangle$  is a mean magnitude of  $Ar^{8+}$  ion velocities inside the ECR zone along the z-axis. The  $Ar^{8+}$  ions are chosen because they are the main contributors into the FPTD for the times where the fit to the FPTD shape is done  $(>0.5$  ms). Anyway, the ion temperatures do not change much for the highly charged ions due to their effective temperature equilibration in ionion collisions. Only one spatial component of ion velocities

<span id="page-15-2"></span>

FIG. 32. Correlation between the FPTD decay time constant and the scaling factor  $L_{\text{ECR}}/\langle |v_{iz}| \rangle$ .

is taken into account and it was checked out that as expected for the isotropic velocity vectors  $\langle |v_{iz}| \rangle = \frac{\langle |v_i| \rangle}{\sqrt{3}}$ , where  $\langle |v_i| \rangle$  is the mean ion velocity.

Linear correlation between the decay constant and scaling factor is observed:

$$
\tau_D \cong 2L_{\text{ECR}} / \langle |v_{iz}| \rangle.
$$

What is seen in Fig. [32](#page-15-2) is an indication of the so-called gas-dynamical ion confinement known from a theory of open-ended mirror traps. There, the ion confinement times are estimated as  $~\sim R L/v_i$ , where R is the magnetic field mirror ratio  $R = B_{\text{max}}/B_0$  in a trap [\[42\],](#page-22-5) L is the trap length and  $v_i$  is the ion velocity. This estimation of the ion confinement times assumes that the plasma length is much larger than the ion-ion collision length, which is a true for the ECRIS plasmas.

We conclude therefore that the gas-dynamical confinement of ions takes the place in our simulations and it is sufficiently strong to explain the experimentally observed extracted ion currents out of ECRIS.

### IV. MAGNETIC FIELD SCALING

<span id="page-16-0"></span>Magnetic configuration greatly influences an ECRIS performance. When designing the sources, the empirical scaling [\[43\]](#page-22-6) is used to select the characteristic values of the magnetic field profile

$$
\frac{B_{\text{inj}}}{B_{\text{ECR}}} \ge 4, \qquad \frac{B_{\text{ext}}}{B_{\text{ECR}}} \ge 2
$$

$$
\frac{B_H}{B_{\text{ECR}}} \ge 2, \qquad \frac{B_{\text{min}}}{B_{\text{ECR}}} \sim 0.8
$$

Here,  $B_{\text{ini}}$  and  $B_{\text{ext}}$  are the magnetic field maxima at injection and extraction sides of a source at the source axis,  $B_{\text{min}}$  is minimal magnetic field at the axis and  $B_H$  is the hexapole field at the source chamber radial wall. The values are normalized to  $B_{\text{ECR}}$ , the field that corresponds to the electron cyclotron resonance. This scaling was obtained experimentally by varying the magnetic field configurations and optimizing the highly charged ion output. Output currents are either strongly peaked or saturated at the optimal values of the magnetic field.

In this section, we report on changes in the extracted ion currents as simulated by our model for different profiles of the magnetic field. KVI AECRIS is modeled in most details, with an emphasis put on the  $Ar^{8+}$  currents. Behavior of highly charged ions with  $Q \ge 9$  closely resembles the  $Ar^{8+}$  tendencies. Results for other sources listed in Table [I](#page-2-0) will be given to compare with KVI AECRIS when necessary. Data are obtained with fixing the electron temperature at 2 keV for KVI AECRIS (3 keV for other sources).

We begin with studying the  $B_{\text{min}}$  dependencies of the extracted currents. The newest ECR ion sources normally use a middle coil to control the  $B_{\text{min}}$  value of the field distribution without affecting the  $B_{\text{inj}}$  and  $B_{\text{ext}}$  values significantly. KVI AECRIS design does not have such a coil. We calculate the magnetic field distribution for KVI AECRIS by inserting a middle coil in between the injection and extraction coils. When energizing the coil,  $B_{\text{min}}$  value can be controlled with changing the magnetic field at the extraction and injection sides of the source by less than 5%.

Dependence of Ar<sup>8+</sup> ion currents on  $B_{\text{min}}/B_{\text{ECR}}$  is shown in Fig. [33](#page-16-1) as orange solid squares. Currents in the injection and extraction coils are 1 kA corresponding to the maximal achievable values of  $B_{\text{inj}}$  and  $B_{\text{ext}}$ . The highlighted point at the graph corresponds to the default  $B_{\text{min}}$  value without energizing the middle coil—an equivalent of not having the coil in the magnetic design.

Calculations are done with setting the coupled power to 100 W. To calculate each data point depicted in Fig. [33](#page-16-1), the particle statistical weight is varied such as to keep the power at the level of 100 W, which implies that for the fixed electron temperature the total flux of ions/electrons out of the plasma is kept constant. Flux of the particles through the extraction aperture is changing when varying the  $B_{\text{min}}$ . From the point of view of experimentation, data points in Fig. [33](#page-16-1) can be obtained by optimizing the gas flow each time when changing the  $B_{\text{min}}$  value (the optimal gas flow increases with the increasing  $B_{\text{min}}$ ).

The optimal value of  $B_{\text{min}}/B_{\text{ECR}}$  is close to 0.8 in agreement with the scaling laws.

It is argued elsewhere [\[44\]](#page-22-7) that the  $B_{\text{min}}$  value affects the gradient of the magnetic field close to the ECR surface such that the gradient is smaller for the larger  $B_{\text{min}}$ . The smaller gradient increases the ECR heating rate and affects the electron temperature in the plasma. Also, it is observed experimentally that the plasma starts to be kinetically

<span id="page-16-1"></span>

FIG. 33. Extracted current of  $Ar^{8+}$  ions for different values of  $B_{\text{min}}/B_{\text{ECR}}$  at the source axis for the normal (solid squares) and flat configuration (open squares) (KVI AECRIS,  $T_{ew}$  = 2 keV, 100 W).

unstable at the  $B_{\text{min}}/B_{\text{ECR}}$  values above the optimal value of 0.8. The instability of the plasma manifests itself as the quasiperiodical bursts of the electron and ion losses [\[45\]](#page-22-8). These bursts can limit the source performance at high  $B_{\text{min}}$ . Both of these effects are beyond the approach of our model; they can act in parallel to what is calculated by the code.

The main effect of the varying  $B_{\text{min}}$  is a strong variation in the ECR zone size both in radial and axial directions. When  $B_{\text{min}}$  equals  $B_{\text{ECR}}$ , the ECR zone is reduced to a point and ECR-heated plasma cannot be produced. For the range of  $B_{\text{min}}$  variations in Fig. [33](#page-16-1), the ECR zone length ( $L_{\text{ECR}}$ ) changes from 14 (for the low  $B_{\text{min}}$ ) to 3 cm. Reduction of  $L_{\text{ECR}}$  affects the ion confinement time in the way as seen in Fig. [32.](#page-15-2) Distinctively, larger radial size of the ECR zone blocks penetration of the neutral particles toward the source axis through the dense parts of the plasma. This decreases the extracted ion current due to a deficit of the newly produced singly charged ions in the plasma center. Also, a smaller magnetic field boosts the plasma diffusion across the magnetic field lines reducing the plasma density. Counteraction of these tendencies results in existence of an optimal value for  $B_{\text{min}}$ .

These considerations were checked by performing two separate calculations: first, we switched off the plasma diffusion process due to electron-ion collisions by making the ion heating isotropic. The result is the denser plasma on the source axis and higher extracted ion currents. Current of  $Ar^{8+}$  ions reached the level of 1.1 mA for the optimal  $B_{\text{min}}$ of around 0.32 T; decrease of the extracted current with smaller  $B_{\text{min}}$  is not so pronounced now and current of  $Ar^{8+}$ is around 0.8 mA for the smallest investigated  $B_{\text{min}}$  shown in Fig. [33](#page-16-1). This should be compared with the drop by a factor of 2.5 in the  $Ar^{8+}$  current in the normal conditions. Above the optimal  $B_{\text{min}}$ , the currents still decrease for the simulations with the plasma diffusion switched off.

The counteracting effect was studied by changing the magnetic field such that the magnetic field gradient in the axial direction is small inside the ECR zone. This is the socalled flat magnetic field profile. Experimentally, this was demonstrated [\[46\]](#page-22-9) to be an effective method to increase the extracted ion currents. In our simulations, to obtain such a profile it was necessary to calculate the magnetic field with installing two axially symmetric soft-iron rings in addition to the middle coil and two default solenoids.

In Fig. [34,](#page-17-0) the calculated profile of the axial magnetic field is shown in combination with the default profile for KVI AECRIS. With the flat profile, size of the ECR zone in the axial direction for the same  $B_{\text{min}}$  is much larger compared to the normal profile. In the radial direction, the ECR zone size for the given  $B_{\text{min}}$  is approximately the same for the flat and normal profiles being mostly determined by the hexapole field.

Dependence of Ar<sup>8+</sup> ion current on the  $B_{\text{min}}/B_{\text{ECR}}$  value for the flat magnetic field is shown in Fig. [33](#page-16-1) as open squares. The same procedure was used as for getting the data

<span id="page-17-0"></span>

FIG. 34. Axial magnetic field for the flat and normal configurations of KVI AECRIS.

for the normal configuration of the magnetic field—the electron temperature was set to 2 keV, the coupled power was 100 W throughout the calculations and the particle statistical weight was varied to keep the values constant.

The optimal value of  $B_{\text{min}}$  is very close to the resonance for the flat profile of magnetic field. Current of  $Ar^{8+}$  ions reaches 1.2 mA, much higher than for the normal profile. Current decreases for  $B_{\text{min}}$  above 0.49 T; the difference is small between the flat and normal profile values for  $B_{\text{min}}$ below 0.4 T.

Comparing the results shown in Fig. [33](#page-16-1), we conclude that the flat profiles of the axial magnetic field are preferable when the  $B_{\text{min}}$  values are close to the  $B_{\text{ECR}}$ and that the currents decrease for the high  $B_{\text{min}}$  in the normal configuration because of a reduction of the ECR zone axial size.

For KVI AECRIS, it is not possible to compare the simulated currents for different  $B_{\text{min}}$  values with the experiment. It is possible to do so for the DECRIS-SC2 source, which is equipped with the middle coil used to control the  $B_{\text{min}}$  values. The middle coil is energized in the direction opposite to others and the increasing current in the coil decreases the  $B_{\text{min}}$  value.

Current of  $Ar^{8+}$  ions was measured for DECRIS-SC2 18 GHz source for different currents in the middle coil. The injected microwave power was fixed at 300 W, and current in the injection/extraction coils was set to 70 A close to the maximum value of 75 A. Gas flow was not varied during the measurements. Values of  $B_{\text{min}}$  were calculated as a function of the middle coil current by POISSON code. The POISSON's input values for the Ampere turns in the source solenoids are fine-tuned such as to provide a correspondence between the calculated and measured magnetic field at the level of a few percent. The calculated magnetic field values are used in Fig. [35](#page-18-0) to depict the experimental data (solid black squares).

<span id="page-18-0"></span>

FIG. 35. Experimental and simulated dependencies of  $Ar^{8+}$  ion current on the minimum B field normalized with  $B_{\text{ECR}}$  (DECRIS-SC2 18 GHz source).

The simulated currents of  $Ar^{8+}$  ions are shown in Fig. [35](#page-18-0) as red circles. The simulations are done with fixing the coupled microwave power at the level of 200 W and for a gas flow out of the source equal to  $(0.8 \pm 5\%)$  pmA. The electron temperature  $T_{ew}$  reached 3 keV at the maximum of the shown dependence (at  $B_{\text{min}}/B_{\text{ECR}} = 0.72$  that corresponds to 62.5 A of the middle coil current) and decreased in both directions from the maximum—it reached 2.3 keV for the minimal investigated current in the coil (maximal  $B_{\text{min}}$ ) and 1.1 keV at the maximal current in the coil (minimal  $B_{\text{min}}$ ).

For current in the middle coil above the optimum  $(B_{\text{min}}/B_{\text{ECR}})$  below the optimum), high-to-low mode transition occurs resulting in a fast drop in the extracted ion currents. This jump in the currents has essentially the same origin as the jump seen in Fig. [21,](#page-11-1) with a decrease in the electron temperature and an increase in the plasma radial size inside the ECR zone. With the further decrease of the  $B_{\text{min}}$ , the calculated ion currents show some recovery and then decrease steadily.

The other branch in the dependence of Fig. [35](#page-18-0) for the lower coil current (higher  $B_{\text{min}}$ ) is characterized by an increased hollowness of the extracted ion beam. The beam shape typical for these coil currents is shown in Fig. [19;](#page-10-2) for the higher axial magnetic field, the radial local minimum in the field starts to be more pronounced and the plasma is more localized there.

Comparing the maxima in the experimentally measured and simulated dependencies, we see a good agreement with less than 5% difference in the optimal  $B_{\text{min}}/B_{\text{ECR}}$  values. Agreement is sufficiently good also in the absolute values of the extracted ion currents.

Dependencies of extracted ion currents are also obtained for varying separately the magnetic fields at the injection and extraction sides of KVI AECRIS. The fields are changed by changing the currents in the injection and extraction coils of the source. When changing the currents, not only the field at the corresponding side of the source is changing, but also  $B_{\text{min}}$  and the axial and radial ECR zone sizes. Fields at the opposite sides are not affected by variations in the coil currents significantly.

Changes in the extracted current of  $Ar^{8+}$  ions are shown in Fig. [36](#page-18-1) for the injection magnetic field variations and in Fig. [37](#page-19-0) for the extraction magnetic field variations. The dependencies are obtained with a constant electron temperature of 2 keV and for the coupled power of 100 W, in the same way as for Fig. [33](#page-16-1). The data points for the default currents (1 kA) in the extraction/injection coils are highlighted in the graphs.

For the variation in the injection coil current depicted in Fig. [36](#page-18-1),  $B_{\text{min}}$  changed from 0.25 to 0.48 T. Also, the ECR zone length at the source axis decreases from 15.7 to 3 cm. For the dependence in Fig. [37](#page-19-0), the variation in  $B_{\text{min}}$  is from 0.26 to 0.47 T, and the ECR zone length changes from 16.2 to 4.5 cm for the depicted range of the extraction coil current variation. We see that it is a rather difficult to disentangle the effects connected to the changes in the injection/extraction fields and to the changes in  $B_{\text{min}}$  value as illustrated in Fig. [33](#page-16-1). The dependencies look the same: extracted ion current of  $Ar^{8+}$  grows with increasing the injection/extraction fields and then drops above a certain level of the field. The reasons for such behavior are the same as discussed above for the  $B_{\text{min}}$  case—the lost confinement of ions for too short ECR zone and the increased radial diffusion of the particles when mean magnetic field inside the ECR zone is too low. The optimal magnetic fields are 2.2 T ( $B_{\text{ini}}/B_{\text{ECR}} = 4.4$ ) at the injection and 1.2 T at extraction ( $B_{ext}/B_{ECR} = 2.4$ ), close to what is predicted by the empirical scaling laws.

At the lowest investigated current in the injection coil (Fig. [36](#page-18-1)), the injection and extraction magnetic fields are comparable and the field profile is almost symmetric. It is instructive to compare the ion fluxes coming to the injection and extraction sides of the source in this situation.

<span id="page-18-1"></span>

FIG. 36. Currents of  $Ar^{8+}$  ions for different magnetic fields at the injection side of the source. Fields are normalized with  $B_{\text{ECR}}$ (KVI AECRIS,  $T_{ew} = 2$  keV, 100 W).

<span id="page-19-0"></span>

FIG. 37. Currents of  $Ar^{8+}$  ions for different magnetic fields at the extraction side of the source. Fields are normalized with  $B_{\text{ECR}}$ (KVI AECRIS,  $T_{ew} = 2$  keV, 100 W).

For the injection field of 1.2 T, current of  $Ar^{8+}$  ions into the (virtual) aperture of 8-mm diameter at the injection side is 0.17 mA compared to 0.2 mA at the extraction side. For the default configuration of the magnetic field, when the magnetic field at the injection is 2 times higher than at the extraction, current into the extraction aperture is larger by a factor of 2 compared to the current at the injection side  $-0.4$  mA vs 0.2 mA for  $Ar<sup>8+</sup>$  ions.

Dependence of  $Ar^{8+}$  current on the extraction field is also calculated with the constant gas flow into the source. Again, the electron temperature should be varied to keep the gas flow and the coupled power at the constant level. The calculations are compared to the experimentally measured currents for KVI AECRIS in Fig. [38.](#page-19-1) In the

<span id="page-19-1"></span>

experiment,  $Ar^{8+}$  ion current drops when decreasing the extraction coil current and extraction field. The decrease in the ion current is slowed down at the coil current around 800 A and then the ion current continues to decrease with the decreasing coil current. The calculated dependence reproduces the experiment closely. It is obtained by fixing the gas flow at the level of 0.5 pmA and for the constant coupled power of 100 W. The electron temperature is decreasing in the simulations from 1.7 keV at the maximal current in the extraction coil of 1 kA to 500 eV at the lowest coil current. Because of the temperature drop, the high-tolow transition in the plasma occurs when decreasing the extraction coil current below 900 A.

Extracted current of  $Ar^{8+}$  is calculated for different hexapole fields (Fig. [39](#page-19-2)). Injection and extraction coils are fully energized with 1 kA current and the electron temperature is kept constant at the level of 2 keV. The coupled power is kept constant and equal to 100 W. The data point for the default 0.85 T of the hexapole field is highlighted in the graph. For KVI AECRIS ion currents increase with decreasing the hexapole field down to the 0.5 T level. For the hexapole field higher than the default one, ion current first decreases and then saturates for the fields higher than 1.5 T.

Higher hexapole field compresses the ECR zone in the radial direction without changing its axial size. Simultaneously, close to the extraction flange the plasma is compressed in three directions in between the star arms and is decompressed along the arm directions (see Fig. [16](#page-9-3)). If the plasma size in between the star arms is much larger than the extraction aperture, a stronger hexapole can increase the extracted ion currents. If plasma size and extraction aperture fit each other, any increase in the hexapole strength results in decreasing ion current out of

<span id="page-19-2"></span>

FIG. 38. Simulated and experimental dependencies of  $Ar^{8+}$  ion current on the extraction coil current. Gas flow is constant (0.5 pmA), current in the injection coil is 1 kA (KVI AECRIS, 100 W).

FIG. 39. Simulated dependence of  $Ar^{8+}$  ion current on the hexapole field normalized with  $B_{\text{ECR}}$ . Electron temperature is 2 keV, currents in the injection and extraction coils are 1 kA (KVI AECRIS, 100 W).

the source. We see two tendencies counteracting each other—smaller radial size of the plasma helps to increase the extracted ion currents, because it is easier for atoms to reach central plasma regions, stronger hexapole redirects more ions from the plasma axis toward the plasma chamber walls.

Increase in the extracted ion current with decreasing the hexapole field below 1 T level is specific for KVI AECRIS. We also perform the calculations for other sources. The results are presented in Fig. [40](#page-20-0) for ECR4-M<sup>2</sup>, DECRIS-2M and DECRIS-SC2 14 (18) GHz. For all sources, we fix the coupled power to 200 W and the electron temperature is chosen to be 3 keVoptimal for output of the FLNR sources. Axial magnetic field calculations are done for the currents in the extraction and injection coils that maximize the  $Ar^{8+}$ ion current as observed in measurements. The corresponding magnetic field values are given in Table [I](#page-2-0). The data points are highlighted that correspond to the default hexapole fields of the sources.

For these sources, low hexapole field results in a decrease in  $Ar^{8+}$  ion currents, saturation in the currents for the high hexapole fields for DECRIS-SC2 (14–18 GHz) is the same as for KVI AECRIS. Saturation for ECR- $4M<sup>2</sup>$ and DECRIS-2M sources is observed for the larger hexapole fields compared to other sources. Decrease in the currents at low hexapole strength is most pronounced for the DECRIS-SC2 18 GHz source, which is tuned to the higher axial magnetic fields compared to DECRIS-SC2 14 GHz. Beams of the extracted ions become hollow for the low hexapole fields for all FLNR sources.

As it was discussed earlier, KVI AECRIS has the lower radial gradient of the solenoidal magnetic field compared to the FLNR sources (Fig. [3](#page-3-0)). When the gradient is relatively large and hexapole field is lower than the default value, the

<span id="page-20-0"></span>

FIG. 40. Simulated dependencies of  $Ar^{8+}$  ion current on the hexapole field normalized with  $B_{\text{ECR}}$  for FLNR sources. Electron temperature is 3 keV, coupled power is 200 W for all sources.

local minimum of magnetic field away from the source axis becomes more pronounced. For the low hexapole fields, maximal density of the plasma is not on the axis and a hollow ion beam is formed, which results in a drop of the extracted ion currents.

Both saturation and decrease of the extracted ion currents with increasing the hexapole field above certain level are observed in a practice [\[47\]](#page-22-10). The specific response of the sources depends on the magnetic field profile in the radial direction according to our calculations. Sources with the larger diameter of the plasma chamber (larger bore of the hexapole magnets) need in stronger hexapole to suppress the plasma radial instability, as seen from comparison of ECR-4M  $(\emptyset$ 7.4 cm) and DECRIS-2M  $(\emptyset$ 6.4 cm) sources.

Scaling laws for ECRIS are well reproduced with our code.

### V. DISCUSSION AND CONCLUSIONS

The ECRIS plasma is modeled as a dense hot  $(T_{ew} \approx 1 \text{ keV})$  plasmoid located inside the ECR zone and separated from the vacuum chamber walls by tenuous cold ( $T_{\text{ec}} \approx 5 \text{ eV}$ ) plasma. Terms "hot" and "cold" refer here to the electron temperature; contrary, the ion temperature is smallest inside the ECR zone and is maximized in the outermost parts due to the high collisionality of the cold electrons. The halo plasma flattens the ion pressure spatial distribution and slows down the plasma diffusion along the magnetic field lines. Even with no retarding electric fields, the lifetime of ions inside the plasma is long enough to explain the experimentally observed extracted ion currents.

Charge-exchange collisions of the medium-charged ions with atoms are not important for the ion balance in the plasma due to a relatively small concentration of atoms. Recombination processes are slow and play no role for the argon ions with the charge states below  $(16+)$ .

The plasma suffers from the gas starvation and any reasonable means to facilitate the atom penetration into the dense parts of the plasma are beneficial for the ion production. The optimized magnetic field profile minimizes the ECR zone radial size while keeping the zone long along the source axis. The flat magnetic field profile looks like the best choice.

The optimized plasma has a maximal density along the source axis. Depending on the radial gradients of the magnetic field, hollow plasma density profiles can be obtained resulting in the hollow ion beams extracted from ECRIS. Hexapole field, plasma chamber diameter and the solenoidal magnetic field profile should be chosen such as to minimize a possibility for such beam formation; a stronger hexapole field does not automatically provide the best source performance because this component of the magnetic field redirects particles away from the extraction aperture of the source.

In the model we assume that electron and ion losses out of the plasma are always equal to each other. A link to the processes that equilibrate these losses is missed. In simple bounded plasmas, positive plasma potential builds up to regulate the electron fluxes to the walls. At this, electrons are retarded by the electric field inside the thin sheath layer close to the walls. The mechanism works for the cold electrons in the halo plasma, but cannot affect directly the ECR-zone mirror-trapped warm electrons. Warm electron losses are determined by at least two processes: the classical scattering into loss cone due to the electron-ion and electron-electron collisions [\[4\]](#page-21-3) and burstlike losses due to the electron microinstabilities [\[48,49\].](#page-22-11) The second term in the electron losses is difficult to calculate; it depends on details of the electron energy distribution across and along the magnetic field, plasma density, cavity properties of the plasma chamber and other parameters. We leave the problem of the ion and electron losses equilibration open for further investigations, claiming that ECRIS performance is well described in a guess that no sizable electric field affects the ion dynamics inside the plasma.

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