

## Noncentrosymmetric, transverse structural modulation in SrAl<sub>4</sub>, and elucidation of its origin in the BaAl<sub>4</sub> family of compounds

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At ambient conditions SrAl<sub>4</sub> adopts the BaAl<sub>4</sub> structure type with space group  $I4/mmm$ . It undergoes a charge-density-wave (CDW) transition at  $T_{\text{CDW}} = 243$  K, followed by a structural transition at  $T_S = 87$  K. Temperature-dependent single-crystal x-ray diffraction (SXRD) leads to the observation of incommensurate superlattice reflections at  $\mathbf{q} = \sigma \mathbf{c}^*$  with  $\sigma = 0.1116$  at 200 K. The CDW has orthorhombic symmetry with the noncentrosymmetric superspace group  $F222(00\sigma)00s$ , where  $F222$  is a subgroup of  $Fmmm$  as well as of  $I4/mmm$ . Atomic displacements mainly represent a transverse wave, with displacements that are 90 deg out of phase between the two diagonal directions of the  $I$ -centered unit cell, resulting in a helical wave. Small longitudinal displacements are provided by the second harmonic modulation. The orthorhombic phase realized in SrAl<sub>4</sub> is similar to that found in EuAl<sub>4</sub>, except that no second harmonic could be determined for the latter compound. Electronic structure calculations and phonon calculations by density functional theory (DFT) have failed to reveal the mechanism of CDW formation. No clear Fermi surface nesting, electron-phonon coupling, or involvement of Dirac points could be established. However, DFT reveals that Al atoms dominate the density of states near the Fermi level, thus corroborating the SXRD measurements. SrAl<sub>4</sub> remains incommensurately modulated at the structural transition, where the symmetry lowers from orthorhombic to  $\mathbf{b}$ -unique monoclinic. The present work draws a comparison on the modulated structures of nonmagnetic SrAl<sub>4</sub> and magnetic EuAl<sub>4</sub> elucidating their similarities and differences, and firmly establishing that although substitution of Eu to Sr plays little to no role in the structure, the transition temperatures are affected by the atomic sizes. We have identified a simple criterion that correlates the presence of a phase transition with the interatomic distances. Only those compounds  $XAl_{4-x}Ga_x$  ( $X = \text{Ba, Eu, Sr, Ca}$ ;  $0 < x < 4$ ) undergo phase transitions, for which the ratio  $c/a$  falls within the narrow range  $2.51 < c/a < 2.54$ .

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### I. INTRODUCTION

The manifestation of charge density waves (CDWs) was initially found to occur in quasi-one-dimensional (1D)

electronic systems like NbSe<sub>3</sub> and K<sub>0.3</sub>MoO<sub>3</sub> [1–3]. These compounds possess Fermi surfaces with co-planar sections that allow so-called Fermi surface nesting, thus explaining the stabilization of CDWs. The nesting vector of the periodic structure becomes the wave vector of the CDW in the valence bands, as well as the wave vector of the modulation of the atomic positions (periodic lattice distortion). Recent research has expanded the criteria for the occurrence of CDWs [4]. Materials need not support 1D or quasi-two-dimensional (2D) electron bands, but they can involve complex three-dimensional (3D) electronic systems, if certain conditions are fulfilled. The mechanism of stabilization of CDW in these systems is provided by  $\mathbf{q}$ -dependent electron-phonon coupling. Several examples exist of 3D compounds

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with CDWs, which include  $\text{CuV}_2\text{S}_4$  [5–8],  $\text{R}_3\text{Co}_4\text{Sn}_{13}$  ( $R = \text{La, Ce}$ ) [9–11],  $\text{R}_5\text{Ir}_4\text{Si}_{10}$  ( $R = \text{Dy, Ho, Er, Yb, Lu}$ ) [12],  $\text{Sm}_2\text{Ru}_3\text{Ge}_5$  [13,14],  $\text{TmNiC}_2$  [15,16],  $\text{R}_2\text{Ir}_3\text{Si}_5$  ( $R = \text{Lu, Er, Ho}$ ) [17–19],  $\text{CuIr}_{2-x}\text{Cr}_x\text{Te}_4$  [20], and kagome materials like  $\text{AV}_3\text{Sb}_5$  ( $A = \text{K, Rb, Cs}$ ) [21–26] and  $\text{FeGe}$  [27–29].

Compounds  $X\text{Al}_4/X\text{Ga}_4$  ( $X = \text{Eu, Ca, Sr, Ba}$ ) crystallize in the tetragonal  $\text{BaAl}_4$  structure type with space group  $I4/mmm$ . These systems have attracted a lot of attention in recent years, because of their properties as topological quantum materials [30–36].  $\text{EuAl}_4$ , containing divalent Eu, is a 3D CDW compound that also undergoes four successive anti-ferromagnetic (AFM) transitions below 20 K [37–41]. It has been reported to have a chiral spin structure and skyrmions [41,42], similar to skyrmions reported in other divalent Eu-based systems, such as  $\text{EuPtSi}$  [43,44]. A skyrmion state of magnetic order and the nontrivial band topology were furthermore established by measurements of the topological Hall resistivity, the muon-spin rotation and relaxation ( $\mu\text{SR}$ ), and the magnetostriction [33,35,45]. Our recent investigation into the modulated structure of  $\text{EuAl}_4$  reveals a breaking of the fourfold rotational symmetry, resulting in an orthorhombic  $Fmmm$  symmetry of the CDW state below 145 K. There is no evidence of a lattice distortion away from tetragonal symmetry. The reduction toward orthorhombic symmetry is brought about solely by the transverse CDW modulation in  $\text{EuAl}_4$ . More recent work proposes the loss of inversion symmetry [46,47]. Alternatively, centrosymmetric  $Immm$  symmetry was proposed for the CDW state of  $\text{EuAl}_4$  on the basis of inelastic x-ray scattering experiments [48].

Replacement of the divalent rare-earth element Eu by divalent alkaline earth metals Ba, Sr, and Ca results in isostructural compounds.  $\text{SrAl}_4$  and  $\text{CaAl}_4$  undergo CDW transitions or other structural transitions. However,  $\text{BaAl}_4$  does not undergo any phase transition [32,34]. Out of all the materials in the  $X\text{Al}_4$  series, the compound that is most similar to  $\text{EuAl}_4$  would be  $\text{SrAl}_4$ .  $\text{SrAl}_4$  exhibits a CDW transition at  $T_{\text{CDW}} = 243$  K, which is at a much higher temperature than the CDW transition of  $\text{EuAl}_4$  [49,50]. Isostructural  $\text{CaAl}_4$  undergoes a structural transition at 443 K, which is at an even higher temperature than for  $\text{SrAl}_4$  [51].  $\text{SrAl}_4$  undergoes a second transition at  $T_2 = 87$  K [49]. It was suggested that the symmetry of the low-temperature phase is monoclinic [49]. The mechanism of CDW formation in either  $\text{SrAl}_4$  or  $\text{EuAl}_4$  presently is not understood, as both compounds possess a complex 3D electronic structure [36,37,49,52].

Here, we present the results of temperature-dependent single-crystal x-ray diffraction (SXR) experiments on  $\text{SrAl}_4$ . We find that the CDW transition is accompanied by a reduction in symmetry from tetragonal to  $F$ -centered orthorhombic, analogous to  $\text{EuAl}_4$  [40]. However, second-order satellite reflections in the SXR data set of  $\text{SrAl}_4$  point toward a loss of inversion symmetry, resulting in the superspace group  $F222(00\sigma)00s$  for the CDW phase. Reconsideration of our SXR data on  $\text{EuAl}_4$  shows a marginally better fit for  $F222(00\sigma)00s$  than for originally published  $Fmmm(00\sigma)s00$  [40]. The lack of resolving power of those data probably is the result of the lack of second-order satellite reflections in the SXR data on  $\text{EuAl}_4$  [40]. Nevertheless, details of both structure models for  $\text{EuAl}_4$  are provided in the Supplemental Material [53].

Either model for  $\text{EuAl}_4$  and  $\text{SrAl}_4$  leads to qualitatively similar variations of interatomic distances along the incommensurate coordinate of the CDW in these compound. This confirms the earlier conclusion that the network of Al atoms governs the CDW in  $\text{SrAl}_4$  as well as in  $\text{EuAl}_4$  [40]. This finding is supported by solid solution samples of isostructural  $\text{SrAl}_{4-x}\text{Si}_x$  and  $\text{SrAl}_{4-x}\text{Ge}_x$ , since the CDW is suppressed by the disorder at the Al site, and induces superconductivity in the case of Si doping [54,55]. From SXR and physical property measurements, we confirm that below 100 K  $\text{SrAl}_4$  undergoes a second phase transition that is characterized by a monoclinic lattice distortion, but across which the CDW modulation remains incommensurate. In this paper, we present the modulated crystal structure of  $\text{SrAl}_4$ , and we discuss the similarities and subtle differences in the CDWs of  $\text{SrAl}_4$  and  $\text{EuAl}_4$ . Furthermore, we establish that the value of  $c/a$  must be within the narrow range  $2.51 < c/a < 2.54$ , in order for a compound of this family to undergo a phase transition. This allows us to predict whether new materials developed in this series could or could not undergo a CDW or structural transition.

## II. EXPERIMENTAL AND COMPUTATIONAL DETAILS

### A. Crystal growth

Single crystals of  $\text{SrAl}_4$  were grown by the Al self-flux method according to [49]. Crystals were grown at three places, using similar methods.

At the Laboratory of Crystallography in Bayreuth, the elements strontium (Alfa Aesar, 99.95% purity) and aluminium (Alfa Aesar, 99.9995%) were filled into an alumina crucible in the ratio 1:19. The crucible was sealed in an evacuated quartz-glass ampoule. It was heated to a temperature of 1173 K and held at this temperature for 2 hours. The crucible was then cooled to 823 K with a rate of 0.5 K/hour, at which point the crystals were separated from the molten metal by centrifugation. Small crystals were selected and annealed in vacuum for 72 hours at 723 K. The 1:4 stoichiometry of the product was confirmed by structure refinement against SXR data. The resulting crystals A were used for the SXR experiments.

At the Tata Institute in Mumbai, the elements were filled into an alumina crucible with the ratio 1:23. Crystal growth was at 1323 K for 24 hours, after which it was cooled to 973 K with a rate of 1 K/hour, followed by centrifugation. The longer growth time resulted in crystal B that is larger than crystal A. These crystals were employed without annealing for measurement of the specific heat. At the Department of Quantum Matter in Hiroshima, the same procedure was followed, resulting in crystal C that was used for the measurement of the electrical resistivity.

### B. Single-crystal x-ray diffraction data collection

SXR experiments were performed at beamline P24 of PETRA III at DESY in Hamburg, employing a four-circle Huber diffractometer with Euler geometry, and radiation of wavelength 0.50000 Å. The temperature of the crystal was controlled by a CRYOCOOL open-flow helium cryostat. Complete data sets were measured at temperatures of 293, 200, 120, 100, 75, and 20 K, covering all phases. Each run

TABLE I. Crystallographic data of crystal A of SrAl<sub>4</sub> at 293 K (periodic phase) and 200 K (CDW phase). Details are given for two different structure models for the CDW phase. GoF: goodness of fit.

Temperature (K)	293	200	200
Model	periodic	C	D
Crystal system	Tetragonal	Orthorhombic	Orthorhombic
Space/superspace group	<i>I4/mmm</i>	<i>Fmmm(0 0 σ)<sub>s</sub>00</i>	<i>F222(0 0 σ)<sub>00s</sub></i>
No. [61]	139	69.1.17.2	22.1.17.2
<i>a</i> (Å)	4.4893(2)		6.3326(4)
<i>b</i> (Å)	4.4893		6.3331(5)
<i>c</i> (Å)	11.2764(5)		11.2541(5)
Volume (Å <sup>3</sup> )	227.26(3)		451.35(4)
Wave vector <b>q</b>			0.1116(2) <b>e</b> *
<i>Z</i>	2		4
Wavelength (Å)	0.50000		0.50000
Detector distance (mm)	260		260
2θ offset (deg)	0, 25		0, 25
χ offset (deg)	−60		−60
Rotation per image (deg)	1		1
[sin(θ)/λ] <sub>max</sub> (Å <sup>−1</sup> )	0.746821		0.745874
Absorption, μ (mm <sup>−1</sup> )	4.844		4.878
<i>T</i> <sub>min</sub> , <i>T</i> <sub>max</sub>	0.3118, 0.3522		0.3121, 0.3515
Criterion of observability	<i>I</i> > 3σ( <i>I</i> )		<i>I</i> > 3σ( <i>I</i> )
No. of reflections measured:			
( <i>m</i> = 0)	630		493
( <i>m</i> = 1)			969
( <i>m</i> = 2)			971
No. of unique reflections:			
( <i>m</i> = 0) (obs/all)	137/139		197/211
( <i>m</i> = 1) (obs/all)			323/392
( <i>m</i> = 2) (obs/all)			94/403
<i>R</i> <sub>int</sub> ( <i>m</i> = 0) (obs/all)	0.0241/0.0241		0.0229/0.0229
<i>R</i> <sub>int</sub> ( <i>m</i> = 1) (obs/all)			0.0979/0.0978
<i>R</i> <sub>int</sub> ( <i>m</i> = 2) (obs/all)			0.0845/0.1010
No. of parameters	9	25	30
<i>R</i> <sub><i>F</i></sub> ( <i>m</i> = 0) (obs)	0.0144	0.0277	0.0269
<i>R</i> <sub><i>F</i></sub> ( <i>m</i> = 1) (obs)		0.0502	0.0493
<i>R</i> <sub><i>F</i></sub> ( <i>m</i> = 2) (obs)		0.1865	0.0646
<i>wR</i> <sub><i>F</i></sub> ( <i>m</i> = 0) (all)	0.0186	0.0311	0.0303
<i>wR</i> <sub><i>F</i></sub> ( <i>m</i> = 1) (all)		0.0658	0.0645
<i>wR</i> <sub><i>F</i></sub> ( <i>m</i> = 2) (all)		0.2480	0.0934
<i>wR</i> <sub><i>F</i></sub> (all) (all)	0.0186	0.0560	0.0472
GoF (obs/all)	1.28/1.27	1.88/1.53	1.64/1.29
Δρ <sub>min</sub> , Δρ <sub>max</sub> (e Å <sup>−3</sup> )	−0.29, 0.47	−4.01, 4.87	−1.89, 2.99

of data collection comprises 3640 frames, corresponding to a rotation of the crystal over 364 deg, which was repeated 10 times. These data were binned to a data set of 364 frames of 1 deg rotation and 10 s exposure time [56]. Further details are provided in the Supplemental Material [53].

### C. Single-crystal x-ray diffraction data processing

The EVAL15 software suite [57] was used for processing the SXR data. Each temperature comprises two runs, one with and another without 2θ offset of the detector. The two runs were integrated separately, and subsequently merged in the module ANY of EVAL15. SADABS [58] was used for scaling and absorption correction with Laue symmetry 4/*mmm* for the data measured at 293 K (periodic phase). Different Laue symmetries were employed for the runs

collected at 200, 120, and 100 K (CDW phase), depending on the symmetry of the structure model being tested (compare Tables S2– S4 in the Supplemental Material [53]). Laue symmetry 2/*m* (**b**-unique) was used for the SXR data at 20 K (low-temperature phase). The resulting reflection files were imported into the software JANA2006 [59,60] for structure refinements. Tables I and II, and Tables S2– S6 in the Supplemental Material [53], give details of the analysis, crystallographic information, atomic coordinates, and modulation amplitudes.

### D. Density functional theory calculations

Density functional theory (DFT) calculations were performed for the tetragonal crystal structure of SrAl<sub>4</sub> with space group 4/*mmm*, employing the Vienna *ab initio*

TABLE II. Amplitudes of the modulation functions of crystal A at 200 K for superspace group  $F222(00\sigma)00s$ . The relative coordinates  $x$ ,  $y$ , and  $z$  of the basic position are also specified. Similar information for  $Fmmm(00\sigma)s00$  is provided in Tables S5 and S6. Values of modulation amplitudes have been multiplied by the corresponding lattice parameter, in order to obtain values in Å.

Atom	Sr	Al1a	Al1b	Al2
$x$	0	0.25	-0.25	0
$y$	0	0.25	-0.25	0
$z$	0	0.25	-0.25	0.38359(12)
$A_{1,x} a$ (Å)	0.1939(40)	0.1942(126)	0.1616(115)	0.1945(68)
$A_{1,y} b$ (Å)	0	0	0	0.0413(92)
$A_{1,z} c$ (Å)	0	0	0	0
$B_{1,x} a$ (Å)	0	0	0	0.0536(52)
$B_{1,y} b$ (Å)	-0.1301(62)	-0.0713(127)	-0.2162(114)	-0.1325(102)
$B_{1,z} c$ (Å)	0	0	0	0
$A_{2,x} a$ (Å)	0	0	0	0
$A_{2,y} b$ (Å)	0	0	0	0
$A_{2,z} c$ (Å)	0.0127(26)	0.0028(85)	0.0069(74)	0.0004(60)
$B_{2,x} a$ (Å)	0	0	0	0
$B_{2,y} b$ (Å)	0	0	0	0
$B_{2,z} c$ (Å)	0	0	0	-0.0004(59)

simulation package (VASP) [62]. The projector-augmented-wave (PAW) method [63,64] and generalized gradient approximation (GGA) [65] with Perdew-Burke-Ernzerhof (PBE) type pseudopotentials were chosen to deal with exchange correlations.

The conventional unit cell was fully relaxed with a  $12 \times 12 \times 4$   $k$ -mesh sampling until the energy convergence tolerance fell below  $10^{-7}$  eV and the force was less than  $10^{-3}$  eV/Å. The cutoff energy of the plane-wave basis was chosen as 520 eV. The DFT optimized results are close to the experimental values (Table I). An  $18 \times 18 \times 18$   $k$  mesh was implemented for the Brillouin zone integral sampling of the primitive unit cell. The Fermi surface was calculated by using the WannierTools package [66] with a tight-binding Hamiltonian constructed by the WANNIER90 code [67,68], which is based on the maximally localized Wannier function (MLWF) method [69]. The bare charge susceptibility is carried out using a  $k$  mesh of  $200 \times 200 \times 200$ . The phonon spectrum calculations were performed within the framework of density functional perturbation theory (DFPT) [70,71] and the finite-displacement method, implemented by the PHONOPY [72] package combined with VASP, and by Quantum ESPRESSO [73,74].

### E. Physical properties

The dc electrical resistivity was measured between 6 and 296 K, employing a standard four-probe method. The small size of the specimen prevented the identification of lattice directions, so that the single experimental run has resulted in  $\rho(T)$  along an unspecified, general direction. Basically, the temperature dependence of the electrical resistivity confirms the data reported in Ref. [49]. The present resistivity data are given in Sec. S6 of the Supplemental Material [53]. A commercial physical property measurement system (PPMS; Quantum Design, USA) was used for measuring the specific heat data in a heating run.

## III. RESULTS AND DISCUSSION

### A. The orthorhombic CDW phase

SrAl<sub>4</sub> has been reported to undergo two phase transitions: one at  $T_{CDW} = 243$  K and another below 90 K [49]. Cooling the crystal from 293 to 200 K revealed superlattice reflections with  $\mathbf{q} = 0.1116(2)\mathbf{c}^*$  at 200 K, similar to the diffraction of isostructural EuAl<sub>4</sub> below 145 K [38,40]. The data measured at 200 K (incommensurate CDW phase) could be refined successfully (Table I). Like for EuAl<sub>4</sub> [38,40], we do not observe any lattice distortion within the CDW phase, thus preserving the tetragonal lattice symmetry for the basic structure.

In order to elucidate the modulated CDW structure at 200 K, we have tested different superspace groups as symmetry of the crystal structure (see Tables S2–S4 in the Supplemental Material [53]). The candidate models are based on symmetries  $I4/mmm$  (model A) and its centrosymmetric (Table S2) and noncentrosymmetric (Table S3) subgroups. The best fit to the SXRD data is obtained for model D with the noncentrosymmetric superspace group  $F222(00\sigma)00s$ . This superspace group is a proper subgroup of centrosymmetric  $Fmmm(00\sigma)s00$ , the latter of which was previously found for the CDW phase of EuAl<sub>4</sub> [40]. For SrAl<sub>4</sub>, model C with superspace group  $Fmmm(00\sigma)s00$  also leads to a good fit to the SXRD data, except for the second-order satellite reflections, the latter of which have been observed by SXRD, but which are not present in the available data sets for EuAl<sub>4</sub> [40,48,75]. Based on their significantly worse fit to the SXRD data,  $I$ -centered orthorhombic and monoclinic superspace groups can be excluded as symmetry for SrAl<sub>4</sub>.

The analysis thus gave model D with superspace symmetry  $F222(00\sigma)00s$  as the structure model for the incommensurately modulated CDW state of SrAl<sub>4</sub>. Although with less strong evidence for either one (due to the missing second-order satellite reflections), both centrosymmetric  $Fmmm(00\sigma)s00$  and noncentrosymmetric  $F222(00\sigma)00s$  remain candidates for the symmetry of the CDW of EuAl<sub>4</sub>.

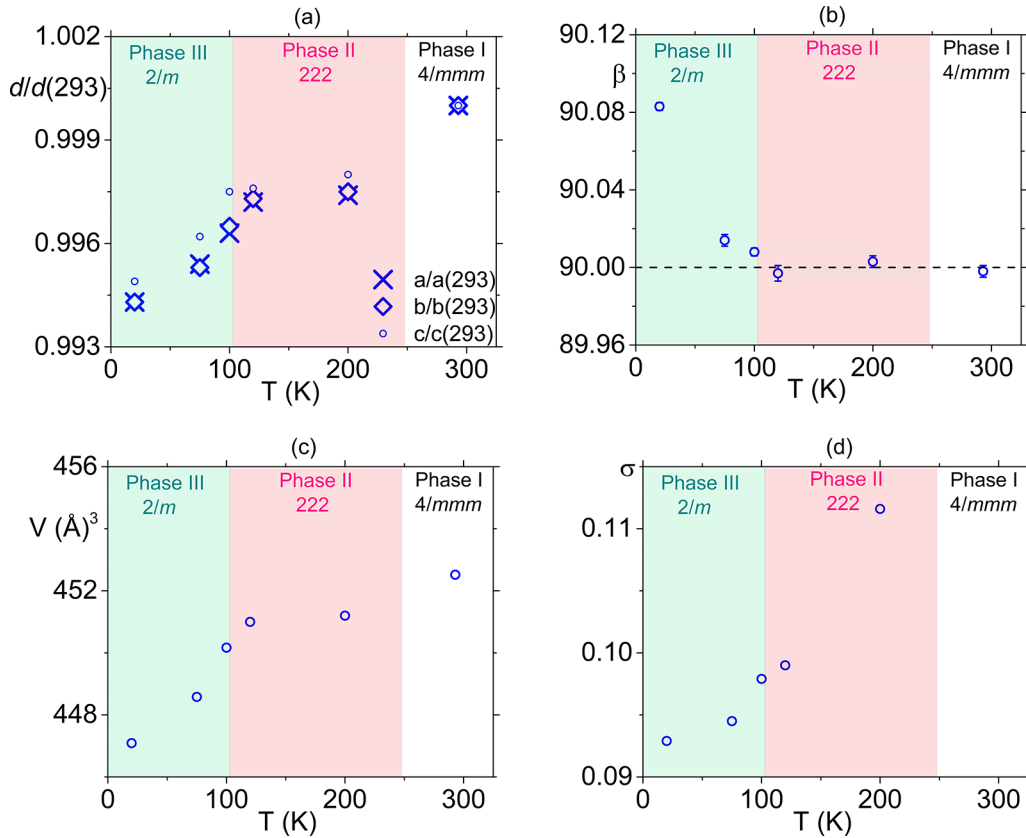


FIG. 1. Lattice parameters and modulation wave vector within the temperature range 20–293 K. (a) Lattice parameters ( $F$ -centered setting) relative to their values at  $T = 293$  K, with  $a(293) = 6.3488(3)$ ,  $b(293) = 6.3488$ , and  $c(293) = 11.2764(5)$   $\text{\AA}$ . (b) The lattice parameter  $\beta$  in deg. (c) Volume of the unit cell. (d) Component  $\sigma$  of  $\mathbf{q} = (0, 0, \sigma)$ , which remains incommensurate down to 20 K.

Upon further cooling below 200 K, the length of the modulation wave vector gradually shrinks [Fig. 1(d)]. As a consequence, main reflections and nearby satellite reflections are not always resolved at 120 K and below (compare the streaky maxima in Fig. 2). A reliable extraction was not possible of the values for the integrated intensities of individual Bragg reflections for  $T < 120$  K.

### B. Location of the CDW

$\text{SrAl}_4$  is isostructural to  $\text{EuAl}_4$  with a tetragonal crystal structure at room temperature [77] that is preserved as basic structure at lower temperatures (Fig. 3).

The modulation functions exhibit the following features. First, for the centrosymmetric model C, the largest modulation is along  $\mathbf{a}_F$ . It has about twice the amplitude in  $\text{SrAl}_4$ , and values are nearly equal for all three independent atoms—Sr, Al1, and Al2—as is the case for  $\text{EuAl}_4$  (Table S6 and [40]). A smaller amplitude is found along  $\mathbf{b}_F$  exclusively for the Al1 atom. A major difference with respect to  $\text{EuAl}_4$  is the presence of second-order harmonics with nonzero amplitudes exclusively along  $\mathbf{c}_F$ . Although these values are much smaller than the principal modulation, they might be responsible for the displacements along  $\mathbf{c}$  suggested by Korshunov *et al.* on the basis of inelastic x-ray scattering experiments [48]. The present results show that the alternative symmetry of  $Immm(00\sigma)s00$  [48] is not required for achieving  $z$  displacements.

The situation is slightly different for the noncentrosymmetric model D. The independent atom Al1 splits into two sites, Al1a and Al1b, in such a way that Al1a is bonded to four Al1b and vice versa [Fig. 3(b)]. Modulations of similar magnitudes are again present along  $\mathbf{a}_F$  for all four independent atoms. However, all atoms now possess modulations along  $\mathbf{b}_F$ , which are of different magnitudes for different atoms, especially between Al1a and Al1b (Table II). The second-order harmonic amplitudes remain small and represent the  $z$  displacement.

Surprisingly, the different models C and D as well as the corresponding models for  $\text{EuAl}_4$  provide a qualitatively similar picture for the modulations of the interatomic distances. The largest variation of the bonding contacts is between Al1a and Al1b, with Al2-Al1a and Al2-Al1b of secondary importance (Fig. 4). Some  $t$  plots are given in the Supplemental Material [53] for other interatomic distances as well as for the comparison of models C and D, and for a similar comparison for  $\text{EuAl}_4$ . We conclude that the Al1 atoms govern the formation of the CDW in both  $\text{SrAl}_4$  and  $\text{EuAl}_4$ . The CDW modulation principally is a transverse wave, a feature that remains valid for both centrosymmetric model C and noncentrosymmetric model D [40].

### C. Criteria for the formation of CDW in $\text{BaAl}_4$ type compounds

Only a few of the isostructural compounds  $X\text{Al}_{4-x}\text{Ga}_x$  ( $X = \text{Ba}, \text{Eu}, \text{Sr}, \text{Ca}$ ;  $0 < x < 4$ ) undergo phase transitions. First, it is noticed that chemical disorder tends to suppress a

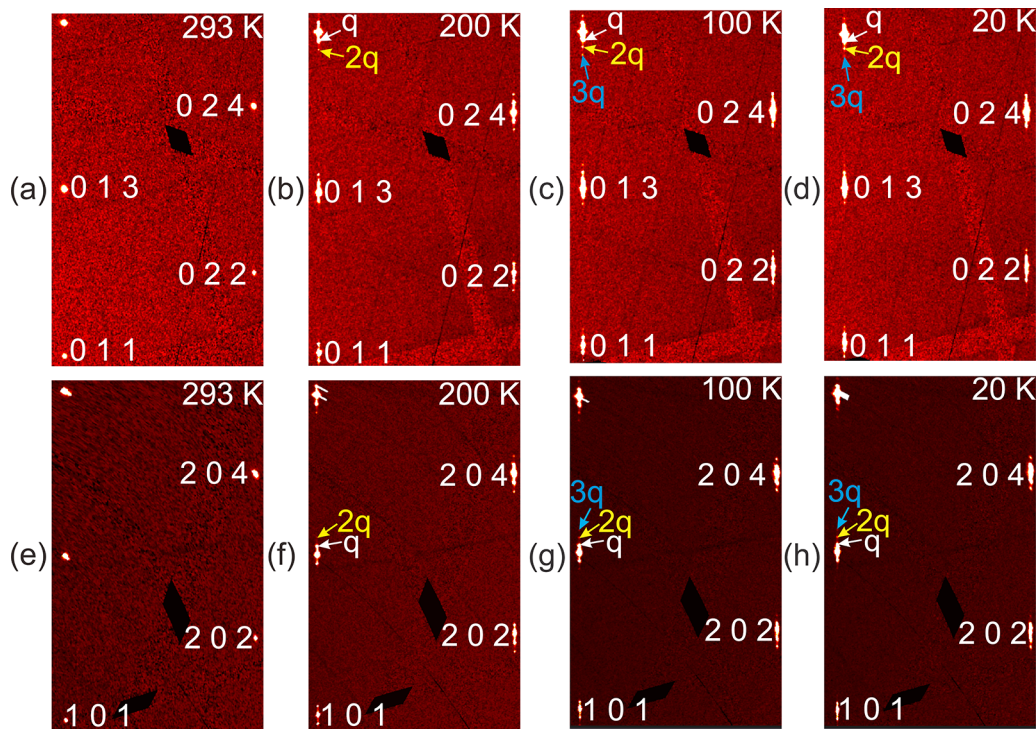


FIG. 2. Excerpts of the reciprocal layers of diffraction for (a)–(d)  $(0kl)$  and (e)–(f)  $(h0l)$ , as reconstructed from the measured SXRD data [76]. (a)–(d) and (e)–(f) display scattering for temperatures of 293, 200, 100, and 20 K, as indicated. Indices are given for selected main reflections. Selected satellite reflections are indicated by arrows. Up to 3rd-order satellites are visible at 20 and 100 K. Dark gaps are due to insensitive pixels between the active modules of the PILATUS3X CdTe 1M detector.

CDW transition, if the disorder is at sites carrying the CDW. The transition temperature is lowered upon increasing doping, while a few percent of doping can be sufficient to completely suppress the CDW transition [80,81]. The sensitivity of the CDW for chemical disorder explains the absence of CDW transitions in  $\text{EuAl}_{4-x}\text{Ga}_x$  ( $0 < x < 4$ , except  $x = 0$  and  $x = 2$ ) [31], and the absence of CDW transitions in  $\text{SrAl}_{4-x}\text{Si}_x$  and  $\text{SrAl}_{4-x}\text{Ge}_x$  [54,55].

Thus, restricting the analysis to compounds  $X\text{Al}_{4-x}\text{Ga}_x$  with  $x = 0, 2$ , or  $4$ , we make the observation that structural and CDW phase transitions take place in compounds that have the ratio  $c/a$  within a narrow range:  $2.51 < c/a < 2.54$  (Fig. 5).

$\text{EuGa}_4$  has a CDW phase transition for pressures exceeding 2 GPa [37]. One might then speculate that the ratio  $c/a$  of  $\text{EuGa}_4$  at high pressures will be within the critical range (Fig. 5). This criterion is useful to predict CDWs in new compounds of this family. At present there is no information regarding whether  $\text{SrAl}_2\text{Ga}_2$  exhibits CDW or other structural transitions.

#### D. Evidence of monoclinic distortion below 100 K

The orthorhombic phase is realized through the orthorhombic symmetry of the CDW modulation displacements (Table II), while the lattice and basic structure remain tetragonal (Fig. 1 and Table S4). The structural transition at  $T_S = 87$  K is accompanied by a lattice distortion. In particular, we find the angle  $\beta$  to be different from 90 deg within the low-temperature phase [Fig. 1(b)]. These values indicate that

the low-temperature phase is **b**-unique monoclinic, in agreement with Nakamura *et al.* [49].

The structural phase transition leads to a twinned crystal, such that the monoclinic lattice distortion is visible as split reflections in  $q$  scans along the direction of  $\mathbf{c}^*$ . For example, for the reflection  $(0\ 2\ 4)$ , a secondary peak appears below 100 K between the main reflection and first-order satellite (Fig. S1 in the Supplemental Material [53]). Furthermore, these  $q$  scans show that the incommensurate CDW satellite reflections persist into the low-temperature phase. Across  $T_S$ , they continue to grow in intensity and the length of  $\mathbf{q}$  continues to decrease [Fig. 1(d)].

Due to overlap between main and satellite reflections, as it is the result of the short modulation wave vector [Fig. 1(d)], structure refinements failed. However, measured intensities are of sufficient quality to distinguish between tetragonal, orthorhombic, and monoclinic symmetries on the basis of  $R_{\text{int}}$  values for averaging equivalent reflections. These quantities clearly favor monoclinic, **b**-unique symmetry for the low-temperature phase (Table III).

#### E. Electronic band structure and phonons

We have calculated the electronic structure and topological properties of  $\text{SrAl}_4$  for its  $I4/mmm$  crystal structure. Dispersion relations along high-symmetry directions within the Brillouin zone are given in Figs. 6(a) and 6(b).

In the absence of spin-orbit coupling (SOC), several band crossings exist between the highest valence band and the lowest conduction band near the Fermi level [Fig. 6(a)]. When

TABLE III. Comparison of models on basis of superspace group and  $R_{\text{int}}$  at 20 K. Criterion of observability:  $I > 3\sigma(I)$ .

Superspace group	$I4/mmm(00\sigma)0000$	$Fmmm(00\sigma)s00$	$F2/m(\sigma_1\sigma_2)00$
$R_{\text{int}} (m = 0)$ (obs/all) (%)	5.07/5.07	3.78/3.79	1.62/1.62
$R_{\text{int}} (m = 1)$ (obs/all) (%)	38.47/38.47	30.86/30.86	5.33/5.35
$R_{\text{int}} (m = 2)$ (obs/all) (%)	64.42/64.43	38.41/38.41	6.23/9.16
Unique ( $m = 0$ ) (obs/all)	46/50	138/202	255/344
Unique ( $m = 1$ ) (obs/all)	75/106	317/381	567/732
Unique ( $m = 2$ ) (obs/all)	24/108	204/405	296/741

the SOC is considered, most band crossings are gapped, except one crossing along the line M- $\Gamma$  [Fig. 6(b)]. Unfortunately, it appears at around  $E = 0.2$  eV above the Fermi level, which is not easy to find experimentally.

Using the software IRVSP [82], we found that these two bands belong to the irreducible representations of point group  $4mm$ , marked LD6 (green line) and LD7 (orange line), respectively [Fig. 6(b)]. This indicates that the band crossing is a topologically protected Dirac point. It follows that SrAl<sub>4</sub> is a Dirac nodal line semimetal without SOC and becomes a Dirac semimetal when the SOC is considered, like EuAl<sub>4</sub> [37,40].

The total and atom-projected densities of states near  $E_F$  are given in Fig. 6(c). They reveal that Al states (red line) dominate the densities of state near the Fermi level. This observation parallels the situation observed for EuAl<sub>4</sub> [40].

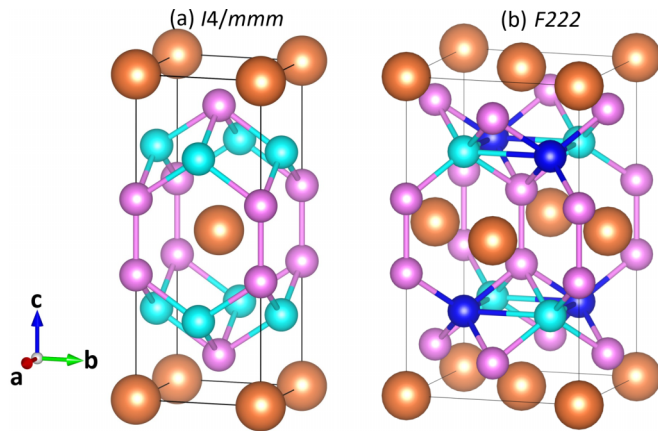


FIG. 3. (a) Crystal structure of SrAl<sub>4</sub> with space group  $I4/mmm$  in the periodic phase at 293 K. Depicted is the  $I$ -centered unit cell with basis vectors  $\mathbf{a}_I$ ,  $\mathbf{b}_I$ , and  $\mathbf{c}_I$ . Orange spheres correspond to the Sr atoms; green-bluish spheres represent Al1 atoms; and pink spheres stand for Al2 atoms. Shortest interatomic distances are  $d[\text{Sr-Sr}] = 4.4893(4)$  Å,  $d[\text{Al1-Al1}] = 3.1774(2)$  Å,  $d[\text{Al2-Sr}] = 4.3768(11)$  Å,  $d[\text{Al2-Al1}] = 2.7034(6)$  Å, and  $d[\text{Al2-Al2}] = 2.6250(15)$  Å. (b) Average crystal structure of SrAl<sub>4</sub> with space group  $F222$  in the CDW phase at 200 K. Depicted is the  $F$ -centered unit cell with basis vectors  $\mathbf{a}_F$ ,  $\mathbf{b}_F$ , and  $\mathbf{c}_F$ . In the CDW phase, the Al1 atom splits into the Al1a and Al1b atoms, where the Al1b atoms are represented by dark blue spheres. Shortest interatomic distances are  $d[\text{Sr-Sr}] = 4.4780(5)$  Å,  $d[\text{Al1a-Al1a}] = 4.4780(5)$  Å,  $d[\text{Al1a-Al1b}] = 3.1663(4)$  Å,  $d[\text{Al1b-Al1b}] = 4.4780(5)$  Å,  $d[\text{Al2-Sr}] = 4.3165(11)$  Å,  $d[\text{Al2-Al1a}] = 2.6967(6)$  Å,  $d[\text{Al2-Al1b}] = 2.6967(6)$  Å, and  $d[\text{Al2-Al2}] = 2.6211(14)$  Å. The crystal structure was drawn using VESTA [78].

Consequently, it is plausible to infer that the CDW is associated with the Al atoms rather than the Sr atoms.

Additional information on the mechanism of CDW formation in SrAl<sub>4</sub> might be obtained from the phonon dispersion relations and the Fermi surface. Figure 6(d) shows the phonon dispersion relations, as computed with aid of a  $4 \times 4 \times 2$  supercell of the conventional basic cell, containing 320 atoms. Density functional perturbative theory (DFPT) [70,71] was used with a  $4 \times 4 \times 3$   $k$  mesh. No imaginary frequencies or soft modes can be identified, indicating that electron-phonon coupling is too weak to induce a CDW transition. This result is further checked by the application of alternative computational methods. The phonon dispersion relations were computed with a highly optimized primitive cell by the finite-displacement method. Small imaginary frequencies were obtained on the line M- $\Gamma$ , when a  $3 \times 3 \times 3$   $k$  mesh was used for the computation (see Fig. S18(a) in the Supplemental Material [53]). These imaginary frequencies are eliminated when the number of  $k$  points is increased to  $5 \times 5 \times 5$  (Fig. S18(b) in [53]). The software Quantum ESPRESSO [73,74] leads to the same conclusion [compare Figs. S18(b), (c) and Fig. 6(d)].

The Fermi surface of SrAl<sub>4</sub> comprises hole pockets centered on the point M [Fig. 6(f)], as well as electron pockets surrounding  $\Gamma$  and those centered on point P [Fig. 6(g)]. To further check whether Fermi surface nesting could be responsible for this CDW, the bare charge susceptibility [83] was calculated, employing a  $k$  mesh of  $200 \times 200 \times 200$ , and

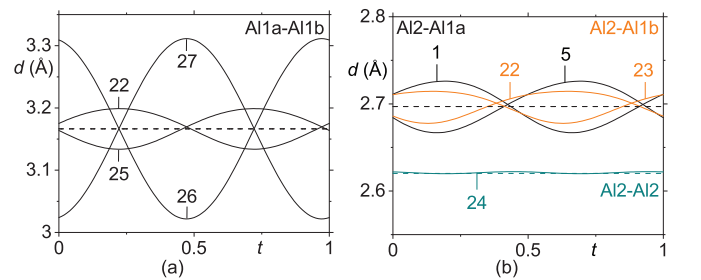


FIG. 4. Some  $t$  plots of interatomic distances (Å) in the CDW phase of SrAl<sub>4</sub> at 200 K. (a)  $d[\text{Al1a-Al1b}]$ , and (b)  $d[\text{Al2-Al1a}]$ ,  $d[\text{Al2-Al1b}]$ , and  $d[\text{Al2-Al2}]$ , where the first atom is the central atom. The  $t$  plots display interatomic distances as a function of the phase  $t$  of the modulation wave [79]. In the case of multiple curves, each value of  $t$  gives the distances from a central atom toward its neighboring atoms. The number on each curve is the number of the symmetry operator that is applied to the second atom of the bond pair. Symmetry operators are listed in Table S13 in the Supplemental Material.

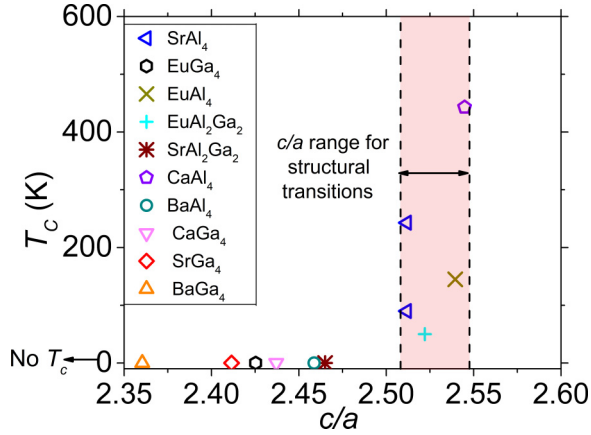


FIG. 5. Value of  $T_c = T_S$  or  $T_{CDW}$  as a function of the ratio  $c/a$  for eleven compounds  $XAl_{4-x}Ga_x$ . Only four compounds undergo phase transitions.

considering four conduction bands and four valence bands near the Fermi level,

$$\lim_{\omega \rightarrow 0} \chi_0''(\mathbf{q}, \omega) / \omega = \sum_{n,n',\mathbf{k}} \delta(\varepsilon_{n,\mathbf{k}} - \varepsilon_F) \delta(\varepsilon_{n',\mathbf{k}+\mathbf{q}} - \varepsilon_F),$$

$$\chi_0'(\mathbf{q}) = \sum_{n,n',\mathbf{k}} \frac{f(\varepsilon_{n,\mathbf{k}}) - f(\varepsilon_{n',\mathbf{k}+\mathbf{q}})}{\varepsilon_{n,\mathbf{k}} - \varepsilon_{n',\mathbf{k}+\mathbf{q}}}, \quad (1)$$

where  $f(\varepsilon_{n,\mathbf{k}})$  is the Fermi-Dirac distribution function. The real and imaginary components of the bare charge

susceptibility of the  $SrAl_4$  on the  $k_z = 0.11 c^*$  plane are depicted as a function of wave vector  $\mathbf{q}$  in Fig. S19(a), (c) in the Supplemental Material [53]. Both components exhibit peak values at the center. We further conducted additional calculations of the bare charge susceptibility along the  $k_z$  line with  $k_x = k_y = 0$ , and present the outcomes in Fig. S19(b), (d). The real component shows a peak near the experimentally observed  $\mathbf{q}$  vector, whereas the imaginary part does not. This discrepancy indicates that Fermi surface nesting is insufficient to induce the CDW in  $SrAl_4$ . These conclusions are consistent with the analysis previously done for  $SrAl_4$  and  $EuAl_4$  [37,40,49], concluding that a clear mechanism of the CDW cannot be determined directly.

### F. Specific heat

The temperature dependence of the specific heat ( $C_p$ ) shows a broad maximum at  $T_{CDW}$  of magnitude  $\Delta C_p \approx 12 \text{ J mol}^{-1} \text{ K}^{-1}$  (Fig. 7), which is more pronounced than observed for  $EuAl_4$  [40]. The measured  $C_p(T)$  data do not show any anomaly at the temperature  $T_S$  of the structural phase transition (Fig. 7). This might be related to the relaxation method of measurement, as employed in the PPMS instrument [84]. Alternatively, the absence of a transition at  $T_S$  might be the result of the presence of lattice defects in as-grown material, as previously described for  $CuV_2S_4$  [8].

## IV. CONCLUSIONS

From SXRD experiments and the physical property measurements we confirm that  $SrAl_4$  undergoes two phase

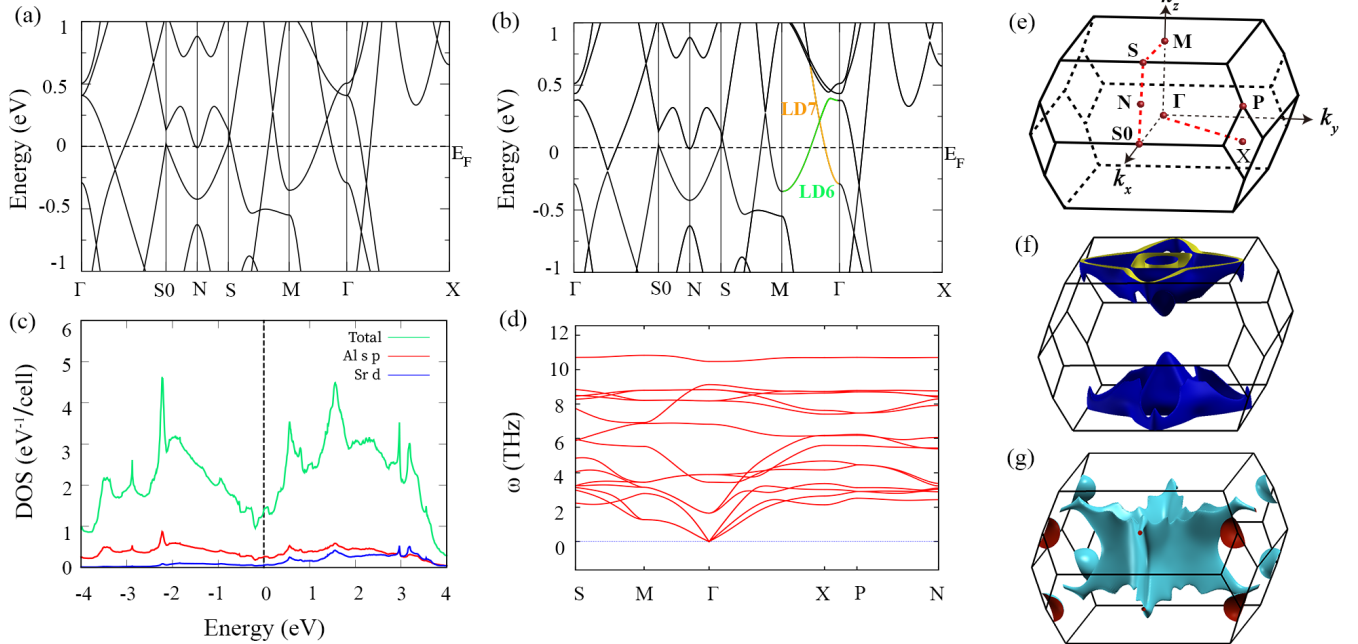


FIG. 6. The bulk band structures of the tetragonal crystal structure  $I4/mmm$  of  $SrAl_4$ . (a) Electronic band structure along high-symmetry directions, as obtained without SOC. (b) Electronic band structure calculated with SOC. The dashed lines indicate the Fermi energy,  $E_F$ . All band crossings are gapped out by SOC, except the crossing of two bands along the M- $\Gamma$  direction, labeled LD6 (green) and LD7 (orange). (c) The density of states (DOS) of the primitive unit cell with SOC. The green line gives the total DOS; the red line gives the contributions of 2s and 2p orbitals of Al; and the blue line stands for contributions of the 3d orbital of Sr. (d) Phonon dispersion relations obtained by DFPT calculations for a  $4 \times 4 \times 2$  supercell of the conventional unit cell, containing 320 atoms. (e) First Brillouin zone of the primitive unit cell. (f) Hole pockets, and (g) electron pockets of the Fermi surface.



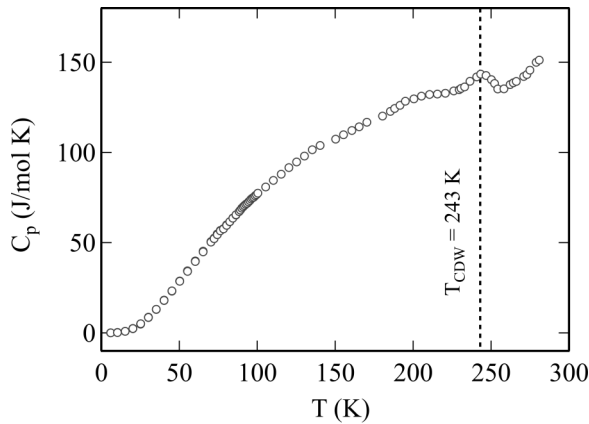


FIG. 7. Temperature dependence of the specific heat  $C_p$  of  $\text{SrAl}_4$  for 3–280 K, as obtained during heating of the sample. A broad maximum is observed at  $T_{\text{CDW}} = 243$  K (indicated by a vertical dashed line). No anomaly could be detected near the second structure transition at  $T_S = 87$  K.

transitions. At  $T_{\text{CDW}} = 243$  K a CDW transition takes place, at which the crystal symmetry is lowered from centrosymmetric tetragonal to noncentrosymmetric orthorhombic. The CDW involves a transverse modulation, while a small longitudinal component exists as second harmonic modulation, i.e., as secondary modulation. The variation of interatomic distances along the incommensurate coordinate demonstrates that Al1 atoms govern the CDW modulation. For the structural transition at  $T_S = 87$  K, SXR D unambiguously shows that the low-temperature phase is **b**-axis monoclinic, and that the CDW is virtually unaffected by this transition.

Replacement of nonmagnetic Sr by magnetic Eu has a minimal role in the crystal structure, but it does affect the value of  $T_{\text{CDW}}$ , which can be explained by different atomic radii of Sr and Eu. This idea has been expanded toward  $\text{CaAl}_4$  and  $\text{BaAl}_4$ . More generally, isostructural compounds with the tetragonal  $\text{BaAl}_4$  structure type exist for  $X\text{Al}_{4-x}\text{Ga}_x$  ( $X = \text{Ba}$ ,

Eu, Sr, Ca;  $0 < x < 4$ ). We could show that only those compounds undergo phase transitions for which  $c/a$  falls within the narrow range  $2.51 < c/a < 2.54$  (Fig. 5), while CDW transitions may be found only in case of the lack of chemical disorder, i.e., only in compounds with  $x = 0, 2$ , or 4.

Both SXR D and electronic band structure calculations support the interpretation that the network of Al atoms is the key contribution to CDW formation, much like in  $\text{EuAl}_4$ . The system possibly possesses a nontrivial band topology and a complex Fermi surface, preventing the mechanism of CDW formation to be simply uncovered in  $\text{SrAl}_4$ .

We surmise that it may be possible to realize multiple phases in  $\text{EuAl}_4$ , as the latter is proposed to go toward monoclinic symmetry in the AFM phases [40]. For  $\text{CaAl}_4$  there may exist an intermediary orthorhombic phase between its tetragonal and monoclinic phases.

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