


Interaction-enhanced quantum heat engine

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We study a minimal quantum Otto heat engine, where the working medium consists of an interacting few-body system in a harmonic trap. This allows us to consider the interaction strength as an additional tunable parameter during the work strokes. We calculate the figures of merit of this engine as a function of the temperature and show clearly in which parameter regimes the interactions assist in engine performance. We also study the finite-time dynamics and the subsequent tradeoff between the efficiency and the power, comparing the interaction-enhanced cycle with the case where the system remains scale-invariant.

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I. INTRODUCTION

The study of quantum heat engines (QHEs) is a central part of the field of quantum thermodynamics [1–4]. They can be used to understand the role that quantum effects play when comparing to classical settings, while at the same time they have implications for the development of quantum technologies. Usually a QHE will consist of a quantum system as the working medium (WM) to which a conventional thermodynamic cycle (Carnot, Otto, etc.) is applied to extract work from the heat exchanged with a cold and a hot bath. Historically, most works have addressed single-particle systems [3,5–14]; however, more recently QHE that use interacting systems have attracted more attention [15–18]. In particular, it is interesting to understand the effect of the interaction on the performance, and to identify parameter regimes in which cooperative effects due to these interactions allow to outperform single-particle QHEs [19–24]. However, care must be taken as interactions have also been shown to reduce engine performance [25], and the dynamical control of these systems can be more complex leading to the creation of irreversible excitations [19,26–32].

In this work we show that a suitable tuning of the interactions can be used to improve the performance of QHEs when compared to systems with noninteracting working media. For this, we consider interacting bosons confined in a harmonic trapping potential and realize the adiabatic compression and expansion strokes of the Otto cycle through increasing and decreasing the trap frequency. However, we also drive the interactions between two distinct values during these strokes and show that optimal interaction strengths exist that increase

the work output and the efficiency when compared to a non-interacting engine.

We also show that the effect of the interaction strongly depends on if one considers distinguishable or indistinguishable particles, and we calculate the efficiency at maximum work output (EMW) showing that the interaction significantly improves this quantity in the low temperature regime. Finally, we explore the finite-time dynamics of the cycle, finding the optimal operation times of the QHE with interacting working media.

The manuscript is organized as follows: in Sec. II we present the model that describes the WM and the thermodynamic cycle of our QHE. In Sec. III, we study the performance in the adiabatic limit by first looking at the case of noninteracting particles and comparing the performance of distinguishable particles and indistinguishable bosons in Sec. III A. We then consider the case of two interacting particles in Sec. III B, and discuss the performance of an engine with two indistinguishable bosons in Sec. III C and with two distinguishable particles in Sec. III D. We extend our results to the case of three interacting particles in Sec. III E. In Sec. IV we study the engine performance with two particles for cycles run in finite time, and finally we conclude in Sec. V.

II. QUANTUM OTTO HEAT ENGINE WITH DRIVEN INTERACTION

We consider a QHE cycle where the WM is an interacting quantum gas confined to one dimension and trapped in a harmonic potential. The Hamiltonian is given by

$$H(\omega, g) = \sum_{n=1}^N -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x_n^2} + \frac{1}{2} m \omega^2 x_n^2 + g \sum_{n < p} \delta(x_n - x_p), \quad (1)$$

where m is the mass of the particles and ω is the trap frequency. Since we only consider low temperatures, we can approximate the interaction by a pointlike potential where g is the 1D interaction strength between the particles. For $N = 2$ this Hamiltonian can be analytically solved [33]; however, for $N \geq 3$ numerical methods are required to find the eigenstates

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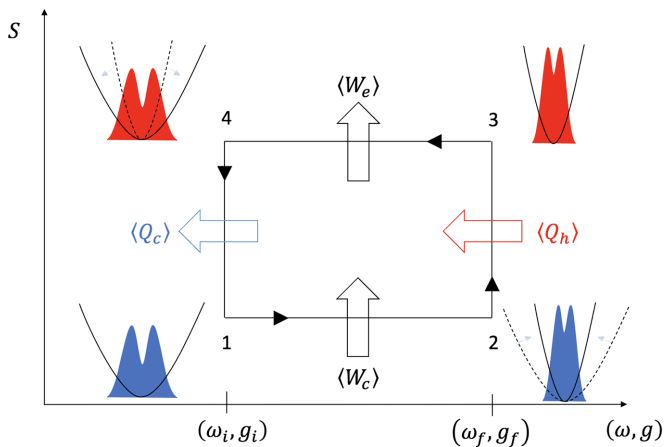


FIG. 1. Schematic of the heat engine cycle. The y axis represents the entropy of the WM and the x axis represent the trap frequency and the interaction.

[34,35]. The engine cycle we explore is similar to a standard Otto cycle except that the adiabatic strokes occur by changing two parameters: the trap frequency ω and the interaction strength g . A schematic is shown in Fig. 1 and the individual strokes are given by

Adiabatic compression (1 \rightarrow 2): The WM is initially trapped in a harmonic potential with frequency ω_i and at equilibrium with inverse cold temperature β_c . The interaction strength is given by g_i . From there a compression stroke is carried out that performs work on the system by increasing the trap frequency to ω_f and changing the interaction strength to g_f . The work is given by $W_c = \langle H(\omega_f, g_f) \rangle_2 - \langle H(\omega_i, g_i) \rangle_1$.

Hot isochore (2 \rightarrow 3): The next stroke increases the temperature of the WM by coupling it to an external hot bath at the inverse temperature β_h with the control parameters g_f and ω_f fixed. In equilibrium the heat exchanged during this stroke is given by $Q_h = \langle H(\omega_f, g_f) \rangle_3 - \langle H(\omega_f, g_f) \rangle_2$.

Adiabatic expansion (3 \rightarrow 4): The system is then decoupled from the hot bath and work is extracted from the WM by adiabatically driving the trap frequency and interaction strength back to ω_i and g_i . The work is given by $W_e = \langle H(\omega_i, g_i) \rangle_4 - \langle H(\omega_f, g_f) \rangle_3$.

Cold isochore (4 \rightarrow 1): In the last stroke the WM is cooled down by exchanging heat with a cold bath at the inverse temperature β_c . It returns to the initial state and the heat exchanged during this stroke is given by $Q_c = \langle H(\omega_i, g_i) \rangle_1 - \langle H(\omega_i, g_i) \rangle_4$.

It is worth noting the difference between adiabatic strokes in the quantum and in the classical regime. While carrying out an adiabatic stroke in a classical setting means that no heat exchange occurs during the process, for quantum systems it refers to the condition that the occupation populations of the eigenstates remain constant. This difference in the definition implies a difference in the timescale of the strokes. In classical heat engines, the WM will be driven quickly to prevent the system from relaxing and therefore exchanging heat with the environment, while for QHEs one needs to drive it quasistatically based on the adiabatic theorem. The performance of the engine is characterized by the work output $W = W_e + W_c$ and the efficiency $\eta = \frac{|W|}{Q_h} = 1 + \frac{Q_c}{Q_h}$. By convention, we chose the

variation of energy to be negative when the WM loses energy, which means that the engine produces extractable work when $W < 0$. Like in a conventional quantum heat engine, we choose the trap frequency at the end of the compression to be larger than the initial frequency, $\omega_f > \omega_i$; however, g_f can be larger or smaller than g_i .

III. ENGINE PERFORMANCE IN THE ADIABATIC LIMIT

A. Noninteracting limit and statistical influence on the performance

Before examining the effects of the interactions in the working medium, let us first consider the noninteracting limit ($g_i = g_f = 0$) to outline the influence of the statistical properties on the engine performance. Below we consider distinguishable particles and indistinguishable bosons, where their respective statistics leads to a difference in the degeneracy of the energy levels given by

$$d(E_n) = \sum_{n_1} \dots \sum_{n_N} \delta_{E_n, \hbar\omega(\sum_{j=1}^N n_j + \frac{1}{2})}, \quad (2)$$

where $\delta_{a,b}$ is the Kronecker symbol. We illustrate this in Figs. 2(a) and 2(b) for two and three particles systems and unsurprisingly the number of states for a given energy is higher for distinguishable particles. In fact, the gap between these two distributions increases exponentially with the number of particles. The probability for N indistinguishable bosons to be at the same energy is therefore higher than for N distinguishable particles and this increases with the number of particles. In particular, indistinguishable bosons will most likely stay in the ground state and the probability for a boson to transition to an excited state will be small for low temperatures. As a consequence, the performance of an engine realized with noninteracting bosons will be limited in terms of work output in the temperature regimes of our interest (which corresponds, as we will see later, to the temperature regime where interactions lead to interesting behaviors). The respective work output of the Otto-cycle of noninteracting bosons and distinguishable particles as a function of the number of particles is shown in Fig. 2(c). As expected, the work output for distinguishable particles increases linearly and from physical arguments one can expect the work output for bosons to be sub-linear. However, in Fig. 2(c), one can see that the behavior is more than sub-linear and it, in fact, reaches a plateau for $N \geq 3$. This means that the mean occupations of the energies for bosons at the hot and cold temperatures become so similar that adding particles only contributes negligibly to the work output. Given this drastically different behavior in the noninteracting limit, let us next study distinguishable particles and indistinguishable bosons in the presence of interactions.

B. Two-particle working medium

We now take account of the interaction by considering first the two-particle case ($N = 2$). The Hamiltonian can be solved by introducing the center of mass coordinate $X = \frac{x_1 + x_2}{\sqrt{2}}$ and the relative coordinate $x = \frac{x_1 - x_2}{\sqrt{2}}$, which allows one to

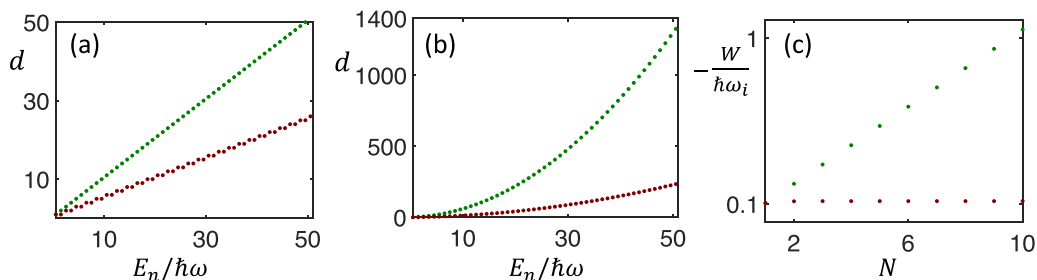


FIG. 2. Degeneracy of the energy levels for a system of (a) two distinguishable particles (green dots) and two indistinguishable bosons (brown dots) and (b) three distinguishable particles (green dots) and three indistinguishable bosons (brown dots). (c) Work output of the Otto cycle of noninteracting distinguishable particles (green dots) and noninteracting indistinguishable bosons (brown dots) as a function of the number of particles. The compression ratio is $\kappa = \frac{\omega_i}{\omega_f} = \frac{1}{3}$, the cold inverse temperature is $\beta_c = \frac{10}{\hbar\omega_i}$ and the hot inverse temperature is $\beta_h = \frac{1}{\hbar\omega_i}$.

split the Hamiltonian (1) into two decoupled single-particle Hamiltonians $H(\omega, g) = H_{\text{CM}}(\omega) + H_r(\omega, g)$ with

$$H_{\text{CM}}(\omega) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial X^2} + \frac{1}{2} m \omega^2 X^2, \quad (3)$$

$$H_r(\omega, g) = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{1}{2} m \omega^2 x^2 + \frac{g}{\sqrt{2}} \delta(x). \quad (4)$$

The eigenstates are thus given by the two-particle states $|n, \nu\rangle$, where $|n\rangle$ is the eigenstate of the center of mass, and $|\nu\rangle$ the eigenstate of the relative coordinate. Since the center of mass is not affected by the interaction, the eigenstates of H_{CM} are simply the standard harmonic oscillator eigenfunctions $\langle X||n\rangle = \frac{1}{\sqrt{2^n n!}} \left(\frac{m\omega}{\pi\hbar}\right)^{\frac{1}{4}} e^{-\frac{X^2}{2a^2}} H_n\left(\frac{X}{a}\right)$, where $a = \sqrt{\frac{\hbar}{m\omega}}$ and H_n are the Hermite polynomials, and the eigenenergies are given by $E_{\text{CM}}^n = \hbar\omega(n + \frac{1}{2})$. For the relative coordinate, only the even states are affected by the interaction and are given by $\langle x||2\nu\rangle = N_{2\nu} e^{-\frac{x^2}{2a^2}} U\left(\frac{1}{4} - \frac{E_r^{2\nu}}{2\hbar\omega}, \frac{1}{2}, \frac{x^2}{a^2}\right)$, where $N_{2\nu}$ is a normalization factor, $E_r^{2\nu}$ is the eigenenergy, and U is the Kummer function [33]. The eigenenergies are determined by the solutions of the transcendental equation

$$-\tilde{g} = 2 \frac{\Gamma\left(-\frac{E_r^{2\nu}}{2\hbar\omega} + \frac{3}{4}\right)}{\Gamma\left(-\frac{E_r^{2\nu}}{2\hbar\omega} + \frac{1}{4}\right)}, \quad (5)$$

where $\tilde{g} = \frac{g}{\sqrt{2\hbar\omega a}}$ and $\Gamma(x)$ is the Γ function. The odd eigenstates are again just harmonic oscillator states with the eigenenergies $E_r^{2\nu+1} = \hbar\omega(2\nu + \frac{3}{2})$.

To understand how the interaction affects the efficiency, one can note that the eigenenergies of the relative coordinate can be effectively written as

$$E_r^\nu = \hbar\omega[\nu + 1/2 + \epsilon(\nu, \tilde{g})], \quad (6)$$

where $\epsilon(\nu, \tilde{g})$ is an extra energy term due to the interaction, which depends on the quantum number ν and the rescaled interaction \tilde{g} . Since the interaction only affects the even states, we have $\epsilon(2\nu + 1, \tilde{g}) = 0 \forall \nu$. In the limit of repulsive infinite interactions, fermionization occurs [36] and the even eigenenergies asymptotically approach the next higher lying odd eigenenergies, which leads to $\epsilon(2\nu, +\infty) = 1 \forall \nu$. It is also worth noting that the contact interaction has the strongest effect on the ground-state energy $\epsilon(0, \tilde{g}) \geq \epsilon(2\nu, \tilde{g}) \forall (\nu, \tilde{g})$.

C. Two indistinguishable bosons

Let us focus on the situation where the minimal QHE has a working medium consisting of two indistinguishable bosons, in which case only the states that preserve even parity can be occupied in the relative coordinate. To calculate the efficiency of the engine, we first express the heat exchanged during the hot and cold isochores as

$$Q_h = \sum_{n,\nu} E_{n,2\nu}^f (p_{n,2\nu}^f - p_{n,2\nu}^i), \quad (7)$$

$$Q_c = \sum_{n,\nu} E_{n,2\nu}^i (p_{n,2\nu}^i - p_{n,2\nu}^f), \quad (8)$$

where $E_{n,2\nu}^s = \langle n, 2\nu | H(\omega_s, g_s) | n, 2\nu \rangle = \hbar\omega_s [n + 2\nu + 1 + \epsilon(2\nu, \tilde{g}_s)]$ (with $s \in \{i, f\}$) and the occupation populations are given by $p_{n,2\nu}^i = \langle n, 2\nu | \frac{\exp[-\beta_c H(\omega_i, g_i)]}{Z(\omega_i, g_i, \beta_c)} | n, 2\nu \rangle$ and $p_{n,2\nu}^f = \langle n, 2\nu | \frac{\exp[-\beta_h H(\omega_f, g_f)]}{Z(\omega_f, g_f, \beta_h)} | n, 2\nu \rangle$ (where $Z(\omega, g, \beta) = \text{Tr}[\exp[-\beta H(\omega, g)]]$ is the partition function). From this, the efficiency can be expressed as

$$\eta = 1 + \frac{Q_c}{Q_h} = 1 - \frac{\sum_{n,\nu} \lambda_{n,2\nu} E_{n,2\nu}^f (p_{n,2\nu}^f - p_{n,2\nu}^i)}{\sum_{n,\nu} E_{n,2\nu}^f (p_{n,2\nu}^f - p_{n,2\nu}^i)}, \quad (9)$$

where we have introduced $\lambda_{n,2\nu}$ as the ratio between the eigenenergies before and after the compression [25]

$$\lambda_{n,2\nu} = \frac{E_{n,2\nu}^i}{E_{n,2\nu}^f} = \kappa \frac{n + 2\nu + 1 + \epsilon(2\nu, \tilde{g}_i)}{n + 2\nu + 1 + \epsilon(2\nu, \tilde{g}_f)}, \quad (10)$$

with $\kappa = \frac{\omega_i}{\omega_f}$ being the compression ratio. From Eq. (9) one can see that the efficiency is influenced by the interaction through the ratio $\lambda_{n,2\nu}$, and the change of population occupation $p_{n,2\nu}^f - p_{n,2\nu}^i$. Let us recall that the eigenstates of the harmonic oscillator for two different frequencies ω_i and ω_f are related by the scaling transformation $\langle x || n(\omega_f) \rangle = \kappa^{-\frac{1}{4}} \langle \chi \kappa^{-\frac{1}{2}} || n(\omega_i) \rangle$. Also the contact interaction described by a delta function obeys the scaling law $g\delta(\lambda x) = \frac{g}{\lambda} \delta(x)$. As a consequence, if one chooses the final interaction to be $g_f = g_i \kappa^{-\frac{1}{2}}$ (and so $\tilde{g}_f = \tilde{g}_i$), then the system will remain scale-invariant, i.e., it is self-similar in space and all the eigenenergies change by the same ratio given by κ , i.e.,

$$E_{n,2\nu}^i = \kappa E_{n,2\nu}^f \forall (n, \nu). \quad (11)$$

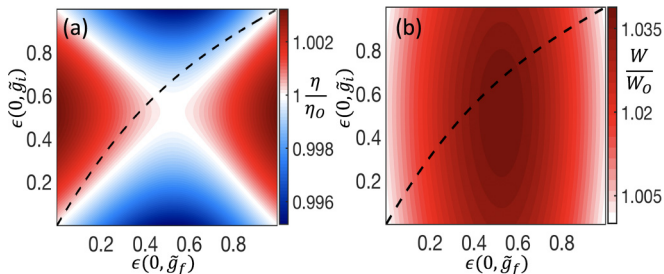


FIG. 3. (a) Efficiency and (b) work output normalised to their respective Otto-cycle values for an engine with a WM made of two interacting bosons as a function of $\epsilon(0, \tilde{g}_i)$ and $\epsilon(0, \tilde{g}_f)$. The black dash line shows the situation where the interaction is fixed ($g_i = g_f$). Note that the efficiency converges to η_O in the limit of strong interactions due to the fermionization in the system. In both plots the compression ratio is $\kappa = \frac{1}{3}$ ($\eta_O = \frac{2}{3}$), the cold inverse temperature is $\beta_c = \frac{10}{\hbar\omega_i}$ and the hot inverse temperature is $\beta_h = \frac{1}{\hbar\omega_i}$.

In that case the efficiency is given by the Otto efficiency $\eta_O = 1 - \kappa$, which also corresponds to the efficiency of the Otto cycle of noninteracting particles. This is illustrated in Fig. 3(a), where the ratio between η and η_O is plotted as a function of $\epsilon(0, \tilde{g}_i)$ and $\epsilon(0, \tilde{g}_f)$ and the diagonal corresponds to the case where the WM is scale-invariant. To obtain an efficiency that differs from η_O , one therefore needs to consider systems where the eigenenergies do not change uniformly during the adiabatic strokes [37]. To do that, we tune the initial and final interactions such that $g_f \neq g_i \kappa^{-\frac{1}{2}}$, which allows one to distinguish two possible cases.

The first case is when the interaction weakens during the compression stroke ($\tilde{g}_i > \tilde{g}_f$), which leads to $\epsilon(2\nu, \tilde{g}_f) < \epsilon(2\nu, \tilde{g}_i)$ and $\lambda_{n,2\nu} > \kappa$ [the region above the diagonal in Fig. 3(a)]. One then needs to be careful with the sign of the change of the occupation population $p_{n,2\nu}^f - p_{n,2\nu}^i$. For the excited states, the sign will be positive since at higher temperatures, the occupation population in the excited states increases. However, the change of population for the ground state will be negative since it decreases for higher temperatures. Thus, depending on which terms have the largest contribution, the efficiency can be higher or lower than η_O . When the interactions affect the ground state more than the excited states, the change of the occupation population of the ground state is thus more important and we get $\eta > \eta_O$ [red area above the diagonal in Fig. 3(a)]. However, when the interactions are such that the extra energy $\epsilon(2\nu, \tilde{g})$ affects significantly the excited states, the change of the occupation population for the excited states can be large enough that $\eta < \eta_O$ [blue area above the diagonal in Fig. 3(a)]. We also indicate the situation where the interaction is fixed $g_i = g_f$ [black dashed line in Fig. 3(a)], which is similar to the situation studied in Ref. [25]. In their case the WM is an interacting gas trapped in a box and the efficiency only decreases in the presence of the interaction. For the harmonic oscillator, however, we observe that the interaction can enhance or hinder the performance of the engine.

The second case is when the interaction strength increases during the compression stroke ($\tilde{g}_f > \tilde{g}_i$). This implies that $\epsilon(2\nu, \tilde{g}_f) > \epsilon(2\nu, \tilde{g}_i)$ and $\lambda_{n,2\nu} < \kappa$. By doing the same

analysis as above, we reach the opposite conclusion to the first case: if the interactions affect the ground state more we get $\eta < \eta_O$ [blue area below the diagonal in Fig. 3(a)], and if the interactions affect the excited states sufficiently we find $\eta > \eta_O$ [red area below the diagonal in Fig. 3(a)]. We also highlight the area near the antidiagonal in Fig. 3(a), where the efficiency is equal to η_O . This area is not exactly the antidiagonal and corresponds to a crossover between the regime where $\eta > \eta_O$ and $\eta < \eta_O$ in which the contribution from the ground state and the excited states are such that they compensate each other and one recovers the Otto efficiency.

The maximum efficiency shown in Fig. 3(a) is $\eta \approx 1.003\eta_O$ and achieved for $\tilde{g}_i = 1.6$ and $\tilde{g}_f = 50$ ($\epsilon(0, \tilde{g}_i) \approx 0.52$ and $\epsilon(0, \tilde{g}_f) \approx 0.98$). One can see from the work output shown in Fig. 3(b) that the engine outperforms the Otto cycle of two noninteracting bosons when the final interaction takes intermediate values, while the initial interaction does not seem to influence the work output significantly. This plot also shows that the work output always exceeds W_O and becomes equal to it when the initial and final interaction are zero or go to infinity [the four corners in Fig. 3(b)]. In the infinite interaction regime this is due to the system behaving like two noninteracting fermions. The maximum work output is $W \approx 1.039W_O$ for $\tilde{g}_i = \tilde{g}_f = 1.6$.

D. Two distinguishable particles

While driving the interaction during the cycle can clearly modify the performance of the engine, the changes observed above for a working medium made from two identical bosons are not very significant and the performance of the engine stays relatively close to its noninteracting counterpart. Let us therefore next consider the situation where the working medium consists of two distinguishable particles, for which two major differences come into play: first, as shown above, the degeneracy of the states is different in the noninteracting limit, and, second, for such a system the odd states of the energy spectrum of the relative coordinate need to be taken into account.

The efficiency and work output for this engine are shown as a function of the interaction energies in Figs. 4(a) and 4(b). Like in case for indistinguishable particles, the diagonal represents the scale-invariant cycle and therefore retains the Otto efficiency, while this can be exceeded for $\epsilon(0, \tilde{g}_i) > \epsilon(0, \tilde{g}_f)$. Indeed, we note that interactions can noticeably improve the performance of the distinguishable cycle (note the difference in the color scale) with the maximum efficiency $\eta \approx 1.124\eta_O \approx 0.75$ for $\tilde{g}_i = 3$ and $\tilde{g}_f = 0.8$ ($\epsilon(0, \tilde{g}_i) \approx 0.69$ and $\epsilon(0, \tilde{g}_f) \approx 0.34$), and maximum work $W \approx 1.43W_O$ for $\tilde{g}_i = 1.95$ and $\tilde{g}_f = 1.4$ [$\epsilon(0, \tilde{g}_i) \approx 0.58$ and $\epsilon(0, \tilde{g}_f) \approx 0.48$]. In contrast to indistinguishable bosons the efficiency is always reduced when the final interaction is larger than the initial one, $\tilde{g}_f > \tilde{g}_i$. Furthermore, the work output can be significantly lower than for a cycle with a noninteracting particles, and we note that the WM can act as a dissipator ($W > 0$) for combinations of strong and weak interactions, ($\tilde{g}_i \approx 0, \tilde{g}_f \rightarrow \infty$) and ($\tilde{g}_i \rightarrow \infty, \tilde{g}_f \approx 0$), indicated by the grey regions in Figs. 4(a) and 4(b). We have also calculated the efficiency for higher temperatures and have observed the same general behavior;

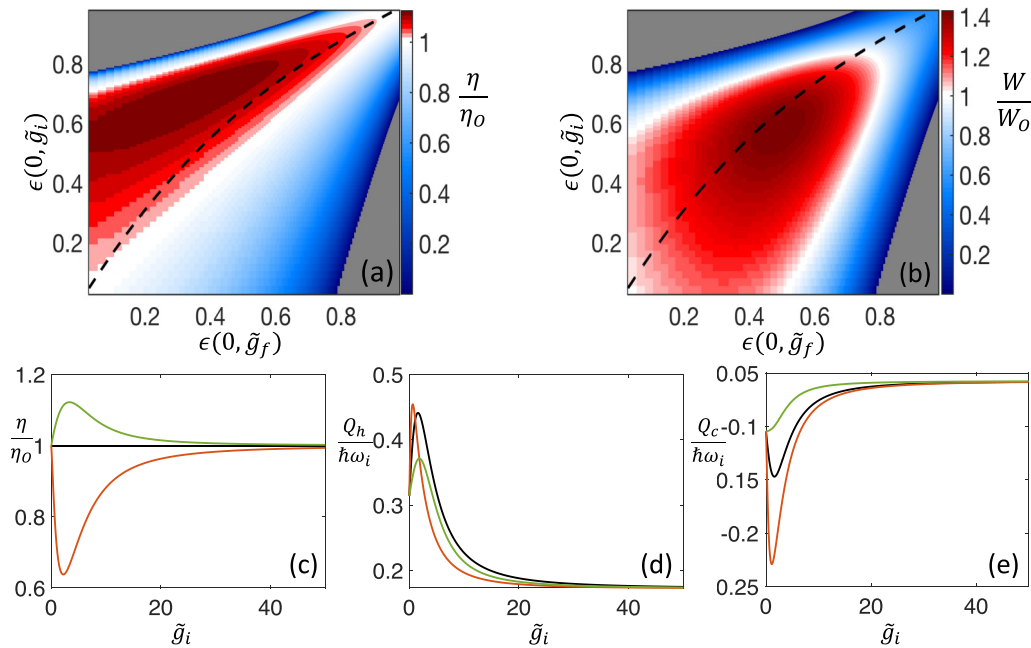


FIG. 4. (a) Efficiency and (b) work output normalised to their respective Otto-cycle values for an engine with a WM made of two interacting distinguishable particles as a function of $\epsilon(0, \tilde{g}_i)$ and $\epsilon(0, \tilde{g}_f)$. The gray areas correspond to interaction regimes where the system does not work as a heat engine but rather like a dissipator with $W > 0$. The black dashed line shows the case where the interaction is fixed ($g_i = g_f$). (c) Efficiency normalized to the Otto efficiency and heat exchanged with the (d) hot bath and (e) cold bath as a function of the initial interaction \tilde{g}_i , with the final interaction given by $\tilde{g}_f = \tilde{g}_i$ (black line), $\tilde{g}_f = \frac{\tilde{g}_i}{3}$ (green line), and $\tilde{g}_f = 3\tilde{g}_i$ (orange line). The compression ratio for all plots is $\kappa = \frac{1}{3}$ ($\eta_O = \frac{2}{3}$), the cold inverse temperature is $\beta_c = \frac{10}{\hbar\omega_i}$, and the hot inverse temperature is $\beta_h = \frac{1}{\hbar\omega_i}$.

however, the variations of the efficiency and the work output become less pronounced.

To illustrate and better understand the behavior of the QHE with distinguishable particles, we calculate the efficiency as a function of the initial interaction \tilde{g}_i while tuning the final interaction such that $\tilde{g}_f = \alpha\tilde{g}_i$, with α fixed. Figure 4(c) shows the efficiency for three different values of α ($\alpha = 1, 3$, and $\frac{1}{3}$) and one can clearly see that the changes in the efficiency are more significant and also very different from the setting using indistinguishable particles. The efficiency can be enhanced when $\tilde{g}_f < \tilde{g}_i$, while it is significantly reduced when $\tilde{g}_f > \tilde{g}_i$. To understand this one can consider the amount of heat exchanged with the hot and cold baths as shown in Figs. 4(d) and 4(e). In Fig. 4(d) we see that the presence of the interaction increases the amount of heat received by the hot bath in all three cases, for weak and intermediate values of \tilde{g}_i . However, it decreases for large \tilde{g}_i and reaches a limit that is approximately half that of the noninteracting working medium. In this limit the even eigenstates in the relative coordinate approach the next higher-lying odd eigenstates and thus the spectrum becomes doubly degenerated for distinguishable particles which implies less heat is required for the WM to thermalize.

We observe similar behavior for Q_c in the large \tilde{g}_i limit [Fig. 4(e)]; however, for weak and intermediate values of \tilde{g}_i the amount of heat dissipated in the cold bath is significantly larger when $\tilde{g}_f = 3\tilde{g}_i$, which is the reason for the decreasing efficiency. For the same initial interaction \tilde{g}_i the best strategy to reduce energy loss in the cold bath is therefore to choose a weaker final interaction \tilde{g}_f . This allows the statistics at the

inverse temperature β_h to be closer to the initial statistics of the WM and thus the change of the occupation population $p_{n,v}^i - p_{n,v}^f$ becomes smaller in magnitude such that less heat is released during the cold isochore. The changes in the performance of the QHE are more extreme when the baths are at low temperatures because the WM is more affected by finite interactions.

It is also insightful to look at the efficiency at maximum work output (EMW) which allows to quantify the engine performance for different temperature scales and helps understanding finite-time dynamics. We compare the EMW to the Curzon-Ahlborn bound [38,39]

$$\eta_{CA} = 1 - \sqrt{\frac{\beta_h}{\beta_c}}, \quad (12)$$

which originally corresponded to the efficiency at maximum power of the endoreversible Carnot cycle. However, it has been shown that it also holds for the quantum Otto cycle at high temperature and in the adiabatic limit [40]. We calculate the efficiency by maximizing the work output over κ , \tilde{g}_i and \tilde{g}_f for two fixed cold bath temperatures $\beta_c\hbar\omega_i = 1$ and $\beta_c\hbar\omega_i = 10$ (see Fig. 5). We also compare the EMW of both engines, with distinguishable and indistinguishable interacting working media, with their noninteracting counterparts in the low temperature regime $\beta_c\hbar\omega_i = 10$ in Fig. 5(a). One can see that for the noninteracting engines, this quantity is far below the CA bound, which is due to the fact that at low temperature the WM dissipates a large amount of energy into the cold bath to close the cycle. At the same time the efficiency for noninteracting bosons is the lowest as its statistics makes

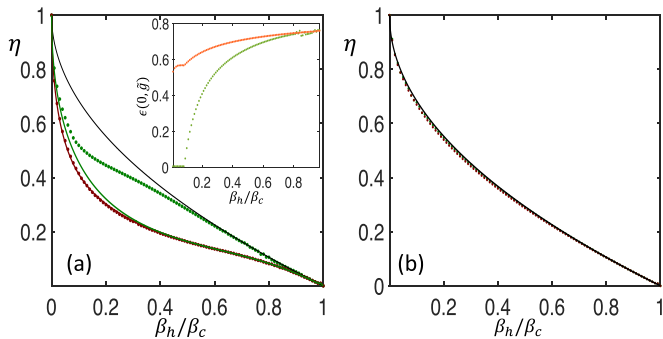


FIG. 5. Efficiency at maximum work output (EMW) for the engine using two interacting bosons (brown dots) and two interacting distinguishable particles (green dots) for two different temperature regimes. Panel (a) shows the efficiency calculated in the low temperature regime with $\beta_c \hbar \omega_i = 10$ and panel (b) in the intermediate temperature regime with $\beta_c \hbar \omega_i = 1$. In both panels the back line corresponds to the Curzon-Ahlborn bound η_{CA} . The inset in panel (a) shows the corresponding extra energies $\epsilon(0, \tilde{g}_i)$ (orange dots) and $\epsilon(0, \tilde{g}_f)$ (green dots) for the case of two distinguishable particles. The values of $\epsilon(0, \tilde{g}_f)$ we obtained for $\beta_h/\beta_c \gtrsim 0.8$ become less accurate because the work output starts to vanish in this regime. We also show the efficiency at maximum work output of two noninteracting distinguishable particles (green line) and two noninteracting bosons (brown line) at the low temperature regime (a). Note the EMW for two interacting bosons is extremely close to that of the two noninteracting bosons.

low energy states more favorable than in the distinguishable case. The EMW of the interacting bosons is extremely close to that of noninteracting bosons, which is not surprising since the influence of the interaction on the engine performance is very small (as seen in Fig. 3). However, for the two distinguishable particles, it is vastly improved by the presence of the interaction and even coincides with the CA bound for $\beta_h/\beta_c \gtrsim 0.5$. One can see that the gap between the efficiency of bosons and distinguishable particles decreases when the temperature of the hot bath is large, $\beta_h/\beta_c \rightarrow 0$, as their statistics become identical and are given by the classical Maxwell-Boltzmann distribution. Also the energy scales of the hot bath dwarf that of the cold bath and therefore the influence of the initial interaction \tilde{g}_i becomes negligible.

This is highlighted in the inset of Fig. 5(a) where we show the corresponding extra energy $\epsilon(0, \tilde{g}_i)$ and $\epsilon(0, \tilde{g}_f)$ for the case of two distinguishable particles. As expected from our previous analysis, the final interaction \tilde{g}_f has to be smaller than \tilde{g}_i to improve the performance of the engine. The optimal final interaction \tilde{g}_f decreases when the temperature of the hot bath increases and becomes zero for $\beta_h/\beta_c \lesssim 0.08$. As we have already mentioned, the work output in the high temperature limit is mostly dictated by the energy of the WM at the inverse temperature β_h . Therefore the influence of the interaction becomes negligible since the particles behave like noninteracting classical particles with an energy approximately given by β_h^{-1} . Moreover, from the preceding analysis, we know that the work output is significantly improved for nonzero and finite interaction strengths [Fig. 4(b)] and we can thus conclude that the interactions start to influence the performance of the engine for $\beta_h/\beta_c \gtrsim 0.08$. We can also

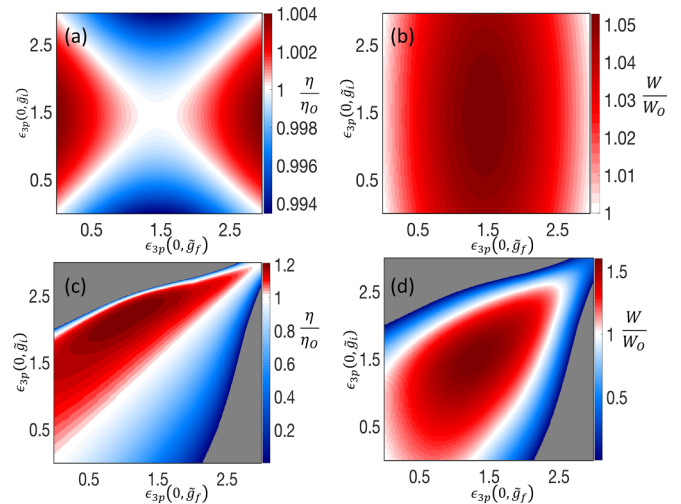


FIG. 6. Efficiency and work output normalised to their respective Otto values as a function of $\epsilon_{3P}(0, \tilde{g}_i)$ and $\epsilon_{3P}(0, \tilde{g}_f)$ for a WM consisting of (a, b) three indistinguishable bosons and (c, d) three distinguishable particles.

observe this in the efficiencies of the different cycles which start to deviate from each other at around this temperature [see Fig. 5(a)].

When $\beta_h/\beta_c \rightarrow 1$ both \tilde{g}_i and \tilde{g}_f tend to the same limit in which the cycle is scale invariant. We note the slight irregularities can be seen for the behavior of $\epsilon(0, \tilde{g}_f)$ in the inset of Fig. 5(a) when $\beta_h/\beta_c \gtrsim 0.8$. This is due to numerical issues, as the optimization algorithm has difficulties in finding the maximum work output when the temperatures of both baths are close, and therefore the work output starts to vanish. Regardless, in this regime the efficiencies of each cycle converge to the Curzon-Ahlborn bound as expected. Finally, we show that for a larger temperature of the cold bath $\beta_c \hbar \omega_i = 1$, the EMW for both working media are exactly equal to η_{CA} [see Fig. 5(b)]. In this temperature regime, the effect of the short-range interaction becomes negligible and the particles behave like a noninteracting ideal and classical gas.

E. Three particles working medium

To show how the influence of the interaction on the engine performance scales with the number of particles, we next extend the analysis to a three particle system. In that case the Hamiltonian can no longer be analytically solved and a numerical method such as exact diagonalization is required to calculate the quantities of interest. To compare with the two-particle engines we consider equivalent temperatures and compression ratios, and also define the interaction energy $\epsilon_{3P}(n, \tilde{g})$ in a similar way. With n being the quantum index that characterizes the n th three particle eigenstate, the ground-state interaction energy term is given by

$$\epsilon_{3P}(0, \tilde{g}) = \frac{\langle 0|H(\omega, \tilde{g})|0\rangle}{\hbar\omega} - \frac{3}{2}. \quad (13)$$

This excess energy is such that $\epsilon_{3P}(0, 0) = 0$ and $\epsilon_{3P}(0, +\infty) = 3$ and again allows us to quantify the interaction strength in the system. In Fig. 6 we show the efficiency and work output for both indistinguishable

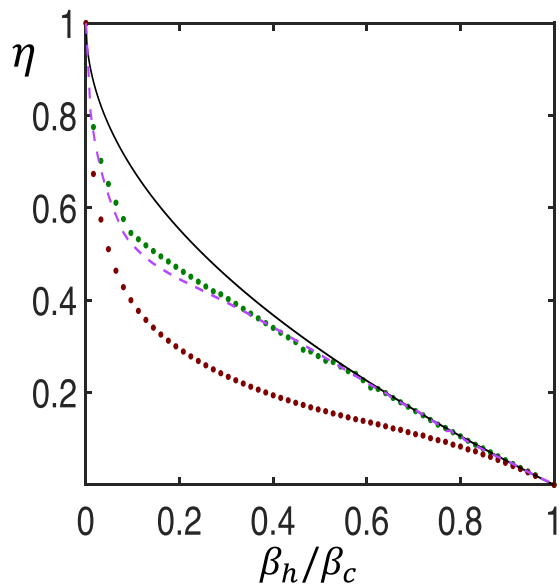


FIG. 7. Efficiency at maximum work output for three interacting bosons (brown dots) and three distinguishable particles (green dots) in the low temperature regime $\beta_c \hbar \omega_i = 10$. The black line corresponds to the Curzon-Ahlborn bound η_{CA} and the purple dashed line shows the two distinguishable interacting particles case.

and distinguishable particles. We note that the optimal interactions needed for maximizing performance does not significantly change compared to the two-particle case; however, the degree of enhancement is marginally increased, with a gain of 0.1% for the maximum efficiency [$\eta \approx 1.004\eta_O \approx 0.668$ for $\epsilon_{3P}(0, \tilde{g}_i) \approx 1.64$ and $\epsilon_{3P}(0, \tilde{g}_f) \approx 2.96$] and 1.1% for the work output [$W \approx 1.05W_O$ for $\epsilon_{3P}(0, \tilde{g}_i) = \epsilon_{3P}(0, \tilde{g}_f) \approx 1.64$]. However, the engine using a WM of distinguishable particles shows a more significant enhancement of the performance as the number of states that are not affected by the interaction is much larger than in the two-particle case [see Figs. 2(a) and 2(b)], allowing for a more efficient work extraction process. The resulting maximum efficiency and work output, $\eta \approx 1.21\eta_O \approx 0.807$ for $\epsilon_{3P}(0, \tilde{g}_i) \approx 2.48$ and $\epsilon_{3P}(0, \tilde{g}_f = 0.8) \approx 1.49$ and $W \approx 1.59W_O$ for $\epsilon_{3P}(0, \tilde{g}_i) \approx 1.73$ and $\epsilon_{3P}(0, \tilde{g}_f) \approx 1.49$, allowing for gains of 8.6% and 16% respectively over the distinguishable two-particle engine. This highlights the important role the density of states plays in the performance and how this can be modified by the statistics.

Finally, we show in Fig. 7 the EMW and compare the cases for two and three distinguishable particles. While the EMWs for the engines with indistinguishable particles are very similar, one can note a slight enhancement of the efficiency for the WM made from indistinguishable particles in the intermediate temperature regime.

IV. FINITE-TIME DYNAMICS

So far we studied the performance of the engine in the adiabatic limit, which results in a vanishing power output due to the long timescale of the strokes. While reducing the duration of the strokes increases the power, it will, however, inevitably lead to the decrease of the efficiency due to the generation of

irreversibility and inner friction [40–50]. To understand the tradeoff between the power and the efficiency of the engine, we next study the compression and expansion strokes at finite time for an engine made from two distinguishable particles. For this we consider three cases. First, we change the interaction between two values that are known to give a boost to the efficiency and work output in the adiabatic limit (the optimal case), and second we drive the interaction in such a way that the WM remains scale-invariant, for which the efficiency in the adiabatic limit is given by η_O . As a third case we consider two noninteracting particles to benchmark our results. As our focus is on the nonadiabatic excitation during the compression and expansion strokes, we do not consider the dynamics of the isochoric strokes. The duration τ of the compression and expansion strokes are taken to be same and for the optimal case the ramps for the time-dependent protocols for the trap and interaction strengths are given by

$$f(t) = f(0) + 10\Delta f \left(\frac{t}{\tau}\right)^3 - 15\Delta f \left(\frac{t}{\tau}\right)^4 + 6\Delta f \left(\frac{t}{\tau}\right)^5, \quad (14)$$

where $\Delta f = f(\tau) - f(0)$ for $f = \{g, \omega\}$. In the case of scale-invariant dynamics the interaction strength is connected to the trap frequency through $g(t) = g(0)\sqrt{\frac{\omega(t)}{\omega(0)}}$ and we choose $\omega(t)$ to be given by Eq. (14). To quantify the performance of the engine at finite time, we calculate the efficiency η and also the effective power (EP) of the engine defined as

$$P(\tau) = -\frac{W(\tau)}{2\tau}. \quad (15)$$

While the latter does not strictly correspond to the power of the engine since we only consider the total duration of the compression and expansion strokes 2τ , we always assume that the WM fully thermalizes during the isochoric strokes for a short fixed time. The EP then tells us how the power of the engine is affected by nonadiabatic excitations created during the work strokes, and if the duration of the isochoric strokes is short enough, it corresponds to the first approximation of the engine power. Finally, we also quantify the irreversibility of the cycle by calculating the irreversible work,

$$W_{\text{irr}}(\tau) = W(\tau) - W_{\text{ad}}, \quad (16)$$

where W_{ad} is the work output of the engine in the adiabatic limit.

The EP, the irreversible work, and the efficiency as functions of τ are shown in Fig. 8. Compared to the noninteracting case, using an interacting system in a cycle of finite duration provides a significant boost to the power in both the optimal case and the scale-invariant case. Moreover, one can see that the EP in the optimal case is larger than in the scale-invariant case for longer stroke durations, which is due to the work output being larger in the adiabatic limit. However, for fast strokes the EP for the scale-invariant case becomes larger and the maximum value is reached at a shorter time than in the optimal case. While this is a small effect, it is consistent with the greater amount of irreversible work being generated in the optimal case [see Fig. 8(b)]. It can also be seen from the fact that even if the efficiency in the adiabatic limit in the optimal case is greater, it decreases faster for shorter times

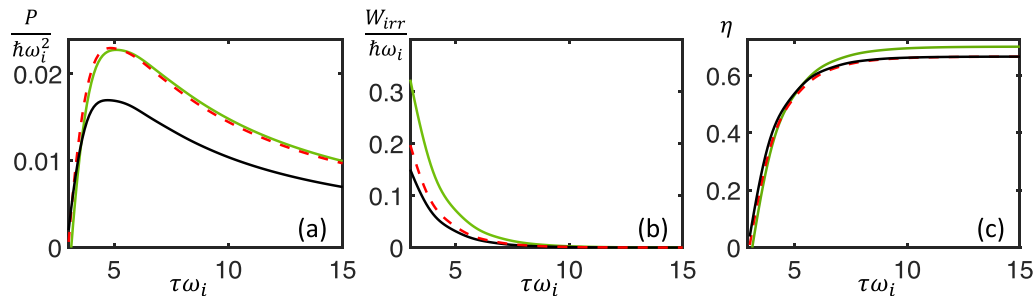


FIG. 8. (a) Effective power output (EP), (b) irreversible work, and (c) efficiency as a function of τ . The green line corresponds to the optimal case, the red dashed line corresponds to the scale-invariant case and the black line to the noninteracting case. The compression ratio is $\kappa = \frac{1}{3}$, the cold inverse temperature is $\beta_c = \frac{10}{\hbar\omega_i}$ and the hot inverse temperature is $\beta_h = \frac{1}{\hbar\omega_f}$. For the optimal case the interactions are $\tilde{g}_i = 1.95$ and $\tilde{g}_f = 1.4$ such that the efficiency in the adiabatic limit is $\eta \approx 0.7$, and for the scale-invariant case the interactions are $\tilde{g}_i = \tilde{g}_f = 1.95$.

than in the scale-invariant case [see Fig. 8(c)]. Finally, one can note that the irreversible work generated by driving the interaction in the scale-invariant case is not very significant and therefore the efficiency in this case stays relatively close to the efficiency of the noninteracting case, even for short times.

V. CONCLUSION

In summary, we have investigated a quantum Otto heat engine, where the working medium is an interacting quantum system and the interaction is driven at the same time as the trap frequency of the system during the adiabatic strokes. In our case the interaction is a short-ranged and repulsive, and we have shown that it allows to change the performance of the engine and outperform a quantum Otto heat engine realized with noninteracting particles.

The performance of the engine is modified for two reasons: the first is that the interaction does not have the same effect on all eigenstates and therefore the eigenenergies do not change uniformly during the adiabatic strokes. This is in contrast to models where the energy shift due to the interactions is the same for all states, such as the Calogero-Sutherland model, and which do not diverge from the Otto efficiency in the adiabatic limit [15,18]. This means that our engine shows a different behavior for finite interaction values. The second reason is that the interaction affects the energy distribution which allows, for example, to lose less energy during the cold isochore. The interaction has, however, only a very small effect in the case of indistinguishable bosons, while it can significantly modify the engine performance for distinguishable particles due to the presence of odd eigenstates states that are not affected by the interaction. While the tiny influence of the interaction in the indistinguishable case would be extremely difficult to experimentally observe, the significant improvement obtained in the distinguishable case can be expected to

be experimentally measurable. Furthermore, we have found that the interactions mostly matter at low temperatures, and that increasing the number of particles does not seem to modify the influence of the interaction on the engine performance. In fact, it continues to enhance the work output and the efficiency in the case of distinguishable particles.

We have also studied nonadiabatic engine cycles of finite duration and quantified the tradeoff between the power and efficiency. We have shown that driving the trap and the interaction by keeping the WM scale-invariant generates less irreversible work which allows better performances in terms of power but also efficiency at short timescales. More generally, using an interacting system in a finite-time cycle is significantly advantageous in terms of power. Our work therefore shows the potential for developing QHEs that possess multiple control parameters which can be changed during the work strokes.

A number of interesting questions immediately emerge from our work. While we have only considered repulsive interactions, attractive interactions could potentially also lead to higher efficiencies. Furthermore, since the external potential has a strong influence on the spectrum, optimising the trapping potential would be an insightful study relating to the quantum nature of the engine [25]. Finally, considering long range interactions within the working medium [51] could lead to engines that show different behavior at higher temperatures.

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