

## Nanofocused x-ray photon correlation spectroscopy

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Here, we demonstrate an experimental proof of concept for nanofocused x-ray photon correlation spectroscopy, a technique sensitive to nanoscale fluctuations present in a broad range of systems. The experiment, performed at the NanoMAX beamline at MAX IV, uses a novel event-based x-ray detector to capture nanoparticle structural dynamics with microsecond resolution. By varying the nanobeam size from  $\sigma = 88$  nm to  $\sigma = 2.5$   $\mu\text{m}$ , we quantify the effect of the nanofocus on the small-angle scattering lineshape and on the diffusion coefficients obtained from nano-XPCS. We observe that the use of nanobeams leads to a multifold increase in speckle contrast, which greatly improves the experimental signal-to-noise ratio, quantified from the two-time intensity correlation functions. We conclude that it is possible to account for influence of the high beam divergence on the lineshape and measured dynamics by including a convolution with the nanobeam profile in the model.

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Diffraction-limited storage rings (DLSRs) exhibit unique properties due to the extremely low emittance, which allows us to create x-ray nanobeams that approach the diffraction-limit [1]. Facilitated by new technological advances, the realization of DLSRs has become possible in recent years, with MAX IV being the first fourth generation synchrotron light source to become operational. The unprecedentedly low emittance of DLSRs is enabled by the use of multibend achromat lattices, resulting in a substantial boost in x-ray brightness and transverse coherence compared with previous generation storage-rings [2]. In turn, this new capability opens up the field for a new class of experiments based on coherent x-ray techniques which benefit greatly from the increase of coherent flux [1].

X-ray photon correlation spectroscopy (XPCS) is an x-ray scattering technique that probes structural dynamics by utilizing the coherent properties of x-ray beams [3–7]. The dynamics are extracted by correlating the intensity in a time series of scattering images, which exhibit speckles due to the high degree of interference between the scattered x-ray waves. However, XPCS at third generation synchrotrons has been restricted to relatively slow dynamics occurring on timescales ranging from milliseconds to seconds [8–10], with limiting factors mainly being the detector frame-rate and the insufficient scattered intensity at the fastest accessible timescales.

Recent advancement in detector technologies, in conjunction with higher coherent flux provided by the new DLSRs like MAX IV, allows for XPCS to be extended to the microsecond regime [6,11,12]. Event-driven detectors, such as those based on the Timepix3 chip, have no direct temporal limitation related to the frame-rate and offer XPCS access to dynamics on nanosecond timescales [13–15].

In principle, nanosized x-ray beams can advantageously be combined with XPCS into so-called nano-XPCS, and allow increased insight into nanoscale fluctuations present in a broad range of systems, such as liquid mixtures [16–18], biological solutions [19–21], metallic liquids [22,23], surface self-organization and island growth [24,25], stress relaxation [26] as well as in magnetic systems [27–29]. The spatial sensitivity to local dynamical information in heterogeneous samples could potentially also be maximized by combining nanobeams with x-ray compatible microfluidic sample environments [30]. Furthermore, the use of nanobeams can drastically increase the speckle size and contrast, thus opening the possibility for compact XPCS experiments at shorter sample-to-detector distances and at larger momentum transfer  $Q$ . The latter would in addition benefit particularly from combination with fast event-driven detectors due to the faster dynamics probed at larger  $Q$ , i.e., at smaller length scales.

Previous x-ray experiments have either studied static samples with nanobeams [31–33] or probed dynamics with significantly larger micron-sized beams [6,11,12]. Even though previous numerical simulations estimate the impact of the use of nanobeams for measuring dynamics [34], these predictions have not hitherto been verified experimentally due to several obstacles when it comes to this approach. These are related to the high beam divergence required for the nanofocus geometry, the limitations in coherent flux, as well as possible sample

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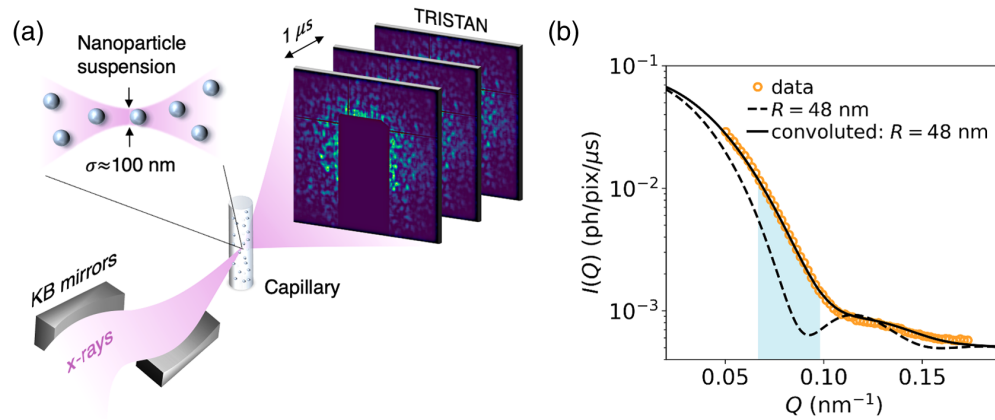


FIG. 1. (a) An illustration of the experimental scheme: The beam is focused using nanofocusing Kirkpatrick-Baez (KB) mirrors on the sample, consisting of nanoparticle suspensions in capillaries. The scattering data are recorded with the Tristan detector, reaching readout rates down to  $1 \mu\text{s}$ . The direct beam is blocked by a beamblocker, indicated by the dark region in the center of the detector. (b) The angularly integrated small-angle x-ray scattering (SAXS) intensity for silica nanoparticles in pure water measured with beam size  $\sigma = 88 \text{ nm}$ . Open circles denote experimental data while the lines refer to the model with the convolution of the beam profile (solid line) and without the convolution (dashed line) for particles with radius  $R = 48 \text{ nm}$ .

and optics instabilities that can influence the extracted signal [31].

Here, we demonstrate a proof of concept for nano-XPCS by utilizing the coherent x-rays of MAX IV. By the use of x-ray nanobeams at the NanoMAX beamline [35,36], combined with the state-of-the-art event-based Tristan detector [13–15], we are able to resolve the dynamics of nanoparticle suspensions with microsecond resolution. Moreover, by varying the beam size from  $\sigma = 88 \text{ nm}$  to  $\sigma = 2.5 \mu\text{m}$ , we compare the extracted temporal intensity autocorrelation ( $g_2$ ) function obtained from nano-XPCS with that from micron-sized focus XPCS. We demonstrate that the use of nanobeams leads to multifold increase in the speckle contrast, which enhances the resolution and signal-to-noise (SNR) of the experiment, quantified from the two-time correlation (TTC) functions. Even though the measured  $g_2$  relaxation times are influenced by the nanobeam divergence, we show that it is possible to account for this effect and recover the diffusion coefficient independent of the beam size.

A schematic of the experiment is illustrated in Fig. 1(a). XPCS measurements were carried out using a nanofocused x-ray beam in SAXS geometry at photon energy  $10 \text{ keV}$ , constant flux  $\Phi = 1.5 \times 10^{10} \text{ s}^{-1}$  and a sample-to-detector distance of  $4 \text{ m}$ , covering the momentum transfer  $Q$  range  $0.05\text{--}0.18 \text{ nm}^{-1}$ . Here,  $Q$  is defined as  $Q = \frac{4\pi}{\lambda} \sin(\frac{\theta}{2})$ , where  $\lambda$  is the x-ray wavelength and  $\theta$  is the angle between the incident beam and the detector pixel. The samples consisted of dilute silica nanoparticle suspensions contained in  $1\text{-mm}$ -diameter quartz capillaries. The nonfunctionalized silica nanoparticles were purchased from nanoComposix with  $49\text{-nm}$  radius (estimated from TEM) and dispersed in two different solvents; in pure milli-Q water ( $0.48 \text{ vol}\%$  nanoparticles) and in a  $33\text{-mol}\%$  mixture of Dimethyl Sulfoxide (DMSO) and water ( $0.16 \text{ vol}\%$  nanoparticles). The x-ray beam was nanofocused using a pair of Kirkpatrick-Baez (KB) mirrors to a focal beam size  $\sigma = 88 \text{ nm}$  (full-width half-maximum) with a beam divergence of  $1.2 \text{ mrad}$ , as described in more detail elsewhere [32]. To evaluate the effect of beam

size, XPCS experiments were performed at three different sample positions along the beam path: the  $1\text{-mm}$ -diameter sample capillary centered at the focus (beam size  $\sigma = 88 \text{ nm}$ ),  $1 \text{ mm}$  downstream ( $\sigma = 1.3 \mu\text{m}$ ) and  $2 \text{ mm}$  downstream ( $\sigma = 2.5 \mu\text{m}$ ) relative to the focus. It should be noted that since the edges of the capillary are  $\pm 0.5 \text{ mm}$  apart, the beam size within the sample varies with the beam divergence of  $1.2 \text{ mrad}$ , e.g., with the  $88 \text{ nm}$  focus in the center it increases to  $\approx 700 \text{ nm}$  at the edges.

Speckle patterns were recorded using a prototype Tristan detector from the Diamond Light Source, based on the Timepix3 chip [13,14]. The detector consists of  $2069 \times 515$  pixels with a pixel size of  $55 \times 55 \mu\text{m}^2$  with  $\approx 1.5 \text{ ns}$  nominal time resolution and  $475 \text{ ns}$  dead time per pixel. To acquire sufficient SNR, the individual pixels were binned to areas of  $10 \times 10$  pixels, yielding photon count rates in the order of  $10^{-3}\text{--}10^{-2} \mu\text{s}^{-1}$  per bin.

Figure 1(b) shows the angularly averaged SAXS intensity of the silica nanoparticles in pure water. To fit the SAXS lineshape we include in the model a convolution of the scattered intensity, derived from the particle form factor  $|F(Q, R)|$ , with the beam profile. This approach has been used previously for beams with high divergence, where a convolution with the point spread function (i.e., the beam profile) has been shown to affect the extracted lineshape [33,37]. Specifically, the angularly averaged SAXS intensity  $I$  is given by

$$I = I_0 * h, \quad (1)$$

where  $I_0 \propto |F(Q, R)|^2$  is the theoretical intensity and the point spread function  $h$  is described by a model of the beam profile in the detector plane (see Supplemental Material [38], Fig. S2). In the Supplemental Material [38] (see Sec. S1) we have additionally included a simulation that validates the above approximation to convolute sample and beam intensities, rather than more complex calculations involving amplitudes, for estimating the smearing effect of the nanobeam divergence.

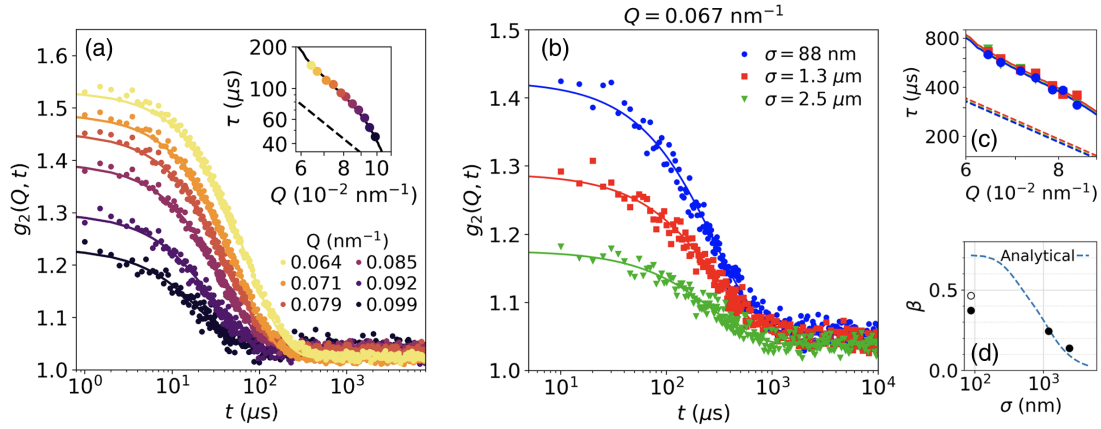


FIG. 2. (a) Intensity autocorrelation ( $g_2$ ) functions at different  $Q$  for silica nanoparticles in pure water measured at the beam focus ( $\sigma = 88$  nm). The inset shows the  $Q$  dependence of the average relaxation times  $\tau$  obtained from fits of the  $g_2$  functions to Eq. (3). The solid line denotes a fit to a model that incorporates a convolution with the divergent beam profile, while the dashed line represents the model in absence of the convolution. (b)  $g_2$  functions for silica nanoparticles in DMSO-water solution measured at different beam sizes ( $Q = 0.067$  nm $^{-1}$ ). (c) The  $Q$  dependence of the average relaxation times  $\tau$  obtained from fits of the  $g_2$  functions in panel (b) to Eq. (3). (d) Speckle contrast  $\beta$  versus beam size  $\sigma$ . The experimental  $\beta$  values from silica nanoparticles in water (open black circle) and DMSO-water (black circles), extracted from the fits to the  $g_2$  functions ( $Q = 0.067$  nm $^{-1}$ ) are compared with an analytical estimate (dashed line).

We find that the experimental data (orange open circles) overall show good agreement with the model (black solid line) based on a Schulz distribution, commonly used to describe particle size distributions [39,40], for spherical particles with fitted mean radius  $R = 48$  nm and polydispersity  $\Delta R/R \approx 8\%$  (standard deviation of the size distribution). For comparison, Fig. 1(b) additionally shows the scattered intensity calculated from the particle form factor without the convolution (dashed line) that would result from a low-divergence beam. The difference between the two lineshapes is striking and highlights the effect of the divergent nanobeam on SAXS measurements. In particular, the beam divergence causes a smearing out of the scattered intensity lineshape towards larger momentum transfer  $Q$ , consistent with previous observations [33]. The blue-shaded region indicates the momentum transfer range  $Q \approx 0.06$ – $0.10$  nm $^{-1}$  where the XPCS analysis is performed.

The nanoparticle dynamics were analyzed by calculating the temporal intensity autocorrelation ( $g_2$ ) function, which is defined as [41]

$$g_2(Q, t) = \frac{\langle I(Q, t_0)I(Q, t_0 + t) \rangle}{\langle I(Q, t_0) \rangle^2}, \quad (2)$$

where  $I(Q, t_0)$  and  $I(Q, t_0 + t)$  denote the intensity of a pixel at time  $t_0$  and after delay time  $t$ , respectively. The bracket notation refers to averaging over time  $t_0$  and pixels that belong to a given  $Q$  bin, i.e., a thin radial slice around the beam center corresponding to vector values  $\mathbf{Q}$  in a frame of scattered intensity. The  $g_2$  functions are fit to single exponential functions of the form

$$g_2(Q, t) = \beta \exp(-2t/\tau) + c, \quad (3)$$

where  $\tau$  is the relaxation time,  $\beta$  is the speckle contrast which depends on experimental parameters, such as the coherence of the x-ray beam [42], and  $c$  is the offset.

Figure 2(a) shows the intensity autocorrelation functions ( $g_2$ ) of nanoparticles in pure water measured in the beam

focal plane ( $\sigma = 88$  nm) at different momentum transfer values  $Q$ . The relaxation times  $\tau$  exhibit a  $Q$  dependence, as shown in the inset. To recover accurate diffusion coefficients, one needs to fit a model that incorporates the convolution of the relaxation times with the beam profile, due to the large beam divergence of 1.2 mrad. In particular, the experimental relaxation times are obtained by convoluting the theoretical relaxation times  $\tau_0$ , weighted by the theoretical intensity  $I_0$ , with the point-spread function  $h$  (see Supplemental Material [38], Sec. S1):

$$\tau = (\tau_0 I_0) * h / (I_0 * h). \quad (4)$$

For simple diffusion, the theoretical relaxation time is given by  $\tau_0^{-1} = DQ^2$ , where  $D$  is the translational diffusion coefficient. We find that such a model can accurately reproduce the experimental results, as indicated in the insets (solid line), and can account for the measured relaxation times and the deviation from the typical diffusive behavior  $\tau^{-1} \propto Q^2$ , contrary to the analysis without the convolution (dashed line).

From the fitted diffusion coefficient in water,  $D = (3.6 \pm 0.1)$  nm $^2/\mu$ s, we further calculate the hydrodynamic radius  $R_h$  based on the known solvent viscosity  $\eta$  and temperature  $T$  by the *Stokes-Einstein relation* [43],

$$D = \frac{k_B T}{6\pi R_h \eta}, \quad (5)$$

Using the viscosity of water at room temperature, we determine the hydrodynamic radius for the nanoparticles in pure water to  $R_h = 62 \pm 1$  nm, which is larger than the radius extracted from SAXS [see Fig. 1(b)]. It is possible that the SAXS lineshape is less sensitive to the presence of nanoparticle aggregates than the dynamics extracted from XPCS analysis, which could explain the difference between  $R$  (SAXS) and  $R_h$  (XPCS). The deduced value of  $R_h$  is consistent with the hydrodynamic radius  $R_h = 63 \pm 1$  nm measured from dynamic light scattering (see Supplemental Material [38], Sec. S2).

Furthermore, we investigate the effect of beam size on the measured nanoparticle dynamics. Figure 2(b) displays the intensity autocorrelation ( $g_2$ ) functions ( $Q = 0.067 \text{ nm}^{-1}$ ) for silica nanoparticles suspended in a 33-mol% DMSO-water mixture for varying beam sizes,  $\sigma = 88 \text{ nm}$  (in the focal plane),  $\sigma = 1.3 \text{ }\mu\text{m}$  and  $\sigma = 2.5 \text{ }\mu\text{m}$ , which correspond to different sample positions along the nanofocused beam. Here, we observe that the nanobeam leads to a substantial increase in the speckle contrast in comparison with micron-sized focus, and increases from  $\beta = 0.12$  for  $\sigma = 2.5 \text{ }\mu\text{m}$  to  $\beta = 0.36$  for  $\sigma = 88 \text{ nm}$ . This effect is attributed to the size ratio of the speckles  $S$  and the binned detector pixels  $P$ , since the speckle size is inversely proportional to the beam size  $\sigma$  by  $S = \lambda L / \sigma$ , where  $L$  is the sample-to-detector distance and  $\lambda$  is the x-ray wavelength [44]. For nanobeam sizes, we are in the regime where  $S/P > 1$ , which can explain the increase in contrast.

It is possible to analytically estimate the expected speckle contrast based on x-ray optics calculations [42,44]. Here, we compare the experimental contrast with an analytical estimate using the formalism in Refs. [44,45] for the experimental conditions used (see Supplemental Material [38], Sec. S3). The transverse coherence length  $\epsilon$  in the sample planes used in the analytical contrast calculations was estimated based on the divergence  $\alpha$  and x-ray wavelength  $\lambda$  by  $\epsilon = \lambda / \alpha$  [46,47]. The estimated contrast as a function of beam size is shown in Fig. 2(d). We observe that the multifold contrast increase is also reproduced theoretically in qualitative agreement with the experimental data. The observed deviation of the experimental contrast from the theoretical estimate (dashed line) for the smallest beam size (the sample centered at the focal plane) can be attributed to the high divergence and beam size variation along the optical axis. In particular, the analytical estimate does not directly include any effects due to the high beam divergence (except for averaging the varying beam sizes within the sample, see Supplemental Material [38], Eq. S.11) where, for instance, the theoretical formula relies on the Fraunhofer diffraction in the far-field limit. The influence of the nanobeam divergence and the beam size variation along the optical path are most pronounced when the sample is centered at the nanofocus (see Supplemental Material [38], Fig. S4), which can explain the discrepancy between the calculated and measured contrast. Reducing the sample thickness along the optical axis would likely minimize the discrepancy while at the same time maximize the measured contrast, along with increased spatial resolution.

Similar to the nanoparticle-water suspension discussed above [Fig. 2(a)], we determine the particle hydrodynamic radius of the nanoparticles in DMSO-water measured in the focal plane, using the Stokes-Einstein relation and the viscosity of the mixture at room temperature [48], which yields  $R_h = 61 \pm 1 \text{ nm}$ , consistent with the measurements in pure water. As indicated in Fig. 2(c), we do not observe any significant variation of the relaxation times, nor of the normalized  $g_2$  functions (see Supplemental Material [38], Sec. S4), as a function of the beam size. From the absence of beam size dependence in the measured dynamics, as well as in the SAXS profile (see Supplemental Material [38], Sec. S5), we exclude any significant influence from number density fluctuations (see Supplemental Material [38], Sec. S6) [49–51] and

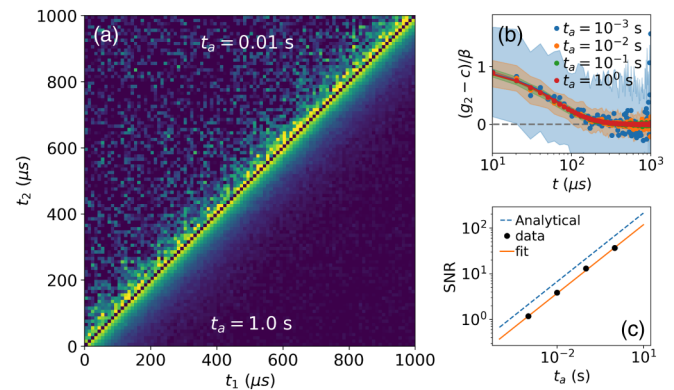


FIG. 3. (a) Two-time correlation (TTC) functions at  $Q = 0.066 \text{ nm}^{-1}$  for silica nanoparticles in water at the beam focus ( $\sigma = 88 \text{ nm}$ ) averaged over an acquisition time (top)  $t_a = 0.01 \text{ s}$  and (bottom)  $t_a = 1 \text{ s}$ . (b) The average correlation function ( $g_2$ ) obtained by averaging the antidiagonals of the TTC for various acquisition times  $t_a$ . The shaded areas denote the noise level. (c) Signal-to-noise ratio (SNR) of TTCs as a function of acquisition time. The experimental SNR (black dots) agrees with the analytical estimate (blue dashed line).

beam-induced effects [20,52,53], such as nanoparticle aggregation or heating due to the nanofocused beam.

To investigate whether nano-XPCS can be used for probing heterogeneous dynamics and nanoscale fluctuations we calculate the TTC [54], which is defined as

$$c_2(Q, t_1, t_2) = \frac{\langle I(Q, t_1)I(Q, t_2) \rangle_{\text{pix}}}{\langle I(Q, t_1) \rangle_{\text{pix}} \langle I(Q, t_2) \rangle_{\text{pix}}}, \quad (6)$$

where  $I(Q, t_1)$  and  $I(Q, t_2)$  denote the intensity of a pixel at distinct times  $t_1$  and  $t_2$ . The subscript “pix” implies that, contrary to the  $g_2$  definition, the averaging is in this case solely performed over pixels and not over time.

Figure 3(a) shows the TTCs measured with nanoparticles in water at the focus position ( $\sigma = 88 \text{ nm}$ ) with  $10 \text{ }\mu\text{s}$  time resolution. For the corresponding TTCs in the DMSO-water solution we refer to Supplemental Material [38], Sec. S7. The extracted dynamics quantified by the TTC is consistent with the  $g_2$  analysis, indicating that it is possible to accurately extract the TTC with nanofocused beams. The TTC was further evaluated by computing the average  $\langle c_2 \rangle$  and the standard deviation ( $\sigma_{c_2}$ ) from the antidiagonals that emanate from the main diagonal of the TTC, and by analyzing the SNR,  $\beta / \sigma_{\beta}$ , where  $\beta$  is the contrast, i.e., the initial value of  $\langle c_2 \rangle / \sigma_{c_2}$ . The SNR is improved by averaging several TTCs, as can be observed by comparison of the upper and lower half of Fig. 3(a), where the former is computed with an acquisition time of  $t_a = 0.01 \text{ s}$  and the latter with  $t_a = 1 \text{ s}$ . Here, we define the acquisition time as  $t_a = N_{TTC} t_{fr}$ , where  $N_{TTC}$  is the number of TTCs used for the averaging and  $t_{fr}$  is the single-frame exposure time. As the acquisition time increases, from  $t_a = 1 \text{ ms}$  to  $t_a = 1 \text{ s}$ , the SNR increases by  $t_{fr} \sqrt{N_{TTC}}$  [see Fig. 3(b)]. Moreover, the experimental SNR in Fig. 3(b) (black dots) shows good agreement with the analytical estimation (blue dashed line) based on the contrast ( $\beta$ ), average intensity per pixel per second ( $I_{\text{pix}}$ ), number of pixels ( $N_{\text{pix}}$ ) and the number of TTCs ( $N_{TTC}$ ), where the SNR is given by



$\text{SNR} = \beta I_{\text{pix}} t_{fr} \sqrt{N_{TTC} N_{\text{pix}}}$  [8]. From the analysis of the TTC functions, one can conclude that sufficient SNR ( $\text{SNR} > 10$ ) for the studied system and experimental conditions is achieved for  $t_a \geq 0.1$  s in the small- $Q$  range in  $Q = 0.06\text{--}0.08$  nm<sup>-1</sup>.

In conclusion, we have demonstrated a proof of concept for nano-XPCS by utilizing the coherent x-ray properties at MAX IV. The experiment presented here is the first experimental demonstration of dynamic measurements using nanobeams, as previous experimental studies in the literature have used nanobeams for imaging applications of static samples [31–33]. The use of nanobeams results in a significant increase in the speckle contrast, which translates to a multifold improvement in the SNR. With state-of-the-art event-based Tristan x-ray detector, we are able to measure the dynamics of nanoparticle suspensions with microsecond resolution. Furthermore, we demonstrate how to solve the critical problem of nanobeam divergence and that it is feasible to recover the diffusion coefficients, thus obtaining nanoscale dynamic information with multifold increase in SNR and spatial sensitivity. Finally, by analyzing the TTC functions we quantify the SNR and provide analytical predictions for designing nano-XPCS experiments. The advantage of nanobeams for SNR improvements in XPCS measurements can be summarized as follows: reducing the size of the beam increases the size of the speckles. Large speckle size allows for shorter sample-to-detector distance, or alternatively, binning of pixels in the area detector, without significant loss of speckle resolution. This results in higher intensities measured in each speckle, which improves the statistics in estimating the time correlations. With a larger coherent beam, the increase of the number of independent speckles in the area detector cannot fully compensate for their lower intensity. In addition, the gain in speckle contrast from using nanobeams can be utilized to

access dynamics by XPCS at larger momentum transfer  $Q$ , i.e., at smaller length scales, which at the same time minimizes the influence of the nanobeam divergence, as would be important for more complex sample systems.

These experimental results demonstrate that coherent nanobeams can be used to enhance sensitivity to nanoscale fluctuations, present in a broad range of systems across fields, by combining structural information obtained from nanobeam x-ray scattering with dynamic content acquired from correlation analysis. Moreover, the combination of nanobeams with increased resolution along the optical axis, by minimizing the sample thickness e.g., using x-ray compatible microfluidic sample environments [30], could unlock the full potential for locally probing spatially heterogeneous dynamics with nano-XPCS.

The datasets generated during and/or analyzed during the current study are openly available in the figshare repository with [55].

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