Floquet-Landau-Zener interferometry: Usefulness of the Floquet theory in pulse-laser-driven systems

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We develop the Landau-Zener transfer matrix theory for the instantaneous Floquet states (IFSs) for quantum systems driven by a strong pulse laser. Applying this theory to the pulse excitation probability in two-level quantum systems, we show unexpectedly good quantitative agreement for few-cycle pulses. This approach enables us to qualitatively understand the probability's peculiar behaviors as quantum path interference between IFSs. We also study the pulse-width dependence, finding that this Floquet-state interpretation remains useful for shorter pulses down to two-cycle ones in the present model. These results imply that the Floquet theory is meaningful in experimental few-cycle lasers if applied appropriately in the sense of IFSs.

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I. INTRODUCTION

Intense few-cycle laser fields have opened opportunities for studying strong light-matter interactions and for optically controlling material properties [1]. Among various approaches, Floquet engineering is an emerging concept in optical material control, in which the time-oscillating nature of fields is utilized [2–4]. The guiding principle is Floquet theory [5–7], which governs solutions of time-dependent Schrödinger equations (TDSEs) under perfectly periodic external fields, i.e., infinitely long pulses.

However, it has not been fully clarified yet when and how Floquet theory is justified under external pulse fields available in experiments. In this direction, Holthaus and co-workers developed the instantaneous-Floquet-state (IFS) formalism in their pioneering works [8–11]. Rather than approximating a pulse field crudely by a continuous wave, this formalism utilizes the Floquet states as instantaneous basis states, on which the actual quantum state evolves adiabatically or diabatically during the pulse. The IFS formalism was also applied to dissociation of molecules by chirped laser pulses [12,13]. Applications in molecular physics include a coalescence of photodissociation resonance states [14], which were well interpreted with the IFS formalism [15,16], and a light-induced conical intersection in molecular energy surfaces [17]. While the theory was elegantly formulated and helps us to interpret adiabatic dynamics caused by pulse lasers [11,13], quantitative characterizations of diabatic dynamics have not been fully explored yet.

In this paper, we further develop the IFS formalism and find the situations where this formalism appropriately describes quantum dynamics under strong pulse fields. In particular, we introduce the Landau-Zener-type transfer matrices in the Floquet extended Hilbert space, describing multiple transitions between the IFSs quantitatively correctly. We apply our theory to two-level quantum systems driven by strong pulse fields, showing its applicability and usefulness. Recent studies showed that the pulse excitation probability of two-level systems exhibits peculiar parameter dependence [18–20], but its mechanisms have yet to be uncovered. Our theory allows us to interpret this peculiar behavior qualitatively as quantum path interference between adiabatically evolving IFSs, and this interpretation is justified even quantitatively. We also study the pulse-width dependence, finding that those Floquet-state interpretations remain valid for shorter pulses down to two-cycle ones in the present model. These results imply that Floquet theory is meaningful in experimental few-cycle lasers if applied appropriately in the sense of IFSs.

The rest of this paper is organized as follows. In Sec. II, we introduce the pulse excitation problem in a two-level quantum system and demonstrate that the excitation probability exhibits complex behaviors when we vary the pulse strength and the two levels' energy difference. To uncover the underlying mechanisms of these behaviors, we review the IFS formalism and develop the Floquet-Landau-Zener (FLZ) theory using transfer matrices for the Floquet Hamiltonian in Sec. III. In Secs. IV and V, we implement the FLZ theory numerically, showing its quantitative success in calculating the pulse excitation probability. We elucidate that the complex behaviors introduced in Sec. II originate from quantum path interference among IFSs. We also show that these Floquet-based interpretations remain valid for unexpectedly short pulses, including two-cycle pulse lasers. Finally, in Sec. VI we summarize our results and list some open problems for future study.

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II. FORMULATION OF THE PROBLEM

For concreteness, we consider an abstract two-level quantum system in strong pulse fields. Being an effective model in various physical systems, the driven two-level system may represent, e.g., lasing of N₂ molecules [18–20], two-band electrons in semiconductors [21], and nitrogen-vacancy centers in diamonds [22], to name a few. Throughout this paper, we suppose that the Hamiltonian is given by

$$H_{\text{pulse}}(t) = \frac{b}{2}\sigma_z + a(t)V(t).$$
(1)

Here, b (>0) is the energy difference between the two levels $|\uparrow\rangle$ and $|\downarrow\rangle$. The coupling to the external field consists of the periodic part V(t + T) = V(t) and the pulse envelope with peak height a_0 (>0),

$$a(t) = a_0 f(t), \tag{2}$$

where *T* is the period and we define its corresponding angular frequency as $\omega \equiv 2\pi/T$. We assume that the envelope is positive and normalized so that $\max_t f(t) = 1$ and $f(t) \rightarrow 0$ ($t \rightarrow \pm \infty$). For concreteness, we focus on the following prototypical coupling term:

$$V(t) = \cos(\omega t)\sigma_x, \tag{3}$$

which naturally arises in, e.g., two-level atoms coupled to linearly polarized lasers. We discuss, in Appendix A, modified problems corresponding to circular and elliptic polarizations. We also specify, for concreteness, the envelope to be Gaussian (generalization to other envelopes is straightforward),

$$f(t) = \exp\left[-\left(\frac{t}{\nu T}\right)^2\right],\tag{4}$$

where the dimensionless parameter ν (>0) represents the pulse width in units of *T*. Namely, we consider a ν -cycle pulse with the envelope (4).

Our problem to address is the following. Suppose that our initial state at $t = -\infty$ is the ground state $|\Psi(-\infty)\rangle =$ $|\downarrow\rangle$, which evolves in time according to the time-dependent Schrödinger equation (TDSE)

$$i\frac{d}{dt}|\Psi(t)\rangle = H_{\text{pulse}}(t)|\Psi(t)\rangle$$
(5)

to become

$$|\Psi(+\infty)\rangle = \exp_{+}\left(-i\int_{-\infty}^{\infty}H_{\text{pulse}}(\tau)d\tau\right)|\downarrow\rangle \qquad (6)$$

after the pulse ($\hbar = 1$ throughout this paper, and exp₊ denotes the time-ordered exponential). Then, we wish to determine the final weight of the excited state

$$P_{\uparrow} = |\langle \uparrow | \Psi(+\infty) \rangle|^2. \tag{7}$$

Despite this simple setup, P_{\uparrow} variously changes depending on the energy level difference *b*, coupling energy *a*, driving frequency ω , and pulse width ν . Throughout this paper, we set $\omega = 1$ as the energy unit and treat *a* and *b* as dimensionless parameters. We show in Fig. 1 the results of P_{\uparrow} in terms of the numerical integration of the TDSE (5) with frequency $\omega = 1$ and pulse width $\nu = 6$. At weak coupling $a_0 \ll 1$, P_{\uparrow}



FIG. 1. Excitation probability P_{\uparrow} plotted against the pulse peak height a_0 and the energy level difference *b* with pulse width $\nu = 6$.

becomes significant only near resonance $b/\omega \simeq 1$. This parameter regime is well described by the rotating-wave approximation, and the oscillating behavior of P_{\uparrow} is understood in relation to the Rabi oscillation [23].

Furthermore, away from the resonance and at strong couplings, there is a complex pattern of the region for nonvanishing P_{\uparrow} . For example, as a_0 increases with b = 2.5 held fixed, P_{\uparrow} suddenly grows up at $a_0 \sim 1.0$, shows clear oscillations up to $a_0 \sim 3.0$, and then exhibits irregular behaviors at $a_0 \gtrsim 3.0$. The sudden upward growth was shown, in the pioneering works by Holthaus and co-workers [8–10] (described in Ref. [11]), to be due to avoided crossing structures of Floquet quasienergies as we will review below in Sec. III A. However, the oscillations and irregular behaviors have not been well studied. In the following, we extend the theory of Holthaus and co-workers by combining the Landau-Zener transfer matrix and elucidating those complex behaviors of P_{\uparrow} in the whole parameter region.

III. LANDAU-ZENER-STÜCKELBERG THEORY FOR FLOQUET STATES

A. Instantaneous Floquet states

The key to understanding the complex dynamics is using the basis of the instantaneous Floquet states (IFSs) [9,11], which we briefly review here. We note that this formulation was generalized, in Refs. [10,12,13], to the case where ω also varies slowly.

The Floquet states are defined by the solutions to the timedependent Schrödinger equation for a continuous wave rather than a pulse. Namely, according to Floquet theory [6,7], the two independent solutions to

$$\frac{d}{dt} |\psi(t)\rangle = H_{\rm cw}(a,t) |\psi(t)\rangle \tag{8}$$

with

$$H_{\rm cw}(a,t) = \frac{b}{2}\sigma_z + aV(t) \tag{9}$$

can be written in the following forms:

$$|\psi_m(a,t)\rangle = e^{-i\epsilon_m(a)t} |u_m(a,t)\rangle \quad (m=1,2).$$
 (10)

Note that $H_{cw}(a, t)$ is obtained by replacing the envelope a(t) in $H_{pulse}(t)$ by a constant a (i.e., replacing the



FIG. 2. Quasienergies for b = 2.5 plotted against coupling strength *a*. Solid (dashed) curves show those for Floquet states of $m = 2 \ (m = 1)$ approaching $|\downarrow\rangle (|\uparrow\rangle)$ as $a \to 0$. Five Floquet replicas are presented, and the double indices below each curve represent $\alpha = (m, l)$.

Gaussian pulse by a continuous wave). In Eq. (10), $|u_m(a,t)\rangle = |u_m(a,t+T)\rangle$ are periodic and called the Floquet states, and the real numbers $\epsilon_m(a)$ are quasienergies. We explicitly put the dependence on the coupling strength *a* on the Floquet states and quasienergies that will play crucial roles.

We remark upon the famous replicas of Floquet states. Note that Eq. (10) can also be written as $|\psi_m(a,t)\rangle = e^{-i[\epsilon_m(a)+l\omega]t}e^{il\omega t} |u_m(a,t)\rangle$ for an arbitrary integer $l \in \mathbb{Z}$. Being periodic,

$$|u_{m,l}(a,t)\rangle \equiv e^{il\omega t} |u_m(a,t)\rangle \quad (m=1,2)$$
(11)

are all Floquet states, and their quasienergies are given by

$$\epsilon_{m,l}(a) \equiv \epsilon_m(a) + l\omega. \tag{12}$$

In Fig. 2, we plot the quasienergies with replicas numerically obtained for $b/\omega = 2.5$. They show avoided crossings near a = 1.0 and 3.0, where two quasienergies repel each other. This is a manifestation of strong hybridization between $|\uparrow\rangle$ and $|\downarrow\rangle$, and we will discuss, in detail, how this hybridization leads to the complex pattern in Fig. 1. We remark that the quasienergies of m = 1 and 2 do not repel, but cross near a = 2.2, which is due to a selection rule prohibiting hybridization (see Appendix A 2 for its analytic explanation using the elliptic polarization).

Although these replicas lead to the same solution to the Schrödinger equation (8), they are all necessary when one expands an arbitrary periodic function F(t) with Floquet states. In other words, the replicas satisfy the completeness relation

$$\sum_{m=1,2}\sum_{l=-\infty}^{\infty} |u_{m,l}(a,t)\rangle \langle u_{m,l}(a,t')| = T\delta_T(t-t')I, \quad (13)$$

where $\delta_T(t) \equiv \sum_{n=-\infty}^{\infty} \delta(t - nT)$ and *I* is the identity operator.

The IFS formalism is to expand the solution for the pulse problem $|\Psi(t)\rangle$ in terms of the Floquet states:

$$|\Psi(t)\rangle = \sum_{\alpha} c_{\alpha}(t) |u_{\alpha}(a(t), t)\rangle, \qquad (14)$$

where $\alpha = (m, l)$ is a shorthand notation for the double indices. Substituting Eq. (14) into Eq. (5), we have the time-evolution equation for the expansion coefficients (see Appendix B 1),

$$i\frac{dc_{\alpha}(t)}{dt} = \sum_{\beta} \mathcal{H}_{\alpha\beta}(a(t))c_{\beta}(t), \qquad (15)$$

where $\mathcal{H}_{\alpha\beta}(a(t))$ is the infinite-dimensional "Hamiltonian" defined by

$$\mathcal{H}_{\alpha\beta}(a(t)) \equiv \delta_{\alpha\beta}\epsilon_{\alpha}(a(t)) - i\frac{da}{dt}\mathcal{G}_{\alpha\beta}(a(t)), \qquad (16)$$

$$\mathcal{G}_{\alpha\beta}(a) \equiv \int_0^T \frac{dt}{T} \left\langle u_\alpha(a,t) | \partial_a | u_\beta(a,t) \right\rangle.$$
(17)

Here, $\partial_a \equiv \partial/\partial a$, and we have assumed that $|u_{\alpha}(a, t)\rangle$ are differentiable for *a* by requiring the gauge-fixing condition $\langle u_{\alpha}(a, t) | \partial_a | u_{\alpha}(a, t) \rangle = 0$. Equation (16) means that the Hamiltonian in the extended (Sambe [7]) space has the quasienergies in its diagonal elements, and $\mathcal{G}_{\alpha\beta}$ causes transitions between the Floquet states.

We remark upon an ambiguity in expanding the physical state $|\Psi(t)\rangle$ in terms of the Floquet replica index l. To work in the IFSs, we fix the initial values of $c_{\alpha}(t)$ by $|\Psi(t_{\text{ini}})\rangle = \sum_{\alpha} c_{\alpha}(t_{\text{ini}}) |u_{\alpha}(a(t_{\text{ini}}), t_{\text{ini}})\rangle$, which has an infinite number of solutions due to the Floquet replicas. However, when we calculate physical observables such as $|\langle \uparrow | \Psi(t_{\text{fin}}) \rangle|^2$, the results do not depend on which initial condition is used [10] (see Appendix B 2 for a proof of this independence). Intuitively, this independence is based on the fact that the ambiguity happens only between the physically equivalent states. Thus, in the following, we assume that only one l is weighted in the initial condition.

This formalism helps us to interpret physical results. Following Ref. [11], let us interpret how P_{\uparrow} suddenly grows up at $a_0 \sim 1.0$ as a_0 increases from zero at, e.g., b = 2.5. Initially $(t \to -\infty)$, our state is $|\downarrow\rangle$, and the Floquet states there coincide with the energy eigenstates $|\uparrow\rangle$ and $|\downarrow\rangle$ since the coupling vanishes: $a(t = -\infty) = 0$. Thus we can set the initial state in the extended space as $c_{m=2,l=0}(-\infty) = 1$ and $c_{\alpha}(-\infty) = 0$ for $\alpha \neq (2, 0)$. Then, this state evolves according to Eq. (15), where the coupling envelope a(t) slowly varies. Graphically, our initial state lies at a single left end of a solid curve in Fig. 2, and it goes right as a increases with time. This evolution is adiabatic and transitions between α 's are unlikely as long as $-i\frac{da}{dt}\mathcal{G}_{\alpha\beta}(a(t))$ in Eq. (16) is negligible. For slowly varying a(t), this condition breaks down at the first avoided crossing point $a = a_{AC,1} \sim 1.0$, where a part of the wave function is transferred to another state represented by a dashed curve. Therefore, for $a_0 < a_{AC,1}$, the whole dynamics is adiabatic, the final state is almost the same as the initial state, and $P_{\uparrow} \sim 0$. Meanwhile, for $a_0 > a_{AC,1}$, the state experiences transitions twice, the final state is a superposition of the states on the solid and dashed curves in Fig. 2, and P_{\uparrow} becomes nonvanishing. This is the IFS interpretation for the sudden increase in P_{\uparrow} along, e.g., b = 2.5 in Fig. 1.

B. Transfer matrices

One is naturally led to the following question: Does the IFS viewpoint allow us to understand the whole complex

structure in Fig. 1? To the authors' knowledge, although the Landau-Zener-like transition probability at a single passage of an avoided crossing was analyzed [10], the interference pattern has not been well studied. Our aim is to introduce the Landau-Zener-Stückelberg transfer matrix method in the extended space and to show that the IFS formalism is very powerful even quantitatively.

As we discussed at the end of Sec. III A, the Hamiltonian $\mathcal{H}(a(t))$ depends on time through the envelope a(t), and its eigenvalues (quasienergies) form avoided crossings. In applying the transfer matrix method to the IFSs (see Appendix C for this method in the conventional sense), we need two generalizations: (i) There are quasienergy replicas of avoided crossings, and (ii) the system passes avoided crossings multiple times in $-\infty < t < \infty$ when the pulse peak a_0 is large.

Suppose that there are N (>0) avoided crossing points denoted by $\{a_{AC,n}\}_{n=1}^N$ below the pulse peak height a_0 and they are in ascending order: $0 < a_{AC,1} < a_{AC,2} < \cdots < a_{AC,N} <$ a_0 . For example, we have N = 2 for $a_0 = 3.5$ in Fig. 2. Correspondingly, we define the crossing times $t_{AC,n}$ (>0) by

$$a(t_{AC,n}) = a_0 f(t_{AC,n}) = a_{AC,n}$$
 (n = 1, 2, ..., N). (18)

For simplicity, we assume that the envelope is even, f(-t) =f(t), and monotonically decreasing in $t \ge 0$ as the Gaussian envelope is. Then, the crossings happen also at t = $-t_{AC,n}$ (*n* = 1, 2, ..., *N*), and we have

$$-t_{AC,1} < \dots < -t_{AC,N} < 0 < t_{AC,N} < \dots < t_{AC,1}.$$
 (19)

At the *n*th crossing point, the transfer matrix T_n , which will be defined in Eq. (21), connects the state vectors before and after the crossing as

$$\vec{c} (t = t_{AC,n}^+) = \mathcal{T}_n \vec{c} (t = t_{AC,n}^-).$$
 (20)

Here, $\vec{c}(t)$ is the vector notation for $c_{\alpha}(t)$'s, $t_{AC,n}^{\pm} \equiv t_{AC,n} \pm 0$ (we will also use $-t_{AC,n}^{\pm} \equiv -t_{AC,n} \pm 0$), and \mathcal{T}_n is an infinitedimensional matrix given as follows. The avoided crossing occurs between a pair of Floquet states, which we label as (m_U, l_U) and (m_L, l_L) . Here, the subscript U (L) denotes the upper (lower) levels at the crossing. For example, $(m_{II}, m_{I}) =$ (2, 1) and (1, 2) at the first and second crossings, respectively, in Fig. 2. In this notation, the nonzero matrix elements of T_n are given as

$$(\mathcal{T}_n)_{\alpha\beta} = \begin{pmatrix} \sqrt{1 - P_n} e^{-i\varphi_n^S} & -\sqrt{P_n} \\ \sqrt{P_n} & \sqrt{1 - P_n} e^{i\varphi_n^S} \end{pmatrix}_{\alpha\beta}, \quad (21)$$

where $\alpha = (m_U, l_U)$ and (m_L, l_L) correspond to the first and second rows, respectively. The two parameters P_n and φ_n^S are the Landau-Zener transition probability and the Stokes phase for the *n*th avoided crossing (see Appendix C for the conventional case),

$$P_n = \exp(-2\pi\delta_n),\tag{22}$$

$$\delta_n = \frac{\Delta_n^2}{4v_n},\tag{23}$$

$$\varphi_n^S = -\frac{\pi}{4} + \delta_n \ln(\delta_n - 1) + \arg \Gamma(1 - i\delta_n).$$
(24)

Here, Δ_n and v_n are the quasienergy gap and the passing speed at the *n*th avoided crossing, respectively. These parameters are ľ

defined in the approximate form of the pair quasienergies near $t = t_{AC,n}$ (in the leading-order approximation for $t - t_{AC,n}$),

$$\epsilon_{\alpha}(a(t)) \simeq \operatorname{const} \pm \sqrt{\left(\frac{\Delta_n}{2}\right)^2 + \left[\frac{v_n(t - t_{AC,n})}{2}\right]^2} \quad (25)$$
$$\simeq \operatorname{const} \pm \left[\frac{\Delta_n}{2} + \frac{v_n^2(t - t_{AC,n})^2}{4\Delta_n}\right], \quad (26)$$

for $\alpha = (m_U, l_U)$ and (m_L, l_L) . Note that Δ_n and v_n are well defined in that they are the same for every Floquet replica.

To obtain Δ_n and v_n in practice, we expand $\epsilon_{\alpha}(a)$ around $a = a_{AC,n}$. Since $d\epsilon_{\alpha}(a)/da$ vanishes at the avoided crossing, we have the following second-order series expansion: $\epsilon_{\alpha}(a(t)) \simeq \epsilon_{\alpha}(a_{\mathrm{AC},n}) + \frac{1}{2}(d^{2}\epsilon_{\alpha}(a)/da^{2})(da/dt)^{2}(t-t_{\mathrm{AC},n})^{2},$ where $d^2 \epsilon_{\alpha}(a)/da^2$ and da/dt are evaluated at $a = a_{AC,n}$ and $t = t_{AC,n}$, respectively. Comparing this with Eq. (26), we have

$$\Delta_n = \epsilon_{(m_U, l_U)}(a_{\mathrm{AC}, n}) - \epsilon_{(m_L, l_L)}(a_{\mathrm{AC}, n}), \qquad (27)$$

$$v_n = \sqrt{2\Delta_n \left| \frac{d^2 \epsilon_\alpha(a)}{da^2} \right|} \left| \frac{da}{dt} \right|, \tag{28}$$

where α is either (m_U, l_U) or (m_L, l_L) that give the same $|d^2\epsilon_{\alpha}(a)/da^2|$. One can obtain these parameters by numerical fitting as we will implement in Sec. IV or by analytical calculations for some special cases as we will demonstrate in Appendix A.

Except for the crossing points, the evolution is assumed to be mere phase acquisitions due to the first term on the righthand side of Eq. (16). In the vector notation, we have

$$\vec{c}(t = t_{AC,n}^{-}) = \mathcal{U}_{n,n+1}\vec{c}(t = t_{AC,n+1}^{+}),$$
 (29)

where $U_{n,n+1}$ is diagonal and

$$(\mathcal{U}_{n,n+1})_{\alpha\alpha} = \exp\left[-i\int_{t_{\mathrm{AC},n+1}}^{t_{\mathrm{AC},n}} ds\epsilon_{\alpha}(a(s))\right].$$
 (30)

convenience, define $(\mathcal{U}_{N,N+1})_{\alpha\alpha} =$ For we $\exp[-i\int_0^{t_{AC,N}} ds \epsilon_\alpha(a(s))].$

Since we are considering a symmetric envelope a(-t) =a(t), time evolution is symmetric in $-\infty < t \le 0$ and $0 \le t$ $t < \infty$. The transfer matrix \mathcal{T}_n describes the state transfer at both $t = t_{AC,n}$ and $t = -t_{AC,n}$, and $U_{n+1,n}$ represents the phase acquisition not only from $t_{AC,n+1}$ to $t_{AC,n}$ but also from $-t_{AC,n}$ to $-t_{AC,n+1}$. Thus we obtain the state transfer between the first and final avoided crossings,

$$\vec{c} (t = t_{AC,1}^+)$$

$$= \left[\prod_{n=N}^{1} \mathcal{T}_n \mathcal{U}_{n,n+1}\right] \left[\prod_{n=1}^{N} \mathcal{U}_{n,n+1} \mathcal{T}_n\right] \vec{c} (t = -t_{AC,1}^-). \quad (31)$$

The entire dynamics is obtained by the phase acquisitions before (after) the first (final) avoided crossing: $\vec{c} (t = t)$ $-t_{AC,1}^{-}$ = $\mathcal{U}_{<}\vec{c}$ ($t = t_{ini}$) and \vec{c} ($t = t_{fin}$) = $\mathcal{U}_{>}\vec{c}$ ($t = t_{AC,1}^{+}$), where $(\mathcal{U}_{<})_{\alpha\alpha} = \exp[-i \int_{t_{\text{ini}}}^{-t_{\text{AC},1}} ds \epsilon_{\alpha}(a(s))]$ and $(\mathcal{U}_{>})_{\alpha\alpha} =$ $\exp[-i\int_{t_{ACI}}^{t_{fin}} ds\epsilon_{\alpha}(a(s))]$ with $t_{ini} = -\infty$ and $t_{fin} = +\infty$.

Thus we have obtained the whole evolution of wave vector $\vec{c}(t)$ in the IFSs based on the transfer matrix method. The physical interpretation is clear in Eq. (31). The wave vector experiences adiabatic dynamics described by the phase factors $U_{n,n+1}$ and Landau-Zener-like diabatic dynamics described by the transfer matrices T_n . The phase factors due to the Stokes phase in T_n and $U_{n,n+1}$ amount to the Stückelberg phase and cause interferences as we will see in the following.

Finally, we formulate how to calculate the physical observable of interest, P_{\uparrow} , from \vec{c} . By using Eq. (14), we have

$$P_{\uparrow} = |\langle \uparrow | \Psi(t_{\rm fin}) \rangle|^2 \tag{32}$$

$$= \left| \sum_{\alpha} c_{\alpha}(t_{\text{fin}}) \langle \uparrow | u_{\alpha}(a(t_{\text{fin}})), t_{\text{fin}} \rangle \right|^{2}.$$
(33)

We recall Eq. (11) and suppose that $t_{\text{fin}} \to \infty$, in which $|u_m(a(t_{\text{fin}})), t_{\text{fin}}\rangle \to |u_m(0, \infty)\rangle = \delta_{m1} |\uparrow\rangle + \delta_{m2} |\downarrow\rangle$. Thus the sum over $\alpha = (m, l)$ in Eq. (33) is trivially taken for *m*, and we have

$$P_{\uparrow} = \left| \sum_{l} c_{1,l}(t_{\rm fin}) e^{il\omega t_{\rm fin}} \right|^2. \tag{34}$$

Note that $\vec{c}(t_{\text{fin}})$ is connected to $\vec{c}(t_{\text{AC},1}^+)$ by $c_{m,l}(t_{\text{fin}}) = \exp[-i\int_{t_{\text{AC},1}}^{t_{\text{fin}}} \epsilon_{m,l}(a(s))ds]c_{m,l}(t_{\text{AC},1}^+) = \exp[-i\int_{t_{\text{AC},1}}^{t_{\text{fin}}} \epsilon_m(a(s))ds] e^{-il\omega(t_{\text{fin}}-t_{\text{AC},1})}c_{m,l}(t_{\text{AC},1}^+)$, where we have used Eq. (12). Substituting this equation into Eq. (34), we obtain

$$P_{\uparrow} = \left| \sum_{l} c_{1,l} (t_{\rm AC,1}^{+}) e^{il\omega t_{\rm AC,1}} \right|^{2}.$$
 (35)

Equation (35) is useful since we can compute the excitation probability P_{\uparrow} just after the final passage of the avoided crossing, and $\vec{c}(t_{AC,1}^+)$ is given in Eq. (31).

We remark that, in Eq. (31), we can set $c_{m=2,l=0}(-t_{AC,1}^-) = 1$ and $c_{\alpha}(-t_{AC,1}^-) = 0$ for $\alpha \neq (2, 0)$. These conditions are what we imposed for $t = t_{ini}$ at the end of Sec. III A. Nonetheless, the evolution between $t = t_{ini}$ and $t = -t_{AC,1}$ merely gives an overall phase factor, which is irrelevant for P_{\uparrow} .

To summarize the transfer matrix method for the IFSs, our recipe for obtaining P_{\uparrow} consists of using the \vec{c} ($-t_{AC,1}^{-}$) thus specified, transferring the state by Eq. (31), and invoking Eq. (35). While this method is an approximation, its physical interpretation is clear in that the evolution is a close analog of the Landau-Zener-Stückelberg interferometry on the Floquet states. In the following, we will implement this recipe and show that it works well even quantitatively.

IV. NUMERICAL IMPLEMENTATION

In this section, we apply the transfer matrix method to understand the complex structure in Fig. 1. We will focus on six-cycle pulses ($\nu = 6$), which are so long that the transfer matrix method works well. We will discuss how results change with the pulse width ν later in Sec. V.

As shown in Sec. III B, the necessary information to implement the method is all obtained from the quasienergies plotted in Fig. 2. To be specific, we set b = 2.5, for which $a_{AC,1} =$ $1.09 (\Delta_1 = 8.98 \times 10^{-2})$ and $a_{AC,2} = 3.05 (\Delta_2 = 0.302)$ are obtained numerically, from which we can calculate the a_0 dependence of P_{\uparrow} by the Floquet-Landau-Zener (FLZ) theory (35). In Fig. 3, we compare P_{\uparrow} for b = 2.5 and $\nu = 6$ obtained





FIG. 3. Excitation probability P_{\uparrow} for b = 2.5 plotted against the pulse peak height a_0 for the pulse width v = 6. The solid and dashed curves show the P_{\uparrow} obtained by solving the TDSE (5) numerically and by invoking the FLZ theory (35), respectively. The vertical dotted lines show $a_{AC,1} = 1.09$ and $a_{AC,2} = 3.05$.

by the exact numerical simulation of the TDSE (5) and by the FLZ method, where the results are shown for $0 \le a_0 \le a_{AC,3} = 4.75$.

For $a_0 < a_{AC,1}$, the transfer matrix approach tells us that there is no state transfer between the Floquet states and hence $P_{\uparrow} = 0$ as shown in Fig. 3. This result agrees with P_{\uparrow} obtained directly by the TDSE for a_0 well below $a_{AC,1}$. Near $a_0 = a_{AC,1}$, the transfer matrix deviates from the exact result. This deviation originates from the adiabatic-impulse approximation in that the state transfer occurs instantaneously right at the avoided crossing and is a close analog of the deviation in the conventional Landau-Zener problem explained in Appendix C. Except for $a_0 \simeq a_{AC,n}$ (n = 1, 2, ...), we expect that the transfer matrix method works well.

For $a_{AC,1} < a_0 < a_{AC,2}$, we see the essence of the Landau-Zener-Stückelberg interferometry for the IFS. For this case, there are two passages of the same avoided crossing point $a_{AC,1}$, and we have

$$\vec{c} (t = t_{AC,1}^+) = \mathcal{T}_1(\mathcal{U}_{1,2})^2 \mathcal{T}_1 \vec{c} (t = -t_{AC,1}^-),$$
 (36)

which follows from Eq. (31). The physical interpretation of Eq. (36) is schematically illustrated in Fig. 4. At the first crossing, $t = -t_{AC,1}$, a superposition of two Floquet states (m, l) = (2, 0) and (1, -3) is created by \mathcal{T}_1 , and these states acquire phase factors due to $(\mathcal{U}_{1,2})^2$ until $t = t_{AC,1}$. At the second crossing, $t = t_{AC,1}$, the superposed states experience the state mixing again by \mathcal{T}_1 , and the final state has nonvanishing weight on (m, l) = (1, -3), which adiabatically approaches $|\uparrow\rangle$ as $t \to +\infty$.

Since there are only two Floquet states involved in this case, we can simplify Eq. (36) by ignoring irrelevant zero elements. Focusing on the subspace for (m, l) = (2, 0) and (1, -3), we set $\vec{c} = {}^t(1, 0)$, for which Eqs. (21) and (30) give $c_{1,-3}(t = t_{AC,1}^+) = \sqrt{P_1(1 - P_1)}[e^{i(\varphi_1^S - 2\Phi_{1,-3})} + e^{i(-\varphi_1^S - 2\Phi_{2,0})}]$ with

$$\Phi_{\alpha} \equiv \int_{0}^{t_{\rm AC,1}} ds \, \epsilon_{\alpha}(a(s)). \tag{37}$$

Thus, from Eq. (35), we obtain

$$P_{\uparrow} = 4P_1(1 - P_1)\cos^2\left(\varphi_1^S + \Phi_{2,0} - \Phi_{1,-3}\right).$$
(38)



FIG. 4. Schematic illustration of the Floquet-Landau-Zener interferometry for $a_{AC,1} < a_0 < a_{AC,2}$. The curved arrows show quantum state trajectories along the IFSs, and the two $U_{1,2}$'s produce a relative phase factor between the upper and lower trajectories between the two Landau-Zener transitions denoted by the transfer matrices T_1 .

Here, the quantum path interference effect in P_{\uparrow} is evident, and the phase $\varphi_1^S + \Phi_{2,0} - \Phi_{1,-3}$ is a Floquet generalization of the Stückelberg phase [24].

The a_0 dependence of P_{\uparrow} calculated from Eq. (38) well describes that obtained numerically exactly for $a_{AC,1} < a_0 <$ $a_{AC,2} = 3.05$ as shown in Fig. 3. Let us discuss two characteristic behaviors of P_{\uparrow} in this region: (i) P_{\uparrow} oscillates, and (ii) the envelope of P_{\uparrow} quickly increases in $a_{AC,1} < a_0 \lesssim 1.2$ and then slowly decreases in $1.2 \leq a_0 < a_{AC,2}$. The first characteristic [characteristic (i)] is mainly due to $\Phi_{2,0} - \Phi_{1,-3}$, which is the integrated phase difference between the Floquet states and corresponds to half the area of the shaded region in Fig. 4. As a_0 increases, $t_{AC,1}$ increases, and so does $\Phi_{2,0} - \Phi_{1,-3}$. Inside the cosine [see Eq. (38)], the increase in $\Phi_{2,0} - \Phi_{1,-3}$ results in the oscillating behavior of P_{\uparrow} . The second characteristic [characteristic (ii)] is due to $P_1(1 - P_1)$ in Eq. (38). We recall that P_1 depends on a_0 only through $da/dt|_{t=t_{AC,1}}$ in the crossing speed v_1 . Since our envelope is Gaussian, as a_0 increases from $a_{AC,1}$, $t_{AC,1}$ increases from zero. During this, $da/dt|_{t=t_{AC,1}}$ first increases and then decreases. This nonmonotonic behavior results in characteristic (ii) through δ_1 and hence P_1 .

While we have focused on b = 2.5, the interpretation by two passages of avoided crossings also applies to other points in Fig. 1. For example, roughly in the region $(a_0, b) \in [1, 3] \times$ [1.5, 3] as well as $(a_0, b) \in [3, 4] \times [3, 4]$, we see regular patterns of curves, in which P_{\uparrow} 's behavior follows from mechanisms similar to those illustrated in Fig. 4.

Now, we come back to b = 2.5 and consider $a_{AC,2} < a_0 < a_{AC,3} = 4.75$ to elucidate the complex pattern in $(a_0, b) \in [3, 4] \times [2, 3]$ in Fig. 4. For this case, we have

$$\vec{c} (t = t_{AC,1}^+) = \mathcal{T}_1 \mathcal{U}_{1,2} \mathcal{T}_2 (\mathcal{U}_{2,3})^2 \mathcal{T}_2 \mathcal{U}_{1,2} \mathcal{T}_1 \vec{c} (t = -t_{AC,1}^-), \quad (39)$$

with which Eq. (35) gives P_{\uparrow} . Even for this case, the FLZ theory (35) reproduces P_{\uparrow} well as shown in Fig. 3 away from the narrow region near $a_0 = a_{AC,2} = 3.05$. The discrepancy in this narrow region is due to the adiabatic-impulse approximation.



FIG. 5. Schematic illustration of the Floquet-Landau-Zener interferometry for $a_{AC,2} < a_0 < a_{AC,3}$. Solid (dashed) curves show the quasienergies for Floquet states of m = 2 (m = 1) approaching $|\downarrow\rangle$ ($|\uparrow\rangle$) as $a \to 0$. The curved arrows show quantum state trajectories along the IFSs, and $T_1(T_2)$ denotes the transfer matrix for the avoided crossing at $a_{AC,1}$ ($a_{AC,2}$).

With the transfer matrix formulation, we can finally interpret the complex pattern in $(a_0, b) \in [3, 4] \times [2, 3]$ in Fig. 1. In this parameter region, there are four passages of avoided crossings, under which the state flow of Eq. (39) is schematically illustrated in Fig. 5. After the strong pulse irradiation, three Floquet states are superposed for each m = 1 and 2. Thus we have more quantum path interference than other parameter regions such as the previous case (38). The complex pattern in P_{\uparrow} is understood qualitatively and quantitatively by the Landau-Zener-Stückelberg interferometry in terms of the IFSs.

V. PULSE-WIDTH DEPENDENCE

In Sec. IV, we fixed the pulse width as v = 6. Meanwhile, experimentally, stronger peak amplitudes a_0 tend to be realized for shorter pulse widths [1]. Thus it is crucially important to determine how small v can be for the FLZ theory to remain applicable. Naively speaking, the FLZ theory is expected to become worse for shorter pulses because the envelope's temporal change da/dt increases, and the assumption of adiabaticity eventually breaks down [see also Eq. (16)]. Note that this tendency also holds for increasing amplitude a_0 as da/dt increases with a_0 as well.

To address this issue of pulse-width dependence, we examine the FLZ theory's applicability with decreasing v. Figure 6 shows P_{\uparrow} for b = 2.5 obtained by the exact numerical simulation of the TDSE (5) and by the FLZ method for different v's. For v = 2, 3, and 4, we observe that the FLZ theory captures quite well the exact results within the adiabatic-impulse approximation except for the regions near the avoided crossing points $a = a_{AC,1} = 1.09$ and $a_{AC,2} = 3.05$.

We note that these regions of disagreement become wider for smaller ν , which is consistent with the following intuitive argument on adiabaticity. Our FLZ theory within the adiabatic-impulse approximation assumes that no transition occurs between IFSs except $a = a_{AC,n}$ (n = 1, 2, ...).



FIG. 6. Excitation probability P_{\uparrow} for b = 2.5 plotted against the pulse peak height a_0 . The solid and dashed curves show P_{\uparrow} obtained by solving the TDSE (5) numerically and by invoking the FLZ theory (35), respectively. The pulse width is v = 4, 3, 2, and 1 from top to bottom. The vertical dotted lines show $a_{AC,1} = 1.09$ and $a_{AC,2} = 3.05$.

However, this assumption is valid when the quasienergy difference is much larger than the perturbation term [\mathcal{G} in Eq. (16)] proportional to da/dt. Thus this assumption is not satisfied near the avoided crossings where the quasienergy differences become small. Also, this tendency is stronger for shorter pulses having larger da/dt. Transitions between IFSs actually occur slightly away from the avoided crossings, while the adiabatic-impulse approximation neglects them entirely. Nevertheless, it is remarkable that the FLZ theory works quantitatively well and has wide-enough applicability parameter regimes even if the pulse is as short as two cycles ($\nu = 2$).

To make the intuitive argument more quantitatively, we introduce the adiabaticity parameter $\eta \equiv 1/\nu$ [25]. Using this,

we can rewrite Eq. (15) as

$$i\eta \frac{d\hat{c}_{\alpha}(s)}{ds} = \sum_{\beta} \mathcal{H}_{\alpha\beta}(\hat{a}(s))\hat{c}_{\beta}(s), \tag{40}$$

where $\hat{c}_{\alpha}(s) \equiv c_{\alpha}(t/\eta)$ and $\hat{a}(t) \equiv a_0 \exp[-(t/T)^2]$ is independent of ν and satisfies $a(t) = \hat{a}(t/\nu) = \hat{a}(\eta t)$. Equation (40) implies that the pulse width ν corresponds to the rescaling $\hbar \to \hbar \eta = \hbar/\nu$. This means that the adiabatic limit $\eta \to 0$ is formally equivalent to the semiclassical limit $\hbar \to 0$ [10,26].

Equation (40) allows us to estimate the range of applicability of the adiabatic-impulse approximation. For simplicity, we suppose that $a_{AC,1} < a_0 < a_{AC,2}$ and the transitions between IFSs occur in the vicinities of $s = \pm s_{AC,1}$ with $s_{AC,1} = \eta t_{AC,1}$. We introduce a half-width $\hat{s} > 0$ of the transition intervals so that the IFS transitions happen significantly in $[-s_{AC,1} - \hat{s}, -s_{AC,1} + \hat{s}]$ and $[s_{AC,1} - \hat{s}, s_{AC,1} + \hat{s}]$. Our FLZ theory is justified when $\hat{s} < s_{AC,1}$ so that these two intervals do not overlap and the net transition in each interval is well described by the transfer matrix. To determine \hat{s} , we apply the general criterion (C5) to Eq. (40), having

$$\frac{1}{\Delta_{\text{gap}}^{q}(\hat{s})} = C' \nu \hat{s}, \tag{41}$$

where $\Delta_{gap}^{q}(\hat{s})$ is the difference between the two quasienergies concerned at $s = s_{AC,1} + \hat{s}$ (the superscript "q" indicates quasienergy) and $C' \sim 1$ is a constant. We remark that $\Delta_{gap}^{q}(0)$ coincides with Δ_1 defined in Eq. (27). For small s, $\Delta_{gap}^{q}(s)$ is monotonically increasing, and hence the left-hand side of Eq. (41) is decreasing. Considering the solution \hat{s} of Eq. (41) graphically, we learn that \hat{s} is a decreasing function of ν . Therefore our criterion $\hat{s} < s_{AC,1}$ is better satisfied for a larger ν , and this is consistent with Fig. 6.

A few remarks are in order. The criteria, $\hat{s} < s_{AC,1}$ and Eq. (41), for the applicability of the adiabatic-impulse approximation are more quantitative than the simple expectation that the FLZ theory should be better for longer pulses. We can infer not only the ν dependence but also the *b* dependence through $\Delta_{gap}^{q}(\hat{s})$ in Eq. (41). We also remark upon another possible reason for the breakdown of the FLZ theory in ultrashort pulses, namely, transitions to distant Floquet replicas other than the nearest pair. As shown in Appendix D, this possibility is unlikely because the transition matrix elements $\mathcal{G}_{\alpha\beta}(a)$ between distant IFSs are actually small compared with the quasienergy difference $\epsilon_{\alpha}(a) - \epsilon_{\beta}(a)$.

As expected, the FLZ theory has been shown to work for longer pulses and to gradually fail as the pulse becomes shorter. Note that this tendency is progressive rather than abrupt, and the FLZ theory still works approximately even for a two-cycle pulse. Also, it is worth noting that the FLZ theory seems to work for $a_0 > a_{AC,2}$ at v = 1, even with the disagreement in $a_0 < a_{AC,2}$, for which the authors have not found the reason. For ultrashort pulses ($v \leq 1$), the Floquet picture might no longer be a good basis, and different approaches would be more suitable (see, e.g., Ref. [27] for another Floquet approach with its period being the total pulse duration).

VI. SUMMARY AND DISCUSSION

Considering the pulse excitation probability P_{\uparrow} in a two-level quantum system, we have studied the complex interference pattern in Fig. 1 in the two-dimensional space spanned by the pulse peak height a_0 and the two levels' energy difference *b*. To understand these patterns, we have utilized the instantaneous Floquet states (IFSs), rather than the original energy eigenstates, as a useful basis for understanding the dynamics [9,10]. The time evolution driven by strong pulse fields can then be regarded as adiabatic evolutions along the IFSs and Landau-Zener-type (LZ-type) diabatic transitions between them [11].

We have developed this idea quantitatively by applying the transfer matrix method among Floquet states, formulated how to keep track of quantum states under multiple LZ-type transitions, and termed this formulation the Floquet-Landau-Zener (FLZ) theory in Sec. III. Implementing this theory numerically in Sec. IV (and analytically in Appendix A), we have shown that the FLZ theory reproduces well the P_{\uparrow} obtained by direct numerical calculations. One advantage of the FLZ theory is that the physical interpretation of the dynamics is transparent: The complex interference patterns in P_{\uparrow} originate from quantum path interference between IFSs as illustrated in Figs. 4 and 5.

We have demonstrated that the FLZ theory is valid for longer pulses (i.e., larger ν). This is a natural tendency because the longer pulses mean slower changes of pulse envelopes a(t), validating the adiabatic approximation. Rather surprisingly, however, the FLZ theory has worked in appropriate parameter ranges if the pulse width is larger roughly than two cycles ($\nu \ge 2$) as shown in Sec. V. This should be relevant for experimental studies to address Floquet-related physics, which emerge ideally under strong continuous external fields while strong laser fields experimentally tend to be realized in short pulses. Our findings imply that Floquet-related physics are present even in short-pulse experiments if we interpret appropriately in the sense of IFSs. For the extension of the Floquet formalism to the case of external fields that are not strictly time periodic, Kayanuma and Mizumoto [28] studied the transition dynamics in the two-level system under the level crossing with a constant velocity plus time-periodic modulation in the relative energy. An unexpected agreement has been observed between the calculation by the Floquet-Landau-Zener transfer matrix method and the numerical solutions of the TDSE for a wide range of parameter values. A deep understanding of this success is also needed.

It is intriguing to realize the FLZ interferometry in experiments. Our model should apply to any two-level systems, but there are two experimental challenges: (i) a long-enough coherence time and (ii) a strong-enough coupling to external fields with long-enough pulses. One possibility is air-lasing experiments [29,30], in which electronic states are controlled by intense pulse lasers. Since a theory based on a two-level system captures key features of this phenomenon, air-lasing experiments could be useful for exploring the FLZ dynamics. Another possibility is represented by a recent experiment involving photoelectron spectroscopy in monolayer WSe₂ [31]. In this experiment, an intense laser pulse induces coherent emissions, which are

interpreted as FLZ transitions in an effective two-level system consisting of the ground and exciton states.

As concluding remarks, we list some future directions. First, it is important to validate the transfer matrix methods in the Floquet extended space and to improve the adiabaticimpulse approximation systematically. For the conventional Landau-Zener problem, the so-called Stokes phenomena are known to underlie it [25], and WKB theories [32] provide the mathematical foundation. One could generalize these insights to the Floquet extended space and validate the FLZ theory mathematically. Second, applying the transfer matrix methods to nonadiabatic molecular quantum dynamics would be of interest since efforts have been made to accurately solve the time-dependent Schrödinger equation in the extended space [33]. Third, in this paper, we neglected any decoherence or dissipation, which, if strong, may destroy the clear interference pattern. It is intriguing to investigate whether we can overcome those effects experimentally together with further theoretical investigations. Finally, it is interesting to generalize the FLZ interferometry for other classes of systems with more than two levels [34], including multiple two-level systems [35]. Generally speaking, the denser the energy levels are, the worse the adiabatic approximation becomes. Thus we expect that the FLZ theory works in systems with not so many levels. However, it could be possible to apply this theory for condensed-matter systems with many energy levels but an energy gap above the ground state. We leave the above problems open for future studies.

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APPENDIX A: ANALYTICAL APPROACH TO CIRCULAR AND ELLIPTIC POLARIZATIONS

In this Appendix, we consider the following coupling term:

$$V(t) = \frac{1+\lambda}{2}\cos(\omega t)\sigma_x + \frac{1-\lambda}{2}\sin(\omega t)\sigma_y, \qquad (A1)$$

which reduces to Eq. (3) for $\lambda = 1$. For a single spin $\frac{1}{2}$, this term represents the Zeeman coupling $V(t) = \mathbf{B}(t) \cdot \boldsymbol{\sigma}$ to an elliptically polarized magnetic field $\mathbf{B}(t) = (\frac{1+\lambda}{2}\cos(\omega t), \frac{1-\lambda}{2}\sin(\omega t), 0)$. The dimensionless parameter λ quantifies the ellipticity, and the special values $\lambda = 0$ and 1 correspond to the circular and linear polarizations, respectively. Therefore we call $\lambda = 0$, 1, and the others the linear, circular, and elliptic polarizations, respectively, even if the model does not necessarily suppose a single spin $\frac{1}{2}$.

In the main text, we have shown that the FLZ theory works well for the linear polarization ($\lambda = 1$). In those calculations,

we implemented the transfer matrices \mathcal{T}_n and phase acquisition operators $\mathcal{U}_{n+1,n}$ constructed from the quasienergies $\epsilon_m(a)$ obtained numerically. When λ is zero or small, however, we can analytically obtain the quasienergies approximately using the perturbation theory for λ . In this Appendix, using this analytical approach, we extend the analyses and gain deeper insights from the limit of $\lambda = 0$ to small λ .

1. Circular polarization

We begin by considering the circular polarization ($\lambda = 0$), for which the coupling term reads

$$V_0(t) = e^{-i\omega t}\sigma_+ + e^{i\omega t}\sigma_-, \tag{A2}$$

with $\sigma_{\pm} = (\sigma_x \pm i\sigma_y)/2$. Note that this case corresponds to the rotating-wave approximation of Eq. (3). In this special case, the continuous-wave problem (8) corresponds to the seminal Rabi model [6]. We analytically obtain the two independent solutions as

$$|\psi_A(t)\rangle = \frac{e^{-i(\Omega + \frac{\omega}{2})t}}{\sqrt{2\Omega[\Omega + (\omega - b)/2]}} \binom{a/2}{\left(\frac{\omega - b}{2} + \Omega\right)e^{i\omega t}}, \quad (A3)$$

$$|\psi_B(t)\rangle = \frac{e^{i(\Omega + \frac{\omega}{2})t}}{\sqrt{2\Omega[\Omega - (\omega - b)/2]}} \left(\frac{\frac{a}{2}e^{-i\omega t}}{\frac{\omega - b}{2} - \Omega}\right), \quad (A4)$$

where

$$\Omega = \frac{1}{2}\sqrt{a^2 + (b-\omega)^2}$$
(A5)

is the Rabi frequency (energy).

We can read out the Floquet states and their quasienergies from Eqs. (A3) and (A4). Recall that, in this paper, we assign the Floquet states' labels (m, l) so that (m, 0) approaches the undriven solutions $e^{-i(b/2)t} |\uparrow\rangle$ $(e^{+i(b/2)t} |\downarrow\rangle)$ for m = 1 (m = 2). To make these assignments, it is convenient to consider the two cases, $b > \omega$ and $b < \omega$, separately. For $b > \omega$,

$$|\psi_A(t)\rangle \to e^{-i(b/2)t} |\uparrow\rangle, \quad |\psi_B(t)\rangle \to e^{+i(b/2)t} |\downarrow\rangle$$
 (A6)

in the limit of $a \rightarrow 0$. Thus, in this case, we see that

$$|u_1(a;t)\rangle = \frac{1}{\sqrt{2\Omega[\Omega + (\omega - b)/2]}} \left(\frac{a/2}{\left(\frac{\omega - b}{2} + \Omega\right)e^{i\omega t}}\right),$$
(A7)

$$|u_2(a;t)\rangle = \frac{1}{\sqrt{2\Omega[\Omega - (\omega - b)/2]}} \left(\frac{\frac{a}{2}e^{-i\omega t}}{\frac{\omega - b}{2} - \Omega}\right), \quad (A8)$$

and all the quasienergies (12) are

$$\epsilon_{1,l}(a) = +\Omega + \frac{\omega}{2} + l\omega, \tag{A9}$$

$$\epsilon_{2,l}(a) = -\Omega - \frac{\omega}{2} + l\omega. \tag{A10}$$

We plot the quasienergy for a representative off-resonant case, b = 1.5, in Fig. 7(a). As the analytical expressions imply, there is no avoided crossing.

On the other hand, for $b < \omega$,

$$|\psi_A(t)\rangle \to e^{+i(b/2)t} |\downarrow\rangle, \quad |\psi_B(t)\rangle \to e^{i(\omega-b/2)t} |\uparrow\rangle \quad (A11)$$



FIG. 7. Quasienergies for $\lambda = 0$ for (a) b = 1.5 and (b) b = 1.0plotted against coupling strength *a*. (a) Solid (dashed) curves show those for Floquet states of m = 2 (m = 1) approaching $|\downarrow\rangle (|\uparrow\rangle)$ as $a \to 0$. (b) Solid and dashed curves show those for Floquet states corresponding to $|\psi_B(t)\rangle$ and $|\psi_A(t)\rangle$ approaching $(|\uparrow\rangle \mp |\downarrow\rangle)/\sqrt{2}$ as in Eqs. (A16) and (A17), respectively.

as $a \to 0$. This means that

$$|u_{1}(a;t)\rangle = \frac{e^{i\omega t}}{\sqrt{2\Omega[\Omega - (\omega - b)/2]}} \begin{pmatrix} \frac{a}{2}e^{-i\omega t}\\ \frac{\omega - b}{2} - \Omega \end{pmatrix}, \quad (A12)$$
$$|u_{2}(a;t)\rangle = \frac{1}{\sqrt{2\Omega[\Omega + (\omega - b)/2]}} \begin{pmatrix} a/2\\ (\frac{\omega - b}{2} + \Omega)e^{i\omega t} \end{pmatrix}, \quad (A13)$$

and all the quasienergies (12) are

$$\epsilon_{1,l}(a) = -\Omega + \frac{\omega}{2} + l\omega, \qquad (A14)$$

$$\epsilon_{2,l}(a) = +\Omega + \frac{\omega}{2} + l\omega.$$
 (A15)

On the resonance $b = \omega$, $|\psi_A(t)\rangle$ and $|\psi_B(t)\rangle$ do not converge to either $|\uparrow\rangle$ or $|\downarrow\rangle$, but to superpositions of them in the limit of $a \rightarrow 0$. In fact, we have, for $b = \omega$,

$$|\psi_A(t)\rangle \to e^{-i(\omega/2)t} \frac{|\uparrow\rangle + |\downarrow\rangle}{\sqrt{2}},$$
 (A16)

$$|\psi_B(t)\rangle \to e^{+i(\omega/2)t} \frac{|\uparrow\rangle - |\downarrow\rangle}{\sqrt{2}}$$
 (A17)

as $a \to 0$. We will see that this special property on the resonance $b = \omega$ leads to nontrivial behaviors of excitation probabilities P_{\uparrow} . We plot the quasienergies for the resonant case b = 1 in Fig. 7(b), where they are degenerate at a = 0.

With these Floquet states, let us now study the pulse excitation problem for the circular polarization. Figure 8(a) illustrates the excitation probability P_{\uparrow} for v = 6, showing (i) almost no excitation away from the resonance, $b \neq 1$, and (ii) an oscillating behavior on resonance, b = 1.

Property (i) is interpreted as follows. Away from resonance, we have a quasienergy diagram like Fig. 7(a) without avoided crossings, and each Floquet state approaches $|\uparrow\rangle$ or



FIG. 8. Excitation probability P_{\uparrow} plotted against the pulse peak height a_0 and the energy level difference *b* for (a) the circular ($\lambda = 0$) polarization and (b) an elliptic ($\lambda = 0.1$) polarization with pulse width $\nu = 6$.

 $|\downarrow\rangle$ as $a \rightarrow 0$. In such a case, the IFS interpretation is like the one at the end of Sec. III A. Our initial state for the pulse problem is $|\downarrow\rangle$, and it adiabatically moves along $|u_2(a(t);t)\rangle$, which coincides with $|\downarrow\rangle$ at $t = -\infty$. In the adiabatic move, there occurs no LZ-type transition to other branches of Floquet states, and finally the state comes back to $|\downarrow\rangle$, meaning that $P_{\uparrow} \approx 0$. Thus the absence of avoided crossings, a special property of $\lambda = 0$, explains the suppressed P_{\uparrow} for $b \neq 1$ in Fig. 8(a).

Property (ii) is interpreted as follows. On resonance, the Floquet states do not converge to either $|\uparrow\rangle$ or $|\downarrow\rangle$, but approach superpositions of them in $a \rightarrow 0$. Thus our initial state $|\downarrow\rangle$ is a superposition of $|u_1(a(t);t)\rangle$ and $|u_2(a(t);t)\rangle$ at $t = -\infty$. While these Floquet states move adiabatically without LZ-type transitions as there is no avoided crossing, they acquire relative phase factors due to the quasienergies. Since the acquired phase from $t = -\infty$ to $t = +\infty$ is an increasing function of the pulse peak height a_0 , the final excitation probability P_{\uparrow} oscillates with a_0 . This mechanism is the FLZ interferometry discussed in Sec. IV although the superposition of Floquet states here is not created by LZ-type transitions, but by particular limiting behaviors (A16) and (A17).

2. Elliptic polarization

In Appendix A 1, we have shown that $\lambda = 0$ is an ideal limit where the quasienergies are obtained analytically and no



FIG. 9. Quasienergies for $\lambda = 0.1$ for (a) b = 1.5 and (b) b = 0.5 plotted against coupling strength *a*. Solid (dashed) curves show those for Floquet states of m = 2 (m = 1) approaching $|\downarrow\rangle$ ($|\uparrow\rangle$) as $a \rightarrow 0$. Thicker background curves show quasienergies for $\lambda = 0$ for reference.

avoided crossing occurs. For $\lambda \neq 0$, V(t) involves the counterrotating component on top of Eq. (A2):

$$V(t) = V_0(t) + \lambda W(t), \qquad (A18)$$

$$W(t) = e^{i\omega t}\sigma_{+} + e^{-i\omega t}\sigma_{-}.$$
 (A19)

This component hybridizes the independent solutions [Eqs. (A3) and (A4)] for $\lambda = 0$, giving rise to avoided crossings of quasienergies. To address this scenario analytically, we here consider the case of small λ 's, i.e., nearly circular elliptic polarizations. In these cases, we can use the Floquet states for $\lambda = 0$ (see Appendix A 1) as the unperturbed solutions and approximately obtain quasienergies with avoided crossings by perturbation theory in terms of λa_0 (see, e.g., Ref. [36] for technicalities).

We remark that this approach does not assume that a_0 is small. In fact, the unperturbed solutions (Floquet states for $\lambda = 0$) can involve nonperturbative effects of a_0 . Thus this approach is particularly useful when

$$\lambda a_0 \ll 1 \ll a_0 \tag{A20}$$

since, for $a_0 \ll 1$, we can apply the naive perturbation theory in terms of a_0 for arbitrary λ . We cannot find such parameters (A20) for the linear polarization ($\lambda = 1$) that we studied in the main text, where we needed to calculate quasienergies numerically.

Before developing detailed calculations, we qualitatively see how the FLZ theory applies to elliptic polarizations. Figure 8(b) illustrates the excitation probability P_{\uparrow} for $\lambda =$ 0.1 and $\nu = 6$. Unlike the circular polarization case [see Fig. 8(a)], P_{\uparrow} becomes significant away from the resonance condition b = 1. For, say, b = 1.5 or 0.5, as a_0 increases, P_{\uparrow} emerges at $a_0 \approx 2$ and then oscillates. This behavior is consistent with the quasienergy diagram in Fig. 9, which shows that the first avoided crossing appears at $a_{AC,1} \approx 2$. Thus the FLZ interpretation discussed in Sec. IV together with the quasienergy diagram qualitatively explains the interference pattern in Fig. 8(b). We note the similarity between the quasienergies for $\lambda = 0.1$ and 0 shown in Fig. 9: They are almost on top of each other away from the avoided crossings, whereas they slightly repel each other at the avoided crossings. This suggests the validity of considering λa_0 as perturbation.

Let us now quantitatively apply the FLZ theory developed in Sec. III with its inputs, such as $a_{AC,n}$ and $\epsilon_{m,l}(a)$, obtained analytically. For concreteness, we first focus on 1 < b < 3, for which the quasienergy diagram looks like Fig. 9(a). As shown in the figure, although the quasienergies $\epsilon_{1,l}(a)$ and $\epsilon_{2,l+2}(a)$ overlap at $a \approx 1$, they do not repel. This is a selection rule due to the fact that W(t) does not have matrix elements between these Floquet states,

$$\int_0^T \frac{dt}{T} \left\langle u_{1,l}(a;t) | W(t) | u_{2,l+2}(a;t) \right\rangle = 0, \tag{A21}$$

where $|u_{m,l}(t)\rangle = e^{il\omega t} |u_m(t)\rangle$ with Eqs. (A7) and (A8). More generally, similar selection rules follow from the fact that the matrix elements vanish between (1, l) and (2, l + 2k) $(k \in \mathbb{Z})$, which means physically that 2k-photon transitions are prohibited. Therefore the first avoided crossing occurs at the three-photon resonance defined by $\epsilon_{1,l}(a) = \epsilon_{2,l+3}(a)$, which gives

$$a_{\text{AC},1} = \sqrt{(\omega+b)(3\omega-b)}$$
 (for $\omega < b < 3\omega$). (A22)

Note that we needed to calculate numerically $a_{AC,1}$ for the linear polarization ($\lambda = 1$) in Sec. IV.

The quasienergies in the presence of small λ are obtained by considering the coupling by $\lambda W(t)$ between the unperturbed Floquet states $\alpha = (1, l)$ and (2, l + 3). The Floquet Hamiltonian within the two-dimensional subspace reads

$$\mathcal{H}_{\alpha\beta} = \begin{pmatrix} \epsilon_{1,l}(a) & \lambda K \\ \lambda K & \epsilon_{2,l+3}(a) \end{pmatrix}_{\alpha\beta}, \tag{A23}$$

where α and β denote either (1, l) or (2, l+3) and $\lambda K \equiv \int_0^T \frac{dt}{T} \langle u_{1,l}(a) | \lambda W(t) | u_{2,l+3}(a) \rangle$ yielding

$$K = \lambda \frac{a^2}{8\Omega} \sqrt{\frac{\Omega + \frac{\omega - b}{2}}{\Omega - \frac{\omega - b}{2}}}.$$
 (A24)

The eigenvalues of Eq. (A23) lead to the quasienergies with avoided crossing:

$$\epsilon_{1,l}^{(\lambda)}(a;t) \approx \frac{\omega}{2} - \sqrt{\left(\frac{\epsilon_{1,l}(a) - \epsilon_{2,l+3}(a)}{2}\right)^2 + (\lambda K)^2},$$

$$\epsilon_{2,l+3}^{(\lambda)}(a;t) \approx \frac{\omega}{2} + \sqrt{\left(\frac{\epsilon_{1,l}(a) - \epsilon_{2,l+3}(a)}{2}\right)^2 + (\lambda K)^2}.$$
(A25)

In the approximation made here, we have ignored couplings outside the two-dimensional subspace, and Eq. (A25) involves higher-order terms in λ . The quasienergy gap at the avoided



FIG. 10. Excitation probability P_{\uparrow} for (a) b = 1.5 and (b) b = 0.5plotted against the pulse peak height a_0 for an elliptic polarization ($\lambda = 0.1$) with pulse width $\nu = 6$. The solid and dashed curves show P_{\uparrow} obtained, respectively, by solving the TDSE (5) numerically and by invoking the FLZ theory (38).

crossing follows from Eq. (A25) as

$$\Delta_{1} = \epsilon_{2,l+3}^{(\lambda)}(a_{\mathrm{AC},1}) - \epsilon_{1,l}^{(\lambda)}(a_{\mathrm{AC},1})$$
$$= \lambda \frac{\sqrt{(\omega+b)(3\omega-b)^{3}}}{4\omega}, \qquad (A26)$$

where we used $\epsilon_{1,l}(a) = \epsilon_{2,l+3}(a)$ and hence $\Omega = \omega$ at $a = a_{AC,1}$.

Given that the avoided crossing point (A22), the quasienergies (A25), and their gap (A26) are analytically obtained, we can implement the FLZ theory quantitatively. Restricting ourselves to $a_{AC,1} < a_0 < a_{AC,2}$ for simplicity, we obtain P_{\uparrow} as in Eq. (38), where P_1 and φ_1^S are obtained using Eqs. (22)–(24) and $\Phi_{m,l}$ are obtained combining Eqs. (37) and (A25) with numerical evaluation of the integral. The excitation probability P_{\uparrow} thus obtained is compared with the direct numerical solution for b = 1.5, $\lambda = 0.1$, and $\nu = 6$ in Fig. 10(a). As in the linear polarization case studied in Sec. IV, the FLZ theory describes well the numerical exact solution except for in the vicinity of $a = a_{AC,1}$, where the adiabatic-impulse approximation is not valid. The oscillation of P_{\uparrow} for $a_0 > a_{AC,1}$ is due to the FLZ interferences, and thus we have quantitatively elucidated the stripe-shaped pattern for 1 < b < 3 in Fig. 8(b). Note that, unlike the linear polarization case, we can implement the FLZ theory almost fully analytically in nearly circular elliptic polarizations except for the numerical integration in obtaining $\Phi_{m,l}$ from analytically obtained quasienergies.

A similar analysis works for 0 < b < 1 as well. For this case, the Floquet-state hybridization occurs between $\alpha = (1, l + 1)$ and $\alpha = (2, l)$ as seen in Fig. 9(b). Namely, the first avoided crossing is caused by the one-photon resonance. As for 1 < b < 3 discussed above, we can perform perturbation-theory analyses for these pairs of Floquet states, obtaining the avoided crossing point, the quasienergies, and their gap. We

plot the resulting P_{\uparrow} calculated by the FLZ theory in Fig. 10 together with the exact numerical results. Here again, we obtain a quantitatively good agreement between these results even though we resorted to perturbation-theory approximations.

APPENDIX B: TECHNICAL DETAILS OF THE IFS FORMALISM

1. Derivation of Eq. (15)

Here, we derive Eq. (15) by substituting Eq. (14) into the Schrödinger equation $id |\Psi(t)\rangle / dt = H_{pulse}(t) |\Psi(t)\rangle$. Note that $H_{pulse}(t) = H_{cw}(a(t), t)$ by definition, and the Schrödinger equation reads

$$i\frac{d|\Psi(t)\rangle}{dt} = H_{\rm cw}(a(t),t)|\Psi(t)\rangle.$$
(B1)

Recalling the definition of Floquet states implying

$$i\frac{\partial |u_{\alpha}(a(t),t)\rangle}{\partial t} = \left[-\epsilon_{\alpha}(a(t)) + H_{cw}(a(t),t)\right] |u_{\alpha}(a(t),t)\rangle,$$
(B2)

and substituting Eq. (14) into Eq. (B1), we obtain

$$\sum_{\alpha} \left[i \frac{dc_{\alpha}(t)}{dt} - \epsilon_{\alpha}(a(t)) \right] |u_{\alpha}(a(t), t)\rangle + i \sum_{\alpha} c_{\alpha}(t) \frac{da}{dt} \frac{\partial |u_{\alpha}(a(t), t)\rangle}{\partial a} = 0.$$
(B3)

Finally, to rewrite the second term of Eq. (B3), we note the following relations:

$$\frac{\partial |u_{\alpha}(a(t), t)\rangle}{\partial a} = \int_{0}^{T} dt' \delta_{T}(t - t') \frac{\partial |u_{\alpha}(a(t), t')\rangle}{\partial a} = \sum_{\beta} \int_{0}^{T} \frac{dt'}{T} |u_{\beta}(a(t), t)\rangle \langle u_{\beta}(a(t), t')| \frac{\partial |u_{\alpha}(a(t), t')\rangle}{\partial a} = \sum_{\beta} |u_{\beta}(a(t), t)\rangle \mathcal{G}_{\beta\alpha}(a(t)),$$
(B4)

where we used Eqs. (13) and (17) to obtain the third and fourth lines, respectively. Substituting Eq. (B4) into Eq. (B3) and considering the coefficients of each $|u_{\alpha}(a(t), t)\rangle$, we obtain

$$i\frac{dc_{\alpha}(t)}{dt} = \epsilon_{\alpha}(a(t))c_{\alpha}(t) - i\frac{da}{dt}\sum_{\beta}\mathcal{G}_{\alpha\beta}(a(t))c_{\beta}(t), \quad (B5)$$

which is equivalent to Eq. (15) in the matrix representation.

2. Physical equivalence between different IFS representations

In Sec. III A, we remarked upon an ambiguity in expanding the physical state $|\Psi(t)\rangle$ in terms of the Floquet replica index *l*. Here, we prove that this ambiguity never affects the physical results.

Suppose that we fix an initial set of $c_{m,l}(t)$ to satisfy $|\Psi(t_{\text{ini}})\rangle = \sum_{m,l} c_{m,l}(t_{\text{ini}}) |u_{m,l}(a(t_{\text{ini}}), t_{\text{ini}})\rangle = \sum_{m,l} c_{m,l}(t_{\text{ini}}) e^{il\omega t_{\text{ini}}} |u_m(a(t_{\text{ini}}), t_{\text{ini}})\rangle$. For convenience, we define

$$B_m(t) \equiv \sum_l c_{m,l}(t) e^{il\omega t}, \qquad (B6)$$

for which the initial condition reads

$$B_m(t_{\rm ini}) = \langle u_m(a(t_{\rm ini}), t_{\rm ini}) | \Psi(t_{\rm ini}) \rangle . \tag{B7}$$

While there are multiple sets of $c_{m,l}(t_{ini})$ that satisfy Eq. (B7), we show that all sets will give the same results when we calculate physical observables.

First, we show that $B_m(t)$ obey a closed set of differential equations. To derive it, we multiply $e^{il\omega t}$ onto both sides of Eq. (B5) and sum over l, arriving at

$$i\frac{d}{dt}B_m(t) = \epsilon_m(a(t))B_m(t)$$
$$-i\frac{da}{dt}\sum_{l,m',l'}\mathcal{G}_{ml,m'l'}(a(t))c_{m'l'}(t)e^{il\omega t}.$$
 (B8)

Note that $\mathcal{G}_{ml,m'l'}(a)$ does not depend separately on l and l', but depends only on l - l', and we write it as $\mathcal{G}_{ml,m'l'}(a) = \mathcal{F}_{mm'}^{(l-l')}(a)$. Using this and changing the dummy indices (l, l')by (l'' = l - l', l'), we transform Eq. (B8) into

$$i\frac{d}{dt}B_m(t) = \epsilon_m(a(t))B_m(t)$$
$$-i\frac{da}{dt}\sum_{m',l''}\mathcal{F}_{mm'}^{(l'')}(a(t))e^{il''\omega t}B_{m'}(t), \qquad (B9)$$

which are closed within $B_m(t)$.

Second, we show that physical observables depend on $c_{m,l}(t)$ only through $B_m(t)$. In fact, for a Hermitian O, its expectation value reads

$$\langle \Psi(t)|O|\Psi(t)\rangle = \sum_{m,l,m',l'} c_{m,l}(t)^* c_{m',l'}(t) \langle u_{m,l}(a(t),t)|O|u_{m',l'}(a(t),t)\rangle$$

= $\sum_{m,m'} B_m(t)^* B_{m'}(t) \langle u_m(a(t),t)|O|u_{m'}(a(t),t)\rangle$. (B10)

Let us summarize the argument. We had multiple choices for $c_{m,l}(t_{ini})$ as long as they satisfy Eq. (B7). However, all possible choices give the same evolution for $B_m(t)$, and all physical observables are determined only by them. Thus the ambiguity in expanding the initial state with the IFS never affects the physical consequences.

APPENDIX C: TRANSFER MATRIX IN THE LANDAU-ZENER PROBLEM

To supplement the discussions of transfer matrices for IFSs in the main text, we briefly review the transfer matrix for the seminal Landau-Zener problem for the linearly timedependent Hamiltonian $H_{LZ}(t) = -(vt/2)\sigma_z + (\Delta/2)\sigma_x = \sum_{n=1}^{2} E_n(t) |E_n(t)\rangle \langle E_n(t)|$ with eigenenergies $E_1(t) \ge E_2(t)$. As shown in the level diagram in Fig. 11(a), from $t = -\infty$ to $+\infty$, the system goes across, at t = 0, the avoided crossing of gap $\Delta = E_1(0) - E_2(0)$ at speed v.

Suppose that the initial state is given as $|\Phi(t_{\text{ini}})\rangle$ ($t_{\text{ini}} < 0$) and we are to solve its evolution $|\Phi(t)\rangle$ and determine the



FIG. 11. (a) Eigenvalues of $H_{LZ}(t)$ (see also text). (b) Upper-state populations w(t) calculated by the exact numerics (solid curve) and by the transfer matrix method within the adiabatic-impulse approximation (dashed curve). In both panels, we set v = 10 and $\Delta = 5$.

population at the upper state $w(t) = |\langle E_1(t) | \Phi(t) \rangle|^2$. This population can be obtained analytically [24,26] or numerically as illustrated in Fig. 11(b), where $\Delta = 5$, v = 10, $t_{ini} = -10$, and $|\Phi(t_{ini})\rangle = |E_2(t_{ini})\rangle$.

The transfer matrix method enables us to obtain an approximate solution with clear physical interpretation. This method is based on the energy eigenbasis $|\Phi(t)\rangle = \sum_{n=1}^{2} b_n(t_{\text{ini}}) |E_n(t_{\text{ini}})\rangle$ and the assumption that no transition occurs away from the avoided crossing point t = 0. This assumption is known as the adiabatic-impulse approximation [24,37,38]. With this method, we have, for n = 1 and 2, $b_n(t < 0) = \exp[-i \int_{t_{\text{ini}}}^t ds E_n(s)]b_n(t_{\text{ini}})$ and $b_n(t > 0) = \exp[-i \int_{t_{\text{ini}}}^t ds E_n(s)]b_n(0+)$. At the avoided crossing, the state experiences transitions described by the following transfer matrix:

$$\boldsymbol{b}(0+) = \begin{pmatrix} \sqrt{1-P}e^{-i\varphi^{S}} & -\sqrt{P} \\ \sqrt{P} & \sqrt{1-P}e^{i\varphi^{S}} \end{pmatrix} \boldsymbol{b}(0-), \quad (C1)$$

where

$$P \equiv \exp(-2\pi\delta) \tag{C2}$$

is the prominent Landau-Zener transition probability with

$$\delta \equiv \frac{\Delta^2}{4v} \tag{C3}$$

and

4

$$\delta^{S} \equiv -\frac{\pi}{4} + \delta \ln(\delta - 1) + \arg \Gamma(1 - i\delta)$$
 (C4)

is the Stokes phase with $\Gamma(z)$ being the gamma function.

As illustrated in Fig. 11(b), the transfer matrix method captures the exact solution well except in the vicinity of the avoided crossing. While the actual dynamics is complicated within the avoided crossing region, it well describes, as a

single matrix, the integrated evolution from the input to the output. According to Ref. [39], the avoided crossing region $[-\hat{t}, \hat{t}]$, in which the impulse happens, is determined by

$$\frac{\hbar}{\Delta_{gap}(\hat{t})} = C\hat{t},\tag{C5}$$

where $C \sim 1$ is a constant and $\Delta_{gap}(t) \equiv E_1(t) - E_2(t)$ is the energy gap.

The transfer matrix method applies not only to $H_{LZ}(t)$ of linear time dependence but also to other Hamiltonians of more generic dependence [24]. For generic cases, we define v and Δ by linearly approximating the energy eigenvalues near the avoided crossing (see, e.g., Ref. [28]). This generality enables us to apply this method for the IFS of our interest.

APPENDIX D: TRANSITIONS TO DISTANT IFSs

The transfer matrix \mathcal{T}_n only mixes pairs of IFSs that have the nearest quasienergies although the mixings can, in principle, happen between other IFS pairs that are more distant in the quasienergy. These transitions neglected in our FLZ theory could be non-negligible when the pulse width ν becomes very small. However, we show here that these transitions are not relevant in the present model.

To study this possibility quantitatively, we rewrite Eq. (15) so that the ν dependence is evident:

$$i\frac{d\tilde{c}_{\alpha}(\tau)}{d\tau} = \sum_{\beta} \tilde{\mathcal{H}}_{\alpha\beta}(\tilde{a}(\tau))\tilde{c}_{\beta}(\tau), \tag{D1}$$

$$\tilde{\mathcal{H}}_{\alpha\beta}(\tilde{a}(\tau)) \equiv \nu T \delta_{\alpha\beta} \epsilon_{\alpha}(\tilde{a}(\tau)) - i \frac{d\tilde{a}}{d\tau} \mathcal{G}_{\alpha\beta}(\tilde{a}(\tau)).$$
(D2)

Here, $\tau \equiv \frac{t}{\nu T}$ is a dimensionless time, $\tilde{c}_{\alpha}(\tau) = c_{\alpha}(\frac{t}{\nu T})$, and $\tilde{a}(\tau) = a(\frac{t}{\nu T}) = a_0 \exp(-\tau^2)$. In this representation, ν effectively rescales the quasienergy as the first term on the right-hand side of Eq. (D2). For an IFS pair (α, β) , their transition is negligible if their effective quasienergy difference $\nu T |\epsilon_{\alpha} - \epsilon_{\beta}|$ is much larger than their coupling $|\frac{d\tilde{a}}{d\tau} \mathcal{G}_{\alpha\beta}(\tilde{a}(\tau))|$. Our IFS theory neglects the pair transitions for $|\epsilon_{\alpha} - \epsilon_{\beta}| > \omega$,



FIG. 12. The ratio $\mathcal{G}_{\alpha\beta}(a)/\{T[\epsilon_{\alpha}(a) - \epsilon_{\beta}(a)]\}$ for $\alpha = (2, 0)$ and $\beta = (1, -3)$ (solid curve) and (1, -1) (dashed curve). Here, we set b = 2.5 as in Figs. 2 and 6.

and this treatment is justified if

$$\nu \gg \nu_{c} \equiv \frac{1}{T} \max_{\tau} \max_{\substack{\alpha,\beta \\ |\epsilon_{\alpha} - \epsilon_{\beta}| > \omega}} \left| \frac{d\tilde{a}}{d\tau} \frac{\mathcal{G}_{\alpha\beta}(\tilde{a}(\tau))}{\epsilon_{\alpha}(a(\tau)) - \epsilon_{\beta}(a(\tau))} \right|.$$
(D3)

Now we numerically confirm that v_c is so small that the transitions to distant IFSs are negligible. Since $|d\tilde{a}/d\tau| < 0.74$, we focus on the ratio

$$R_{\alpha\beta}(a) \equiv \frac{\mathcal{G}_{\alpha\beta}(a)}{[\epsilon_{\alpha}(a) - \epsilon_{\beta}(a)]T}$$
(D4)

and verify $|R_{\alpha\beta}(a)| \ll 1$ for $|\epsilon_{\alpha}(a) - \epsilon_{\beta}(a)| > \omega$. This ratio is shown in Fig. 12 for $\alpha = (2, 0)$ and b = 2.5, which we mainly

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argued in the main text. The ratio $R_{\alpha\beta}(a)$ shows large peaks at $a = a_{AC,1} = 1.09$ for $\beta = (1, -3)$ and at $a = a_{AC,2} = 3.05$ for $\beta = (1, -1)$, which correspond to $|\epsilon_{\alpha}(a) - \epsilon_{\beta}(a)| < \omega$ and are responsible for the transitions incorporated by the transfer matrices \mathcal{T}_1 and \mathcal{T}_2 , respectively (see Fig. 2). Except for these peaks, $|R_{\alpha\beta}(a)| \leq 0.05$ for $0 \leq a \leq 4$ as shown in the inset ($|R_{\alpha\beta}|$ is much smaller for other β 's not shown in the figure). This means $v_c < 0.05$ for $a_0 \leq 4$ considered in the main text.

Recall that the FLZ theory starts to fail when $\nu \sim 1$ as shown in Fig. 6. However, as $\nu_c < 0.05$, Eq. (D3) still remains true. Thus we conclude that the transition to distant IFSs is not the main reason for the FLZ's failure at ultrashort pulses as short as $\nu \sim 1$.

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