

**Antiferromagnetism mediated by heavy electrons: High-order RKKY**Mi Jiang<sup>1</sup>, Jeroen Custers<sup>2</sup>, and Wenxin Ding<sup>3</sup><sup>1</sup>*Institute of Theoretical and Applied Physics, Jiangsu Key Laboratory of Thin Films, School of Physical Science and Technology, Soochow University, Suzhou 215006, China*<sup>2</sup>*Faculty of Mathematics and Physics, Charles University in Prague, Ke Karlovu 5, 121 16 Prague 2, Czech Republic*<sup>3</sup>*School of Physics and Optoelectric Engineering, Anhui University, Hefei, Anhui Province 230601, China*

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Can the antiferromagnetic (AF) order be induced via the local moments' hybridization with the heavy electrons instead of conduction electrons? We address this intriguingly fundamental question via a prototypical model to describe the interplay between local moments and heavy electrons. We discover that the AF order can be mediated via the heavy electrons through the mechanism of the high-order Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction. Moreover, our analysis employing a slave-spin representation uncovers that the heavy electron band can enhance the RKKY interaction of local moments (despite its high-order nature) via enlarging its effective mass. The potential relevance to the heavy fermion compound  $\text{Ce}_3(\text{Pt/Pd})\text{In}_{11}$  is also discussed.

DOI: [10.1103/PhysRevResearch.4.013222](https://doi.org/10.1103/PhysRevResearch.4.013222)**I. INTRODUCTION**

The conventional Kondo/Anderson lattice model (KLM/PAM) describes the competition of antiferromagnetism and Kondo screening as a fundamental model of heavy fermion physics [1–6]. Generally, there are two well-known exchange mechanisms responsible for the formation of the antiferromagnetic phase, i.e., (1) the superexchange interaction between localized electrons via their hybridization with the conduction band and (2) the Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction originating from the scattering of the conduction electrons from two localized moments [7]. The former always favors the antiferromagnetic order between localized moments while the latter's sign and magnitude vary with the distance between localized moments and also the conduction band filling [8].

In KLM, the superexchange interaction is explicitly included as a Heisenberg interaction term among  $f$  moments while RKKY interaction is dynamically generated. In PAM, both terms are dynamically generated as effective interactions, hence their amplitudes would evolve as the system is tuned [9]. Nevertheless, for the conventional PAM, it is established that at small hybridization between the conduction and localized electrons, the indirect RKKY interaction induces the antiferromagnetic ground state, which competes with the paramagnetic spin liquid ground state formed by Kondo screening of the local electrons by the conduction band at large hybridization.

The common thread between the aforementioned two exchange mechanisms relies on the conduction electrons. It is

natural to ask whether or not the heavy electrons can similarly act as a “glue” for the antiferromagnetic (AF) order between local moments. Until now, surprisingly, there have been few studies on this fundamental question despite that multiple  $4f$  orbitals were accounted for in the context of cerium volume collapse considering the inherent  $4f$  electronic correlations [10]. We point out that the AF order mediated by heavy electrons is not only an abstract theoretical question but also relevant to recent discovery of the microscopic coexistence between AF and superconductivity in a particular family of heavy fermion compounds  $\text{Ce}_3(\text{Pt/Pd})\text{In}_{11}$  harboring two inequivalent Ce sites [11–14], where the most fascinating scenario proposed for their coexistence claims that the Ce(1) sublattice is fully Kondo screened and responsible for the superconducting state while the Ce(2) sublattice forms the magnetic ordering. Therefore one intrinsic problem is the interplay between Ce(1) and Ce(2) sublattices, particularly the possible Ce(2) magnetic order induced by Ce(1) sublattice. Similar may as well apply to other systems with two crystallographic inequivalent  $f$ -electron sites, for instance,  $\text{Ce}_3\text{Pd}_{20}\text{Si}_6$  [15], or artificially created  $f$ -electron superlattices [16–18].

Motivated by these experimental progress, in this proof of principle study, we explore the possibility of AF order mediated by heavy electrons via a prototypical model to describe the interplay between the local moments and heavy electrons. Specifically, we discover that the hybridization between the local moments and heavy electrons can indeed induce AF order between the local moments through the so-called high-order RKKY interaction that resembles the conventional one mediated via the conduction electrons in standard PAM/KLM.

**II. MODEL AND METHODOLOGY**

To illustrate our findings, we adopt the simplest and prototypical model consisting of two distinct localized  $f$  orbitals together with the conduction electrons on two-dimensional

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square lattice, which reads in the half-filled form:

$$\begin{aligned} \mathcal{H} = & -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) - \mu \sum_{i\sigma} (n_{i\sigma}^c + n_{i\sigma}^{f_1} + n_{i\sigma}^{f_2}) \\ & + V \sum_{i\sigma} (c_{i\sigma}^\dagger f_{1i\sigma} + f_{1i\sigma}^\dagger c_{i\sigma}) + t_\perp \sum_{i\sigma} (f_{1i\sigma}^\dagger f_{2i\sigma} + f_{2i\sigma}^\dagger f_{1i\sigma}) \\ & + U \sum_{mi} \left( n_{i\uparrow}^{f_m} - \frac{1}{2} \right) \left( n_{i\downarrow}^{f_m} - \frac{1}{2} \right), \end{aligned} \quad (1)$$

where  $c_{i\sigma}^\dagger$  ( $c_{i\sigma}$ ) and  $f_{mi\sigma}^\dagger$  ( $f_{mi\sigma}$ ) with  $m = 1, 2$  are creation(destruction) operators for conduction and two local  $f_{1,2}$  electrons on site  $i$  with spin  $\sigma$ .  $n_{i\sigma}^{c,f_m}$  are the associated number operators. The chemical potential  $\mu$  can be tuned for a desired average occupancy of three orbitals. The hopping  $t = 1$  between conduction electrons on nearest neighbor sites  $\langle ij \rangle$  sets the energy scale.  $U$  is the local repulsive interaction in the  $f_{1,2}$  orbital. Note that in this work we only consider the case that the  $f_{1,2}$  orbitals share an identical  $U$  for simplicity although generally they can differ. The two remaining control parameters are two distinct hybridizations, namely,  $V$  between  $c$ - $f_1$  and  $t_\perp$  between  $f_1$ - $f_2$ .

Before proceeding, we remark that in the heavy fermion compounds with multiple crystallographic inequivalent local moment sites, such as  $\text{Ce}_3(\text{Pt/Pd})\text{In}_{11}$  [11–14], the local environment of two Ce ions are different leading to distinct Kondo interaction strengths with the conduction electrons. Here our focus is on the sole effects of the heavy electrons from  $c$ - $f_1$  Kondo singlets on the additional  $f_2$  local moments. Thus we neglect the  $c$ - $f_2$  hybridization to avoid the additional Kondo screening from  $c$  electrons and associated complexity. This crude simplification finds some justification. In the case of  $\text{Ce}_3\text{PtIn}_{11}$ , recent  $^{115}\text{In}$  NQR experiments revealed that the ratio of magnetic moment between the Ce(1) site and Ce(2) is about 1/40, with Ce(2) yielding  $1.72 \mu_B$  [19] while neutron experiments on  $\text{Ce}_3\text{Pd}_{20}\text{Si}_6$  showed that the magnetic ordering relates to the cubic Ce-8c site only, while the Ce-4a site remains “magnetically silent” [20]. Nevertheless, a more realistic modeling of the heavy fermion compounds is left for future investigation. In addition, to explicitly investigate the AF order without charge fluctuation, we stick on the half-filled systems by setting  $\mu = 0$  so that the  $c$  and  $f_{1,2}$  orbitals are individually half-filled.

To gather some initial insights of this model, it is worthwhile elaborating on some limiting cases. In the absence of Hubbard interaction  $U$ , the three-orbital unit cell gives rise to three energy bands such that the system hosts a metallic ground state for any finite  $V, t_\perp$  at half-filling. As discussed later, turning on  $U$  opens the orbital-selective spectral gap. In the extreme case of  $t_\perp \ll V$ , the system separates into conventional PAM plus additional individual local moments; in contrary, if  $t_\perp \gg V$ , the system becomes a conduction band plus individual strongly bound dimers.

To fully take into account all the energy scales on the equal footing, we use the well established numerical technique of finite temperature determinant quantum Monte Carlo (DQMC) [21] to explore the physics of Eq. (1). As a celebrated computational method, DQMC provides an approximation-free solution in the presence of strong correlations. Besides, finite size scaling can facilitate the extraction of the AF order pa-

rameter reliably so that all the quantities throughout the paper are extracted values in the thermodynamic limit.

Throughout the paper, we concentrate on the characteristic intermediate coupling strength  $U = 4.0t$ , where it has been widely believed that the critical  $c$ - $f$  hybridization strength separating the Kondo singlet and antiferromagnetic insulating ground states in PAM is  $V_c \sim 1.0t$  [6,22]. Because the major purpose of this work is the AF order induced by heavy electrons, we only explore the systems with  $V/t \geq 1.2$  such that the  $c$ - $f_1$  subsystem is readily within the heavy electron regime. Besides, all the physical quantities are obtained through finite-size scaling in lattice sizes as large as  $N = 10 \times 10$  with periodic boundary at lowest temperature  $T = 0.025t$ .

Our major findings are illustrated in the tentative phase diagram Fig. 1 and summarized as follows.

(1) Singlet shielding (SS):  $f_2$  local moments are effectively standing alone and shielded from the heavy electrons ( $c$ - $f_1$  singlets).

(2)  $f_2$ -AF:  $f_2$  has the induced AF order via high-order RKKY mediated by  $c$ - $f_1$  heavy electrons.

(3)  $f_{12}$ -D:  $f_2$ 's AF order disappears due to the confinement of the strong  $f_1$ - $f_2$  dimer formation.

Some remarks follow in order. First of all,  $f_1$  orbital does not exhibit the AF order unless at relatively small  $V/t = 1.2$  and  $1.6$  due to the twofold hybridization with  $c$  and  $f_2$ . Besides, at sufficiently large  $t_\perp$ , the strongly coupled  $f_1$ - $f_2$  dimers kill  $f_2$ 's AF order and partly liberates the conduction electrons. In Fig. 1, in addition, the red dashed line highlights the  $t_\perp$  at which the  $f_2$ -AF structure factor reaches its maximum. Note that the  $f_2$ -AF order rapidly turns on upon its emergence and gradually disappears with increasing  $t_\perp$ . In what follows, we provide the concrete numerical and analytical evidence to support the phase diagram in detail.

### III. NUMERICAL RESULT

We illustrate our findings of the induced  $f_2$ -AF order mediated via heavy electrons ( $c$ - $f_1$  singlets) by the AF structure factor [23] of the  $f_{1,2}$  local moments

$$S_{\text{AF}}^{f_m} = \frac{1}{N} \sum_{ij} e^{-i\mathbf{q} \cdot (\mathbf{R}_i - \mathbf{R}_j)} \langle (n_{i\uparrow}^{f_m} - n_{i\downarrow}^{f_m})(n_{j\uparrow}^{f_m} - n_{j\downarrow}^{f_m}) \rangle \quad (2)$$

with  $m = 1, 2$  at  $\mathbf{q} = (\pi, \pi)$ , where  $\mathbf{R}_i$  denotes the coordinates of site  $i$  and  $N$  is the lattice size.

Figure 2(a) shows the finite-size scaling of  $S_{\text{AF}}^{f_m}/N$  at fixed  $V/t = 2.0$  with dashed/solid lines denoting  $m = 1$  and  $2$ , respectively. Clearly, at small  $t_\perp$ , the  $c$ - $f_1$  singlets shields the additional  $f_2$  local moments and the two subsystems are effectively separated so that both show absence of AF order. With increasing  $t_\perp$ , the  $f_2$ -AF order emerges while  $f_1$  local moments remain forming the Kondo singlets with the conduction electrons, which indicates that the  $f_2$ -AF order is not induced by the proximity effect from a “ $f_1$ -AF” order liberated by the  $t_\perp$  hybridization. In the standard PAM ( $t_\perp = 0$ ), the RKKY interaction scales as  $\sim J^2/W$  with  $J \sim V^2/U$  and  $W$  the conduction bandwidth. Here we claim that  $f_2$ -AF is realized through a mechanism of high-order RKKY interaction via an indirect  $c$ - $f_2$  hybridization, for which we provide more detailed analysis in the next section. As expected, further

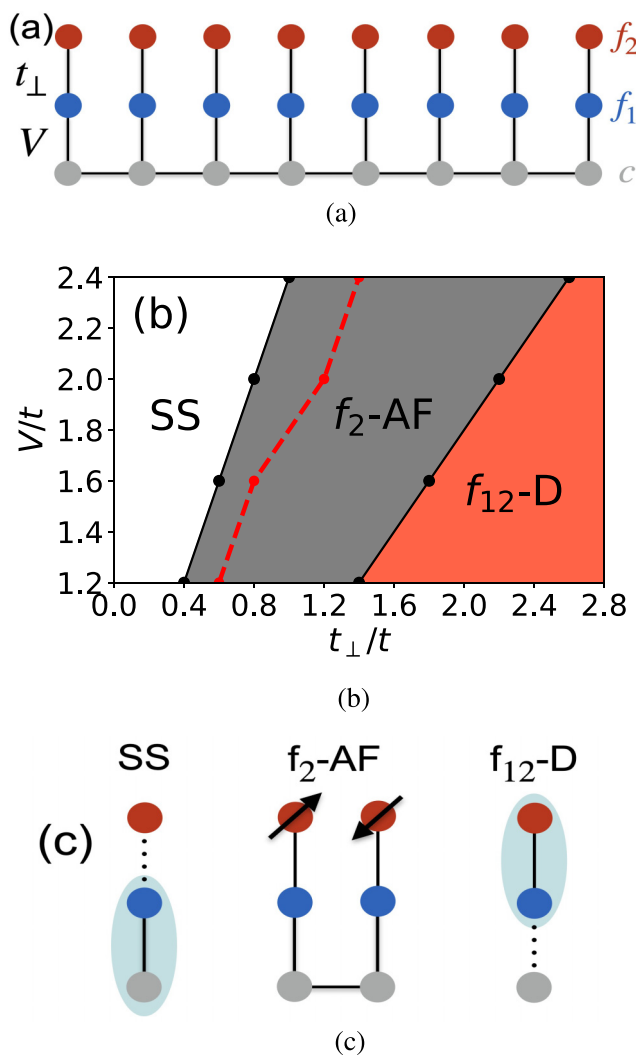


FIG. 1. (a) One-dimensional representation of the lattice geometry including  $c$ ,  $f_1$ , and  $f_2$  orbitals; (b) tentative phase diagram at half-filling for lowest simulated temperature  $T = 0.025t$ . The gray regimes exhibit the  $f_2$ -AF order mediated via heavy electrons formed via strong  $c$ - $f_1$  hybridization. The red dashed line highlights the specific  $t_{\perp}$  locations with maximal AF structure factor (see text for details); (c) schematic representation of different phases, where the oval denotes the singlet formation and black arrows label the spin configuration forming the AF order for  $f_2$  orbital.

increasing  $t_{\perp}$  leads to the gradual diminish of  $f_2$ -AF due to the strong  $f_1$ - $f_2$  hybridization, which finally results in individual strongly bound dimers.

To make further progress, Fig. 2(b) demonstrates the evolution of the extrapolated  $S_{\text{AF}}^{f_m}/N$  with  $t_{\perp}$  for diverse  $V$ , where the general peak structure of  $S_{\text{AF}}^{f_m}$  (solid lines) and the absence of  $f_1$ -AF order in most cases (dashed lines) can be seen. Additionally, the  $f_2$ -AF order rapidly turns on upon its emergence and gradually disappears, which reflects the robustness of the induced  $f_2$ -AF order and its struggling competition with  $f_1$ - $f_2$  hybridization  $t_{\perp}$ . It is natural that stronger  $V$  requires larger critical  $t_{\perp}$  to overcome the  $c$ - $f_1$  Kondo screening to partially liberate the conduction electrons for its essential role in mediating the high-order RKKY that induces  $f_2$ -AF order. In

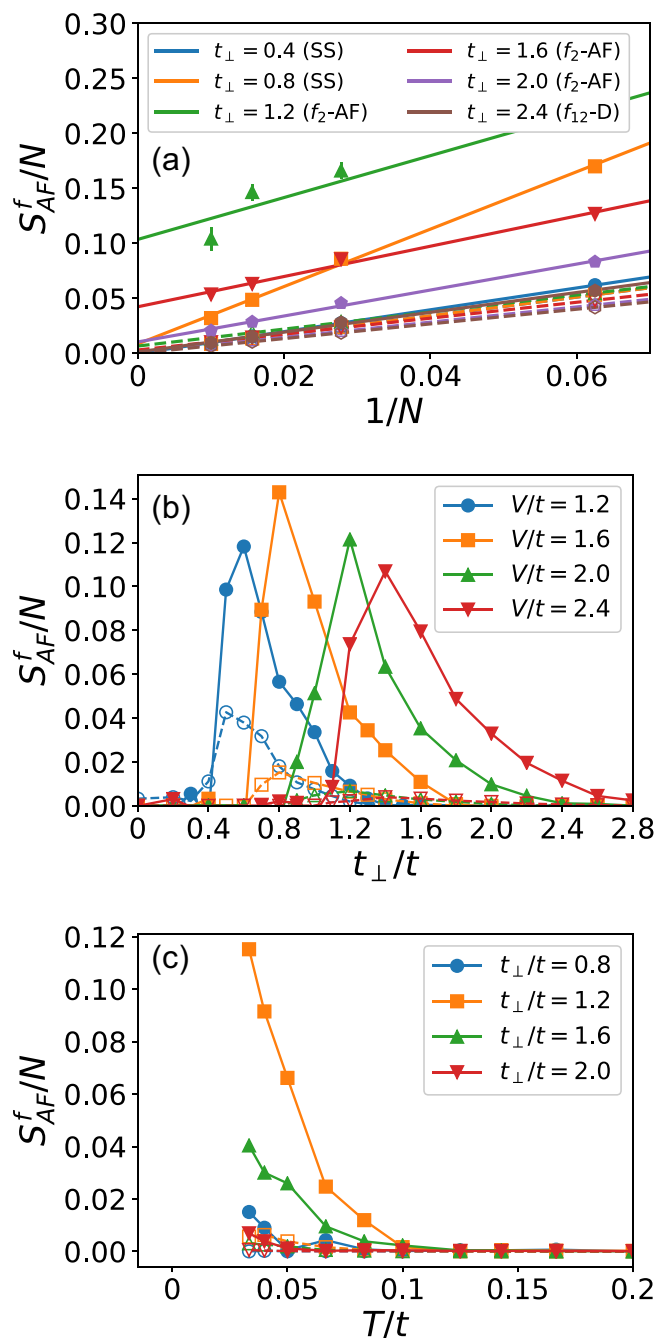


FIG. 2. (a) Finite-size scaling of  $S_{\text{AF}}^{f_m}/N$  at fixed  $V/t = 2.0$ . (b) Evolution of extrapolated  $S_{\text{AF}}^{f_m}/N$  with  $t_{\perp}$  for diverse  $V$  at  $T = 0.025t$ . (c) Temperature dependence of extrapolated  $S_{\text{AF}}^{f_m}/N$  for various  $t_{\perp}$  at fixed  $V/t = 2.0$ . The dashed (solid) lines are for  $f_1$  ( $f_2$ ) orbital.

contrary, only the systems of “light” heavy electrons with relatively small Kondo screening, e.g.,  $V/t = 1.2, 1.6$  (blue and orange dashed lines) clearly exhibit the  $f_1$ -AF order whose maximum are concomitant with that of  $f_2$ -AF. This observation indicates the feedback among  $c$ - $f_1$ - $f_2$  orbitals: (a)  $t_{\perp}$  tends to break the heavy electrons to liberate the  $c$ -electrons; (b)  $c$  electrons mediate the  $f_2$ -AF order via high-order RKKY; and (c) the induced  $f_2$ -AF order has proximity effect to induce the potential  $f_1$ -AF order unfavored by heavy electrons. Certainly,

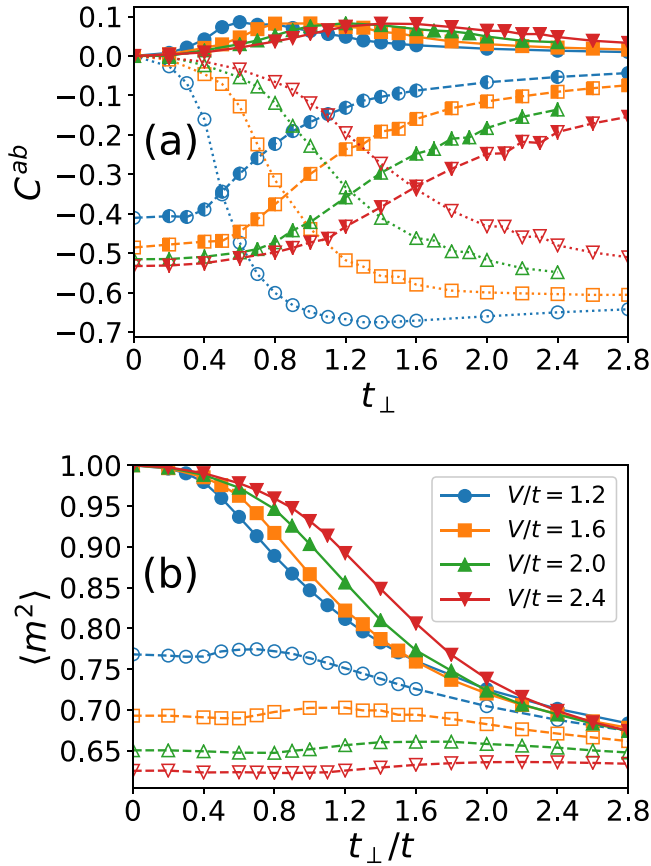


FIG. 3. (a) Local spin correlations  $C^{ab}$  between orbitals:  $C^{cf_2}$  (full symbols),  $C^{cf_1}$  (half-filled symbols), and  $C^{f_1f_2}$  (unfilled symbols); (b) local moments  $\langle m^2 \rangle$  of  $f_1$  (dashed lines) and  $f_2$  (solid lines) vs  $t_{\perp}$  for diverse  $V$  at  $T = 0.025t$ .

the partially liberated  $c$ -electrons can also mediate the  $f_1$ -AF order to some extent, although their combined effects quickly decay with enlarging the  $c$ - $f_1$  hybridization  $V$ .

Figure 2(c) displays the temperature dependence of the extrapolated  $S_{\text{AF}}^m/N$  for various  $t_{\perp}$  at fixed  $V/t = 2.0$ . Obviously, the  $f_2$ -AF order has different onset temperature scale, with  $t_{\perp}/t = 1.2$  shows the highest starting temperature  $T/t \sim 0.1$ . The differing growth rate of  $S_{\text{AF}}^m$  with lowering  $T$  provides more evidence of the role of  $t_{\perp}$  in inducing  $f_2$ -AF order.

To further support our scenario of high-order RKKY, we resort to the local static spin correlations

$$C^{ab} = \langle (n_{\uparrow}^a - n_{\downarrow}^a)(n_{\uparrow}^b - n_{\downarrow}^b) \rangle \quad (3)$$

between three orbitals  $a, b = c, f_1, f_2$  in Fig. 3(a). Apparently,  $C^{cf_1}$  ( $C^{f_1f_2}$ ) decreases (increases) in magnitude with turning on  $t_{\perp}$ . Nonetheless, the striking difference shows up in the indirect  $C^{cf_2}$  correlation, which exhibits a nontrivial peak, whose position is consistent with the maximal  $S_{\text{AF}}^{f_2}$  shown in Fig. 2. This strongly indicates that the “heavy”  $c$  electrons dressed by  $f_1$  local moments are mediating the  $f_2$ -AF order in an indirect high-order manner. More careful comparison reveals that this common peak occurs at the specific  $t_{\perp}$  where  $C^{cf_1} \approx C^{f_1f_2}$  and also changes most rapidly. This observation implies that the homogeneous  $C^{cf_1}$  and  $C^{f_1f_2}$  spin correlations are favored for enhancing  $C^{cf_2}$  and in turn strengthening the

high-order RKKY interaction to mediate the  $f_2$ -AF order. In addition, the rapid evolution of  $C^{cf_1}$  and  $C^{f_1f_2}$  in this regime reflects the crucial delicate balance between  $C^{cf_1}$  and  $C^{f_1f_2}$ . Furthermore, all these observations vividly implies the vital role of the heavy electrons ( $c$ - $f_1$  singlets) in mediating the  $f_2$ -AF order. Note that  $|C^{cf_1}|$  gradually decreases and saturates at large enough  $t_{\perp}$  while the  $f_2$ -AF order disappears, which implies that a “heavy” enough electrons ( $c$ - $f_1$  singlets) is requisite for mediating the  $f_2$ -AF order.

The essential physics of our model can be also described in the viewpoint of the competition and balance between  $t_{\perp}$  and  $V$ , which can be explored by investigating another indicator of the magnetic properties, namely, the local moments  $\langle m^2 \rangle = \langle (n_{\uparrow}^f - n_{\downarrow}^f)^2 \rangle$  of  $f_{1,2}$ . Figure 3(b) illustrates its behavior of  $f_1$  (dashed lines) and  $f_2$  (solid lines). Naturally, the  $f_2$  local moment decreases with  $t_{\perp}$ , which is most rapidly in the regime where the  $f_2$ -AF order emerges. Nevertheless, the  $f_1$  local moment does not vary much but only possesses a bump in the regime with the maximal  $f_2$ -AF order, which can be traced to its suppressed quantum fluctuation subject to twofold hybridization with  $c$  and  $f_2$ . This provides further evidence on the steadily frozen behavior of  $f_1$  orbital, whose major role is to dress the  $c$  electrons.

#### IV. HIGH-ORDER RKKY

In the preceding section, we provided strong numerical evidence on the  $f_2$ -AF order induced by the  $c$ - $f_1$  heavy electrons. Moreover, we claimed that  $f_2$ -AF is realized through a mechanism of high-order RKKY interaction via an indirect  $c$ - $f_2$  hybridization.

Here we provide the derivation of this expectation analytically to leading order in  $V$  and  $t_{\perp}$ , which is realized by a dynamical  $t/U$  expansion [24] in a  $U(1)$  slave-spin representation [25].

In this  $U(1)$  slave-spin representation, the  $f_{1(2)}$  fermions are written as a product of a spinon fermion  $\tilde{f}_{1(2)}$  and a two-flavored slave-spin operator  $\tau_{1,2}^{\pm}$  as

$$\begin{aligned} f_{m\sigma} &= \tilde{f}_{m\sigma} (\tau_{ma}^- + \tau_{mb}^-) / \sqrt{2}, \\ f_{m\sigma}^{\dagger} &= \tilde{f}_{m\sigma}^{\dagger} (\tau_{mia}^+ + \tau_{mib}^+) / \sqrt{2}, \end{aligned} \quad (4)$$

where  $m = 1$  and  $2$ ,  $\tau_{mis}^{\pm}$  are ladder operators of slave spins, and  $\tilde{f}_{m\sigma}$  is a fermionic spinon operator. The slave spin operators are regular  $S = 1/2$  operators satisfying the  $SU(2)$  Lie algebra.

The  $U$  terms are mapped to an Ising interaction of the slave spins as  $U n_{f_m, i\uparrow} n_{f_m, i\downarrow} \rightarrow U \tau_{mia}^z \tau_{mib}^z$ . Therefore the slave spins describe the  $U$ -scale charge dynamics while the spinon fermions describe the magnetism. The  $V$  and  $t_{\perp}$  terms are turned into vertexes between the spinons and slave spins. Then we integrate out the slave spins diagrammatically to obtain the effective spin-spin interactions, given in the form of four-spinon vertexes. A finite hybridization between  $c - f_1$  is assumed for the calculation. The results are summarized in Table I. Details of the derivation are given in Appendix.

For the effective hopping factors, we ignore the geometry of the  $c$  or  $f_1$  bands, focusing on their effective masses. Hence we make the following approximate replacements:  $\langle c_i^{\dagger} c_j \rangle(\omega = 0) \propto m_c^*$ ,  $\langle \tilde{f}_{1i}^{\dagger} \tilde{f}_{1j} \rangle(\omega = 0) \propto m_{f_1}^*$  according to the



TABLE I. Summary of effective spin-spin interactions among different orbitals. Only terms of interest are listed.

$s^c$	$S^{f_1}$	$S^{f_2}$
$s^c$	$J_K^{cf_1} \propto V^2/U$	$J_K^{cf_2} \propto m_{f_1}^{*2} V^2/U$
$S^{f_1}$	...	$J_D^{f_1 f_2} \propto V^2/U$
$S^{f_2}$	...	$J_{f_2} \propto m_{f_1}^{*2} t_{\perp}^2 V^2/U$

mean-field solutions of Green's functions in a hybridized phase [26].

$$\begin{aligned} m_c^* &\propto (m_{c,0}^{-1} + V^2/\lambda)^{-1} \simeq m_{c,0}, \\ m_{f_1}^* &\propto (\lambda + V^2/m_{c,0})^{-1}, \end{aligned} \quad (5)$$

where  $\lambda \sim T_K$  is a mean-field parameter.  $\langle \tilde{f}_{1i}^{\dagger} \tilde{f}_{1j} \rangle$  is obtained in a mean-field sense, hence describes the heavy quasiparticle band formed by  $c$ - $f_1$  hybridization.

Through the above analysis, we find that the  $c$ - $f_1$  hybridization serves to enhance the high-order RKKY  $J_{f_2}$  and the Kondo interaction  $J_K^{cf_2}$  with its large effective mass. This explains the mechanism of both  $f_2$ -fermions' suppression of the  $c$ - $f_1$  Kondo correlation and the development a stronger antiferromagnetism at intermediate  $t_{\perp}$ .

## V. CONCLUSION

In conclusion, as a proof of principle study, we have addressed the fundamental question of whether or not the antiferromagnetic (AF) order can be induced via the local moments' hybridization with the heavy electrons instead of conduction electrons. We provided strong numerical evidence to confirm its possibility via a prototypical model through determinant QMC simulations. In particular, we claim that this AF order mediated by heavy electrons is realized by a so-called high-order RKKY interaction that resembles the conventional RKKY mediated via the conduction electrons in standard PAM/KLM. We emphasize that the induced AF order only emerges if the heavy electrons are present and moderately "heavy." Moreover, we provided the analytical confirmation on the high-order RKKY mechanism based on a dynamical  $t/U$  expansion in a U(1) slave-spin representation.

As our motivation partly came from the potential relevance to the heavy fermion compound  $\text{Ce}_3(\text{Pt/Pd})\text{In}_{11}$  [11–14], we remark that the three orbitals  $c$ ,  $f_1$ , and  $f_2$  in our prototypical model can be used to mimic Pt/Pd, Ce(1), and Ce(2) separately of  $\text{Ce}_3(\text{Pt/Pd})\text{In}_{11}$ . Our findings implies that the experimentally observed magnetic ordering of Ce(2) ( $f_2$  orbital) can indeed coexist microscopically with the fully Kondo screened Ce(1) ( $f_1$  orbital) and in fact the Ce(1) plays a significant role in forming the AF order of Ce(2) sublattice. To some extent, however, our model has intrinsic limitation due to its neglecting of conduction electron reservoir from In sites because it has been shown that the strong hybridization with the out of plane In plays an important role in other Ce-based compounds, such as Ce-115 materials [27]. Therefore it is requisite to explore the more appropriate models for the potential connection of our findings reported here to the realistic materials, which is left for future investiga-

tion. Another fascinating theoretical question regards on the reverse role of Ce(2) on the superconductivity claimed experimentally to be responsible by Ce(1) [14]. Besides, the thorough understanding and realization of the proposed high-order RKKY interaction in other contexts would be highly interesting.

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## APPENDIX A: MORE DETAILS ON THE ANALYTICAL DERIVATION ON HIGH-ORDER RKKY

To compute spin-spin interactions in this model, we employ the U(1) slave-spin representation [25] of the Hubbard models to treat the  $U$  term and analyze the effective interactions between  $c$ ,  $f_1$  and  $f_2$  spins perturbatively using a dynamical  $t/U$  expansion developed in Ref. [24].

In the slave spin representation, we rewrite the  $f$  fermions as a product of a spinon fermion and a two-flavored slave-spin operators as

$$\begin{aligned} f_{m\sigma} &= \tilde{f}_{m\sigma} (\tau_{ma}^- + \tau_{mb}^-) / \sqrt{2}, \\ f_{m\sigma}^{\dagger} &= \tilde{f}_{m\sigma}^{\dagger} (\tau_{ma}^+ + \tau_{mb}^+) / \sqrt{2}, \end{aligned} \quad (A1)$$

where  $m = 1, 2$ ,  $\tau_{\text{mis}}^{\pm}$  are ladder operators of slave spins, and  $\tilde{f}_{m\sigma}$  is a fermionic spinon operator. The slave spin operators are regular  $S = 1/2$  operators satisfying the SU(2) Lie algebra:  $[\tau_{\text{mis}}^{\alpha}, \tau_{\text{mis}}^{\beta}] = \delta_{mm'} \delta_{ii'} \delta_{ss'} \epsilon^{\alpha\beta\gamma} \tau_{\text{mis}}^{\gamma}$  with  $\alpha, \beta$ , and  $\gamma$  run through  $x, y$ , and  $z$ , and the ladder operators are defined as  $\tau_{\text{mis}}^{\pm} = \tau_{\text{mis}}^x \pm i\tau_{\text{mis}}^y$ . Note that indices  $a$  and  $b$  are not associated with the physical spin index  $\sigma$ . The constraint between the spinons and the slave spins are

$$\sum_{s=a,b} \tau_{\text{mis}}^z = \sum_{\sigma=\uparrow,\downarrow} \left( \tilde{f}_{m\sigma}^{\dagger} \tilde{f}_{m\sigma} - \frac{1}{2} \right). \quad (A2)$$

The Hamiltonian of the  $f$ -band HM in this slave-spin representation is written as

$$H = H_{c,0} + H_{\tau,0} + H_{\tilde{f},0} + H_V + H_{t_{\perp}}, \quad (A3)$$

with

$$\begin{aligned} H_{c,0} &= \sum_{ij\sigma} t_{ij} c_{i\sigma}^{\dagger} c_{j\sigma}, \\ H_{\tau,0} &= \frac{U}{2} \sum_{im} \left( \sum_s \tau_{\text{mis}}^z \right)^2 + h \sum_{\text{mis}} \tau_{\text{mis}}^z, \end{aligned}$$

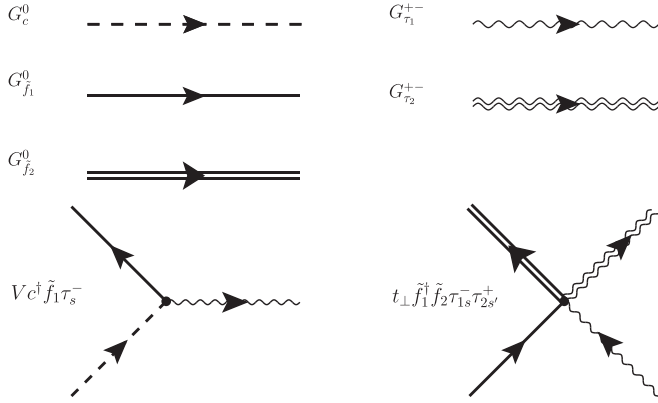


FIG. 4. Bare Green's functions and vertexes of the slave spin representation for  $\mathcal{H}$  in Eq. (1).

$$\begin{aligned}
 H_V &= V \sum_{i\sigma} c_{i\sigma}^\dagger \tilde{f}_{i\sigma} (\tau_{1ia}^- + \tau_{1ib}^-) + \text{H.c.} \\
 H_{t_\perp} &= - \sum_{\sigma} \frac{t_\perp}{2} (\tau_{1ia}^+ + \tau_{1ib}^+) (\tau_{2ia}^- + \tau_{2ib}^-) \tilde{f}_{1i\sigma}^\dagger \tilde{f}_{2i\sigma}, \\
 H_{\tilde{f},0} &= (-h - \mu) \sum_{mi\sigma} n_{mi\sigma}^{\tilde{f}}. \tag{A4}
 \end{aligned}$$

Here,  $\mu$  is the chemical potential and  $h$  is a Lagrangian multiplier to implement the constraint.

At the zeroth order, consider the large- $U$  limit,  $H_{\tau,0}$  is solved in the atomic limit with  $h = 0$ :

$$iG[\tau_{mis}^+, \tau_{mjs'}^-](v; i, j) = \frac{\delta_{ij} \langle \tau_{mis}^z \rangle}{v - sU/2}. \tag{A5}$$

Details of correspondence between the slave spin at half-filling and the slave rotor representation are given in Ref. [25].

When  $H_V$  is turned on, we should obtain the conventional heavy fermi liquid as the ground state. In this slave spin representation, the mean-field solution of a heavy Fermi liquid is that  $\langle \tau_{1is}^x \rangle \neq 0$  (or any direction in the  $xy$  plane). However, since we always keep  $n_{f,i} = 1$ ,  $h = 0$  will be preserved. In this case, the slave spins remain isotropic with respect to the flavor index  $s$ . From here on, we shall drop the  $s$  index and assume isotropy and summation over it to simplicity. With  $\langle \tau_{1i}^x \rangle \neq 0$ , the high-energy ( $\sim U$ ) part of the slave spin dynamical correlation functions [Eq. (A5)] is also unchanged up to a renormalization factor  $1 - \langle \tau_{1i}^x \rangle$ .

The effective magnetic interactions can be obtained by contracting  $\tau s$ , treating  $H_V$  and  $H_{t_\perp}$  as  $\tilde{f}$ - $\tau$  vertex. In a Lagrangian language, we can consider the procedure as ‘‘integrating out’’ the slave spins. We list the raw propagators and the vertexes as Feymann diagrams in Fig. 4.

For example, in the Hubbard model, the well-known superexchange interaction, which is the dimer interaction  $J_{f_1 f_2}$  between  $f_1$  and  $f_2$  fermions mediated by  $H_{t_\perp}$ , is obtained by contracting the vertex at one-loop ( $t_\perp^2$ ) level [24] as

$$H_{J^D} = \frac{J_{ij}^D}{2} f_{i\alpha}^\dagger f_{i\beta} f_{j\beta}^\dagger f_{j\alpha} \Rightarrow J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j, \tag{A6}$$

where

$$J_{ij}^D = t_{ij}^2 \int \frac{-d\omega}{2\pi} \sum_{ss' ll'} G_{S,ss'}^{+-}[\omega, i, i] G_{S,ll'}^{-+}[\omega, j, j]. \tag{A7}$$

Plugging in the slave-spin Green's function at the atomic limit, we find the superexchange interaction at half-filling:  $J_0 = 2t_{ij}^2/U$ . The missing factor of 2 can be restored when taking into account the fluctuations of the hopping term similar as in Ref. [24].

The effective theory of Eq. (A3), assuming the  $\langle \tau_1^x \rangle \neq 0$ , is analyzed as the following.

(1) First-order terms ( $V^2$  and  $t_\perp^2$ ):

(a) effective hopping of  $f_1$  fermions:

$$H_{t,f_1}^{(1)} = \sum_{ij} V^2 t_{ij}^{f_1} \tilde{f}_{1i}^\dagger \tilde{f}_{1j} + \text{H.c.}, \tag{A8}$$

$$t_{ij}^{f_1} = \langle c_j^\dagger c_i \rangle (\omega = 0) = \int \frac{d^2\mathbf{k}}{4\pi^2} iG_c^0[\omega = 0; \mathbf{k}] e^{i\mathbf{k} \cdot \delta_{ij}}, \tag{A9}$$

(b) the Kondo term:

$$H_{K,c-f_1}^{(1)} = J_K^{cf_1} \mathbf{s}_i \cdot \mathbf{S}_i^{f_1} = \frac{J_K^{cf_1}}{2} \sum_{\alpha\beta} c_{i\alpha}^\dagger c_{i\beta} \tilde{f}_{1i\beta}^\dagger \tilde{f}_{1i\alpha}, \tag{A10}$$

with

$$J_K^{cf_1} = V^2 \sum_{ss'} iG[\tau_{mis}^+, \tau_{mis'}^-](v = 0; i, i) = V^2/U; \tag{A11}$$

(c)  $f_1$ - $f_2$  superexchange,  $H_{J^D}$  already given in Eq. (A6),  $J_{ij}^D = 4t_\perp^2/U$ . When  $c - f_1$  hybridization is present, a small correction factor  $\sim 1 - \langle \tau_1^x \rangle$  is expected.

(2) Second-order terms ( $V^4$  or  $t_\perp^4$ ):

(a) RKKY interaction between spins of  $f_1$  fermions:

$$H_{J,f_1}^{(2)} = \sum_{ij} J_{f_1} \mathbf{S}_i^{f_1} \cdot \mathbf{S}_j^{f_1}, \tag{A12}$$

$$J_{f_1} = 4|t_{ij}^{f_1}|^2 V^4/U; \tag{A13}$$

(b) when  $c$ - $f_1$  hybridization is present, an effective three-leg vertex  $\langle \tau_1^x \rangle t_\perp \tilde{f}_1^\dagger \tilde{f}_2 \tau_2^+$  emerges; it first induces an effective hopping for  $f_2$  fermions:

$$H_{t,f_2}^{(2)} = \langle \tau_1^x \rangle^2 t_\perp^2 \sum_{ij} t_{ij}^{f_2} \tilde{f}_{2i\sigma}^\dagger \tilde{f}_{2j\sigma} + \text{H.c.} \tag{A14}$$

with

$$\begin{aligned}
 t_{ij}^{f_2} &= \langle \tilde{f}_{1j}^\dagger \tilde{f}_{1i} \rangle [\omega = 0] \\
 &= \int d^2\mathbf{k} iG_{f_1}[\omega = 0; \mathbf{k}] e^{i\mathbf{k} \cdot \delta_{ij}}, \tag{A15}
 \end{aligned}$$

consequently,  $H_{t,f_2}^{(2)}$  opens two more channels of spin-spin interactions:

(i) higher order RKKY between  $f_2$ -fermion spins can be obtained akin Eq. (A12):

$$H_{J,f_2}^{(2)} = \sum_{ij} J_{f_2} \mathbf{S}_i^{f_2} \cdot \mathbf{S}_j^{f_2}, \tag{A16}$$

$$J_{f_2} = 4|t_{ij}^{f_2}|^2 t_\perp^4 \langle \tau_1^x \rangle^4 /U; \tag{A17}$$

(ii) also a higher order Kondo interaction between  $c$  and  $f_2$  fermions:

$$H_{K,c-f_2}^{(2)} = J_K^{cf_2} \mathbf{s}_i^c \cdot \mathbf{S}_i^{f_2}, \quad (\text{A18})$$

$$\begin{aligned} J_K^{cf_2} &= \langle \tau_{1i}^x \rangle^2 V^2 |\langle \tilde{J}_{1i}^+ \tilde{J}_{1i}^- \rangle|^2 \\ &\times \sum_{ss'} iG[\tau_{mis}^+, \tau_{mis'}^-](v=0) \\ &\propto t_{\perp}^2 V^2 \langle \tau_{1i}^x \rangle^2 / U. \end{aligned} \quad (\text{A19})$$

## APPENDIX B: SPECTRAL PROPERTIES

In the main text, we focused on the magnetic properties, especially the  $f_2$ -AF order induced by  $c$ - $f_1$  heavy electrons. To further understand the phase diagram, we examined the spectral properties via the single-particle orbital-dependent local density of states (DOS)  $N^a(\omega)$  with  $a = c, f_1$ , and  $f_2$  relating to the local imaginary-time ordered Green's function  $G^a(\tau) = \sum_j \langle T a_j(\tau) a_{j\pm}^\dagger(0) \rangle$  via

$$G^a(\tau) = \int_{-\infty}^{\infty} d\omega \frac{e^{-\omega\tau}}{e^{-\beta\omega} + 1} N^a(\omega). \quad (\text{B1})$$

To avoid the ambiguity from analytical continuation such as maximum entropy method [28], we resort to the approximate formula  $N^a(\omega=0) \approx \beta G^a(\tau = \beta/2) / \pi$  assuming that the temperature is much lower than the energy scale on which there are structures in DOS [29].

As shown in Fig. 5(a), the dominant feature exhibits at large  $t_{\perp} \geq V$ , where both  $c$  and  $f_2$  orbitals display the metallic behavior while  $f_1$  orbital is readily insulating in all regimes of the phase diagram. The strong metallicity of the conduction electron can be easily understood as the consequence of significantly weakened  $c$ - $f_1$  spin correlation (Fig. 3) that liberates the  $c$  electrons so that  $\beta G^c(\beta/2)$  grows with  $t_{\perp}$  until its ultimate saturation. Figure 5(b) provides more evidence by illustrating the imaginary-time dependence of  $G(\tau)$  of three orbitals  $c, f_1$ , and  $f_2$  in a specific case of large enough  $t_{\perp}$ , which clearly indicates the steadily insulating behavior of  $f_1$ .

Apparently, the most “unexpected” feature is the different spectral behavior between  $f_1$  and  $f_2$ , which should naively behave similarly at large  $t_{\perp}$ . Strikingly, the comparison with Fig. 2 demonstrates that the metallicity of  $f_2$  starts within the phase with  $f_2$ -AF order in Fig. 1. For instance, for  $V/t = 1.6$ , the  $f_2$ -AF regime occurs within  $t_{\perp}/t \in [0.6, 1.8]$ ; while its metallicity starts from  $t_{\perp}/t \sim 1.2$ . Therefore, at relatively large  $t_{\perp}$ , the gray  $f_2$ -AF phase consists of a crossover from  $f_2$ 's AF insulating to metallic AF nature.

On the one hand, at small enough  $t_{\perp}$ , the perfect nesting of  $c$  electron's Fermi surface in our half-filled system and the strong  $c$ - $f_1$  hybridization  $V$  results in the insulating  $c$ - $f_1$  singlets, which has minor impact on the individual insulating  $f_2$ . On the other hand, at large enough  $t_{\perp}$ , the strongly bound  $f_1$ - $f_2$  dimers results in their common insulating feature. Although not explicitly shown in Fig. 5(a), we confirm that  $\beta G^{f_2}(\beta/2)$  finally vanishes at sufficiently large  $t_{\perp}$ , whose trend can be clearly seen as its decrease with enlarging  $t_{\perp}$ .

In the intermediate  $t_{\perp}$ , we believe that the key difference between  $f_2$ 's metallicity and  $f_1$ 's insulating originates from

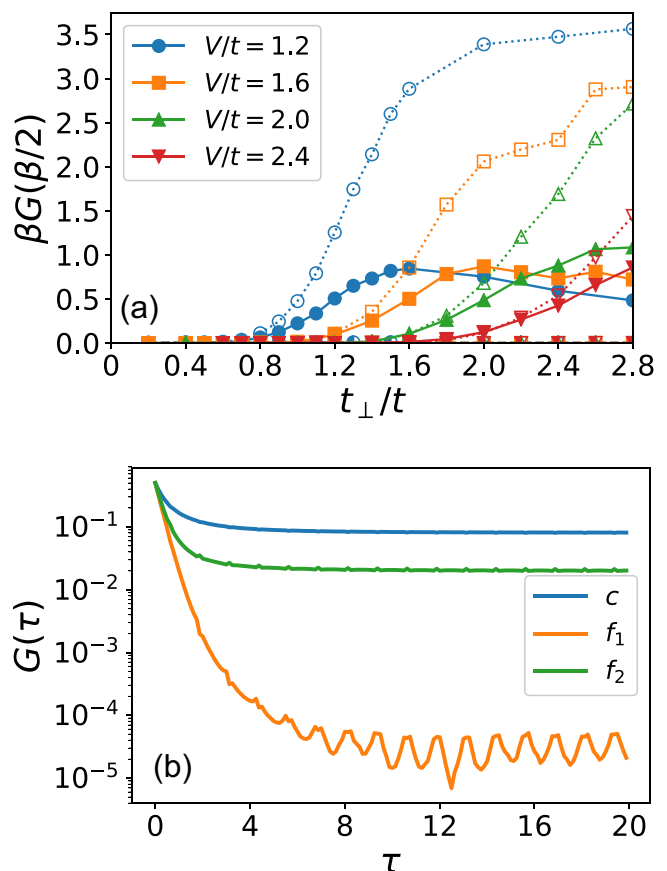


FIG. 5. (a) Approximate local DOS at Fermi level of three orbitals  $f_2$  (full symbols),  $f_1$  (half-filled symbols), and  $c$  (unfilled symbols) vs  $t_{\perp}$  for diverse  $V$  at  $T = 0.025t$ . (b) The imaginary-time dependence of  $\ln G^a(\tau)$  at  $\tau \in [0, \beta t/2]$  for three orbitals  $c, f_1$ , and  $f_2$  for  $V/t = 1.6$ ,  $t_{\perp}/t = 2.8$  [deep in the  $f_{12}$ -D regime of the phase diagram Fig. 1(b)] and  $\beta t = 40.0$ .

their different local hybridization. As shown in Fig. 2(b), the presence of  $f_2$ -AF accompanies with the much weaker  $f_1$ -AF. This AF correlation together with the perfect nesting of  $c$  electron's Fermi surface and the local  $f_1$ - $f_2$  hybridization gap out  $f_1$  fermion at half-filling. In contrast, as derived in Appendix A,  $f_2$ 's effective magnetic interaction is mediated by  $f_1$ , which does not have a nested Fermi surface since its effective hopping is proportional to  $G_c[i, j] = \langle c_j^\dagger c_i \rangle$  so that  $f_2$ 's metallicity is natural. Because the onset of  $f_2$ 's metallicity starts from the weakened  $f_2$ -AF regime, this metallicity probably participates in destroying the  $f_2$ -AF order. Besides, the simultaneous onset of the metallicity of  $c$  and  $f_2$  also indicates the importance of higher order  $c$ - $f_2$  hybridization induced by  $f_1$ .

Intuitively, the distinct difference between  $f_1$  and  $f_2$  reflects the more freedom of  $f_2$  despite of the gradually stronger  $f_1$ - $f_2$  binding, which is consistent with the smooth (rapid) variation of  $f_1$  ( $f_2$ ) local moment with  $t_{\perp}$  in Fig. 3(b). Despite of these suggestive discussion, we remark that how  $f_1$  remains gapped throughout the phase diagram and also how  $f_2$  shows metallicity at intermediate  $t_{\perp}$  may need further investigation, particularly in the realistic settings of relevant materials, which is still unclear.

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