Linear and nonlinear optical responses in Kitaev spin liquids

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We theoretically study THz-light-driven high-harmonic generation (HHG) in the spin-liquid states of the Kitaev honeycomb model with a magnetostriction coupling between spin and electric polarization. To compute the HHG spectra, we numerically solve the Lindblad equation, taking account of the dissipation effect. We find that isotropic Kitaev models possess a dynamical symmetry, which is broken by a static electric field, analogous to HHG in electron systems. We show that the HHG spectra exhibit characteristic continua of Majorana fermion excitations, and their broad peaks can be controlled by applying static electric or magnetic fields. In particular, the magnetic-field dependence of the HHG spectra drastically differs from those of usual ordered magnets. These results indicate that an intense THz laser provides a powerful tool to observe dynamic features of quantum spin liquids.

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Introduction. Quantum spin liquids (QSLs) have attracted tremendous attention for decades as exotic states of matter. Many theoreticians have tried to find essential properties of QSLs, and it has been theoretically revealed that the low-energy excitations of QSLs are given by fractionalized particles, and the wave functions possess a topological nature [1–4].

Meanwhile, it has been recognized as notoriously difficult to identify QSLs experimentally because most of their thermodynamic quantities are featureless. Hence, the experiments have been done for their dynamical quantities. For instance, longitudinal [5–7] and transverse [8,9] transport phenomena have provided important information about lowenergy excitations in QSLs. For the Kitaev QSL [10–14], ferromagnetic α -RuCl₃ has been shown to exhibit several characteristic behaviors in, e.g., the thermal Hall effect [15], longitudinal thermal conductivity [7], and Raman scattering [16,17], and antiferromagnetic YbCl₃ [18] has been expected to host QSL from neutron diffraction measurements. While these experimental results have been reasonably taken as evidence for OSLs, they are indirect, and active studies are ongoing to search for new ways to obtain further evidence.

One such direction is the nonlinear optical response at the THz frequency regime, which has been opened up by rapid development of THz laser technology [19-22]. Being at the energy scale of magnetic excitations, THz pulses are suitable for directly investigating and controlling quantum spin systems [23-46]. To detect crisp signatures of QSLs, the so-called THz two-dimensional coherent spectroscopy has been proposed [47] and theoretically analyzed in the Kitaev model [48]. However, in the Kitaev model, this method is based on third-order, rather than secondorder, optical response and thus it requires much stronger THz pulses for successful detection. The required intensity of THz pulses can be the bottleneck in experiments since spin-light couplings are generally much weaker than chargelight ones [37-43]. In fact, while high-harmonic generation (HHG) [49,50] has been observed at THz frequencies in, e.g., Dirac electrons [51-53], only the second-order response has been reported [28] in magnetic insulators at present. Thus, another method based on lower-order nonlinear responses, if exists, should be useful for experimental verification of QSLs.

In this Letter, we show that a combination of an intense THz laser pulse and static electromagnetic fields uncovers characteristics of the Kitaev QSL through harmonic generation, including the second-order harmonic. We numerically analyze the HHG spectra of the Kitaev model with magnetostriction-type magnetoelectric (ME) coupling [54] with the quantum master equation approach [41,46,55,56] to take account of dissipation effects. In addition to broad and continuous response functions characteristic of Majorana fermions, we find that static electric fields break some symmetry and activate the second-harmonic generation (SHG), and a static magnetic field causes an anomalous shift for the harmonic spectra. These findings indicate that nonlinear response to intense THz light gives us a powerful instrument for detecting dynamical features of Kitaev QSLs. Through this study, we will build a bridge between photoscience and QSLs.

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FIG. 1. (a) Lattice structure of the Kitaev model. Blue, green, and red lines, respectively, correspond to *x*, *y*, and *z* bonds. (b) Kitaev model with a dimerization on *x* and *y* bonds, which is caused by a static electric field E_{dc} along the \tilde{x} direction. (c) Gapless itinerant fermion band of the Kitaev model at $J_{x,y,z} = J$ and $E_{dc} = \kappa = 0$. (d) Ground-state phase diagram of the Kitaev model in (J_x, J_y, J_z) space at $\kappa = 0$. Orange and blue areas are, respectively, gapless and gapped QSLs. Application of E_{dc} induces $(J_x, J_y) \rightarrow (J_x - \mathcal{E}_{dc}, J_y + \mathcal{E}_{dc})$. (e)–(g) Density of states of itinerant fermions at $(\mathcal{E}_{dc}, \kappa) =$ (0, 0), (0.1, 0), and (0, 0.2) in J < 0. For details of the density of states, see Figs. S8 and S9 of the supplemental material [57].

Kitaev Model and Methods. The Hamiltonian of the Kitaev model (see Fig. 1) [58] for this work is given by

$$\hat{H}_0 = -\sum_{\alpha, \langle \boldsymbol{r}, \boldsymbol{r}' \rangle_{\alpha}} J_{\alpha} \sigma_{\boldsymbol{r}}^{\alpha} \sigma_{\boldsymbol{r}'}^{\alpha} - \kappa \sum_{\text{NNN}} \sigma_{\boldsymbol{r}}^{x} \sigma_{\boldsymbol{r}'}^{y} \sigma_{\boldsymbol{r}''}^{z} - E_{\text{dc}} \hat{P} \qquad (1)$$

with

$$\hat{P} = \eta_{\rm ms} \left(-\sum_{\langle \boldsymbol{r}, \boldsymbol{r}' \rangle_x} \sigma_{\boldsymbol{r}}^x \sigma_{\boldsymbol{r}'}^x + \sum_{\langle \boldsymbol{r}, \boldsymbol{r}' \rangle_y} \sigma_{\boldsymbol{r}}^y \sigma_{\boldsymbol{r}'}^y \right), \tag{2}$$

where σ_r^{α} ($\alpha = x, y, z$) is the Pauli matrix on the site $\mathbf{r} = (j, k)$, and the spin-1/2 operator on \mathbf{r} is $S_r^{\alpha} = \frac{\hbar}{2} \sigma_r^{\alpha}$ (we set $\hbar = 1$ throughout the paper). J_{α} is the Ising coupling constant between nearest-neighboring spins on α bond $\langle \mathbf{r}, \mathbf{r}' \rangle_{\alpha}$, and we mainly focus on the symmetric point $J = J_{x,y,z}$. The neighboring three-spin term [58] originates from the third-order perturbation with respect to a static Zeeman term $\mathcal{H}_B = -g\mu_B \mathbf{B} \cdot \sum_r \sigma_r^{\alpha}$ of an applied magnetic field \mathbf{B} (g is the g-factor and μ_B is the Bohr magneton). The coupling constant is computed as $\kappa \sim (g\mu_B)^3 B_x B_y B_z / J^2$: For $|J|/k_B = 10$ K, $\kappa \sim 0.1|J|$ corresponds to $|\mathbf{B}| \sim 1T$. The κ term is the leading term changing the Majorana-fermion dispersion (as one will see later) in the Zeeman interaction. The final term of Eq. (1)

represents the coupling between electric polarization \hat{P} and an applied static electric field E_{dc} along the \tilde{x} direction [Figs. 1(b) and 1(d)]. Equation (2) assumes that \hat{P} is proportional to dimerization along the \tilde{x} direction. This kind of ME term appears in a class of multiferroic magnets and its typical origin is the spin-phonon coupling [54]. This dimerization makes the ground-state energy reduce (see the supplemental material [57]), and therefore this ME term may appear in a sort of real Kitaev-like material. In a class of multiferroics, the ME-coupling energy reaches that of the Zeeman term [23-25,32,34,54,59,60], and thereby we have assumed that the effective coupling $\mathcal{E}_{dc} = E_{dc}\eta_{ms}$ is the same order as $g_0 \mu_B E_{dc}/c$, with c being the speed of light and $g_0 = 2$: For $|J|/k_B = 10$ K, $\mathcal{E}_{dc} \sim 0.01 |J| - 0.1 |J|$ corresponds to 0.1-1 MV/cm. We note that a similar dimerization $(J_x \neq J_y)$ can appear in a class of Kitaev candidates even without a dc electric field.

The Kitaev model (1) is exactly solvable via fermionization [58,61], as detailed in the literature [10–13,58,61] (see the supplemental material [57] for details). The fermionized Hamiltonian consists of four kinds of Majorana fermions: two dispersive and two localized ones (called visons). Visons have a small gap [58] and are absent [62] in the ground state at zero temperature T = 0. The Hamiltonian at T = 0is therefore described only by the dispersive fermions. Figures 1(c)–1(g) show that the dispersive fermion is gapless around the isotropic point $J_{x,y,z} = J$, while the κ term opens a gap. We note that some perturbations can also be fermionized [63,64], and therefore our analysis below is also applicable to such perturbed Kitaev models [65].

To consider HHG in the Kitaev model at T = 0, we introduce an ac ME coupling between an ac electric field $E_{ac}(t)$ and the polarization \hat{P} : $H_{\rm ms}(t) = -E_{\rm ac}(t)\hat{P}$ [54]. We have assumed that $\boldsymbol{E}_{ac} \parallel \tilde{x}$, and the field is a Gaussian pulse with a THz frequency Ω : $E_{ac}(t) = E_{ac} \cos(\Omega t) f(t)$, and the envelope function is given by $f(t) = \exp[-2(\ln 2)(t^2/t_{\text{FWHM}}^2)]$, where $t_{\rm FWHM}$ is the full width at half-maximum of the intensity $E_{\rm ac}(t)^2$. We define the ac coupling constant $\mathcal{E}_{\rm ac} = E_{\rm ac}\eta_{\rm ms}$ and consider five-cycle pulses ($t_{\rm FWHM}/T = 5$). We note that even after application of $E_{\rm ac}(t)$, the Hamiltonian $H_0 + H_{\rm ms}(t)$ is described by a bilinear form of the dispersive fermion in wave-vector k space. There is no vison dynamics in our setup that is valid in sufficiently low temperatures. We also phenomenologically introduce dissipation effects described by the Lindblad equation so as not to break the block-diagonal structure in k [41,46,55,56] (for details, see the supplemental material [57]). The dissipation is *k*-independent and characterized by the dissipation rate $\gamma = 0.1J$, which corresponds to the relaxation time $\tau = 1/\gamma \sim 7.6 \,\mathrm{ps}$ for $J/k_B = 10 \mathrm{K}$ [66–75]. We suppose that the system is initially $(t \to -\infty)$ in the ground state, and we solve the Lindblad equation numerically to obtain the THz-driven nonequilibrium dynamics.

The observable of interest is \hat{P} , which is the source of HHG in our model. Time evolution of polarization is given by $P(t) = \langle \hat{P} \rangle_t = N^{-1} \sum_{k,k_x>0} \text{Tr}[\rho(\mathbf{k}, t)P_k]$, where P_k is 2×2 reduced polarization for the subspace with \mathbf{k} , and N is the total number of unit cells. Since the electromagnetic radiation is proportional to $d^2P(t)/dt^2$, the radiation power at frequency ω is given by $I(\omega) = |\omega^2 P(\omega)|^2$, where $P(\omega)$ is the Fourier



FIG. 2. HHG spectra $I(\omega)$ in driven isotropic $(J_{x,y,z} = J)$ Kitaev models with/without a dc electric field E_{dc} at $\kappa = 0$ under a THz pulse of $\Omega = 2.0J$. (a) $I(\omega)$ as a function of ω at $\mathcal{E}_{dc} = 0$ and 0.1Junder the irradiation of $\mathcal{E}_{ac} = 0.1J$. $I(\omega)$ is normalized with its maximum value. (b),(c) (E_{ac}, E_{dc}) dependence of SHG $[I(2\Omega)]$ and FHG $[I(4\Omega)]$ spectra. The intensities, panels (b) and (c), are normalized with $I(\Omega)$ at $\mathcal{E}_{ac} = \mathcal{E}_{dc} = 0.05J$.

transform of P(t) [76]. Since a constant shift of P(t) does not change $I(\omega)$, we will also use $\Delta P(t) = P(t) - P(t_{ini})$.

Effect of a dc Electric Field and Dimerization. We turn to our analyses and results. First we focus on the dc-electric-field dependence of HHG in the Kitaev model with $J_{x,y,z} = J$ and $\kappa = 0$. Figure 2(a) shows a typical HHG spectrum $I(\omega)$ for ferromagnetic (J > 0) Kitaev models at $\mathcal{E}_{dc} = 0$ and 0.1J. Figures 2(b) and 2(c) are, respectively, the (E_{ac}, E_{dc}) dependence of the SHG and fourth harmonic generation (FHG) that arise due to dimerization by $E_{dc} \neq 0$. The SHG signal, activated by the dc electric field, is stronger than higher-order harmonics, and it can be a useful probe for QSL, as we discuss further below.

The HHG selection rules are often understood by dynamical symmetries that become exact in the limit of $t_{\rm FWHM} \rightarrow \infty$ [77,78], also known as the time glide symmetry [79]. For our Kitaev model, we find that the following dynamical symmetry determines the HHG selection rule. In the nondimerized case of $E_{dc} = 0$, the Hamiltonian $H_0 + H_{ms}(t)$ is invariant under the time translation operation $t \rightarrow t + T/2$ combined with the unitary transformation $\hat{U} = \hat{U}_{mir} \times \hat{U}_{\pi/2}^{z}$, where \hat{U}_{mir} is the reflection operation with respect to the \tilde{y} axis and $\hat{U}_{\pi/2}^{z}$ is the global $\pi/2$ spin rotation around the S^z axis. \hat{P} is odd for this transformation, and therefore we obtain P(t + T/2) = -P(t), which means that even-order HHG is prohibited, consistent with Fig. 2 [57]. However, for $E_{dc} \neq 0$, this dynamical symmetry is broken, and even-order HHG is allowed. We note that for $\kappa \neq 0$, the unitary operator \hat{U} is modified as $\hat{U} \rightarrow \hat{V}\hat{U}$, where \hat{V} is the time-reversal operator.

Thus, even-order HHG can be controlled by the static electric field E_{dc} through dimerization. Similar effects have been discussed in a spin chain [41] and in electronic systems [80–90], where E_{dc} induces electric current breaking the inversion symmetry. Being based only on symmetry, the dynamical



FIG. 3. $I(\Omega)$ of the Kitaev models with $J_{x,y,z} = J$ and $\mathcal{E}_{dc} = 0.1J$ at (a) a weak laser intensity $\mathcal{E}_{ac}/J = 10^{-3}$ and (b) strong intensities of $\mathcal{E}_{ac}/J = 0.1, 0.15$, and 0.2. Blue lines and dotted points are, respectively, results of the linear response (Kubo) theory and numerically solved master equation [57]. $I(\Omega)$ is normalized with the maximum value of the Kubo formula. Panels (c) and (d) show DOSs of fermions corresponding to cases (a) and (b), respectively. (e) SHG [$I(2\Omega)$] and (f) THG [$I(3\Omega)$] of the Kitaev model with $\mathcal{E}_{dc} = 0.1J$ in the space (\mathcal{E}_{ac}, Ω). The intensities, panels (e) and (f), are normalized with $I(\Omega)$ at (\mathcal{E}_{ac}, Ω) = (0.05*J*, 2*J*). The corresponding DOSs are depicted in panels (g) and (h).

symmetry argument is applicable to a wide class of perturbed Kitaev models. For example, the dynamical symmetry survives for $J_z \neq J_x = J_y$ while it breaks down for $J_x \neq J_y$. The magnetic anisotropy dependence of even-order harmonics is discussed in the supplemental material [57]. In the following, we assume $E_{dc} \neq 0$ as necessary to ensure that even-order HHG is present.

Dependence of Laser Frequency and Intensity. Next, we consider the Ω and E_{ac} dependence of the HHG. Figures 3(a) and 3(b), respectively, show the Ω dependence of $I(\Omega)$ for weak ($\mathcal{E}_{ac} = 10^{-3}J$) and strong ($\mathcal{E}_{ac} = 0.1J, 0.15J, 0.2J$) THz pulses. In addition to the numerical result of the Lindblad equation, we plot that of the linear response theory (the Kubo formula) (see the supplemental material [57]). From the comparison between $I(\Omega)$ in Fig. 3(a) [Fig. 3(b)] and the fermion density of state (DOS) $\mathcal{D}(\omega)$ in Fig. 3(c) [Fig. 3(d)], we find that two-particle continuum spectra occur in the driven Kitaev model. This results from the ME coupling between an ac electric field (i.e., photon) and a pair of fermions with k and -k. This continuum indicates the existence of fermionic excitations in Kitaev magnets, and it differs qualitatively from usual

ordered magnets, where one often observes a δ -functional peak due to magnons [91].

It is noteworthy that even the fundamental harmonic ($\omega = \Omega$) shows characteristics of the QSL in the strong THz pulse. Unlike in the weak pulse, the Kubo formula is no longer valid in the strong one in the deep nonperturbative regime. In this regime of $\mathcal{E}_{ac} \gtrsim 0.15J$, a new broad peak emerges in $I(\Omega)$ at $\Omega_{peak} \sim 4J$ [Fig. 3(b)], which is twice as large as the high DOS position. Namely, $I(\Omega)$ driven by intense pulses tells us the peak position of the DOS. For instance, $E_{ac} = 0.15J/\eta_{ms} \sim 3MV/cm$ for J = 10K under the assumption of $\mathcal{E}_{ac} = g_0 \mu_B E_{ac}/c$, and it indicates that the currently available THz laser is strong enough to observe such nonlinear optical spectra. We note that in Fig. 3(b), the increase of $I(\Omega)$ in the high- Ω range around $\Omega \sim 8J$ is due to the factor Ω^4 in $I(\Omega)$.

The SHG and third harmonic generation (THG) spectra, $I(2\Omega)$ and $I(3\Omega)$, are depicted in Figs. 3(e) and 3(f). We find that broad peaks in $I(2\Omega)$ and $I(3\Omega)$ appear around $\Omega = \Omega_{\text{peak}}/2$ and $\Omega_{\text{peak}}/3$, respectively. This is a natural result, indicating that excitation processes creating fermions with a high DOS are dominant in HHG. Figure 3(h) shows that the peak frequency of the THG is slightly higher than that of the DOS $\mathcal{D}(3\Omega/2)$. This would also be attributed to the factor $(3\Omega)^4$ in $I(3\Omega)$.

Effect of a dc Magnetic Field. Now we discuss the dcmagnetic-field dependence of HHG in Kitaev models. We focus on the antiferromagnetic Kitaev model [57], where the Kitaev QSL state is more stable against magnetic fields than in the ferromagnetic case [92]. The magnetic-field driven κ term opens a mass gap Δ_{κ} in the fermion band, as shown in Fig. 1(g). As Δ_{κ} increases, the maximum of $\mathcal{D}(\omega)$ at $\omega \sim 2|J|$ grows up for $\Delta_{\kappa} \leq 2|J|$ ($\kappa \leq 0.2|J|$) [see Figs. 1(e) and 1(g)]. Therefore, the intensities of HHG spectra are expected to be controlled by the dc magnetic field and laser frequency Ω . Figure 4 proves this expectation. Panels (a) and (c), respectively, show the Ω dependence of $I(\Omega)$ and $\mathcal{D}(\omega)$ at a tuned effective magnetic field $\kappa = 0.2|J|$, where $\mathcal{D}(\Omega)$ take the highest value at $\Omega_0 \sim \Delta_{\kappa} \sim 2|J|$. In this case, we have a sharp peak of $I(\Omega)$ at $\Omega_{\text{peak}} = 2\Omega_0 \sim 4|J|$. Figures 4(b) and 4(d) represent $I(\Omega)$ and $\mathcal{D}(\omega)$ as functions of κ at a fixed $\Omega = 2|J|$. We see that a clear peak of $I(\Omega)$ appears when Δ_{κ} passes across one-half of the laser frequency $\Omega/2$.

We also show $I(\Omega)$ and $I(2\Omega)$ in the (κ, Ω) space in Figs. 4(e) and 4(f). For $I(\Omega)$ in the case of $\kappa \gtrsim 0.2|J|$, the frequency Ω of the broad peak increases monotonically in an almost κ -linear fashion. This is because the peak position Ω_0 of $\mathcal{D}(\Omega)$ increases almost linearly with κ for $\kappa \gtrsim 0.2|J|$ (for more details, see Fig. S15 of the supplemental material [57]). Since $\kappa \sim |\mathbf{B}|^3$, the **B**-cube-dependent frequency at the peak is specific for the Kitaev model and essentially differs from the **B**-linear behavior of magnon peaks. The frequency at the peak of $I(2\Omega)$ is almost half of Ω_{peak} of $I(\Omega)$, as shown in Fig. 4(f), and this is a natural result from the perturbative viewpoint. We note that, even in the linear response regime ($\omega = \Omega$ and weak THz pulse), the fundamental harmonic shows characteristics of the QSL for $\kappa \gtrsim 0.2|J|$ (see the supplemental material [57]). $I(\Omega)$ driven by intense pulses with a finite magnetic field tells us the peak position of the DOS Ω_0 , which is half as large as Ω_{peak} .



FIG. 4. (a) $I(\Omega)$ in an antiferromagnetic (J < 0) Kitaev model with $\kappa = 0.2|J|$, $\mathcal{E}_{dc} = 0$, and $\mathcal{E}_{ac} = 10^{-3}|J|$. (b) κ dependence of $I(\Omega)$ in $\Omega = 2.0|J|$, $\mathcal{E}_{dc} = 0$, and $\mathcal{E}_{ac} = 10^{-3}|J|$. Blue line and dotted points are, respectively, results of the linear response theory and the master equation [57]. $I(\Omega)$ in (a) and (b) are normalized with the maximum value in panel (a). (c) Ω and (d) κ dependences of $\mathcal{D}(\omega)$ that, respectively, correspond to panels (a) and (b). (e) $I(\Omega)$ and (f) $I(2\Omega)$ of the Kitaev model with $\mathcal{E}_{dc} = \mathcal{E}_{ac} = 0.1|J|$. The intensities, panels (e) and (f), are normalized with $I(\Omega)$ at $(\kappa, \Omega) =$ (0.05|J|, 2|J|).

Finally, we estimate the laser intensity required in the HHG experiment. For the Kitaev magnet with $|J|/k_B = 10$ K and $\kappa = 0$, the required ac electric field $E_{\rm ac}$ for the observation of HHG can be estimated from Fig. 2(a): $E_{ac} = 2.6 \text{MV/cm}$ at 0.42 THz is necessary for $R(\Omega) = I(2\Omega)/I(\Omega) \gtrsim 10^{-2}$ and $E_{\rm ac} = 0.9 {\rm MV/cm}$ for $R(\Omega) \gtrsim 10^{-3}$. These required ac electric fields can be reduced when applying the effective static magnetic field $\kappa = 0.2|J|$ as shown in Fig. 4 and the supplemental material [57]. In this case, the electric field is estimated as $E_{\rm ac} = 0.7 {\rm MV/cm}$ at 0.42 THz for $R(\Omega) \gtrsim 10^{-2}$ and $E_{\rm ac} = 0.2 {\rm MV/cm}$ for $R(\Omega) \gtrsim 10^{-3}$. We remark that the above criteria, $R(\Omega) \gtrsim 10^{-2}$ and 10^{-3} , for successful detection are much more strict than those in the actual experiments for electronic systems [51–53]. Thus, much weaker pulses might be enough to verify our predictions experimentally. These estimates indicate that lower-order harmonics in Kitaev magnets can be detected with current THz light techniques.

Conclusions. We have analyzed the HHG in Kitaev magnets with an ME coupling by using a quantum master equation and the linear response theory. Our results show that the specific nature of the Majorana fermion excitations can be detected by linear and nonlinear THz-light responses. The characteristics of the Kitaev model, such as even-order harmonics, continuum HHG spectra, and broad peaks, can be controlled by applying static electric or magnetic fields. This

study sheds light on an interdisciplinary field between photoscience and QSLs.

Our setup does not accompany vison (localized fermion) excitations. Studies for laser-driven vison dynamics and the effects of temperature and ac Zeeman terms are interesting directions for future work.

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