

Ultrasonic chaining of emulsion droplets

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Emulsion droplets trapped in an ultrasonic levitator organize themselves in a way that solid spheres do not. Rather than coalescing into planar colloidal crystals, monodisperse emulsion droplets instead form single-file chains. These chains' collective behavior and their influence on nearby droplets suggest that their constituent droplets are spinning rapidly around their common axis. Such acoustically induced spinning also distinguishes fluid droplets from solid spheres and naturally accounts for the droplets' propensity to form chains. In this interpretation, solid spheres do not form chains because they do not spin. We demonstrate the chain-to-crystal transition with a model system in which fluid emulsion droplets can be photopolymerized into solid spheres without significantly changing other material properties. The behavior of this experimental system is quantitatively consistent with an acoustohydrodynamic model for spinning spheres in an acoustic levitator. This study therefore introduces acoustically driven spinning as a mechanism for guiding self-organization of acoustically levitated matter.

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I. INTRODUCTION

Acoustic standing waves exert forces that can be strong enough to levitate objects against gravity [1] as illustrated schematically in Figs. 1(a) and 1(b). In addition to experiencing this primary acoustic radiation force (ARF), objects trapped in a standing wave also interact with each other through the sound waves that they scatter. Scattered waves interfere with the standing wave and with each other to mediate secondary Bjerknes forces [2–6] that generally are attractive at separations smaller than the wavelength of sound. Levitated ensembles of objects therefore tend to organize themselves into planar clusters [7]. When applied to monodisperse spheres, this mechanism creates close-packed crystals [7,8], such as the example in Fig. 1(c).

Here, we report a distinct mode of sound-mediated organization that arises when acoustic levitation is applied to an emulsion of monodisperse oil droplets in water. Rather than forming crystals, these droplets align themselves into long single-file chains, such as the examples in Fig. 1(d). Video microscopy of the chains suggests that the individual droplets rotate rapidly with a common axis of rotation that is aligned along the chain [9].

Polymerizing the droplets into solid spheres essentially eliminates their rotation. The solidified spheres no longer form chains, but instead organize themselves into levitated colloidal crystals. Given that polymerization changes nothing about the spheres except for their viscoelastic properties, we propose that the fluid droplets spin because they are deformable and that their spinning gives rise to hydrodynamic interactions that foster chain formation. This mechanism for sound-mediated self-organization appears not to have been reported previously.

Section II presents the model experimental system that exhibits acoustically driven spinning and chaining. Section III explains how hydrodynamic interactions among spinning spheres can induce chaining, particularly when the spheres inherently are attracted to each other. This analysis reveals a threshold spinning rate above which planar crystals are unstable and single-file chains are favored. Simulations described in Sec. IV then show that chain formation proceeds at a rate consistent with experimental observations given the inferred rate of single-sphere spinning.

II. EXPERIMENTAL OBSERVATIONS

A. Acoustic levitation

Experiments are carried out in a cylindrical ultrasonic resonator made of aluminum with inner diameter $D = 20$ mm and height $H = 375$ μm . The top of the cavity is sealed with a round quartz cover plate that serves as the reflector shown in Fig. 1(a). The transparent cover also provides optical access to the sample. The bottom is a 0.30-mm-thick silicon wafer that launches sound waves into the sample. A standing wave is excited in this resonator by a 2 MHz piezoelectric transducer that

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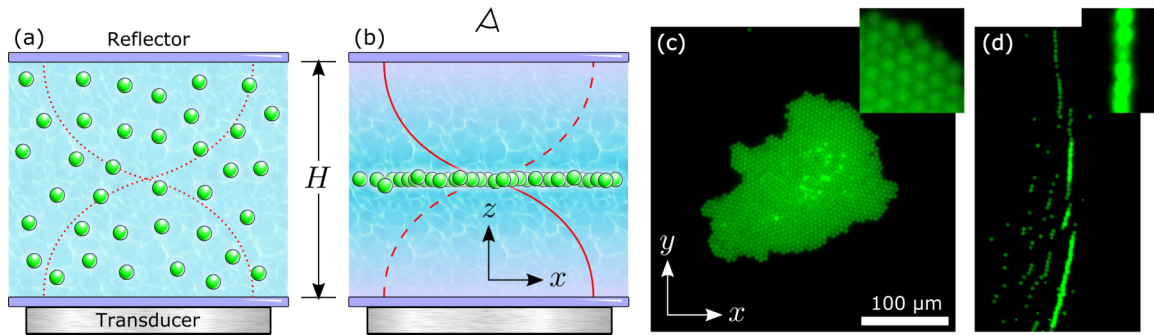


FIG. 1. (a) Colloidal particles are dispersed in a horizontal layer of water confined between an ultrasonic transducer and a parallel transparent reflector separated by half of a wave length, $H = \lambda/2$. (b) The node in the acoustic pressure field along the midplane acts as an acoustic trap for the dispersed particles. (c) Fluorescence microscopy image of solid TPM spheres trapped at the levitator's midplane and assembled into a two-dimensional crystal by secondary Bjerknes forces. Inset is $4\times$ magnified. (d) Fluorescence microscopy image of monodisperse droplets of TPM oil levitating at the midplane and forming rapidly rotating chains. The individual spheres' axis of rotation is aligned with the axis of the chain.

is glued directly to the silicon wafer. The disklike transducer is centered on the wafer to maintain the cylindrical symmetry of the resonator. The transducer is driven sinusoidally by a signal generator (TiePie HandyScope HS5) at amplitudes up to 12 V peak to peak [10]. The driving frequency is tuned to the fundamental mode of the cavity with wavelength $\lambda = 2H$ as depicted in Fig. 1(b). For the experiments reported here, the optimal driving frequency is $\omega = (1.985 \pm 0.001)$ MHz, which corresponds to a wavelength of $\lambda \approx 750 \mu\text{m}$ in water.

B. TPM droplets

An emulsion of monodisperse fluid droplets is prepared by homogeneous nucleation of 3-(trimethoxysilyl) propyl methyl methacrylate (TPM) ($\geq 98\%$; Sigma Aldrich) in a reducing environment following the procedure described in [11]. All chemicals are used as received with no further purification. The emulsification medium is composed of ammonia (NH_3 , 28 wt %; Sigma Aldrich) diluted 1000:1 in deionized water ($18.2 \text{ M}\Omega \text{ cm}$). Droplets are formed by adding 1 ml of TPM to 100 ml of the medium at room temperature with gentle stirring for 20 min. The diameter of the droplets is then increased by adding more TPM. Four additions of $600 \mu\text{l}$ of TPM at 20-min intervals increases the final droplet radius to $a_p = 1.8 \mu\text{m}$ with a polydispersity in radius of 2.2%. The size and polydispersity of the TPM droplets are measured by holographic particle characterization (Spheryx xSight) and confirmed by dynamic light scattering (Malvern ZetaSizer Nano ZS). The droplets are dyed with FITC to facilitate fluorescence microscopy [11].

A small amount of an oil-soluble free-radical photoinitiator (1 wt %, Darocur 1173; Ciba) is added to the droplets once growth is complete. The photoinitiator is used to polymerize the fluid droplets into solid spheres, but has no influence on their properties until triggered. Completed droplets are transferred to deionized water and are electrostatically stabilized with the addition of 1 mM sodium dodecyl sulfate (SDS). Holographic characterization measurements confirm that the droplets remain stable and monodisperse for at least 5 weeks.

TPM droplets condensed at low ammonia concentration have a mass density of $\rho_p = 1.18(1) \text{ gcm}^{-3}$, as determined

by sedimentation equilibrium in a sugar gradient. This is significantly lower than the value of $1.235(10) \text{ gcm}^{-3}$ obtained in more strongly reducing media [11]. These droplets have a correspondingly low refractive index of $n_p = 1.482(3)$ at a vacuum wavelength of 447 nm as determined by holographic characterization, which is significantly smaller than the value of $1.506(7)$ reported for solid TPM spheres [12]. The density contrast between the droplets and their aqueous environment is large enough to facilitate acoustic trapping but not large enough for gravity to compete effectively with acoustic forces at experimentally accessible pressure levels.

C. Solid TPM spheres

TPM droplets are solidified by exposing them to ultraviolet radiation (365 nm , 3.5 mW cm^{-2}) for 15 min to trigger free-radical polymerization. The polymerized TPM spheres have the same mean diameter and polydispersity as their fluid progenitors. Their density, however, increases by 3% to $\rho_p = 1.22(1) \text{ gcm}^{-3}$. The increase in density is consistent with the observed increase in refractive index to $n_p = 1.508(2)$ and also is consistent with results of previous characterization studies [11,12]. Photopolymerized spheres are washed three times and redispersed in 1 mM SDS solution.

D. Colloidal imaging

Bright-field and fluorescence images are captured in a Nikon Eclipse Ti wide-field fluorescence microscope in reflection mode. The $60\times$ oil-immersion objective provides a system magnification of 120 nm px^{-1} and the camera records 1280×1280 pixel images at 10 frames/s with an exposure time of 70 ms.

E. Formation of crystalline monolayers

A dispersion of solid colloidal TPM spheres is driven to the midplane of the ultrasonic levitator in a matter of seconds and forms monolayer crystals in a few minutes. The spheres within a crystal appear to be in contact, as can be seen in the inset to Fig. 1(c) and individual spheres do not appear to move relative to their neighbors once the crystal has formed.

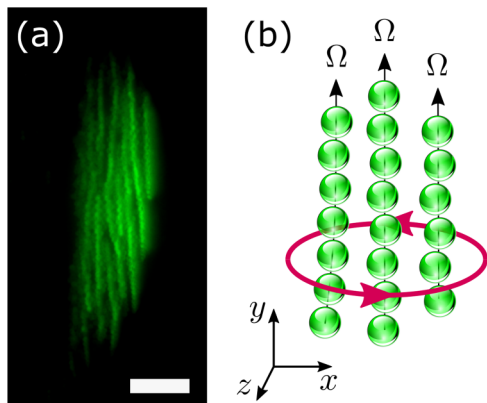


FIG. 2. Chains of rapidly rotating emulsion droplets form bundles that slowly orbit each other. (a) Fluorescence microscopy image of acoustically levitated TPM droplets forming a bundle of chains. Scale bar represents $50 \mu\text{m}$. (b) Schematic representation of droplets spinning with angular velocity Ω forming a bundle of regularly spaced chains that collectively rotate.

Levitated crystals are stable and drift freely in the nodal plane. Crystallization is reversible; turning off the ultrasound frees the spheres to diffuse and sediment independently.

F. Chaining of fluid droplets

Performing the same levitation experiments with droplets rather than rigid spheres yields substantially different behavior. Individual droplets appear to vibrate rapidly in the imaging plane, which we interpret to be the projection of rapid rotation around an axis parallel to the imaging plane. Rather than packing into two-dimensional crystals, these droplets form chains that are aligned with the presumed axis of rotation and perpendicular to the axis of the observed vibration. As for the solid-sphere crystals, the droplets within a chain appear to be in contact with each other. Droplet chaining is reversible, and the droplets diffuse apart and sediment as soon as the levitator is turned off. Chains do not move substantially from their starting positions, which suggests that acoustic streaming plays little if any role in their formation.

Depending on initial conditions, chains either form in comparative isolation, as in Fig. 1(d), or they form bundles such as the example in Fig. 2(a) [13]. The chains within a bundle appear to maintain uniform spacing from each other. They do not appear to exchange droplets. Bundled chains, moreover, tend to orbit each other, as indicated schematically in Fig. 2(b). This orbital motion can be explained naturally if the individual spheres are spinning because the resulting rotational flows would advect neighboring chains. Individual droplets also are observed to orbit nearby chains in a manner that would be explained naturally if the droplets in the chains were spinning.

The bundles' three-dimensional orbital motion carries the spinning droplets tens of micrometers above and below the stable trapping plane near the node of the ultrasonic pressure field. This out-of-plane motion contrasts with the rigid planarity of solid-sphere crystals.

Observations of single droplets orbiting chains and out-of-plane tumbling of chain bundles inspire our proposal that

(1) individual droplets are driven into rapid rotation by the ultrasonic levitator and that (2) hydrodynamic coupling among neighboring spheres disrupts crystallization and instead organizes the droplets into chains. In addition to levitating the droplets and setting them into rotations, acoustic forces also mediate attractions among the droplets that draw chained droplets into contact.

Similar rotation and chaining has been reported in acoustic levitation experiments on metallic [14] and bimetallic nanorods [15–19]. Zhou *et al.* also report sound-induced rotation of silica-titanium Janus microspheres [14]. The particles in all of these previous reports have lower symmetry than the homogeneous spherical particles and droplets in our experiments. Whereas fluid droplets normally are spherical, they also are deformable, and their deformability may contribute to their propensity to spin.

III. ACOUSTOHYDRODYNAMIC FORCES

A. Acoustic forces

Sound transports objects by advecting them in its velocity field and by exerting forces on them through pressure gradients. In a nearly incompressible medium such as water, a sound wave's pressure, $p(\mathbf{r}, t)$, acts as a scalar potential for the velocity,

$$\mathbf{v}(\mathbf{r}, t) = -\frac{i}{\omega\rho_m}\nabla p, \quad (1)$$

where ω is the wave's angular frequency and ρ_m is the mass density of the medium. In this case, the leading-order contributions to the time-averaged force exerted by a harmonic sound wave on a small object may be expressed in terms of the pressure alone as [3,20]

$$\mathbf{F}_a(\mathbf{r}) = \frac{1}{2}\text{Re}\{\alpha p\nabla p^* + \beta k^{-2}(\nabla p \cdot \nabla)\nabla p^*\}, \quad (2a)$$

where $k = \omega/c_m$ is the wave number of the sound in a medium with sound speed c_m .

The acoustic force described by Eq. (2) depends on the object's dipole and quadrupole acoustic polarizabilities, α and β , respectively. These, in turn, depend on the object's radius, a_p , density, ρ_p , and sound speed, c_p :

$$\alpha = \frac{4\pi a_p^3}{3\rho_m c_m^2} f_0 \left[-1 + \frac{i}{3}(f_0 + f_1)(ka_p)^3 \right], \quad (2b)$$

$$\beta = \frac{2\pi a_p^3}{\rho_m c_m^2} f_1 \left[1 + \frac{i}{6} f_1 (ka_p)^3 \right]. \quad (2c)$$

Within these expressions, the monopole coupling coefficient,

$$f_0 = 1 - \frac{\rho_m c_m^2}{\rho_p c_p^2}, \quad (2d)$$

depends on the compressibility of the particle, $\kappa_p = 1/(\rho_p c_p^2)$, relative to that of the medium, and the dipole coupling coefficient depends on the density mismatch,

$$f_1 = 2 \frac{\rho_p - \rho_m}{2\rho_p + \rho_m}. \quad (2e)$$

For TPM in water, $f_0 = -0.33$ and $f_1 = 0.13$, assuming $c_p = 1040 \text{ ms}^{-1}$ [21]. Equation (2) should accurately predict

acoustic forces experienced by objects that are smaller than the wavelength of sound, $ka_p < 1$. The water-borne TPM droplets and spheres described in Sec. II have a reduced size of $ka_p = 0.03$ when levitated at 2 MHz and so satisfy this condition.

1. Acoustic levitation

The acoustic levitator used in this study is a resonant cavity whose height, H , is adjusted to half a wavelength, $kH = \pi$, creating a standing pressure wave with a node along the mid-plane:

$$p_0(\mathbf{r}, t) = p_0 \sin(kz)e^{-i\omega t}. \quad (3)$$

This sound wave exerts a primary acoustic force,

$$\mathbf{F}_1(z) = \frac{1}{4}k p_0^2 (\alpha' - \beta') \sin(2kz) \hat{z}, \quad (4)$$

$$\approx -\frac{1}{2}k^2 p_0^2 (\beta' - \alpha') z \hat{z}, \quad (5)$$

that is directed vertically along \hat{z} . Primes in Eq. (4) denote the real parts of the polarizabilities. Equations (2b)–(2e) show that $\beta' > \alpha'$ for dense incompressible objects. The levitator therefore localizes such objects near the midplane at $z = 0$ with an approximately Hookean restoring force that is proportional to the sound wave's intensity.

When driven at 12 V, the resonator stores an energy density of $\epsilon_0 = (27 \pm 3) \text{ J m}^{-3}$, as measured by the defocusing technique described in [10]. This sets the scale for the standing wave's amplitude through

$$\epsilon_0 = \frac{1}{2} \frac{p_0^2}{\rho_m c_m^2}. \quad (6)$$

2. Secondary Bjerknes interaction

Objects trapped in the levitator interact with each other via scattered sound waves, a mechanism known as the secondary Bjerknes interaction. The nature of this interparticle coupling also may be elucidated with Eq. (2). To leading order in ka_p , a small object located at \mathbf{r}_j scatters spherical waves to its neighbor at \mathbf{r}_i of the form [3]

$$p_s(\mathbf{r}_i, \mathbf{r}_j, t) = [-\Phi_p + \Phi_v \nabla_i \cdot \nabla_j] \frac{e^{ikr_{ij}}}{kr_{ij}} p_0(\mathbf{r}_j, t), \quad (7a)$$

where $\mathbf{r}_{ij} = \mathbf{r}_i - \mathbf{r}_j$. The scattered pressure field includes a contribution proportional to the pressure of the standing wave at the position of the scatterer with coefficient

$$\Phi_p = \frac{1}{3}(ka_p)^3 f_0 \quad (7b)$$

and another term proportional to the standing wave's velocity with coefficient

$$\Phi_v = \frac{1}{2}(ka_p)^3 f_1. \quad (7c)$$

The next-higher-order contributions to $p_s(\mathbf{r}_i, \mathbf{r}_j, t)$ have gradients directed along \hat{z} and so do not contribute to interparticle interactions.

A particle located at \mathbf{r}_i experiences both the levitator's pressure field and also the scattered field due to its neighbors. Considering just one such interaction, the net pressure wave experienced by a particle at \mathbf{r}_i is

$$p(\mathbf{r}_i, \mathbf{r}_j, t) = p_0(\mathbf{r}_i, t) + p_s(\mathbf{r}_i, \mathbf{r}_j, t), \quad (8)$$

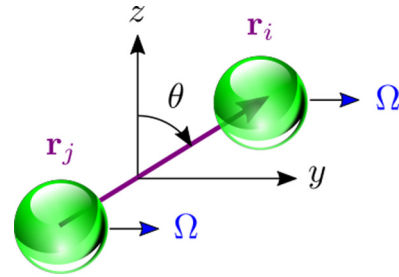


FIG. 3. Geometry of acoustohydrodynamic forces acting on acoustically levitated spheres. The sphere at \mathbf{r}_i is drawn to the nodal plane at $z = 0$ by the primary acoustic radiation force, $\mathbf{F}_1(\mathbf{r}_i)$, and experiences a secondary Bjerknes force, $\mathbf{F}_2(\mathbf{r}_i, \mathbf{r}_j)$, due to its neighbor at \mathbf{r}_j . The spheres' separation is inclined at angle θ with respect to the vertical \hat{z} axis. Both spheres are assumed to be spinning with angular velocity Ω , directed along \hat{y} .

which gives rise to a total acoustic force,

$$\mathbf{F}_a(\mathbf{r}_i, \mathbf{r}_j) = \mathbf{F}_1(z_i) + \mathbf{F}_2(\mathbf{r}_i, \mathbf{r}_j), \quad (9)$$

that combines the influence of the acoustic trap and the secondary Bjerknes interaction.

Particles trapped near the pressure node at $z = 0$ experience $p_0(\mathbf{r}, t) \approx 0$ and $\nabla p_0 \approx k p_0 \exp(-i\omega t) \hat{z}$. Their secondary Bjerknes interaction therefore is dominated by the velocity-dependent part of Eq. (7). This is still the case if the particles are displaced slightly from $z = 0$ by gravity. Assuming that the trapped particles all have the same physical properties, their secondary Bjerknes interaction reduces to a conservative pairwise-additive force,

$$\begin{aligned} \mathbf{F}_2(\mathbf{r}_i, \mathbf{r}_j) &= \mathbf{F}_2(r_{ij}, \theta) \\ &= \frac{3}{2} k p_0^2 \frac{\beta' \Phi_v}{(kr_{ij})^4} \left[\frac{1 + 3 \cos(2\theta)}{2} \hat{r} + \sin(2\theta) \hat{\theta} \right] \\ &\quad + O\{(kr_{ij})^{-3}, (ka_p)^9\}, \end{aligned} \quad (10)$$

where θ is the angle between \mathbf{r}_{ij} and \hat{z} , as shown in Fig. 3. Equation (10) further reduces to the classic expression for the secondary Bjerknes interaction [3] when the two spheres are in the nodal plane, $\theta = \pi/2$. This in-plane interaction is isotropically attractive and tends to organize monodisperse spheres into close-packed crystals such as the example in Fig. 1(c). The interaction becomes repulsive if the particles are canted by less than $\theta = 35.3^\circ$ from the vertical. This sign change influences sound-mediated organization when the particles are displaced from the trapping plane by competing influences such as hydrodynamic forces.

Interestingly, $\mathbf{F}_2(r_{ij}, \theta)$ depends on the particles' density through β' and Φ_v , but not on their compressibility. The secondary Bjerknes interaction therefore does not distinguish between fluid droplets and solid spheres of the same size and density. Differences in their phenomenology therefore must be due to other mechanisms.

B. Hydrodynamic forces

If we accept the proposal that fluid droplets tend to spin rapidly around a common axis in the trapping plane, then their

rotation drives circulatory fluid flows that mediate hydrodynamic interactions. Although a definitive explanation for the observed spinning is not yet available, Appendix A presents one possible mechanism. The far-field flow generated by a sphere of radius a_p located at \mathbf{r}_j and rotating around the \hat{y} axis at frequency Ω may be modeled as a rotlet,

$$\mathbf{u}(\mathbf{r} - \mathbf{r}_j) = \Omega \frac{a_p^3}{|\mathbf{r} - \mathbf{r}_j|^2} \sin \theta \hat{\phi}, \quad (11)$$

where θ is the polar angle indicated in Fig. 3 and ϕ is the azimuthal angle around \hat{z} . Equation (11) is a simplifying approximation for the flow field due to a spinning droplet because it does not account for fluid-fluid boundary conditions [22] or for the droplet's deformations. We also neglect the influence of bounding walls on $\mathbf{u}(\mathbf{r} - \mathbf{r}_j)$ because the interparticle separation is much smaller than the distance to the nearest wall.

The flow described by Eq. (11) exerts a hydrodynamic force on a neighboring sphere at \mathbf{r}_i that is described by Faxén's first law:

$$\mathbf{F}_h(\mathbf{r}_i, \mathbf{r}_j) = \gamma \left(1 + \frac{a_p^2}{6} \nabla_i^2 \right) \mathbf{u}(\mathbf{r}_i - \mathbf{r}_j), \quad (12)$$

where $\gamma = 6\pi\eta_m a_p$ is the Stokes drag coefficient in a medium of viscosity η_m . The Laplacian term vanishes in the rotational flow and sphere i is simply advected by its neighbor's flow field.

C. Acoustohydrodynamic chaining

The chaining mechanism can be understood by considering the dynamics of two acoustically levitated spheres undergoing coaxial rotation in the trapping plane. By Faxén's law, the spheres' center of mass, $\mathbf{R} = (\mathbf{r}_1 + \mathbf{r}_2)/2$, moves as

$$2\gamma \dot{\mathbf{R}} = \mathbf{F}_a(\mathbf{r}_1, \mathbf{r}_2) + \mathbf{F}_a(\mathbf{r}_2, \mathbf{r}_1) + \mathbf{F}_h(\mathbf{r}_1, \mathbf{r}_2) + \mathbf{F}_h(\mathbf{r}_2, \mathbf{r}_1) + 2\mathbf{F}_g + 2\mathbf{F}_1(\mathbf{R}), \quad (13)$$

where the dot denotes a derivative with respect to time and \mathbf{F}_g is the force of gravity acting on the spheres' buoyant masses. We assume that \mathbf{F}_g is weak enough for the acoustic trapping force to be linear in vertical displacements, as described by Eq. (5). In that case, $\mathbf{F}_1(\mathbf{r}_1) + \mathbf{F}_1(\mathbf{r}_2) \approx 2\mathbf{F}_1(\mathbf{R})$. We further assume that the spheres' rotation-induced interaction is reciprocal: $\mathbf{F}_h(\mathbf{r}_1, \mathbf{r}_2) = -\mathbf{F}_h(\mathbf{r}_2, \mathbf{r}_1)$.

The spheres' separation, $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$, evolves as

$$\gamma \dot{\mathbf{r}} = \mathbf{F}_a(\mathbf{r}_1, \mathbf{r}_2) - \mathbf{F}_a(\mathbf{r}_2, \mathbf{r}_1) + \mathbf{F}_h(\mathbf{r}_1, \mathbf{r}_2) - \mathbf{F}_h(\mathbf{r}_2, \mathbf{r}_1) + \mathbf{F}_1(\mathbf{r}). \quad (14)$$

The secondary Bjerknes interaction tends to draw the spheres into contact. We assume therefore that the relative separation does not vary significantly, $\dot{r} \approx 0$. In spherical coordinates, $\mathbf{r} = (r, \theta, \phi)$, the pair's azimuthal orientation then evolves as

$$\dot{\phi} = 2\Omega \frac{a_p^3}{r^3} \cos \theta \sin \phi, \quad (15)$$

which would describe uniform rotation about \hat{y} if the polar angle, θ , were fixed. The spheres' polar orientation also can

evolve in time, however, and the full expression for $\dot{\theta}$ is presented in Appendix B.

Equation (15) has a fixed point, $\dot{\phi} = 0$, for $\theta = \pi/2$, which corresponds to both spheres lying in the horizontal plane at the equilibrium height set by Eq. (13). In this configuration, the spheres' polar orientation evolves as

$$\dot{\theta} = 2\Omega \frac{a_p^3}{r^3} \cos \phi. \quad (16)$$

The stable configuration with $\phi = \pi/2$ (or equivalently $\phi = 3\pi/2$) corresponds to the spheres aligning along their common rotation axis, \hat{y} . Chaining therefore represents a stable fixed point for the configuration of coaxially spinning particles.

Alternatively, Eq. (15) reveals that stable configurations can appear at $\phi = 0$, with the spheres separated along \hat{x} , perpendicular to their rotation axis, \hat{y} . The radial component of the hydrodynamic force is repulsive in this configuration [23]. Stable transverse configurations therefore require an independent compensating attraction, such as the secondary Bjerknes force.

Mechanically stable solutions with $\phi = 0$ and $\dot{\theta} = 0$ are impossible if the spheres' rotation rate exceeds a critical value,

$$\Omega_c \approx \frac{p_0^2}{\eta_m \rho_m c_m^2} \left[\frac{f_1^2}{16} + \frac{2f_0 + 3f_1}{9} (ka_p)^2 \right]. \quad (17)$$

A transverse pair of rapidly spinning spheres tumbles out of the trapping plane, orbiting the center of mass indefinitely at angular frequency $\dot{\theta} = 2\Omega$.

Slowly spinning spheres with $0 < \Omega < \Omega_c$ can be stably oriented at

$$\theta = \pi - \frac{1}{2} \arcsin \left(\frac{\Omega}{\Omega_c} \right) \quad (18)$$

with respect to the vertical axis. This reflects a mechanical equilibrium between axial acoustic forces and rotation-induced hydrodynamic forces. The nonspinning limit at $\Omega = 0$ has a fixed point at $\theta = \pi/2$, which corresponds to conventional in-plane self-organization mediated by the secondary Bjerknes interaction. An additional fixed point at $\theta = 0$ has the spheres stacked atop each other along the vertical axis, but is unstable. At $\Omega = \Omega_c$, the marginally stable transverse configuration is inclined at $\theta = 3\pi/4$.

The tumbling solution with $\Omega > \Omega_c$ remains stationary in the sense that $\dot{\phi} = 0$ only if $\phi \approx \pi/2$. Deviations from transversality allow a tumbling pair to evolve into the aligned state at $\phi = 0$ and therefore to form a chain. This analysis suggests that the combination of acoustic and hydrodynamic forces tends to align pairs of corotating spheres along their common axis of rotation provided that their rotation rate is high enough, $\Omega > \Omega_c$. For 3.6- μm -diameter TPM spheres trapped in our levitator, the critical rotation rate is

$$\Omega_c \approx \frac{1}{8} \frac{\epsilon_0}{\eta_m} f_1^2 \approx (9 \pm 1) \text{ Hz}. \quad (19)$$

The tendency of corotating pairs to align along their common axis of rotation inspires us to propose that hydrodynamic forces tend to organize larger ensembles of rapidly rotating

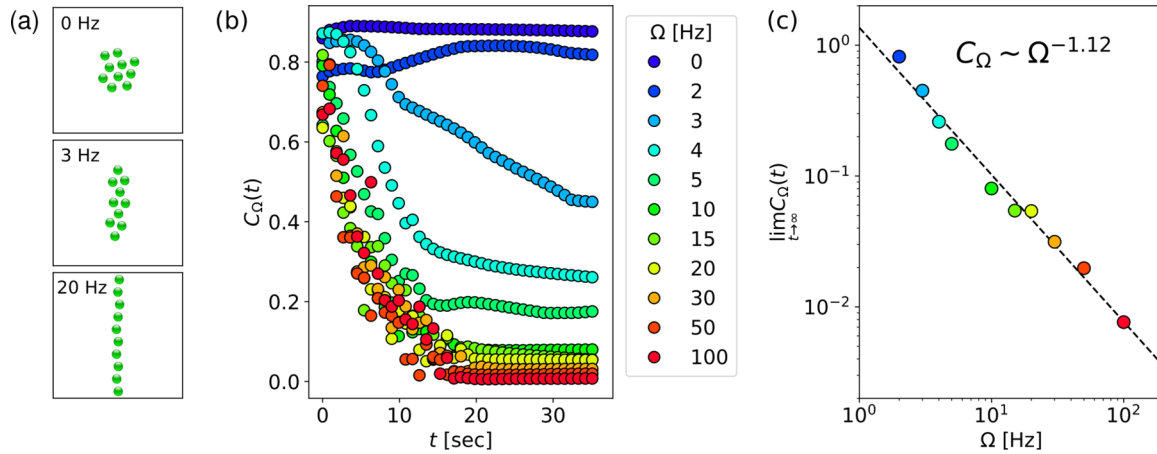


FIG. 4. (a) Typical steady-state configurations for ten-particle clusters for three representative rotation rates: crystalline cluster at $\Omega = 0$ Hz, single-file chain at $\Omega = 20$ Hz, and intermediate state at $\Omega = 3$ Hz. (b) Influence of the rotation rate, Ω , on chain formation as monitored by the time evolution of the computed cluster compactness, $C_\Omega(t)$. (c) The converged compactness, $\lim_{t \rightarrow \infty} C_\Omega(t)$, scales with rotation rate, which suggests that crystals evolve continuously into chains as the rotation rate increases. The dashed line is a fit to the scaling form $C_\Omega \propto \omega^{-\nu}$ with scaling exponent $\nu = 1.12$.

spheres into linear chains while acoustic forces shepherd more slowly rotating particles into planar crystals.

IV. NUMERICAL SIMULATIONS OF CHAIN FORMATION

We test the prediction that rotation-mediated acoustohydrodynamic forces create chains through molecular dynamics simulation [24]. The total force acting on the i th sphere in an N -sphere cluster is

$$\mathbf{F}_i(\mathbf{r}_i) = \mathbf{F}_g + \sum_{j \neq i} \mathbf{F}_h(\mathbf{r}_i, \mathbf{r}_j) + \mathbf{F}_a(\mathbf{r}_i, \mathbf{r}_j). \quad (20)$$

That sphere's velocity then depends on all of the forces acting on the system,

$$\dot{\mathbf{r}}_i = \gamma^{-1} \sum_{j=1}^N G_{ij} \mathbf{F}_j(\mathbf{r}_j), \quad (21a)$$

through the Oseen tensor [25],

$$G_{ij} = \frac{3a}{4} \left(\frac{\delta_{ij}}{r} + \frac{\mathbf{r}_{ij} \mathbf{r}_{ij}}{r^3} \right) + \frac{a^3}{4} \left(\frac{\delta_{ij}}{r^3} - 3 \frac{\mathbf{r}_{ij} \mathbf{r}_{ij}}{r^5} \right), \quad (21b)$$

that describes hydrodynamic coupling among the spheres.

Equation (21) incorporates several simplifying assumptions. It ignores inertial effects under the assumption that the spheres' motions are overdamped. It also neglects diffusion under the assumption that thermal forces are much weaker than either acoustic or hydrodynamic forces. The forces themselves are treated in their leading-order approximations. Leading-order expressions for acoustic forces omit non-conservative and nonadditive interactions. The leading-order Oseen tensor does not account for near-field hydrodynamic interactions or lubrication forces. Finally, we assume for simplicity that the spheres all rotate at a fixed rate, Ω , independent of their configuration. Invoking these approximations yields a minimal model for chaining because it is unlikely that any of the omitted effects would tend to promote chain formation over crystallization.

The spheres' trajectories are calculated by numerically integrating Eq. (21) with the Euler method. All forces and velocities are recalculated at each time step. Excluded volume interactions are incorporated by backing particles away from collisions [24]. Material parameters are chosen to mimic the experimental system, with rotation rates ranging from $\Omega = 0$ to $\Omega = 100$ Hz. The ten particles initially are randomly distributed in a $16\text{-}\mu\text{m}$ cube centered at the coordinate origin, and then are allowed to reorganize themselves under the influence of acoustic, hydrodynamic, and gravitational forces.

Particles move rapidly toward the trapping plane in these simulations and then reorganize themselves into configurations such as the examples in Fig. 4(a). The set of single-particle trajectories, $\{\mathbf{r}_i(t)\}$, can be compared both with predictions of the analytical theory for pair dynamics and also with experimental observations on large collections of spheres. Examples of animated trajectories for slow and fast rotation are presented in the Supplemental Material [26].

We quantify the degree of chaining by computing the projection of the particles' convex hull in the horizontal plane [27]. The area of the polygonal convex hull, $A(\{\mathbf{r}_i\})$, vanishes for an ideal chain and reaches a maximal value for a compact crystalline cluster. We therefore define a measure of compactness for a system with rotation rate Ω ,

$$C_\Omega(t) = 4\pi \frac{A(\{\mathbf{r}_i(t)\})}{P^2(\{\mathbf{r}_i(t)\})}, \quad (22)$$

where $P(\{\mathbf{r}_i\})$ is the perimeter of the convex hull. This metric approaches $C_\Omega(t) = 1$ for a large crystal and vanishes for a perfectly aligned chain.

Figure 4(b) shows typical examples of the time evolution of $C_\Omega(t)$ for $N = 10$ at different values of Ω . As expected, non-rotating spheres form compact clusters while rapidly rotating spheres approach $\lim_{t \rightarrow \infty} C_\Omega(t) = 0$. The transition between these two limiting behaviors appears to be continuous and is consistent with the estimated value of the critical rotation rate, $\Omega_c \approx (9 \pm 1)$ Hz.

The nature of the crystal-to-chain transition is clarified in Fig. 4(c), which shows the long-time asymptotic behavior of $C_\Omega(t)$ as a function of rotation rate. The compactness metric scales as $\Omega^{-\nu}$ over two orders of magnitude with exponent $\nu = 1.12$. This suggests that crystals extend continuously into chains as the spheres spin faster. A typical example of the intermediate state at $\Omega = 3$ Hz appears in Fig. 4(a).

These numerical studies confirm that the acoustohydrodynamic model accounts for the observed chaining of TPM fluid droplets in good quantitative agreement with experiment. This mechanism requires the fluid droplets to spin along a common axis at a rate that exceeds a critical value $\Omega > \Omega_c$. Solid spheres presumably rotate less rapidly and therefore form conventional compact crystals. Neither the scaling form for the crystal-chain transition nor the observed exponent are yet explained.

V. CONCLUSIONS

We have demonstrated experimentally, theoretically, and in simulations that spinning spheres tend to form chains when levitated by an acoustic standing wave. Hydrodynamic forces engendered by coaxial spinning favor alignment along the common axis. They do not, however, provide the pair attraction required to coalesce clusters of spheres into chains. This attraction is provided in our system by the secondary Bjerknes interaction, which operates independently of the spheres' spinning.

Spin-mediated hydrodynamic coupling competes with the confining potential of the acoustic levitator. Slowly spinning spheres remain trapped in the plane and so form crystals rather than reorganizing themselves into chains. We anticipate, therefore, that crystals of slowly rotating spheres may only be metastable with respect to chaining but that kinetic barriers to reorganization are prohibitive unless the spheres' rotation rate is sufficiently high.

We propose that the spheres' in-plane rotation is driven by inevitable imperfections in the acoustic trap. Estimates based on the parameters for our experimental system suggest that our solid spheres rotate more slowly than the critical rate and therefore form crystals. We propose that fluid droplets of the same material rotate more rapidly because of their deformability in the acoustic force field. This proposal is consistent with experimental observations of fluid droplets' collective motions. We do not, however, have a complete explanation for this effect, and present it as a outstanding challenge.

Both the tendency of insonated droplets to rotate rapidly and the tendency of corotating spheres to form chains appear to be novel phenomena. This mechanism for forming long colloidal chains may be useful for assembling model colloidal polymers [28], linear microrobots [29,30], and acoustically actuated optical elements [31]. More generally, this study illustrates how the choice of material properties can be used to influence the pathway for sound-mediated self-organization of soft-matter systems.

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APPENDIX A: ACOUSTOKINETIC TORQUE ON A SPHERE

If sound waves incident on or scattered by a particle carry angular momentum, the particle can experience a torque that causes it to spin. This occurs for anisotropic particles in uniform sound fields [17], and for isotropic spheres in nonuniform fields [32,33]. To dipole order, the time-averaged torque experienced by a sphere at \mathbf{r}_i may be expressed in terms of the sound wave's velocity field as [3]

$$\tau(\mathbf{r}) = i \frac{12}{5} \frac{\rho_m^2 \rho_p}{(\rho_m + 2\rho_p)^2} \frac{\omega}{c_p} \alpha_p(\omega) a_p^5 (\mathbf{v} \times \mathbf{v}^*), \quad (\text{A1})$$

where $\alpha_p(\omega)$ is the particle's acoustic attenuation coefficient. For a fluid droplet of viscosity η_p ,

$$\alpha_p(\omega) = \frac{2}{3} \frac{\eta_p}{\rho_p c_p^3} \omega^2. \quad (\text{A2})$$

An ideally rigid sphere has $\alpha_p(\omega) = 0$ and so experiences no torque and does not spin. This distinction is consistent with our observation that viscoelastic TPM droplets behave differently in an acoustic levitator from solidified TPM spheres.

Expressing a general acoustic pressure field in terms of its real-valued amplitude and phase,

$$p(\mathbf{r}, t) = |p(\mathbf{r})| e^{i\phi(\mathbf{r})} e^{-i\omega t}, \quad (\text{A3})$$

yields an expression for the torque in terms of the structure of the field

$$\tau(\mathbf{r}) = i \frac{12}{5} \frac{\rho_p}{(\rho_m + 2\rho_p)^2} \frac{1}{c_p \omega} a(\omega) a_p^5 (\nabla |p|^2 \times \nabla \phi) \quad (\text{A4})$$

that clarifies conditions under which acoustically levitated viscoelastic spheres can spin. An ideal standing wave has no phase gradients, $\nabla \phi = 0$, and so exerts no torque. A perfectly uniform field with $\nabla |p| = 0$ similarly exerts no torque. Spheres only experience torques in nonuniform acoustic fields.

To illustrate how acoustic torque might arise in practice, we model the wave launched by the piezoelectric transducer as a plane wave with wave vector \mathbf{k} and the reflected wave as a plane wave with wave vector \mathbf{q} :

$$p(\mathbf{r}) = \frac{P_0}{\sqrt{2}} (e^{i\mathbf{k}\cdot\mathbf{r}} + e^{i\mathbf{q}\cdot\mathbf{r}}). \quad (\text{A5})$$

Expressing $p(\mathbf{r})$ in terms of its amplitude and phase,

$$|p(\mathbf{r})| = p_0 \sqrt{1 + \cos(\mathbf{k} - \mathbf{q}) \cdot \mathbf{r}}, \quad (\text{A6a})$$

$$\phi(\mathbf{r}) = \frac{1}{2} (\mathbf{k} + \mathbf{q}) \cdot \mathbf{r}, \quad (\text{A6b})$$

then leads to

$$\nabla |p|^2 \times \nabla \phi = p_0^2 \sin(\mathbf{k} \cdot \mathbf{r} - \mathbf{q} \cdot \mathbf{r}) \mathbf{k} \times \mathbf{q}. \quad (\text{A6c})$$

An ideal standing wave has $\mathbf{q} = -\mathbf{k}$ so that the sphere experiences no torque. In practice, however, the incident and reflected waves may be misaligned by a small angle δ . Orienting the coordinate system so that $\mathbf{k} = k\hat{z}$ and \mathbf{q} is rotated about \hat{x} yields

$$\boldsymbol{\tau}(\mathbf{r}) = k^2 p_0^2 \sin \delta \sin(2kz) \hat{y}, \quad (\text{A6d})$$

where z is the particle's displacement from the antinode at $z = 0$.

This model predicts that all droplets in the pressure field described by Eq (A6) will experience a torque about a common axis. This would produce the kind of coaxial rotation that is shown in Sec. III C to mediate chain formation. Indeed, all of the chains that are observed to form across the square-millimeter observation area of our experiment tend to share a common axis, which would be explained if the motivating torque were created by an overall tilt of the reflector in the resonant chamber.

To estimate the scale of the single-sphere rotation rate, we model a droplet as a sphere with no-slip boundary conditions immersed in a medium of viscosity η_m . Its torque-induced angular velocity is then

$$\boldsymbol{\Omega}(\mathbf{r}) = \frac{\boldsymbol{\tau}(\mathbf{r})}{8\pi\eta_m a_p^3}, \quad (\text{A7})$$

which corresponds to a rotation rate on the order of $\Omega = 1$ Hz for the present system. This estimate suggests that $\Omega < \Omega_c$ for TPM spheres in water, which is consistent with the observation that solid TPM spheres do not form chains. The rotation rate is likely to be substantially increased by the deformability of the fluid droplets [17], particularly if resonances enhance their influence [34]. A formulation of this enhancement is not yet available, however, which means that the observed

rapid rotation of acoustically levitated fluid droplets is an outstanding challenge.

APPENDIX B: POLAR ORIENTATION OF COROTATING SPHERES

The polar orientation, θ , of a pair of corotating spheres evolves in time because of three influences: (1) hydrodynamic interactions that tend to make the spheres tumble out of plane, (2) the primary acoustic force that drives them back toward the nodal plane, and (3) secondary Bjerknes interactions. From Sec. III B, the hydrodynamic contribution to $\dot{\theta}$ is

$$\dot{\theta}_h = 2\Omega \left(\frac{a_p}{r}\right)^3 \cos \phi. \quad (\text{B1})$$

The primary acoustic force $F_1(\mathbf{r})$ contributes

$$\gamma \dot{\theta}_1 = \frac{k p_0^2}{4r} (\beta' - \alpha') \sin \theta \sin(2kr \cos \theta) \quad (\text{B2})$$

$$\approx \frac{1}{4} (k p_0)^2 (\beta' - \alpha') \sin(2\theta), \quad (\text{B3})$$

and the contribution due to acoustic interactions, $\mathbf{F}_2(\mathbf{r}_1, \mathbf{r}_2) - \mathbf{F}_2(\mathbf{r}_2, \mathbf{r}_1)$, is

$$\begin{aligned} \dot{\theta}_2 = & \frac{p_0^2}{2c_m^2 \rho_m \eta_m} \frac{a_p^5}{r^5} \sin(2\theta) \left[f_1^2 + \frac{f_1(8f_0 + 9f_1)}{36} (kr)^2 \right. \\ & + \frac{(2f_0 + 3f_1)^2}{108} (kr)^4 + \frac{2f_0 + 3f_1}{18(ka_p)^3} (kr)^5 \\ & \left. - \frac{16f_0^2 + 24f_0f_1 + 15f_1^2}{864} (kr)^6 \right]. \quad (\text{B4}) \end{aligned}$$

For the stable point analysis in Sec. III C, the pair of interacting spheres is assumed to be in contact, $r = 2a_p$, because of attractive acoustic interactions. Recognizing that $kr \approx 2ka_p \ll 1$, we retain only the leading term of $\dot{\theta}_2$ when deriving Eq. (17).

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