Maximal power for heat engines: Role of asymmetric interaction times

Pedro E. Harunari,^{1,*} Fernando S. Filho^{0,1,†} Carlos E. Fiore^{0,1,‡} and Alexandre Rosas^{2,§}

¹Instituto de Física da Universidade de São Paulo, 05508-090 São Paulo, SP, Brazil

²Departamento de Física, CCEN, Universidade Federal da Paraíba, Caixa Postal 5008, 58059-900 João Pessoa, Brazil

(Received 18 December 2020; revised 2 March 2021; accepted 4 May 2021; published 9 June 2021)

The performance of endoreversible thermal machines operating at finite power constitutes one of the main challenges of nonequilibrium classical and quantum thermodynamics, engineering, and others. We introduce the idea of adjusting the interaction time asymmetry in order to optimize the engine performance. We consider one of the simplest thermal machines, composed of a quantum dot interacting sequentially with two different reservoirs of heat and particles. Distinct optimization protocols are analyzed in the framework of stochastic thermodynamics. Results reveal that asymmetric interaction times play a fundamental role in enhancing the power output and that maximizations can provide an increase of more than 25% compared with the symmetric case. As an extra advantage, efficiencies at maximum power are slightly greater than the endoreversible Curzon-Ahlborn efficiency for a broad range of reservoir temperatures.

DOI: 10.1103/PhysRevResearch.3.023194

I. INTRODUCTION

The efficiency of any heat engine is bounded by Carnot efficiency $\eta_{\rm C} = 1 - T_{\rm C}/T_{\rm H}$, with $T_{\rm C}$ and $T_{\rm H}$ being the cold and hot reservoir temperatures. It constitutes one of the main results of thermodynamics and is one of the distinct formulations of the second law. Such an ideal limit was introduced by Carnot in 1824 [1,2] and consists of a reversible machine composed by two isothermal and two adiabatic quasistatic strokes. Although it is a universal upper bond valid for all engines, irrespective of their designs, compositions, or nature, whether classical [3,4] or quantum [5,6], such an (ideal) limit is impractical, not only due to imperfections in the machine construction, which increases the dissipation, but also because its achievement would demand the machine to operate in a fully reversible way during infinitely large times, implying its operation at a null power (finite work divided by infinite time).

Thus it is usually desirable to build thermal machines to be as efficient as possible operating at finite power outputs. One of the main findings for endoreversible thermal machines is the Curzon and Ahlborn efficiency [7], in which the efficiency at maximum power is given by $\eta_{CA} = 1 - \sqrt{T_C/T_H}$. Such a remarkable finding has also been derived in several distinct works (see, e.g., Refs. [8,9]), and despite not possessing the same universal status as the Carnot efficiency, it provides a powerful guide as to the operation of nonequilibrium engines under more realistic situations and sheds light on the construction and performance of small-scale engines (nanoscopic devices) working in a maximum power regime from the tools of stochastic thermodynamics [3,4,9–24]. In this context, single-level quantum dots have been proposed as prototype machines, whose simplicity allows detailed investigation of their performances at maximum power [25–27].

Collisional models, e.g., a system interacting sequentially and repeatedly with distinct environments (instead of continuous interaction with all the reservoirs), have been considered as a suitable description of engineered reservoirs [28]. Among the distinct situations for that, we mention the case of quantum systems, in which the reservoir is conveniently represented as a sequential collection of uncorrelated particles [29,30]. Additionally, the collisional approach attempts to provide realistic systems interacting only with small fractions of the environment or even those evolving under the influence of distinct drivings over each member [31,32]. Particularly, many aspects of a stochastic pump in which a single-level quantum dot (QD) is connected sequentially and periodically to different reservoirs have been discussed lately for symmetric interaction times [33–35].

In this paper, we introduce the idea of adjusting the interaction time asymmetry in order to optimize the engine performance. The present approach is rather different from some findings [10,19,36,37] exactly because we explore this adjustment of the interaction time; that is, the interaction time is the focus of our study. Despite the simplicity of the system, its large applicability and richness allow its usage as heat engine, refrigerator, heater, or accelerator, hence highlighting the importance of searching for optimized protocols. As a main finding, under suited situations, asymmetric interaction times play an important role in the enhancement of power output. Also, as an extra advantage, efficiencies become somewhat greater than the endoreversible Curzon-Ahlborn efficiency.

^{*}pedroharunari@gmail.com

[†]fernando.francisco.filhof@gmail.com

[‡]fiore@if.usp.br

[§]arosas@fisica.ufpb.br

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI.



FIG. 1. Sketch of a quantum dot periodically and sequentially placed in contact with a hot (during a time $\tau_{\rm H}$) and a cold (during a time $\tau - \tau_{\rm H}$) reservoir. When in contact with a reservoir, the quantum dot receives a particle with rate ω_i and donates a particle with rate $\overline{\omega}_i$ (*i* being H for the hot reservoir and **C** for the cold one). When the quantum dot is occupied, its energy increases by ϵ .

This paper is organized as follows: In Sec. II the model is presented, and analytical results are provided. Section III is devoted to the main results concerning the efficiency in different regimes and optimized power outputs. Lastly, in Sec. IV we draw conclusions and provide perspectives.

II. MODEL AND EXACT SOLUTION

The model consists of a two-level system sequentially and periodically (with period τ) placed in contact with a hot (H) and a cold (C) reservoir during the time intervals $\tau_{\rm H}$ and $\tau - \tau_{\rm H}$, respectively, as depicted in Fig. 1. More specifically, the QD interacts with one reservoir during a certain time. Afterwards, one turns off this interaction, and then the QD is placed in contact with the second reservoir. The switching time is assumed to be instantaneous or, at least, much faster than any other relevant time scales. The energy of the QD is null (ϵ) when it is empty (occupied by one electron). Each interaction can be modeled according to the transition rates ω_i and $\overline{\omega}_i$, whether the system receives or delivers a particle, respectively, with $i \in \{H, C\}$. Giving that the system placed in contact with a single reservoir evolves to the equilibrium distribution, the connection between transition rates and macroscopic quantities can be performed by assuming that the probability of occupation at equilibrium $p_i^{\text{eq}} \equiv \omega_i / (\omega_i + \overline{\omega}_i)$ obeys the Fermi-Dirac distribution $p_i^{\text{eq}} = [e^{(\epsilon - \mu_i)/T_i} + 1]^{-1}$, where, for each reservoir, T_i is the temperature, μ_i is the chemical potential, and the Boltzmann constant is set to 1. The assumption above is equivalent to the local detailed balance condition $\omega_i/\overline{\omega}_i = e^{-(\epsilon - \mu_i)/T_i}$, and therefore the temperature of each reservoir is given by

$$T_i = \frac{\mu_i - \epsilon}{\ln \omega_i / \overline{\omega}_i}.$$
 (1)

As long as the reservoirs are different, the system will evolve to a periodic and time asymmetric nonequilibrium steady state (NESS), in which time reversal means exchanging the order of the reservoirs. An important quantity is the ratio of the transition rates $\omega_i/\overline{\omega}_i$, which quantifies the reservoir willingness to concede a particle to the QD, equilibrium being reached for $\omega_{\rm H}/\overline{\omega}_{\rm H} = \omega_{\rm C}/\overline{\omega}_{\rm C}$.

We start the analysis of this system by considering a Markov chain whose discrete time is given by $t \equiv nh$, where n = 0, 1, 2, ... and h is the time step. When the system is placed in contact with reservoir i, the transition matrix is given by

$$\mathcal{W}_i \equiv \begin{pmatrix} -\omega_i & \overline{\omega}_i \\ \omega_i & -\overline{\omega}_i \end{pmatrix}.$$
 (2)

The probability distribution obeys the relation $\vec{P}_i(t + nh) = (\mathbb{I} + hW_i)^n \vec{P}_i(t)$, where \mathbb{I} is the 2 × 2 identity matrix and $\vec{P}_i(t) \equiv \{1 - p_i(t), p_i(t)\}$ is the vector of probabilities of emptiness and occupation. Hence $p_i(t + nh)$ can be written as

$$p_i(t+nh) = p_i^{\text{eq}} + [1 - h\overline{\omega}_i(1+\omega_i/\overline{\omega}_i)]^n (p_i(t) - p_i^{\text{eq}}).$$
(3)

Since $p_i(t)$ is continuous, one has the boundary conditions $p_H(\tau_H) = p_C(\tau_H)$. Furthermore, the periodicity of the system ensures that it returns to the initial state after a complete period for long enough times such that $p_H(0) = p_C(\tau)$. The occupation probability can be exactly obtained considering these boundary conditions and solving Eq. (3). Hereafter we consider the NESS regime, for which such boundary conditions are valid.

The NESS particle flux at a given time interval *h* is given by $J_i(nh) \equiv [p_i((n+1)h) - p_i(nh)]/h$, which is positive whenever more particles leave the reservoir *i* towards the QD on average, and negative otherwise. By averaging $J_i(nh)$ over a full cycle we have that $\overline{J}_H = (1/\tau) \sum_{n=0}^{\tau_H/h-1} J_H(nh)h$ and $\overline{J}_C = (1/\tau) \sum_{m=\tau_H/h}^{\tau/h-1} J_C(mh)h$, for $i \in \{H, C\}$. Since no electron accumulation in the QD is possible, all particles leaving a given reservoir must go to the other one, such that $\overline{J}_H + \overline{J}_C = 0$. By considering the master equation regime, $h \to 0$ and $n \to \infty$ with nh = t held fixed, the above probabilities and currents in the NESS become

$$p_{\mathsf{H}}(t) = \frac{\omega_{\mathsf{H}}}{\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}}} - \frac{e^{-(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})t} [1 - e^{-(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})}}{1 - e^{-(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})\tau_{\mathsf{H}} - (\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})}} \frac{\omega_{\mathsf{H}} \overline{\omega}_{\mathsf{C}} - \overline{\omega}_{\mathsf{H}} \omega_{\mathsf{C}}}{(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})}, \quad t = [0, \tau_{\mathsf{H}}] \pmod{\tau}, \tag{4}$$

$$p_{\mathsf{C}}(t) = \frac{\omega_{\mathsf{C}}}{\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}}} - \frac{e^{-(\omega_{\mathsf{C}} + \omega_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})} [1 - e^{-(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})\tau_{\mathsf{H}}}]}{1 - e^{-(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})\tau_{\mathsf{H}} - (\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})}} \frac{\omega_{\mathsf{H}}\omega_{\mathsf{C}} - \omega_{\mathsf{H}}\omega_{\mathsf{C}}}{(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})}, \quad t = [\tau_{\mathsf{H}}, \tau] \pmod{\tau}, \tag{5}$$

$$\overline{J}_{\mathsf{H}} = -\overline{J}_{\mathsf{C}} = \frac{1}{\tau} \frac{(1 - e^{-(\omega_{\mathsf{H}} + \omega_{\mathsf{H}})\tau_{\mathsf{H}}})(1 - e^{-(\omega_{\mathsf{C}} + \omega_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})})}{1 - e^{-(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})}} \frac{\omega_{\mathsf{H}}\overline{\omega}_{\mathsf{C}} - \overline{\omega}_{\mathsf{H}}\omega_{\mathsf{C}}}{(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})}.$$
(6)

We pause to make a few comments: First, such results recover the findings from Refs. [33,38] for symmetric interaction times ($\tau_{\rm H} = \tau/2$). Second, for both discrete and continuous cases, the hot reservoir is "more willing" to concede particles than the cold reservoir when $\omega_{\rm H}/\overline{\omega}_{\rm H} > \omega_{\rm C}/\overline{\omega}_{\rm C}$, implying that $\overline{J}_{\rm H} > 0$ and $\overline{J}_{\rm C} < 0$, which is consistent with the fact that $\overline{J}_{\rm i}$ points from reservoir *i* to the QD. Third, the period τ only gives the time scale of the model in the sense that rescaling the fluxes, transition rates, and the time the system stays in contact with each reservoir by τ keeps Eq. (6) unchanged; hence, from now on, τ is kept fixed and reads $\tau = 1$. Fourth and last, the present system can operate as a heat engine, refrigerator, heater, or accelerator, provided that the parameters ω_i and $\overline{\omega}_i$ (or equivalently, ϵ , μ_i , and T_i) are conveniently adjusted. In this paper we shall address the heat engine regime, which is set by the conditions $\{\omega_{\rm C}/\overline{\omega}_{\rm C} < \omega_{\rm H}/\overline{\omega}_{\rm H} < 1, \ \mu_{\rm H} < \mu_{\rm C} < 0\}$ ϵ }, ensuring the positiveness of temperatures (with $T_{\rm H} > T_{\rm C}$), power output, and the heat extracted from the hot reservoir.

III. THERMODYNAMICS

Once the probability distribution and the suited heat engine regime in terms of the model parameters have been obtained, we are in a position to obtain the thermodynamic properties (exchanged heat and work) and efficiency through the framework of stochastic thermodynamics [11,39]. Remarkable quantities averaged over a complete cycle are the exchanged heat and the chemical work given by $\overline{Q}_i \equiv (\epsilon - \mu_i)\overline{J}_i$ and $\overline{W}_i^{\text{chem}} \equiv \mu_i\overline{J}_i$, respectively, for $i = \{C, H\}$. It is worth mentioning that they obey the first law of thermodynamics, in such a way that $\overline{Q}_C + \overline{Q}_H + \overline{W}_C^{\text{chem}} + \overline{W}_H^{\text{chem}} = 0$. For the engine regime, the efficiency is typically a measure of "what you get and what you give," represented here by the ratio between the power output $\overline{P} \equiv -(\overline{W}_H^{\text{chem}} + \overline{W}_C^{\text{chem}})$ and the heat received from the hot reservoir \overline{Q}_H , resulting in

$$\eta \equiv \frac{\overline{P}}{\overline{\dot{Q}}_{\mathsf{H}}} = 1 - \frac{T_{\mathsf{C}} \ln \omega_{\mathsf{C}} / \overline{\omega}_{\mathsf{C}}}{T_{\mathsf{H}} \ln \omega_{\mathsf{H}} / \overline{\omega}_{\mathsf{H}}}.$$
(7)

The relation above can also be expressed in terms of the macroscopic properties of the reservoirs and the QD

$$\eta = 1 - \frac{\mu_{\rm C} - \epsilon}{\mu_{\rm H} - \epsilon} = \frac{\mu_{\rm C} - \mu_{\rm H}}{\epsilon - \mu_{\rm H}},\tag{8}$$

where we used Eq. (1). We pause again to make some comments: First, the system will reach an equilibrium state when $\omega_{\rm H}/\overline{\omega}_{\rm H} = \omega_{\rm C}/\overline{\omega}_{\rm C}$, consistent with the (maximum) Carnot efficiency. Second, the engine regime stated above, $(\omega_{\rm C}/\overline{\omega}_{\rm C} < \omega_{\rm H}/\overline{\omega}_{\rm H} < 1)$, $(\mu_{\rm H} < \mu_{\rm C} < \epsilon)$, ensures the positiveness of the power output and the heat delivered from the hot reservoir. Additionally, it is also consistent with heat flowing from the QD to the cold reservoir: $\overline{\dot{Q}}_{\rm C} < 0$. Third and last, since the right-hand side of Eq. (8) does not depend on $\tau_{\rm H}$ and τ , η is independent of the protocol. Conversely, the power output \overline{P} depends on $\tau_{\rm H}$ and τ , in such a way that it can be conveniently adjusted, together with the other parameters { $\omega_{\rm H}, \overline{\omega}_{\rm H}, \omega_{\rm C}, \overline{\omega}_{\rm C}, T_{\rm H}, T_{\rm C}$ }, in order to optimize the extracted power. Here, we are concerned with the maximization of power under different physical setups.

In order to exploit distinct possibilities of optimizing the extracted power, the next sections will be devoted to its maximization with respect to the protocol asymmetry and its complete maximization (also taking into account the transition rates). Since the extracted power increases monotonically with the ratio between temperatures, the analysis will be carried out for finite fixed ratios $T_{\rm C}/T_{\rm H}$.

A. Best protocol

An interesting way of obtaining some insight into the system is to look at the power output as a function of the fraction of time spent in contact with the hot reservoir $\tau_{\rm H}$ and the ratio between the duration of the cycle and the characteristic time t_{char} [defined as the largest of characteristic times $t_{char}^{H} \equiv$ $1/(\omega_{\rm H} + \bar{\omega}_{\rm H})$ and $t_{\rm char}^{\rm C} \equiv 1/(\omega_{\rm C} + \bar{\omega}_{\rm C})$, which represent the typical relaxation time to the thermal state that each reservoir imposes] as exemplified in Fig. 2, for some representative parameter values. In all cases, the chemical potentials and the energy are held fixed providing $\eta = 1/3$ [see Eq. (8) and the caption of Fig. 2 for the values used]. A common trait of all panels is that the power output (and also the extracted heat) vanishes for $\tau_{\rm H}/\tau$ near 1 or 0. This is expected since in such a situation the QD is mostly in contact with a single reservoir and almost no work can be extracted. It is also noteworthy that the value of τ_{H} for which the power is maximum barely changes with the ratio τ/t_{char} but its value is extremely dependent on the other parameters of the model. Furthermore, even for a constant value of τ/t_{char} , the power output can be noticeably different.

In order for the reservoir to effectively act upon the QD state it needs to interact during a period comparable with its characteristic time. Therefore characteristic times provide a notion of how long a good engine will interact with each reservoir; for example, if $t_{char}^{H} \ll t_{char}^{C}$, the hot reservoir needs to interact very briefly compared with the cold one, so we can expect that a good protocol will present a small $\tau_{\rm H}/\tau$. Hence it is reasonable that good performance protocols will present interaction times around the characteristic times of each reservoir. This is exemplified in Fig. 2, where $t_{char}^{H}/(t_{char}^{H}+t_{char}^{C})$ gives approximately 0.7, 0.1, 0.9, and 0.4 for Figs. 2(a), 2(b), 2(c), and 2(d), respectively, in fair agreement with the best value of $\tau_{\rm H}/\tau$ observed in the plots. As a rule of thumb we should choose τ as small as possible, in agreement with Refs. [40,41], and maximize the power output with respect to $\tau_{\rm H}$. Although small, it is worth mentioning that the period τ should be sufficiently larger than the time necessary for switching the interaction of the QD from one reservoir to the other one, in similarity with the symmetric case [33,35,35].

The unique value of $\tau_{\rm H}$ that maximizes *P* for a given value of τ and the other parameters can be easily found by numerically solving the transcendental equation

$$\frac{(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})}{(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})} \frac{e^{-(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})\tau_{\mathsf{H}}} [1 - e^{-(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})}]^2}{e^{-(\omega_{\mathsf{C}} + \overline{\omega}_{\mathsf{C}})(\tau - \tau_{\mathsf{H}})} [1 - e^{-(\omega_{\mathsf{H}} + \overline{\omega}_{\mathsf{H}})\tau_{\mathsf{H}}}]^2} = 1, \quad (9)$$

whose values are shown in Fig. 2, represented by the white dashed curves.



(a) $T_{\rm H} = 0.4, \ T_{\rm C} = 0.1, \ \omega_{\rm H} = 0.04, \ \bar{\omega}_{\rm H} = 0.18, \ \omega_{\rm C} = 0.01$ (b) $T_{\rm H} = 2.0, \ T_{\rm C} = 0.5, \ \omega_{\rm H} = 0.64, \ \bar{\omega}_{\rm H} = 0.86, \ \omega_{\rm C} = 0.06$ and $\bar{\omega}_{\rm C} = 0.55.$ and $\bar{\omega}_{\rm C} = 0.13.$



FIG. 2. (a)–(d) Power outputs vs τ/t_{char} and τ_H/τ for distinct control parameters. Each dashed black curve represents an increment of 10^{-4} in the value of the power, and the white dashed curves represent the value of τ_H/τ that maximizes the power for each value of τ/t_{char} . For all panels, we set $\mu_H = 0.4$, $\mu_C = 0.6$, and energy $\epsilon = 1$.

An interesting question that may be raised about this best protocol procedure is, How much do we gain by tuning $\tau_{\rm H}$ instead of just letting the QD be half of the time in contact with each reservoir (symmetric case)? In Fig. 3, we show the density plots of the ratio of the power output for $\tau_{\rm H}$ to the power output for the symmetric case $\bar{P}(\tau_{\rm H})/\bar{P}(\tau/2)$ as a function of both $\tau_{\rm H}/\tau$ and $T_{\rm C}/T_{\rm H}$. As the temperature is changed (with $\bar{\omega}_{\rm i}$'s, ϵ , and the chemical potentials held fixed), $t_{\rm char}$ changes according to Eq. (1). Hence comparisons between Figs. 2 and 3 should take this change into account.

Here, we used the same values of chemical potentials and energy as in Fig. 2. As can be seen, by properly tuning $\tau_{\rm H}$ one can increase the power output more than 25% [Figs. 3(a) and 3(e)]. However, in order for the tuning be more effective, the transition rates $\bar{\omega}_{\rm H}$ and $\bar{\omega}_{\rm C}$ must be distinct, adding an asymmetry to the system: Figs. 3(c) and 3(d) reveal that the ratio $\bar{P}(\tau_{\rm H})/\bar{P}(\tau/2)$ is less than or equal to 1 for $\bar{\omega}_{\rm H} = \bar{\omega}_{\rm C}$. Finally, by comparing Fig. 3(a) with Fig. 3(b) and Fig. 3(e) with Fig. 3(f) we notice that the tuning of $\tau_{\rm H}$ can increase the power output for $\bar{\omega}_{\rm H}$ either greater or smaller than $\bar{\omega}_{\rm C}$, but it is for $\bar{\omega}_{\rm H} > \bar{\omega}_{\rm C}$ that the region of larger gain corresponds to the larger efficiencies and power outputs (small $T_{\rm C}/T_{\rm H}$).

B. Complete maximization of power

In this section, the complete maximization of the power, not only with respect to $\tau_{\rm H}$ (as in the previous section) but also taking into account the transition rates, is undertaken. Physically, this means that we are optimizing the physical properties (transition rates) as well as the machine protocol (time spent in contact with each reservoir). Some remarkable features are depicted in Fig. 4, in which the parameters $\tau_{\rm H}/\tau$, $\omega_{\rm H}$, and $\omega_{\rm C}$ that maximize the power output are considered as a function of the ratio $T_{\rm C}/T_{\rm H}$. That is, the optimization of the power output (with respect to $\tau_{\rm H}$, $\omega_{\rm H}$, and $\omega_{\rm C}$) is undertaken for all values of $\bar{\omega}_{H}$ and $\bar{\omega}_{C}$ in a square grid (from 0.1 to 2 in steps of 0.05). The maximum, mean, and minimum values of these quantities are then shown in Fig. 4. Although the ratios $\omega_{\rm H}/\bar{\omega}_{\rm H}$ and $\omega_{\rm C}/\bar{\omega}_{\rm C}$ vary slightly with the choice of the rates $\bar{\omega}_{H}$ and $\bar{\omega}_{C}$, an opposite trend is verified for τ_{H} , which is very sensitive to the choice of transition rates or grids. This suggests that the choice of the time fraction which the QD spends in contact with each reservoir is the most important parameter for the power maximization. Such a finding is reinforced by examining the behavior of output powers in Fig. 5 in which, in addition to the optimal choices of $\omega_{\rm H}$ and $\omega_{\rm C}$, one also takes "reliable" estimates for them and only the optimization



FIG. 3. (a)–(f) Density plots of the power as a function of $\tau_{\rm H}$ and $T_{\rm C}/T_{\rm H}$ normalized by the power for $\tau_{\rm H} = \tau/2$. Each dashed black curve represents an increment of 0.1 in the value of the power, and the white dashed curves represent the value of $\tau_{\rm H}$ that maximizes the power for each value of $T_{\rm C}/T_{\rm H}$. For all panels, we set $\tau = 1$, $\mu_{\rm H} = 0.4$, $\mu_{\rm C} = 0.6$, and $\epsilon = 1$.

with respect to $\tau_{\rm H}$ is carried out. Although the power output strongly depends on the choices of rates or grids $\bar{\omega}_{\rm H}$ and $\bar{\omega}_{\rm C}$ (see, e.g., the lowest and largest $\bar{P}_{\rm max}$ curves), the difference between power outputs coming from the optimization of $\omega_{\rm i}$ and their mean values is very small (not visible in the scale of Fig. 5). Finally, the difference between the lowest and

largest \overline{P}_{max} is due to its monotonic increasing by raising the transition rates.

Lastly, the efficiency at maximal power η_{MP} is compared with the well-established Curzon-Ahlborn and Carnot ones in Figs. 6 and 7, respectively, for different temperatures. Just as in Figs. 4 and 5, the optimization is carried out for all values



FIG. 4. Values of $\tau_{\rm H}/\tau$ (red dashed curves), $\omega_{\rm H}/\bar{\omega}_{\rm H}$ (black solid curves), and $\omega_{\rm C}/\bar{\omega}_{\rm C}$ (blue dashed curve) after the maximization of power for fixed temperatures and $\tau = 1$. The maximum, medium, and minimum values for each quantity are presented, and the highlighted areas between the three curves represent the possible values of each parameter after the optimization of the power for a grid of values of $\bar{\omega}_{\rm H}$ and $\bar{\omega}_{\rm C}$ ranging from 0.1 to 2 in steps of 0.05.

of $\bar{\omega}_{\rm H}$ and $\bar{\omega}_{\rm C}$ over a grid. Except for some specific choices of $\bar{\omega}_{\rm H}$ and $\bar{\omega}_{\rm C}$ in a small range of $T_{\rm C}/T_{\rm H}$ between 0.4 and 1, the maximization provides an efficiency slightly larger than the Curzon-Ahlborn one. As for Carnot and Curzon-Ahlborn efficiencies, Eqs. (1) and (7) show that $\eta_{\rm MP}$ solely depends on the ratio of the temperatures and not on the specific value of $T_{\rm H}$.

Finally, η_{MP} is always (as it must be) lower than η_{C} (see, e.g., Fig. 7) for all of the set of optimized parameters. Furthermore, when $T_{\text{C}}/T_{\text{H}} \rightarrow 0$ and 1, all efficiencies collapse at the asymptotic values 1 and 0, respectively.



FIG. 5. Maximum power output \overline{P}_{max} vs T_C/T_H for $\tau = 1$ and the same grid used in Fig. 4, where maximum (black), mean (blue), and minimum (red) values of maximum power are displayed. Solid curves account for the maximization in terms of τ_H only, while dashed curves represent the maximization in terms of τ_H , $\omega_H/\overline{\omega}_H$, and $\omega_C/\overline{\omega}_C$.



FIG. 6. Ratio between the efficiency at maximum power η_{MP} and the Curzon-Ahlborn efficiency η_{CA} vs T_C/T_H for $\epsilon = 1$ and $\tau = 1$. The curves represent the loci of maximum (black solid curve), mean (blue dashed curve), and minimum (red dashed curve) values obtained for the same grid used in Fig. 4.

IV. DISCUSSION

In this paper, we analyzed the role of asymmetric interaction times for optimizing the power output in thermal machines composed of a quantum dot stochastic pump. Our findings showed that a suited power output optimization can lead to a gain in the power larger than 25% when compared with the symmetrical case, in which the system is equally placed in contact with each reservoir.

A very remarkable point regarding the importance of the present analysis is that the fine-tuning of the interaction time is expected to be easier to implement than an improved design of the machine itself. Therefore the present (exact) results may shed some light on the role of the time protocol in the effectiveness of obtaining optimized power outputs. In fact, the choice of a "good" (instead of optimal) quantum dot



FIG. 7. Ratio between efficiency at maximum power η_{MP} and Carnot η_{C} vs T_{C}/T_{H} for $\epsilon = 1$ and $\tau = 1$. The curves represent the loci of maximum (black solid curve), mean (blue dashed curve), and minimum (red dashed curve) values obtained for the same grid used in Fig. 4.

together with optimized time provides a reliable recipe for obtaining an almost optimal (power output) machine, whose associate efficiencies are usually somewhat larger than the Curzon-Ahlborn one, but much larger than the symmetric case.

Further investigations of this model could explore other regimes (e.g., as a refrigerator or a heater engine) and/or the modulation of the QD's energy to obtain mechanical work on top of the pumping of electrons.

- S. Carnot, in Annales Scientifiques de l'École Normale Supérieure (École Normale Supérieure, Paris, 1872), Vol. 1, pp. 393–457.
- [2] M. J. De Oliveira, *Equilibrium Thermodynamics* (Springer, Heidelberg, 2013).
- [3] G. Verley, M. Esposito, T. Willaert, and C. Van den Broeck, Nat. Commun. 5, 4721 (2014).
- [4] I. A. Martínez, É. Roldán, L. Dinis, D. Petrov, J. M. Parrondo, and R. A. Rica, Nat. Phys. 12, 67 (2016).
- [5] S. Vinjanampathy and J. Anders, Contemp. Phys. 57, 545 (2016).
- [6] R. Kosloff, Entropy 15, 2100 (2013).
- [7] F. Curzon and B. Ahlborn, Am. J. Phys. 43, 22 (1975).
- [8] I. Novikov, J. Nucl. Energy 7, 125 (1958).
- [9] C. Van den Broeck, Phys. Rev. Lett. 95, 190602 (2005).
- [10] T. Schmiedl and U. Seifert, EPL **81**, 20003 (2007).
- [11] U. Seifert, Rep. Prog. Phys. 75, 126001 (2012).
- [12] M. Esposito, K. Lindenberg, and C. Van den Broeck, Phys. Rev. Lett. **102**, 130602 (2009).
- [13] B. Cleuren, B. Rutten, and C. Van den Broeck, Eur. Phys. J.: Spec. Top. 224, 879 (2015).
- [14] M. Esposito, R. Kawai, K. Lindenberg, and C. Van den Broeck, Phys. Rev. E 81, 041106 (2010).
- [15] U. Seifert, Phys. Rev. Lett. 106, 020601 (2011).
- [16] Y. Izumida and K. Okuda, EPL 97, 10004 (2012).
- [17] N. Golubeva and A. Imparato, Phys. Rev. Lett. 109, 190602 (2012).
- [18] V. Holubec, J. Stat. Mech. (2014) P05022.
- [19] M. Bauer, K. Brandner, and U. Seifert, Phys. Rev. E 93, 042112 (2016).
- [20] K. Proesmans, B. Cleuren, and C. Van den Broeck, Phys. Rev. Lett. 116, 220601 (2016).
- [21] Z. Tu, J. Phys. A: Math. Theor. 41, 312003 (2008).
- [22] S. Ciliberto, Phys. Rev. X 7, 021051 (2017).

ACKNOWLEDGMENTS

P.E.H. acknowledges Grants No. 2017/24567-0 and No. 2020/03708-8, São Paulo Research Foundation (FAPESP). C.E.F. acknowledges Grant No. 2018/02405-1 (FAPESP). A.R. is thankful for Pronex/Fapesq-PB/CNPq Grant No. 151/2018 and CNPq Grant No. 308344/2018-9. This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior, Brasil (CAPES), Finance Code 001.

- [23] B. A. N. Akasaki, M. J. de Oliveira, and C. E. Fiore, Phys. Rev. E 101, 012132 (2020).
- [24] T. Tomé and M. J. de Oliveira, Phys. Rev. E 91, 042140 (2015).
- [25] C. Van den Broeck, Nat. Nanotechnol. 13, 876 (2018).
- [26] H. Scovil and E. Schulz-DuBois, Phys. Rev. Lett. 2, 262 (1959).
- [27] M. Josefsson, A. Svilans, A. M. Burke, E. A. Hoffmann, S. Fahlvik, C. Thelander, M. Leijnse, and H. Linke, Nat. Nanotechnol. 13, 920 (2018).
- [28] A. L. L. Stable, C. E. Fernandez Noa, W. G. C. Oropesa, and C. E. Fiore, Phys. Rev. Research 2, 043016 (2020).
- [29] F. L. S. Rodrigues, G. De Chiara, M. Paternostro, and G. T. Landi, Phys. Rev. Lett. **123**, 140601 (2019).
- [30] O. A. D. Molitor and G. T. Landi, Phys. Rev. A 102, 042217 (2020).
- [31] J. M. Parrondo, J. M. Horowitz, and T. Sagawa, Nat. Phys. 11, 131 (2015).
- [32] P. Strasberg, G. Schaller, T. Brandes, and M. Esposito, Phys. Rev. X 7, 021003 (2017).
- [33] A. Rosas, C. Van den Broeck, and K. Lindenberg, Phys. Rev. E 96, 052135 (2017).
- [34] A. Rosas, C. Van den Broeck, and K. Lindenberg, Phys. Rev. E 97, 062103 (2018).
- [35] S. H. Lee, J. Um, and H. Park, Phys. Rev. E 98, 052137 (2018).
- [36] S. Mondal, S. Bhattacharjee, and A. Dutta, Phys. Rev. E 102, 022140 (2020).
- [37] V. Cavina, P. A. Erdman, P. Abiuso, L. Tolomeo, and V. Giovannetti, arXiv:2010.00586.
- [38] P. E. Harunari, C. E. Fiore, and K. Proesmans, J. Phys. A: Math. Theor. 53, 374001 (2020).
- [39] C. Van den Broeck and M. Esposito, Phys. A (Amsterdam) 418, 6 (2015).
- [40] P. A. Erdman, V. Cavina, R. Fazio, F. Taddei, and V. Giovannetti, New J. Phys. 21, 103049 (2019).
- [41] V. Mukherjee, A. G. Kofman, and G. Kurizki, Commun. Phys. 3, 8 (2020).