

Collective modes and terahertz near-field response of superconductors

Zhiyuan Sun^{1,*}, M. M. Fogler,² D. N. Basov,¹ and Andrew J. Millis^{1,3}

¹*Department of Physics, Columbia University, 538 West 120th Street, New York, New York 10027, USA*

²*Department of Physics, University of California San Diego, 9500 Gilman Drive, La Jolla, California 92093, USA*

³*Center for Computational Quantum Physics, The Flatiron Institute, 162 5th Avenue, New York, New York 10010, USA*



(Received 7 February 2020; revised manuscript received 16 April 2020; accepted 20 May 2020; published 29 June 2020)

We theoretically study the low-energy electromagnetic response of Bardeen-Cooper-Schrieffer-type superconductors focusing on propagating collective modes that are observable with terahertz near-field optics. The interesting frequency and momentum range is $\omega < 2\Delta$ and $q < 1/\xi$, where Δ is the gap and ξ is the coherence length. We show that it is possible to observe the superfluid plasmons, amplitude (Higgs) modes, Bardasis-Schrieffer modes, and Carlson-Goldman modes using the terahertz near-field technique, although none of these modes couple linearly to far-field radiation. Coupling of terahertz near-field radiation to the amplitude mode requires particle-hole symmetry breaking, while coupling to the Bardasis-Schrieffer mode does not and is typically stronger. For parameters appropriate to layered superconductors of current interest, the Carlson-Goldman mode appears in the near-field reflection coefficient as a weak feature in the subterahertz frequency range. In a system of two superconducting layers with nanometer-scale separation, an acoustic phase mode appears as the antisymmetric density fluctuation mode of the system. This mode produces well-defined resonance peaks in the near-field terahertz response and has strong anticrossings with the Bardasis-Schrieffer and amplitude modes, enhancing their response. In a slab consisting of many layers of quasi-two-dimensional superconductors, realized for example in samples of high- T_c cuprate compounds, many branches of propagating Josephson plasmon modes are found to couple to the terahertz near-field radiation.

DOI: [10.1103/PhysRevResearch.2.023413](https://doi.org/10.1103/PhysRevResearch.2.023413)

I. INTRODUCTION

Electromagnetic (EM) response is a fundamental property of superconductors. The responses to static electric and magnetic fields (infinite conductivity and the Meissner effect) are the defining properties of the superconducting state. The response to the time-dependent, very-long-wavelength transverse fields produced by far-field radiation has been extensively studied [1–5]. Superconductors are also characterized by a diversity of subgap collective modes [6] including plasmons, acoustic phase modes, amplitude (Higgs) modes, the Carlson-Goldman modes, and the Bardasis-Schrieffer modes associated with fluctuations of subdominant order parameters, shown schematically in Fig. 1. For superconductors of current interest, including cuprates [5,7], iron pnictides [8], NbSe₂ [9], and MgB₂ [10], the gap values and the relevant collective modes are in the terahertz range. These modes couple weakly, if at all, to far-field transverse photons.

Recent progress in cryogenic near-field nano-optics [11] has enabled new generations of experiments probing the response of materials to short-wavelength ($q \ll \omega/c$), primarily

longitudinal, terahertz electric fields [12–15] radiated by a sharp metallic tip very close to the sample. The essential new feature of the nano-optics experiments as compared to traditional far-field optics is the excitation of charge fluctuations in the material under study. This information is encoded in the near-field reflection coefficient $R_p(\omega, q)$ (see Appendix B). In this paper we calculate the nano-optics response $R_p(\omega, q)$ of a standard s -wave Bardeen-Cooper-Schrieffer (BCS) superconductor, assuming a circular Fermi surface and focusing on the contribution of collective modes. Each of the modes we consider couples to charge fluctuations and is therefore in principle observable in nano-optics experiments. We calculate in detail the matrix elements coupling each mode to charge excitations at nonzero momentum and from this the signal of the nano-optical response.

Charge fluctuations are constrained by the continuity equation, the proper treatment of which requires a fluctuation calculation consistent with the relevant Ward identities [16–19]. We employ a one-loop effective action method based on a Hubbard-Stratonovich transformation of the fundamental interacting electron system. This methodology, which is equivalent to a diagrammatic calculation including vertex corrections [17], is an efficient way to take order-parameter and charge fluctuations into account while respecting conservation laws.

Figure 1 shows many of the collective modes of interest in this paper. We now discuss their physics and coupling to light.

The phase (Anderson-Bogoliubov-Goldstone) mode (heavy blue dashed line in Fig. 1) is the order-parameter

*Corresponding author: zs2405@columbia.edu

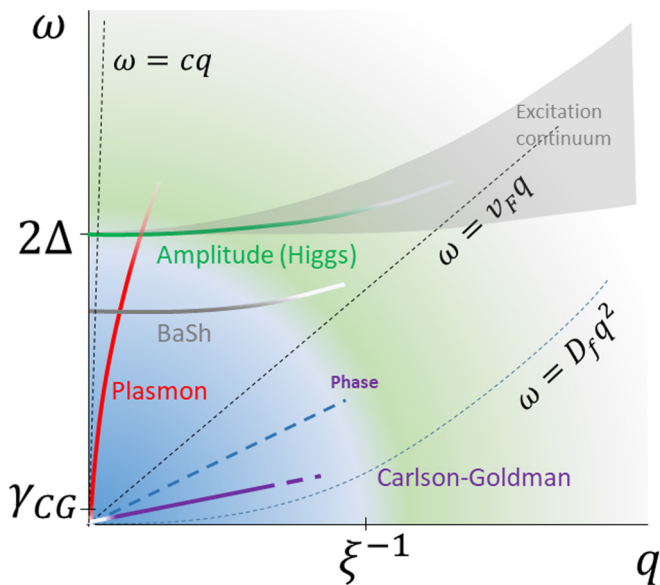


FIG. 1. Schematic representation in the frequency-momentum plane of the collective modes that may appear in the electrodynamic response of a 2D superconductor. The blue area shows the low-energy and long-wavelength region where weakly damped collective modes may be observed. Anticrossing between the plasmon and Higgs mode and the BaSh mode is not shown here. c is the speed of light, v_F is the Fermi velocity, and D_f is the normal-state diffusion coefficient.

phase fluctuation. It is accompanied by a superfluid density oscillation [20] which in the presence of long-range Coulomb interaction converts this mode into a plasmon [21]. In two dimensions (2D), the plasmon has a $\omega \sim \sqrt{q}$ dispersion, shown as the red solid line in Fig. 1 and discussed in Sec. IV. The plasmons directly couple to near-field radiation. In multilayer systems, mutual screening leads to branches of acoustic (linearly dispersing) plasmons (not shown in Fig. 1). These acoustic plasmons also couple to terahertz near-field probes, see Sec. VIII.

In the presence of abundant normal carriers, as happens, for example, close to T_c , the Coulomb potential of the superfluid density fluctuation can be screened, and as a result, one finds two modes: a charge-neutral gapless mode (the so-called Carlson-Goldman (CG) mode [22]) in which the normal and superfluid densities oscillate out of phase, and the usual plasmon (phase mode) in which the two densities oscillate in phase and the dispersion is controlled by the Coulomb interaction. The CG mode is discussed in Sec. VII.

The amplitude (Higgs) mode (green line in Fig. 1) is the gap amplitude fluctuation [23–26] which couples to the EM linear response only when particle-hole symmetry is broken and only at nonzero q , because electron density fluctuation is needed to locally perturb the density of states and then the gap. The coupling is suppressed by the small parameter Δ/E_F , as discussed in Sec. V. Therefore the large momentum electric field from the near-field tip [12–14] would be the suitable probe of this mode, and the ideal samples are bilayer and multilayer superconductors, as we will show in the following. The Higgs mode does couple to far-field radiation in nonequilibrium [27] or through third-order nonlinear response, as has

been reported experimentally [28–32]; the nonlinear coupling is, however, rather small and may not be sufficient to account for the measured signal [33,34].

The Bardasis-Schrieffer (BaSh) mode [35–39] is a fluctuation of a subdominant pairing order parameter, e.g., a d -wave fluctuation in an s -wave superconductor. It was proposed half a century ago [35] but has been very difficult to observe, although recent reports of its signature in iron-based superconductors [40–42] are very encouraging. The BaSh mode frequency is slightly below the gap for weak subdominant pairing and approaches zero as the subdominant pairing strength approaches the dominant one.

The rest of the paper is organized as follows. In Sec. II we present the Hubbard-Stratonovich transformation of the BCS Hamiltonian to obtain the Ginzburg-Landau effective action which includes the collective modes. Section III derives the linear EM response functions and their simple forms in the low-energy limit. With the longitudinal optical conductivity, we analyze the properties of the collective modes in Secs. IV, V, VI, and VII with a focus on 2D. Section VIII discusses the acoustic plasmon mode in a superconducting double layer, which is promising to be observed in terahertz near-field optics. We then discuss the cluster of hyperbolic Josephson plasmons in naturally layered superconductors in Sec. IX and show that they are greatly affected by the nonlocal correction to the optical conductivity and the discrete nature across the layers. Section X is a summary and conclusion, with pointers to the relevant equations and figures, for readers uninterested in the details of the derivations. Appendix A contains the definition and explicit forms of the correlation functions. Appendix B has the derivation of the reflection coefficients. Appendix C has the derivation of the two-fluid model.

II. THE EFFECTIVE GINZBURG-LANDAU ACTION

A. The action of fermion, gap, and electromagnetic fields

The starting point is the BCS Lagrangian of attracting electrons coupled to an electromagnetic (EM) field:

$$\begin{aligned}
 L = & \int dr \{ \psi^\dagger [\partial_t + \xi(p - eA) + e\phi] \psi \} \\
 & - \int dr dr' g(r, r') \psi^\dagger(r) \psi^\dagger(r') \psi(r') \psi(r) \\
 & - \int dr \frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu}, \quad (1)
 \end{aligned}$$

where $(\phi, \mathbf{A}) = A^\mu$ is the EM field, $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$, ψ is the electron annihilation operator, $\xi(p) = \varepsilon(p) - \mu$, $p = -i\nabla$, e is the electron charge, and $g > 0$ is the attractive interaction. We will be interested in relatively low-frequency longitudinal EM fluctuations where the magnetic field can be neglected. Thus $\int dr \frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu} \rightarrow \int dr \frac{1}{8\pi} E^2(r)$ for a three-dimensional (3D) and $\rightarrow \sum_q \frac{1}{4\pi|q|} E_{-q} E_q$ for a 2D plane embedded in 3D space. In the 2D formula, E_q is the Fourier component of the electric field on the 2D plane. Note that the EM field A has an UV cutoff which is much smaller than the Fermi momentum such that it mediates only the smooth part of the Coulomb interaction between the electrons. Here, the high-energy part of the photon has been integrated out;

together with the phonons or other pairing modes, this results in an effective interaction g . For simplicity, we don't explicitly notate the photons in what follows.

Performing the Hubbard-Stratonovich transformation of the path integral $Z = \int D[\bar{\psi}, \psi] e^{-S}$ in the pairing channel gives

$$Z = \int D[A] D[\bar{\psi}, \psi] D[\bar{\Delta}, \Delta] e^{-S[\psi, A, \Delta]}, \quad (2)$$

where the action

$$S = \int d\tau dr \left\{ \psi^\dagger G_{A\Delta}^{-1} \psi + \sum_l \frac{1}{2g_l} |\Delta_l|^2 \right\} \quad (3)$$

describes coupled dynamics of the fermion field ψ , the EM field A , and the gap Δ_l , with l denoting the pairing angular momentum. Note that we have neglected the detailed structure in g that is not important to our conclusions.

The fermion propagator is

$$G_{A\Delta}^{-1} = \begin{pmatrix} \partial_\tau + e\phi + \xi(p - eA) & \sum_l \Delta_l f_l(p) \\ \sum_l \bar{\Delta}_l \bar{f}_l(p) & \partial_\tau - e\phi - \xi(-p - eA) \end{pmatrix}, \quad (4)$$

where the index l labels different pairing channels, and $f_l(p)$ describes the momentum dependence of the pairing function in each channel. For simplicity, we consider only spin singlet pairing with the Nambu spinors being $\psi^\dagger = (\psi_\uparrow^\dagger, \psi_\downarrow^\dagger)$. In the BCS regime of two-dimensional superconductors, we can choose $f_l = \cos(l\theta_k)$ or $\sin(l\theta_k)$, and the corresponding pairing interaction is $g_l = \frac{1}{2\pi} \int d\theta \cos(l\theta) g[2k_F \sin(\theta/2)]$. Note that for $l = 0$, the $1/2\pi$ factor should be changed to $1/4\pi$. We assume that the $l = 0$ component of the interaction is the strongest and thus the ground state has s -wave pairing, but with only minor variations our formalism can be rewritten to cover other cases. We successively integrate out the fermion field to obtain the Ginzburg-Landau action for the gap and EM field, and then the gap to obtain the action for the EM field, which gives the information of the EM response functions.

B. Integrating out the fermions

Expanding in the EM field, the Lagrangian density is

$$\begin{aligned} \mathcal{L} = & \psi^\dagger G_\Delta^{-1} \psi + J_\mu^P A^\mu + \frac{1}{2} D_{ij} A_i A_j \\ & + O(A^3) + \sum_l \frac{1}{2g_l} |\Delta_l|^2, \end{aligned} \quad (5)$$

where the Gorkov Green's function for the Bogoliubov quasiparticle is

$$G_\Delta^{-1} = \begin{pmatrix} \partial_\tau + \xi(p) & \sum_l \Delta_l f_l(p) \\ \sum_l \bar{\Delta}_l \bar{f}_l(p) & \partial_\tau - \xi(-p) \end{pmatrix}, \quad (6)$$

the paramagnetic contribution to the current is

$$J_\mu^P = e \psi^\dagger (\sigma_3, -\mathbf{v}\sigma_0) \psi = (\rho, -\mathbf{j}^P), \quad (7)$$

and the diamagnetic "Drude" kernel is

$$D_{ij} = e^2 \psi^\dagger \sigma_3 (\partial_{p_i p_j} \varepsilon) \psi. \quad (8)$$

Note that we have assumed inversion symmetry of $\varepsilon(p)$. After integrating out the fermions, the action becomes

$$S(\Delta, A) = \text{Tr} \ln G_{A\Delta} + \int d\tau dr \sum_l \frac{1}{2g_l} |\Delta_l|^2, \quad (9)$$

where the trace is over the frequency, momentum, and spinor degrees of freedom of ψ .

It is convenient to split the action into mean-field and fluctuation parts:

$$S(\Delta, A) = S_{\text{mean field}} + S_{\text{fluctuation}}, \quad (10)$$

where in the mean-field part the trace is evaluated with space- and time-independent order parameters, and the fluctuation part is the difference between the mean-field action and the full action, and will be expanded in powers of small fluctuations around a homogeneous solution.

C. Mean field as the saddle point

Assuming the ground state has s -wave pairing with Δ independent of momentum, the mean-field free energy is

$$\begin{aligned} S_{\text{mean field}}/V &= \frac{1}{2g} \Delta \bar{\Delta} + \sum_{\omega_n, k} \ln [(i\omega_n)^2 - E_k^2] \\ &= \frac{1}{2g} \Delta \bar{\Delta} - \sum_k \left[\frac{2}{\beta} \ln(1 + e^{-\beta E_k}) + E_k \right], \end{aligned} \quad (11)$$

where $E_k = \sqrt{\xi_k^2 + |\Delta|^2}$ is the quasiparticle energy with gap Δ . Minimization of $S_{\text{mean field}}$ with respect to Δ yields the gap equation

$$\frac{1}{g} \Delta = \sum_k \frac{\Delta}{E_k} [1 - 2f(E_k)], \quad (12)$$

where f is the Fermi distribution function. At zero temperature, the integral in Eq. (11) can be done and we obtain the condensation energy relative to the normal state:

$$\begin{aligned} F = S_{\text{mean field}}/V + \sum_k \xi_k &= \frac{1}{2g} \Delta \bar{\Delta} + \sum_k (\xi_k - E_k) \\ &\approx \frac{1}{2g} |\Delta|^2 - \frac{1}{2} \nu |\Delta|^2 \ln \frac{2\omega_D}{|\Delta|}, \end{aligned} \quad (13)$$

where ν is the density of state at the Fermi level of the normal state. The gap at zero temperature is thus $\Delta_0 = 2\omega_D e^{-\frac{1}{g\nu} - \frac{1}{2}}$ for $g\nu \ll 1$. Without loss of generality, we pick the mean-field gap Δ to be real. The coherence length is defined as $\xi = v_F/\Delta$. Note that the free energy is nonanalytic at around $\Delta = 0$, i.e., the expansion coefficients in small Δ all diverge. The Ginzburg-Landau expansion in powers of Δ is only possible at finite temperature and accurate close to T_c .

The validity of this "mean field plus fluctuation" approach is based on the validity of the mean-field theory. In other words, the quantum or thermal fluctuations of the order parameters have to be small. The latter is suppressed by the Ginzburg parameter $G = \frac{\Delta_0}{E_0 \xi^d} \sim \left(\frac{\Delta_0}{E_F}\right)^{d-1} \ll 1$, the small parameter of the mean-field theory [43] where $E_0 = \nu \Delta_0^2/4$

is the condensation energy density and d is the space dimension. For conventional superconductors and charge or spin density waves, the accuracy of the mean-field approach has been extensively verified. For larger G , fluctuation corrections will change quantitative relationships (for example, the relationship between the model velocity and the Fermi velocity) but will not change qualitative features, including the qualitative nature of the dispersion relation (which modes are linear, which gapped). These effects can be accounted for by a diagrammatic expansion in the nonlinear coupling terms of the fluctuations. A renormalization group-based approach could improve the accuracy of the perturbation theory. The most important qualitative effect of fluctuations is that in small superfluid stiffness superconductors at and below 2D, long-wavelength fluctuations of the phase modes can be important, leading to physics of topological vortices and the Berezinskii-Kosterlitz-Thouless transition [44]. This is beyond the scope of the current paper.

D. Fluctuations

The fluctuations include those of the EM field, the s -wave gap, and the subdominant pairing order parameters. The s -wave gap fluctuation can be separated into amplitude and phase: $\Delta = (\Delta_0 + \Delta(r, t))e^{i2\theta(r, t)}$. It is convenient to perform a local gauge transformation [43]

$$\psi \rightarrow \begin{pmatrix} e^{i\theta} & 0 \\ 0 & e^{-i\theta} \end{pmatrix} \psi, \quad (14)$$

after which Eq. (3) retains its form but with Δ real and the EM field changed to the gauge-invariant ones,

$$eA_\mu \rightarrow \partial_\mu \theta + eA_\mu = (i\partial_\tau \theta + e\phi, \nabla \theta - e\mathbf{A}). \quad (15)$$

In the effective action, the EM field always comes together with the phase fluctuations in the above gauge-invariant form and therefore couples directly to the phase mode. The appearance of the other modes in the EM response can be inferred simply from their coupling to the phase mode.

The action of fluctuations Δ_q around the mean-field gap can be decomposed as

$$S_{\text{fluctuation}} = S_\theta + S_\Delta + S_{\text{BaSh}} + S_c + \text{nonlinear terms}, \quad (16)$$

where

$$S_\theta = \frac{1}{2} \sum_q K^{\mu\nu}(q) (\partial_\mu \theta + eA_\mu)_{-q} (\partial_\nu \theta + eA_\nu)_q \quad (17)$$

is the phase action,

$$S_\Delta = \frac{1}{2} \sum_q G_a(q)^{-1} \Delta_{-q} \Delta_q \quad (18)$$

is the amplitude action, and

$$S_{\text{BaSh}} = \frac{1}{2} \sum_q G_l(q)^{-1} \Delta_l(-q) \Delta_l(q) \quad (19)$$

is the action for the fluctuation of the subdominant pairing channels, i.e., the Bardasis-Schrieffer modes. Note that the a in G_a means amplitude, and l in G_l labels the angular

momentum of the subdominant pairing channel. Finally,

$$S_c = \sum_q \left(C^\mu(q) \Delta_{-q} + \sum_l B_l^\mu(q) \Delta_l(-q) \right) (\partial_\mu \theta + eA_\mu)_q \quad (20)$$

is the coupling between phase and amplitude and BaSh mode fluctuations. Global $U(1)$ symmetry under $\theta \rightarrow \theta + \delta$ is manifest here, since the action depends only on derivatives of the phase. This ensures charge conservation. The kernels K , $G_{a,l}$, C , and B will be defined and discussed in subsequent sections.

E. Phase action

The quadratic kernel for the phase action is

$$\begin{aligned} K_{\mu\nu}(q) &= \langle \hat{T} J_\mu^P(x) J_\nu^P(0) \rangle|_q + \begin{pmatrix} 0 & 0 \\ 0 & \langle D_{ij} \rangle \end{pmatrix} \\ &= \begin{pmatrix} \chi_{\rho\rho}^{(0)} & \chi_{\rho\mathbf{j}}^{(0)} \\ \chi_{\mathbf{j}\rho}^{(0)} & \chi_{\mathbf{j}\mathbf{j}}^{(0)} + \langle D_{ij} \rangle \end{pmatrix}, \end{aligned} \quad (21)$$

where $\chi_{\rho\rho}^{(0)}$, $\chi_{\rho\mathbf{j}}^{(0)}$, and $\chi_{\mathbf{j}\mathbf{j}}^{(0)}$ are the density-density, density-current, and current-current correlation functions evaluated at the mean-field saddle point. In the case of a quadratic band, $\varepsilon = p^2/(2m)$, the system has Galilean invariance and $D_{ij} = n/m\delta_{ij}$, where n is the total carrier density.

Low-energy limit. At zero temperature, for $\omega \ll \Delta$ and $q \ll 1/\xi$, we have $\chi_{\rho\rho}^{(0)} \rightarrow \nu$, $\chi_{\rho\mathbf{j}}^{(0)} \sim \omega\mathbf{q}$, and $\chi_{\mathbf{j}\mathbf{j}}^{(0)} \sim q^2$, and thus to leading order we have

$$K_{\mu\nu}(q) = \begin{pmatrix} -\nu & 0 \\ 0 & D \end{pmatrix}, \quad (22)$$

where ν is the normal-state density of state at the Fermi level and D is assumed to be rotationally invariant. Therefore, the effective low-energy Lagrangian of the phase fluctuation is [43]

$$\mathcal{L} = -\frac{1}{2}\nu(\partial_t \theta + e\phi)^2 + \frac{1}{2}D(\nabla \theta - e\mathbf{A})^2, \quad (23)$$

which describes the Nambu-Goldstone mode with velocity $v_g = \sqrt{n/(mv)} = v_F/\sqrt{d}$ if the EM field were not present, also known as the Anderson-Bogoliubov mode [2,16]. Here d is the space dimension. Due to the long-range Coulomb interaction (coupling to EM field), the Goldstone mode does not actually exist but is shifted to the high-frequency plasmons through the Anderson-Higgs mechanism [21].

F. Amplitude action

The inverse propagator for amplitude fluctuation is

$$G_a^{-1}(q) = \frac{1}{g} + \chi_{\sigma_1\sigma_1}(q). \quad (24)$$

At zero momentum $q = 0$ and rotated to real frequency, it has the analytical form

$$G_a^{-1}(\omega) = (4\Delta_{sc}^2 - \omega^2)F(\omega), \quad (25)$$

where $\Delta_{sc} = \Delta$, and

$$F(\omega) = \sum_k \frac{1}{E_k(4E_k^2 - \omega^2)} = \frac{\nu}{4\Delta^2} \frac{2\Delta}{\omega} \frac{\sin^{-1}\left(\frac{\omega}{2\Delta}\right)}{\sqrt{1 - \left(\frac{\omega}{2\Delta}\right)^2}} \quad (26)$$

describes the quasiparticle effects. Specifically, F diverges as $\frac{1}{\sqrt{2\Delta-\omega}}$ as the frequency approaches 2Δ and has an imaginary part above 2Δ due to quasiparticle excitations, leading to power-law decay in time of the amplitude mode [23]. Thus G_a^{-1} does not have a simple pole at $\omega = 2\Delta$, and the Higgs amplitude mode is not well defined in the weak-coupling BCS approximation [23,26], although it might lead to a clearly observable feature in nonlinear optics [27–32].

Nevertheless, beyond weak coupling or in systems with additional physics, the behavior may be different. For example, in systems with superconductivity coexisting with a charge density wave (CDW), the quasiparticle absorption gap $\Delta = \sqrt{\Delta_{sc}^2 + \Delta_{cdw}^2}$ is larger than the Higgs frequency $2\Delta_{sc}$ and the amplitude mode becomes a well-defined collective mode [25]. In this case, F is well behaved around the Higgs pole and we can approximate the propagator by

$$G_a^{-1}(\omega) = \frac{\nu}{4\Delta^2} \left(4\Delta_{sc}^2 + \frac{1}{d} v_F^2 q^2 - \omega^2 \right), \quad (27)$$

where d is the space dimension and the $O(q^2)$ expansion can be found in Appendix A 1. Thus the Higgs mode frequency disperses roughly as $\omega_{hq}^2 = 4\Delta_{sc}^2 + \frac{1}{d} v_F^2 q^2$, as in Ref. [24]. Moreover, coupling between the Higgs mode and a higher frequency CDW phonon may further lower the Higgs mode frequency [24] and enhance its Raman matrix element, as has been proposed for $2H\text{-NbSe}_2$ [9,45].

G. Bardasis-Schrieffer mode action

The inverse propagators for the fluctuations of the higher-angular-momentum pairing channels are

$$G_l^{-1}(q) = \frac{1}{g_l} + \chi_{f_l(k)\sigma_2, f_l(k)\sigma_2}(q), \quad (28)$$

where the correlator is defined in Appendix A 2. Note that there are two directions for the fluctuations of the subdominant order parameters in the complex plane: perpendicular to the mean-field gap (in the “imaginary” direction) and parallel to it (in the “real” direction). The BaSh modes [35,37,38] are the “imaginary” fluctuations, i.e., in the σ_2 channel. This channel has nonzero matrix elements to quasiparticles at the gap edge, thus rendering $\chi_{\sigma_2, \sigma_2}(\omega)$ divergent as the frequency approaches the gap 2Δ from below. As a result, the BaSh modes all have energies below 2Δ . The “real” modes, the fluctuations in the σ_1 channel, do not have poles and are not well-defined collective modes, as shown in Appendix A 2.

In this paper we focus on the d -wave BaSh mode in an s -wave superconductor. In two dimensions, there are two d -wave BaSh modes corresponding to $d_{x^2-y^2}$ and d_{xy} . We consider here the $d_{x^2-y^2}$ mode; considerations for the d_{xy} mode are similar. Taking the momentum to be along x , one finds that to leading order in momentum the inverse propagator of the $d_{x^2-y^2}$ mode is

$$\begin{aligned} G_{\text{BaSh}}^{-1}(\omega, q) &= \frac{1}{g_d} + \chi_{\cos(2\theta_k)\sigma_2, \cos(2\theta_k)\sigma_2}(\omega, q) \\ &= \frac{1}{g_d} - \frac{1}{2g} - \frac{1}{2}\omega^2 F(\omega) + \frac{1}{16} \frac{\nu}{\Delta^2} v_F^2 q^2. \end{aligned} \quad (29)$$

For $g_d \in (0, 2g)$, at zero momentum it has a pole below 2Δ which gives the mode frequency

$$\omega_{\text{BaSh}} = 2\Delta \begin{cases} 1 - \frac{\pi^2}{32} (\nu g_d)^2 & (g_d \ll 2g) \\ \sqrt{\frac{2}{g_d \nu} - \frac{1}{g \nu}} & (g_d \rightarrow 2g) \end{cases} \quad (30)$$

in the weak and strong BaSh fluctuation limits. As g_d changes from 0 to $2g$, ω_{BaSh} goes from 2Δ to 0. For $g_d > 2g$, the ground state is no longer an s -wave one [36]. At finite momentum, the mode frequency shifts up as q^2 , as shown in Appendix A 2.

H. Coupling terms

In this section we present the coupling matrix elements between light and the Higgs mode/BaSh mode, a main result of this paper. In the present formalism, the exact form of the coupling between phase and amplitude is

$$C^\mu(q) = (\chi_{\rho\Delta}^{(0)}, \chi_{j\Delta}^{(0)}) = (\chi_{\sigma_3\sigma_1}^{(0)}, \chi_{\nu\sigma_0, \sigma_1}^{(0)}). \quad (31)$$

The phase θ and BaSh mode Δ_l are odd under either time reversal or particle-hole interchange because they are fluctuations in the “imaginary” direction on the complex plane; the amplitude fluctuation Δ is, however, even under these operations. Therefore, linear coupling between phase and amplitude fluctuations breaks particle-hole symmetry, while linear coupling between phase and Bash modes does not.

Taking the requirements of time-reversal and inversion symmetry into account, we find that the coupling coefficient can be expanded as

$$C^\mu(q) = [C_0 + O(q^2), C_i \omega \mathbf{q} + O(q^3)], \quad (32)$$

where $C_0, C_i = 0$ in a particle-hole symmetric situation.

To study the linear EM response for $q \ll 1/\xi$, it is sufficient to keep the leading terms. The simplest way to break the particle-hole symmetry is to use an energy-dependent electronic density of state (DOS), e.g., as in the parabolic band electron gas in three dimensions. Assuming the DOS is $g(\xi) = \nu(1 + \lambda\xi/E_F)$ (note that $\xi = \varepsilon - \mu$ should not be confused with the coherence length), we obtain from $\chi_{\sigma_3\sigma_1}^{(0)}$ that

$$C_0 \approx -\lambda\nu \frac{\Delta}{2E_F} \sinh^{-1} \left(\frac{\omega_D}{\Delta} \right) \quad (33)$$

and from $\chi_{j\Delta}^{(0)}$ that

$$C_i = \frac{1}{12d} \lambda\nu \frac{\Delta}{E_F} \left(\frac{v_F}{\Delta} \right)^2. \quad (34)$$

See Appendix A 3 for a detailed derivation. The factor $\lambda\Delta/E_F$ characterizes the strength of particle-hole symmetry breaking and is small in known superconductors.

In two dimensions, from inversion, time-reversal symmetry, and that the BaSh fluctuation is $\pi/2$ out of phase relative to the static s -wave order parameter, the linear coupling coefficient between the phase and the $d_{x^2-y^2}$ BaSh mode can be written as

$$B^\mu = (B_0 \omega q^2, B_i q_x, -B_i q_y) = \left(\chi_{\sigma_3, \sigma_2 f_l(p)}^{(0)}, \chi_{\nu\sigma_0, \sigma_2 f_l(p)}^{(0)} \right), \quad (35)$$

where $B_i = i\pi \Delta v_F^2 F(\omega)$ describes coupling between the electric field and the BaSh mode, see Appendix A 3. Since the angular momentum change is $\delta l = 2$ in exciting an s bound state to a d state, an inhomogeneous electric field is required to overcome the selection rule and thus the B_i terms exist only at finite momentum. It will be shown that in the optical conductivity, at leading $[O(q^2)]$ order the B_0 term does not contribute. Note that coupling to the BaSh mode does not require breaking particle-hole symmetry and is not suppressed by the typically small parameter Δ/E_F . Thus, in general, the BaSh mode couples to EM more strongly than the Higgs mode.

III. ELECTRODYNAMICS OF SUPERCONDUCTORS

A. Linear electromagnetic response

To obtain the linear EM response functions, one simply needs to obtain the fluctuation action quadratic in the EM fields. Integrating out the amplitude and BaSh modes results in

$$S = \frac{1}{2} \sum_q K^{\mu\nu}(q) (\partial_\mu \theta + eA_\mu)_{-q} (\partial_\nu \theta + eA_\nu)_q, \quad (36)$$

with the kernel modified to

$$K^{\mu\nu}(q) = \begin{pmatrix} \chi_{\rho\rho}^{(0)} & \chi_{\rho j}^{(0)} \\ \chi_{j\rho}^{(0)} & \chi_{jj}^{(0)} + \langle D_{ij} \rangle \end{pmatrix} - G_a(q) C^\mu(q) C^\nu(q) - G_{\text{BaSh}}(q) B^\mu(q) B^\nu(q). \quad (37)$$

For the longitudinal EM response, it is inappropriate to directly employ the “free” optical conductivity $\chi_{jj}^{(0)} + \langle D_{ij} \rangle$ or the density response $\chi_{\rho\rho}^{(0)}$ obtained from the BCS mean-field Hamiltonian, because the static mean-field approximation breaks global $U(1)$ gauge invariance and thus does not satisfy charge conservation: $K^{\mu\nu} q_\nu \neq 0$. The reason is that longitudinal EM fields excite order-parameter phase fluctuations that are not captured by Bogoliubov quasiparticles.

The solution is to take into account the phase fluctuations [2,16,46,47], which ensures charge conservation since the Euler-Lagrangian equation from Eq. (36) is just the continuity equation. By integrating out the phase (or equivalently, by solving the Euler-Lagrangian equation for the phase), one finally obtains the EM action

$$S = \frac{1}{2} \sum_q \Pi^{\mu\nu}(q) A_\mu(-q) A_\nu(q), \quad (38)$$

where

$$\Pi^{\mu\nu}(q) = K^{\mu\nu}(q) - \frac{q_\alpha q_\beta K^{\alpha\nu} K^{\mu\beta}}{q_\alpha q_\beta K^{\alpha\beta}} \quad (39)$$

is the EM response tensor satisfying $J^\mu = \Pi^{\mu\nu} A_\nu$ and the continuity equation $\Pi^{\mu\nu} q_\nu = 0$. Specifically, $\Pi^{00} = \chi_{\rho\rho}$ is the irreducible (with respect to the Coulomb interaction) density-density response (polarization function) and $\frac{i}{\omega} \Pi^{ij} = \sigma_{ij}$ is the optical conductivity. This formalism is equivalent to correcting the current vertex by electron-electron interactions after which gauge invariance [2] and thus Ward identity [17] are recovered. The diagrammatic representation of Eq. (39) is shown in Fig. 2. Equation (39) contains all the information of linear coupling between the EM field and the collective modes and will be frequently used in the following.

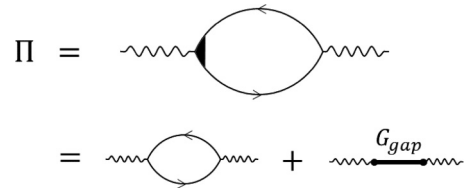


FIG. 2. Diagrammatic representation of the EM linear response kernel Π , i.e., self-energy of photon. The first line is the photon self-energy using the language of interacting electrons. The solid lines are electron Green’s functions within the BCS approximation. The corresponding vertex correction should be included to restore the Ward identity [17]. The second line is the same thing but expressed in the language of coupling photons and order-parameter fluctuations, as described by the Ginzburg-Landau action in Eq. (10). The first term is the bare current correlation K , and the second term is the fluctuation contribution which corresponds to the vertex correction.

Note that within the clean limit, the optical conductivity from Eq. (39) has vanishing real parts above the gap due to momentum conservation, which means light does not break cooper pairs without the assistance of impurities or phonons. To account for optical absorption above the gap, it is therefore necessary to introduce the effect of disorder. The Mattis-Bardeen [1,48] theory for optical absorption completely relaxes momentum conservation in the quasiparticle excitation process and has proven accurate in various BCS-type superconductors. In this paper, we employ the Mattis-Bardeen formula to describe the optical conductivity above the gap:

$$\sigma_1(\omega > 2\Delta) = \sigma_n(\omega) \Theta\left(\frac{\omega}{2\Delta} - 1\right) \left[\left(1 + \frac{2\Delta}{\omega}\right) E\left(\frac{\omega - 2\Delta}{\omega + 2\Delta}\right) - \frac{4\Delta}{\omega} K\left(\frac{\omega - 2\Delta}{\omega + 2\Delta}\right) \right], \quad (40)$$

where σ_n is the normal-state conductivity, and $E(x)$, $K(x)$ are the complete elliptic integrals.

B. The low-energy limit

At low temperature compared to T_c , in the low-energy limit $\omega \ll \Delta$ and $q \ll 1/\xi$, as shown in the blue region of Fig. 1, the electrostatics can be described by the Lagrangian Eq. (23), which leads to the longitudinal optical conductivity:

$$\sigma_s = i \frac{D_s/\pi}{\omega - v_g^2 q^2/\omega}, \quad D_s = \pi n_s e^2/m. \quad (41)$$

Here n_s is the superfluid density, and n_s/m is the superfluid stiffness which in 3D is related to the magnetic penetration depth as $\lambda_B = \sqrt{\frac{c^2}{4\pi n_s e^2}}$. This form closely resembles that of a hydrodynamic electron fluid [49–51], except that damping is completely suppressed here by the gap. Equation (41) completely specifies the crossover between the Drude limit $\omega \gg v_F q$ and the Thomas-Fermi limit $\omega \ll v_F q$. Note that the amplitude or BaSh mode and quasiparticle excitation do not enter here since they appear at higher energy. For a clean and isotropic BCS superconductor, $v_g = v_F/\sqrt{d}$ at zero temperature and gradually decreases to zero as temperature is raised to T_c .

At nonzero temperature, one should add the contribution of the normal carriers, which makes the conductivity into the “two-fluid” form derived in Appendix C:

$$\sigma(\omega, q) = \sigma_s + \sigma_n = i \frac{D_s/\pi}{\omega - v_g^2 q^2/\omega} + \sigma_n. \quad (42)$$

For $\Delta \ll T$, an analytical formula for the normal fluid conductivity with nonzero scattering rate can be found from the Boltzmann equation [48,52,53]. In the simple limits,

$$\sigma_n = \begin{cases} \frac{iD_n/\pi}{\omega + i\gamma} & (\omega \gg D_f q^2) \\ -i\nu_n \frac{\omega}{q^2} & (\omega \ll D_f q^2, \nu_F q), \end{cases} \quad (43)$$

where $D_n = \pi n_n/m$, $n_n \approx n$ is the density of normal carriers, γ is the scattering rate, $D_f = v_F^2/(d\gamma)$ is the normal-state diffusion constant, d is the space dimension, and ν_n is close to ν at temperate close to T_c .

IV. 2D PLASMONS

For simplicity, we neglect the coupling to the amplitude mode in this section. The plasmons are the charge density fluctuations and can be found by the zeros of the dielectric function

$$\epsilon = 1 - V_q \chi_{\rho\rho} = 1 + V_q \frac{iq^2}{\omega} \sigma = 0, \quad (44)$$

where $V_q = 4\pi/q^2$ for 3D and $V_q = 2\pi/|q|$ for 2D. Together with Eq. (41), we obtain the plasmon dispersion $\omega_p = \sqrt{2D_s q + v_g^2 q^2}$ for two dimensions. For three dimensions, Eq. (44) predicts $\omega_p = \sqrt{4\pi n_s e^2/m + v_g^2 q^2} \gg \Delta$, which lies in the high-energy regime beyond the limit of validity of our theory, although the correct plasma frequency $\omega_p^2 = 4\pi n_s e^2/m$ is obtained for a clean superconductor.

In the low-frequency limit $\omega \lesssim \omega_c$, the 2D plasmon dispersion $\omega_p = \sqrt{2D_s q}$ approaches the edge of the continuum of vacuum propagating photons $\omega = cq$. (Recall that here q is a 2D momentum and light modes disperse as $\omega = c\sqrt{q^2 + k_z^2}$ for any k_z .) For lower frequencies the analysis given here requires modification, because the electric fields associated with the plasmons begin to extend far from the 2D sheet so that the plasmon couples much less strongly to near-field radiation. The critical frequency can be estimated as $\omega_c = 2D_s/c$, corresponding to the energy $\hbar\omega_c = \frac{e^2 \hbar^2 n_s}{\hbar c m} \sim \frac{1}{137} E_F^*$, where E_F^* is the Fermi energy, equivalent to a 2D superfluid stiffness n_s/m . For a clean, weakly correlated material E_F^* is of eV scale and the crossover frequency is of the order of 1 THz. However, many superconductors of current interest [54] have much lower E_F^* so that the crossover frequency is well below the terahertz regime.

Assuming a doping level of $n = 7 \times 10^{13} \text{ cm}^{-2}$ [corresponding to the Fermi momentum $k_F = 2\pi/(3 \text{ nm})$] and a Fermi velocity of $v_F = 2.5 \times 10^5 \text{ m/s}$, one obtains a wavelength of $\lambda \approx 180 \mu\text{m}$ for the plasmon at 1 THz. This wavelength is close to that of the corresponding vacuum photon ($300 \mu\text{m}$), although a substrate with large dielectric screening might make the plasmon wavelength shorter.

Nevertheless, in a dirty superconductor with a large normal-state scattering rate $\gamma \gg T_c$, the sub-gap plasmon frequency is mainly determined by the superfluid density, which

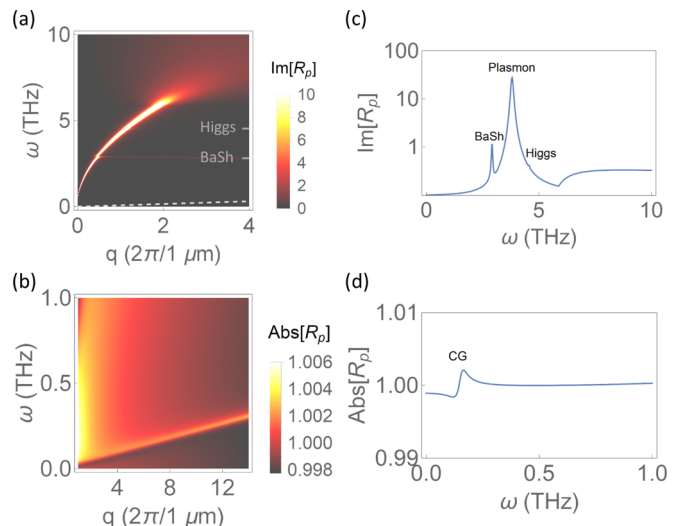


FIG. 3. Near-field reflection coefficients of a monolayer superconductor. (a) At $T = 0 \text{ K}$, the dominant feature comes from the plasmon, while there is very weak anticrossing with the BaSh mode at 3.0 THz. The coupling to the Higgs mode is too weak to be seen. (b) At $T = 79.6 \text{ K}$ close to $T_c = 80 \text{ K}$, the plasmon is overdamped, while the CG mode appears as a weak crossover of R_p . Note the difference in color scales between (a) and (b). The right panel shows the vertical line cuts of the left panel at (c) $q = 0.8 \times 2\pi/(1 \mu\text{m})$ and (d) $q = 7 \times 2\pi/(1 \mu\text{m})$. The Fermi momentum, velocity is $k_F = 2\pi/(3 \text{ nm})$, $v_F = 2.5 \times 10^5 \text{ m/s}$, the normal-state scattering rate is $\gamma = 30 \text{ THz}$, the gap at zero temperature is $\Delta = 3.0 \text{ THz}$, and $\kappa = 0.4$, $\kappa_{\text{BaSh}} = 1.5$.

is only a part of the total density even at zero temperature: $n_s \sim nT_c/\gamma$. At the same terahertz frequency far below γ , the plasmon wavelength is smaller by a factor T_c/γ , and they become more confined to the 2D plane. Below the gap, weakly damped plasmons with dispersion $\omega = \sqrt{2D_s q}$ couple strongly to the near-field probe, as shown by the near-field reflection coefficient

$$R_p = -\frac{1}{\epsilon} + 1 = -\frac{1}{1 + \frac{i2\pi q}{\omega} \sigma} + 1 \quad (45)$$

in Fig. 3(a). For the ratio $T_c/\gamma = 0.1$, the 1-THz plasmon wavelength is shrunk by the same factor to $18 \mu\text{m}$.

V. HIGGS MODE

The Higgs mode couples to phase fluctuation as shown in Eq. (20), manifests itself in the second term of Eq. (37), and finally enters the EM response through Eq. (39). The density response with the Higgs mode correction is thus

$$\chi_{\rho\rho} = \Pi^{00} = \frac{\frac{q^2}{\omega^2} \frac{n}{m} (v - G_a C_0^2) + v G_a C_i^2 q^4}{v - G_a (C_0 + C_i q^2)^2 - \frac{q^2}{\omega^2} \frac{n}{m}}. \quad (46)$$

Since the C_0 and C_i terms contribute terms at the same order, we take $C_i = 0$ to arrive at a simplified expression:

$$\chi_{\rho\rho} = \frac{\frac{q^2}{\omega^2} \frac{n}{m} (v - G_a C_0^2)}{v - G_a C_0^2 - \frac{q^2}{\omega^2} \frac{n}{m}}. \quad (47)$$

Thus the longitudinal optical conductivity is

$$\sigma(\omega, q) = i \frac{n_s e^2 / m}{\omega} \frac{1}{1 - \frac{v_g^2 q^2}{\omega^2} \frac{1}{1 - \kappa^2 \Delta^2 / (\omega^2 - \omega_{hq}^2)}}, \quad (48)$$

where

$$\kappa = \lambda \frac{\Delta}{E_F} \sqrt{2 \sinh^{-1} \left(\frac{\omega D}{\Delta} \right)} \quad (49)$$

is the dimensionless coupling constant of the Higgs mode to EM, and $\omega_{hq} = \sqrt{4\Delta_{sc}^2 + v_F^2 q^2} / d$ is the Higgs mode frequency. Since λ is order 1 and $\sinh^{-1} \left(\frac{\omega D}{\Delta} \right)$ is not a large number, this coupling is simply suppressed by the small number $\frac{\Delta}{E_F}$. Note that at $q = 0$, the optical conductivity reduces to the Drude form and there is no signature of Higgs mode, showing why this mode cannot be observed in a conventional far-field terahertz linear response. In contrast, the near-field optical imaging technique has access to nonzero q , where the Higgs mode manifests itself through coupling to the plasmons.

Specifically, for a monolayer superconductor, the coupled collective modes can be found as the poles of Eq. (44). The weight of the Higgs pole in R_p scales as $W_{\text{higgs}} \sim \kappa^2 v_g^2 q^2 / \Delta$ for $\omega_h \gg \omega_p$, i.e., well before the Higgs mode crosses the plasmon. Nevertheless, the most prominent signature of the Higgs mode is its anticrossing with the plasmon mode, which happens roughly at $\omega_p(q) = \omega_{hq}$. A detailed solution of Eq. (44) gives the frequency splitting at the anticrossing as

$$\delta\omega \approx \frac{\kappa \Delta}{2\omega_{hq}} \sqrt{\kappa^2 \Delta^2 + 4v_g^2 q^2}, \quad (50)$$

where q is the momentum at the anticrossing. Therefore, the splitting will be bigger if the anticrossing happens at larger momentum.

VI. BARDASIS-SCHRIEFFER MODE

Optical excitation of the BaSh mode can be viewed as transition from an s bound state of the Cooper pair to a d bound state. This is forbidden in far-field optics for two reasons: First, unlike the hydrogen atom case, a uniform electric field exerts the same force on the two electrons and does not change the internal structure; second, both the s and d states have even parity, which forbids a transition due to the optical selection rule. Thus it is necessary to go to nonzero momentum for its nonzero coupling to the EM field. Indeed, the coupling constant is proportional to ξq , which is appreciable when the electric field becomes substantially nonuniform on the scale of a Cooper pair size.

Plugging Eq. (35) into Eqs. (37) and (39) gives the appearance of the BaSh mode in the longitudinal optical conductivity:

$$\sigma(\omega, q) = i \frac{n_s e^2 / m}{\omega} \frac{1}{\frac{1}{1 + \kappa_{\text{BaSh}}^2 v_g^2 q^2 / (\omega^2 - \omega_{\text{BaSh}}^2)} - \frac{v_g^2 q^2}{\omega^2}}, \quad (51)$$

where $\kappa_{\text{BaSh}} = \frac{\pi}{\sqrt{2}} v_F^2 / v_g^2 \sim 1$ is the dimensionless coupling constant between the BaSh mode and EM. The B_0 terms are higher order in q and are neglected. Note that if the momentum q is along x , the BaSh mode indicates the $d_{x^2-y^2}$ order-parameter fluctuation.

The BaSh mode couples to the near field more strongly than the Higgs mode due to the absence of the Δ/E_F factor in the coupling constant κ_{BaSh} . Solving the pole equation, Eq. (44), one obtains the frequency splitting at the anticrossing between BaSh and plasmon,

$$\delta\omega \approx \kappa_{\text{BaSh}} v_g q, \quad (52)$$

which scales linearly with the momentum at the anticrossing.

VII. CARLSON-GOLDMAN MODE

The CG mode is a superfluid density fluctuation accompanied by the counterflow of normal carriers such that the Coulomb potential from the superfluid fluctuation is almost completely screened [22,55–60]. This screening requires a large density of normal carriers, which is typically found near T_c . The velocity v_g of the CG mode depends on the ratio between the superfluid density n_s and superfluid susceptibility $\chi_s = \frac{\pi}{4} \frac{\Delta}{T} \nu$ and has different expressions in the clean [56] and dirty [55] limits:

$$v_g = \sqrt{\frac{n_s}{m} / \chi_s} = \frac{v_F}{\sqrt{d}} \begin{cases} \sqrt{2\Delta/\gamma} & \gamma \gg T_c \text{ (Dirty)} \\ \sqrt{\frac{7\zeta(3)}{\pi^3} \frac{\Delta}{T}} & \gamma \ll T_c \text{ (Clean)} \end{cases}, \quad (53)$$

where $\zeta(x)$ is the Riemann ζ function, and we have used the fact that $n_s = n \frac{\pi \Delta^2}{2\gamma T_c}$ for dirty superconductors and $n_s = 2(1 - T/T_c)n$ for clean superconductors close to T_c .

Its dispersion can be derived from the two-fluid conductivity Eq. (42) by setting $\epsilon = 0$, which yields

$$\omega^3 + i \frac{\omega_n^2}{\gamma} \omega^2 - (\omega_s^2 + v_g^2 q^2) \omega - i \frac{\omega_n^2}{\gamma} v_g^2 q^2 = 0 \quad (54)$$

in the limit of $D_f q^2 \ll \omega \ll \gamma$. Note the plasma frequency $\omega_{s/n} = \sqrt{4D_{s/n}}$ in 2D and $\omega_{s/n} = \sqrt{2D_{s/n} q}$ in 3D. Solving Eq. (54) in the case of $\omega_s \gg \omega \gg \frac{\omega_n^2}{\omega_s} \gamma$ renders the CG mode

$$\omega = \sqrt{v_g^2 q^2 - \frac{1}{4} \frac{\omega_s^4}{\omega_n^4} \gamma^2 - i \frac{1}{2} \frac{\omega_n^2}{\omega_s} \gamma}. \quad (55)$$

At even lower frequency $\omega \ll \frac{\omega_n^2}{\omega_s} \gamma$ in 2D, the solution to Eq. (54) gives the weakly damped plasmons

$$\omega = \sqrt{\omega_s^2 - \frac{\omega_n^4}{4\gamma^2} - i \frac{1}{2} \frac{\omega_n^2}{\gamma}}, \quad (56)$$

where the $v_g q$ contribution has been neglected. Note that the effective Drude weight is D_s in the low-frequency regime of the two-fluid model Eq. (42), similar to the collective mode called demons in the hydrodynamic regime of the Dirac fluid [49,61]. The schematic dispersion of the CG mode and plasmons in 2D are depicted in Fig. 4. The damping rate $\frac{\omega_n^2}{2\omega_s^2} \gamma$ of the CG mode is equal to $\frac{\pi}{4} \frac{\Delta^2}{T}$ in the dirty case and $(1 - T/T_c)\gamma$ in the clean case.

Note that the CG mode can be understood as a sound with the standard sound velocity $\sqrt{\frac{n_s}{m} / \chi_s}$ and χ_s being the superfluid compressibility. The latter is smaller than ν , the compressibility of the whole fluid in the low-frequency thermal dynamic limit, because the super and normal fluids move out of phase in this relative high-frequency regime. The

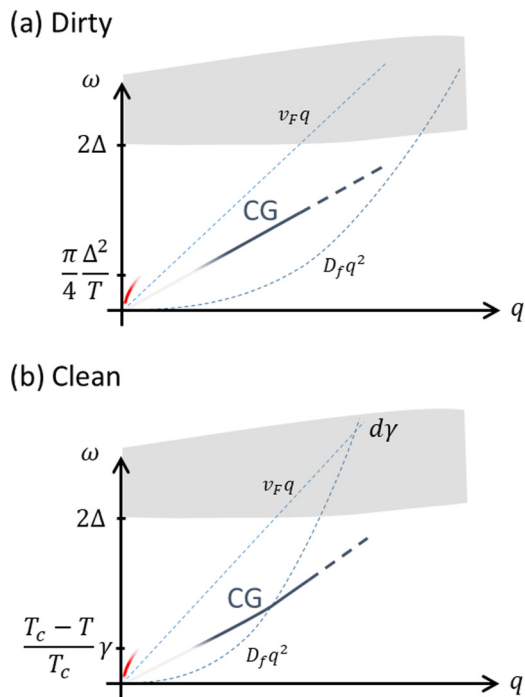


FIG. 4. Schematics of the dispersion of the Carlson-Goldman mode on the frequency-momentum plane. The CG mode speed is exaggerated. Since the theory, Eq. (53), is accurate only for $q \ll \xi^{-1}$, the part of the dispersion beyond ξ^{-1} is drawn as dashed. The gray region corresponds to the quasiparticle pair excitation continuum. The red solid line indicates the underdamped plasmon. In both the dirty and clean cases $\gamma, T_c \gg \Delta$ and $T_c - T \ll T_c$ are assumed.

local accumulation of superfluid causes the local chemical potential to shift up, leading to a change of quasiparticle energy and charge. However, the quasiparticle occupation number relaxes too slowly and cannot adjust itself to this change [57], resulting in “branch imbalance” [62], as shown in Fig. 5. Normal impurities cannot relax this branch imbalance, because in s -wave superconductors, the elastic scattering matrix element $u_k u_{k'} - v_k v_{k'}$ vanishes between holelike and electronlike states at the same energy. Inelastic scattering due

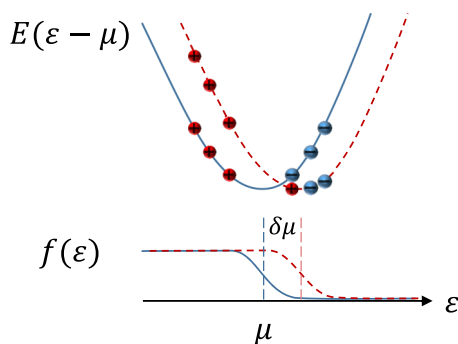


FIG. 5. Physical picture of the local chemical potential shift and the quasiparticle occupation in the CG mode. The plus and minus signs indicate the signs of the quasiparticle charge. This quasiparticle distribution is referred to as “branch imbalance” or “charge mode” in the literature [57,62].

to, e.g., phonons, does relax branch imbalance and cause extra damping to the CG mode, but we assume it to be small. In d -wave superconductors, the same matrix element is nonzero due to anisotropy of the gap, which allows normal impurities to relax the branch imbalance and bring extra damping to the CG mode [63].

In clean superconductors the CG mode can cross the diffusion line [Fig. 4(b)] before reaching the gap, entering the regime $\omega \ll D_f q^2$ where the normal fluid part of Eq. (42) is in the Thomas-Fermi form in Eq. (43). The normal fluid still screens the CG mode but with a Thomas-Fermi screening character. The CG mode speed is slightly modified to $v_{CG} = \sqrt{v_g^2 + \frac{n_s}{v_n m}}$ in this regime but still remains close to v_g since the second term is much smaller.

The original experiment using Josephson tunneling junctions by Carlson and Goldman [22] seems to be the only observation of this novel collective mode. In the optical conductivity measured by far-field optics, the CG mode might move part of the superfluid spectra weight to finite frequency due to smooth disorder [64,65]. At nonzero momentum, being almost charge neutral, the CG mode appears as a very weak feature in the near-field reflection coefficient: a 1% crossover of $\text{Abs}[R_p]$ as shown by Fig. 3(b), plotted for a typical dirty superconductor close to its T_c .

VIII. DOUBLE-LAYER SUPERCONDUCTOR

In this section we consider the system made of two superconducting layers separated by a small distance a , as shown in Fig. 6. Each layer has an in-plane conductivity described by Eq. (41) at low temperature. Density fluctuations in one plane may screen those in the other. In the quasistatic limit, the 2D plasmon dispersion can be obtained from the following eigenmode condition:

$$\left(1 + \frac{2\pi i}{\omega} q \sigma\right)^2 + e^{-2aq} \left(\frac{2\pi q}{\omega} \sigma\right)^2 = 0, \quad (57)$$

which leads to two plasmon branches

$$\omega_{\pm} = \sqrt{2Dq(1 \pm e^{-aq}) + v_g^2 q^2}. \quad (58)$$

The upper branch is the symmetric mode whose dispersion follows the $\omega_+ \sim \sqrt{q}$ law at small momentum. The lower (antisymmetric) branch is an acoustic mode which has the dispersion

$$\omega_- = \sqrt{2Da + v_g^2} \cdot q = v_- \cdot q \quad (59)$$

for $q \ll 1/a$. This acoustic mode is charge fluctuations of the two layers, which are out of phase such that the net charge fluctuation is near zero if looked at far away. In other words, the Coulomb interaction is mutually screened and is modified to the effective short-range form $V(q) = 2\pi(1 - e^{-aq})/q$ that makes the mode acoustic. A nonzero Josephson coupling between the layers would give this mode a small gap equal to the “Josephson plasma frequency” $\omega_J = \sqrt{4\pi e a j_c / \hbar}$, where j_c is the critical interlayer current density. An interlayer dc voltage that induces the ac Josephson effect can parametrically generate these acoustic plasmons. This issue will be discussed in a future publication. In this section, we neglect

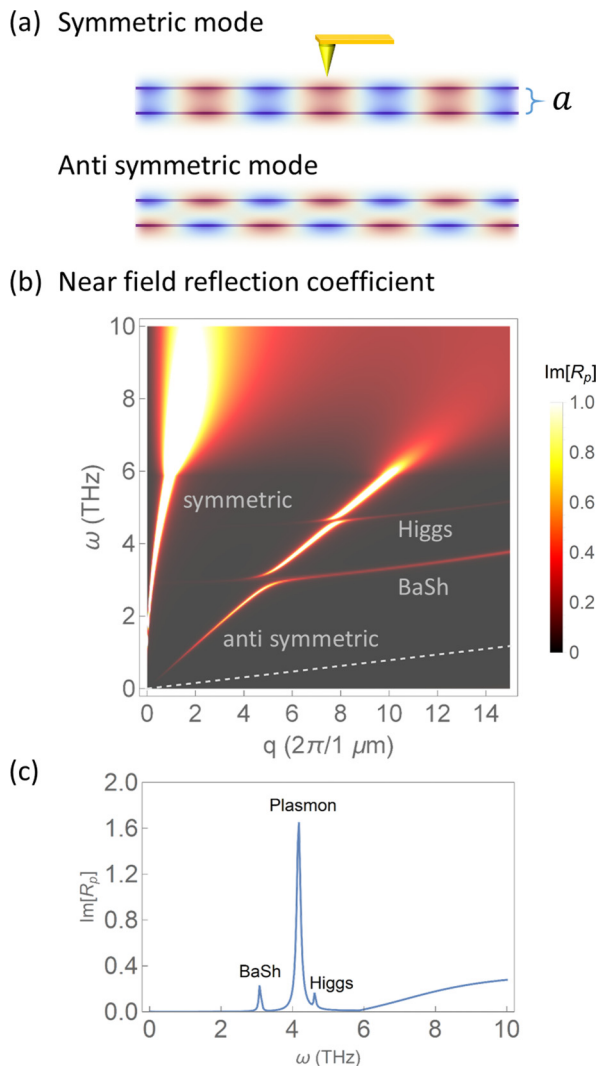


FIG. 6. (a) The 2D system consisting of two superconducting layers. Colors represent the electric potential of the symmetric and antisymmetric modes. (b) Near-field reflection coefficient of the double-superconducting-layer system. Josephson coupling is neglected. There is an anticrossing feature of the acoustic plasmon with the Higgs mode and the d -wave BaSh mode. The gray dashed line indicates the velocity of the Goldstone mode before coupling to EM. (c) Vertical line cut of (b) at momentum $q = 7 \times 2\pi / (1 \mu\text{m})$. The parameters are $k_F = 2\pi / (3 \text{ nm})$, $v_F = 2.5 \times 10^5 \text{ m/s}$, $\gamma = 30 \text{ THz}$, $n_s = 1.9 \times 10^{13} \text{ cm}^{-2}$, $a = 3 \text{ nm}$, $\Delta = 3.0 \text{ THz}$, $\kappa = 0.2$, and $\kappa_{\text{BaSh}} = 0.4$. Higgs/BaSh mode frequencies are assumed to be 4.5 THz/3.0 THz at zero momentum.

the Josephson coupling between the layers, which is weak for a substantially larger than atomic scale.

Both modes correspond to nonzero momentum oscillations of the phase of the superconducting order parameter. This acoustic plasmon can be viewed as the Goldstone mode, which recovers its acoustic nature because Coulomb interaction is greatly weakened. Its speed still has a large contribution $\sqrt{2Da} \sim \sqrt{\alpha k_F a v_F}$ from the residual Coulomb interaction, where $\alpha = e^2 / (\hbar v_F)$ is the “fine-structure constant.” In BSCCO 2212 at typical doping [66], $\alpha \approx 9$ since

$v_F \approx 2.5 \times 10^5 \text{ m/s}$. For $k_F = 2\pi / (10 \text{ nm})$ and $a = 3 \text{ nm}$, the ratio between the speeds of this acoustic mode and the original Goldstone mode is $v_- / v_g \approx 6$, which means they are at the same order of magnitude. Therefore, an accurate measurement of the acoustic plasmon dispersion would contain the information of the “Goldstone mode” speed.

In order for the acoustic mode to be observable to near-field experiments, it should have substantial spectral weight in the near-field reflection coefficient,

$$R_p(\omega, q) = -\frac{2\pi i q \sigma}{\omega} \frac{\epsilon + e^{-2aq} \left(1 - \frac{2\pi i}{\omega} q \sigma\right)}{\epsilon^2 + e^{-2aq} \left(\frac{2\pi q}{\omega} \sigma\right)^2}, \quad (60)$$

derived in Appendix B. Given the same amplitude of charge density oscillation in each layer, the electric field generated by the two layers tend to cancel each other since they are opposite in sign. The remaining field is weaker than the symmetric plasmon mode by a factor of $qa/2$ and the near-field spectral weight is weaker by $(qa/2)^{3/2}$. Nevertheless, the acoustic mode is still visible, as shown by the R_p , plotted in Fig. 6 using the conductivity from Eq. (39).

Moreover, since the acoustic plasmon has higher momentum given the same frequency in the terahertz range, it has stronger coupling to the Higgs/BaSh modes. Thus there is a more prominent anticrossing feature between the acoustic plasmon and Higgs/BaSh modes, as shown in Fig. 6. Note that Eqs. (50) and (52) apply to anticrossings with both the symmetric and antisymmetric modes. For example, the anticrossing of the BaSh mode with the acoustic plasmon happens at a momentum roughly 20 times that with the symmetric plasmon, rendering the energy splitting 20 times larger than the latter.

IX. BULK LAYERED SUPERCONDUCTORS

In layered superconductors such as high- T_c cuprates, there is Josephson coupling between the layers, and the low-temperature and subgap collective modes are the Josephson plasmons [5]. Considering only the phase degree of freedom, the Lagrangian for an evenly spaced layered superconductor is

$$L = \int dr \sum_n \left[-\frac{1}{2} v (\partial_t \theta_n + \phi_n)^2 + \frac{n_s}{2m} (\nabla \theta_n - \mathbf{A}_n)^2 - E_c \cos \left(\theta_{n+1} - \theta_n - \int_{n+1}^n A dz \right) \right], \quad (61)$$

where $\theta_n(r)$, $\phi_n(r)$, and $A_n(r)$ are the phase, scalar, and vector potentials on the n th layer, and E_c is the Josephson coupling energy per unit area and we have set $e = 1$. For the longitudinal fields we are interested in, we can choose the gauge where $A_n(r) = 0$. Due to continuous translational symmetry in plane and discrete one in the z direction, it is convenient to Fourier transform the fields into the “Bloch” form,

$$\theta_n(r) = \sum_{k_z, q} \theta_{k_z, q} e^{i(qr + k_z n a)}, \quad (62)$$

where a is the layer spacing, q is the in-plane momentum, and $k_z \in (-\pi/a, \pi/a)$ is the lattice momentum in z direction. The Lagrangian is diagonalized as

$$L = \sum_{k_z, q} \left[-\frac{1}{2} v (\partial_t \theta_n + \phi_n)_q^2 + \left(\frac{n_s}{2m} q^2 + E_c (1 - \cos(ak_z)) \right) \theta_q^2 \right]. \quad (63)$$

Solving the Euler-Lagrange equation of the phase and making use of the expression of the charge density $\rho = v(\partial_t \theta + \phi)$, we obtain the “nonlocal” polarization function

$$\chi_{\rho\rho}(k_z, q) = \frac{\frac{n_s}{m} q^2 + 2E_c(1 - \cos(ak_z))}{\omega^2 - v_g^2 q^2 - \frac{1}{v} 2E_c(1 - \cos(ak_z))}. \quad (64)$$

The Coulomb potential kernel is modified to

$$V(k_z, q) = \frac{2\pi e^2}{q} \frac{\sinh(aq)}{\cosh(aq) - \cos(ak_z)}. \quad (65)$$

The zeros of the dielectric function $\epsilon = 1 - V(k_z, q)\chi_{\rho\rho}$ give the dispersion of the collective modes:

$$\omega^2 = (1/v + V(k_z, q)) \left(\frac{n_s}{m} q^2 + 2E_c(1 - \cos(ak_z)) \right). \quad (66)$$

In the long-wavelength limit $q, k_z \ll 1/a$, the Coulomb kernel reduces to that of the continuous limit and the mode dispersion simplifies to

$$\omega = \sqrt{\omega_p^2 \frac{q^2}{q^2 + k_z^2} + \omega_J^2 \frac{k_z^2}{q^2 + k_z^2} + v_g^2 q^2 + v_z^2 k_z^2}, \quad (67)$$

where $\omega_J = \sqrt{4\pi E_c a^2}$ is the Josephson plasma frequency, $\omega_p = \sqrt{4\pi n_s/m}$ is the in-plane plasma frequency, $v_g = v_F/\sqrt{2}$ is the in-plane Goldstone mode speed in the clean limit, and $v_z = \frac{\omega_J}{\omega_p} v_g$ is the z -axis Goldstone mode speed. These are the hyperbolic Josephson plasmons (HJPs) extensively studied in the literature [67–71] which can be viewed as mixtures of out-of-plane and in-plane plasmons. Indeed, Eq. (67) could be derived directly from the zeros of the continuous limit of the nonlocal dielectric function,

$$\epsilon(k_z, q) = 1 - \frac{\omega_p^2 \frac{q^2}{q^2 + k_z^2} + \omega_J^2 \frac{k_z^2}{q^2 + k_z^2}}{\omega^2 - v_g^2 q^2 - v_z^2 k_z^2}, \quad (68)$$

which is defined as the external electrical potential divided by the total potential.

Alternatively, the long-wavelength response can be described by the anisotropic dielectric function

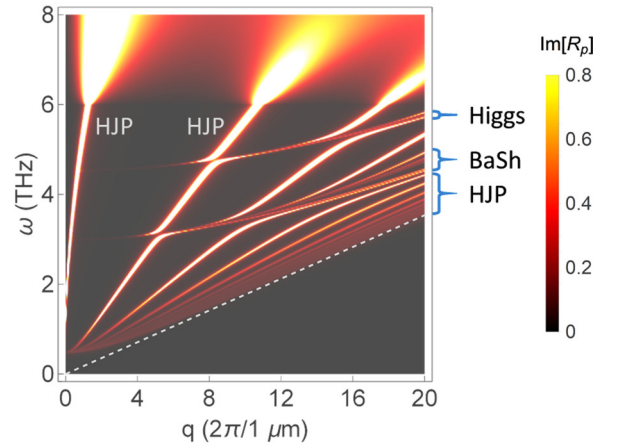
$$\begin{aligned} \epsilon_x(\omega, q, k_z) &= 1 - \frac{\omega_p^2}{\omega^2 - v_g^2 q^2 - v_z^2 k_z^2}, \\ \epsilon_z(\omega, q, k_z) &= 1 - \frac{\omega_J^2}{\omega^2 - v_g^2 q^2 - v_z^2 k_z^2}, \end{aligned} \quad (69)$$

and the collective mode dispersion is determined by $q^2 \epsilon_x + k_z^2 \epsilon_z = 0$. This formalism is more convenient for calculating the reflection coefficient of a slab. To include the effect of Higgs and BaSh modes, one just needs to modify the in-plane response ϵ_x in similar fashion as Eqs. (48) and (51).

(a) Slab of layered superconductor



(b) Near field reflection coefficient



(c)

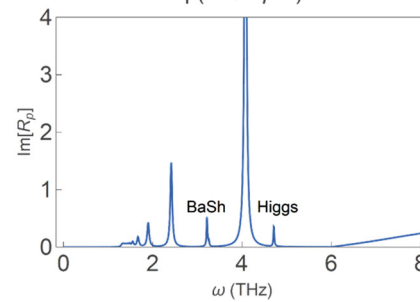


FIG. 7. (a) Illustration of a slab made of layered superconductor and the propagating Josephson plasmons inside. (b) Near-field reflection coefficient of a 10-nm-thick slab. Bright lines are due to the hyperbolic Josephson plasmons which anticross with the Higgs modes and d -wave BaSh modes. Gray dashed line indicates the velocity v_g of the in-plane Goldstone mode. (c) Vertical linecut of the above at momentum $q = 7 \times 2\pi/(1 \mu\text{m})$. The effective in-plane “plasma” frequency is $\omega_p = 30$ THz, and the Josephson plasma frequency is $\omega_J = 0.5$ THz. The gap is $\Delta = 3$ THz, $\omega_{\text{higgs}} = 4.5$ THz, $\omega_{\text{BaSh}} = 3.0$ THz, $\kappa = 0.2$, and $\kappa_{\text{BaSh}} = 0.2$.

For a superconducting slab with thickness d in the continuous limit, the near-field reflection coefficient is

$$R_{\text{slab}} = \frac{R_p(1 - e^{2ik_z d})}{1 - e^{2ik_z d} R_p^2}, \quad R_p = \frac{iq - k_z \epsilon_z(\omega, q, k_z)}{iq + k_z \epsilon_z(\omega, q, k_z)}, \quad (70)$$

where R_p is the reflection coefficient of an infinitely thick sample, and k_z is the z component of the EM wave momentum inside the slab. See Appendix B for the derivation. A typical reflection coefficient is shown in Fig. 7, taking into account the nonlocal corrections to the dielectric function due to the Goldstone mode. Note that due to high anisotropy of the EM response, the z -direction wavelength $\lambda_z \sim \lambda\omega/\omega_p$ can easily become comparable to the layer spacing where λ is the in-plane wavelength. In that case, the full form Eq. (66) should be used as the bulk mode dispersion, and the number of hyperbolic plasmon branches is limited by the number of layers N . Due to Josephson coupling between the layers, the transfer matrix method does not apply and numerical diagonalization of a set of N coupled linear equations will be needed to calculate the near field reflection coefficient.

X. DISCUSSION

We studied the nonlocal EM response properties of superconductors, which are of great importance to the emerging field of terahertz near field experiments. With analytical formulas for the nonlocal optical conductivity and plots of reflection coefficients, we have demonstrated that for monolayer or multilayer quasi-two-dimensional superconductors essentially all of the interesting collective modes (plasmons, hyperbolic Josephson plasmons, the Carlson-Goldman mode, the amplitude (Higgs) mode and the Bardasis-Schrieffer mode) couple linearly to the terahertz EM fields produced by near field probes. As old arguments of Anderson show, the dispersion of the plasmon (\sqrt{q}) is essentially unaffected by superconductivity, but the gap substantially suppresses the loss at low frequencies. Figure 3(a) shows the plasmon dispersion expected for a monolayer superconductor. In superconducting bilayers, an additional acoustic ($\omega \propto q$) plasmon (phase) mode exists and is also easily observable in near field experiments [Fig. 6(b)]. As the temperature becomes close to T_c , as shown by Fig. 3(b), the Carlson-Goldman mode appears but as a very weak feature across the resonance, since it has almost no net charge density fluctuation. Note that this mode is not enhanced in multilayer systems. The amplitude (Higgs) mode appears in the EM response because it couples to the phase fluctuation with a matrix element that is nonzero if there is no perfect particle-hole symmetry [Eq. (33)]. The ultimate coupling to the terahertz near field is proportional to the square of the near-field momentum q [Eq. (48)] and is strongly enhanced by an anticrossing with the plasmon or phase modes. The Higgs mode is only weakly visible for monolayer materials, because the \sqrt{q} plasmon dispersion means that the anticrossing occurs at a very small momentum [Fig. 3(a)]. The feature is much more easily visible in bilayer systems as an anticrossing with the acoustic plasmon (phase) mode [Fig. 6(b)]. Note that the Higgs mode does appear in nonlinear far-field optics [27–32]. The coupling to Bardasis-Schrieffer

(subdominant order parameter) mode is very similar to that of the Higgs mode, except that it does not require particle-hole symmetry breaking. It is again most easily visible as a large- q anticrossing with the phase [Fig. 6(b)] or plasmon mode [Fig. 3(a)]. Note that an analogy of the BaSh mode in excitonic insulators couples linearly to photons already at zero momentum, developing into BaSh polaritons [72].

In multilayer superconductors, a multiplicity of phase modes exist, coined the hyperbolic Josephson plasmons (Fig. 7). The plasmon dispersion is hyperbolic ($\epsilon < 0$ for in plane and $\epsilon > 0$ for out of plane), leading to total internal reflection [Fig. 7(a)] and many plasmon branches with Higgs and BaSh modes visible as anticrossings. The multiplayer nature means there are multiple branches of Higgs modes/BaSh modes, but they are weakly separated and may be difficult to resolve.

On the experimental side, detection of the collective modes offers useful information about both the ground state and the low-lying excited states. On the theoretical side, knowledge of how to excite the collective modes is often the first step towards understanding nonequilibrium dynamics [39,73]. From a technological point of view, the low-loss plasmonic modes are promising as information carriers in superconductor waveguides. The multiplayer systems described in Secs. VIII and IX can be viewed as a kind of naturally occurring photonic cavities which enhance light-matter coupling.

The formalism presented here is for s -wave superconductors. For d -wave superconductors, the qualitative features of the EM response, such as the two-fluid model Eq. (42) and all the collective modes [74], should be the same. Nevertheless, the CG mode might exist down to much lower temperature because of the large proportion of the normal fluid [75], although it might be heavily damped by normal disorder [63]. Due to the nodes in the d -wave gap, the terahertz plasmons might experience substantial damping, even at zero temperature. The effect of disorder is not explicitly taken into account and would be a useful extension of the present research, e.g., disorder-assisted Cherenkov radiation of plasmons by quasiparticles. It is also of interest to study the coupling of photons to the Leggett mode [76,77] at nonzero momentum.

ACKNOWLEDGMENTS

We acknowledge support from the Department of Energy under Grant No. DE-SC0018218. We thank W. Yang, R. Jing, Y. Shao, G. Ni, and Y. He for helpful discussions.

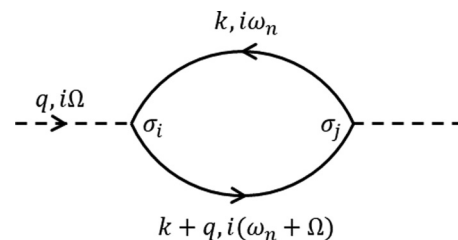


FIG. 8. The bubble diagram for correlation function $\chi_{\sigma_i \sigma_j}$.

APPENDIX A: CORRELATION FUNCTIONS

The correlation function $\chi_{\sigma_i\sigma_j}$ shown in Fig. 8 is defined as

$$\chi_{\sigma_i\sigma_j}(q) = \langle \hat{T}(\psi^\dagger \sigma_i \psi)_{(r,t)} (\psi^\dagger \sigma_j \psi)_0 \rangle |q\rangle = \sum_{\omega_n, k} \text{Tr}[G(k, i\omega_n) \sigma_i G(k+q, i(\omega_n + \Omega)) \sigma_j], \tag{A1}$$

where \hat{T} is the time order symbol, $x = (\mathbf{r}, t)$, $q = (\mathbf{q}, i\Omega)$, and

$$G(k, i\omega_n) = G_\Delta(k, i\omega_n) = \langle \hat{T} \psi(x) \psi^\dagger(0) \rangle |k, i\omega_n\rangle = \frac{1}{i\omega_n - \xi_k \sigma_3 - \Delta \sigma_1} \tag{A2}$$

is the electron Green's function. Rotation from imaginary to real time makes the time-ordered correlation functions into retarded ones. In the correlation functions involving the currents, one should change the σ vertex to the current vertex. For example,

$$\chi_{j_i \sigma_m}(q) = \langle \hat{T}(\psi^\dagger v_l \sigma_0 \psi)_x (\psi^\dagger \sigma_m \psi)_0 \rangle |q\rangle = \sum_{\omega_n, k} \frac{1}{2} (v(k) + v(k+q)) \text{Tr}[G(k, i\omega_n) \sigma_0 G(k+q, i(\omega_n + \Omega)) \sigma_l]. \tag{A3}$$

Evaluating the correlation function Eq. (A1) renders

$$\begin{aligned} \chi_{\sigma_i\sigma_j}(q) &= \sum_{\omega_n, k} \text{Tr} \left[\frac{(i\omega_n + \xi \sigma_3 + \Delta \sigma_1) \sigma_i (i(\omega_n + \Omega) + \xi' \sigma_3 + \Delta \sigma_1) \sigma_j}{[(i\omega_n)^2 - E^2][(i(\omega_n + \Omega))^2 - E'^2]} \right] \\ &= \frac{1}{4} \sum_k \left\{ \text{Tr}[\sigma_i \sigma_j] \left(\frac{f(E') - f(E)}{i\Omega - (E - E')} + \frac{1 - f(E') - f(E)}{i\Omega - (E + E')} + \frac{f(E') + f(E) - 1}{i\Omega + (E + E')} + \frac{f(E) - f(E')}{i\Omega - (E' - E)} \right) \right. \\ &\quad + \text{Tr} \left[\frac{\sigma_i (\xi' \sigma_3 + \Delta \sigma_1) \sigma_j}{E'} \right] \left(-\frac{f(E') - f(E)}{i\Omega - (E - E')} + \frac{1 - f(E') - f(E)}{i\Omega - (E + E')} - \frac{f(E') + f(E) - 1}{i\Omega + (E + E')} + \frac{f(E) - f(E')}{i\Omega - (E' - E)} \right) \\ &\quad + \text{Tr} \left[\frac{(\xi \sigma_3 + \Delta \sigma_1) \sigma_i \sigma_j}{E} \right] \left(-\frac{f(E') - f(E)}{i\Omega - (E - E')} - \frac{1 - f(E') - f(E)}{i\Omega - (E + E')} + \frac{f(E') + f(E) - 1}{i\Omega + (E + E')} + \frac{f(E) - f(E')}{i\Omega - (E' - E)} \right) \\ &\quad \left. + \text{Tr} \left[\frac{(\xi \sigma_3 + \Delta \sigma_1) \sigma_i (\xi' \sigma_3 + \Delta \sigma_1) \sigma_j}{EE'} \right] \left(\frac{f(E') - f(E)}{i\Omega - (E - E')} - \frac{1 - f(E') - f(E)}{i\Omega - (E + E')} - \frac{f(E') + f(E) - 1}{i\Omega + (E + E')} + \frac{f(E) - f(E')}{i\Omega - (E' - E)} \right) \right\}, \tag{A4} \end{aligned}$$

where ξ/E means $\xi(k)/E(k)$, ξ'/E' means $\xi(k+q)/E(k+q)$, and $f(E)$ is the fermion occupation number at energy E . At zero temperature, rotating $i\Omega$ to ω , Eq. (A4) simplifies to

$$\begin{aligned} \chi_{\sigma_i\sigma_j}(\omega, q) &= \frac{1}{4} \sum_k \left\{ \text{Tr} \left[\sigma_i \sigma_j - \frac{(\xi \sigma_3 + \Delta \sigma_1) \sigma_i (\xi' \sigma_3 + \Delta \sigma_1) \sigma_j}{EE'} \right] \frac{2(E + E')}{\omega^2 - (E + E')^2} \right. \\ &\quad \left. + \text{Tr} \left[\frac{\sigma_i (\xi' \sigma_3 + \Delta \sigma_1) \sigma_j}{E'} - \frac{(\xi \sigma_3 + \Delta \sigma_1) \sigma_i \sigma_j}{E} \right] \frac{2\omega}{\omega^2 - (E + E')^2} \right\}. \tag{A5} \end{aligned}$$

1. The Higgs propagator

The Higgs propagator involves the correlation in the σ_1 channel:

$$\chi_{\sigma_1\sigma_1}(\omega, q) = \sum_k \left\{ \left(1 - \frac{\Delta^2 - \xi \xi'}{EE'} \right) \frac{E + E'}{\omega^2 - (E + E')^2} \right\}. \tag{A6}$$

At zero momentum, it becomes

$$\chi_{\sigma_1\sigma_1}(\omega, 0) = \sum_k \frac{\xi^2}{E} \frac{4}{\omega^2 - 4E^2}. \tag{A7}$$

With the knowledge of the gap equation, the Higgs propagator is thus [26]

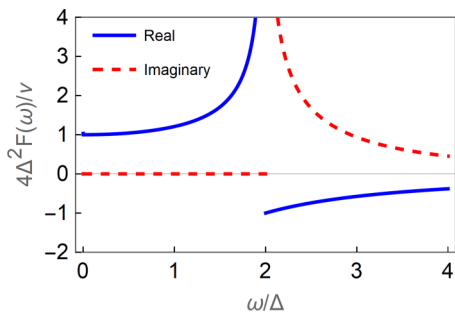
$$G_a^{-1}(\omega) = \frac{1}{g} + \chi_{\sigma_1\sigma_1}(\omega, 0) = (\omega^2 - 4\Delta^2) \sum_k \frac{1}{E(\omega^2 - 4E^2)}$$

$$= -(\omega^2 - 4\Delta^2) F(\omega), \tag{A8}$$

where

$$\begin{aligned} F(\omega) &= \sum_k \frac{1}{E(-\omega^2 + 4E^2)} \approx \frac{1}{2} \nu \int d\xi \frac{1}{E(-\omega^2 + 4E^2)} \\ &= \frac{\nu}{4\Delta^2} \frac{2\Delta}{\omega} \frac{\sin^{-1}(\frac{\omega}{2\Delta})}{\sqrt{1 - (\frac{\omega}{2\Delta})^2}} \\ &= \frac{\nu}{2\omega\Delta} \begin{cases} \frac{\sin^{-1}(\frac{\omega}{2\Delta})}{\sqrt{1 - (\frac{\omega}{2\Delta})^2}} & \omega \leq 2\Delta \\ -\frac{\sinh^{-1}(\sqrt{-1 + (\frac{\omega}{2\Delta})^2})}{\sqrt{-1 + (\frac{\omega}{2\Delta})^2}} + i \frac{\pi}{2\sqrt{-1 + (\frac{\omega}{2\Delta})^2}} & \omega > 2\Delta \end{cases} \tag{A9} \end{aligned}$$

is shown in Fig. 9.

FIG. 9. Real and imaginary parts of $F(\omega)$ as functions of ω .

At nonzero momentum, the propagator is [24]

$$G_a^{-1}(\omega, q) = \frac{1}{2} \sum_k \frac{E + E'}{EE'} \frac{\omega^2 - (\xi - \xi')^2 - 4\Delta^2}{\omega^2 - (E + E')^2}, \quad (\text{A10})$$

whose $O(q^2)$ expansion gives

$$G_a^{-1}(\omega, q) \approx \left(-\omega^2 + 4\Delta^2 + \frac{1}{d} v_F^2 q^2 \right) F(\omega). \quad (\text{A11})$$

2. The Bardasis-Schrieffer propagator

The total order parameter can be written as $\Delta_k = \Delta + \sum_l \Delta_l(r, t) f_l(k)$, where we have chosen the mean-field gap Δ to be real. The subdominant pairing order-parameter fluctuations Δ_l can have two possible directions: (1) orthogonal to Δ on the complex plane or in the “imaginary” direction, and (2) parallel to Δ or in the “real” direction. The “imaginary” fluctuations are the BaSh modes, while the “real” ones don’t have poles and are not collective modes.

We first consider the BaSh mode correlator:

$$\begin{aligned} & \chi_{f_l(k)\sigma_2, f_l(k)\sigma_2}(i\Omega, q) \\ &= \sum_{\omega_n, k} \text{Tr}\{G(k, i\omega_n) f_l(k) \sigma_2 G[k + q, i(\omega_n + \Omega)] f_l(k) \sigma_2\}. \end{aligned} \quad (\text{A12})$$

In two dimensions with rotational symmetry, the $d_{x^2-y^2}$ BaSh mode correlator is in the $\cos(2\theta_k)\sigma_2$ channel:

$$\begin{aligned} \chi_{\cos(2\theta_k)\sigma_2, \cos(2\theta_k)\sigma_2}(\omega, 0) &= \sum_k \frac{4 \cos^2(2\theta_k) E_k}{\omega^2 - 4E^2} \\ &= -\frac{1}{2} \left(\frac{1}{g} + \omega^2 F(\omega) \right). \end{aligned} \quad (\text{A13})$$

The BaSh mode inverse propagator is

$$\begin{aligned} G_{\text{BaSh}}^{-1}(\omega) &= \frac{1}{g_d} + \chi_{\cos(2\theta_k)\sigma_2, \cos(2\theta_k)\sigma_2}(\omega, 0) \\ &= \frac{1}{g_d} - \frac{1}{2g} - \frac{1}{2} \omega^2 F(\omega), \end{aligned} \quad (\text{A14})$$

which crosses zero at ω_{BaSh} below the gap, as shown by Fig. 10(a). For momentum along x , extending the correlator to $O(q^2)$ gives

$$G_{\text{BaSh}}^{-1}(\omega) \approx \frac{1}{g_d} - \frac{1}{2g} - \frac{1}{2} \omega^2 F(\omega) + \frac{1}{16} \frac{v}{\Delta^2} v_F^2 q^2 \quad (\text{A15})$$

in two dimensions. In the case of $\omega_{\text{BaSh}} \ll 2\Delta$, the propagator is simplified to

$$G_{\text{BaSh}}^{-1}(\omega) \approx \frac{v}{8\Delta^2} \left(\omega_{\text{BaSh}}^2 + \frac{1}{2} v_F^2 q^2 - \omega^2 \right), \quad (\text{A16})$$

where $\omega_{\text{BaSh}}^2 = 8\Delta^2 \left(\frac{1}{v g_d} - \frac{1}{2v g} \right)$. Thus the BaSh mode frequency disperses as $\omega_{\text{BaSh}}(q)^2 = \omega_{\text{BaSh}}^2 + \frac{1}{2} v_F^2 q^2$.

We now consider the correlator of the “real” fluctuations:

$$\begin{aligned} \chi_{\cos(2\theta_k)\sigma_1, \cos(2\theta_k)\sigma_1}(\omega, 0) &= \sum_k \frac{\xi^2}{E} \frac{4 \cos^2(2\theta_k)}{\omega^2 - 4E^2} \\ &= \frac{1}{2} \left[-\frac{1}{g} - (\omega^2 - 4\Delta^2) F(\omega) \right], \end{aligned} \quad (\text{A17})$$

which is different from the Higgs correlator Eq. (A7) only by the $\cos^2(2\theta_k)$ factor. The resulting propagator is

$$\begin{aligned} G_{\text{real}}^{-1}(\omega, 0) &= \frac{1}{g_d} + \chi_{\cos(2\theta_k)\sigma_1, \cos(2\theta_k)\sigma_1}(\omega, 0) \\ &= \frac{1}{g_d} - \frac{1}{2g} - \frac{1}{2} (\omega^2 - 4\Delta^2) F(\omega), \end{aligned} \quad (\text{A18})$$

which never crosses zero, as shown by Fig. 10(b).

3. The linear coupling between phase and Bardasis-Schrieffer/Higgs modes

The coupling between phase fluctuation and the Higgs mode requires particle-hole symmetry breaking, which we model using an energy-dependent DOS $g(\xi) = v(1 + \lambda \xi/E_F)$. The coupling constants are derived from the correlation functions in Eq. (31). From the general formula Eq. (A6)

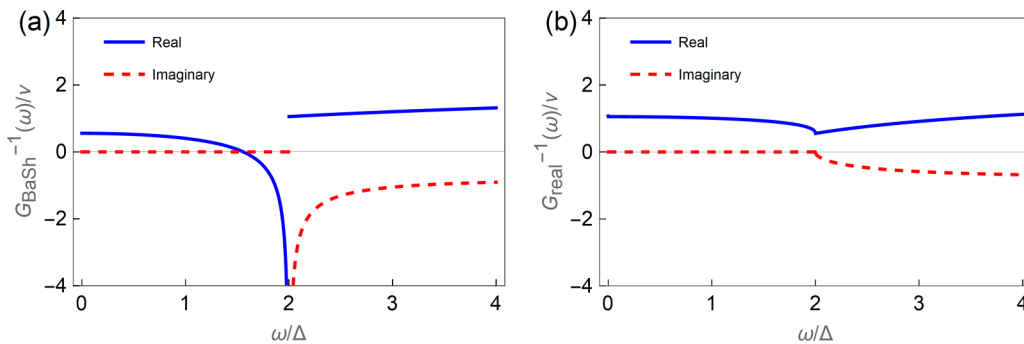


FIG. 10. (a) Real and imaginary parts of the BaSh mode propagator $G_{\text{BaSh}}^{-1}(\omega)$ as a function of ω . (b) Those of the real fluctuation $G_{\text{real}}^{-1}(\omega)$.

at zero temperature, the temporal part is

$$\begin{aligned} \chi_{\sigma_3\sigma_1}(\omega, q) &= \sum_k \left\{ \Delta \frac{\xi + \xi'}{EE'} \frac{(E + E')}{(E + E')^2 - \omega^2} \right\} \xrightarrow{q=0} 4\Delta \sum_k \frac{\xi}{E(4E^2 - \omega^2)} = 4\Delta \int d\xi \frac{g(\xi)\xi}{E(4E^2 - \omega^2)} \\ &= \lambda \frac{\Delta}{2E_F} v \left[-\sqrt{\left(\frac{2\Delta}{\omega}\right)^2 - 1} \tan^{-1} \left(\frac{1}{\sqrt{\left(\frac{2\Delta}{\omega}\right)^2 - 1} \sqrt{\left(\frac{\Delta}{\omega_D}\right)^2 + 1}} \right) + \sinh^{-1} \left(\frac{\omega_D}{\Delta} \right) \right] \\ &\approx \lambda v \frac{\Delta}{2E_F} \sinh^{-1} \left(\frac{\omega_D}{\Delta} \right), \end{aligned} \quad (\text{A19})$$

which gives C_0 in Eq. (33). The spatial part is

$$\chi_{v_i\sigma_0, \sigma_1}(\omega, q) = \frac{\Delta}{2} \sum_k \left\{ (v_i + v'_i) \frac{E - E'}{EE'} \frac{\omega}{(E + E')^2 - \omega^2} \right\} \approx \Delta \omega q_j \sum_k \frac{v_i v_j \xi}{(4E^2 - \omega^2) E^3} = \frac{1}{12d} \lambda v \frac{\Delta}{E_F} \left(\frac{v_F}{\Delta} \right)^2 \omega q_i, \quad (\text{A20})$$

which gives C_i in Eq. (34).

The coupling of phase to the “real” d -wave order-parameter fluctuations is similar to Eqs. (A19) and (A20), except that another $f_d(k)$ term should be added to the momentum summation. The coupling constants are also suppressed by the small particle-hole breaking factor $\lambda\Delta/E_F$. We do not calculate them here, since the “real” fluctuations are not collective modes.

We now calculate the coupling of phase to the d -wave BaSh fluctuations, which are in the $\sigma_2 f_d(k)$ channel. The temporal part is

$$\begin{aligned} \chi_{\sigma_3, \sigma_2 f_d(k)}(\omega, q) &= i\Delta \omega \sum_k \left\{ f_d(k) \frac{E + E'}{EE'} \frac{-1}{(E + E')^2 - \omega^2} \right\} \\ &\approx \frac{i}{4} \Delta \omega \sum_k f_d(k) \frac{1}{E^6} \left(-\frac{5\xi^2}{4E} + \frac{3}{4} E \right) (\mathbf{v}\mathbf{q})^2. \end{aligned} \quad (\text{A21})$$

The expansion to $O(q^2)$ is necessary because of the d -wave symmetry of $f_d(k)$. It proves the temporal term in Eq. (35), but we do not calculate it since this term affects the EM response at higher orders in q . The spatial part is

$$\begin{aligned} \chi_{v_i\sigma_0, \sigma_2 f_d(k)}(\omega, q) &= i\Delta \sum_k \left\{ f_d(k) v_i \frac{\xi - \xi'}{EE'} \frac{E + E'}{(E + E')^2 - \omega^2} \right\} \\ &\approx i2\Delta q_j \sum_k f_d(k) v_i v_j \frac{1}{(4E^2 - \omega^2) E}. \end{aligned} \quad (\text{A22})$$

There are two d -wave BaSh modes in two dimensions: the $d_{x^2-y^2}$ and d_{xy} modes, which correspond to $f_{d1} = \cos 2\theta_k$ and $f_{d2} = \sin 2\theta_k$, respectively. Since they are different only by a $\pi/4$ rotation, we focus on the $d_{x^2-y^2}$ mode only. Replacing f_d by $\cos 2\theta_k$ in Eq. (A22) renders

$$\chi_{v_i\sigma_0, \sigma_2 f_d(k)}(\omega, q) = i\pi \Delta v_F^2 F(\omega) M_{ij} q_j, \quad (\text{A23})$$

where $\hat{M} = \sigma_3$.

4. The density-density correlation

The density-density correlation is in the σ_3 channel:

$$\begin{aligned} \chi_{\rho\rho}^{(0)} &= \chi_{\sigma_3\sigma_3}(\omega, q) \\ &= \frac{1}{2} \sum_k \left\{ \left(1 - \frac{\xi\xi' - \Delta^2}{EE'} \right) \frac{2(E + E')}{\omega^2 - (E + E')^2} \right\}. \end{aligned} \quad (\text{A24})$$

At zero momentum it becomes

$$\chi_{\sigma_3\sigma_3}(\omega, 0) = \sum_k \frac{\Delta^2}{E} \frac{4}{\omega^2 - 4E^2} = -4\Delta^2 F(\omega). \quad (\text{A25})$$

In the limit of $\omega \ll \Delta$, $q \ll \xi^{-1}$, we have $\chi_{\sigma_3\sigma_3} = -v$.

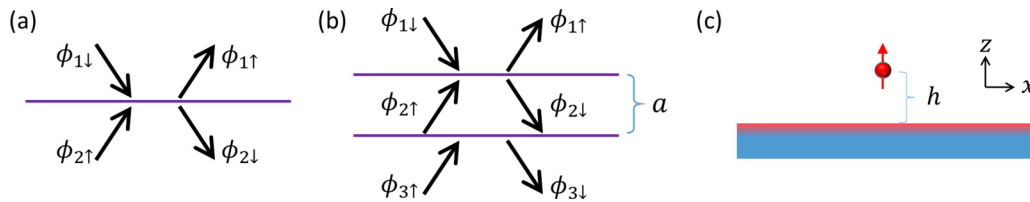


FIG. 11. Schematics of the near field reflection problem in (a) monolayer, (b) double-layer, and (c) slab systems. The tip is shown as a dipole moment polarized along \hat{z} direction.

APPENDIX B: NEAR-FIELD REFLECTION COEFFICIENTS

1. Monolayer

In the near-field limit, there is only a longitudinal electric field and no magnetic field. The incident and reflected fields can be described by simply using electric potentials $\phi(r, t)$, as shown in Fig. 11(a). We write the electrical potential as

$$\phi_i(r, t) = e^{-i\omega t} (\phi_{i\uparrow} e^{iqx - qz} + \phi_{i\downarrow} e^{iqx + qz}), \quad (\text{B1})$$

where $\phi_{i\uparrow}/\phi_{i\downarrow}$ are the amplitude of up-going/down-going fields in the i th vacuum medium. We have explicitly noted that the z direction momentum is $\pm iq$ due to the Laplace equation satisfied by ϕ in vacuum, i.e., the electric potentials are evanescent waves. The reflection problem is described by the boundary conditions of E_{\parallel} being continuous across the 2D layer and E_{\perp} satisfying Gauss's law, or equivalently,

$$\begin{aligned} \phi_{1\uparrow} + \phi_{1\downarrow} &= \phi_{2\uparrow} + \phi_{2\downarrow}, & (q\phi_{1\uparrow} - q\phi_{1\downarrow}) - (q\phi_{2\uparrow} - q\phi_{2\downarrow}) \\ &= 4\pi \rho_{2D} = 4\pi \frac{q}{\omega} j_{2D} \\ &= 4\pi \frac{q}{\omega} \sigma(\omega, q)(-iq)(\phi_{1\uparrow} + \phi_{1\downarrow}). \end{aligned} \quad (\text{B2})$$

Written in matrix form, Eq. (B2) becomes

$$\begin{pmatrix} 1 & 1 \\ q + \frac{i4\pi q^2}{\omega} \sigma & -q + \frac{i4\pi q^2}{\omega} \sigma \end{pmatrix} \begin{pmatrix} \phi_{1\uparrow} \\ \phi_{1\downarrow} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ q & -q \end{pmatrix} \begin{pmatrix} \phi_{2\uparrow} \\ \phi_{2\downarrow} \end{pmatrix}, \quad (\text{B3})$$

whose solution gives the linear relation between the fields on each side of the 2D layer:

$$\begin{aligned} \begin{pmatrix} \phi_{1\uparrow} \\ \phi_{1\downarrow} \end{pmatrix} &= \frac{1}{-2q} \begin{pmatrix} -q + \frac{i4\pi q^2}{\omega} \sigma & -1 \\ -q - \frac{i4\pi q^2}{\omega} \sigma & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ q & -q \end{pmatrix} \begin{pmatrix} \phi_{2\uparrow} \\ \phi_{2\downarrow} \end{pmatrix} \\ &= \begin{pmatrix} 1 - \frac{i2\pi q}{\omega} \sigma & -\frac{i2\pi q}{\omega} \sigma \\ \frac{i2\pi q}{\omega} \sigma & 1 + \frac{i2\pi q}{\omega} \sigma \end{pmatrix} \begin{pmatrix} \phi_{2\uparrow} \\ \phi_{2\downarrow} \end{pmatrix} \equiv \hat{M} \begin{pmatrix} \phi_{2\uparrow} \\ \phi_{2\downarrow} \end{pmatrix}, \end{aligned} \quad (\text{B4})$$

where \hat{M} is the transfer matrix. Setting $\phi_{2\uparrow} = 0$, one obtains the near-field reflection coefficient for a 2D layer,

$$R_p \equiv \frac{\phi_{1\uparrow}}{\phi_{1\downarrow}} = \frac{-\frac{i2\pi q}{\omega} \sigma}{1 + \frac{i2\pi q}{\omega} \sigma} = 1 - \frac{1}{\epsilon_{2D}}, \quad (\text{B5})$$

where $\epsilon_{2D} = 1 + \frac{i2\pi q}{\omega} \sigma$ is the dielectric function in 2D.

2. Double layer

As shown in Fig. 11(b), by applying the reflection problem twice one obtains

$$\begin{pmatrix} \phi_{1\uparrow} \\ \phi_{1\downarrow} \end{pmatrix} = \hat{M} \begin{pmatrix} \phi_{2\uparrow} \\ \phi_{2\downarrow} \end{pmatrix} = \hat{M} \begin{pmatrix} e^{-qa} & 0 \\ 0 & e^{qa} \end{pmatrix} \hat{M} \begin{pmatrix} \phi_{3\uparrow} \\ \phi_{3\downarrow} \end{pmatrix}. \quad (\text{B6})$$

Setting $\phi_{3\uparrow} = 0$ yields the reflection coefficient Eq. (60) for the double-layer system. A characteristic plot of the reflection coefficient of the double-layer system is Fig. 12, where resonances due to the symmetric and antisymmetric plasmons show up. The plasmonic field excited by a spatially local source can be obtained by summing up the reflection coefficients at all momentums, as shown in Fig. 13.

3. A slab with nonlocal optical response

If the polarization function is nonlocal, more unfortunately, if it depends also on the z direction momentum k_z such as that of the layered superconductor Eq. (64), the near-field reflection coefficient of the vacuum-infinite superconductor interface should be modified to

$$R_p(\omega, q) = \frac{iq - k_z \epsilon_z(\omega, q, k_z)}{iq + k_z \epsilon_z(\omega, q, k_z)}, \quad (\text{B7})$$

where q is the in-plane momentum which is a conserved quantity and k_z is that in the nonlocal medium determined by the condition $\epsilon(\omega, q, k_z) = 0$. Reference (B7) can be derived in a similar fashion to Appendix B 1. For a slab with finite thickness a , as shown in Fig. 11(c), the transfer matrix method for solving the reflection problem renders

$$R_{\text{slab}}(\omega, q) = R_p \frac{1 - e^{2ik_z d}}{1 - e^{2ik_z d} R_p^2}, \quad (\text{B8})$$

where R_p is from Eq. (B7).

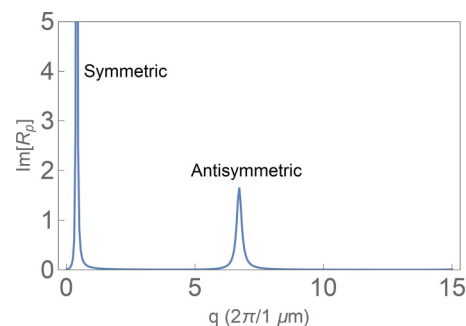


FIG. 12. Horizontal cut of the color plot in Fig. 6(b) at $\omega = 4$ THz, i.e., $\text{Im}[R_p(4 \text{ THz}, q)]$ as a function of q .

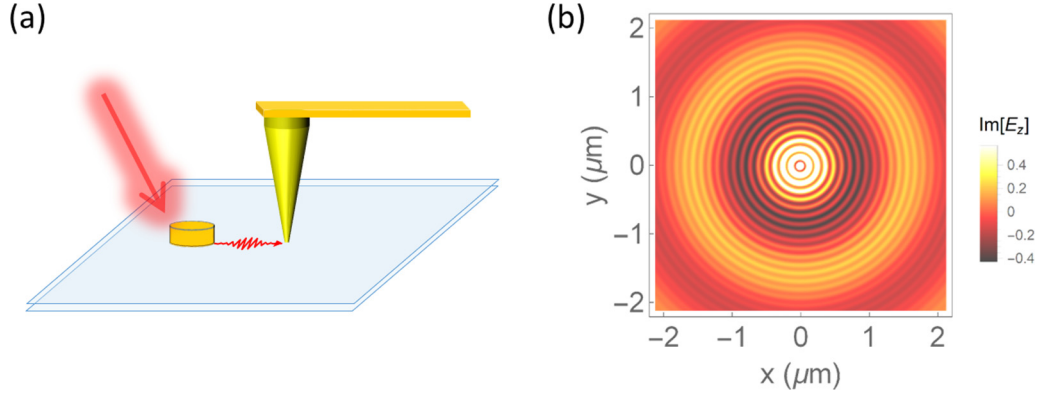


FIG. 13. (a) Schematic of the near-field experiment. (b) Distribution of z direction electric field $E_z(x, y, z = 30 \text{ nm})$ excited by a dipole oscillating at the frequency $\omega = 5 \text{ THz}$ placed on top of a superconductor double-layer system shown in Fig. 6(a). The large/small period is due to the symmetric/antisymmetric mode. The dipole is polarized in z direction and is placed at $(x, y, z) = (0, 0, 30 \text{ nm})$ above the top layer. The parameters are $k_F = 2\pi/(3 \text{ nm})$, $v_F = 2.5 \times 10^5 \text{ m/s}$, $\gamma = 30 \text{ THz}$, $a = 3 \text{ nm}$, $\Delta = 3.0 \text{ THz}$, $\kappa = 0.2$, and $\kappa_{\text{BaSh}} = 0.2$. Higgs/BaSh frequencies are assumed to be $4.5 \text{ THz}/3.0 \text{ THz}$ at zero momentum.

APPENDIX C: DERIVATION OF THE TWO-FLUID MODEL

In principle, the two-fluid formula, Eq. (42), can be obtained from the general derivation, Eq. (39), with electron-impurity or electron-phonon scattering taken into account. Here we sketch the derivation of Eq. (42) by calculating the polarization function from Eq. (39):

$$\chi_{\rho\rho} = \chi_{\rho\rho}^{(0)} - \frac{(\omega\chi_{\rho\rho}^{(0)} + \mathbf{q}\chi_{\rho\mathbf{j}}^{(0)})^2}{\omega^2\chi_{\rho\rho}^{(0)} + q_i q_j \chi_{j_i j_j}^{(0)} + \frac{n}{m}q^2 + 2\omega\mathbf{q}\chi_{\rho\mathbf{j}}^{(0)}}. \quad (\text{C1})$$

Close to T_c , we have

$$\chi_{\rho\rho}^{(0)} = \chi^{(0)} + \chi_s = \chi^{(0)} - \frac{\pi}{4} \frac{\Delta}{T_c} v, \quad (\text{C2})$$

where $\chi^{(0)}$ comes from the ‘‘inband’’ process among thermally excited quasiparticles, while χ_s is the ‘‘interband’’ contribution from exciting quasiparticle pairs, which has the interpretation of superfluid susceptibility (compressibility). Close to T_c , the $\chi^{(0)}$ should resemble the polarization function of a normal Fermi liquid, i.e., the Lindhard function [48,52] with nonzero scattering rate. Similarly [43,53],

$$\chi_{j_x j_x}^{(0)} + \frac{n}{m} = -i\omega\sigma_n + \frac{n_s}{m}, \quad (\text{C3})$$

where σ_n is the ‘‘inband’’ part, and

$$\begin{aligned} n_s &= n \int d\xi (\partial_E f(E) - \partial_\xi f(\xi)) \\ &= n \frac{\Delta^2}{2} \int d\xi \frac{1}{\xi} \partial_\xi^2 f(\xi) + O\left(\frac{\Delta^4}{T^4}\right) \\ &\approx \frac{7\zeta(3)}{4\pi^2} \frac{\Delta^2}{T_c^2} n = 2(1 - T/T_c)n \end{aligned} \quad (\text{C4})$$

is the superfluid density of a clean superconductor. Moreover, close to T_c , the intraband contributions to the correlation functions should approximately satisfy the continuity equations $\omega\chi^{(0)} + \mathbf{q}\chi_{\rho\mathbf{j}}^{(0)} = 0$ and $q_j \sigma_{nij} + \omega\chi_{\rho j_i}^{(0)} = 0$, since they are identical to those of the normal state at $\Delta = 0$. With these

simplifications Eq. (C1) becomes

$$\begin{aligned} \chi_{\rho\rho} &= \chi^{(0)} + \chi_s - \frac{(\omega\chi_s)^2}{\omega^2\chi_s + \frac{n_s}{m}q^2} \\ &= \chi^{(0)} + \frac{q^2}{\omega^2 - v_g^2 q^2} D_s / \pi. \end{aligned} \quad (\text{C5})$$

The corresponding conductivity is just Eq. (42), with $\sigma_n = \chi^{(0)} i\omega/q^2$ and $v_g = \sqrt{\frac{n_s}{m}/\chi_s}$.

APPENDIX D: LONGITUDINAL OPTICAL CONDUCTIVITY OF THE NORMAL FERMII LIQUID

In the low-frequency hydrodynamic regime ($\omega \ll \Gamma_{ee}$, $q \ll l_{ee}^{-1}$) of a Fermi liquid, the longitudinal optical conductivity reads [49]

$$\sigma(\omega, q) = i \frac{ne^2/m}{\omega + i\Gamma_d - v_d^2 q^2/\omega}. \quad (\text{D1})$$

In the above formula, n is the electron density, m is the electron effective mass, Γ_d is the momentum relaxation rate, and $v_d = \sqrt{\frac{1}{m}(\frac{\partial P}{\partial n})_{ise}}$ is the first sound velocity of a neutral Fermi liquid. Neglecting the effect of the Landau parameter F_{0s} , $v_d = v_F/\sqrt{D}$, where D is the space dimension. In the limit of $\omega \gg v_d q$, $D_f q^2$, where $D_f = v_d^2/\Gamma_d$ is the diffusion constant, Eq. (D1) becomes the Drude formula. In the opposite limit, $\omega \ll v_d q$, $D_f q^2$, it crosses over to the Thomas-Fermi case.

APPENDIX E: GINZBURG-LANDAU ACTION AROUND T_c

In this section we derive the Ginzburg-Landau action around T_c of an s -wave BCS superconductor, where the collective modes (except for the CG mode) are all overdamped. Without EM field, the action reads [43]

$$S(\Delta) = \text{Tr} \ln G_\Delta + \int d\tau dr \frac{1}{2g} |\Delta|^2 = \int d\tau dr \mathcal{L}, \quad (\text{E1})$$

where \mathcal{L} is the ‘‘Lagrangian.’’ Expansion of S up to $|\Delta|^2$ gives

$$S = \sum_{\omega, q} \left(\frac{1}{2g} + \chi(\omega, q) \right) \Delta(-\omega, -q) \Delta(\omega, q), \quad (\text{E2})$$

where

$$\begin{aligned} \chi(\omega, q) &= \frac{1}{V} \sum_k \frac{1 - f(\xi_k) - f\xi(-k + q)}{i\omega_n - \xi_k - \xi_{-k+q}} \\ &= v \left(-\frac{1}{2} \ln \frac{c_0 \omega_D}{T} - \frac{c_1 i\omega}{T} - \frac{c_2 \omega^2}{T^2} \right. \\ &\quad \left. + \frac{c_s n}{mT^2} q^2 + O(\omega^3, q^4) \right) \end{aligned} \quad (\text{E3})$$

is the susceptibility for superconducting fluctuations, and c_0 , c_1 , c_2 , c_s are $O(1)$ positive constants. We note that $c_0 = 2e^{\gamma_E}/\pi$, where $\gamma_E \approx 0.577$ is Euler’s constant. The $|\Delta|^4$ contribution from a uniform static order parameter has the coefficient

$$\chi_4 = \frac{2}{V} \sum_{k, i\omega_n} \frac{1}{((i\omega_n)^2 - \xi_k^2)^2} = v \frac{c_\beta}{T^2}, \quad (\text{E4})$$

where c_β is an $O(1)$ positive constant. Thus the effective Lagrangian reads

$$\begin{aligned} \mathcal{L} &= v \left(\frac{c_1}{T} \Delta^* \partial_t \Delta - \frac{c_2}{T^2} |\partial_t \Delta|^2 + \frac{c_s n}{mT^2} |\nabla \Delta|^2 \right. \\ &\quad \left. + \frac{1}{2} \left(\frac{1}{gv} - \ln \frac{\Lambda}{T} \right) |\Delta|^2 + \frac{c_\beta}{T^2} |\Delta|^4 \right). \end{aligned} \quad (\text{E5})$$

The EM field enters through the gauge-invariant form $\partial_\mu \rightarrow \partial_\mu + ieA_\mu$.

The $\Delta^* \partial_t \Delta$ term should not exist in a well-defined Lagrangian but should be understood as describing a dissipative term. It is apparent that close to T_c , the amplitude dynamics is overdamped [78] with the damping rate $\sim T$. As the temperature lowers to $T \ll T_c$, this Lagrangian predicts that the amplitude dynamics crosses over to an underdamped one. However, the power expansion in Δ is no longer valid there, and the formalism in the main text should be employed.

-
- [1] D. C. Mattis and J. Bardeen, *Phys. Rev.* **111**, 412 (1958).
[2] P. W. Anderson, *Phys. Rev.* **112**, 1900 (1958).
[3] W. Zimmermann, E. H. Brandt, M. Bauer, E. Seider, and L. Genzel, *Physica C* **183**, 99 (1991).
[4] M. Tinkham, *Rev. Mod. Phys.* **46**, 587 (1974).
[5] D. N. Basov and T. Timusk, *Rev. Mod. Phys.* **77**, 721 (2005).
[6] R. D. Parks, *Superconductivity* (CRC Press, Boca Raton, FL, 1969), Chap. 7.
[7] A. Damascelli, Z. Hussain, and Z.-X. Shen, *Rev. Mod. Phys.* **75**, 473 (2003).
[8] G. R. Stewart, *Rev. Mod. Phys.* **83**, 1589 (2011).
[9] R. Sooryakumar and M. V. Klein, *Phys. Rev. Lett.* **45**, 660 (1980).
[10] C. Buzea and T. Yamashita, *Supercond. Sci. Technol.* **14**, R115 (2001).
[11] G. X. Ni, A. S. McLeod, Z. Sun, L. Wang, L. Xiong, K. W. Post, S. S. Sunku, B.-Y. Jiang, J. Hone, C. R. Dean, M. M. Fogler, and D. N. Basov, *Nature (London)* **557**, 530 (2018).
[12] D. N. Basov, M. M. Fogler, A. Lanzara, F. Wang, and Y. Zhang, *Rev. Mod. Phys.* **86**, 959 (2014).
[13] M. B. Lundberg, Y. Gao, R. Asgari, C. Tan, B. Van Duppen, M. Autore, P. Alonso-González, A. Woessner, K. Watanabe, T. Taniguchi, R. Hillenbrand, J. Hone, M. Polini, and F. H. L. Koppens, *Science* **357**, 187 (2017).
[14] E. J. C. Dias, D. A. Iranzo, P. A. D. Gonçalves, Y. Hajati, Y. V. Bludov, A.-P. Jauho, N. A. Mortensen, F. H. L. Koppens, and N. M. R. Peres, *Phys. Rev. B* **97**, 245405 (2018).
[15] S. Wang, S. Zhao, Z. Shi *et al.*, *Nat. Mater.* (2020), doi:10.1038/s41563-020-0652-5.
[16] P. W. Anderson, *Phys. Rev.* **110**, 827 (1958).
[17] J. R. Schrieffer, *Theory of Superconductivity*, Advanced Book Program (Avalon Publishing, 1999), p. 332.
[18] P. I. Arseev, S. O. Loiko, and N. K. Fedorov, *Phys.-Usp.* **49**, 1 (2006).
[19] F. Yang and M. W. Wu, *Phys. Rev. B* **100**, 104513 (2019).
[20] N. N. Bogoljubov, V. V. Tolmachov, and D. V. Širkov, *Fortschritte der Phys.* **6**, 605 (1958).
[21] P. W. Anderson, *Phys. Rev.* **130**, 439 (1963).
[22] R. V. Carlson and A. M. Goldman, *Phys. Rev. Lett.* **34**, 11 (1975).
[23] A. F. Volkov and S. M. Kogan, *J. Exp. Theor. Phys.* **38**, 1018 (1974).
[24] P. B. Littlewood and C. M. Varma, *Phys. Rev. B* **26**, 4883 (1982).
[25] T. Cea and L. Benfatto, *Phys. Rev. B* **90**, 224515 (2014).
[26] T. Cea, C. Castellani, G. Seibold, and L. Benfatto, *Phys. Rev. Lett.* **115**, 157002 (2015).
[27] H. Krull, D. Manske, G. S. Uhrig, and A. P. Schnyder, *Phys. Rev. B* **90**, 014515 (2014).
[28] R. Matsunaga, Y. I. Hamada, K. Makise, Y. Uzawa, H. Terai, Z. Wang, and R. Shimano, *Phys. Rev. Lett.* **111**, 057002 (2013).
[29] K. Katsumi, N. Tsuji, Y. I. Hamada, R. Matsunaga, J. Schneeloch, R. D. Zhong, G. D. Gu, H. Aoki, Y. Gallais, and R. Shimano, *Phys. Rev. Lett.* **120**, 117001 (2018).
[30] S. Nakamura, Y. Iida, Y. Murotani, R. Matsunaga, H. Terai, and R. Shimano, *Phys. Rev. Lett.* **122**, 257001 (2019).
[31] T. Cui, M. Schütt, P. P. Orth, and R. M. Fernandes, *Phys. Rev. B* **100**, 144513 (2019).
[32] R. Shimano and N. Tsuji, *Annu. Rev. Condens. Matter Phys.* **11**, 103 (2020).
[33] T. Cea, C. Castellani, and L. Benfatto, *Phys. Rev. B* **93**, 180507(R) (2016).
[34] M. Udina, T. Cea, and L. Benfatto, *Phys. Rev. B* **100**, 165131 (2019).
[35] A. Bardasis and J. R. Schrieffer, *Phys. Rev.* **121**, 1050 (1961).
[36] S. Maiti and P. J. Hirschfeld, *Phys. Rev. B* **92**, 094506 (2015).
[37] S. Maiti, T. A. Maier, T. Böhm, R. Hackl, and P. J. Hirschfeld, *Phys. Rev. Lett.* **117**, 257001 (2016).
[38] A. A. Allocca, Z. M. Raines, J. B. Curtis, and V. M. Galitski, *Phys. Rev. B* **99**, 020504(R) (2019).

- [39] M. A. Müller, P. A. Volkov, I. Paul, and I. M. Eremin, *Phys. Rev. B* **100**, 140501(R) (2019).
- [40] F. Kretzschmar, B. Muschler, T. Böhm, A. Baum, R. Hackl, H.-H. Wen, V. Tsurkan, J. Deisenhofer, and A. Loidl, *Phys. Rev. Lett.* **110**, 187002 (2013).
- [41] T. Böhm, A. F. Kemper, B. Moritz, F. Kretzschmar, B. Muschler, H.-M. Eiter, R. Hackl, T. P. Devereaux, D. J. Scalapino, and H.-H. Wen, *Phys. Rev. X* **4**, 041046 (2014).
- [42] D. Jost, J.-R. Scholz, U. Zweck, W. R. Meier, A. E. Böhrer, P. C. Canfield, N. Lazarević, and R. Hackl, *Phys. Rev. B* **98**, 020504(R) (2018).
- [43] A. Altland and B. D. Simons, *Condensed Matter Field Theory* (Cambridge University Press, Cambridge, England, 2010).
- [44] J. M. Kosterlitz and D. J. Thouless, *J. Phys. C: Solid State Phys.* **6**, 1181 (1973).
- [45] R. Grasset, T. Cea, Y. Gallais, M. Cazayous, A. Sacuto, L. Cario, L. Benfatto, and M.-A. Méasson, *Phys. Rev. B* **97**, 094502 (2018).
- [46] A. Paramekanti, M. Randeria, T. V. Ramakrishnan, and S. S. Mandal, *Phys. Rev. B* **62**, 6786 (2000).
- [47] L. Benfatto, A. Toschi, and S. Caprara, *Phys. Rev. B* **69**, 184510 (2004).
- [48] G. D. Mahan, *Many-Particle Physics* (Plenum Press, New York, 1990), p. 1032.
- [49] Z. Sun, D. N. Basov, and M. M. Fogler, *Proc. Natl. Acad. Sci.* **115**, 3285 (2018).
- [50] I. Torre, L. Vieira de Castro, B. Van Duppen, D. Barcons Ruiz, F. M. Peeters, F. H. L. Koppens, and M. Polini, *Phys. Rev. B* **99**, 144307 (2019).
- [51] Z. Sun, D. N. Basov, and M. M. Fogler, *Phys. Rev. B* **97**, 075432 (2018).
- [52] J. L. Warren and R. A. Ferrell, *Phys. Rev.* **117**, 1252 (1960).
- [53] A. A. Abrikosov, I. Dzyaloshinskii, L. P. Gorkov, and R. A. Silverman, *Methods of Quantum Field Theory in Statistical Physics* (Dover, New York, 1975).
- [54] J. Orenstein, G. A. Thomas, A. J. Millis, S. L. Cooper, D. H. Rapkine, T. Timusk, L. F. Schneemeyer, and J. V. Waszczak, *Phys. Rev. B* **42**, 6342 (1990).
- [55] A. Schmid and G. Schön, *Phys. Rev. Lett.* **34**, 941 (1975).
- [56] S. N. Artemenko and A. F. Volkov, *Sov. J. Exp. Theor. Phys.* **42**, 896 (1976).
- [57] C. Pethick and H. Smith, *Ann. Phys.* **119**, 133 (1979).
- [58] S. N. Artemenko and A. F. Volkov, *Sov. Phys. Uspekhi* **22**, 295 (1979).
- [59] I. O. Kulik, O. Entin-Wohlman, and R. Orbach, *J. Low Temp. Phys.* **43**, 591 (1981).
- [60] A. M. Goldman, *J. Supercond. Nov. Magn.* **19**, 317 (2007).
- [61] Z. Sun, D. N. Basov, and M. M. Fogler, *Phys. Rev. Lett.* **117**, 076805 (2016).
- [62] M. Tinkham, *Introduction to Superconductivity* (Dover Publications, Mineola, New York, 2004).
- [63] S. N. Artemenko and A. G. Kobelkov, *Physica C* **282-287**, 1845 (1997).
- [64] J. Orenstein, *Physica C* **390**, 243 (2003).
- [65] S. V. Barabash and D. Stroud, *Physica B* **338**, 224 (2003).
- [66] M. Chiao, R. W. Hill, C. Lupien, L. Taillefer, P. Lambert, R. Gagnon, and P. Fournier, *Phys. Rev. B* **62**, 3554 (2000).
- [67] V. L. Pokrovsky, in *Spectroscopic Studies of Superconductors*, Vol. 2696, edited by I. Bozovic and D. van der Marel, International Society for Optics and Photonics (SPIE, 1996), pp. 137–159.
- [68] H. T. Stinson, J. S. Wu, B. Y. Jiang, Z. Fei, A. S. Rodin, B. C. Chapler, A. S. McLeod, A. Castro Neto, Y. S. Lee, M. M. Fogler, and D. N. Basov, *Phys. Rev. B* **90**, 014502 (2014).
- [69] J. Sun, N. M. Litchinitser, and J. Zhou, *ACS Photonics* **1**, 293 (2014).
- [70] Z. Sun, Á. Gutiérrez-Rubio, D. N. Basov, and M. M. Fogler, *Nano Lett.* **15**, 4455 (2015).
- [71] D. N. Basov, M. M. Fogler, and F. J. García de Abajo, *Science* **354**, aag1992 (2016).
- [72] Z. Sun and A. J. Millis, *arXiv:2003.02997*.
- [73] Z. Sun and A. J. Millis, *Phys. Rev. X* **10**, 021028 (2020).
- [74] W.-C. Wu and A. Griffin, *Phys. Rev. B* **51**, 1190 (1995).
- [75] Y. Ohashi and S. Takada, *Phys. Rev. B* **62**, 5971 (2000).
- [76] A. J. Leggett, *Prog. Theor. Phys.* **36**, 901 (1966).
- [77] F. Giorgianni, T. Cea, C. Vicario, C. P. Hauri, W. K. Withanage, X. Xi, and L. Benfatto, *Nat. Phys.* **15**, 341 (2019).
- [78] Y. Lemonik and A. Mitra, *Phys. Rev. B* **96**, 104506 (2017).