Phase transitions in the ferroelectric relaxor $(1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ close to the morphotropic phase boundary

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Structural transformations of unpoled $(1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ (PMN-PT) single crystals (x = 0.28 and x = 0.32) were analyzed by calorimetry and acoustic spectroscopy. The compositions are close to the morphotropic boundary (MPB). We find extended two-phase regions where cubic and rhombohedral (x = 0.28), cubic and tetragonal and monoclinic phases (x = 0.32) coexist during cooling and heating. The observed structural transitions in PMN-PT are first order, despite their proximity to the MPB. The predicted tricritical points, if they exist, are possibly located extremely close to the MPB.

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I. INTRODUCTION

Phase diagrams of ferroelectric solid solutions containing morphotropic phase boundaries are subject of intense research [1-8]. A particular uncertainty relates to the nature and the topology of phase diagrams close to the morphotropic phase boundary (MPB). The sequence of the phase transitions often depends on the history of the sample, where even moderate polarizing field can change their phase stability. Phases close to the MPB were coined "fragile" [3]. Furthermore, the thermodynamic nature of the structural transitions is not always well defined as either second- or weakly first order. Besides the knowledge of ferroelectric performance, the details of the structural transition range and the thermal hysteresis are also important for applications of ferroelectrics as shape-memory materials [4], as in conventional metallic ferroelastics [5]. Rossetti et al. took $Pb(Zr_{1-c}Ti_c)O_3$ (PZT) as reference and used a Landau approach truncated to a sixth-order polynomial to describe structural phase transitions in relaxor ferroelectrics close to the MPB [2]. They concluded that the phase transition between the cubic (C), tetragonal (T), and rhombohedral (R)phase changes from second order close to the MPB to weakly first order at some distance from the MPB. The regions of the first- and the second-order phase transitions along the $C \leftrightarrow T$ and $C \leftrightarrow R$ line are potentially separated by tricritical points on both sides of the MPB. They located the MPB line at $c_m^0 = 0.45$ and the tricritical points at $c_R^{cr} = 0.30$ and $c_T^{cr} = 0.60$, suggesting they are well separated from the MPB composition. Thus, the region of the second-order $C \leftrightarrow T$ and $C \leftrightarrow R$ transitions in PZT is expected to span the compositions between x(Ti) = 0.30 and x(Ti) = 0.60.

Coexistence of the C, T, R phases and occurrence of thermal hysteresis are indications of the first-order transition

affecting the topology of the phase diagrams. (We note that decreasing or vanishing of the thermal hysteresis does not necessarily imply approaching a tricritical point or second-order transition and might be a consequence of nearing to the fulfillment of the cofactor crystallographic conditions during the first-order ferroelastic ordering [9].)

observations Experimental two-phase of the regions and the temperature hysteresis are sparse for ferroelectrics with MPBs, like PZT. $(1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ (PMN-PT), and $(1-x)Pb(Zn_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ (PZN-PT). Experiments with unpoled PZT, PMN-PT, and PZN-PT samples are typically performed during cooling or heating [4–7,10–21] not indicating and often not distinguishing these opposite scans [20,21]. The poled state is commonly investigated during heating [22]. The data on existence of tricritical points are controversial. Rossetti and Navrotsky [23] studied temperature hysteresis of specific-heat peak in PZT ceramics with x(Ti) = 1.00, 0.85, 0.0.70, and 0.60 and claimed that the hysteresis drops below experimental resolution to $\sim 0.6 \text{ K}$ for the sample with x(Ti) = 0.60, pointing to the existence of the tricritical point. However, their original data in Fig. 2 of Ref. [23] indicate that the hysteresis for x(Ti) = 0.60 is the same as for x(Ti) = 0.70, close to 6 K rather than 0.6 K. Figure 4 of Ref. [23] indicates a saturation of the thermal hysteresis between x(Ti) = 0.70 and x(Ti) = 0.60 rather than its disappearance and the existence of the tricritical point close to x(Ti) = 0.60. Solanki *et al.* [24] studied temperature hysteresis of permittivity and x-ray diffraction in Sr-doped PZT ceramics with x(Ti) = 0.485 - 0.45 and claimed the existence of the tricritical point close to the triple point at $x(Ti) \approx 0.45$. However, their data showed nonzero hysteresis for x(Ti) = 0.45 (Fig. 2(a) of Ref. [24]), while fitting and extrapolation of the data that yielded a tricritical point at x(Ti) = 0.447 were not clear. Noheda *et al.* [25] used Nb-doped ceramic PZT samples with x(Ti) = 0.0, 0.03, 0.06,

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0.09, and 0.22 to obtain the data on the temperature difference ΔT between a discontinuous jump in dielectric constant and extrapolated Curie point [for x(Ti) between 0.0 and 0.09] and on temperature hysteresis [for x(Ti) = 0.22]. The difference ΔT between the two characteristic temperatures decreased with x(Ti) for x(Ti) between 0.00 and 0.09, and the thermal hysteresis remained $\sim 2 \text{ K}$ for x(Ti) = 0.22. Calculations according to effective field approach located a tricritical point as derived from the ΔT [for x(Ti) between 0.00 and 0.09] at $x(Ti) \approx 0.26$ [25]. The existence of the second tricritical point at x(Ti) = 0.51 was also predicted [25]. Eremkin *et al.* [4] performed x-ray studies of the single-crystalline PZT for the entire x(Ti) range between 1 and 0. They reported the triple-point position at a rather low Ti content x(Ti) = 0.22due to the inclination of the MPB line towards Zr-rich side of the phase diagram. Two tricritical points along C-R and C-Tlines at x(Ti) = 0.22 and x(Ti) = 0.55 were deduced from the extrapolation of the unit-cell volume change and from the zero value of the uniform strain parameter, respectively. Unfortunately, as in other experimental works [24,25], the behavior of the relevant parameters over a broad x(Ti)composition range in between two tricritical points has not been reported.

On the other hand, a large part of experimental results for PZT classify as the first order the C-T, C-R, and R-Ttransitions for x(Ti) in between the expected tricritical points. A detailed study by Mishra et al. [26,27] was undertaken in PZT ceramic [x(Ti) = 0.45-0.485], using lattice parameters [26], dielectric properties, and piezoelectric resonance data [27] during heating and cooling. The authors located the MPB at x(Ti) = 0.475 [26] and classified the $T \rightarrow C$ and $R \rightarrow C$ transitions on both sides of the MPB as stepwise. The jump of the lattice parameters was observed even at the MPB composition. The temperature hysteresis of the ferroelectric transition extended over 2 to 9 K for x(Ti) =0.485–0.450 [27]. Tricritical points along C-T and C-R lines were not found in PZT. Authors of Refs. [26,28] reported the two-phase R + T and M + T regions during crossing the MPB line, pointing to the first order of the $R \rightarrow T$ and $M \rightarrow T$ transitions as well. Yoon and Jang [29] studied the thermal hysteresis of the dielectric permittivity and the loss factor in pseudoternary Pb(Ni_{1/3}Nb_{2/3})O₃-PbTiO₃-PbZrO₃ (PNN-PT-PZ) and observed a change from the relaxor type to first-order transition when the composition varied towards the tetragonal-rich side of the phase diagram. These authors described the stepwise $T \leftrightarrow R$ transition across the MPB. Low-frequency (0.1-1.0 Hz) internal friction and shear/Young's modulus studies of PZT ceramics close to the x(Ti) = 0.44, 0.46, 0.48, and 0.50 [6,15-17] reveal strong T-dot and frequency dependence of the low-frequency internal friction peak during $C \leftrightarrow T$ transition. The T-dot and frequency dependence is typical of the so-called transitory internal friction [30,31] that is a hallmark of a first-order transition. Apart from the transitory origin of the phase transition internal friction term, Bouzid et al. [6] reported steplike variations of the elastic constants during $C \leftrightarrow T$ transition in PZT ceramics [x(Ti) = 0.44, 0.46, 0.48, and 0.50], again discarding the existence of the tricritical points along C-T line over the ranges predicted in Refs. [2,4]. Cordero et al. [32] reported internal friction and elastic compliance measurements for four PZT ceramics [x(Ti) = 0.455-0.53] at frequencies between 1 and 20 kHz. Thermal hysteresis of both $C \leftrightarrow T$ and $T \leftrightarrow R$ transitions, 3 K and up to 35 K, respectively, was observed [32]. Calorimetry data for x(Ti) = 0.48 PZT ceramic show thermal hysteresis of ~ 15 K [21], confirming the first-order nature of $T \leftrightarrow R$ transition.

Reports of thermal hysteresis and two-phase regions in PMN-xPT are less abundant compared with PZT. This is possibly due to the complications related to the formation of polar nanoregions and relaxor-type behavior on the PMN-rich side of the phase diagram. The PMN-PT phase diagram for x between 0.30 and 0.39 (close to the MPB) was drawn by Noheda et al. [5] using synchrotron x-ray powder diffraction. The protocol of temperature variations was not indicated, but the position of the M_c - T phase boundary corresponded to the onset of the $M_C \rightarrow T$ transition [5]. Singh *et al.* [7] used piezoelectric resonance, dielectric properties, and neutrondiffraction data for PMN-*x*PT ceramics for x = (0.25-0.39)with steps of 0.01 to further elucidate the PMN-PT phase diagram. All phase transitions demonstrated steplike changes of the lattice parameters and coexistence of phases while the protocol of temperature variations was not specified.

In PMN-xPT, Algueró et al. [20] studied the temperature dependence of Young's modulus and damping for x = 0.35ceramics. However, as in the majority of studies, the authors did not indicate whether cooling or heating data were recorded. The same authors reported dielectric permittivity, low-frequency internal friction, and Young's modulus behavior for polycrystalline PMN-*x*PT (x = 0.2 and 0.3) [33]. They found a strong temperature hysteresis of the mechanical properties for x = 0.2, corresponding to the C-R transition. The hysteresis between cooling and heating as seen in the dielectric properties was much less clear, however. Measurements of Young's modulus and damping using a low-frequency dynamic mechanical analyzer and the dielectric properties of single-crystalline PMN-0.29PT were performed by Yu et al. [34]. Low-frequency damping peaks during M-T and T-Ctransitions showed a clear transitory component, indicating their first-order nature. No information whether cooling or heating data were shown was given (presumably, data taken on heating were reported). In conclusion, the PMN-PT phase diagram needs to be clarified for the following issues: (i) the existence and the location of the tricritical points; (ii) the magnitude of the thermal hysteresis; and (iii) the width of the two-phase fields.

II. MATERIAL AND METHODS

Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO₃ single-crystal plates (3 × 1 × 25 mm³) with nominal compositions x = 0.28 and x = 0.32 were purchased from Atom Optics, Ltd. Energy dispersive X-ray analisys check yielded x = 0.285 (±0.018) and x = 0.323 (±0.012) in reasonable agreement with the nominal compositions that will be used hereafter to identify the samples. All faces of the crystal were polished. The large surface was (100). The long sides of the plates were aligned along two $\langle 100 \rangle$ -type directions. Using a low-speed diamond saw, $1 \times 1 \times 12$ -mm³ rod-shaped samples for acoustic measurements were cut from the single-crystalline plates. The damaged parts of the lateral surfaces of the samples were re-

moved using 4000-grit grinding paper. Small pieces of plates with masses close to 70 mg were used for differential scanning calorimetry (DSC) tests. The (001) surfaces of the samples for dielectric measurements were covered with gold electrodes.

The piezoelectric ultrasonic composite oscillator technique [35–37] was used to measure Young's modulus (YM) and internal friction (IF) of the samples. The method employs longitudinal resonance at a frequency near 90 kHz of the oscillator consisting of two quartz transducers and a sample attached to them. The experimental arrangement [36,37] controls the strain amplitude of the oscillations ε_0 , measures the internal friction (logarithmic decrement δ_c), and the resonant frequency f_c of the oscillator. The internal friction δ and resonant frequency f of the sample are determined from the corresponding values for the assembled oscillator δ_c , f_c , and for the quartz transducer alone, δ_q , f_q , using, respectively, the solutions from Refs. [35] and [38]:

$$(m_s + m_q)\delta_c = m_s\delta + m_q\delta_q,\tag{1}$$

$$m_q f_q \tan \frac{\pi f_c}{f_q} + m_s f \tan \frac{\pi f_c}{f} = 0, \qquad (2)$$

where m_s and m_q are the masses of the sample and of the quartz transducer alone, respectively. For the fundamental resonant mode, the YM of the sample, E, is determined from the resonant frequency f, the length of the sample l, and its density ρ :

$$E = 4\rho l^2 f^2. \tag{3}$$

Several experimental protocols were employed:

(i) Temperature spectra of the IF and YM were mostly measured at a low oscillatory strain amplitude $\varepsilon_0 \sim 2 \times 10^{-7}$ to avoid nonlinear effects due to twin boundary movements.

(ii) The IF dependence on strain amplitude was measured at room temperature to determine the linear and nonlinear regimes.

(iii) Some temperature spectra measurements for x = 0.28 were performed with two strain amplitudes for each temperature point under cooling/heating rate of 1 K/min. The low-strain amplitude ($\varepsilon_0^{\text{low}} = 5 \times 10^{-7}$) was within the range of linear IF and YM behavior; the high amplitude ($\varepsilon_0^{\text{high}} = 4 \times 10^{-5}$) corresponded to the nonlinear range. These experiments show simultaneously the temperature dependence of the linear $\delta_i(T)$ and the nonlinear IF $\delta_h(T)$ via the difference between the spectra at high and low strain amplitudes.

(iv) Measurements of temperature spectra with two strain amplitudes were repeatedly interrupted on cooling/heating and the kinetics of the linear and nonlinear IF relaxation under isothermal conditions were measured.

DSC measurements were aimed at determining the characteristic transition temperatures and the ranges of phase coexistence and performed in a Mettler Toledo DSC3+. Samples with two masses (\sim 30 and 75 mg) were checked with two heating/cooling rates of 2 and 10 K/min. The transition temperatures obtained were the same within experimental error, discarding thus any detectable effect of thermal inertia of the calorimeter-sample system. DSC data for larger samples of \sim 75 mg (similar to the masses of the samples for acoustic and dielectric measurements) and 2 K/min cooling/heating



FIG. 1. Polarized-light optical images of the microstructure of $(1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ crystals with x = 0.32 (a), (b) and x = 0.28 (c), (d).

rate are used. Dielectric permittivity and loss tangent tan δ were measured between 1 and 100 kHz using an HP 4284 High Precision LCR meter. The samples were placed in a temperature-controlled chamber and the driving voltage was 1 V_{rms} [39]. Polarized-light observations of the microstructure were made with an Olympus BH2 optical microscope. Dielectric and acoustic measurements were performed for the same cooling/heating rate of 2 K/min as used in the calorimetry study.

III. RESULTS

A. Microstructure and calorimetry tests

The sample x = 0.32 is monoclinic *M* at room temperature; x = 0.28 is rhombohedral *R* [5]. Figure 1 shows optical images of their microstructures.

The sample x = 0.32 [Figs. 1(a) and 1(b)] contains typical ~ 100- μ m-wide macrodomain plates [40]. Optical microscopy does not permit the observations of the internal microstructure of the macrodomains. Piezoelectric atomic force microscopy (AFM) defined this microstructure as $\langle 110 \rangle$ striations of submicrometer width [40].

The rhombohedral structure of x = 0.28 is homogeneous on the macroscopic scale, Fig. 1(c). On the micrometer scale the microstructure is formed by clusters of ~ 10- μ m elongation, 1- μ m-width twins aligned in two orthogonal (100)-type directions. Similar twin microstructure has been reported by Bai *et al.* for the rhombohedral phase in an x = 0.30 crystal [40]. The featureless light zones between the clusters likely contain polar nanoregions, revealed for x < 0.30 by piezoelectric AFM [40].

The two pairs of heat-flow peaks in Fig. 2(a) (x = 0.32) indicate two first-order transitions at 320–360 and 400–420 K. The low- and high temperature are the $M \leftrightarrow T$ and $T \leftrightarrow C$ transitions [5]. For the former, the peaks are at $T_{\rm H1} = 352$ K on heating and $T_{\rm C1} = 338$ K on cooling. The $T \leftrightarrow M$ phase transition thus shows a temperature hysteresis of ~ 14 K. The measured latent heats are 0.08 J/g on heating and 0.07 J/g on cooling. The transition appears more diffuse on cooling,



FIG. 2. DSC scans for x = 0.32 (a) and x = 0.28 (b). Heat-flow peak temperatures of the phase transitions on heating are denoted as T_{H1} , T_{H2} and on cooling T_{C1} , T_{C2} (a). Transition temperature T_{H} and the latent heat on heating are shown in (b). The inset in (b) shows a weak activity between 365 and 340 K on cooling.

making the determination of the latent heat less precise than on heating. The inset in Fig. 2(a) shows an example how the start and finish temperatures, 342 and 332 K, were constructed using the tangent method for the cooling peak at $T_{C1} = 338$ K. This example indicates that the start and finish temperatures of the transformation derived by tangent method underestimate the phase coexistence range. The $C \leftrightarrow T$ phase transition with $T_{H2} = 413$ K on heating and $T_{C2} = 401$ K on cooling is much less diffuse and is characterized by rather sharp peaks and a higher latent heat of 0.14 J/g both on cooling and heating. The transformation hysteresis of 12 K is comparable with the low-temperature transition.

Only one phase transition is measurable by DSC at x = 0.28, Fig. 2(b). A heat-flow maximum is detected during heating at $T_{\rm H} = 376$ K with the latent heat of 0.05 J/g. The transition appears much more diffuse during cooling and only weak traces of the transition appear between 360 and 340 K (inset). For x = 0.28 the DSC activity detected corresponds to the $C \leftrightarrow R$ transition [5].

B. Internal friction and Young's modulus

The temperature spectra of Young's modulus, *E*, and the internal friction, δ , for x = 0.32 and x = 0.28 are shown in Fig. 3. Thin vertical lines in Figs. 3(a) and 3(b) mark the calorimetric start and finish temperatures for each phase transition. The colored zones between vertical lines indicate

two-phase fields: blue for cooling and red for heating. The two-phase region (x = 0.28) on cooling is marked with broken lines since the determination of these temperatures from the DSC data is approximate and does not use the tangent method. The other calorimetric start and finish temperatures coincide rather well with the characteristic singularities of Young's modulus and internal friction behavior. All datasets show thermal hysteresis; the thermal hysteresis of $T \leftrightarrow C$ and $M \leftrightarrow T$ transitions (x = 0.32) is ~ 15 K and ~ 20 K for $R \leftrightarrow C$ (x = 0.28). These values are consistent with the DSC data (x = 0.32) and confirm that in x = 0.28 the weak exothermal activity near 350 K during cooling is, indeed, associated with the diffuse $C \rightarrow R$ transition. The difference in the intensity of the $R \leftrightarrow C$ transition during heating and cooling is confirmed by rapid and gradual modulus variations for the $R \to C$ and $C \to R$ transitions, Fig. 3(b). Neither YM nor IF show thermal hysteresis in the single-phase regions, C, M, T for x = 0.32 and C, R for x = 0.28.

YM shows characteristic softening in the cubic phase of both crystals. The onset of the $C \rightarrow T$ transition in x = 0.32coincides with the modulus minimum. The double-DSC peak $C \rightarrow T$ (x = 0.32) agrees with the two stages of the modulus increase and double-internal friction peak, Fig. 3(a). This confirms the correspondence between DSC and acoustic measurements. The $M \leftrightarrow T$ transition in x = 0.32 coincides with the abrupt modulus variation. YM in the *M* phase is notably lower than in the tetragonal phase.



FIG. 3. Temperature spectra of Young's modulus, *E*, and internal friction (logarithmic decrement δ) for x = 0.32 (a) and x = 0.28 (b) PMN-PT samples registered on heating (red symbols) and cooling (blue symbols). Heating/cooling rates are 2 K/min; oscillatory strain amplitude is $\varepsilon_0 = 2 \times 10^{-7}$. Black letters *C*, *T*, *M*, *R* mark the regions of single cubic, tetragonal, monoclinic, and rhombohedral phases, respectively. Red and blue vertical lines indicate the calorimetric temperatures of the start and finish of the $M \leftrightarrow T$, $T \leftrightarrow C$, and $C \leftrightarrow R$ transitions in Fig. 2. Regions between the blue vertical lines are two-phase regions on cooling (C + T, T + M for x = 0.32 and C + R for x = 0.28), between the red lines—two-phase regions on heating (M + T, T + C for x = 0.32, R + C for x = 0.28). Gap between the two-phase regions C/T (x = 0.32) is monophase cubic on cooling and tetragonal on heating.

For x = 0.28, the DSC data are less reliable, but a comparison of Figs. 2(b) and 3(b) indicates that the onset of the $R \rightarrow C$ transition can be located at Young's modulus minimum temperature near 360 K. It is indicative that the internal friction peak on heating is also located at this temperature. On cooling the x = 0.28 sample, the minimum of Young's modulus and the internal friction peak are much less obvious due to the diffuseness of the phase transition. The behavior of the modulus and internal friction is consistent with the absence of a clear DSC peak during the $C \rightarrow R$ transition. The two-phase fields for the $C \leftrightarrow R$ transitions (x = 0.28) extend over an extremely wide temperature interval of 50 K, Fig. 3(b).

In x = 0.32 the two-phase fields are well separated for the $C \leftrightarrow T$ transition, whereas they are rather close for $T \leftrightarrow M$. The zones between the two-phase fields are single-phase regions where different phases are found on heating and cooling. For the $C \leftrightarrow T$ this zone is marked in Fig. 3(a) by a black symbol C/T. The existence of this temperature range is also evident from YM behavior. The temperature coefficient $\frac{1}{E} \frac{\partial E}{\partial T}$ is positive on cooling, typical for softening of the cubic phase and negative on heating of the T phase. The tangent method applied to DSC data yields also a virtual C/R single-phase region between the miscibility gaps C + R and R + C for the $C \leftrightarrow R$ transition, Fig. 3(b). However, the tangent method underestimates the width of the miscibility gap. Figure 2(b)shows that this is true for the $C \rightarrow R$ transition and that on heating, the two phases start to coexist already near 360 K. Therefore, the C/R region practically disappears in Fig. 3(b), in contrast to C/T in x = 0.32. This analysis of the virtual C/R region is consistent with the behavior of YM during heating for x = 0.28, which starts to show on heating a positive temperature coefficient (i.e., the anomaly typical for the cubic phase) above ~ 360 K, Fig. 3(b).

The common feature of both crystals is their extremely high IF value, up to $\delta \approx 0.1$ near the $T \leftrightarrow M$ transition (x = 0.32) and close to $R \rightarrow C$ transition during heating (x = 0.28). The IF remains high ($\delta \approx 0.05$) in the *M* and *R* phases. In contrast, the IF is relatively low ($\delta \approx 0.01$) in the tetragonal phase (x = 0.32). The damping becomes very low in the cubic phase, above the temperatures of $T \rightarrow C$ and $R \rightarrow C$ transitions. These observations point to a high density of highly mobile lattice defects, like twin boundaries and/or other imperfections related to polar nanoregions, in the relaxor structure of x = 0.28 and in the adaptive *M* state [1] of x = 0.32.

Over the ranges $T \leftrightarrow M$ and $C \leftrightarrow R$, interphase boundaries might additionally contribute to increased damping.

The DSC and acoustic data indicate three categories of the temperature zones in the phase diagrams of x = 0.28 and x = 0.32 crystals close to the MPB:

(i) True single-phase fields (C, T, M, R).

(ii) Single-phase fields in between the miscibility gaps where the phases are different on cooling and heating (C/T).

(iii) Two-phase fields, miscibility gaps with the coexistence of two phases (C + T, T + M, C + R); their positions are different for heating and cooling.

C. Dielectric parameters

We now discuss dielectric properties near the MPB [41].

Figures 4 and 5 show temperature spectra of the real component of the permittivity ε' and the loss tangent $\tan \delta = \frac{\varepsilon''}{\varepsilon'}$ for unpoled x = 0.32. Figure 4(a) depicts the overall temperature spectra of ε' on heating and cooling. Figure 4(b) shows details of the ε' in the vicinity of the $T \leftrightarrow M$ transition. Thin vertical lines limit two-phase regions. Figure 4(a) shows that the $C \leftrightarrow T$ transition generates a significant change of ε' during cooling and heating. This transition is situated well below the T_m temperature of ε' maximum, which occurs in the cubic phase. ε' further decreases during the $T \to M$ transition [Fig. 4(b)]. The temperature range of the $T \leftrightarrow M$ transition and its two-phase regions correspond with this decline on cooling and increase on heating. Figures 4(c) and 4(d) show that the shift of ε' maximum with frequency can be detected neither on cooling nor on heating, pointing to the absence of one of the hallmarks of the relaxor-type behavior in x = 0.32.



FIG. 4. (a) Temperature spectra of the real part of the dielectric permittivity ε' for frequencies 3, 10, 30, and 100 kHz for cooling and heating scans of an unpoled x = 0.32 PMN-PT sample. Thin vertical lines mark the calorimetric start and finish temperatures of the cubic \leftrightarrow tetragonal and tetragonal \leftrightarrow monoclinic transitions on heating (red lines) and cooling (blue lines). The zones between the vertical lines are the two-phase regions of the cubic and tetragonal (C + T), tetragonal and monoclinic (T + M) phases on cooling (blue lines), and monoclinic and tetragonal (M + T), tetragonal and cubic (T + C) phases on heating (red lines); (b) details of the low-temperature part of the spectra on an expanded scale. Black letters M,T,C mark the single-phase fields of the monoclinic, tetragonal, and cubic phases. (c), (d) Details of the permittivity maximum during cooling (c) and heating (d).



FIG. 5. Temperature spectra of the loss tangent tan δ at 3, 10, 30, and 100 kHz for an unpoled x = 0.32 PMN-PT sample during (a) heating and (b) cooling. Thin vertical lines mark the calorimetric start and finish temperatures of the cubic \leftrightarrow tetragonal and tetragonal \leftrightarrow monoclinic transitions.



FIG. 6. (a) Temperature spectra of the real part of the dielectric permittivity ε' for frequencies 1, 10, and 100 kHz for cooling and heating of an unpoled x = 0.28 PMN-PT sample. Thin vertical lines mark the calorimetric start and finish temperatures of the rhombohedral-cubic transition on heating (red lines) and cubic-rhombohedral on cooling (blue lines). Zones between the vertical lines are the two-phase coexistence regions of cubic and rhombohedral (C + R) on cooling (blue lines) and rhombohedral and cubic phases (R + C) on heating (red lines). Black letters R and C mark the regions where only rhombohedral and cubic phases exist. (b) Details of the effect of frequency on the permittivity maximum during cooling.

Figure 5 depicts temperature spectra of the loss tangent (x = 0.32). The two-phase regions correlate with the dielectric loss maxima. The temperatures of $C \Leftrightarrow T$ and $T \Leftrightarrow$ *M* transitions do not show frequency dependence. The $C \rightarrow T$ dielectric loss peak [Fig. 5(b)] shows the same splitting as the DSC peak and the maximum of ultrasonic absorption, Figs. 2(a) and 3(a).

Temperature spectra of ε' for x = 0.28 crystal (Fig. 6) show much more gradual variations during the structural transitions than in x = 0.32. Therefore, these transitions are difficult to analyze without supplementary DSC and acoustic data. A combination of the results obtained using three different techniques locates the limits of the two-phase regions on heating/cooling over the temperature ranges where thermal hysteresis of ε' disappears. As in the case of x = 0.32 crystal, the transitions are substantially more diffuse on cooling than on heating. Loss-tangent data for x = 0.28 crystal are shown in Fig. 7. In contrast to gradual ε' variation, dielectric losses peak more sharply on heating. The two-phase region (R + C) during the $R \rightarrow C$ transition [Fig. 7(a) coincides with an abrupt increase of dielectric losses. Loss tangent declines rapidly in the cubic phase on further heating to ~ 400 K. The cooling scan, where the localization of the $C \rightarrow R$ transition was difficult in DSC experiments, shows a gradual decline of losses over the two-phase (C + R) region [Fig. 7(b)] instead of sharp peak on heating, Fig. 7(a).

Finally, Fig. 6(b) shows that for x = 0.28, on the PMNrich side of the phase diagram, a frequency shift of the ε' maximum is detected. However, the frequency shift is very small. The ratio $\frac{\Delta T_m}{T_m} \frac{1}{\Delta \log \omega}$ [42], with ω - angular frequency, is ~ 0.0003 per decade frequency, whereas this parameter calculated from the data for PMN [43] is ~ 0.03. Thus, a transition from relaxor to ferroelectric type of behavior is



FIG. 7. Temperature spectra of the loss tangent tan δ for frequencies 1, 10, 30, and 100 kHz for an unpoled x = 0.28 PMN-PT sample during (a) heating and (b) cooling. Thin vertical lines red in (a) and blue in (b) mark the calorimetric start and finish temperatures of the cubic \leftrightarrow tetragonal and tetragonal \leftrightarrow monoclinic transitions. They limit the two-phase regions (R + C) on heating (a) and (C + R) cooling (b). Black letters R and C mark the regions where only rhombohedral and cubic phases exist.

TABLE I. Start (T_s), peak (T_p), and finish (T_f) temperatures, twophase fields ($|T_s - T_f|$) and latent heats Q of the $C \rightarrow T$, $T \rightarrow M$ structural transitions on cooling and $M \rightarrow T$, $T \rightarrow C$, and $R \rightarrow C$ transitions on heating in (1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO₃.

Crystal	Transition	T_{s} (K)	$T_p\left(\mathbf{K}\right)$	$T_f(\mathbf{K})$	$ T_s - T_f (\mathbf{K})$	<i>Q</i> (J/g)
x = 0.32	$C \rightarrow T$	402	399 ^a	396	6	0.14
	$T \rightarrow M$	342	338	332	10	0.07
	$M \rightarrow T$	347	352	356	9	0.08
	$T \rightarrow C$	412	413	415	3	0.14
x = 0.28	$C \rightarrow R$	365 ^b	355 ^b	340 ^b	25	
	$R \rightarrow C$	370	376	379	9	0.05

^aAverage value of the temperatures of two DSC peaks.

^bApproximate values obtained without using tangent method.

practically completed on the PMN-rich side of the phase diagram.

IV. DISCUSSION

A. Transformation hysteresis, range, and phase diagram

The three experimental methods consistently classify all phase transitions as first order in our x = 0.28 and x = 0.32 PMN-PT single crystals. We take DSC data as a reference to determine the characteristic temperatures and temperature hysteresis of the transitions from the heat-flow peaks. These characteristic temperatures are in good agreement with the ultrasonic and dielectric measurements.

Table I summarizes the temperatures of the DSC transformation peaks, the start and finish temperatures of the $C \leftrightarrow T$ and $T \leftrightarrow M$ transitions for x = 0.32, and $C \leftrightarrow R$ transition for x = 0.28. The estimated values of the latent heat are also shown. The evaluated latent heat of phase transitions between 0.05 and 0.14 J/g is typical for first-order transitions in PMN and other ferroelastics [44–47].

Figure 8 shows the modified phase diagram for PMN-PT which takes into account the thermal hysteresis and the existence of two-phase fields for $C \leftrightarrow R$, $C \leftrightarrow T$, and $T \leftrightarrow M$ transitions. We plot the limits of the two-phase regions in Fig. 8 as straight lines [5] and not as the predicted parabolic functions [2]. These straight lines converge near x = 0.295. Our results are in excellent agreement with Noheda *et al.* [5] for the $T \leftrightarrow M$ transition. Their data correspond to the onset of the $M \rightarrow T$ transition [5] and coincide with the position of the low-temperature limit of our two-phase field M + T on heating.

The phase diagram can be summarized as follows:

(i) The $C \leftrightarrow R$ and $C \leftrightarrow T$ transition are (weakly) first order. The tricritical points may be located even closer to the MPB line, between x = 0.28 and x = 0.32 (they may not exist at all). The observation of a first-order transition under zero field in PMN-PT with x = 0.295 [47] casts further doubts into the existence of tricritical points in PMN-PT. The transitory IF patterns were reported for PZT very close to the MPB [6,15–17], pointing to a typical first-order transition. As a consequence, the hypothetical tricritical points [2,4,23–25] may exist neither in PMN-PT nor in PZT.



FIG. 8. Phase diagram of the $(1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ system close to the MPB including thermal hysteresis and three types of the regions for weakly first-order transitions $C \leftrightarrow R, C \leftrightarrow T$, and $T \leftrightarrow M$: (i) single-phase regions C, T, M and R; (ii) singlephase region C/T wherein the phase is different on cooling (C) and heating (T); and (iii) miscibility gaps (two-phase fields on cooling C + T, T + M, C + R and on heating T + C, M + T, R + C). Black points are from Noheda *et al.* [5]. Blue regions mark two-phase fields on cooling, the red fields on heating. The start and finish temperatures of all transitions (except for the $C \to R$ on cooling) are determined from calorimetry.

(ii) The two-phase fields do not overlap in our experimental data and form six more $(C \leftrightarrow T)$ or less $(C \leftrightarrow R, T \leftrightarrow M)$ separate zones in the phase diagram. The overlap of the two-phase fields could be expected closer to the MPB. New single-phase regions may appear in the phase diagram in between the two-phase fields on cooling and heating instead of overlapping phase regions. In these regions, the phases are different on cooling and heating. The existence of this region is exemplified by the $C \leftrightarrow T$ transition in x = 0.32. In this region, denoted C/T, Fig. 8, the single cubic phase exists on cooling and single tetragonal phase on heating.

(iii) The two-phase fields for $C \leftrightarrow R$ transition in x = 0.28 and $T \leftrightarrow M$ in x = 0.32 extend over a temperature window of ~ 50 K, notably wider than for the $C \leftrightarrow T$ transition in x = 0.32. This difference correlates with the higher latent heat for the $C \leftrightarrow T$ transition in x = 0.32.

The phenomenological crystallographic theory of ferroelastic martensitic transformations [48,49] was successfully applied to describe twinning and habit-plane orientation during $C \leftrightarrow T$ transition in PbTiO₃ single crystals despite strong polarity of PbTiO₃ and concerns related to the possible effects of stored electric energy [50]. The concept of the martensitic transformation was used to interpret the transition between polar nanoregion and ferroelectric states of the relaxor-ferroelectric Pb(Zn_{1/3}Nb_{2/3})O₃-PbLa(ZrTi)O₃ solid solution [51]. Below we use a conventional thermodynamic description for the properties of the temperature hysteresis and two-phase regions during $C \leftrightarrow R (x = 0.28)$ and $T \leftrightarrow M$, $C \leftrightarrow T(x = 0.32)$ transitions. We refer to the total energy stored during transitions in PMN-PT on cooling as "elastic stored energy." We assume that the stored energy is fully recovered during the corresponding transformations on heating.



FIG. 9. (a), (c) Experimental temperature dependences of the fraction of the low-temperature phase, F, for the $C \leftrightarrow T$ (a) and $T \leftrightarrow M$ (c) transitions in x = 0.32 PMN-PT single crystal; C_s , C_f and H_s , H_f are the start and finish temperatures on cooling and heating. The black curve in (c) is the F(T) dependence for $R \rightarrow C$ transition on heating in x = 0.28 crystal. (b), (d) Adapted from Ref. [53]: schematic representation of F vs T during a first-order ferroelastic multiple variant transition on cooling (blue lines) and heating (red lines); solid lines are the F(T) trajectories when the same constant friction force acts on interphase boundaries during cooling and heating; dashed line in (d) is F(T) trajectory when friction stress increases on cooling with the amount of transformed material; (b) relatively low elastic energy stored/released; (d) relatively high elastic energy.

The $C \leftrightarrow R$ and $T \leftrightarrow M$ transitions, compared with $C \leftrightarrow$ T, are characterized by (i) a wider temperature hysteresis; (ii) adjacent two-phase ranges not separated by a single C/R and T/M fields; (iii) a more diffuse transition on cooling than on heating; and (iv) a lower latent heat, Table I. These characteristics can be interpreted qualitatively using thermodynamic analysis of ferroelastic transitions [52]. The heat flow in the DSC test is proportional to the variation of the volume fraction of the low-temperature phase F, $\frac{\partial Q}{\partial T} \propto \frac{\partial F}{\partial T}$, if the volume (density) change during the transition is neglected. The integration of the normalized heat flow for $C \leftrightarrow T$ and $T \leftrightarrow M$ transitions yields then the volume fraction F which is shown in Figs. 9(a) and 9(c). Vertical dotted lines mark the positions of the start and the finish temperatures on cooling, C_s, C_f , and on heating, H_s , H_f , derived from the tangent method. The patterns of F(T) comply with the features (i)–(iii) of the heat-flow data. The black curve in Fig. 9(c) represents the $R \rightarrow C$ transition on heating for x = 0.28. Figures 9(b) and 9(d) show schematically two typical F(T) patterns for the first-order ferroelastic transition [53,54] which agree with the experimental observations in Figs. 9(a) and 9(c). F(T)in Figs. 9(b) and 9(d) implies that (i) a multivariant structure is formed that stores elastic energy and (ii) the motion of phase boundaries against constant friction stresses during the transition produces frictional work [52,53]. The width of the two-phase regions, $C_s - C_f$ and $H_f - H_s$, is then determined by the elastic stored energy of the polyvariant structure, whereas the hysteresis of the transformation relates to friction during the motion of interfaces during the transition [52–54]. Figure 9(b) represents the case of relatively high friction and low stored energy that features narrow miscibility gaps. A combination of the narrow two-phase regions and relatively wide hysteresis creates a wide temperature range, H_s -C_s, wherein different single phases exist on cooling and on heating.

This effect is known in martensitic alloys. For instance, $H_s - C_s > 0$ in Ni-Mn-Ga single crystals [55]. Wollants *et al.* assumed that for a typical "hypothetical shape memory alloy" $H_s - C_s = 15K$ [52]. In perovskites, Lee *et al.* [56] observed that $H_S \approx C_S$ for a nearly stoichiometric BaTiO₃ and considered this as the equilibrium temperature. We note that thermodynamics requires $H_s > C_f$ for a martensitic transformation and permits both $H_s > C_s$ and $H_s < C_s$, whereas a reasonable approximation of the equilibrium temperature for multiple interface transformation is given by $T_0 = (C_s + H_f)/2$ [57]. During a single-crystal–single-interface transition the *F*(*T*) trajectory becomes nearly rectangular due to the absence of elastic stored energy [54,57]. The agreement between Figs. 9(b) and 9(a) allows us to ascribe these features to the *C* \leftrightarrow *T* transition.



FIG. 10. (a) Strain-amplitude dependence of the internal friction at room temperature for the x = 0.28 sample. Separation of linear δ_i and nonlinear δ_h internal friction terms is shown; strain amplitudes $\varepsilon_0^{\text{low}}$ and $\varepsilon_0^{\text{high}}$ are those chosen for the measurements of the temperature spectra of linear and nonlinear damping terms [shown in panel (b)]; (b) temperature spectra of the linear δ_i , the total δ , and nonlinear δ_h internal friction components for x = 0.28 crystal; the linear spectra are registered at a low strain amplitude $\varepsilon_0^{\text{low}} = 5 \times 10^{-7}$, the total internal friction is measured at high strain amplitude $\varepsilon_0^{\text{high}} = 4 \times 10^{-5}$, the nonlinear term is the difference between the spectra at high- and low strain amplitude, $\varepsilon_0^{\text{high}} = 4 \times 10^{-5}$, respectively.

Figure 9(d) corresponds to the inverse situation of a relatively high elastic stored energy. The width of the transformation ranges $C_s - C_f$ and $H_f - H_s$ is greater than in Fig. 9(b), and the miscibility gaps on cooling and heating join, $C_s \approx H_s$. A single-phase regime with different phases on cooling and heating does not exist therefore. The dashed line in Fig. 9(d)indicates F(T) on cooling when the friction stress increases with F, provoking a more diffuse transition on cooling than on heating. The schematic pattern of Fig. 9(d) fits the experimental data for the $T \leftrightarrow M$ transition, Fig. 9(c). The same analysis can be done for x = 0.28 only on heating [Fig. 9(c)], since the DSC data are unreliable on cooling. However, wide hysteresis and wide two-phase region on heating-the main features of the $C \leftrightarrow R$ transition—are the same as in $T \leftrightarrow M$. Hence, the difference in the patterns of $C \leftrightarrow T$ and of $C \leftrightarrow R$ and $T \leftrightarrow M$ transitions can be qualitatively interpreted in terms of friction during the motion of interfaces and elastic energy stored during the transition. Friction is relatively high in the $C \leftrightarrow T$ transition; elastic energy is high in $C \leftrightarrow R$ and $T \leftrightarrow M$.

The last assumption is consistent with the observed microstructures, Fig. 1. The *T* phase is formed by 100- μ m-wide parallel twins that easily accommodate the transformation strain. The twin structure of the x = 0.28 crystal consists of patches of orthogonal micrometer-size twins that should create high stresses. The lower elastic energy for the $C \leftrightarrow T$ transition does not contradict its higher latent heat compared with $C \leftrightarrow R$ and $T \leftrightarrow M$ since the elastic energy decreases the latent heats of the transition during cooling and heating, Q_c and Q_h [52]:

$$-Q_{c} = -\Delta H_{ch}^{p \to f} + E_{st}^{p \to f}$$
$$Q_{h} = \Delta H_{ch}^{f \to p} - E_{st}^{f \to p}, \qquad (4)$$

where $\Delta H_{ch}^{p \to f}$ and $\Delta H_{ch}^{f \to p}$ are chemical enthalpy changes during the para- to ferroelastic and ferro- to paraelastic transitions; $E_{st}^{p \to f}$ and $E_{st}^{f \to p}$ are the stored elastic energies.

Similar to the present results, the $T \rightarrow C$ transition was very sharp, whereas $R \rightarrow T$ was very diffuse for several PZT compositions close to the MPB [15–17].

B. Athermal versus iso-/anisothermal transition in PMN-PT

Different regions in the phase diagram of Fig. 8 were identified under a cooling/heating rate of 2 K/min. The question then is whether the hysteresis and two-phase fields are rate independent or rate dependent on the laboratory timescale. This would imply that the transitions in PMN-PT are either athermal (when the thermal activation is irrelevant) or iso-/anisothermal (thermal activation is involved in the transition) [58–60]. In the former case the transition thermal hysteresis is a sequence of metastable states; in the latter, the system is allowed to relax to the state of minimum energy and the hysteresis might vanish eventually.

The excellent coincidence between the low-temperature limit of the two-phase field M + T in Fig. 8 with corresponding isothermal data points by Noheda *et al.* [5] appear to point to a time-independent (M + T) field (x = 0.32). In ferroe-lastic Ni-Ti system with a number of structural transitions, those with higher thermal hysteresis $(B2 \rightarrow B19' \text{ transition})$ or wider two-phase field (more diffuse $B19 \rightarrow B19'$ transformation) are more prone to be affected by thermal activation [60]. More diffuse $C \leftrightarrow R$ transition (x = 0.28), therefore, can demonstrate rate dependence due to thermal activation involved. We now use nonlinear acoustic effects, exclusively related to moving twins in PMN-PT, as indicator for the stability with time of the twin structure and, hence, for the fraction of the rhombohedral phase.

The strain-amplitude dependence in Fig. 10(a) allows us to select the low- and high-strain amplitudes for simultaneous measurements of linear and nonlinear IF versus temperature and time as $\varepsilon_0^{\text{low}} = 5 \times 10^{-7}$ and $\varepsilon_0^{\text{high}} = 4 \times 10^{-5}$. We assume [61] additivity of linear and nonlinear terms. Temperature spectra of the linear and nonlinear IF components



FIG. 11. (a) Kinetics of isothermal relaxation of the normalized nonlinear internal friction component δ_h during isothermal holdings at 350, 330, and 310 K on cooling and 350 K on heating. The results of two relaxations at 350 K after interruption of heating are shown with the duration of 24 h and 14 days. (b) Temperature spectra of the nonlinear internal friction term δ_h on cooling (blue symbols) and on cooling with subsequent heating (red symbols); vertical arrows mark interruptions of temperature scans and isothermal holdings on cooling and heating at 350 K.

for x = 0.28 in Fig. 10(b) indicate that the $C \leftrightarrow R$ transition is marked by δ_h maxima both on cooling and heating, qualitatively like those of the linear term δ_i . Several cooling scans were interrupted at 350, 330, and 310 K to observe the isothermal kinetics of δ_h . The first temperature (350 K) belongs to the two-phase C + R region, the last two (330 and 310 K) fall within the single *R*-phase field. The results shown in Fig. 11(a) indicate isothermal decrease of δ_h in the *R* phase, which is related to the relaxation of the complex *R*-phase structure (Fig. 1). The data do not follow simple exponential or logarithmic kinetics. Therefore, in terms of the activation energies, neither of the two extreme cases, namely a single value or their flat distribution, account for the observed kinetics. Data in Fig. 11(a) include a substantial incubation time, increasing with lowering temperature. Hence, application of the Kohlrausch-Watts-Williams stretched exponent [62] implies a significant error for low waiting times. Therefore, treatment of the experimental data in Fig. 11(a) requires other approaches. If the cooling is interrupted in the (C+R) field at 350 K, the isothermal variation of δ_h is nonmonotonous: the initial decline up to 20×10^4 s is followed by a gradual increase that does not saturate after more than a week of isothermal measurements. Thus, the δ_h kinetics in the two-phase C + R field on cooling is a superposition of two opposite trends: a conventional relaxation as in single-phase R field and a more long-term δ_h increase, which reflects the isothermal progression of the $C \rightarrow R$ transition, Fig. 10(b). Thus, the diffuse $C \rightarrow R$ transition (x = 0.28) should be classified as an isothermal or anisothermal [58,59] structural transition. Unfortunately, the method employed is not applicable to the $R \rightarrow C$ transition on heating, since both relaxation and isothermal accumulation of the cubic phase reduce δ_h . Nevertheless, the isothermal kinetics was registered at 350 K after interruption of heating in order to compare the relaxed δ_h values after relaxations on cooling and heating. This experiment is a check whether the cooling and heating relaxations yield the collapse of δ_h values. Figure 11(a) shows that after interruption of heating at 350 K the kinetics of the monotonous δ_h decline becomes very slow. Figure 11(b) shows the δ_h temperature spectra together with the 350 K isothermal relaxations on cooling and heating. These results confirm that the "dynamic" difference between δ_h values during continuous cooling and heating scans reduces strongly for final isothermal points. Nevertheless, the final points after relaxations for $\sim 10^6$ s do not collapse. Thus, the thermal hysteresis persists for relaxation times orders of magnitude longer than the typical laboratory timescale used in structural characterization of PMN-PT (x ray, neutron scattering, acoustic, DSC tests, etc.). More experiments and different techniques are needed to verify the athermal or isothermal nature of other structural transitions in PMN-PT.

V. CONCLUSIONS

(1) Under conditions (continuous dynamic cooling-heating scans), all phase transitions in $(1-x)Pb(Mg_{1/3}Nb_{2/3})O_{3-x}PbTiO_3$ single crystals close to the morphotropic phase boundary with x = 0.28 and x = 0.32 $(C \leftrightarrow T \text{ and } T \leftrightarrow M \text{ in } x = 0.32 \text{ and } C \leftrightarrow R \text{ in } x = 0.28)$ are classified as the first-order ones with corresponding values of heat effect, thermal hysteresis, and two-phase regions. The tricritical points, if they exist in PMN-PT, should be located in between the compositions studied, x = 0.28 and x = 0.32, very close to morphotropic phase boundary.

(2) Modified phase diagram close to the MPB of the PMN-PT system is suggested that is composed of three categories of fields:

(i) True single-phase fields, representing the cubic (C), rhombohedral (R), tetragonal (T), and monoclinic (M) phases;

(ii) Single-phase field wherein the type of the phase is different on cooling and heating, C/T field in x = 0.32;

(iii) Two-phase fields where the two phases coexist, C + R, C + T, T + M;

(3) Although the phase diagram obtained is dynamic and nonequilibrium, corresponding to continuous cooling-heating scans, the relaxations orders of magnitude longer than the characteristic laboratory timescale confirm the existence of thermal hysteresis;

(4) The differences in the width of the two-phase fields and in thermal hysteresis for $C \leftrightarrow R$, $T \leftrightarrow M$, and $C \leftrightarrow$ T transitions are interpreted qualitatively using a thermodynamic description of the ferroelastic transitions; and

(5) At least some transitions (e.g., cubic-rhombohedral on cooling for x = 0.28) show long-term gradual accumulation of the product phase at constant temperature and are classified as isothermal or anisothermal. The accumulation of the ferroelastic phase at constant temperature introduces time as an additional variable in structural characterization of PMN-PT.

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