SmI₃: $4f^5$ honeycomb magnet with spin-orbital entangled Γ_7 Kramers doublet

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We report magnetic properties of a 4f honeycomb iodide SmI₃ made up of edge-shared network of SmI₆ octahedra. High temperature magnetic susceptibility indicates a Γ_7 Kramers doublet ground state of Sm³⁺($4f^5$) ions stabilized by the spin-orbit coupling and octahedral crystal electric field, which interact with a Sm-I-Sm bond angle of nearly 90°. Magnetization measurements down to 0.1 K detected antiferromagnetic correlations and an anomaly in the magnetization curve before saturation without a sign of long-range order. Relevance between SmI₃ and the antiferromagnetic Kitaev material proposed in the 4f-electron system is discussed.

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I. INTRODUCTION

Quantum spin liquid (QSL) is an exotic electronic state of matter where long-range magnetic order is absent in spite of the presence of magnetic correlations among spins [1,2] and has attracted condensed matter physicists since the proposal of resonating valence bonds by Anderson [3]. Realization of QSL has been mainly discussed in geometrically frustrated spin systems such as kagome and pyrochlore antiferromagnets [4,5]. In recent years, a theoretical model which exhibits a well-defined QLS ground state, the so-called the Kitaev model, emerged as a platform for QSL physics [6]. Theoretical investigations revealed that a spin-1/2 model on honeycomb lattice with bond-dependent Ising-type, which is often called Kitaev-type, interaction exhibits a QSL ground state where fractional excitations emerge [6–9].

Kitaev-type interaction is proposed to appear in the Mott insulator made of magnetic ions with spin-orbital entangled Kramers doublet ground state [10,11]. When the ligand octahedra containing the magnetic metal ions are connected via edges with a metal-ligand-metal bond angle of 90°, Kitaev-type superexchange interaction may emerge while the isotropic Heisenberg-type superexchange interaction is suppressed [10,11]. As candidate materials that fulfill these conditions, layered oxides and chlorides with $Ir^{4+}(5d^5)$ [12–15] and $Ru^{3+}(4d^5)$ [16,17] ions have been investigated; see also reviews [7,8].

4f-electron systems attract attention as largely unexplored target materials for investigating the Kitaev spin liquid physics in recent years [11]. Kitaev-type interaction is proposed to appear between 4f ions with Kramers doublet ground state stabilized by spin-orbit coupling and the octahedral crystal electric field (CEF) such as the Γ_7 state of the $4f^1$ ion [11,18]. A major difference between the *d*- and *f*-electron cases is the sign of Kitaev-type interaction: ferromagnetic and antiferromagnetic interactions are expected in the *d*- and the *f*-electron cases, respectively [11,18]. While the QSL state appears for both cases at zero magnetic field [7,19], the antiferromagnetic Kitaev model may allow us to investigate another magnetic-field-induced QSL phase in a magnetic field range before spins are polarized [19–21]. Materials containing the honeycomb lattice of $Pr^{4+}(4f^1)$ [22,23] and $Yb^{3+}(4f^{13})$ [24–27] are investigated as candidates, however a 4*f* honeycomb material with a well-defined Γ_7 state is still missing.

We focus on samarium triiodide (SmI_3) with the BiI₃-type structure [Fig. 1(a)] [28], of which magnetic properties are not known. The spin-orbit coupling of 1050 cm⁻¹ of the $\text{Sm}^{3+}(4f^5)$ ion with L = 5 and S = 5/2 [29], which corresponds to approximately 1500 K, would stabilize the J = 5/2sextet at ambient condition. The degeneracy of the sextet can be lifted by the octahedral CEF to stabilize the Γ_7 Kramers doublet [Fig. 1(a)] [11]. The edge-shared network of SmI₆ octahedra forming a honeycomb lattice materializes the situation discussed in the theoretical study [11,18]. We synthesized the powder and single crystal samples of SmI3 and investigated the magnetic properties. Magnetic susceptibility at high temperature indicates the Γ_7 Kramers doublet state of the Sm³⁺ion stabilized by the CEF splitting approximately 200 K. The magnetization measured down to 0.1 K detected the development of antiferromagnetic correlations without a sign of long-range magnetic order. A magnetization curve at 0.1 K exhibits an anomaly at around 2.5 T before the polarized state is reached at around 5 T. We argue that SmI₃ is a rare 4*f*-electron system that hosts well-defined Γ_7 Kramers doublet ions interacting on the honeycomb lattice made of edge-shared metal-ligand octahedra.

II. SAMPLE PREPARATION AND CHARACTERIZATION

Polycrystalline powder of SmI_3 is obtained by reacting samarium powder (99.9%) and iodine (99.999%) at 650°C in the evacuated quartz glass tube. To prevent possible

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FIG. 1. (a) Energy diagram of Sm³⁺(4*f*⁵) ion in SmI₃. (b) A honeycomb layer in SmI₃ seen from *c* axis (left) and the crystal structure seen from *a* axis (right). (c) Picture of the single crystals of SmI₃ inside the quartz glass tube. (d) X-ray diffraction pattern of the powder (red) and the single crystal (blue) of SmI₃ compared with the calculated pattern (black). The broad feature around $2\theta = 20^{\circ}$ and the peaks indicated by * come from the grease used to protect the sample. The peaks indicated by the arrows in the powder sample come from the SmOI impurity.

formation of SmI₂, excess iodine is added with the molar ratio of Sm : I = 1 : 6. Excess iodine is removed by sublimation after the reaction. All the materials were handled in the argonfilled glove box. Powder x-ray diffraction measurement by the diffractometer with Cu-K α_1 radiation (RIGAKU, Smart Lab) indicates the BiI₃-type structure (*R*-3) with the lattice constants *a* = 7.635 12(3) Å and *c* = 20.8712(1) Å at 250 K [Fig 1(b), depicted by VESTA software [30]]. Extra peaks in the powder pattern are attributed to Apiezon-N grease used to protect the air-sensitive sample and a tiny amount of SmOI impurity [Fig. 1(d)]. Atomic positions determined from the Rietveld analysis of the powder pattern using the FULLPROF software [31] revealed the Sm-I-Sm bond angle of 90.5(1)°.

To obtain single crystals, the polycrystalline sample was sublimated in a tube furnace at around 800°C with a temperature gradient. Hexagonal shaped crystals up to 5 mm were obtained [Fig. 1(c)]. Chemical analysis was performed using scanning electron microscopy (SEM, JEOL IT-100) equipped with energy dispersive x-ray spectroscopy (EDS, 15 kV, 0.8 nA, 10 μ m beam diameter) at the Institute for Solid State Physics (ISSP), the University of Tokyo. The data correction was performed by the ZAF method which takes into account atomic number (Z) effect, absorption (A) effect, and fluorescence excitation (F) effect. The analysis revealed the



FIG. 2. (a) Magnetic susceptibility of SmI_3 . The data for powder and a bunch of small crystals are shown with offsets. The magnetization curves of sublimated small crystals are shown in the inset. (b) Specific heat divided by temperature and electronic entropy of sublimated small crystals of SmI_3 . Data below 20 K are shown in the inset and compared with the nonmagnetic analog BII_3 . Estimated lattice and magnetic contributions are shown by solid line and unfilled markers, respectively.

chemical composition of Sm : I = 1 : 3; see the Supplemental Material for details [32]. In the x-ray diffraction pattern of a hexagonal crystal put parallel to the sample holder, strong 00*l* (l = 3n, n = integer) diffractions are observed [Fig. 1(d)], indicating that the hexagonal plane corresponds to the honeycomb layer.

III. MAGNETIC PROPERTY

Temperature dependence of magnetic susceptibility χ between 2 and 300 K was measured by a superconducting quantum interference device magnetometer (MPMS-XL, Quantum Design). The χ of the powder, a bunch of sublimated small crystals typically smaller than 1 mm, and a pile of large single crystals exhibit nearly identical behavior at high temperature [Fig. 2(a)]; data for different samples are shown with offsets. Curie-Weiss like behavior is observed above 100 K and a shoulder is observed at around 50 K. χ exhibits an upturn toward low temperature below 30 K. The χ of a pile of large crystals was measured in magnetic field applied perpendicular and parallel to the hexagonal plane, which correspond to $H \parallel c$ and $H \perp c$, respectively. The in-plane orientation of the crystals was not aligned. The χ in $H \perp c$ exhibits a more pronounced shoulder at 50 K. The upturn at low temperature is enhanced in $H \parallel c$ compared to $H \perp c$.

In order to elucidate the χ , the magnetic susceptibility of the Sm³⁺ion (χ_{ion}) under the cubic octahedral CEF Hamiltonian, $H_{\text{CEF}} = B_4^0 (O_4^0 + 5O_4^4)$, which splits the J = 5/2 sextet into the Γ_7 doublet and Γ_8 quartet, was calculated. We calculated the χ by considering the external magnetic field as a perturbation as shown in Ref. [33]. The matrix elements used in the calculation are shown in the Supplemental Material [32]. The calculations were performed using the Julia language (ver. 1.2.0). In the calculation, two contributions that are often called Curie and van Vleck terms appear in the χ . The Curie term diverges toward low temperature, while the van Vleck term takes a constant value at low temperature. The van Vleck term produces a shoulder in the χ at the temperature determined by the size of the CEF splitting. The prominence of the shoulder depends on the relative magnitude of the two terms, which is determined by the type of the ground state wave function. The shoulder is prominent for the Γ_7 ground state but is negligibly small for the Γ_8 ground state: see the Supplemental Material for details [32]. The observation of the clear shoulder in χ indicates that the Sm³⁺ions host the Γ_7 doublet ground state in SmI₃. This is reasonable as the Coulomb repulsion between the 4*f*-electron and the I⁻ ions is smaller for the Γ_7 state compared to the Γ_8 state in the octahedral coordination.

To estimate the size of the CEF splitting, the χ was calculated by changing the value of B_4^0 . The calculations revealed that characteristic shoulder around 50 K is best reproduced with the CEF splitting of 216 K ($B_4^0 = 0.6$ K): see the Supplemental Material for the results with different values of B_4^0 [32]. The molar susceptibility χ_{mol} is calculated as $\chi_{\text{mol}} = N_{\text{A}}(g\mu_{\text{B}})^2 \chi_{\text{ion}}/k_{\text{B}} + \chi_0$, where N_{A} , g, μ_{B} , k_{B} , and χ_0 indicate the Avogadro constant, g factor, Bohr magneton, Boltzmann constant, and a temperature independent term, respectively, and is compared with experimental results in $H \parallel c$ [Fig. 2(a)]. A calculation using the Landé g factor of 2/7 of the Sm³⁺(4f⁵) ion (J = 5/2, L = 5, and S = 5/2) and $\chi_0 = 5.5 \times 10^{-4}$ emu/mol, which can be attributed to the contribution from the higher energy J = 7/2 multiplet, yields a good fit of the high-temperature experimental data. Note that the shoulder is almost invisible in the χ calculated using the same parameter set for the Γ_8 ground state [Fig. 2(a)].

The magnetization curve of sublimated small crystals was measured by the induction method using a pick-up coil in the pulsed magnetic field up to around 42 T generated at the International MegaGauss Science Laboratory at ISSP. The magnetization curve is nearly linear at 4.2 K in the whole magnetic field range [Fig. 2(a)]. The curve exhibits a convex shape at 1.4 and 0.8 K below 20 T and increases linearly at higher fields. The featureless magnetization curve suggests the paramagnetic state at 0.8 K.

The specific heat of SmI₃ was measured for a small pellet made from sublimated small crystals down to 0.6 K by the relaxation method using a commercial apparatus (PPMS, Quantum Design). The specific heat divided by temperature C_p/T exhibits a shoulder around 15 K. A similar shoulder was observed in the nonmagnetic and isostructural BiI₃ (99.9%), indicating the shoulder is attributed to the lattice contribution.



FIG. 3. (a) Magnetic susceptibility of a pile of single crystals of SmI₃ measured down to 0.1 K in H // c at different magnetic fields. The same data after subtracting the impurity component estimated as in [32] are shown in the inset. (b) Magnetization curve of SmI₃ in H // c and its derivative by magnetic field. The solid line indicates the calculation for the free ion multiplied by a factor of 0.8.

 C_p/T of SmI₃ increases below 2 K as the temperature is lowered. As the lattice contribution is small in the temperature range, the upturn should be of magnetic origin. The lattice specific heat below 5 K is estimated by assuming $C \propto T^3$ according to the Debye model and the leftover magnetic contribution is estimated [Fig. 2(b)]. The magnetic entropy S_m obtained by integrating the magnetic contribution between 0.6 and 5 K amounts to 0.42 J/mol K and is approximately 7% of the *R*ln2 expected for the Γ_7 doublet. The estimation suggests the rest of the magnetic entropy should be released below 0.6 K.

The magnetization of a pile of large single crystals was measured below 2 K in H // c down to 0.1 K in a dilution refrigerator by a capacitive Faraday method [34,35] [Fig. 3(a)]. The χ exhibits a monotonic behavior without a clear kink or drop suggestive of the long-range antiferromagnetic order down to 0.1 K. Note that the χ measured at weak magnetic fields exhibits larger values, which can be attributed to the presence of a tiny amount of ferromagnetic impurity; see the Supplemental Material for details [32]. When the impurity contribution is subtracted, the χ at different magnetic fields almost overlap with each other [inset in Fig. 3(a)]. The χ below 10 K is slightly larger than the calculation for the free ion [dashed line in Fig. 3(a)] but suppressed below 2 K as the temperature is lowered, suggesting the presence of antiferromagnetic correlations. The effect of antiferromagnetic correlations is prominent below 0.2 K where the χ exhibits a broad maximum.

The magnetization curve of the single crystal sample is measured at 0.1 K up to 8 T [Fig. 3(b)]. The curve exhibits a clear kink at around 5 T and increases gradually at higher fields, suggesting the saturation of the magnetic moment of the Γ_7 doublet. The calculated magnetization curve of a free ion at 0.1 K exhibits the saturation behavior at around 1 T [solid line in Fig. 3(b)]. The shift of the magnetic saturation to higher magnetic field is consistent with the presence of an antiferromagnetic correlation. Moreover, the magnetic field derivative of magnetization dM/dH at 0.1 K exhibits a broad peak at around 2.5 T. Such an anomaly is absent in the calculation for the free ion and is smeared out above 0.2 K.

IV. DISCUSSION

CEF splitting of approximately 200 K estimated from the high temperature magnetic susceptibility is much smaller compared to the energy scale of the spin-orbit coupling of the Sm³⁺ion ~ 1500 K [29], supporting the validity of the energy splitting diagram shown in Fig. 1(a). Theoretical studies point out that an antiferromagnetic Kitaev-type superexchange interaction may emerge when the 4*f* ions with the Γ_7 ground state interact with the metal-ligand-metal angle of 90° [11,18]. These conditions are perfectly fulfilled in SmI₃.

The search for a Kitaev material candidate in 4f-electron systems have been carried out on the honeycomb materials with $Pr^{4+}(4f^1)$ or $Yb^{3+}(4f^{13})$ ions, where the Γ_7 doublet can be the ground state [11]. A neutron scattering study on $4f^1$ honeycomb oxide Na₂PrO₃ detected the first excited state at 0.23 eV [23]. This energy is larger than the spin-orbit coupling of the Pr^{4+} ion ~0.12 eV [18] and it is suggested that a simple Γ_7 picture is not appropriate [23]. In the case of the $Yb^{3+}(4f^{13})$ ion seen in the honeycomb compounds YbCl₃ and YbBr₃ [24,25,26,27], the octahedral CEF may stabilize Γ_6 state rather than the Γ_7 state and the dominant interaction can be the Heisenberg type [25]. Neutron scattering experiments revealed that the dominant exchange interaction is Heisenberg type [26,27]. SmI₃ is regarded as a rare example that hosts a well-defined Γ_7 state of the 4f ion on the honeycomb lattice.

Superexchange interactions between magnetic ions with Γ_7 states emerging from the J = 5/2 sextet were investigated in detail on the Pr⁴⁺(4f¹) oxides [18]. In the case of the 4f¹ ion, the J = 5/2 sextet is formed by the coupling of L = 3 and S = 1/2. While the J = 5/2 sextet is formed by the coupling of L = 5 and S = 5/2 in the case of the 4f⁵ ion, the wave function takes the identical form with the 4f¹ ion [11]. Our results call for theoretical study on how the number of electron and the magnetic cation and ligand anion species alter the superexchange interactions between the Γ_7 ions.

We compare SmI₃ and $4/5d^5$ honeycomb magnets, which may host the Γ_7 doublet ground state arising from the coupling of $L_{\text{eff}} = 1$ and S = 1/2 and are extensively investigated as materials with ferromagnetic Kitaev-type interaction. From the onset of the release of magnetic entropy and the deviation of χ from the free ion values, the energy scale of magnetic interactions in SmI₃ should be of the order of 1 K. This is 10–100 times smaller compared to the *d*-electron materials [7,8]. Well-investigated A_2 IrO₃ and RuCl₃ exhibit a longrange magnetic order at 15 and 7 K, respectively, which are ~ 1/10 of the exchange interactions [7,8]. QSL ground state is achieved in iridates when the intercalant cations are replaced by other cations via ion-exchange reaction from the parent A_2 IrO₃ [13,14,15]. It is often difficult to obtain a large single crystal via ion-exchange reaction and the effect of randomness in the ion-exchanged compounds on magnetism is not fully understood [7]. While the small exchange interaction in SmI₃ requires very low temperature experiments, the absence of the magnetic order down to 0.1 K and the availability of single crystal without the interlayer cation would make SmI₃ a promising target for further experimental investigations.

We comment on the broad peak in dM/dH at 0.1 K at around 2.5 T, which is suggestive of a change in the magnetic state. The peak does not appear in the calculation for the free ion and appears only below 0.2 K, indicating the antiferromagnetic correlations play a crucial role. In the theoretical study on the antiferromagnetic Kitaev model, a magneticfield-induced transition from the Kitaev spin liquid to another QSL state is proposed at the intermediate field region before a polarized state is achieved [19,20,21]. It would be an interesting future work to examine whether the anomaly in the magnetization curve is relevant to the theoretical prediction.

V. CONCLUSION AND PERSPECTIVE

In summary, magnetic properties of the layered honeycomb iodide SmI₃ are investigated. We demonstrate Sm³⁺(4 f^5) ions arranged on the honeycomb lattice host the Γ_7 Kramers doublet ground state and interact under the edge-shared geometry of SmI₆ octahedra. Development of the antiferromagnetic correlations and an anomaly in the magnetization process are detected, while clear signature of the long-range order is not observed down to 0.1 K. SmI3 extends the family of the spin-orbital entangled honeycomb magnet and would deserve further investigations as a rare 4f honeycomb system with Γ_7 Kramers doublet state. Specific heat measurements and torque magnetometry at dilution refrigerator temperatures would be useful for investigating the magnetic state and magnetic anisotropy. Spectroscopic measurements using muon and neutron would also be useful to clarify the magnetic state and the nature of the magnetic interactions, while the sample prepared from isotopically enriched Sm is necessary for the neutron experiments to reduce neutron absorption. Our results call for theoretical investigation on the superexchange interaction between $\text{Sm}^{3+}(4f^5)$ ions and would trigger the explorations of materials comprising the honeycomb lattice of Sm³⁺ions.

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