# Electronic and magnetic properties of iridium ilmenites $AIrO_3$ (A = Mg, Zn, and Mn)

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(Received 26 June 2021; revised 25 August 2021; accepted 28 September 2021; published 20 October 2021)

We theoretically investigate the electronic band structures and magnetic properties of ilmenites with edgesharing  $IrO_6$  honeycomb layers, AIrO<sub>3</sub> with A = Mg, Zn, and Mn, in comparison with a collinear antiferromagnet MnTiO<sub>3</sub>. The compounds with A = Mg and Zn were recently reported in Y. Haraguchi *et al.*, Phys. Rev. Mater. 2, 054411 (2018), while MnIrO<sub>3</sub> has not been synthesized yet but the honeycomb stacking structure was elaborated in a superlattice with  $MnTiO_3$  in K. Miura *et al.*, Commun. Mater. 1, 55 (2020). We find that, in contrast to MnTiO<sub>3</sub>, where an energy gap opens in the Ti 3d bands by antiferromagnetic ordering of the high-spin S = 5/2 moments, MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> have a gap in the Ir 5d bands under the influence of both spin-orbit coupling and electron correlation. Their electronic structures are similar to those in the spin-orbit coupled Mott insulators with the  $j_{\text{eff}} = 1/2$  pseudospin degree of freedom, as found in monoclinic  $A_2$ IrO<sub>3</sub> with A = Na and Li, which have been studied as candidates for the Kitaev spin liquid. Indeed, we find that the effective exchange interactions between the  $j_{\text{eff}} = 1/2$  pseudospins are dominated by the Kitaev-type bond-dependent interaction and the symmetric off-diagonal interactions. On the other hand, for  $MnIrO_3$ , we show that the local lattice structure is largely deformed, and both Mn 3d and Ir 5d bands appear near the Fermi level in a complicated manner, which makes the electronic and magnetic properties qualitatively different from MgIrO<sub>3</sub> and ZnIrO<sub>3</sub>. Our results indicate that the IrO<sub>6</sub> honeycomb network in the ilmenites  $AIrO_3$  with A = Mg and Zn would offer a good platform for exotic magnetism by the spin-orbital entangled moments like the Kitaev spin liquid.

DOI: 10.1103/PhysRevMaterials.5.104409

### I. INTRODUCTION

Ilmenite, whose chemical formula is given by  $ABO_3$ , crystalizes in a trigonal structure with the space group  $R\bar{3}$ similar to corundum  $A_2O_3$ . Both ilmenite and corundum share the layered structure with a honeycomb network of edgesharing octahedra, but a difference lies in the stacking manner; corundum is composed of a stacking of isostructural AO<sub>6</sub> honeycomb layers, but ilmenite is made of an alternative stacking of AO<sub>6</sub> and BO<sub>6</sub> honeycomb layers, as shown in Fig. 1. While ilmenite is originally the name for a titaniumiron oxide mineral FeTiO<sub>3</sub>, its relatives, such as NiTiO<sub>3</sub>, CoTiO<sub>3</sub>, and MnTiO<sub>3</sub>, have been studied for a long time as a good playground for two-dimensional magnetism [1-11]. Mixed compounds like (Ni,Mn)TiO<sub>3</sub> were also investigated as they exhibit interesting spin glass behavior [11–15]. Later, the titanium antiferromagnets have also attracted the interest from their multiferroics behavior [16,17] and magnetochiral dichroism [18].

Recently, a new series of ilmenite with B = Ir has been synthesized as MgIrO<sub>3</sub>, ZnIrO<sub>3</sub>, and CdIrO<sub>3</sub> [19,20]. These compounds are of particular interest from a different perspective than ATiO<sub>3</sub>: they have a honeycomb network of edge-sharing IrO<sub>6</sub> octahedra similar to monoclinic  $A_2IrO_3$ with A = Na and Li, which have been intensively studied as candidates for realizing a quantum spin liquid in the honeycomb Kitaev model [21–31]. In  $A_2IrO_3$ , the 5*d* levels in Ir<sup>4+</sup> ions are split by the strong spin-orbit coupling (SOC) into quartet and doublet, and the half-filled doublet is further split by the Coulomb interaction to realize the so-called spin-orbit Mott insulator [32]. Then, the low-energy physics is described by the pseudospin degree of freedom for the Kramers doublet with the effective magnetic moment of  $j_{\text{eff}} = 1/2$  [33,34]. Owing to the edge-sharing geometry, the dominant interaction between neighboring  $j_{eff} = 1/2$  moments can be highly anisotropic, which gives a realization of the bond-dependent Ising interaction in the Kitaev model [24–27,35,36]. Since the iridium ilmenites have a similar honeycomb network, they potentially serve as another candidates for the Kitaev spin liquid. Powder samples of these compounds, however, were shown to exhibit magnetic phase transitions at 31.8 K for MgIrO<sub>3</sub>, 46.6 K for ZnIrO<sub>3</sub> [19], and 90.9 K in CdIrO<sub>3</sub> [20], which are higher than  $\sim 15$  K for Na<sub>2</sub>IrO<sub>3</sub> and Li<sub>2</sub>IrO<sub>3</sub> [22,23,37– 39]. The susceptibility measurements for  $A_2$ IrO<sub>3</sub> with A = Mgand Zn indicate that they have in-plane magnetic anisotropy, while Na<sub>2</sub>IrO<sub>3</sub> and Li<sub>2</sub>IrO<sub>3</sub> show the out-of-plane and inplane anisotropy, respectively [22,28]. The estimates of the magnetic moments are consistent with the  $j_{eff} = 1/2$  picture, except for CdIrO<sub>3</sub> [20]. Despite these interesting aspects, the electronic and magnetic properties of the iridium ilmenites have not been theoretically studied thus far.

In this paper, we investigate the electronic band structures of MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> by using the first-principles calculations with the fully-relativistic local density approximation including effective onsite Coulomb interactions, called the LDA+SOC+U method. For comparison, we study the wellknown antiferromagnetic insulator MnTiO<sub>3</sub> and a fictitious crystal MnIrO<sub>3</sub> whose local stacking structure was recently



FIG. 1. Lattice structure of ilmenite  $ABO_3$ : (a) bird's-eye view and (b) projection from the *c* axis. The orange and yellow octahedra denote  $AO_6$  and  $BO_6$ , each of which form a two-dimensional honeycomb network with edge sharing. The adjacent  $AO_6$  and  $BO_6$ honeycomb layers are stacked with face and corner sharing. The black lines in (a) denote the conventional unit cell with the lattice constants, *a* and *c*. (c) The first Brillouin zone. The red lines denote the symmetric lines used in the plots of the band structures in Sec. III and Appendices A and B.

elaborated in a superlattice with MnTiO<sub>3</sub> [40]. We find that MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> have similar band structures near the Fermi level to the Kitaev candidates Na<sub>2</sub>IrO<sub>3</sub> and Li<sub>2</sub>IrO<sub>3</sub>; the SOC and Coulomb interactions act cooperatively to realize the spin-orbit coupled Mott insulating state whose low-energy physics is well described by the pseudospin with effective magnetic moment  $j_{\text{eff}} = 1/2$ . This is in contrast to the antiferromagnetic insulating state in MnTiO<sub>3</sub>, where  $(3d)^5$  electrons form the high-spin S = 5/2 state by the Hund's-rule coupling and the energy gap is opened by the exchange splitting in the antiferromagnetic state. In MgIrO<sub>3</sub> and ZnIrO<sub>3</sub>, we show that the antiferromagnetic solution has a lower energy than the paramagnetic and ferromagnetic ones, but the antiferromagnetic moment is very small  $\sim 0.1 \ \mu_{\rm B}$ . Furthermore, by constructing a multiorbital Hubbard model from the maximally localized Wannier functions (MLWFs) [41-43] and performing the perturbation expansion from the atomic limit, we show that the exchange interactions between the  $j_{eff} = 1/2$ pseudospins are described by the dominant Kitaev-type bonddependent one and the subdominant symmetric off-diagonal ones. The results indicate that the edge-sharing honeycomb network of IrO3 octahedra in MgIrO3 and ZnIrO3 would offer a good playground for the spin-orbital entangled magnetism toward the Kitaev spin liquid. On the other hand, we find that the optimized lattice structure of MnIrO<sub>3</sub> is largely deformed from that for MnTiO<sub>3</sub>, and the band structure near the Fermi level is complicated including both Mn 3d and Ir 5d contributions.

The structure of this paper is as follows. In Sec. II, we describe the details of the LDA+SOC+U calculations and the method to estimate the effective exchange coupling constants. In Sec. III, we present our results for MnTiO<sub>3</sub> (Sec. III A), MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> (Sec. III B), and MnIrO<sub>3</sub> (Sec. III C). In Sec. III B, we discuss the electronic band structure in Sec. III B 1, the transfer integrals in Sec. III B 2, and the effective magnetic interactions in Sec. III B 3. For comparison, we also study the electronic band structure for MgIrO<sub>3</sub> by using the Heyd-Scuseria-Ernzerhof (HSE) hybrid functional approach in Appendix A [44]. The results for ZnIrO<sub>3</sub> are qualitatively similar to those for MgIrO<sub>3</sub>, and detailed in Appendix B. Section IV is devoted to the summary.

#### **II. METHOD**

The *ab initio* calculations are performed by using QUANTUM ESPRESSO [45]. We adopt the fully relativistic and nonrelativistic projector-augmented-wave-method Perdew-Zunger type pseudopotentials for the *A* and *B*-site ions and the O ligands, respectively [46–48]. While we employ the experimental structural data for MnTiO<sub>3</sub> [49] and for MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> [19], we perform structural optimization for the fictitious compound MnIrO<sub>3</sub> starting from the experimental structure for MnTiO<sub>3</sub> with replacement of Ti by Ir; we relax not only the atomic positions within the primitive unit cell but also the lattice translation vectors. In the optimization, we set the minimum ionic displacement to 0.001 Å in the Broyden-Fletcher-Goldfarb-Shanno iteration scheme [50]. Afterwards,



FIG. 2. (a) The energy gap and (b) the antiferromagnetic moment at the Mn site in the *c*-AFM state in MnTiO<sub>3</sub> as functions of the Coulomb repulsion at the Mn site,  $U_{\rm Mn}$ , obtained by the LDA+SOC+U calculations.

we symmetrize the optimal structure within the trigonal space group  $R\bar{3}$ , where the residual stress is less than 30 kbar. In all the calculations, we take the primitive unit cell, and  $4 \times 4 \times 4$ and  $8 \times 8 \times 8$  Monkhorst-Pack **k**-grids for self-consistent and non-self-consistent field calculations, respectively [51]. We set the convergence threshold for the self-consistent field calculations to  $10^{-10}$  Ry. The kinetic energy cutoff is set to 200 Ry for all the cases. In the LDA+SOC+U calculations, we include the Hubbard correction to the Mn 3*d* and Ir 5*d* orbitals with the Coulomb repulsion  $U = U_{Mn}$  and  $U_{Ir}$ , respectively, together with the Hund's-rule coupling  $J_H$ , by assuming  $J_H/U = 0.1$  in the rotationally invariant scheme [52]. For comparison, we also perform the calculations for MgIrO<sub>3</sub> by using HSE hybrid functional; see Appendix A for the details.

We construct the MLWFs of Ir  $5d t_{2g}$  and O 2p orbitals for MgIrO<sub>3</sub> and of Zn 3d, Ir  $5d t_{2g}$ , and O 2p orbitals for ZnIrO<sub>3</sub> for the obtained electronic band structures by using Wannier90 [43]. Note that, in most of the previous studies for other Kitaev candidate materials, the MLWF analyses were performed only for the 5d or  $4d t_{2g}$  orbitals [24,26,27,53,54]. In the present study, however, we include O 2p for both MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> and also Zn 3d for ZnIrO<sub>3</sub>, since we find that they overlap with Ir  $5d t_{2g}$  (see Sec. III B and Appendix B). From the results, we calculate the projected density of states (DOS) for each MLWF orbital. For the Ir  $5d t_{2g}$  orbitals, we also compute the DOS projected onto the spin-orbital coupled bases labeled by the effective angular momentum  $j_{\text{eff}}$ .

Then, we estimate the effective transfer integrals between the Ir  $t_{2g}$  orbitals by using the MLWFs for the  $U_{Ir} = 0$  case; the effective transfer integral between *d* orbital *u* with spin  $\sigma$ at site *i* and *d* orbital *u'* with spin  $\sigma'$  at the neighboring site *i'* is calculated as

$$\tilde{t}_{iu\sigma,i'u'\sigma'} = t_{iu\sigma,i'u'\sigma'} + \sum_{o,p,\sigma_p} \frac{t_{iu\sigma,op\sigma_p} t^*_{i'u'\sigma',op\sigma_p}}{\Delta_{uu'-p} + U_p}, \qquad (1)$$

where the first term  $t_{iu\sigma,i'u'\sigma'}$  denotes the *d-d* direct hopping, and the second term describes the *d-p-d* indirect hoppings via oxygen 2*p* orbitals with spin  $\sigma_p$  at ligand site *o* between *i* and *i'* in the second-order perturbation manner.  $\Delta_{uu'-p}$  denotes the harmonic mean of the energies of orbitals *u* and *u'* measured from that of *p*, and  $U_p$  represents the Coulomb interaction in the 2*p* orbitals. Here,  $U_p$  is taken into account, considering less screening in the present MLWF analyses including the O 2*p* orbitals (see Sec. III B 3). For the further-neighbor transfers, we add *d-p-p-d* indirect hoppings in the third-order perturbation manner.

Finally, we construct the multiorbital Hubbard models for the Ir  $t_{2g}$  orbitals, which are composed of the kinetic hopping term, the crystal-field splitting, the SOC coupling, and the Coulomb interactions, as discussed in detail in Ref. [27], and



FIG. 3. Electronic band structure of MnTiO<sub>3</sub> obtained by the LDA+SOC+U calculations for the *c*-AFM state at  $U_{Mn} = 6$  eV. The right panels display the projected DOS for the relevant orbitals in each ion. The Fermi level is set to zero.

perform the perturbation expansion from the strong limit of the Coulomb interactions in terms of the effective transfer integrals to derive the effective Hamiltonian for the  $j_{eff} = 1/2$ pseudospins of the Ir ions. Only the difference from Ref. [27] lies in the computation of the effective transfer integrals where we take into account the oxygen 2p orbitals explicitly. Note that a similar scheme was used for the *f*-electron cases in Refs. [55,56].

#### III. RESULT

#### A. MnTiO<sub>3</sub>

Before going into the iridium ilmenites, we start with the well-known MnTiO<sub>3</sub> as a reference. This compound is an antiferromagnetic insulator with a collinear Néel order along the *c* axis, which we call the *c*-AFM state hereafter [4]. The energy gap is estimated as  $\simeq 3.18$  eV [57], and the magnetic moment is estimated as  $\simeq 4.55 \ \mu_B$  [4], which is consistent with the high-spin state of Mn<sup>2+</sup> ions with S = 5/2 under the strong Hund's-rule coupling. The electronic band structure was studied by the *ab initio* calculations with the generalized gradient approximation, and the antiferromagnetic insulating nature was reproduced [58].

We here perform the LDA+SOC+U method while changing the Coulomb repulsion at the Mn site,  $U_{Mn}$ . We find that the antiferromagnetic state has a lower energy compared to the paramagnetic and ferromagnetic solutions, while the energy does not depend so much on the direction of the antiferromagnetic moments; the energy difference between the states with in-plane and out-of-plane moments is smaller than 0.1 meV per unit cell for all  $U_{Mn}$ . (Here and hereafter, all the energies are measured per unit cell.) Hence, in the following calculations, we assume the c-AFM state which is observed experimentally [4]. The c-AFM state is insulating even in the absence of  $U_{Mn}$ ; the energy gap  $E_g$  and the magnitude of the antiferromagnetic moment  $|\mathbf{m}_{Mn}|$  are estimated as  $\simeq 1.4 \text{ eV}$ and  $\simeq 3.9 \ \mu_{\rm B}$ , respectively, at  $U_{\rm Mn} = 0$ . Both  $E_g$  and  $|{\bf m}_{\rm Mn}|$ increase with  $U_{\rm Mn}$ , as plotted in Fig. 2. We note that both values of  $E_g$  and  $|\mathbf{m}_{Mn}|$  are slightly smaller than the experimental estimates but approach them for large  $U_{Mn}$ . The large value of  $|\mathbf{m}_{Mn}|$  indicates that the antiferromagnetic moment is composed of the high-spin S = 5/2 state of the Mn ions under the strong Hund's-rule coupling, consistent with the experiment.

Figure 3 shows the electronic band structure of  $MnTiO_3$ in the *c*-AFM state with  $U_{Mn} = 6$  eV. The energy gap opens between the occupied states dominated by the Mn 3*d* and O 2*p* hybridized bands and the unoccupied states dominated by the Ti 3*d* bands. See the projected DOS in the right panels of Fig. 3. The Mn 3*d* bands are largely split by the exchange energy from the *c*-AFM order.

#### B. MgIrO<sub>3</sub> and ZnIrO<sub>3</sub>

### 1. Electronic structure

Let us turn to the iridium ilmenites  $MgIrO_3$  and  $ZnIrO_3$ . Since the two compounds have similar electronic band structures, we focus on  $MgIrO_3$  in this section and present the results for  $ZnIrO_3$  in Appendix **B**.



FIG. 4. (a) Energy measured from the *ab*-AFM state in MgIrO<sub>3</sub> as a function of the Coulomb repulsion at the Ir site,  $U_{\rm Ir}$ , obtained by the LDA+SOC+U calculations. (b) The energy gap and (c) the antiferromagnetic moment at the Ir site in the *ab*-AFM state.

In MgIrO<sub>3</sub>, the lowest-energy state among the different magnetic states which we calculate is the antiferromagnetic state whose moments lie in the ab plane. In principle, the energy depends on the direction of the magnetic moments within the plane, but we do not find any significant energy change by rotating the direction (the energy difference between the



FIG. 5. The electronic band structure of MgIrO<sub>3</sub> obtained by the LDA+SOC calculations ( $U_{\rm Ir} = 0$ ) for the paramagnetic metallic state. The black curves denote the LDA results and the red dashed ones represent the band dispersions obtained by tight-binding parameters estimated by the MLWFs. The right panels display the projected DOS for each orbital. The Fermi level is set to zero.

states whose moments are parallel and perpendicular to one of the Ir-Ir bond directions is less than 0.2 meV for all values of the Coulomb interaction at the Ir site,  $U_{\rm Ir}$ , calculated here). Hence, we measure the energy from the state with moments parallel to the bonds, which we call the *ab*-AFM state, and plot the result in Fig. 4(a). We find that the *ab*-AFM state has the lowest energy in the whole range of  $U_{\rm Ir}$ , except for  $U_{\rm Ir} = 0$  where the system is a paramagnetic metal (see below). The result is consistent with the experiment where the magnetic susceptibility shows the easy-plane anisotropy [19]. We note, however, that the energy difference between the *ab*-AFM and *c*-AFM states is not large and becomes smaller for larger  $U_{\rm Ir}$ . In Figs. 4(b) and 4(c), we show the results of the energy gap  $E_g$  and the magnitude of the magnetic moment of the Ir ion,  $|\mathbf{m}_{Ir}|$ , respectively, as functions of  $U_{Ir}$ . When  $U_{Ir} = 0$ , we obtain  $E_g = 0$  and  $|\mathbf{m}_{Ir}| = 0$ , indicating that the system is a paramagnetic metal. The band structure is shown in Fig. 5. The relevant bands near the Fermi level are dominated by the Ir 5*d* states, which are composed of the lower-energy  $j_{eff} = 3/2$  and higher-energy  $j_{eff} = 1/2$  states split by the SOC, as shown in the projected DOS in the right panels of Fig. 5. The Fermi level lies in the  $j_{eff} = 1/2$  bands; the two bands in the  $j_{eff} = 1/2$  manifold overlap slightly near the Fermi level, forming the metallic state, as shown in the enlarged plot in Fig. 5(b).

When we switch on  $U_{\rm Ir}$ , the system turns into the *ab*-AFM insulating state, as shown in Figs. 4(b) and 4(c). While  $E_g$  increases almost linearly with  $U_{\rm Ir}$ , the gap value is relatively small compared to that for the 3*d* compound MnTiO<sub>3</sub> in



FIG. 6. The electronic band structure of MgIrO<sub>3</sub> obtained by the LDA+SOC+U calculations for the *ab*-AFM state with  $U_{\rm lr} = 3$  eV. The notations are common to those in Fig. 5.

TABLE I. Transfer integrals between the Ir  $t_{2g}$  orbitals on the nearest-neighbor z bond for MgIrO<sub>3</sub>. The values represent the effective transfer integrals from the orbital and spin in the column to those in the row, which are estimated from the MLWF analysis for the band structure at  $U_{Ir} = 0$  in Fig. 5. In each matrix element, we display three values by assuming  $U_p = 0.0, 0.5$ , and 1.0 eV from top to bottom; see the text for details. The unit is in meV. The upper-right half of the table is omitted as the matrix is Hermite conjugate.

	yz ↑	yz↓	$zx \uparrow$	$zx \uparrow$	$xy \uparrow$	$xy \uparrow$
	101					
<i>yz</i> ↑	126					
	138					
	0.00	101				
<i>yz</i> ↓	0.00	126				
	0.00	138				
	1450-13.3i	2.73i	101			
<i>zx</i> ↑	904-12.0i	2.13i	126			
	646-11.1i	1.72i	138			
	2.73i	1450+13.3i	0.00	101		
$zx \downarrow$	2.13i	904+12.0i	0.00	126		
	1.72i	646+11.1i	0.00	138		
	28.0+11.4i	-85.2i	28.0+11.4i	85.2i	-423	
<i>xy</i> ↑	27.3+7.18i	-65.6i	27.3+7.18i	65.6i	-438	
	24.5+5.09i	-54.5i	24.5+5.09i	54.5i	-450	
	-85.2i	8.0-11.4i	85.2i	28.0-11.4i	0.00	-423
$xy \downarrow$	-65.6i	27.3-7.18i	65.6i	27.3-7.18i	0.00	-438
	-54.5i	24.5-5.09i	54.5i	24.5-5.09i	0.00	-450

Fig. 2(a). In addition,  $|\mathbf{m}_{\rm Ir}|$  grows slowly with  $U_{\rm Ir}$  and has a small value of  $|\mathbf{m}_{\rm Ir}| \simeq 0.1 \ \mu_{\rm B}$ . The band structure of the *ab*-AFM insulating state is shown in Fig. 6 at  $U_{\rm Ir} = 3$  eV. In the *ab*-AFM state, the energy gap is opened between the two  $j_{\rm eff} = 1/2$  bands by  $U_{\rm Ir}$ , while the  $j_{\rm eff} = 3/2$  bands slightly hybridize with them. This is a typical electronic band structure of the spin-orbit coupled Mott insulator, common to the Kitaev candidate materials like  $A_2 {\rm IrO}_3$  ( $A = {\rm Na}$  and Li) and  $\alpha$ -RuCl<sub>3</sub> [24,29,33,34,59–62]. The results suggest that the small magnetic moment in the *ab*-AFM state arises from the spin-orbit entangled moments described by the  $j_{\rm eff} = 1/2$ pseudospin degree of freedom.

For comparison, we also study the electronic band structure by using the HSE hybrid functional, which includes the electron exchanges in a different manner from the LDA+SOC+Umethod; see Appendix A. The band structure and the projected DOS are similar to the results for  $U_{\rm Ir} = 2-3$  eV obtained the LDA+SOC+U calculation. This supports our conclusion on the electronic state discussed above.

ZnIrO<sub>3</sub> shows similar behaviors; see Appendix B. A difference from MgIrO<sub>3</sub> observed in our calculations is that the *ab*-AFM state has a slightly higher energy than the *c*-AFM state, which is not compatible with the experiment [19]. Nonetheless, the electronic band structure indicates that this compound is also categorized into a spin-orbit coupled Mott insulator with the active  $j_{eff} = 1/2$  pseudospins, similar to MgIrO<sub>3</sub>.

### 2. Transfer integrals

To examine whether the iridium ilmenites have dominant Kitaev-type bond-dependent interactions between the  $j_{eff}$  = 1/2 pseudospins, we first perform the MLWF analysis for the case of MgIrO<sub>3</sub> by using the band structure at  $U_{Ir} = 0$  in Fig. 5. We find that the tight-binding model obtained from the MLWF analysis well reproduces the *ab initio* results, as shown in Fig. 5. Then, following the procedures in Sec. II, we estimate the effective transfer integrals between the Ir  $t_{2g}$ orbitals, including both direct and indirect contributions. We present the results for the nearest-neighbor z bond, where the effective Kitaev interaction takes the form of  $S_i^z S_j^z$  [see Eq. (2) below], in Table I. Here, we show the estimates obtained by assuming  $U_p = 0.0, 0.5, and 1.0$  eV. The values on the x and y bonds are obtained by cyclic permutations of {xyz}.

As shown in Table I, we find that the most dominant transfer integral is the one between the yz and zx orbitals, which plays an important role in generating the Kitaev-type interaction [63]. We note that the value at  $U_p = 0$  is considerably larger compared to those in  $A_2$ IrO<sub>3</sub> (A = Na and Li) and  $\alpha$ -RuCl<sub>3</sub> [26,27,53], but it is rapidly reduced by  $U_p$  and becomes comparable to those for  $U_p = 1.0$  eV. This appears to justify the inclusion of  $U_p$  to compensate the less screening in the present MLWF analysis including the O 2p orbitals. The *d*-*p* energy differences are estimated as  $\Delta_{d-p_{x,y}} \simeq 2.75 \text{ eV}$ and  $\Delta_{d-p_z} \simeq 0.92$  eV for the  $p_{x,y}$  and  $p_z$  orbitals (almost independent of the  $t_{2g}$  orbitals), respectively. We note that  $\Delta_{d-p_z}$  is rather small and in a similar energy scale of the d-ptransfers, which might hamper the perturbation theory, but the inclusion of  $U_p$  reconciles this situation. In addition, the small  $\Delta_{d-p_z}$  suggests that further-neighbor transfers can be relevant through the indirect transfers. Indeed, our MLWF analyses find that the second- and third-neighbor transfer integrals, which arise dominantly from the d-p-p-d indirect transfers,



FIG. 7. Coupling constants for the nearest-neighbor pseudospins in MgIrO<sub>3</sub> as functions of the Coulomb repulsion at the Ir site,  $U_{\rm Ir}$ ; see Eq. (2). The Hund's-rule coupling  $J_{\rm H}$  and the spin-orbit coupling coefficient  $\lambda$  are set to  $J_{\rm H}/U_{\rm Ir} = 0.1$  and  $\lambda = 0.4$  eV, respectively. The data connected by the blue, red, green, and yellow lines represent the Heisenberg J, Kitaev K, and symmetric off-diagonal couplings  $\Gamma$ and  $\Gamma'$ , respectively; the dotted, dashed, and solid lines indicate the data obtained by taking  $U_p = 0.0, 0.5$ , and 1.0 eV, respectively.

include the matrix elements whose magnitudes are comparable to the nearest-neighbor ones at  $U_p = 0$ . Note, however, that the values are more rapidly reduced by  $U_p$  than the nearest-neighbor ones, as they are higher-order contributions in the perturbation theory.

We obtain similar results for ZnIrO<sub>3</sub>. The results are summarized in Appendix B. It is noted that the nearest-neighbor xy-yz transfer is one order of magnitude larger for ZnIrO<sub>3</sub> compared to that for MgIrO<sub>3</sub>. This is presumably due to the larger buckling of the Ir honeycomb planes in ZnIrO<sub>3</sub>.

#### 3. Effective interaction between $j_{eff} = 1/2$ pseudospins

Using the perturbation expansion from the atomic limit of the multiorbital Hubbard model based on the MLWF analysis in Table I, we derive an effective model for the  $j_{eff} = 1/2$ pseudospin degree of freedom (see Sec. II). The effective pseudospin Hamiltonian on the nearest-neighbor z bond is summarized as

$$\mathcal{H}_{ij}^{(z)} = \mathbf{S}_{i}^{\mathrm{T}} \begin{bmatrix} J & \Gamma & \Gamma' \\ \Gamma & J & \Gamma' \\ \Gamma' & \Gamma' & J + K \end{bmatrix} \mathbf{S}_{j}, \qquad (2)$$

where  $\mathbf{S}_i = (S_i^x, S_i^y, S_i^z)^{\mathrm{T}}$  denotes the pseudospin operator at site *i*; *J*, *K*,  $\Gamma$ , and  $\Gamma'$  denote the coupling constants for the Heisenberg, the Kitaev, and the two different types of symmetric off-diagonal interactions, respectively.

The coupling constants estimated for MgIrO<sub>3</sub> are plotted in Fig. 7 as functions of  $U_{Ir}$  with  $J_H/U_{Ir} = 0.1$  and the spin-orbit coupling coefficient  $\lambda = 0.4$  eV [26]. The three lines for each coupling constant display the results for  $U_p = 0.0$ , 0.5, and 1.0 eV. We find that the ferromagnetic Kitaev interaction K is always predominant, and the symmetric off-diagonal interaction  $\Gamma$  is subdominant; the Heisenberg interaction J and the other symmetric off-diagonal interaction  $\Gamma'$  are vanishingly



FIG. 8. Coupling constants for the nearest-neighbor pseudospins in ZnIrO<sub>3</sub> as functions of  $U_{\rm Ir}$  with  $J_{\rm H}/U_{\rm Ir} = 0.1$  and  $\lambda = 0.4$  eV. The notations are common to those in Fig. 7.

small. This means that the low-energy magnetic property of the spin-orbit coupled Mott insulating state in MgIrO<sub>3</sub> is well described by the model with *K* and  $\Gamma$  for the nearest-neighbor sites. The model is called the *K*- $\Gamma$  model and has been studied in the context of the Kitaev spin liquid, especially for one of the candidates  $\alpha$ -RuCl<sub>3</sub> [64,65].

We note that the magnitude of K is significantly large compared to those for  $A_2$ IrO<sub>3</sub> (A =Na and Li) [25–27,38,66,67] when we assume  $U_p = 0$ , mainly due to the contributions from the large yz-zx transfer in Table I. However, all the coupling constants are substantially reduced by taking into account  $U_p$ , as shown in Fig. 7, according to the reduction of the effective transfer integrals. For instance, for  $U_p = 1$  eV and  $U_{Ir} = 3$  eV, the value of K is reduced to -27.1 meV, which is comparable to that for  $A_2$ IrO<sub>3</sub>. Although the proper values of  $U_p$  and  $U_{Ir}$  are unknown, the important conclusion is that the nearest-neighbor magnetic interactions in MgIrO<sub>3</sub> can be well described by the K- $\Gamma$  model irrespective of  $U_p$  and  $U_{Ir}$ .

As discussed in Sec. III B 2, there are substantial furtherneighbor transfers through the indirect contributions via the

TABLE II. Structural parameters of the optimized lattice structure of MnIrO<sub>3</sub> with the trigonal  $R\bar{3}$  symmetry: the lattice constants *a* and *c* for the conventional unit cell shown in Fig. 1(a), the Wyckoff positions of the Mn, Ir, and O ions, and the bond distances *d* and angles  $\theta$  for neighboring ions within the same honeycomb layer.

a (Å) c (Å)	4.9979 13.159
Mn (6c) Ir (6c) O (18f)	(0, 0, 0.34535) (0, 0, 0.15473) (0.35348, 0.010833, 0.077788)
$egin{aligned} & d_{\mathrm{Mn-Mn}} \ (\mathrm{\AA}) \ & d_{\mathrm{Mn-O}} \ (\mathrm{\AA}) \ &  heta_{\mathrm{Mn-OMn}} \ (\mathrm{\r{A}}) \ &  heta_{\mathrm{Mn-O-Mn}} \ (^{\circ}) \end{aligned}$	2.9028 1.8828, 1.9803 97.398
$egin{array}{llllllllllllllllllllllllllllllllllll$	2.9026 2.0133, 2.0700 90.596



FIG. 9. The electronic band structure of MnIrO<sub>3</sub> obtained by the LDA+SOC+U calculations for the state with *c*-FM for Mn and *ab*-FM for Ir with  $U_{Mn} = 6 \text{ eV}$  and  $U_{Ir} = 3 \text{ eV}$ . The right panels display the projected DOS for each orbital. The Fermi level is set to zero.

O 2p orbitals. They give rise to sizable further-neighbor exchange interactions, while the coupling constants are reduced by  $U_p$  more quickly than the nearest-neighbor ones as they are higher-order processes. For instance, assuming  $U_{\rm Ir} = 3 \, {\rm eV}$ and  $U_p = 1$  eV, the dominant second-neighbor contributions within the same honeycomb layer are the antiferromagnetic  $K \simeq 10.3$  meV and the ferromagnetic  $J \simeq -8.05$  meV, while the dominant third-neighbor one is the antiferromagnetic  $J \simeq$ 9.06 meV. We note that the second-neighbor bonds do not have the inversion centers, and hence, include the subdominant Dzyaloshinskii-Moriya interaction, whose energy scale is estimated as  $\simeq$  5.76 meV. In addition, we expect contributions from the interlayer couplings through the MgO<sub>6</sub> layer. We speculate that the rather small value of the Curie-Weiss temperature -67.1 K in MgIrO<sub>3</sub> could be accounted for by a balance among the exchange couplings including such further-neighbor contributions [19]. While the magnetic structure in the ordered phase is experimentally unknown thus far, it will also be determined under the competing exchange interactions; it is left for future study to precisely construct the effective pseudospin model by determining the values of  $U_{\rm Ir}$ ,  $J_{\rm H}$ , and  $U_p$ , and to investigate the stable magnetic structure in the ground state.

In the case of ZnIrO<sub>3</sub>, we plot the effective coupling constants in Fig. 8. The result indicates that, similar to MgIrO<sub>3</sub>, the magnetic exchange interactions between the neighboring pseudospins are well described by the dominant *K* and the subdominant  $\Gamma$ , while the other symmetric off-diagonal interaction  $\Gamma'$  has a small but non-negligible value, in contrast to the case of MgIrO<sub>3</sub>. This is due to the contribution from the xy-yz transfer discussed in Sec. III B 2.

# C. MnIrO<sub>3</sub>

Finally, we discuss the fictitious compound MnIrO<sub>3</sub>. Since this compound has not been synthesized thus far, we perform the structural optimization starting from the lattice structure of MnTiO<sub>3</sub>, as described in Sec. II. The optimal structural data are shown in Table II. We find that the structure of MnIrO<sub>3</sub> is significantly distorted from that of MnTiO<sub>3</sub>. In particular, the Mn-O bond lengths  $d_{Mn-O}$  are largely contracted from  $d_{Mn-O} = 2.1060$  Å and 2.3026 Å for MnTiO<sub>3</sub> to 1.8828 Å and 1.9803 Å, and the Mn-O-Mn bond angle  $\theta_{Mn-O-Mn}$  is largely widened from  $\theta_{Mn-O-Mn} = 87.956^{\circ}$  for MnTiO<sub>3</sub> to 97.398°, as shown in Table II. We speculate that the significant deformation could be related to the difficulty in the bulk synthesis of MnIrO<sub>3</sub>.

We study the electronic band structure for the optimal lattice structure by the LDA+SOC+U calculation. We assume  $U_{\rm Mn} = 6$  eV and  $U_{\rm Ir} = 3$  eV. From energy comparison between different magnetic states for Mn and Ir sites, we find that the state with an out-of-plane ferromagnetic order of Mn moments (*c*-FM) and an in-plane ferromagnetic order of Ir moments (*ab*-FM) has the lowest energy, while the magnetic moments are reduced from the MnTiO<sub>3</sub> and MgIrO<sub>3</sub> cases:  $|\mathbf{m}_{\rm Mn}| \simeq 3.4 \ \mu_{\rm B}$  and  $|\mathbf{m}_{\rm Ir}| \simeq 0.008 \ \mu_{\rm B}$ . The second lowest energy is the state with *c*-AFM for Mn ( $|\mathbf{m}_{\rm Mn}| \simeq 3.4 \ \mu_{\rm B}$ ) and *ab*-AFM for Ir ( $|\mathbf{m}_{\rm Ir}| \simeq 0.005 \ \mu_{\rm B}$ ), whose energy is higher by  $\sim 69$  meV.

The electronic structure for MnIrO<sub>3</sub> with c-FM for Mn and ab-FM for Ir is shown in Fig. 9. We find that, in contrast to MnTiO<sub>3</sub>, MgIrO<sub>3</sub>, and ZnIrO<sub>3</sub>, the system is metallic and the relevant bands near the Fermi level are composed of the hybridized ones between the Mn 3d, Ir 5d, and O 2p orbitals. Notably, we find that the valence of Ir ions is considerably different from those for MgIrO<sub>3</sub> and ZnIrO<sub>3</sub>. By integrating the projected DOS below the Fermi level, we obtain  $Ir^{2.02+}$ (6.98 5d electrons per Ir ion) for MnIrO<sub>3</sub>, which is far from  $Ir^{3.66+}$  (5.34 5*d* electrons) for MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> at  $U_{Ir} =$ 3 eV. These results suggest that the low-energy physics of the Ir honeycomb network in MnIrO<sub>3</sub> is not properly described by the effective  $j_{eff} = 1/2$  pseudospins which are expected for  $Ir^{4+}$ . On the other hand, we find that the valence of Mn ions in MnIrO<sub>3</sub> is similar to that for MnTiO<sub>3</sub>:  $Mn^{2.05+}$  for MnIrO<sub>3</sub> and Mn<sup>1.89+</sup> for MnTiO<sub>3</sub>, both of which are close to  $Mn^{2+}$ . Such unusual valence states could also be related to the stability of MnIrO<sub>3</sub>.

### **IV. SUMMARY**

To summarize, we have studied the electronic and magnetic properties of the iridium ilmenites MgIrO<sub>3</sub> and ZnIrO<sub>3</sub>, in comparison with the conventional antiferromagnetic insulator MnTiO<sub>3</sub>. From the *ab initio* calculations, we showed that both Ir compounds have typical electronic band structures of the spin-orbit coupled Mott insulator: the low-energy Ir 5*d* bands are split into the  $j_{\text{eff}} = 1/2$  doublet and the  $j_{\text{eff}} = 3/2$  quartet by the spin-orbit coupling, and the half-filled  $j_{\text{eff}} = 1/2$  doublet is further split by the Coulomb interaction. By using the





FIG. 10. The electronic band structure for the paramagnetic state of MgIrO<sub>3</sub> obtained by using the HSE hybrid functional with the Hartree-Fock mixing parameter  $\alpha = 0.05$ . The notations are common to those in Fig. 6.

multiorbital Hubbard model obtained by the MLWF analysis of the band structure, we found that the low-energy magnetic properties are well described by the  $j_{\text{eff}} = 1/2$  pseudospins interacting with the predominant Kitaev-type bond-dependent interaction and the subdominant symmetric off-diagonal interactions; more specifically, MgIrO<sub>3</sub> and ZnIrO<sub>3</sub> are well approximated by the *K*- $\Gamma$  and *K*- $\Gamma$ - $\Gamma'$  models, respectively, while further-neighbor contributions are expected to be relevant as well. In addition, we calculated the electronic band structure for the fictitious compound MnIrO<sub>3</sub> with structural optimization, and showed that it does not provide the  $j_{\text{eff}} = 1/2$  physics because of the metallic nature and the different ionic state of Ir.

Our results indicate that the iridium ilmenites  $MgIrO_3$  and  $ZnIrO_3$  offer a good platform for exotic magnetism described



FIG. 11. (a) Energy measured from the *c*-AFM state in ZnIrO<sub>3</sub> as a function of the Coulomb repulsion at the Ir site,  $U_{\rm Ir}$ , obtained by the LDA+SOC+U calculations. (b) The energy gap and (c) the antiferromagnetic moment at the Ir site in the *c*-AFM state.

by the spin-orbital entangled  $j_{\text{eff}} = 1/2$  moments. The importance of  $\Gamma$  as well as  $\Gamma'$  suggests a similarity to  $\alpha$ -RuCl<sub>3</sub> rather than  $A_2$ IrO<sub>3</sub> (A = Na and Li), probably due to structural similarity in the lack of cations at the hexagon centers in the honeycomb layers. However, the magnitudes of the coupling constants would be much larger than those for the

4*d*-electron compound  $\alpha$ -RuCl<sub>3</sub> and comparable to those for the 5*d*-electron compounds  $A_2$ IrO<sub>3</sub>, due to the larger spatial extent of the electron wave functions and the weaker Coulomb interactions. Thus, the Ir ilmenites are the materials that inherit the structural aspect from  $\alpha$ -RuCl<sub>3</sub> and the electronic aspect from  $A_2$ IrO<sub>3</sub>. Our findings would not only be helpful to understand the magnetism in these compounds but also provide a guide toward the realization of the Kitaev spin liquid by designing the magnetic interactions.

# ACKNOWLEDGMENTS

The authors thank fruitful discussions with K. Nomura and A. Tsukazaki. The crystal structures in Figs. 1(a) and 1(b) were visualized by VESTA [68]. Reference [69] was referred to

for the Brillouin zone and the symmetric points in Fig. 1(c). Parts of the numerical calculations have been done using the facilities of the Supercomputer Center, the Institute for Solid State Physics, the University of Tokyo. This work was supported by JST CREST (JP-MJCR18T2), and JSPS KAKENHI Grants No. 19H05825 and No. 20H00122.

### APPENDIX A: HSE APPROACH FOR MgIrO<sub>3</sub>

In this Appendix, we present the electronic band structure for the paramagnetic insulating state of MgIrO<sub>3</sub> by using the HSE hybrid functional [44]. We adopt the norm-conserving fully-relativistic Perdew-Burke-Ernzerhof type pseudopotentials for all the atoms [70–72] and  $8 \times 8 \times 8$  Monkhorst-Pack **k**-grids [51] for the self-consistent field calculation without the Hubbard correction. In the HSE treatment, we set



FIG. 12. The electronic band structure of ZnIrO<sub>3</sub> obtained by the LDA+SOC calculations ( $U_{\rm Ir} = 0$ ) for the paramagnetic metallic state. The notations are common to those in Fig. 5.



FIG. 13. The electronic band structure of ZnIrO<sub>3</sub> obtained by the LDA+SOC+U calculations for the *c*-AFM state with  $U_{\rm Ir} = 3$  eV. The notations are common to those in Fig. 6.

	yz ↑	yz↓	$zx \uparrow$	$zx \uparrow$	$xy \uparrow$	$xy\uparrow$
	41.9					
<i>yz</i> ↑	77.0					
	94.7					
	0.00	41.9				
<i>yz</i> ↓	0.00	77.0				
	0.00	94.7				
	1190 — 12.0i	-41.2i	41.9			
<i>zx</i> ↑	765 — 11.2i	-29.9i	77.0			
	553 — 10.6i	-23.8i	94.7			
	-41.2i	1190 + 12.0i	0.00	41.9		
<i>zx</i> ↓	-29.9i	765 + 11.2i	0.00	77.0		
	-23.8i	553 + 10.6i	0.00	94.7		
	-258 + 8.05i	-72.6i	-258 + 8.05i	72.6i	-312	
<i>xy</i> ↑	-198 + 5.29i	-58.6i	-198 + 5.29i	58.6i	-344	
	-166 + 3.84i	-49.9i	-166 + 3.84i	49.9i	-366	
	-72.6i	-258 - 8.05i	72.6i	-258 - 8.05i	0.00	-312
<i>xy</i> ↓	-58.6i	-198 - 5.29i	58.6i	-198 - 5.29i	0.00	-344
	-49.9i	-166 - 3.84i	49.9i	-166 - 3.84i	0.00	-366

TABLE III. Transfer integrals between the Ir  $t_{2g}$  orbitals on the nearest-neighbor z bond for ZnIrO<sub>3</sub>. The notations are common to those in Table I.

the Hartree-Fock mixing parameter to  $\alpha = 0.05$ , which was adopted in the previous calculations for Na<sub>2</sub>IrO<sub>3</sub> [73]. The result is shown in Fig. 10. We find that the overall band structure is qualitatively similar to that obtained by the LDA+SOC+Umethod for a moderate value of  $U_{\rm Ir}$  in Sec. III B 1. In particular, there is an energy gap of  $E_g \simeq 0.3$  eV between the two  $j_{\rm eff} = 1/2$  bands, indicating that the system is a spin-orbit coupled Mott insulator, similar to the LDA+SOC+U results. The comparison suggests that it is reasonable to take  $U_{\rm Ir} = 2$ -3 eV in the LDA+SOC+U calculations.

# **APPENDIX B: RESULTS FOR ZnIrO<sub>3</sub>**

In this Appendix, we present the results for ZnIrO<sub>3</sub>. Figure 11(a) shows the energy comparison between different magnetic states. Similar to MgIrO<sub>3</sub> in Sec. III B, ZnIrO<sub>3</sub> is a paramagnetic metal at  $U_{Ir} = 0$ , whose electronic band structure is similar to that for MgIrO<sub>3</sub> as shown in Fig. 12. Upon introducing  $U_{Ir}$ , however, the lowest-energy state is the *c*-AFM state in contrast to the *ab*-AFM in MgIrO<sub>3</sub>, while the energy difference  $\Delta E$  to the second-lowest state, the *ab*-AFM, is not so large (less than 0.01 eV), as shown in Fig. 11(a). The result indicates that ZnIrO<sub>3</sub> has an out-of-plane magnetic anisotropy, although the experiment shows an easy-plane anisotropy [19]. Nonetheless, the behaviors of

the energy gap and the antiferromagnetic moment are similar to those for MgIrO<sub>3</sub>, as shown in Figs. 11(b) and 11(c), respectively.

Figure 13 shows the electronic band structure of the c-AFM insulating state for ZnIrO<sub>3</sub>. Again, the result is similar to that for MgIrO<sub>3</sub>: the energy gap opens between the two  $j_{\rm eff} = 1/2$  bands, realizing the spin-orbit coupled Mott insulating state. In this case, we perform the MLWF analysis including the Zn 3d orbitals as mentioned in Sec. II, since the energy levels overlap with those for the O 2p orbitals. We plot the obtained tight-binding band structure in Fig. 12, which well reproduce the *ab initio* result. In Table III, we show the effective transfer integrals for the Ir  $t_{2g}$  orbitals on the nearestneighbor z bond estimated from the MLWF analysis. In the calculations, we use  $\Delta_{d-p_{x,y}} \simeq 3.09 \text{ eV}$  and  $\Delta_{d-p_z} \simeq 1.02 \text{ eV}$  estimated from the MLWF analysis. Similar to the case of MgIrO<sub>3</sub>, the dominant effective transfer is between the yz and zx orbitals. Meanwhile, the xy-yz transfer has much larger value than that for MgIrO<sub>3</sub>, presumably due to the larger buckling of the Ir honeycomb planes, as mentioned in the main text; the height difference in the c direction between two neighboring Ir sites is  $1.3341 \times 10^{-2}c$  for ZnIrO<sub>3</sub>, while it is  $1.5734 \times 10^{-3}c$  for MgIrO<sub>3</sub>. The estimates of the effective coupling constants between the pseudospins are shown in Fig. 8.

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