Prediction of pressure-induced stabilization of noble-gas-atom compounds with alkali oxides and alkali sulfides

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The cubic antifluorite structure comprises a fcc sublattice of anions with cations on the tetrahedral sites. The voids in the antifluorite structure that are crucial for superionicity in Li_2O might also act as atomic traps. Trapping of guest atoms and small molecules within voids of a host structure leads to the formation of what are known as clathrate compounds. Here we investigate the possibility of trapping helium or larger neon guest atoms under pressure within alkali-metal oxide and sulfide structures. We find stable helium and neon-bearing compounds at very low pressures. These structures are stabilized by a reduction in volume from incorporation of helium or neon atoms within the antifluorite structure. We predict that NeCs₂S could be stable at ambient pressure. Our study suggests a novel class of alkali oxide and sulfide materials incorporating noble-gas atoms that might potentially be useful for gas storage.

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I. INTRODUCTION

Alkali-metal oxides are used in catalyzing reactions [1], capture and storage of CO₂ [2], and gas detectors and for lowering the work function of photocathodes [3]. Lithium oxide (Li₂O) is a key battery material, and it is also used within the nuclear industry. At low pressures alkali oxides [4-13] and sulfides [14–19] normally crystallize in the antifluorite structure of space group $Fm\bar{3}m$, which contains octahedral voids (see Fig. 1). Li₂O exhibits superionic conductivity at temperatures above 1200 K in which diffusing Li⁺ ions carry electrical current by hopping from one void to another, while the oxygen atoms remain within a rigid framework [20,21]. The voids in the antifluorite structure that are crucial for superionicity in Li₂O might also act as atomic traps. Trapping of guest atoms and small molecules within voids of a host structure can lead to the formation of what are known as clathrate compounds [22]. Clathrates involving many different guest species have been observed, such as clathrate hydrates with inclusion of helium, neon, argon [23-25], or other noblegas atoms. Trapped noble-gas atoms have also been found in alkali metals [26] and oxides [27,28] under pressure. Such compounds have been reviewed by Grochala [29].

Here we investigate the possibility of trapping He or larger Ne guest atoms under pressure within alkali-metal oxide and sulfide structures. By studying different noble-gas atoms, alkali oxides, and sulfides, we are able to assess the effects of changing both the host and guest species. At zero pressure the thermodynamic driving force for including He or Ne atoms within the alkali oxides or sulfides is small. The inertness of He and Ne suggests that they might be included within structures containing voids without substantial changes in the host structure. Structures containing voids are unlikely to be stable at high pressures, and it is more likely that the thermodynamically stable state at high pressures of, say, a mixture of He and Li₂O will consist of hcp He and a dense high-pressure phase of Li₂O. The energetic stability of structures consisting of He or Ne atoms trapped in the antifluorite structures of alkali oxides and sulfides therefore rests on a delicate balance of competing effects.

The ambient and high-pressure structures of alkali-metal sulfides have been studied extensively, and good agreement between experiment and the results of first-principles density-functional-theory (DFT) calculations has been found [8]. There is also good agreement between experiment and DFT results for alkali-metal oxides under ambient conditions, although their high-pressure behavior has not been studied in depth experimentally, except for Li₂O [7,9].

A transition from the antifluorite to an orthorhombic anticotunnite structure of *Pnma* symmetry has been observed in Li₂O at pressures around 50 GPa [7,9]. Transitions from the antifluorite to anticotunnite structures have also been reported in Li₂S at 12 GPa [15], Na₂S at 7 GPa [16], and Rb₂S below 0.7 GPa [18]. Interestingly, the anticotunnite structure has not been observed in K₂S, and a distorted Ni₂In-type structure of space group *P*6₃/*mmc* has instead been found at 6 GPa [17]. A transition from the antifluorite to anticotunnite structure has been observed in Rb₂S below 0.7 GPa, followed by a transition to a Ni₂In-type structure at 2.6 GPa [18]. Cs₂S has

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FIG. 1. Crystal structure of antifluorite alkali-metal oxide with and without helium atoms. (a) The antifluorite structure of Na_2O . The Na atoms are shown in purple, and oxygen atoms are in red. The Na atoms occupy tetrahedral positions, and one of the Na atoms is shown at the center of its green tetrahedron. (b) He atoms (light blue) are included within the octahedral voids, and one of the octahedrons is highlighted in purple with a He atom visible at its center.

been reported to crystallize in the anticotunnite structure [14] under ambient conditions and to transform into a distorted Ni_2In -type structure by about 5 GPa [19].

II. METHODS

We used the *ab initio* random structure searching (AIRSS) method and the CASTEP plane-wave DFT code [30] to find stable structures of alkali oxides and sulfides containing He or Ne atoms. In the AIRSS approach randomly chosen structures are relaxed to local minima in the enthalpy [31–33]. AIRSS has been successfully applied to many systems, including alkali metals [34] and various oxides such as carbon monoxide [35,36]. The electronic structures and enthalpies were calculated using the CASTEP code. Very similar results were obtained with the projector augmented wave (PAW) method implemented in the Vienna Ab initio Simulation Package (VASP) [37].

We performed AIRSS calculations for a variety of Na/O/He stoichiometries at a pressure of 15 GPa. The stablest Na/O compound at 15 GPa was found to be Na₂O in the antifluorite structure, as expected, and we found the anticotunnite structure and a Ni₂In-type structure of space group $P6_3/mmc$. We also found a structure of chemical composition HeNa2O in which Na₂O adopts the antifluorite structure and the He atoms sit at the octahedral voids, so that the $Fm\bar{3}m$ symmetry of the antifluorite structure is maintained. The HeNa₂O structure was found to be slightly more stable than antifluorite Na₂O at 15 GPa. We proceeded to investigate the possibility of stable antifluorite structures of alkali oxides and sulfides with He or Ne atoms placed at the octahedral voids. We then widened our study to include other competitive structures of the alkali oxides and sulfides. As shown in Tables I, II, and III, we have calculated the cell parameters of K₂O, He, and Ne with several different exchange-correlation functionals and pseudopotentials and compared them with experimental data. The results demonstrate the necessity of using pseudopotentials with nine valence electrons for alkali-metal elements. The lattice parameters of solid He calculated by DFT are about 10% lower than in the experimental data. The deviations are mainly attributed to nuclear quantum effects and zero-point energy. Other ab initio methods, such as diffusion quantum Monte Carlo,

TABLE I. Lattice constant (Å) of K_2O with different exchange	;e
correlation functionals and K pseudopotentials.	

Exchange-correlation	Valence electrons	
functionals	$3p^{6}4s^{1}$	$3s^23p^64s^1$
optB88-vdW [38]	6.435	6.382
optB86b-vdW [38]	6.432	6.373
vdW-DF2 [39]	6.557	6.518
DFT-D2 [40]	6.452	6.405
DFT-D3 [41]	6.494	6.436
LDA (local density approximation)	6.272	6.488
PBE	6.537	6.487
PBEsol [42]	6.413	6.347
Experiment (317 K) [43]	6.436	

can be performed to obtain accurate results in excellent agreement with experiment [47,48]. Grimme's dispersion-corrected (DFT-D) methods [40,41] perform very well in this test; however, the parameters were fitted to experimental data at ambient pressure. Therefore they may not be suitable for calculations at high pressures. The van der Waals density functional (vdW-DF) corrections of Dion et al. [49] have a nonlocal term that is dependent on the electron density, which should be more appropriate than DFT-D methods at high pressure. Therefore we have used the optB88-vdW functional [38] and pseudopotentials with nine valence electrons for alkali metals in our plane-wave calculations. A basis set energy cutoff of 800 eV was used, except for Na compounds, for which a higher cutoff energy of 980 eV was used. The Brillouin zone was sampled using a k-point grid spacing of $2\pi \times 0.02 \text{ Å}^{-1}$ for the final converged results reported in this paper. We have also performed calculations using Heyd-Scuseria-Ernzerhof (HSE06) hybrid functionals [50], which provide accurate electronic band gaps for Na₂O and HeNa₂O when using pseudopotentials with seven valence electrons.

III. RESULTS AND DISCUSSION

In addition to the antifluorite and anticotunnite structures, we considered the CdCl₂ ($R\bar{3}m$), γ US₂ ($P\bar{6}2m$), and CaCl₂ (Pnnm) structures of the oxides and Pnnm, Pmma, and the $P6_3/mmc$ structures of the alkali-metal sulfides. We found that the enthalpy-pressure curves of the Pmma phase reported for K₂S in Ref. [17] were very close to those of the $P6_3/mmc$ structure for the sulfides from Li₂S to Cs₂S at the pressures studied, and therefore we have not reported results for the

TABLE II. Lattice constants (Å) of hcp He (18 MPa).

Exchange-correlation	Lattice constants	
functionals	а	С
optB88-vdW	2.885	4.756
DFT-D3	2.712	4.444
LDA	2.426	3.988
PBE	2.864	4.699
PBEsol	3.016	4.948
Experiment (3.3 K) [44]	3.460	5.600

Exchange-correlation	Pressure (GPa)		
functionals	0	10	
optB88-vdW	4.264	3.560	
DFT-D3	4.345	3.601	
LDA	3.852	3.442	
PBE	4.510	3.619	
PBEsol	4.560	3.563	
Experiment	4.42 (4.2 K) [45]	3.567 (300 K) [46]	

TABLE III. Lattice constant (Å) of fcc Ne.

Pmma structure. The energetically competitive structures were relaxed, and their relative enthalpies are reported in Fig. 2. Interestingly, we found that the transition from the antifluorite to anticotunnite structure in Na₂O occurs at fairly low pressures, but at higher pressures a transition back to antifluorite occurs with included He atoms. Further details of the phase transition pressures are reported in the Supplemental Material [51].

Consider a static lattice calculation of the total enthalpy H = U + pV, where U is the total internal energy, p is the pressure, and V is the volume. Taking Na_2O + He as an example, the reduction in the total volume on forming HeNa₂O ($Fm\bar{3}m$) at 20 GPa corresponds to about 1/4 of the volume of a He atom in hcp He at the same pressure. We find that the formation of HeNa₂O ($Fm\bar{3}m$) + hcp He

(a) Li₂O

from He + Na₂O ($Fm\bar{3}m$) increases the total internal energy by 244 meV per formula unit, but the pV term decreases by 486 meV per formula unit. This shows that although the interactions between the He atoms and the host antifluorite Na₂O are not energetically favorable, the reduction in volume from inclusion of the He atoms lowers the overall enthalpy. The pressure derivative of the enthalpy is equal to the volume (dH/dp = V), so that the slopes of the curves in Fig. 2(b) give the volumes relative to the reference HeNa₂O ($Fm\bar{3}m$) phase (denoted by the dash-dotted line). The stablest form at low pressures is Na₂O ($Fm\bar{3}m$) + hcp He, which transforms into Na₂O (Pnma) + hcp He at 9.3 GPa. At 13 GPa a transformation occurs to HeNa₂O ($Fm\bar{3}m$), which is stable up to just above 100 GPa. We observe from the slopes of the enthalpy-pressure curves in Fig. 2(b) that at 13 GPa the volume of HeNa₂O ($Fm\bar{3}m$) is smaller than that of the other $Na_2O + He$ phases. The thermodynamic driving force for the initial incorporation of He atoms is therefore the associated reduction in total volume. A maximum in the enthalpy reduction from He inclusion in Na₂O occurs at about 40 GPa. At higher pressures the stability of the inclusion compound is reduced because the voids in the compressed antifluorite structure are no longer large enough to accommodate the He atoms, which results in an increase in the internal energy, and HeNa₂O $(Fm\bar{3}m)$ eventually becomes unstable to the formation of the $P6_3/mmc$ structure just above 100 GPa (see Fig. 2).

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The transition pressures for noble-gas inclusion are lower for the sulfides than the oxides because the larger size of the S

(k) Li₂S

0 0 0 -0.4 0.2 -0.4-0.8 0.8 -0.4(b) $N\overline{a_{n}C}$ (g) Na₂S (1) Na'S 0.2 0.2 0.4 0.1 (Enthalpy (eV/f.u.) 0 0 HeA.O (Fm-3m) -0.2-0.1NeA₂S (Fm-3m) -0.4 He+A,O (Fm-3m) HeA₂S (Fm-3m) Ne+A2S (Fm-3m) He+A2O (Pnma) 0.6 $(c) K_{2} O$ He+A,S (Fm-3m) (h) $\overline{K_s}$ (m) k 0.8 He+A₂O (P-62m) Ne+A2S (Pnma) 0.4He+A,S (Pnma) 0.4 Ne+A2S (P63mm He+A2O (R-3m) He+A2S (P62mmc) 0.2 0.2 0.4 He+A2O (P63mmc) 0 0 0 0.2 0.2 $(d) \overline{Rb}$ $(i) Rb_{2}^{\dagger}S$ 0.6 (n) Rb 0.8 0.4 0.4 0.2 0.2 0.4 0 0 -0.20 -0.20.8 -(e) Cs₂O $(j) Cs_2^{\dagger} \overline{S}$ 0.6 (o) Cs 0.4 0.4 0.4 0.2 0.2 0 (0 0.2 0.2 -0.4 5 10 15 0 20 40 60 80 100 0 20 0 5 10 15 20 Pressure (GPa)

(f) Li_sS

FIG. 2. Enthalpy-pressure relations for noble-gas compounds. (a)-(e) He in alkali-metal oxides, (f)-(j) He in alkali-metal sulfides, and (k)-(o) Ne in alkali-metal sulfides. The enthalpies are given with respect to those of the alkali oxides/sulfides with included noble-gas atoms.



FIG. 3. Enthalpy-pressure relations for noble-gas compounds calculated with the PBE, PBEsol and PBE+DFT-D3 (labeled as PBE-D3) functionals.

atom leads to larger octahedral voids in the sulfide structures. There is an upper pressure limit to the stability of each of the noble-gas compounds discussed here, which depends on the sizes and chemical identities of the host and guest species. The larger stabilization enthalpies of Ne atoms in alkali sulfides compared with He are due to the better matching of the size of the Ne atom with that of the voids in the antifluorite sulfide structures. More pV energy is gained by including a Ne atom within the antifluorite structure rather than a smaller He atom. If the void is large enough, the additional strain energy from including the larger Ne atom is small, and it is energetically favorable to include the Ne atom. In other words, the stabilization enthalpy is largest at pressures for which the sizes of the voids and included alkali atoms are well matched.

We find ranges of stability for antifluorite HeK₂O and HeRb₂O, as well as HeNa₂O, but HeLi₂O and HeCs₂O are thermodynamically unstable at the pressures studied [see Figs. 2(a)-2(e)]. The stability ranges are 13–105 GPa (HeNa₂O), 3–45 GPa (HeK₂O), and 3.7–34.5 GPa (HeRb₂O). Replacing the O atoms by larger S atoms, we find that HeLi₂S, HeNa₂S, and HeCs₂S are not thermodynamically stable but that HeK₂S and HeRb₂S have small regions of stability at low pressures with small stabilization enthalpies, and HeRb₂S is predicted to be stable at ambient pressure. The stability ranges are 1.3–2.4 GPa (HeK₂S) and 0–2 GPa [HeRb₂S; see Figs. 2(f)-2(j)]. The inclusion of He atoms within the alkali sulfides is therefore not particularly favorable. Replacing the He atoms by larger Ne atoms, we find that NeNa₂S, NeK₂S, NeRb₂S, and NeCs₂S are predicted to be stable under ambient or high pressure, but NeLi₂S is unstable up to 20 GPa. The stability ranges are also larger: 8.8-13 GPa (NeNa₂S), 0–7.5 GPa (NeK₂S), 0–6 GPa (NeRb₂S), and 0–3.3 GPa [NeCs₂S; see Figs. 2(k)–2(o)]. The maximum stabilization energies for the helium alkali oxides, helium alkali sulfides, and neon alkali sulfides are 172 meV (HeNa₂O at 40 GPa), 8 meV (HeK₂S at 2 GPa), and 72 meV (NeRb₂S at 2 GPa).

To validate the stability of the noble-gas ternary compounds at low pressures we calculated enthalpy-pressure relations in the range 0–5 GPa using the Perdew-Burke-Ernzerhof (PBE), PBEsol [42], and DFT-D3 [41] functionals. As can be seen in Fig. 3, including the vdW interactions reduces the formation pressures of noble-gas compounds. Different vdW correction methods, such as optB88-vdW [38] and DFT-D3 [41], give similar results. We predict that NeK₂S, NeRb₂S, and NeCs₂S could exist at ambient pressure.

Each of the materials investigated is an insulator. The band structures of the alkali oxides and sulfides consist of narrow and rather widely spaced bands, as shown in Fig. 4 for Na₂O at 20 GPa. The orbitals at the valence band maximum arise mainly from the O 2p levels, which capture the valence 3s electrons from the Na atoms. The bands at about -14.5 eV arise from the O 2s levels, and those at -22.0 eV arise from the Na 2p levels. Additional H atoms occupy a band at about -13.5 eV, which shows a small amount of hybridization with the O 2s levels. The Na 2s levels lie at about -50 eV (not shown).

In K₂S at 2 GPa the O 2*p* levels capture the K 4*s* electrons, while the O 2*s* levels lie at about -9.4 eV. The K 3*p* levels lie at about -12.6 eV. When He atoms are included, they occupy a 1*s* band which is very close in energy to the K 3*p* levels, and there is considerable hybridization between these orbitals



FIG. 4. Electronic band structure and density of states of Na_2O (red dashed line) and $HeNa_2O$ (blue solid line) at 20 GPa. The zero of energy is at the valence band maximum. The He 1s band lies at about -13.5 eV.

(see the Supplemental Material [51]). If Ne atoms are included instead of He, we find that the Ne 2p levels sit at an energy of about -10.0 eV, just below the O 2s levels.

The inclusion of noble-gas atoms does not, in general, alter the oxygen, sulfur, or alkali-metal-derived bands very much, which is consistent with the interaction between the noble-gas atoms and the host oxide/sulfide being fairly weak. However, in some cases significant hybridization between the alkali atom and O 2s levels can occur. Band structures for other oxides and sulfides with and without included He and Ne atoms are shown in the Supplemental Material [51].

Inclusion of He or Ne atoms within the alkali oxides and sulfides increases their band gaps. For example, at 2 GPa the band gap of K₂S is increased by about 0.4 eV on inclusion of Ne atoms and by 1.2 eV for He atoms. The band gap of Na₂O at 20 GPa is increased by 1.1 eV on including Ne atoms but by 3.5 eV for He atoms. In the cases that we have studied, the band gaps are increased more by including He atoms than by including Ne atoms. We have investigated the origin of the increased band gaps by performing calculations for the oxides and sulfides at the larger volumes of the compounds that include noble-gas atoms. We find that the increased gaps are mainly due to the presence of the noble-gas atoms, rather than the increase in volume. This arises because the nearlyfree-electron states (parabolic band around the Γ point) at the conduction band minimum have a significant weight within the voids of the antifluorite structure, and the presence of the noble-gas atoms makes the states more localized with almost no dispersion. The band gaps obtained using the PBE functional are a factor of about 2 smaller than experimental values for Li_2O (8 eV [52]), Na_2O (4.4–5.8 eV [53]), and K_2O (4.0–5.4 eV [53]). The results from quasiparticle band structure calculations within the $G_0 W_0$ approximation [54] or self-interaction corrections [55] are in quite good agreement with the available experimental data. Underestimation of band gaps within standard DFT calculations is well known, but the changes in band gaps due to the introduction of the noble-gas



FIG. 5. (a) Phonon band structures and densities of states of K_2S (red dashed line) and NeK₂S (blue solid line) at 5 GPa. The Ne-derived vibrations give Einstein-oscillator-like phonon bands at about 110 cm⁻¹ which show some hybridization with the K_2S -derived bands. (b) The localized modes obtained for Ne at the Γ point. (c) and (d) The localized modes with the highest frequency at the Γ point. The vectors in (b), (c), and (d) show the polarization directions and amplitudes of the atoms. Ne, K, and S atoms are colored magenta, purple, and yellow, respectively.

atoms are likely to be significantly more accurate than the gaps themselves. To confirm this conclusion, we have used a HSE06 hybrid functional which has been successfully applied to Li-ion battery electrode materials [56] to calculate accurate electronic band structures of Na₂O and HeNa₂O, as shown in the Supplemental Material [51]. Compared with PBE results, both band gaps increase by about 1.8 eV, and the changes in band gap caused by inclusion of He are almost the same.

The phonon band structures of K_2S and NeK_2S at 5 GPa shown in Fig. 5 indicate that the Ne atoms give rise to Einstein-oscillator-like bands at about 110 cm⁻¹. We have investigated the dynamical stability of the $Fm\bar{3}m$ structures with incorporated noble-gas atoms, finding that the phonon band structures of HeK₂S and HeNa₂O have no imaginary frequency modes down to zero pressure, and therefore they might be quench recoverable under ambient conditions.

HeK₂S is, however, dynamically unstable at low pressures, but it is stabilized by pressures of a few gigapascals (see Figs. 6 and 7 of the Supplemental Material [51]). It is necessary to consider thermal expansion of crystals [57], and therefore we have corrected the lattice constants and enthalpies of NeK₂S using the quasiharmonic approximation. The lattice parameter changes from 7.04 to 7.09 Å, with a minor difference of 0.7%. At the static lattice level and a pressure of 5 GPa, NeK₂S is about 80 meV/f.u. more stable than Ne + K₂S, but when quasiharmonic phonon enthalpies are added, the stability of NeK₂S is reduced to 54 meV/f.u. Similarly, at the static lattice level and a pressure of 40 GPa, HeNa₂O is about 187 meV/f.u. more stable than He + Na₂O. Including vibrational zero-point energy reduces this to 175 meV/f.u. We have found strong evidence that materials such as HeNa₂O and NeK₂S could be thermodynamically stable under pressure and that it might be possible to synthesize them. More information about the phonon band structures is provided in the Supplemental Material [51].

The inclusion of noble-gas atoms might be detected via an increase in the lattice constant observable in x-ray diffraction or in Raman or infrared (IR) vibrational spectroscopy. The antifluorite oxides and sulfides, without noble-gas atoms, have one Raman and one IR active vibrational mode. Inclusion of Ne atoms in K_2S at 5 GPa leads to the appearance of an additional vibrational mode at around 110 cm^{-1} , as can be seen in Fig. 5. The Ne-derived normal modes represent the relative motion between Ne atoms and K₂S crystal, as shown in Fig. 5(b), and the vibrational amplitude of Ne is much higher than that of K and S. Other localized high-frequency modes are mainly derived from the S atoms [Figs. 5(c) and 5(d)]. The vibrations are in directions along body diagonals or face diagonals. The zone-center mode of NeK₂S at around 110 cm⁻¹ is IR active, the NeK₂S/K₂S modes around 150 cm^{-1} are Raman active, and those around 230 cm^{-1} are IR active. The calculated Raman and IR intensities for NeK₂S/K₂S at 5 GPa are shown in the Supplemental Material [51]. The appearance of a new IR active vibrational mode of NeK₂S at around 110 cm⁻¹, the shifts in the Raman and IR frequencies, and the changes in Raman intensity could be used as signatures of the inclusion of Ne atoms. Similar data for the inclusion of He within Na2O at 40 GPa are reported in the Supplemental Material [51]. As condensed He or Ne is commonly used as the pressure transmission medium in diamond-anvil-cell experiments [58], our results are also relevant to the question of whether these atoms could enter alkali oxides and sulfides in diamond-anvil-cell experiments.

IV. CONCLUSION

In summary, we have predicted the stability of compounds at high pressures in which He or Ne atoms are included within alkali-metal oxides or sulfides. In some cases structures including noble-gas atoms are predicted to become thermodynamically stable at pressures below 1 GPa. The chemical interactions between the host oxide or sulfide and the guest noble-gas atoms is weak, and the materials discussed here may be described as inclusion compounds. Our study suggests a new class of metal/noblegas inclusion compounds that could be synthesized under applied pressure and might be useful for gas storage. It seems likely that many more compounds containing noblegas atoms could be stabilized by pressure. We predict that some Ne compounds, such as NeK₂S, NeRb₂S, and NeCs₂S, might be stable at ambient pressure. We hope that our study may encourage attempts to synthesize this class of compounds.

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