Spectral Functions at Small Energies and the Electrical Conductivity in Hot Quenched Lattice QCD

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In lattice QCD, the maximum entropy method can be used to reconstruct spectral functions from Euclidean correlators obtained in numerical simulations. We show that at finite temperature the most commonly used algorithm, employing Bryan's method, is inherently unstable at small energies and gives a modification that avoids this. We demonstrate this approach using the vector current-current correlator obtained in quenched QCD at finite temperature. Our first results indicate a small electrical conductivity above the deconfinement transition.

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In the deconfined, high-temperature phase of quantum chromodynamics, the behavior of spectral functions of conserved currents at small energies is of intrinsic interest due to its relation with transport properties of the quarkgluon plasma (QGP). According to the Kubo formulas [1], transport coefficients, such as the shear and bulk viscosities and the electrical conductivity, are proportional to the slope of appropriate spectral functions at vanishing energy. The success of, e.g., ideal hydrodynamics in heavy ion phenomenology, assuming vanishing viscosities and requiring early thermalization [2], has lead to the notion [3] that the QGP created in relativistic heavy ion collisions at the Relativistic Heavy Ion Collider (RHIC) at BNL is strongly coupled and that the ratio of shear viscosity to entropy density in this strongly coupled OCP may be close to the conjectured lower bound [4] reached in thermal field theories that admit a gravity dual [5].

In order to put these ideas on firm footing, it is important to have a first-principle calculation of transport coefficients in the strongly coupled regime of hot QCD. As is well known [6,7], a nonperturbative calculation using lattice QCD is difficult due to the necessity to perform an analytic continuation from imaginary to real time. The most common approach used to obtain spectral functions from euclidean correlators is the maximum entropy method [8], employing Bryan's algorithm [9]. Our first aim in this Letter is to discuss this method in some detail and point out a source of numerical instabilities present in most finite-temperature studies available to date. We show how the algorithm can be modified to avoid this problem. Our second aim is to apply the new method to the vector current-current correlator, obtained in quenched lattice QCD simulations at finite temperature, using staggered quarks. We study the behavior at small energies and argue that it allows us to extract a value for electrical conductivity in the strongly coupled regime above the deconfinement transition.

Maximum entropy method.—The relation between the Euclidean correlator $G(\tau) = \int d^3x \langle J(\tau, \mathbf{x}) J^\dagger(0, \mathbf{0}) \rangle$ at zero momentum and the corresponding spectral function $\rho(\omega)$ reads

$$G(\tau) = \int_0^\infty \frac{d\omega}{2\pi} K(\omega, \tau) \rho(\omega), \tag{1}$$

where the kernel is given by

$$K(\omega, \tau) = \frac{\cosh[\omega(\tau - 1/2T)]}{\sinh(\omega/2T)}.$$
 (2)

We consider (local) meson operators of the form $J(\tau, \mathbf{x}) = \bar{q}(\tau, \mathbf{x}) \Gamma q(\tau, \mathbf{x})$, where Γ depends on the channel under consideration. The temperature T is related to the euclidean temporal extent N_{τ} by $1/T = aN_{\tau}$, where a is the (temporal) lattice spacing. The difficulty in inverting relation (1) is due to the fact that $G(\tau)$ is obtained numerically at a discrete set of points $\tau_i = \tau_{\min} + (i-1)a$ ($i=1,\ldots,N$), where the number of data points N is typically $\mathcal{O}(10)$, whereas $\rho(\omega)$ is in principle a continuous function of ω . Simple properties of the kernel and spectral functions allow us to cutoff the ω integral at ω_{\max} . The resulting finite interval is discretized as $\omega_n = n\Delta\omega$ ($n=1,\ldots,N_{\omega}$), where N_{ω} is typically $\mathcal{O}(10^3)$, making a simple inversion ill defined (below we suppress the index n in ω_n where possible).

Using the ideas of Bayesian probability theory, one may construct the most probable spectral function by maximizing the conditional probability $P[\rho|DH]$, where D indicates the data and H some additional prior knowledge. In the maximum entropy method (MEM), the prior knowledge is encoded in an entropy term,

$$S = \int_0^\infty \frac{d\omega}{2\pi} \left[\rho(\omega) - m(\omega) - \rho(\omega) \ln \frac{\rho(\omega)}{m(\omega)} \right], \quad (3)$$

where $m(\omega)$ is the so-called default model, containing the additional information. An often used default model is

 $m(\omega) = m_0 \omega^2$, with m_0 a (channel-dependent) constant. This choice is motivated by the large- ω behavior of meson spectral functions in the continuum theory, which is accessible in perturbation theory. The conditional probability to be extremized reads

$$P[\rho|DH] = \exp(-\frac{1}{2}\chi^2 + \alpha S),\tag{4}$$

where χ^2 is the standard likelihood function and α is a parameter balancing the relative importance of the data and the prior knowledge. Since $\rho(\omega)$ is non-negative for positive ω , it is written as

$$\rho(\omega) = m(\omega) \exp f(\omega), \tag{5}$$

where $f(\omega)$ is to be determined.

Bryan's approach.—To make the problem well defined, the arbitrary function $f(\omega)$ has to be reduced to one containing at most N parameters, i.e., it has to be restricted to an N dimensional subspace. In Bryan's algorithm the subspace is determined using a singular value decomposition (SVD). After discretizing the ω integral, the kernel $K(\omega_n, \tau_i)$ is viewed as a $N_\omega \times N$ matrix. The SVD theorem states that it can be written as $K = UWV^T$, with U an $N_{\omega} \times N$ matrix satisfying $U^T U = \mathbb{1}_{N \times N}$, $W = \operatorname{diag}(w_1, \ldots, w_N)$ with $w_1 \ge \ldots \ge w_N \ge 0$, and V an $N \times N$ matrix satisfying $VV^T = V^T V = \mathbb{1}_{N \times N}$. The dimension N_s of the subspace is determined by the singular values w_i . Because of the reflection symmetry $K(\omega, 1/T - \tau) =$ $K(\omega, \tau)$, one finds that $N_s \le N \le N_\tau/2 + 1$. Discarding the contact term at $\tau = 0$, we use below the maximal range $N_s = N = N_\tau/2$, such that all data points at $1 \le \tau_i/a \le$ $N_{\tau}/2$ are included. In Bryan's algorithm the subspace is defined as the space spanned by the column vectors of U, i.e., the N vectors u_i (i = 1, ..., N) with elements $u_i(\omega_n) = U_{ni}$. Since U is orthogonal, these vectors are linearly independent and normalized, with the inner product $\langle u_i | u_j \rangle \equiv \sum_{n=1}^{N_{\omega}} u_i(\omega_n) u_j(\omega_n) = \delta_{ij}$. It follows from the extremum condition that $f(\omega)$ can be parametrized as

$$f(\omega) = \sum_{i=1}^{N} c_i u_i(\omega). \tag{6}$$

This reduces the problem to the determination of N coefficients c_i , as desired.

The u_i functions should therefore be regarded as basis functions and it is interesting to study how they behave. In Fig. 1 we show the first four basis functions determined using the SVD of $K(\omega_n, \tau_i)$ for the case that $N_\omega = 1000$ and $N = N_\tau/2 = 12$. The cutoff is $a\omega_{\rm max} = 5$. Details of the basis functions depend on the choice of N_ω and N, but we find that the ith function crosses zero i times. The inset shows a blow up of the small energy behavior. It can be seen that all basis functions seem to diverge in the small energy limit (although they are still normalizable). This is because the kernel itself diverges for small ω , since

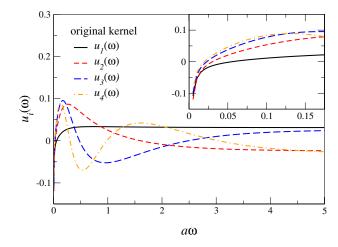


FIG. 1 (color online). First four basis functions $u_i(\omega)$ as a function of $a\omega$ for $a\omega_{\max}=5$, $N_{\omega}=1000$, $N_{\tau}=24$, using the standard kernel. The inset shows a blowup of the small energy region.

$$\lim_{\omega \to 0} K(\omega, \tau) = \frac{2T}{\omega} + \frac{\omega}{T} \left[\frac{1}{6} - \tau T (1 - \tau T) \right] + \mathcal{O}\left(\frac{\omega^3}{T^3}\right). \quad (7)$$

Because of this divergence, it is not possible to include the point at $\omega = 0$ (note that the limits $T \to 0$ and $\omega \to 0$ do not commute).

In the MEM analysis of lattice correlators at finite temperature, it is often found (see, e.g., Ref. [10] and references therein) that the small energy behavior is unstable: the value of the spectral function at the smallest nonzero ω value is inconsistent with values at larger energies and the $\omega \to 0$ behavior depends on $\Delta \omega$, indicating that it is an artefact of the method. Moreover MEM does not always converge. Unstable features in the algorithm at small energies prevent of course insight in transport properties of the QGP.

Modification of Bryan's algorithm.—As indicated above, the divergence of the kernel at small energies can lead to a numerically unstable algorithm. Fortunately, this can easily be avoided by writing

$$\bar{K}(\omega, \tau) = \frac{\omega}{2T} K(\omega, \tau), \qquad \bar{\rho}(\omega) = \frac{2T}{\omega} \rho(\omega), \quad (8)$$

such that $K(\omega, \tau)\rho(\omega) = \bar{K}(\omega, \tau)\bar{\rho}(\omega)$. We may now repeat the SVD for $\bar{K}(\omega_n, \tau_i)$. We find that both kernels give the same identification of the dimension of the subspace. The first four new basis functions \bar{u}_i are shown in Fig. 2. The inset shows again a blowup. Since $\bar{K}(0, \tau) = 1$, the basis functions take a finite value at small ω and $\bar{u}_i(0)$ is well defined for all i. From now on we include the point at $\omega = 0$ in the analysis. The redefined spectral function is parametrized as [11]

$$\bar{\rho}(\omega) = \bar{m}(\omega) \exp \sum_{i=1}^{N} \bar{c}_{i} \bar{u}_{i}(\omega), \tag{9}$$

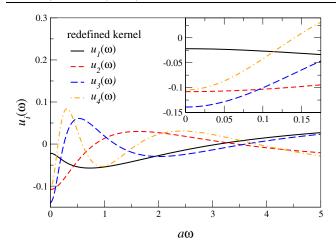


FIG. 2 (color online). As in Fig. 1, using the redefined kernel $\bar{K}(\omega, \tau)$.

with the default model $\bar{m}(\omega) \sim m(\omega)/\omega$. In order to make contact with previous results, $\bar{m}(\omega) \sim \omega$ at large ω . For small ω , we note that we reconstruct $\bar{\rho} \sim \rho/\omega$, such that the intercept at $\omega = 0$ is proportional to the appropriate transport coefficient. Specifically, for the electrical conductivity we find that

$$\frac{\sigma}{T} = \lim_{\omega \to 0} \frac{\rho(\omega)}{6\omega T} = \frac{\bar{\rho}(0)}{12T^2},\tag{10}$$

where in this case ρ is in the vector channel ($\Gamma = \gamma_k$, summed over k = 1, 2, 3). To allow for a nonzero intercept, we find from Eq. (9) that $\bar{m}(0)$ should be finite and nonzero. We use therefore the following default model

$$a^2\bar{m}(\omega) = \bar{m}_0(b + a\omega),\tag{11}$$

where $b \leq 1$ is a parameter that can be varied to assess the default-model dependence of spectral functions in the low-energy regime.

Lattice QCD.—We now apply the modified algorithm to the euclidean correlator in the vector channel, obtained in quenched lattice QCD simulations at finite temperature. Lattice details are given in Table I. The two lattices above T_c differ only in temperature, while the lattice below T_c is coarser but has $N_\tau=24$, in common with the hot one. We use light staggered quarks with a bare mass of am=0.01. As is well known, the pure gauge action has a global Z_3 symmetry, which is broken by the presence of fermions in full QCD. To incorporate this, we multiply the link varia-

TABLE I. Lattice parameters. Estimates for the lattice spacing and temperature are taken from Ref. [12].

| | β | a^{-1} (GeV) | $N_{\sigma}^3 \times N_{\tau}$ | T/T_c | No. of conf. |
|----------|-------|----------------|--------------------------------|---------|--------------|
| Cold | 6.5 | 4.04 | $48^{3} \times 24$ | 0.62 | 100 |
| Hot | 7.192 | 9.72 | $64^{3} \times 24$ | 1.5 | 100 |
| Very hot | 7.192 | 9.72 | $64^{3} \times 16$ | 2.25 | 50 |

bles by an element of Z_3 in the calculation of the quark propagators so that the phase of the Polyakov loop is approximately real. Chiral symmetry restoration at $T > T_c$ is then clearly visible: the pseudoscalar and scalar correlators coincide [10].

For staggered fermions the MEM analysis is complicated due to the mixing of two signals in the correlator. The equivalent of relation (1) reads

$$G^{\text{stag}}(\tau) = \int_0^\infty \frac{d\omega}{2\pi} K(\tau, \omega) [\rho(\omega) - (-1)^{\tau/a} \tilde{\rho}(\omega)], \quad (12)$$

where $\tilde{\rho}$ is related to ρ by changing Γ to $\tilde{\Gamma} = \gamma_4 \gamma_5 \Gamma$. In practice we perform an independent MEM analysis on the even and odd time slices, obtaining $\rho_{\text{even}} = \rho - \tilde{\rho}$ and $\rho_{\text{odd}} = \rho + \tilde{\rho}$ and combine these to get ρ . Let us remark here that while the original formulation, using $K(\omega, \tau)$, failed to converge in some cases, we found that the new method worked successfully (we have studied the vector and pseudoscalar channels [13]). In cases where both methods worked we found comparable results for spectral functions in most of the energy range but deviations in the low-energy region, as expected.

In Fig. 3 we show the vector spectral functions $\rho(\omega)$ normalized by ωT as a function of ω/T for the three temperatures. Our treatment of α follows Ref. [8]. The large ω behavior at $a\omega \gtrsim 3$ is determined by the continuum default model, $\rho(\omega)/\omega \sim m_0\omega$ (recall that $\omega/T = N_\tau a\omega$). In most MEM studies we carried out we find "bumps" at $1 \lesssim a\omega \lesssim 2$: within uncertainties these do not depend on the channel under consideration, quark mass, or external momentum [10,13]. We interpret them as lattice artefacts present due to the difference between continuum and lattice fermion dispersion relation (see Ref. [14] for an analysis of free staggered quarks). The peak structure at $\omega/T \sim 9$ on the cold lattice is the ρ -meson, which is more clearly visible in plots of

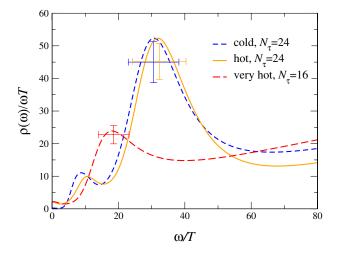


FIG. 3 (color online). Vector spectral functions $\rho(\omega)/\omega T$ as a function of ω/T . We used $N_{\omega}=1000, \, a\omega_{\max}=5, \, b=1$.

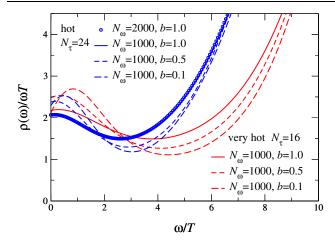


FIG. 4 (color online). Default model dependence of $\rho(\omega)/\omega T$ for $N_{\tau}=24$ (hot) and 16 (very hot) in the low-energy region. We show results for $N_{\omega}=1000,\,2000$ and $b=1.0,\,0.5,\,0.1$ at fixed $a\omega_{\rm max}=5$.

 $\rho(\omega)/\omega^2$ vs ω/T [10]. The ρ -peak is less pronounced on the hot lattice and seems to have vanished on the very hot lattice. Error bars are explained in Ref. [8].

Above T_c a nonzero intercept at $\omega = 0$ can be seen. In the cold phase the intercept is an order of magnitude smaller. A blowup of $\rho(\omega)/\omega T$ is shown in Fig. 4. In the high-temperature weakly-coupled theory, the transport contribution is located at $\omega/T \sim g^4(T) \ll 1$, where the spectral function behaves as $\rho(\omega)/\omega T \sim 1/g^4(T) \gg 1$. As a result the Euclidean correlator is insensitive to the details of the spectral function [7]. Here, by contrast, we find a clear signal for nonzero spectral weight varying smoothly in the range $0 \le \omega/T \le 4$. To assess this, we have varied N_{ω} , $a\omega_{\rm max}$ and the parameter b in Eq. (11). In the case that we take b = 0 (therefore disallowing a nonzero intercept), we find that the MEM routine does not converge. Results with $N_{\omega} = 2000$ cannot be distinguished from those obtained with $N_{\omega} = 1000$. These findings suggest that nonzero spectral weight and a finite intercept are robust outcomes. Dividing the intercept at $\omega = 0$ by 6 yields the electrical conductivity. We find $\sigma/T = 0.4 \pm$ 0.1, where the error is an indication of the uncertainty in the MEM reconstruction [15,16]. Statistical uncertainty and the effect of operator normalization [17] are expected to be smaller.

Outlook.—We identified a source for numerical instabilities in the standard formulation of MEM with Bryan's approach at finite temperature and showed how this can be avoided. We then applied this new method to the vector current-current correlator obtained in quenched lattice QCD at finite temperature. We found a robust signal for a small but nonzero value of the electrical conductivity above the deconfinement transition, in line with the notion of the strongly coupled QCP. Directions for the future are

many. It is important to repeat the analysis using Wilson fermions and the conserved point split current, and to include the shear and bulk viscosity as well. The effect of dynamical quarks can be investigated in two-flavor QCD on highly anisotropic lattices [18]. We emphasize once more that the new formulation is essential for analyzing the low-energy region.

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