

White-Light Parametric Instabilities in Plasmas

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Parametric instabilities driven by partially coherent radiation in plasmas are described by a generalized statistical Wigner-Moyal set of equations, formally equivalent to the full wave equation, coupled to the plasma fluid equations. A generalized dispersion relation for stimulated Raman scattering driven by a partially coherent pump field is derived, revealing a growth rate dependence, with the coherence width σ of the radiation field, scaling with $1/\sigma$ for backscattering (three-wave process), and with $1/\sigma^{1/2}$ for direct forward scattering (four-wave process). Our results demonstrate the possibility to control the growth rates of these instabilities by properly using broadband pump radiation fields.

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Parametric instabilities are pervasive in many fields of science associated with the onset of nonlinear and collective effects such as solitons, vortices, self-organization, and spontaneous ordering. The standard theoretical approach to study parametric instabilities is based on a coherent wave description which is clearly limited because, in most systems, waves are only partially coherent, with incoherence either inherently induced by fluctuations or induced by external passive systems (e.g., in inertial confinement fusion (ICF)). Recent work in nonlinear optics [1] led to the development of techniques capable of describing the propagation and the modulation instability of partially coherent or incoherent “white” light in nonlinear media [2]. The critical underlying assumption of all these models is the paraxial wave approximation, which reduces the problem of electromagnetic wave propagation in dispersive (and diffractive) nonlinear media to the search of a forward propagating solution, formally described by the nonlinear Schrödinger equation. In nonlinear optics, and for the conditions studied so far, such approximation is clearly valid; in plasma physics, it is not. The instabilities associated with the partially reflected backscattered radiation [3] are critical in ICF, fast ignition and many laser-plasma and astrophysical scenarios [4], and the paraxial approximation has limited applicability [5].

Inclusion of bandwidth or incoherence effects in laser driven parametric instabilities in plasmas is a long-standing problem [6,7]. The difficulty resides in the lack of an appropriate theoretical framework where a statistical description of the radiation is natural. The Wigner-Moyal (WM) formalism of quantum mechanics [8] provides a natural path to build such a statistical description of the radiation [9], but standard WM is valid only for Schrödinger-like systems. Previous attempts [10] have only been able to describe direct forward stimulated scattering. To overcome this difficulty, we have recently developed a generalized WM statistical theory of radiation [11], or generalized photon kinetics (GPK), formally equivalent to the full wave equation. In this Letter, GPK

is employed to derive the general dispersion relation for Stimulated Raman Scattering (SRS) driven by a spatially stationary radiation field with arbitrary statistics, thus valid for all ranges of coherence of the pump field. In this dispersion relation, both three-wave processes and four-wave processes are considered, and for a plane wave pump field, the standard results are recovered [3,5,12]. Analytical results are derived for different regimes of SRS and wave number ranges, showing universal decays of the growth rate with the bandwidth σ .

We use normalized units, with length normalized to c/ω_{p0} , time to $1/\omega_{p0}$, mass and charge to the electron mass m_e and the electron charge e , respectively, and where c is the velocity of light in vacuum, and $\omega_{p0} = (4\pi e^2 n_{e0}/m_e c^2)^{1/2}$. The plasma is a cold uniform electron fluid with a fixed ion density $n_{i0} = n_{e0}$ (in normalized units $n_{i0} = n_{e0} = 1$). The normalized vector potential of the circularly polarized pump field $\mathbf{a}_p = e\mathbf{A}_p/m_e c^2$ is described by $\mathbf{a}_p(\mathbf{r}, t) = 2^{-1/2}(\hat{z} + i\hat{y})a_0 \int d\mathbf{k} A(\mathbf{k}) \times \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega(\mathbf{k})t)]$, where $\omega(\mathbf{k})$ is the dispersion relation for plane circularly polarized monochromatic waves in a uniform plasma, $\omega(\mathbf{k}) = (\mathbf{k}^2 + 1/\gamma_0)^{1/2}$, and $A(\mathbf{k})$ can include a stochastic phase dependence $\psi(\mathbf{r}, t)$, as $A(\mathbf{k}) = \hat{A}(\mathbf{k}) \exp[i\psi(\mathbf{r}, t)]$. The only restriction on the form of $\mathbf{a}_p(\mathbf{r}, t)$ is that the Klimontovich statistical average of the two point correlation function, $\langle \mathbf{a}_p^*(\mathbf{r} + \mathbf{y}/2, t) \cdot \mathbf{a}_p(\mathbf{r} - \mathbf{y}/2, t) \rangle = a_0^2 m(\mathbf{y})$, is independent of \mathbf{r} with $m(0) = 1$, and $|m(\mathbf{y})|$ is bounded between 0 and 1; i.e., the field is spatially stationary. This restriction is introduced only because of the perturbation technique we are employing; the formalism described here is valid for any field dependence. The normalized electron density $n_e = 1 + \tilde{n}$, interacting with the field $\mathbf{a} = \mathbf{a}_p + \tilde{\mathbf{a}}$, satisfies [13]

$$\left(\partial_t^2 + \frac{1}{\gamma_0} \right) \tilde{n} = \frac{1}{\gamma_0^2} \nabla_{\mathbf{r}}^2 \langle \text{Re}[\mathbf{a}_p \cdot \tilde{\mathbf{a}}] \rangle \quad (1)$$

with $\gamma_0 = \sqrt{1 + \langle \mathbf{a}_p \cdot \mathbf{a}_p^* \rangle} = \sqrt{1 + a_0^2}$, where $\langle \cdots \rangle$ de-

notes a statistical average, and \sim denotes first-order quantities. Usually, the driving term on the right hand side of Eq. (1) is described with the standard approach based on the wave equation for the vector potential [3,13]. However, this technique does not allow for the study of white light parametric instabilities. GPK can address the general two mode problem; the radiation field \mathbf{a} is described by two fields ϕ , $\chi = (\mathbf{a} \pm i\sqrt{\gamma_0}\partial_t\mathbf{a})/2$, in terms of which the wave equation can be written as two coupled Schrödinger equations [11]. Introducing four real phase-space densities $W_0 = W_{\phi\phi} - W_{\chi\chi}$, $W_1 = 2\text{Im}[W_{\phi\chi}]$, $W_2 = 2\text{Re}[W_{\phi\chi}]$, $W_3 = W_{\phi\phi} + W_{\chi\chi}$, where the Wigner transform $W_{\mathbf{f},\mathbf{g}}$ is defined as $W_{\mathbf{f},\mathbf{g}}(\mathbf{k}, \mathbf{r}, t) = (\frac{1}{2\pi})^3 \int e^{i\mathbf{k}\cdot\mathbf{y}} \mathbf{f}^*(\mathbf{r} + \frac{\mathbf{y}}{2}, t) \cdot \mathbf{g}(\mathbf{r} - \frac{\mathbf{y}}{2}, t) d\mathbf{y}$, the transport equations for W_i are [11]

$$\partial_t W_0 + \hat{\mathcal{L}}(W_2 + W_3) = 0 \quad (2)$$

$$\partial_t W_1 - \hat{\mathcal{G}}(W_2 + W_3) - \frac{2}{\sqrt{\gamma_0}} W_2 = 0 \quad (3)$$

$$\partial_t W_2 - \hat{\mathcal{L}}W_0 + \hat{\mathcal{G}}W_1 + \frac{2}{\sqrt{\gamma_0}} W_1 = 0 \quad (4)$$

$$\partial_t W_3 + \hat{\mathcal{L}}W_0 - \hat{\mathcal{G}}W_1 = 0 \quad (5)$$

where the operators $\hat{\mathcal{L}}$ and $\hat{\mathcal{G}}$ obey

$$\hat{\mathcal{L}} = \sqrt{\gamma_0} \mathbf{k} \cdot \vec{\nabla}_{\mathbf{r}} - \sqrt{\gamma_0} \left(\frac{n}{\gamma}\right) \sin\left(\frac{1}{2} \vec{\nabla}_{\mathbf{r}} \cdot \vec{\nabla}_{\mathbf{k}}\right) \quad (6)$$

$$\hat{\mathcal{G}} = \sqrt{\gamma_0} \left(\mathbf{k}^2 - \frac{\vec{\nabla}_{\mathbf{r}}^2}{4}\right) + \sqrt{\gamma_0} \left(\frac{n}{\gamma}\right) \cos\left(\frac{1}{2} \vec{\nabla}_{\mathbf{r}} \cdot \vec{\nabla}_{\mathbf{k}}\right) \quad (7)$$

with the arrows denoting the direction of the operator, and $\sin(\dots)$ and $\cos(\dots)$ representing the equivalent series expansion of the operators; the left arrow operator $\vec{\nabla}_{\mathbf{r}}$ acts on $\sqrt{\gamma_0}(\frac{n}{\gamma})$, while the right arrow operators $(\vec{\nabla}_{\mathbf{k}}, \vec{\nabla}_{\mathbf{r}}, \vec{\nabla}_{\mathbf{r}}^2)$ act on W_i . Equations (2)–(7) are formally equivalent to the full wave equation for \mathbf{a} in a plasma.

In order to close the system of Eqs. (1)–(5), and to determine the corresponding dispersion relation, it is necessary to linearize Eqs. (2)–(5), noting that up to first-order $W_0 = \sqrt{\gamma_0} \rho_0(\mathbf{k}) \omega(\mathbf{k}) + \tilde{W}_0(\mathbf{k}, \mathbf{r}, t)$, $W_1 = \tilde{W}_1(\mathbf{k}, \mathbf{r}, t)$, $W_2 = -\rho_0(\mathbf{k}) \frac{\gamma_0 \mathbf{k}^2}{2} + \tilde{W}_2(\mathbf{k}, \mathbf{r}, t)$, $W_3 = \rho_0(\mathbf{k}) (1 + \frac{\gamma_0 \mathbf{k}^2}{2}) + \tilde{W}_3(\mathbf{k}, \mathbf{r}, t)$, where $\rho_0(\mathbf{k}) = W_{\mathbf{a}_p \cdot \mathbf{a}_p}$ is the zero-order photon distribution function. In analogy with the standard techniques in plasma physics, $\rho_0(\mathbf{k})$ can be thought of as the equilibrium distribution function of the photons. Furthermore, $\tilde{W}_2 + \tilde{W}_3 = 2W_{\text{Re}[\mathbf{a}_p \cdot \mathbf{a}]}$. Linearization of Eqs. (2)–(5), followed by time and space Fourier transforms ($\partial_t \rightarrow \omega_L$, $\nabla_{\mathbf{r}} \rightarrow -i\mathbf{k}_L$), lead to

$$\mathcal{F}[W_{\text{Re}[\mathbf{a}_p \cdot \mathbf{a}]}] = \frac{1}{2} \mathcal{F}\left[\left(\frac{\tilde{n}}{\gamma}\right)\right] \left[\frac{\rho_0(\mathbf{k} + \frac{\mathbf{k}_L}{2})}{D_s^-} + \frac{\rho_0(\mathbf{k} - \frac{\mathbf{k}_L}{2})}{D_s^+} \right] \quad (8)$$

where $D_s^\pm(\mathbf{k}) = \omega_L^2 \mp 2[\mathbf{k} \cdot \mathbf{k}_L - \omega_L \omega(\mathbf{k} \mp \frac{\mathbf{k}_L}{2})]$, and with $\mathcal{F}[g]_{\omega_L, \mathbf{k}_L}$ denoting the Fourier transform of $g(\mathbf{r}, t)$, and for the plasma response, $(\frac{\tilde{n}}{\gamma})$,

$$\mathcal{F}\left[\left(\frac{\tilde{n}}{\gamma}\right)\right] = \frac{1}{\gamma_0^3} \left(\frac{\mathbf{k}_L^2}{\omega_L^2 - \frac{1}{\gamma_0}} - 1 \right) \mathcal{F}[\text{Re}[\mathbf{a}_p \cdot \mathbf{a}]]. \quad (9)$$

Integrating Eq. (8) in \mathbf{k} , and using $\int W_{\mathbf{f},\mathbf{g}} d\mathbf{k} = \mathbf{f}^* \cdot \mathbf{g}$, Eqs. (8) and (9) can be combined to give the exact dispersion relation for electron plasma waves in the presence of broadband radiation:

$$1 = \frac{1}{2\gamma_0^3} \left(\frac{\mathbf{k}_L^2}{\omega_L^2 - \frac{1}{\gamma_0}} - 1 \right) \int \rho_0(\mathbf{k}) \left(\frac{1}{D^+} + \frac{1}{D^-} \right) d\mathbf{k} \quad (10)$$

with $D^\pm(\mathbf{k}) = [\omega(\mathbf{k}) \pm \omega_L]^2 - (\mathbf{k} \pm \mathbf{k}_L)^2 - \frac{1}{\gamma_0}$. Equation (10) is the central result of this Letter, generalizing for pump fields with arbitrary statistics the seminal result of Decker *et al.* [13]. It can be interpreted as the statistical average of $\frac{1}{D^+(\mathbf{k})} + \frac{1}{D^-(\mathbf{k})}$ over the distribution of photons. For a pump plane wave, with wave number \mathbf{k}_0 , $\rho_0(\mathbf{k}) = a_0^2 \delta(\mathbf{k} - \mathbf{k}_0)$, and Eq. (10) leads to the dispersion relation derived in Ref. [13]. Recently, a dispersion relation with two pump waves was also obtained [14], which also results from Eq. (10) for two photon beams $\rho_0(\mathbf{k}) = a_{01}^2 \delta(\mathbf{k} - \mathbf{k}_{01}) + a_{02}^2 \delta(\mathbf{k} - \mathbf{k}_{02})$.

To illustrate some of the most important consequences of white light in SRS, we consider the one dimensional scenario, for a water-bag zero-order photon distribution function $\rho_{0\text{WB}}(k) = a_0^2 / (\sigma_1 + \sigma_2) [\Theta(k - k_0 + \sigma_1) - \Theta(k - k_0 - \sigma_2)]$, where $\Theta(k)$ is the Heaviside function. For $\rho_{0\text{WB}}(k)$, the random phase $\psi(x)$ is such that the autocorrelation function satisfies $\langle \exp[-i\psi(x + \frac{y}{2}) + i\psi(x - \frac{y}{2})] \rangle = \exp(-iy\bar{\sigma}) \sin(y\bar{\sigma}) / (y\bar{\sigma})$, with $\bar{\sigma} = (\sigma_2 + \sigma_1)/2$ and $\bar{k} = [k_0 + (\sigma_2 - \sigma_1)/2]$. The correlation length of this distribution is $\sim \pi / \sqrt{2}\bar{\sigma}$. The dispersion relation (10) for this distribution function, valid for all values of k_0 , a_0 and $\sigma_{1,2}$, with $\omega_{0i} = \omega(k_0 + (-1)^i \sigma_i)$, is

$$1 = \frac{a_0^2}{8\gamma_0^3 k_L \bar{\sigma}} \left[\frac{k_L^2}{\omega_L^2 - \frac{1}{\gamma_0}} - 1 \right] \left[\frac{k_L^2}{k_L^2 - \omega_L^2} \log\left(\frac{D_1^- D_2^+}{D_1^+ D_2^-}\right) + \frac{2\omega_L k_L}{\sqrt{Q^0}} (\text{arctanh} b^+ + \text{arctanh} b^-) \right], \quad (11)$$

where $D_i^\pm = \omega_L^2 - k_L^2 \pm 2[(k_0 + (-1)^i \sigma_i) k_L - \omega_{0i} \omega_L]$, $Q^0 = (k_L^2 - \omega_L^2)(k_L^2 - \omega_L^2 + \frac{4}{\gamma_0})$, $Q^\pm = [D_1^\pm + (k_L - \omega_L) \times (\omega_L - 2\omega_{01})][D_2^\pm + (k_L - \omega_L)(\omega_L - 2\omega_{02})]$, and $b^\pm = 2k_L^2(\omega_L + k_L) \sqrt{Q^0} (2\bar{\sigma} + \omega_{01} - \omega_{02}) / [Q_0 k_L^2 - Q^\pm(\omega_L + k_L)^2]$. The effect of a broadband photon distribution on stimulated Raman forward scattering (RFS), relativistic modulation instability (RMI) or stimulated Raman back scattering (RBS) can now be addressed.

Analytical results can be obtained in the case of an underdense medium $1/\gamma_0 \ll k_0 - \sigma_1$, which also guarantees that $k_0 > \sigma_1$. The first condition states that the medium is underdense for all the photons in the distribution, while the second assures that $\rho_{0WB}(k)$ represents a broadband source of forward propagating photons. We note that no order relation for σ_2 needs to be assumed. The underdense approximation is equivalent to neglecting the arctanh terms in (11), since $\omega_{0i} \approx k_0 + (-1)^i \sigma_i$, and $b^\pm \approx 0$. By neglecting $1/\gamma_0$, when compared with k_0 , the simplified dispersion relation is still valid for RFS, but we lose the ability to capture RMI.

For RFS, we Taylor expand the log term in Eq. (11), in the underdense approximation $k_0 - \sigma_1 \gg 1/\sqrt{\gamma_0}$. The resulting polynomial equation can be evaluated near the wave number k_L for maximum growth rate, such that $k_{LRFS}^M \approx 1/\sqrt{\gamma_0}$, and $\omega_L \approx 1/\sqrt{\gamma_0} + \delta$, with $\delta \ll 1$, to yield the maximum growth rate for RFS, $\Gamma_{RFS} = \text{Im}[\delta]$:

$$\Gamma_{RFS} = \frac{a_0}{2\sqrt{2}\gamma_0^2\sqrt{(k_0 - \sigma_1)(k_0 + \sigma_2)}}. \quad (12)$$

In the limit of $\sigma_{1,2} \rightarrow 0$, the standard monochromatic result, valid for all intensities, is obtained [13], with $\Gamma_{RFS} \propto a_0^{-1}$ for $a_0 \gg 1$. The effect of the bandwidth on four-wave processes, such as RFS, predicted by Eq. (12), is qualitatively different from the effect of the radiation bandwidth in 3-wave processes, such as RBS. Equation (12) shows that Γ_{RFS} increases (decreases) for increasing $\sigma_1(\sigma_2)$; this is due to the decrease (increase) of the average wave number of the distribution of photons.

For RBS, the same technique can be followed, but now D_2^+ must be resonant (corresponding to the contribution of the downshifted photons of the highest wave number photons in the distribution function), with $\omega_L \approx 1/\sqrt{\gamma_0} + i\Gamma_{RBS}$, with $\Gamma_{RBS} \ll 1/\sqrt{\gamma_0}$ as usual for RBS [3], away from the strongly coupled regime, which means that the instability occurs in regions close to $k_{LRBS}^M \approx 2(k_0 + \sigma_2) - 1/\sqrt{\gamma_0}$. Furthermore, $D_1^+ \approx 0$ establishes the lower limit of the range of unstable wave numbers given by $k_{LRBS}^m \approx 2(k_0 - \sigma_1) - 1/\sqrt{\gamma_0}$. The maximum growth rate for RBS is

$$\Gamma_{RBS} = \frac{\pi a_0^2}{8\gamma_0^{5/2}} \frac{k_0 + \sigma_2}{\sigma_1 + \sigma_2} \frac{1}{1 + \frac{a_0^2}{8\gamma_0^{5/2}} \frac{k_0 + \sigma_2}{(\sigma_1 + \sigma_2)^2}} \quad (13)$$

where $\Gamma_{RBS} < \sigma_1 + \sigma_2$ has been assumed. In the opposing limit, as $\sigma_{1,2} \rightarrow 0$ for the plane wave limit, $\Gamma_{RBS_{pw}} = a_0\sqrt{k_0}/\sqrt{2}\gamma_0^{5/4}$, thus recovering the classic result [3]. For $a_0 \gg 1$, $\Gamma_{RBS} \propto a_0^{-1/4}$ as in the monochromatic case [13]. A comparison between Eqs. (12) and (13) shows the stronger dependence of RBS on the bandwidth of the radiation, for $\sigma_2 \lesssim k_0$. In fact, for fixed k_0 , a_0 , and σ_1 , RFS scales with $\propto 1/\sqrt{\sigma_2}$, while RBS goes as $\propto 1/\sigma_2$. We have found this behavior for other distribution functions (e.g., asymmetric Lorentzian or Gaussian distribution of

photons), and, within the approximations discussed here, it is possible to show that the scaling of RBS and RFS with σ_2 is independent of the exact shape of the distribution function. The wave number for maximum growth k_L^M is independent of σ_2 for RFS, while for RBS it depends linearly on σ_2 . For large values of σ_2 , the growth rates for RBS saturates at $\Gamma_{RBS}^{\text{sat}} = \pi a_0^2/8\gamma_0^{5/2}$, which is specific of the water-bag photon distribution.

To illustrate these features, we have solved Eq. (11) numerically, for the range of k_L required to capture RFS, RBS, and RMI, and for different σ_2 , keeping k_0 , a_0 , and σ_1 constant. In Fig. 1, the dependence of the maximum growth rate with σ_2 are shown, demonstrating that RFS is less sensitive to σ_2 than RBS, as predicted by the theory. The theoretical curves, Eqs. (12) and (13), cannot be distinguished from the numerical solution. RMI depends more strongly on σ_2 than RFS, but still decreases more slowly than RBS, with $\Gamma_{RMI}/\Gamma(\sigma_2 = 0) \approx 1/[1 + 1.35(\sigma_2/k_0)^{1.1}]$, as obtained from a fit to the curve in Fig. 1. Figure 2 illustrates the main effects of the increase of σ_2 on RFS, showing that k_{LRFS}^M for maximum growth is almost independent of σ_2 , and the decrease in the range of unstable k_L . The increase of the growth rate with σ_1 (cf. Eq. (12)) is also clear when we compare the growth rate for the monochromatic case with the other scenarios. This is not observed for RBS (Fig. 3), with not only the decrease of maximum growth rate with σ_2 but also the increase of the range of unstable wave numbers, and the shift of the most unstable wave number as σ_2 increases, in very close agreement with k_{LRBS}^M . Furthermore, the lower bound of the range of unstable wave numbers remains unchanged as σ_2 increases since this limit is determined by k_{LRBS}^m , a function of σ_1 . The magnitude of the growth rate is within the same order of magnitude for the full range of unstable wave numbers, which indicates that depending of the noise source, the instability can easily grow in a wide range of wave numbers. The trend of saturation of the

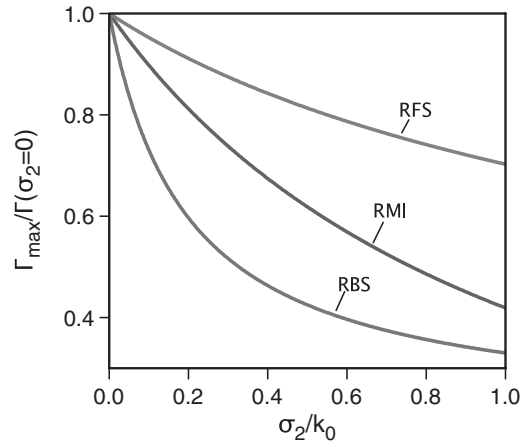


FIG. 1. Maximum growth rate as a function of the photon distribution width (σ_2), normalized to the growth rate for $\sigma_2 = 0$. The photon distribution is defined by $k_0 = 80\omega_{p0}/c$, $a_0 = 0.1$, and $\sigma_1 = 0.2k_0$.

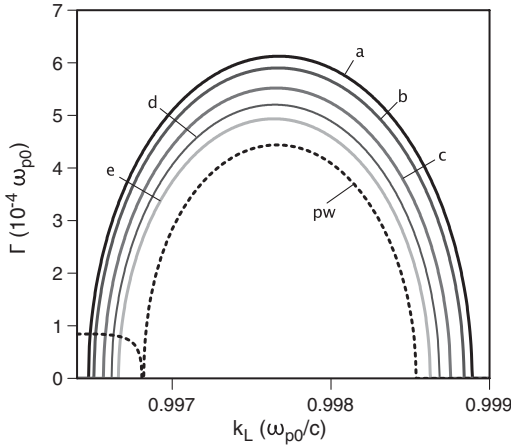


FIG. 2. Growth rate of the instability in the range of k_L leading to RFS, for a photon distribution with $k_L k_0 = 80\omega_{p0}/c$, $a_0 = 0.1$, and $\sigma_1 = 0.2k_0$, (a) $\sigma_2 = 0.29k_0$, (b) $\sigma_2 = 0.39k_0$, (c) $\sigma_2 = 0.59k_0$, (d) $\sigma_2 = 0.78k_0$, (e) $\sigma_2 = 0.98k_0$. The plane wave (pw) pump scenario is obtained for the same parameters $k_0 = 80\omega_{p0}/c$, $a_0 = 0.1$ —RMI is also observed in this case.

growth rate with σ_2 , for a water-bag photon distribution, is also clear.

We have studied electronic parametric instabilities in the presence of broadband fields; the maximum growth rates for these instabilities decrease with increasing σ_2 . A qualitative difference has been identified between RFS and RBS in the underdense limit, and for $\sigma_2 \lesssim k_0$, with RFS decreasing slower than RBS, thus less sensitive to broadband fields, illustrating a fundamental difference between these two processes. Numerical solutions of the generalized

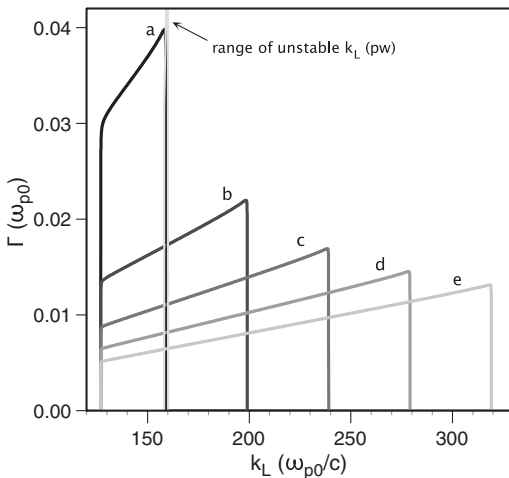


FIG. 3. Growth rate of the instability in the range of k_L leading to RBS for a photon distribution with $k_0 = 80\omega_{p0}/c$, $a_0 = 0.1$, and $\sigma_1 = 0.2k_0$, (a) $\sigma_2 = 0$, (b) $\sigma_2 = 0.25k_0$, (c) $\sigma_2 = 0.5k_0$, (d) $\sigma_2 = 0.75k_0$, (e) $\sigma_2 = k_0$. The range of unstable wave numbers for the monochromatic scenario (pw) is also shown for reference, with a $\Gamma_{\text{RBS,pw}} = 0.44\omega_{p0}$.

dispersion confirm the theoretical results and illustrate the increase of the range of unstable wave numbers with σ . Generalization of this work to self-focusing and stimulated Brillouin scattering is straightforward, thus allowing comparison with recent experimental results [15], and particle-in-cell simulations, to be presented elsewhere.

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