## Formation of Collisionless High- $\beta$ Plasmas by Odd-Parity Rotating Magnetic Fields

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Odd-parity rotating magnetic fields (RMF<sub>o</sub>) applied to mirror-configuration plasmas have produced average electron energies exceeding 200 eV at line-averaged electron densities of  $\sim 10^{12}$  cm<sup>-3</sup>. These plasmas, sustained for over  $10^3 \tau_{\rm Alfven}$ , have low Coulomb collisionality,  $v_c^* \equiv L/\lambda_C \sim 10^{-3}$ , where  $\lambda_C$  is the Coulomb scattering mean free path and L is the plasma's characteristic half length. Divertors allow reduction of the electron-neutral collision frequency to values where the RMF<sub>o</sub> coupling indicates full penetration of the RMF<sub>o</sub> to the major axis.

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The field-reversed configuration [1,2] (FRC) is a high- $\beta$  (plasma pressure/magnetic-field energy density) plasma confinement concept that possesses many attractive features favoring its development into a practical fusion reactor. To reach this goal, physics research must find viable methods to achieve adequate energy confinement, to maintain plasma stability, to drive plasma current for sustaining the configuration, and to heat the plasma to fusion-relevant temperatures. The rotating magnetic-field (RMF) technique, conceived [3] as a method to generate plasma current, also has the potential to heat and stabilize plasma [4–6]. RMF research should be performed at low  $\nu_c^*$  to explore the physics regime relevant to fusion reactors.

Plasma formation by even-parity RMFs (RMF<sub>e</sub>), the geometry pioneered in the 1980s in the rotamak series of experiments [7], has not been successful in producing collisionless FRC plasmas, sustaining only warm electrons,  $T_e \le 50$  eV, in relatively dense and large plasmas, even for heating powers in excess of 2 MW [8]. Some, e.g., Ref. [8], attributed the limitation to a radiation barrier, though Bellan showed that the energy loss from RMF<sub>e</sub>-heated devices was at a rate consistent with ionacoustic flow [9], evidence for open field lines predicted by theoretical work [10]. Six years ago, theoretical analysis demonstrated that low amplitude transverse fields of odd parity would maintain the closure of an FRC's field lines [11]. Soon after, theoretical research showed that fully penetrated odd-parity RMFs (RMF<sub>o</sub>s) could effectively heat ions and electrons in collisionless FRCs [4,5,12].

Electron energy is a natural measure of confinement quality and heating physics. The experiments described herein measured  $\beta$  and electron density and energy in plasmas generated by RMF<sub>o</sub>, testing the hypothesis that this novel symmetry-preserving class of rotating magnetic fields can effectively heat electrons at low  $\nu_c^*$ . Our novel results include the RMF<sub>o</sub> generation of low  $\nu_c^*$ , high- $\langle \beta \rangle$  plasmas with electron temperatures well above 100 eV, sustained for more than  $10^3 \tau_{\rm Alfven}$  and with the RMF<sub>o</sub> fully penetrated to the major axis.

Achieving high  $T_e$  in a plasma by the application of time-varying fields is difficult if the only scattering process

is Coulomb and fundamental resonances are absent. However, the FRC's magnetic field is highly inhomogeneous, allowing for electron scattering by bends in the magnetic field [13] and by crossing a phase-space separatrix [12]. A numerical study [5] of electron dynamics in FRCs with RMF<sub>o</sub>s at frequencies far below the electron cyclotron frequency showed periodic electron acceleration near and along the O-point null line with energy oscillations,  $\Delta W_M \sim |eB_R\omega_R r_s^2|$ , where  $B_R$  is the RMF<sub>o</sub> amplitude,  $\omega_R$  is the RMF<sub>o</sub> angular frequency, e is the electron charge, and  $r_s$  is the FRC separatrix radius. A slow secular increase in the average electron energy, to  $\Delta W_M/3$ , results, due to the aforementioned collisionless scattering. Such scattering events increase plasma resistivity, impacting plasma heating, current drive, and RMF penetration.

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Recent modifications to a 40-cm-radius, 3-m-long FRC device allowed RMF<sub>o</sub> studies at 1 MW of heating power and showed encouraging results, notably improved stability [14], and reduced conduction losses [15]. In the latter experiments, the total temperature (electron plus ion) increased from 22 to 32 eV. Plasma impurities and lack of density control were thought to have created a radiation barrier, limiting  $T_e$  and RMF<sub>o</sub> penetration. Because of Coulomb collisions at this low  $T_e$ , the large machine size, moderate density, and frequent electron-neutral collisions in this device's high-recycling geometry, the plasma remained in the collisional regime,  $\nu_c^* \sim 1$ , where heating and transport are dominated by binary collisions rather than field closure and collisionless scattering. Higher  $T_e$ , lower neutral pressure, lower plasma density, and smaller device size are paths to a low- $\nu_e^*$  reactorlike regime.

Our approach to low  $\nu_e^*$  employs high- $\omega_R$  low- $B_R$  RMF<sub>o</sub>, consistent with conditions for RMF current drive and penetration [16]. Density control is critical. The Princeton FRC (PFRC) device, with internal flux conservers and two divertors for reducing neutral density and plasma density (see Fig. 1), was designed and operated based on these principles. Four 29-cm-long rf-powered RMF<sub>o</sub> antennas are placed symmetrically about the PFRC midplane and outside its 10-cm-ID, 81-cm-long multiport Pyrex vacuum vessel. At each end of the Pyrex vessel are

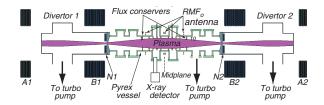


FIG. 1 (color). PFRC schematic. Scaled cross-sectional view.

sets of three coaxial magnet coils (A1, B1, and N1 and A2, B2, and N2) which produce a weak ( $B_0$  to 400 G) predominantly axial field at the midplane and a stronger mirror field ( $B_M$  to 5000 G) at the centers of coils N1and N2. Nested in each ABN coil set is a divertor chamber. Centered inside the Pyrex vessel is a coaxial 33-cm-long array of ten copper rings. These rings, separated from each other by 3–6 cm, function as flux conservers (FCs), to slow radial expansion of the magnetized plasma column. The FC array has a skin time,  $\tau_s$ , of 3 ms. The inner radii of the FC rings vary from  $r_{\rm FC} = 4.15$  cm to 2.75 cm, with smaller IDs further from the Pyrex vessel's midplane. Coplanar with and mounted radially inboard of the 4th and 7th FCs (and elsewhere) are diamagnetic loops (DL). A 170-GHz interferometer views the plasma radially between the 3rd and 4th FCs. X-ray and visible spectroscopy diagnostics view the plasma 6.5 and 23 cm from the midplane.

To form plasmas of line-average density  $\bar{n}_e =$ 10<sup>11–13</sup> cm<sup>-3</sup>, a static mirror-geometry magnetic field is established in the Pyrex vessel by the ABN coils. H<sub>2</sub> gas is then flowed through the Pyrex vessel, raising the pressure to 0.4–40 mTorr. rf power,  $P_{\rm rf}$ , is transmitted to the four antennas through tank circuits and applied in 0.1 to 15-msduration pulses at a duty factor of 0.5%. The rf frequency,  $f_{\rm rf} \equiv \omega_R/2\pi$ , controllable to one part in 10<sup>8</sup>, is set close to the resonance of the RMF<sub>o</sub> antennas plus tank circuits subsystem which has Q in the range 60–160 at 14 MHz in the absence of plasma.  $P_{\rm rf}$  is increased until pulsed plasmas have formed, at which point Q drops by 10%-70%. The maximum net rf power (forward—reverse,  $P_f$  –  $P_r$ ) is  $P_{rf} = 11$  kW; up to 7 kW has been coupled to the plasma.  $f_{\rm rf}$  may be modulated during a pulse. We typically use square-wave FM, with frequency shifts as large as 250 kHz. We first describe results without FM.

Figures 2(a) and 2(b) show data from a pulse with  $B_0 = 55$  G,  $H_2$  fill pressure  $P_{H_2} = 1.25$  mTorr, and  $f_{rf} = 14.062$  MHz, 70 kHz above the resonant frequency with no plasma,  $f_v$ .  $\bar{n}_e$ , calculated with the assumption that the plasma diameter was 8 cm, quickly rises to  $0.5 \times 10^{12}$  cm<sup>-3</sup>, maintains that value for 1.8 ms, and then steadily declines, falling to near zero before the rf power is terminated at 3.2 ms. The diamagnetic-loop-measured flux change,  $\Phi_{DL}$ , was 60 nVs at the initiation of the discharge. In 2 ms  $\Phi_{DL}$  rose at an increasing rate to 600 nVs, remained there for 0.8 ms, and then began to fall just after  $\bar{n}_e$  began its decline. ( $\Phi_{DL}$  returns to zero at  $\sim 3\tau_s$  after the rf power is shut off.)  $P_{rf}$  initially rises to

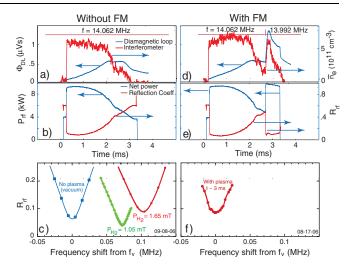


FIG. 2 (color). Upper group: time evolution of  $\Phi_{\rm DL}$ ,  $\bar{n}_e$ ,  $P_{\rm rf}$ , and  $R_{\rm rf}$  for hydrogen discharges. (a), (b) are at fixed frequency, 14.062 MHz; in (d), (e) the frequency was shifted (FM) to 13.992 MHz at 2.7 ms. Lower group:  $R_{\rm rf}$  vs RMF $_o$  frequency relative to  $f_v$ : (c), for three conditions: (1) without plasma, (2) at  $t\sim 1$  ms in discharges with  $P_{\rm H_2}=1.05$  mTorr ( $B_0=55$  G), and (3) with  $P_{\rm H_2}=1.65$  mTorr ( $B_0=122$  G); (f), at  $t\sim 3$  ms, i.e., after FM, in discharges with  $P_{\rm H_2}=1.25$  mTorr.

4 kW, then steps to 9.4 kW, remaining there for 1 ms. Over the next 2 ms  $P_{\rm rf}$  steadily declines to 4 kW. The rf voltage reflection coefficient,  $R_{\rm rf} \equiv \sqrt{P_r/P_f}$ , is initially high, 0.6 for t = 0–0.15 ms, because  $\dot{f}_{\rm rf} \neq f_{\nu}$ . At t = 0.15 ms,  $R_{\rm rf}$ drops below 0.1 and remains there until t = 1.0 ms.  $R_{\rm rf}$ increases through the rest of the pulse. The maximum power coupled to this plasma was 3 kW. Concurrent with the decrease in rf coupling during the time t = 1.0-3.2 ms is a decrease in  $H_{\alpha}$  radiation. The good rf coupling from 0.16 to 1.0 ms is due to  $f_{\rm rf}$  being very close to the system (i.e., antennas + tank circuits + plasma) resonant frequency,  $f_s$ . Exclusion of the RMF<sub>o</sub> from part of the volume occupied by the plasma lowers the inductance of the RMF<sub>o</sub> system, raising  $f_s$ . Thus, the reason why  $f_{rf}$  is initially set above  $f_v$  is to improve power coupling when there is poor RMF<sub>o</sub> penetration into the plasma. The change in rf coupling after 1.0 ms is caused by a change in  $f_s$  due to evolving plasma parameters.

 $\bar{n}_e$  and  $\Phi_{\rm DL}$  were measured at an absorbed power of  $\sim 6$  kW for a range of  $B_0$  values. The maximum achieved  ${}_m\Phi_{\rm DL}$  are plotted in Fig. 3, along with  $\langle\beta\rangle$  values, calculated using the method detailed in the next paragraph, based on the equations in [17] for  $\langle\beta\rangle > 0.5$  and in [18] for  $\langle\beta\rangle < 0.5$ . For  $\langle\beta\rangle > 0.5$ , Ref. [17] assumes a separatrix surface inside which the magnetic flux is zero. For  $\langle\beta\rangle < 0.5$ , no magnetic separatrix is assumed. Note that we have no direct experimental measurements that can unambiguously distinguish between a closed-field-line FRC and a high- $\beta$  mirror configuration. For  $B_0 < 70$  G, Ref. [17] indicates that the plasma may be in a field-reversed configuration while for  $B_0 > 70$  G it is in a mirror configura-

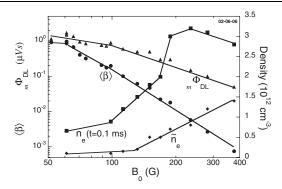


FIG. 3. Measured  $_m\Phi_{\rm DL}$ ,  $\langle\beta\rangle$ ,  $\bar{n}_e$ , and  $\tilde{n}_e$  vs  $B_o$ . Pulse duration t=2 ms, max  $P_{\rm rf}=9.6$  kW,  $f_s=13.967$  MHz,  $P_{\rm H_2}=1.08$  mTorr,  $B_M=2800-5000$  G.

tion. At the larger  $B_0$  values,  $\bar{n}_e$  showed strong periodic fluctuations (50 kHz at  $B_0 = 200$  G decreasing to 15 kHz at  $B_0 = 400$  G), reaching  $\tilde{n}_e/\bar{n}_e \sim 0.5$  at  $B_0 = 350$  G.

Analyses of  $\Phi_{DL}$  and x-ray spectra were performed to extract  $T_e$ . The measured  $\Phi_{\rm DL}$  was compared with that calculated by a numerical model of the electrical current evolution in the plasma, FCs, and DLs and with experimental calibrations using a pulsed solenoid. The plasma was assumed to have the shape of a Hill's vortex with elongation  $\kappa \equiv L/r_s = 5$ . Time-dependent simulations of DL signals were made for a range of  $r_s$  values and FRC plasma current profiles, generating a graph of  $_pB_0$ versus  $r_s$  per ampere of FRC current, where  $_nB_0$  is the onaxis midplane field with an FRC plasma. This graph was compared with the  $_{p}B_{0}$  that would result when FRCs of different  $r_s$  were formed at an initial bias field of  $B_0$ :  $_{p}B_{0}(r_{s}) = -B_{0}r_{FC}^{2}/(r_{FC}^{2}-r_{s}^{2})$ , which assumes perfect flux conservation by the FCs, accurate to better than 15% for times less than 1 ms. The intersection of these two graphs gives  $r_s$  and  $_pB_0$ . (For  $B_0\sim 60$  G,  $r_s$  was usually in the range 1.9–3.0 cm and  $|_{p}B_{0}/B_{0}| = 1.25-2.1$ .) Given the measured  $\bar{n}_e$  and assuming a uniform density profile,  $T_e$  is extracted by use of the Barnes relation [19],  $\langle \beta \rangle = 1$  –  $(r_s/r_{\rm FC})^2/2$ . (The Barnes relation does not include plasma pressure or radial field beyond the X point, hence gives a lower limit on  $\langle \beta \rangle$  [17].) Typical values were  $\langle \beta \rangle$  = 0.75–0.9 and  $T_e = 100-200 \text{ eV}$ , with the lower  $T_e$  obtained if  $n_e$  was assumed to be zero outside  $r_s$ . (This is not considered likely because the gyroradii of both 100-200 eV electrons and 0.4 eV H<sup>+</sup>, the latter determined by Doppler broadening measurements of  $H_{\alpha}$ , are  $\sim 0.5$  cm.) These experiments show strong evidence for intense hydrogen recycling caused by radial losses to the flux conservers, especially at lower  $B_0$  and during the  $\bar{n}_e$  flattop.

Si-diode [20] x-ray spectroscopy showed x rays at energies between 0.6 and 4 keV. One spectrum is shown in Fig. 4, corrected for both the measured detector resolution and a transmission coefficient, from the literature, of the 1-mil Be vacuum window in front of the detector. The spectrum between 900 and 1900 eV, accumulated for 0 <

t < 3.75 ms of 4348 highly reproducible discharges, shows an exponential shape fit by  $T_e = 150 \pm 25$  eV [21]. Bremsstrahlung from the PFRC is primarily due to electron collisions with neutral hydrogen [22,23] because  $5 < (n_{\rm H^o} + 2n_{\rm H_2})/n_{\rm H^+} < 500$ . From the highest  $T_e$  attained, 230 eV at  $\bar{n}_e = 1.5 \times 10^{12}$  cm<sup>-3</sup>,  $B_0 = 60$  G, and 7 kW absorbed RMF<sub>o</sub> power,  $T_e$  falls as the power is reduced or  $B_0$  is increased. For  $B_0 \ge 130$  G or low  $n_{\rm H_2}$ , the x-ray count rate is too low to allow a  $T_e$  determination. At  $T_e = 100$  eV and  $n_e = 10^{12}$  cm<sup>-3</sup>, the Coulomb collisionality is  $\nu_c^* \equiv L/\lambda_C = 10^{-3}$ , assuming L = 16.5 cm, half the length of the FC array.

The RMF code [5] was used to explore RMF<sub>o</sub> "collisionless" electron heating. Using  $r_s = 3$  cm,  $\kappa = 5$ ,  $f_{\rm rf} = 14$  MHz,  $_pB_0 = 120$  G, and  $B_R = 10$  G, the RMF code does predict average electron energies of 230 eV (see inset in Fig. 4), a value very close to  $3T_e/2$ . The code also shows good single-particle confinement, >40  $\mu$ s. However, for initial electron energies near 5 eV, the code shows a cutoff in energy at 700 eV, not the exponential tail seen in the x-ray data. An exponential tail might arise from Coulomb or neutral-particle scattering not in the RMF code. (RMF simulations of the initial PFRC mirror configuration show less electron heating and rapid electron loss, in less than 0.4  $\mu$ s for  $B_R > 3$  G.)

Refinements to the experimental methodology, particularly the use of FM and of divertors, produced higher peak  $\Phi_{\rm DL}$ , to 5  $\mu$ Vs, at low  $B_0$ , 55 G. The unique FM capability of the PFRC rf system allows in-discharge compensation for changes in  $f_s$  caused by evolving plasma characteristics. Quantification of the shift in resonance provides noninvasive diagnosis of the RMF<sub>o</sub> penetration depth into the plasma column, as now described.

If the RMF<sub>o</sub> does not penetrate into the approximately cylindrical volume occupied by the plasma, the inductance of the RMF<sub>o</sub> system is lowered and the resonant frequency rises by  $\Delta f_p = \alpha r_e^2$ , where  $r_e$  is the effective radius of the cylindrical volume inside which the RMF<sub>o</sub> does not penetrate. (By placing metal rods of varying diameters along

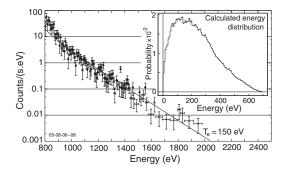


FIG. 4. Measured x-ray spectrum:  $_mP_{\rm rf}=9.6~{\rm kW};~_mn_e=1.6\times 10^{12}~{\rm cm}^{-3};~_m\Phi_{\rm DL}=5~\mu{\rm Vs};~f_s=14.000~{\rm MHz}$  (no FM);  $P_{\rm H_2}=1.09~{\rm mTorr};~B_0=56~{\rm G};~B_M=2900~{\rm G},$  where subscript m means maximum value. The spectrum shape is fit by  $T_e=150~{\rm eV}.$  Inset: electron energy distribution calculated with the RMF code.

the PFRC major axis and measuring the shift in resonance,  $\alpha$  was determined to be 13.3 kHz/cm<sup>2</sup>.) First, without plasma, we scan  $f_{\rm rf}$  to measure the system resonant frequency:  $f_s = f_v = 13.992 \text{ MHz}$  in this example, see Fig. 2(c). Then, with  $P_{\rm H_2} = 1.25$  mTorr,  $B_0 = 55$  G, and  $B_M = 2900$  G, rf power is applied to the antennas in 2-msduration pulses. The plasmas formed initially shift  $f_s$  away from  $f_v$ , as evidenced by an increase in  $R_{\rm rf}$ . To increase the power coupling to the plasma during the initial 1 ms of the discharge,  $f_{\rm rf}$  is set above  $f_v$ , typically to  $f_p = f_v +$  $\Delta f_p \sim 14.062$  MHz, which lowers  $R_{\rm rf}$  to 0.1, see t =0.2–1 ms in Fig. 2(b). (The  $\Delta f_p$  required to minimize  $R_{\rm rf}$ increases with increasing  $P_{\rm H}$ , and  $B_0$ , see Fig. 2(c).) Then, the RMF<sub>o</sub> pulse length is stretched to 3.2 ms, to allow gas in the Pyrex vessel to be ionized and exhausted into the divertor chambers. From t = 1 to 2.7 ms  $R_{\rm rf}$  slowly increases. In a sequence of discharges,  $f_{\rm rf}$  at 2.7 ms is shifted differing amounts, i.e., FM, to search for the frequency, \* $f_{\rm rf}$ , which gives the lowest  $R_{\rm rf}$ , see Fig. 2(f), and highest rf-power coupled. Pronounced increases in  $\bar{n}_e$  and  $\Phi_{\rm DL}$ , see Fig. 2(d), occur at  ${}^*f_{\rm rf}$ . For cases where  ${}^*f_{\rm rf}=f_{\it v}$ , the RMF<sub>o</sub> is deemed to have fully penetrated to the PFRC major axis.  $H_{\alpha}$  spectroscopy and a fast pressure gauge in the Pyrex chamber confirm reduction in neutral gas density, the latter showing  $n_{\rm H_2} < 10^{13} \ {\rm cm^{-3}}$  in the Pyrex chamber when  $f_{rf} = f_v$ . Timing and sizing the FM, change of fill-gas pressure, and control of rf power have allowed the FM-mediated  $\bar{n}_e$  and  $\Phi_{DL}$  increases to be maintained for >1 ms.

One other RMF/FRC group [24] has reported full RMF penetration. That, too, was achieved at low neutral pressures. Consideration of elastic electron-neutral scattering [25] and collisional ionization predicts that a neutral  $(2n_{\rm H_2} + n_{\rm H})$  density below  $5 \times 10^{13} {\rm cm}^{-3}$  is required for full RMF penetration into the PFRC at  $T_e = 100$  eV, using the penetration criterion of Jones and Hugrass [16] with plasma resistivity modified to include electron collisions with neutrals. This density exceeds our result by a factor of 2.5, perhaps indicating the importance of other scattering processes, e.g., Speiser, or corrections needed to the Jones and Hugrass model to account for RMF<sub>o</sub> applied to a kinetic (rather than MHD) plasma. Based on the results presented here, Speiser scattering should not prevent RMF penetration into a reactor-scale [4,5] advanced-fuelburning FRC.

In summary, relatively low amplitude  $(B_R/_pB_0 \sim 0.1)$  RMF<sub>o</sub>s have produced H<sup>+</sup> plasmas with  $T_e$  up to 225 eV,  $\langle \beta \rangle = 0.75$ –0.9, and  $r_s = 1.9$ –3 cm at  $\bar{n}_e \sim 10^{12}$  cm<sup>-3</sup>, corresponding to  $\nu_c^* \sim 10^{-3}$ . No radiation barrier was encountered. The Bremsstrahlung spectrum from electronneutral collisions is consistent with a Maxwellian electron energy distribution. Though the average electron energy is consistent with collisionless RMF<sub>o</sub> heating in an FRC, the detailed shape of the x-ray spectra is not. Full penetration of the RMF<sub>o</sub> to the major axis occurred at molecular hydrogen densities below  $10^{13}$  cm<sup>-3</sup>.

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