Measurement of $D^0 \rightarrow \pi l \nu (K l \nu)$ Form Factors and Absolute Branching Fractions

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Using a 282 fb⁻¹ data sample collected by the Belle experiment at the KEKB e^+e^- collider, we study D^0 decays to $K^-\ell^+\nu$ and $\pi^-\ell^+\nu$ final states. The D^0 flavor and momentum are tagged through a full reconstruction of the recoiling charm meson and additional mesons from fragmentation. The reconstruction method provides very good resolution in neutrino momentum and in $q^2 = (p_\ell + p_\nu)^2$. Normalizing to the total number of D^0 tags, we measure the absolute branching fractions to be $\mathcal{B}(D^0 \to K\ell\nu) = (3.45 \pm 0.07_{\text{stat}} \pm 0.20_{\text{syst}})\%$ and $\mathcal{B}(D^0 \to \pi\ell\nu) = (0.255 \pm 0.019_{\text{stat}} \pm 0.016_{\text{syst}})\%$ and the semileptonic form factors (within the modified pole model) $f^K_+(0) = 0.695 \pm 0.007_{\text{stat}} \pm 0.022_{\text{syst}}$ and $f^{\pi}_+(0) = 0.624 \pm 0.020_{\text{stat}} \pm 0.030_{\text{syst}}$.

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Exclusive semileptonic decays of B and D mesons are a favored means of determining the weak interaction couplings of quarks within the standard model because of their relative abundance and simplified theoretical treatment. The latter, given leptons are insensitive to the strong force, is due to the decoupling of the leptonic from the hadronic current. Limiting the precision on extractions of the couplings $|V_{ub}|$ and $|V_{cb}|$ are our knowledge of the form factors parametrizing the hadronic current. Form factors from Band D meson semileptonic decay can and have been calculated using lattice QCD techniques [1-3] while heavy quark symmetry relates the two form factors [4]. Measurements of these decays are required to confront the theoretical predictions. In this Letter, we report measurements of the absolute rate and form factors of $D^0 \rightarrow$ $K^{-}l^{+}\nu_{l}$ and $D^{0} \rightarrow \pi^{-}l^{+}\nu_{l}$ $(l = e, \mu)$, which have also been recently investigated by CLEO [5,6], BES [7], and FOCUS [8]. The measurement of $D^0 \rightarrow \pi^- \mu^+ \nu_{\mu}$ is the first of its kind; furthermore, measurements of the form factor distributions $f_+(q^2)$, where q^2 is the invariant mass of the lepton pair, are substantially improved by using a novel reconstruction method with better q^2 resolution than in previous experiments.

Our analysis is based on data collected by the Belle detector [9] at the asymmetric-energy KEKB storage rings [10] with a center of mass (c.m.) energy of 10.58 GeV [Y(4S)] and 60 MeV below, corresponding to a total integrated luminosity of 282 fb⁻¹. The Belle detector is a large-solid-angle magnetic spectrometer that consists of a silicon vertex detector, a 50-layer central drift chamber, an array of aerogel threshold Cherenkov counters, a barrel-

like arrangement of time-of-flight scintillation counters, and an electromagnetic calorimeter comprised of CsI(Tl) crystals located inside a superconducting solenoid coil that provides a 1.5 T magnetic field. An iron flux return located outside of the coil is instrumented to detect K_L^0 mesons and to identify muons.

To achieve good resolution in the neutrino momentum and q^2 , we tag the D^0 by fully reconstructing the remainder of the event. We seek events of the type $e^+e^- \rightarrow D_{\rm tag}^{(*)}D_{\rm sig}^{*-}X\{D_{\rm sig}^{*-}\to\bar{D}_{\rm sig}^0\pi^-\}$, where X may include additional π^{\pm} , π^0 , or K^{\pm} mesons (inclusion of chargeconjugate states is implied throughout this Letter). Each candidate is assembled from a fully reconstructed "tagside" charm meson $(D_{\rm tag}^{(*)})$ and additional particles (X), with the requirement that the combination be kinematically consistent with $e^+e^- \rightarrow D_{\rm tag}^{(*)}D_{\rm sig}^{*-}X$. To the $D_{\rm tag}^{(*)}X$ is added a charged pion that is kinematically consistent with $\pi_s^$ from $D_{\rm sig}^{*-} \rightarrow \bar{D}_{\rm sig}^0\pi_s^-$. Candidate $D_{\rm tag}^{(*)}X\pi_s^-$ combinations passing the analysis criteria thus provide a tag of $\bar{D}_{\rm sig}^0$ and its momentum without having detected any of its decay products. The decay $\bar{D}_{\rm sig}^0 \rightarrow K^+(\pi^+)\ell^-\bar{\nu}$ may thus be reconstructed with the neutrino momentum fully constrained.

The $D_{\text{tag}}^{(*)}$ is reconstructed in the modes $D^{*+} \rightarrow D^0 \pi^+$, $D^+ \pi^0$ and $D^{*0} \rightarrow D^0 \pi^0$, $D^0 \gamma$, with $D^{+/0} \rightarrow K^-(n\pi)^{++/+}$ $\{n = 1, 2, 3\}$. Each D_{tag} and D_{tag}^* candidate is subjected to a mass-constrained vertex fit to improve the momentum resolution. We require a successful fit of each D_{tag} candidate; furthermore, if this candidate is a daughter of a

successfully fitted D_{tag}^* candidate, the event is treated as $D_{\text{tag}}^* D_{\text{sig}}^{*-} X$, otherwise it proceeds as $D_{\text{tag}} D_{\text{sig}}^{*-} X$. The candidate X is formed from combinations of unassigned π and K^+K^- pairs, conserving total electric charge. The 4momentum of D_{sig}^{*-} is found by energy-momentum conservation, assuming a $D_{tag}^{(*)} D_{sig}^{*-} X$ event. Its resolution is improved by subjecting it to a fit of the X tracks and the $D_{tag}^{(*)}$ momentum, constrained to originate at the run-by-run average collision point, while the invariant mass is constrained to the nominal mass of a D^{*-} . The candidate is rejected if the confidence level of this fit is less than 0.1% (corresponding to $\pm 3.3\sigma$ of mass resolution). Candidates for π_s^- are selected from among the remaining tracks, and for each the candidate \bar{D}_{sig}^0 4-momentum is calculated from that of the D_{sig}^{*-} and π_s^- . The corresponding invariant mass is shown in Fig. 1. The momentum is then adjusted by a kinematic fit constraining the candidate mass to that of the D^0 . For this fit, the decay vertex of the $\bar{D}^0_{\rm sig}$ has been estimated by extrapolating from the collision point in the direction of the \bar{D}_{sig}^0 momentum assuming the average decay length; a comparison with the result without this vertex correction showed that the corresponding systematic error is negligible. Again, the candidate is rejected if the confidence level of this fit is less than 0.1%.

Background lying under the \bar{D}_{sig}^0 mass peak (i.e. fake- \bar{D}_{sig}^{0}) is estimated using a wrong sign (WS) sample where the tagside and signalside D candidates have the same flavor (\bar{D}_{sig}^0 instead of D_{tag}). A Monte Carlo (MC) study [including $\Upsilon(4S) \rightarrow B\overline{B}$ and continuum $(q\overline{q}, where$ q = c, s, u, d events [11,12] has found that this sample can properly model the shape of background except for a small contribution from real \bar{D}_{sig}^0 decays ($\approx 2\%$) from interchange between particles used for the tag due to particle misidentification. Background from fake \bar{D}_{sig}^0 is subtracted normalizing this shape in a sideband region 1.84–1.85 GeV/ c^2 . We find 56461 ± 309_{stat} ± 830_{syst} signal $\bar{D}_{\rm sig}^0$ tags. The systematic uncertainty derives from statistics of the WS sample (0.5%), subtraction of real \bar{D}_{sig}^{0} contamination in the WS sample (0.6%), and charge correlation in the background (2%). The last was estimated with MC simulations by comparing true right sign (RS) background with that of the WS.

Within this sample of \bar{D}_{sig}^0 tags, the semileptonic decay $\bar{D}_{sig}^0 \rightarrow K^+(\pi^+)\ell^-\bar{\nu}$ is reconstructed with $K^+(\pi^+)$ and ℓ^- candidates from among the remaining tracks. An event is rejected if there are any remaining unassigned charged particles (2.2% [8.3%] of events in kaon [pion] mode) or if the remaining unassigned neutral energy exceeds 700 MeV (9.0% [12.0%] of events in kaon [pion] mode). This requirement has been optimized based on a comparison of the simulated and observed energy distributions; it removes a large fraction of \bar{D}_{sig}^0 hadronic decays with one



FIG. 1. Mass spectrum of \bar{D}_{sig}^0 candidates.

of the final state charged tracks unreconstructed. The neutrino candidate 4-momentum is reconstructed by energymomentum conservation, and its invariant mass squared, m_{ν}^2 , is required to satisfy $|m_{\nu}^2| < 0.05 \text{ GeV}^2/c^4$.

Multiple candidates still remain in one third of \bar{D}_{sig}^0 tags, and in about one quarter of the semileptonic sample. In these cases all candidates are saved and given equal weights adding up to 1. In the MC study, the difference between the result of this method and the result when only the true signal is retained, is found to be negligible.

The contribution from fake \bar{D}_{sig}^0 in the sample of semileptonic decay candidates is estimated using the \bar{D}_{sig}^0 invariant mass WS shape of the \bar{D}_{sig}^0 tag sample, normalized in the previously defined sideband region. The effect of the additional selection criteria on the background shape has been conservatively estimated, by varying these criteria, to be 15% (35%) for $e(\mu)$ modes.

There are also backgrounds from semileptonic decays with either an incorrectly identified meson or where additional mesons are lost in reconstruction. These backgrounds are highly suppressed by the good neutrino mass resolution. For $\overline{D}_{sig}^0 \to \pi^+ \ell^- \nu$ the most significant back-ground is $\overline{D}^0 \to K^+ \ell^- \nu$ amounting to 6%–8% of the total yield. It was estimated using the reconstructed $\bar{D}^0 \rightarrow$ $K^+\ell^-\nu$ decays in data, reweighted with the (independently measured) probability of kaons to fake pions. Smaller backgrounds from $\bar{D}^0 \to K^{*+} \ell^- \nu$ and $\bar{D}^0 \to \rho^+ \ell^- \nu$ decays amounting to 0.8%-0.9% were measured by normalizing MC calculations to data in the upper sideband region $m_{\nu}^2 > 0.3 \text{ GeV}^2/c^4$, which is dominated by these channels. For $\bar{D}_{sig}^0 \to K^+ \ell^- \nu$, decays of $\bar{D}^0 \to K^{*+} \ell^- \nu$ contribute at the level of 0.5%-0.8%, measured using a sideband evaluation as described above, while background from $\bar{D}^0 \to \pi^+ \ell^- \nu$ and $\bar{D}^0 \to \rho^+ \ell^- \nu$ was found to be negligible (< 0.07% of the total yield). Systematic uncertainties are assigned due to the following: MC statistics, which dominates overall (and according to channel ranges between 10%-40% of the background); fake rate uncertainties (3%-4%); and uncertainty on branching fractions of $\bar{D}_{sig}^0 \to K^+ / \rho^+ \ell^- \nu ~(\approx 1\%).$

The last source of background originates from \bar{D}_{sig}^0 decays to hadrons, where a hadron is misidentified as a

lepton. It is measured with an opposite sign (OS) sample, where the lepton charge is opposite to that of the D_{sig}^{*-} slow pion. Note that the signal is extracted from the same sign (SS) sample. In contrast to the SS sample, the OS sample has no signal or semileptonic backgrounds; fake \bar{D}_{sig}^0 are subtracted in the same manner described previously. Assigning well identified pion and kaon tracks a lepton mass, we construct pure background m_{ν}^2 distributions in both SS and OS, which we label f_m^{SS} and f_m^{OS} , m = K, π . A fit of the weights a_K and a_{π} of the components f_K^{OS} and f_{π}^{OS} in the m_{ν}^2 distribution of the OS data sample is performed, and the hadronic background in the SS data sample is calculated as $(a_K f_K^{SS} + a_\pi f_\pi^{SS})$, utilizing the fact that the hadron misidentification rate does not depend on the charge correlation defining SS and OS. The method has been validated using MC samples. As the muon fake rate is about an order of magnitude larger than that for electrons, this background is much more significant for muon modes. Systematic uncertainties are assigned based on the bias of the method as studied in MC calculations (11%-35%), and parameter errors from the fit (16% - 35%).

The signal yields and estimated backgrounds are summarized in the upper part of Table I. Efficiencies depend strongly on n_X , defined as the number of $\pi^{\pm(0)}$ and K^{\pm} mesons assigned to X (in $e^+e^- \rightarrow D_{\text{tag}}^{(*)}D_{\text{sig}}^{*-}X$), and are determined with MC calculations; typical ratios are $\epsilon_{h\ell\nu}/\epsilon_{\bar{D}_{\text{sig}}^0} \approx 70\%$. As the observed n_X distribution in the data is slightly different from that simulated, we have reweighted the simulated efficiencies, amounting to a $(\pm 1.9 \pm 3.9)\%$ change in the efficiency correction; the corresponding uncertainty is accounted for in the systematic error. No other biases due to n_X -dependent effects were found.

Normalizing to the total number of \bar{D}_{sig}^0 tags, the absolute branching fractions summarized in the lower part of Table I are obtained; systematics are dominated by the absolute normalization. The results for electron decay modes are in good agreement with those from Refs. [6,7], and the measured $\bar{D}_{sig}^0 \rightarrow \pi^+ e^- \nu$ branching fraction confirms the prediction of Ref. [13]. The results

for the muon modes are in agreement with the ratio given in Ref. [14].

More information about the semileptonic decays is obtained by studying the differential decay width $d\Gamma/dq^2$. The resolution in q^2 of semileptonic decays is found to be $\sigma_{q^2} = 0.0145 \pm 0.0007_{\text{stat}} \text{ GeV}^2/c^2$ in MC signal events. This is much smaller than statistically reasonable bin widths, which have been chosen as $0.067(0.3) \text{ GeV}^2/c^2$ for kaon (pion) modes, and hence no unfolding is necessary. Bias in the measurement of q^2 that may arise due to events where the lepton and meson are interchanged, a double misassignment, was checked with candidate $\bar{D}_{\text{sig}}^0 \rightarrow K^+ \ell^- \nu$ events and found to be negligible. The differential decay width is bin-by-bin background subtracted and efficiency corrected, using the same methods described previously.

In the theoretical description, the differential decay width is dominated by the form factor $f_+(q^2)$ [15]. Up to order m_{ℓ}^2 it is given by

$$\frac{d\Gamma^{K(\pi)}}{dq^2} = \frac{G_F^2 |V_{cs(d)}|^2}{24\pi^3} |f_+^{K(\pi)}(q^2)|^2 p_{K(\pi)}^3 \tag{1}$$

where $p_{K(\pi)}$ is the magnitude of the meson 3-momentum in the \bar{D}_{sig}^0 rest frame [16]. These form factors have been calculated recently in unquenched lattice QCD [1,2]. In the *modified pole model* [17], the form factor f_+ is described as

$$f_{+}(q^{2}) = \frac{f_{+}(0)}{(1 - q^{2}/m_{\text{pole}}^{2})(1 - \alpha_{p}q^{2}/m_{\text{pole}}^{2})},$$
 (2)

with the pole masses predicted as $m(D_s^*) = 2.11 \text{ GeV}/c^2$ (for $\bar{D}_{sig}^0 \rightarrow K^+ \ell^- \nu$) and $m(D^*) = 2.01 \text{ GeV}/c^2$ (for $\bar{D}_{sig}^0 \rightarrow \pi^+ \ell^- \nu$). Setting $\alpha_p = 0$ leads to the *simple pole* model [15]. The Isgur-Scora-Grinstein-Wise model [18] for N = 2 (ISGW2) predicts

$$f_{+}(q^{2}) = \frac{f_{+}(0)(1 + \alpha_{I}q_{\max}^{2})^{2}}{[1 - \alpha_{I}(q^{2} - q_{\max}^{2})]^{2}}$$
(3)

where q_{\max}^2 is the kinematical limit of q^2 and α_I is a

TABLE I. Yields in data, estimated backgrounds, extracted signal yields, and branching fractions, where for the last two the first uncertainty is statistical and second is systematic; small differences in the numbers are due to rounding.

Channel	Full $\bar{D}_{ m sig}^0$	$K^+ e^- \nu_e$	$K^+ \mu^- u_\mu$	$\pi^+ e^- u_e$	$\pi^+\mu^- u_\mu$
Yield	95 250	1349	1333	152	141
Fake $\bar{D}_{\rm sig}^0$	38789 ± 830	12.6 ± 2.2	12.2 ± 4.8	12.3 ± 2.2	12.5 ± 4.5
Semileptonic	•••	6.7 ± 2.6	10.0 ± 2.5	11.7 ± 1.2	12.6 ± 1.9
Hadronic	•••	11.9 ± 5.6	62.1 ± 23.9	1.8 ± 0.7	9.7 ± 3.7
Signal	$56461\pm 309\pm 830$	$1318\pm37\pm7$	$1249\pm37\pm25$	$126 \pm 12 \pm 3$	$106\pm12\pm6$
Branching Fraction (10^{-4})		$345\pm10\pm19$	$345\pm10\pm21$	$27.9 \pm 2.7 \pm 1.6$	$23.1 \pm 2.6 \pm 1.9$
(<i>e</i> and μ channels, average)		$345 \pm 7 \pm 20$		$25.5 \pm 1.9 \pm 1.6$	



FIG. 2 (color online). Form factors for (a) $D^0 \rightarrow K^- \ell^+ \nu$, in q^2 bins of 0.067 GeV²/ c^2 and (b) $D^0 \rightarrow \pi^- \ell^+ \nu$, in q^2 bins of 0.3 GeV²/ c^2 . Overlaid are the predictions of the simple pole model using the physical pole mass (dashed), and a quenched [3] (light gray), and unquenched [2] (dark gray) LQCD calculation. Each LQCD curve is obtained by fitting a parabola to values calculated at specific q^2 points. The shaded band reflects the theoretical uncertainty (without the Becirevic-Kaidalov-ansatz error for Ref. [2]) and is shown within the range of q^2 for which calculations are reported.

parameter of the model, calculated for the *K* mode as $\alpha_I(K) = 0.47 \text{ GeV}^{-2}c^2$.

The measured q^2 distribution is fitted with 2 free parameters to the predicted differential decay width $d\Gamma/dq^2$ of the different models with $f_{+}(0)$ being one of the parameters, and m_{pole} , α_p , or α_I the other. Binning effects are accounted for by averaging the model functions within individual q^2 bins. The fit to the simple pole model $m_{\text{pole}}(K^-\ell^+\nu) = 1.82 \pm 0.04_{\text{stat}} \pm 0.03_{\text{syst}} \,\text{GeV}/c^2$ yields $(\chi^2/\text{ndf} = 34/28)$ and $m_{\text{pole}}(\pi^- \ell^+ \nu) = 1.97 \pm 0.08_{\text{stat}} \pm$ $0.04_{\text{syst}} \text{ GeV}/c^2$ ($\chi^2/\text{ndf} = 6.2/10$), in agreement with results from CLEO [5] and FOCUS [8]. While the pole mass for the $\pi\ell\nu$ decay agrees within errors with the predicted value, $m(D^*)$, the more accurate fit of $m_{\text{pole}}(K\ell\nu)$ is several standard deviations below $m(D_s^*)$. In the modified pole model, α_p describes this deviation of the real poles from the $m(D_{(s)}^*)$ masses. Fixing these masses to their known experimental values, a fit of α_n yields $\alpha_p(D^0 \to K^- \ell^+ \nu) = 0.52 \pm 0.08_{\text{stat}} \pm 0.06_{\text{syst}}$ $(\chi^2/\text{ndf} = 31/28)$ and $\alpha_p(D^0 \to \pi^- \ell^+ \nu) = 0.10 \pm$ $0.21_{\text{stat}} \pm 0.10_{\text{syst}}$ ($\chi^2/\text{ndf} = 6.4/10$). Finally, a fit of the parameter α_I in the ISGW2 model yields $\alpha_I(D^0 \rightarrow$ $\begin{array}{l} K^{-}e^{+}\nu) = 0.51 \pm 0.03_{\text{stat}} \pm 0.03_{\text{syst}} \text{ GeV}^{-2}c^{2} (\chi^{2}/\text{ndf} = 33/28) \quad \text{and} \quad \alpha_{I}(D^{0} \to \pi^{-}e^{+}\nu) = 0.60 \pm 0.10_{\text{stat}} \pm \end{array}$ $0.09_{\text{syst}} \text{ GeV}^{-2}c^2$ ($\chi^2/\text{ndf} = 7.0/10$). Systematic uncertainties were studied using a toy MC model where the exact simple pole model distributions for signal were randomly smeared according to the Gaussian errors found in the data. The fit reproduces the input pole masses without any significant bias; a shift of 1.2% ($0.3\sigma_{stat}$) observed in the pion mode was included in the systematic error. The subtracted background levels, which cause a correlation between q^2 bins, were also varied in this toy MC model.

The fitted values for $f_{+}^{K,\pi}(0)$ vary little for the different fits, for the modified pole model the results are $f_{+}^{K}(0) = 0.695 \pm 0.007_{\text{stat}} \pm 0.022_{\text{syst}}$ and $f_{+}^{\pi}(0) = 0.624 \pm 0.020_{\text{stat}} \pm 0.030_{\text{syst}}$; for the ratio (refitted without correlations due to normalization) we find

$$\frac{f_{\pm}^{K}(0)^{2}|V_{cd}|^{2}}{f_{\pm}^{K}(0)^{2}|V_{cs}|^{2}} = 0.042 \pm 0.003_{\text{stat}} \pm 0.003_{\text{syst}}, \quad (4)$$

which is consistent within errors with the modelindependent result using only the data in the first $\pi \ell \nu q^2$ bin $(q^2 < 0.3 \text{ GeV}^2/c^2)$. A recent theoretical prediction for the ratio [1] is $0.040 \pm 0.002_{\text{stat}} \pm 0.005_{\text{syst}}$. Our result (4) is in good agreement with those from CLEO [5] and FOCUS [14], which measure slightly lower values.

The measured form factors $f_{+}^{K,\pi}(q^2)$ are shown in Fig. 2 with predictions of the simple pole model, unquenched [2] and quenched [3] lattice QCD (LQCD). To obtain a continuous curve for f_{+} from the LQCD values reported at discrete q^2 points, the values were fitted by a parabola, which is found to fit well within the stated theoretical errors and is not associated with any specific model. To quantify the degree of agreement, we calculate χ^2 /ndf between our measurement and the interpolated LQCD curve within the q^2 range for which LQCD predictions are made. We find a χ^2 /ndf of 28/18 (34/23) for the kaon modes and 9.8/5 (3.4/5) for the pion modes; correlations induced by the fit of the calculated q^2 points to a parabola have been considered.

In conclusion, our measurement of the semileptonic $D^0 \to K(\pi)\ell\nu$ decays yields absolute branching fractions in agreement with other new measurements, and the first measurement of the absolute branching fraction, $\mathcal{B}(D^0 \to \pi^-\mu^+\nu)$. The good q^2 resolution results in substantially improved measurements of $D^0 \to K^-(\pi^-)e^+\nu f_+(q^2)$ distributions.

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