Constraining the Spectrum of Supernova Neutrinos from *v*-Process Induced Light Element Synthesis

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We constrain energy spectra of supernova neutrinos through the avoidance of an overproduction of the ¹¹B abundance during Galactic chemical evolution. In supernova nucleosynthesis calculations with a parametrized neutrino spectrum as a function of temperature of $\nu_{\mu,\tau}$ and $\bar{\nu}_{\mu,\tau}$ and total neutrino energy, we find a strong neutrino temperature dependence of the ¹¹B yield. When the yield is combined with observed abundances, the acceptable range of the $\nu_{\mu,\tau}$ and $\bar{\nu}_{\mu,\tau}$ temperature is found to be 4.8 to 6.6 MeV. Nonzero neutrino chemical potentials would reduce this temperature range by about 10% for a degeneracy parameter $\eta_{\nu} = \mu_{\nu}/kT_{\nu}$ smaller than 3.

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The light elements (Li-Be-B) are continuously produced by supernovae (SNe) [1–3], as well as interactions of Galactic cosmic rays (GCRs) with the interstellar medium (ISM), nucleosynthesis in asymptotic giant branch (AGB) stars, and novae during Galactic chemical evolution (GCE, i.e., the evolution in chemical composition of stars and interstellar gas during Galactic history) [4,5]. In the case of boron, cosmic ray induced spallation in the ISM and supernova ejecta dominate the production; ¹¹B is contributed through both channels, while ¹⁰B production is probably exclusively due to GCRs. The contribution from supernovae to the production of ¹¹B can be calibrated with the isotopic ratio N(¹¹B)/N(¹⁰B), measured with great precision in primitive meteorites.

The SN ν -process plays an important role for ¹¹B and ⁷Li production [1]. The interaction of neutrinos, emitted in copious amounts during core collapse and the subsequent cooling phase of proto-neutron stars, with matter in the ejecta of SNe, contributes uniquely to GCE. Recent studies based on the theoretical yields derived by Woosley and Weaver (WW95) [2] suggest that the SN contribution to the ¹¹B abundance is significantly larger than that required to explain the boron evolution in the Galactic disk and the meteoritic ¹¹B/¹⁰B ratio [4–6].

To match the abundance of ¹¹B established during GCE, we previously assumed neutrino energy spectra to resemble Fermi-Dirac (FD) distributions with zero chemical potentials $\mu_{\nu} = 0$ [1–3] and fixed neutrino temperatures of 6.0, 3.2, and 5.0 MeV for $\nu_{\mu,\tau}(\bar{\nu}_{\mu,\tau})$, $\nu_{\rm e}$, and $\bar{\nu}_{\rm e}$, respectively [7]. The $\nu_{\mu,\tau}$ temperature of 6.0 MeV is significantly smaller than the 8.0 MeV used in the other previous studies of the ν -process [1,2,8]. This reduction is derived from an investigation of the dependence of the ¹¹B yield on the total neutrino energy E_{ν} and the decay time scale τ_{ν} of the neutrino flux. The yield is roughly proportional to E_{ν} and rather insensitive to τ_{ν} . The temperature dependence was not investigated very well.

Studies of supernova explosions with detailed neutrino transport (e.g., [9,10] and references therein) have indicated that emerging neutrino spectra do not closely follow FD distributions with $\mu_{\nu} = 0$. Since the high-energy tail of the energy distribution is predominantly important for the ν -process (e.g., [1]), the use of FD distribution with $\mu_{\nu} =$ 0 may be justified as an approximation as long as the spectrum above $\varepsilon_{\nu} \approx 10$ MeV is a good match to the shapes obtained in detailed transport simulations [10-12]. However, if the ¹¹B yield depends strongly on the neutrino temperatures, which have not yet been clarified theoretically, the nonzero chemical potentials would change the resultant ¹¹B abundance in a different matter from what follows from FD distributions with $\mu_{\nu} = 0$. The purpose of this Letter is to investigate the neutrino temperature dependence of the SN ν -process in detail, and to find out how robustly lower neutrino temperatures may provide the means to avoid overproduction of the ¹¹B abundance in GCE and meteoritic ${}^{11}B/{}^{10}B$ ratio.

The adopted model for SN neutrinos is guided by numerical simulations from the literature, with a few additional simplifying assumptions. The neutrino luminosity is assumed to be uniformly partitioned among the neutrino flavors, and is assumed to decrease exponentially in time with a time scale $\tau_{\nu} = 3$ s [1]. The latter assumption is not critical, because the ejected masses of ¹¹B and ⁷Li are insensitive to τ_{ν} [7]. We initially assume that the spectra indeed obey the FD form with $\mu_{\nu} = 0$.

Only the total neutrino energy E_{ν} and the temperatures $T_{\nu_{\mu,\tau}}$ are free parameters. The allowed range of the total neutrino energy E_{ν} is

$$1 \times 10^{53} \text{ ergs} \le E_{\nu} \le 6 \times 10^{53} \text{ ergs},$$
 (1)

which includes the reduced range 2.4×10^{53} ergs $\leq E_{\nu} \leq 3.5 \times 10^{53}$ ergs, corresponding to the estimated range in gravitational binding energy of a neutron star with mass $\sim 1.4 M_{\odot}$ [13]. The considered range of the neutrino temperature $T_{\nu_{n,r}}$ is

4.0 MeV
$$\leq T_{\nu_{\mu}} \leq 9.0$$
 MeV. (2)

Temperatures of the $\nu_{\rm e}$ and $\bar{\nu}_{\rm e}$, $T_{\nu_{\rm e}}$ and $T_{\bar{\nu}_{\rm e}}$, are less important for the ν -process of the light elements, and we set their values to 3.2 and 5.0 MeV, respectively [7].

The SN model used in this work is identical to that described by [7]. We use progenitor model 14E1, with a mass at explosion of $16.2M_{\odot}$ [14], corresponding to SN 1987A. The propagation of a shock wave during the SN explosion is followed with a spherically symmetric Lagrangian PPM code [15,16]. The explosion energy and the mass cut are set to 1×10^{51} ergs and $1.61 M_{\odot}$, respectively. Then, we calculate explosive nucleosynthesis by postprocessing as described in [7]. The reaction rates of the ν -process are derived by interpolating the logarithmic values of the cross sections listed in the tables of [17]. This setup determines the thermodynamic histories of the various mass shells that ultimately constitute the supernova ejecta (no fallback), and the ν -process yields within the ejecta are then determined through the cross sections as soon as the time- and energy-dependent neutrino flux is specified. We calculate the yields for a parameter grid with 126 points, with steps of 1×10^{53} ergs in E_{ν} and steps of 0.25 MeV in $T_{\nu_{\mu,\tau}}$. Shown in Fig. 1 is an example of the produced mass fractions for $E_{\nu} = 3 \times 10^{53}$ ergs and $T_{\nu_{\nu_{\tau}\tau}} = 6$ MeV.

Ratios of the ejected masses of ¹¹B and ⁷Li to those of WW95 [2], defined as the overproduction factor f_{ν} , are shown in Fig. 2 as contours in the E_{ν} vs $T_{\nu_{\mu,\tau}}$ plane. For the WW95 case of $T_{\nu_{\mu,\tau}} = 8$ MeV and $E_{\nu} = 3 \times 10^3$ ergs, we find ejected ¹¹B and ⁷Li masses of $1.92 \times 10^{-6}M_{\odot}$ and $7.37 \times 10^{-7}M_{\odot}$, which are very close to the yields of $1.85 \times 10^{-6}M_{\odot}$ and $6.67 \times 10^{-7}M_{\odot}$ obtained with the S20A model of [2], respectively. The ¹¹B mass ratio changes between 0.038 [lower left corner of Fig. 2(a)] and 2.9 (upper right corner) in the assumed ranges of E_{ν} [Eq. (1)] and $T_{\nu_{\mu,\tau}}$ [Eq. (2)]. The mass ratio of ⁷Li changes



FIG. 1. Mass fraction distribution of the light elements in the $16.2M_{\odot}$ model with $T_{\nu_{\mu,\tau}} = 6$ MeV. The horizontal axis is the interior mass in units of the solar mass.

between 0.039 [lower left corner of Fig. 2(b)] and 3.3 (upper right corner). Note that dependence on the explosion energy and the mass cut is weak.

We constrain the neutrino temperature $T_{\nu_{\mu,\tau}}$ by requiring that overproduction of ¹¹B in GCE must be avoided. The overproduction factor depends on details of the GCE model, and ranges between 0.18 [5] and 0.40 [4]. These values are obtained by combining the solar ¹¹B/¹⁰B ratio with a measure of the relative cosmic-ray and supernova contribution to solar ¹¹B. They are shown in Fig. 2(a) as two solid lines. If we adopt the $1.4M_{\odot}$ neutron star energy range mentioned above $(2.4 \times 10^{53} \text{ ergs} \le E_{\nu} \le 3.5 \times 10^{53} \text{ ergs}$ [13]), we obtain the shaded region shown in Fig. 2(a), which implies that the neutrino temperature $T_{\nu_{\mu,\tau}}$ satisfies

4.8 MeV
$$\leq T_{\mu_{u,\tau}} \leq 6.6$$
 MeV. (3)

With the neutrino temperature and total energy constrained by GCE of 11 B, we can derive a corresponding constraint on the ⁷Li yield. Figure 2(b) shows the shaded



FIG. 2. Contour lines of the overproduction factor f_{ν} for (a) ¹¹B and (b) ⁷Li in the parameter plane of total neutrino energy E_{ν} and neutrino temperature $T_{\nu_{\mu,\tau}}$ (see text for details). The region between the two solid vertical lines indicates the energy range relevant for a neutron star of mass $\sim 1.4 M_{\odot}$ [13]. The point labeled WW95 indicates the specific parameter values used in [2]. In panel (a), the region between the two solid contour lines is the range of ejected mass appropriate for GCE of ¹¹B. The shaded region is the part of parameter space in which both constraints (GCE yield of ¹¹B and neutron star binding energy) are simultaneously satisfied. A similar box is drawn in (b) for the case of ⁷Li.

region corresponding to the E_{ν} - $T_{\nu_{\mu,\tau}}$ limits of the shaded box in Fig. 2(a). This region implies an ejected mass ratio of ⁷Li between 0.19 and 0.43. If ¹¹B production is indeed dominated by the contributions from the ν -process, the analysis presented above implies a predicted range of yields for ⁷Li, which in turn constrains the contribution to ⁷Li production from AGB stars and novae.

We note that the smallest value of our allowed range for $T_{\nu_{\mu,\tau}}$ is in fact smaller than the assumed value of $T_{\bar{\nu}_e} = 5.0$ MeV. Since the neutrinospheres of ν_e and $\bar{\nu}_e$ are larger than those of $\nu_{\mu,\tau}$ and $\bar{\nu}_{\mu,\tau}$ due to charged current interactions, the average energy of ν_e and $\bar{\nu}_e$ are smaller than those of $\nu_{\mu,\tau}$ and $\bar{\nu}_{\mu,\tau}$ (e.g., [9]). Thus, if $T_{\nu_{\mu,\tau}}$ is indeed smaller than 5.0 MeV, T_{ν_e} and $T_{\bar{\nu}_e}$ should also be smaller than 5.0 MeV.

We also note that neutrino oscillations would raise the contribution of electron neutrinos to the ¹¹B and ⁷Li production. If neutrino conversion between ν_e and $\nu_{\mu,\tau}$ occurs in the oxygen-rich layer [e.g., large mixing angle with θ_{13} large (LMA-L) case in [18,19]], the rates of charged current reactions such as ⁴He(ν_e, e^-p)³He and ¹²C(ν_e, e^-p)¹¹C increase, keeping the rates of neutral-current reactions unchanged. The yields of ¹¹B and ⁷Li would increase by this effect and, thus, lower neutrino temperature is favorable for avoiding overproduction of ¹¹B. Additional constraints derive from *r*-process nucleosynthesis in neutrino driven winds [7].

We use a specific stellar mass model of $\sim 20M_{\odot}$ to demonstrate the sensitivity of the ¹¹B and ⁷Li yields to E_{ν} and $T_{\nu_{\mu\tau}}$. This sensitivity can also be applied to supernova models with different progenitor masses, because the dominant production processes for ¹¹B and ⁷Li are the ν -process and α -capture reactions, which are insensitive to progenitor masses, specifically, the ⁴He and ¹²C abundances. In the He-rich layer, ⁷Li is produced through the reaction sequences ${}^{4}\text{He}(\nu, \nu'p){}^{3}\text{H}(\alpha, \gamma){}^{7}\text{Li}$ and ${}^{4}\text{He}(\nu, \nu'n){}^{3}\text{He}(\alpha, \gamma){}^{7}\text{Be}(n, p){}^{7}\text{Li}$. Most of ¹¹B is produced through ⁷Li(α , γ)¹¹B and ⁷Be(α , γ)¹¹C(β ⁺)¹¹B, or the ν -process ${}^{12}C(\nu, \nu'p){}^{11}B$ in the oxygen-rich layer [1,2,7]. The dependence on E_{ν} and $T_{\nu_{\mu\tau}}$ of the ejected masses of ¹¹B and ⁷Li solely relates to that of the ν -process reaction rates. The α -capture rates do not depend on the neutrino parameters, and the abundances of ⁴He and ¹²C are solely determined during the precollapse stage. Thus, the ejected masses of ¹¹B and ⁷Li are proportional to the ν -process reaction rates in accordance with the values of E_{ν} and $T_{\nu_{\mu\tau}}$. The neutrino spectrum might depend on progenitor mass, but the extent of this effect has not yet been established.

Many studies of ν -induced nucleosynthesis assume FD distributions with $\mu_{\nu} = 0$ [1–3,7,8]. However, simulations of neutrino transport in supernova explosions show that the energy spectra are better represented by FD distributions with nonzero chemical potential [10–12]. Therefore, we now consider the effect of nonzero chemical potentials within a semianalytic model. We assume that the energy

dependence of the neutrino-matter interaction cross sections can be expressed as a simple power law $\sigma(\epsilon) = \sigma_0 \epsilon^{\alpha}$. The specific case of $\alpha = 2$ was discussed in [12]. Here we extend their discussion to a wider range of values for α . We assume that the energy spectra are exact FD distributions, specified by values of temperature T_{ν} and degeneracy parameter $\eta_{\nu} = \mu_{\nu}/kT_{\nu}$, where k is the Boltzmann constant. With the following definition of a moment function

$$F_q(\eta_{\nu}) = \frac{1}{2\pi(\hbar c)^3} \int_0^\infty \frac{x^q dx}{\exp(x - \eta_{\nu}) + 1},$$
 (4)

the neutrino number density $n_{\nu}(T_{\nu}, \eta_{\nu})$ and energy density $\epsilon_{\nu}(T_{\nu}, \eta_{\nu})$ can be expressed as $F_2(\eta_{\nu})(kT_{\nu})^3$ and $F_3(\eta_{\nu}) \times (kT_{\nu})^4$, respectively. For a neutrino spectrum specified by T_{ν} and η_{ν} , the average cross section $\sigma(T_{\nu}, \eta_{\nu})$ is then given by $[F_{\alpha+2}(\eta_{\nu})/F_2(\eta_{\nu})]\sigma_0(kT_{\nu})^{\alpha}$, and is related to the average cross section one would obtain from a spectrum with zero chemical potential $\sigma(T_{\nu}, 0)$ as $[F_{\alpha+2}(\eta_{\nu})F_2(0)]/[F_{\alpha+2}(0)F_2(\eta_{\nu})]\sigma(T_{\nu}, 0)$.

The reaction rate for any ν -process reaction under consideration at a given time *t* and at a distance *r* from the source is given by $\lambda(T_{\nu}, \eta_{\nu}; t) = \sigma(T_{\nu}, \eta_{\nu})\phi(T_{\nu}, \eta_{\nu}; t)$, where the neutrino number flux is

$$\phi(T_{\nu}, \eta_{\nu}; t) = \frac{1}{4\pi r^2} \frac{E_{\nu}}{\frac{F_3(\eta_{\nu})}{F_2(\eta_{\nu})} kT_{\nu}} \frac{1}{\tau_{\nu}} \exp\left(-\frac{t - r/c}{\tau_{\nu}}\right).$$
(5)

Note that $\phi(T_{\nu}, \eta_{\nu}; t)$ is a function of not only T_{ν} but also η_{ν} because the average neutrino energy depends on T_{ν} and η_{ν} : the average energy per neutrino is $\langle \varepsilon_{\nu} \rangle =$ $[F_3(\eta_{\nu})/F_2(\eta_{\nu})]kT_{\nu}$ and $F_3(0)/F_2(0) = 3.1514$ for $\eta_{\nu} =$ 0. The reaction rate $\lambda(T_{\nu}, \eta_{\nu}; t)$ can then be expressed as

$$\lambda(T_{\nu}, \eta_{\nu}; t) = C_{\alpha}(\eta_{\nu})\lambda(T_{\nu}, 0; t), \qquad (6)$$

where $C_{\alpha}(\eta_{\nu})$ is the scaling function

$$C_{\alpha}(\eta_{\nu}) = \frac{F_{\alpha+2}(\eta_{\nu})}{F_{\alpha+2}(0)} \frac{F_{3}(0)}{F_{3}(\eta_{\nu})},$$
(7)

and both $\lambda(T_{\nu}, \eta_{\nu}; t)$ and $\lambda(T_{\nu}, 0; t)$ have the same T_{ν} dependence $\propto T_{\nu}^{\alpha-1}$.

We now apply this semianalytic model. First, we determine the effective power law indices for the total neutralcurrent cross sections on ⁵⁶Fe and ⁵⁸Fe from the calculations presented in [20]. We find indices of 3.7 and 3.8, respectively. This implies $\sigma(T_{\nu}, 3)/\sigma(T_{\nu}, 0) = 1.72(1.73)$ for $\alpha = 3.7(3.8)$, consistent with the values reported in [20]. We also evaluate the power law indices α of the cross sections of ⁴He($\nu, \nu'p$)³H and ¹²C($\nu, \nu'p$)¹¹B by fitting the cross sections in [17]. For ⁴He($\nu, \nu'p$)³H and ¹²C($\nu, \nu'p$)¹¹B, we find $\alpha = 6.7$ and 5.9, respectively. These indices are much larger than the values obtained for reactions with the larger nuclear systems ⁵⁶Fe and ⁵⁸Fe, indicating a significant mass number dependence of α . We therefore evaluate $C_{\alpha}(\eta_{\nu})$ for α ranging from 4 to 7.

Figure 3 shows $C_{\alpha}(\eta_{\nu})$ as a function of η_{ν} for various values of α , indicating that the rates of the ν -process can



FIG. 3. The scaling function with nonzero chemical potential $C_{\alpha}(\eta_{\nu})$ defined by Eq. (7). The particular values of α shown are 4, 5, 6, and 7.

vary substantially with the adopted values for these two key parameters. The production of ⁷Li and ¹¹B is proportional to the reaction rates of ⁴He($\nu, \nu' p$)³H and ¹²C($\nu, \nu' p$)¹¹B, which have similar values of α (see above), so that the ejected masses of ¹¹B and ⁷Li in the case of $\eta_{\nu} = 3$ would be increased by about 50% in comparison to the yield obtained for $\eta_{\nu} = 0$.

When we allow for nonzero chemical potentials, the corresponding range of $T_{\nu_{\mu,\tau}}$ derived from the GCE constraint for ¹¹B changes. Consider the relation between the neutrino temperatures derived from a given yield obtained with either nonzero chemical potential T_{ν} or with zero chemical potential $T_{\nu 0}$ by enforcing $\lambda(T_{\nu}, \eta_{\nu}; t) = \lambda(T_{\nu 0}, 0; t)$. The ratio of these two temperatures is given as

$$\frac{T_{\nu}}{T_{\nu 0}} = C_{\alpha}(\eta_{\nu})^{-1/(\alpha-1)}.$$
(8)

For nonzero chemical potentials, $T_{\nu}/T_{\nu 0}$ is a monotonically decreasing function of η_{ν} . In the case of $\eta_{\nu} = 3$ we find $T_{\nu}/T_{\nu 0} = 0.90$ for $\alpha = 4$ and 0.94 for $\alpha = 7$. This implies that the neutrino temperature satisfying the GCE production constraint of ¹¹B is reduced to 4.3 MeV $\leq T_{\nu_{\mu,\tau}}(\eta_{\nu} = 3) \leq 5.9$ MeV, about 6%–10% smaller than the range inferred for $\eta_{\nu} = 0$ [Eq. (3)]. Likewise, $T_{\nu_{e}}$ and $T_{\bar{\nu}_{e}}$ would be reduced by a comparable fraction. In the case of negative $\eta_{\nu}, T_{\nu}/T_{\nu 0}$ increases very weakly, e.g., $T_{\nu}/T_{\nu 0} = 1.015$ for $\eta_{\nu} = -3$.

In summary, the ejected masses of ¹¹B and ⁷Li increase with $\nu_{\mu,\tau}$ and $\bar{\nu}_{\mu,\tau}$ temperature through the energy dependence of the cross sections of the ν -process: this dependence ($\propto T_{\nu}^{\alpha-1}$) is stronger than the dependence on the total neutrino energy. To reproduce the supernova contribution of ¹¹B within the framework of GCE, neutrino temperature is constrained to 4.8 MeV $\leq T_{\nu_{\mu,\tau}}(\eta_{\nu}=0) \leq 6.6$ MeV. Nonzero neutrino chemical potential leads to a larger light element yield. The ejected masses of ¹¹B and ⁷Li would be increased by about 50% in the case of $\eta_{\nu} = 3$. For a given yield, the required neutrino temperatures are reduced correspondingly, but the change is less than 10%. The inferred temperature range provides a constraint on theoretical models of neutrino transport in supernovae and constrains their ⁷Li yields, which imposes constraints on contributions from AGB stars and novae to Galactic ⁷Li.

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