Mode Locking in ac-Driven Vortex Lattices with Random Pinning

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We find mode-locking steps in simulated current-voltage characteristics of ac-driven vortex lattices with *random* pinning. For low frequencies there is mode locking above a finite ac force amplitude, while for large frequencies there is mode locking for any small ac force. This is correlated with the nature of temporal order in the different regimes in the absence of ac drive. The mode-locked state is a frozen solid pinned in the moving reference of frame, and the depinning from the step shows plastic flow and hysteresis.

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In 1971, Fiory [1] observed steps in the currentvoltage (*I*-*V*) characteristics of ac-driven superconducting thin films, analogous to the Shapiro steps found in Josephson junctions [2]. The steps were observed for voltages such that $2\pi \langle v \rangle/a_0 = (p/q)\Omega$, with $\langle v \rangle$ the average vortex velocity, a_0 the triangular vortex lattice period, Ω the frequency of the external ac drive, and *p*, *q* the integers. This is a particular case of mode locking, where an internal frequency of the system (in this case $\omega_0 = \langle v \rangle 2\pi/a_0$) locks to a rational multiple of the external frequency. Several other systems with many degrees of freedom, such as charge density waves [3,4], spin density waves [5], Josephson junction arrays [6], and superconductors with periodic pinning arrays [7,8], also exhibit mode-locking behavior experimentally. Recently, Harris *et al.* [9] observed the Fiory steps in YBCO and found that they vanish when the vortex melting line is crossed. Historically, the experiment of Fiory motivated the landmark works of Schmid and Hauger [10] and Larkin and Ovchinikov [11] on the dynamics of moving vortex lattices. In [10,11] it was assumed that at large velocities vortices form a perfect triangular lattice in which the effect of random pinning could be treated perturbatively. However, it is now clear that there are several other phases of driven vortices: plastic flow [12], moving smectic, transverse moving glass, and moving Bragg glass [13–15], which have been observed experimentally [16] and in numerical studies [17,18]. Thus, it may be of interest to study how the Fiory steps can arise in different moving vortex phases. Moreover, a peak in the voltage noise power spectrum at the washboard frequency ω_0 was recently observed experimentally [19,20], which is a signature of temporal order in the moving vortices [15,21]. Here we study numerically the mode locking in the different regimes of vortex velocities and its relationship with temporal order.

The equation of motion of a vortex in position \mathbf{r}_i is

 $\eta \frac{d\mathbf{r}_i}{dt} = -\sum_{j \neq i}$ $\nabla_i U_v(r_{ij}) - \sum$ *p* $\nabla_i U_p(r_{ip}) + \mathbf{F}(t)$, (1)

where $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$ is the distance between vortices *i*, *j*, $r_{ip} = |\mathbf{r}_i - \mathbf{r}_p|$ is the distance between the vortex *i* and a pinning site at \mathbf{r}_p , $\eta = \frac{\Phi_0 H_{c2} d}{c^2 \rho_n}$ is the Bardeen-Stephen friction, and $\mathbf{F}(t) = \frac{d\Phi_0}{c} [\mathbf{J}_{dc} + \mathbf{J}_{ac} \cos(\Omega t)] \times \mathbf{z}$ is the driving force due to an alternating current $J_{ac} \cos(\Omega t)$ superimposed to a constant current J_{dc} . A 2D thin film superconductor of thickness *d* with $d \ll \lambda$, has an effective penetration depth $\Lambda = 2\lambda^2/d$. Since Λ is of the order of the sample size, the vortex-vortex interaction is considered logarithmic: $U_v(r) = -A_v \ln(r/\Lambda)$, with $A_v = \Phi_0^2 / 8 \pi \Lambda$ [18]. The vortices interact with a random distribution of attractive pinning centers with $U_p(r)$ = $-A_p e^{-(r/\xi)^2}$, with ξ being the coherence length. Length is normalized by ξ , energy by A_v , and time by $\tau = \eta \xi^2 / A_v$. We consider N_v vortices and N_p pinning centers in a rectangular box of size $L_x \times L_y$, and the normalized vortex density is $n_v = N_v \xi^2 / L_x L_y = B \xi^2 / \Phi_0$. Moving vortices induce a total electric field $\mathbf{E} = \frac{B}{c}\mathbf{v} \times \mathbf{z}$, with $\mathbf{v} = \frac{1}{2}\sum_{\mathbf{v}} d\mathbf{v}$ N_v $\sum_i \mathbf{v}_i$.

The Fiory steps are less trivial than the steps observed in Josephson arrays [6] and in periodic pinning arrays [7,8] where there is a built-in periodicity. In the present case a periodicity can only be induced dynamically by the vortexvortex interaction $U_v(r_{ij})$ which favors a triangular lattice with period a_0 . In principle, a uniform motion of this lattice at a velocity v will feel the effect of a weak pinning $U_p(r_{ip})$ as an ac velocity component with frequency $\omega_0 = 2\pi \langle v \rangle/a_0$ [10,11]. The interference with an ac force with frequency Ω leads naturally to steps of constant velocity $V_{p,q} = \frac{p}{q}$ $\frac{a_0}{2\pi}\Omega$. Even when the presence of pinning is essential for this effect, both ω_0 and $V_{p,q}$ do not depend on the pinning parameters but only on the periodicity *a*0. However, the moving vortex phases can be disordered [13–18] and thus this simple picture needs to be revised.

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We study the response of the vortex lattice to an external ac + dc force of the form $\mathbf{F} = [F_{dc} + F_{ac} \cos(\Omega t)] \mathbf{y}$ [22] at $T = 0$, solving Eq. (1) for different values of F_{ac} and Ω . The simulations are for constant vortex density $n_v = 0.04$ The simulations are for constant vortex density $n_v = 0.04$
in a box with $L_x/L_y = \sqrt{3}/2$, and $N_v = 64$, 100, 144, 196, 256, and 400 (we show results for $N_v = 256$), and we consider the weak pinning strength of $A_p/A_v = 0.05$ with a density of pinning centers being $n_p = 0.08$ (dense pinning, $n_p > n_v$, is typically realized in experimental samples). Other values of A_p , n_p within the weak and dense pinning regime give similar results. We impose periodic boundary conditions, and the resulting long-range interaction is determined by Ref. [23]. We use a time integration step of $\Delta t = 0.001\tau$ and averages are evaluated during 131 072 steps after 3000 steps for equilibration.

Let us first review the behavior for $F_{ac} = 0$. There are three dynamical regimes when increasing F_{dc} above the critical depinning force, F_c , in the case $F_{ac} = 0$: plastic flow for $F_c < F_{dc} < F_p$, smectic flow for F_p < F_{dc} < F_t , and a transverse solid for F_t < F_{dc} (see [18]). The characteristic forces in our case are $F_c \approx 0.15$, $F_p \approx 0.6$, and $F_t \approx 1.2$. Since the nature of mode locking is related to the existence of temporal order at the washboard frequency ω_0 we analyze the voltage noise $S_y(f) = \frac{1}{T}$ *T* $\int_0^T dt \left[V_y(t) - V \right] \exp(i2\pi ft) \vert^2$ in each dynamical regime. In the insets of Figs. $1(a)-1(c)$ we show the spectral densities corresponding to each regime and we indicate the corresponding ω_0 . In the inset of Fig. 1(a) we see that there is no temporal order at the washboard frequency, and only the typical broadband noise of plastic flow is observed [12,20]. For the smectic flow regime, we see in the inset of Fig. 1(b) that there is a small and broad peak at ω_0 . Only for large forces, $F > F_t$, in the transverse solid regime do well-developed peaks appear at the washboard frequency [20] and harmonics [see the inset of Fig. 1(c)].

We now study the response of each of these dynamical regimes with velocity $V = V(F_{dc})$ to the superimposed ac force F_{ac} cos(Ωt), for varying values of F_{ac} for a given Ω . We expect the main interference step $(p = q = 1)$ to occur when $V = V_{\text{step}} = \Omega a/2\pi$ (i.e., $\Omega = \omega_0$) if there is mode locking. We therefore choose the values of Ω such that the expected step, $V_{\text{step}} = \Omega a/2\pi$, would correspond to velocities *V* belonging to a given dynamical regime of the limit $F_{ac} = 0$. Each simulation is started at $\langle v_y \rangle \approx 0.975 \Omega a / 2\pi$ with an ordered triangular lattice up to values such that $\langle v_y \rangle \approx 1.025 \Omega a / 2\pi$ by slowly increasing the dc force F_{dc} with $\Delta F_{dc} = 0.001$. For low Ω , for which we have plastic flow when $F_{ac} \rightarrow 0$, we find that there are no interference steps in a wide range of F_{ac} [shown in Fig. 1(a) for $F_{ac}/V_{step} < 1$ (left curve) and $F_{\text{ac}}/V_{\text{step}} > 1$ (right curve)]. This is consistent with the observed lack of temporal order in the inset of Fig. 1(a) and indicates that very large F_{ac} are possibly needed in order to induce mode locking. For intermediate Ω , for which

FIG. 1. Velocity-force curve around the main interference condition $V = \Omega a_0/2\pi$ for three typical drive frequencies Ω . Each case shows results for two values of amplitude F_{ac} (the curves are shifted in F_{dc} for clarity). The insets show corresponding voltage power spectrum for $F_{ac} = 0$ and $V \approx V_{step}$. The vertical dash-dotted lines in the spectral density indicate the washboard frequency. (a) $\Omega = 0.5$, $F_{ac} = 0.4$ (left), and $F_{ac} = 1.8$ (right). (b) $\Omega = 1$, $F_{ac} = 0.4$ (left), and $F_{ac} = 3$ (right). (c) $\Omega = 2.5$, $F_{ac} = 0.75$ (left), and $F_{ac} = 4$ (right).

we have smectic flow when $F_{ac} \rightarrow 0$, we find that there are no steps for small amplitudes, $F_{ac}/V_{step} < 1$, while there are steps for $F_{ac}/V_{step} > 1$, as shown in Fig. 1(b) in the left and right curves, respectively. This means that the small washboard peak observed in the inset of Fig. 1(b) is not large enough to induce mode-locking steps for small *F*ac. However, this short-range temporal order can be amplified for intermediate values of *F*ac giving place to steps in this case. For high Ω , corresponding to a transverse solid regime when $F_{ac} \rightarrow 0$, we find that there are steps both for small $F_{ac}/V_{step} < 1$ and for large $F_{ac}/V_{step} > 1$ values of the ac amplitude, as we can observe in Fig. 1(c). This is in agreement with the $F_{ac} = 0$ spectral response observed in the inset of Fig. 1(c). In this case, the temporal order is robust enough for mode locking to be produced by small values of *F*ac.

Let us now examine in detail the dynamics and the structural order within (and in the vicinity of) a mode-locked step, in the transverse solid case. In Fig. 2(a) we show a typical $V - F_{dc}$ curve around the step. In the inset of Fig. 2(a) we show a finite size analysis of the step width for $N_v = 64$, 100, 144, 196, 256, and 400, where the error bars are due to the observed dependence of the width in three different realizations of disorder. We observe that for $N_v > 256$ the step width tends to a size-independent value.

FIG. 2. (a) Velocity-force curve around the main interference step for $\Omega = 5$ and $F_{ac} = 6$. The inset shows the finite size dependence of the step width. (b) Time averaged quadratic mean displacements in the longitudinal direction $\langle w_y(t) \rangle$, (\diamondsuit) points, and in the transverse direction $\langle w_x(t) \rangle$, (\triangle) points. The dashdotted line indicates a_0^2 . (c) Intensity of the Bragg peaks. For smectic ordering $S(G_1)$, $K_y = 0$: (+) points. For longitudinal ordering *S*(*G*_{2,3}), $K_y = 0$: (*) points.

We define the quadratic mean displacements of vortices in directions parallel, $w_y(t)$, and perpendicular, $w_x(t)$, to the external force, calculated from the center of mass position $[X_{cm}(t), Y_{cm}(t)]$ as $w_x(t) = \frac{1}{Nv}$ $\sum_{i} [\tilde{x}_i(t) - \tilde{x}_i(0)]^2$ and $w_y(t) = \frac{1}{Nv}$ $\sum_i [\tilde{y}_i(t) - \tilde{y}_i(0)]^2$, where $\tilde{x}_i(t) =$ $x_i(t) - X_{cm}(t)$ and $\tilde{y}_i(t) = y_i(t) - Y_{cm}(t)$. In Fig. 2(b) we show the time average of these quantities, $\langle w_x(t) \rangle$ and $\langle w_y(t) \rangle$, as a function of F_{dc} . Outside the step we observe that the transverse mean displacement (TMD) is limited $\langle w_x(t) \rangle \ll a_0^2$, while the longitudinal mean displacement (LMD) is unbounded $\langle w_y(t) \rangle \gg a_0^2$. This corresponds to a state with only longitudinal diffusion (i.e., a transverse solid [18]). Noticeably, in the transition to the synchronization, the LMD freezes in a value, $\langle w_y(t) \rangle \ll a_0^2$, while the TMD remains practically constant. This *mode-locking longitudinal freezing* can also be observed as a dramatic decrease of the low frequency voltage noise in both directions. The mode-locked state is therefore a frozen solid. To study the translational order we calculate the structure factor as $S(\mathbf{k}) = \langle \vert \frac{1}{N_v} \rangle$ $\sum_i \exp[i\mathbf{k} \cdot \mathbf{r}_i(t)]^2$. In this regime there are smectic order peaks of magnitude $S_s = S(G_1)$ with $G_1 = (\pm 2\pi/a_0, 0)$ and longitudinal peaks of magnitude $S_l = [S(G_2) + S(G_3)]/2$ with G_2 peaks of magnitude $S_l = [S(G_2) + S(G_3)]/2$ with $G_2 = \pm 2\pi/a_0(1/2, \sqrt{3}/2)$ and $G_3 = \pm 2\pi/a_0(-1/2, \sqrt{3}/2)$. In Fig. 2(c) we plot S_s and S_l . We do not observe any important change in the transition to the mode-locked state. Inside the steps we observe jumps in S_l , indicating that there are different metastable mode-locked structures. In Fig. 3 we analyze in detail the process of depinning from the step. We show a detailed view of the $V - F_{dc}$ curve in the transition from the synchronized regime. Varying *F*_{dc} back and forth, we observe a clear hysteresis cycle. In the lower right inset of Fig. 3 we show the evolution of $w_y(t)$ with time inside and outside the step. While $w_y(t) \ll a_0$ for all *t* inside the step, outside there is ballistic diffusion $w_y(t) \sim t^2$. To visualize the spatial structure in the transition we define a coarse-grained vortex density $\rho'_{v}(\mathbf{r}, t)$ seen from a system of reference moving with velocity V_{step} as follows: $\rho'_v(\mathbf{r}, t) = \frac{1}{N_v}$ $\sum_i \delta[\mathbf{r} - \mathbf{r'}_i(t)],$ where $\mathbf{r}'_i(t) = \mathbf{r}_i(t) - \mathbf{y} V_{\text{step}} t$. We take a coarse-graining scale $\Delta r < a_0$. In the upper left insets of Fig. 3 we show the temporal average $\langle \rho'_v(\mathbf{r}, t) \rangle$ of the density, inside (mode locked) and outside (mode unlocked) the step. This quantity shows the stationary trajectories of vortices seen from a frame of reference moving with velocity V_{step} . Inside the step we see that the vortices are localized, oscillating around their "equilibrium" positions in the moving frame. This is in agreement with the frozen solid inferred from Fig. 2(b), which is "pinned" in the moving frame. Just above the depinning from the step we see that some vortices delocalize following straight trajectories parallel to the force around pinned vortices, producing coexistence of mode-locked and mode-unlocked channels of flow. This could be interpreted as a one-dimensional "plastic" depinning from the step.

Mode locking of the steps in the $V - F_{dc}$ curve can be characterized qualitatively by how the dc current range in mode locking depends on F_{ac} and Ω , (see $[6-8]$). In Figs. 4(a) and 4(b) we show the range (width) ΔF_{dc} for the case $F_p < F_{dc} < F_t$ and $F_t < F_{dc}$,

FIG. 3. Velocity-force curve around the depinning from the main step for $\Omega = 5$ and $F_{ac} = 6$. The upper left insets show typical time averaged coarse-grained density of vortices seen from a system of reference moving with velocity $v_{\text{step}} = \Omega a_0/2\pi$, for a mode-locked state $F_{\text{dc}} = 4.045$ (left) and for an unlocked state $F_{dc} = 4.085$ (right). The lower right inset shows the corresponding typical quadratic mean longitudinal displacements for both cases. The dotted line indicates a_0^2 .

FIG. 4. Step width ΔF_{dc} vs $F_{\text{ac}} 2\pi / \Omega a_0 = F_{\text{ac}} / V_{\text{step}}$. (a) $\Omega = 1$. (b) $\Omega = 5$, (\triangle) points, and $\Omega = 2.5$, (\diamondsuit) points. The dashed line shows a fit to $A|J_1(F_{ac}/V_{step})|$ and the dot-dashed line shows a fit to $B|J_1(F_{ac}/V_{step})|^2$.

respectively. The error bars and the mean values were estimated by repeating the simulation for three different disorder realizations. In Fig. 4(a) we show ΔF_{dc} for $\Omega = 1$ vs F_{ac} , which corresponds to the smectic flow regime for $F_{ac} \rightarrow 0$. We see that there is mode locking above a finite critical value $F_{\text{ac}}/V_{\text{step}} \approx 1$ [see Fig. 1(b)]. For larger amplitudes we were not able to obtain a systematic dependence on amplitude because the step widths depend strongly on the disorder realization in this case. In Fig. 4(b) we show ΔF_{dc} for two frequencies $\Omega = 2.5, 5$ vs F_{ac} , which correspond to the transverse solid in the $F_{ac} = 0$ limit. We can collapse (approximately) both curves into a single curve if we plot ΔF_{dc} vs $F_{\text{ac}}/V_{\text{step}}$. Schmid and Hauger [10], using a perturbative approach, found a dependence $\Delta F_{\text{dc}} \sim (1/C_{66}) |J_1(F_{\text{ac}}/V_{\text{step}})|^2$, where $V_{\text{step}} = a_0 \Omega / 2\pi$ and C_{66} is the shear modulus. Strikingly, our results seem to follow more closely a dependence of the form $\Delta F_{\text{dc}} \approx A |J_1(F_{\text{ac}}/V_{\text{step}})|$ with *A* being a constant, which is the dependence found in the one-dimensional problem of an overdamped single Josephson junction or a particle moving in a periodic potential. This linear dependence of the mode-locking intensity with F_{ac} could be a consequence of the existence of temporal order in the $F_{ac} = 0$ limit. This was not taken into account in the perturbative calculation of Schmid and Hauger, where mode locking arises as a second order effect.

In conclusion, it is possible to have mode locking in driven vortices with *random* pinning for high enough frequencies, in agreement with the experiments of Fiory [1] and Harris *et al* [9]. The mode-locked state can be viewed as a frozen solid pinned in the moving frame of reference, and the depinning from mode locking is plastic and hysteretic. Also, the response to an ac drive for different frequencies can be an interesting experimental probe of the dynamical regimes of driven vortices.

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