Universal Distribution of Transparencies in Highly Conductive Nb/AlO_x/Nb Junctions

Y. Naveh,* Vijay Patel, D. V. Averin, K. K. Likharev, and J. E. Lukens

Department of Physics and Astronomy, State University of New York, Stony Brook, New York 11794-3800

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We report the observation of the universal distribution of transparencies, predicted by Schep and Bauer [Phys. Rev. Lett. **78**, 3015 (1997)] for dirty sharp interfaces, in uniform Nb/AlO_x/Nb junctions with high specific conductance ($10^8 \text{ ohm}^{-1} \text{ cm}^{-2}$). Experiments used the BCS density of states in superconducting niobium for transparency distribution probing. Experimental results for both the dc *I-V* curves at magnetic-field-suppressed supercurrent and the Josephson critical current in zero magnetic field coincide remarkably well with calculations based on the multimode theory of multiple Andreev reflections and the Schep-Bauer distribution.

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The basic characteristic of a mesoscopic conductor is its set of transmission coefficients, or "transparencies," defined as the eigenvalues of the transfer matrix connecting all incoming electronic modes to outgoing modes (for a thorough review, see Ref. [1]). The set of transparencies for a given conductor determines all its transport properties including dc current and broadband current noise.

In 1982 Dorokhov showed [2] that the distribution of transparencies in diffusive conductors is universal, i.e., does not depend on dimension, geometry, carrier density, and other sample-specific properties:

$$\rho(D) = \frac{G}{2G_0} \frac{1}{D\sqrt{1-D}},$$
 (1)

where *G* is the average conductance and $G_0 = 2e^2/h$. The universality of Eq. (1) is responsible in particular for the universal value $S_I(0) = 2eI/3$ of shot noise in diffusive conductors [3,4] (here *I* is the dc current). This suppression of shot noise in comparison with its Schottky value has been observed experimentally [5–7] and may serve as an indirect confirmation of Eq. (1).

Recently, Schep and Bauer showed [8] that the distribution of transparencies of a disordered interface is also universal but is given by an expression different from Eq. (1) [9]:

$$\rho(D) = \frac{G}{\pi G_0} \frac{1}{D^{3/2} \sqrt{1 - D}}.$$
 (2)

(This distribution leads to a shot noise value of $S_I(0) = 2eI/2$ [8].) In this Letter we report a strong experimental evidence that the transparency distribution in sub-nm-thick aluminum oxide barriers is very close to Eq. (2) while being substantially different from Eq. (1).

The determination of the transparency distribution may be assisted by the fact that due to the BCS singularity in the density of states at the edges of the superconductor energy gap $2\Delta(T)$, both the Cooper-pair and quasiparticle transport through Josephson junctions are highly nonlinear. In particular, quasiparticle transfer strongly increases at the "gap voltage" $V_g = 2\Delta(T)/e$, while below this threshold the transport is dominated by multiple Andreev reflections (MAR) [11–13], resulting in a pronounced subharmonic gap structure at $V_n = V_g/n$. This structure is very sensitive to the number and transparencies of the modes and has been successfully used [14–16] to determine transparencies of atomic-size point contacts with a few propagating modes. However, as will be shown below, the fact that various features of MAR transport (the current jump at $V = V_g$, the excess current at $V > V_g$, and subharmonic structure at $V < V_g$) are sensitive to different ranges of the transparency distribution also allows probing of the distribution in junctions with a much larger area (and hence a very large number of propagating modes).

Our samples were made using in situ fabricated $Nb/AlO_x/Nb$ trilayers which were deposited on oxidized Si wafers in a cryopumped vacuum system with a base pressure of 5×10^{-8} Torr. A dc magnetron sputtered 150-nm niobium base electrode was covered by an 8-nmthick aluminum film (also using dc sputtering). Without breaking the vacuum, an AlO_x layer was formed by thermal oxidation with well controlled dry oxygen exposure. For the junctions discussed below (specific normal conductance close to 10^8 ohm⁻¹ cm⁻²), the oxidation was carried out at 1.0 mTorr of O_2 for 10 min. The T_c of Nb electrodes was about 9.1 K, i.e., very close to the bulk value. After the trilayer deposition was completed by sputtering of a 150-nm-thick Nb counterelectrode, junctions of various areas (from 0.25 to $1 \ \mu m^2$) were formed by *e*-beam patterning—for details, see [17,18]. The resulting junctions were highly uniform; for example, for $1 \ \mu m^2$ junctions the full spread of normal conductance was below $\pm 3\%$; a slightly larger ($\pm 8\%$) spread of deep-submicron junctions may be readily explained by their area definition uncertainty. The junction homogeneity was further confirmed by the fact that the Josephson supercurrent could be almost completely suppressed by a magnetic field corresponding to the insertion of one flux quantum into the junction-see Fig. 1.

The solid lines in Fig. 1 show the experimental dc I-V curves of two junctions of different areas at a bath temperature of $T_0 = 1.8$ K, with the Josephson critical current





FIG. 1. dc *I-V* curves for two samples of area (a) 0.25 μ m² and (b) 1.0 μ m². Solid lines: experimental results normalized with $1/G = R_N = R_N^{expt}$. Dashed lines: MAR theory using Eq. (2): (a) $R_N = 1.01R_N^{expt}$ and (b) $R_N = 1.04R_N^{expt}$. Dotted lines: MAR theory using Eq. (1): (a) $R_N = 0.82R_N^{expt}$ and (b) $R_N = 0.84R_N^{expt}$. The arrows show the gap voltage at an effective junction temperature (that is, substantially higher than the bath temperature $T_0 = 1.8$ K—see Fig. 3).

suppressed by a magnetic field parallel to the film plane, while the solid lines in Fig. 2 show the measured differential conductances of the same samples. These curves exhibit well pronounced subgap structure, indicative of MAR transport. However, the conduction peak positions V_n at higher voltages deviate gradually from the expected dependence 1/n, indicating self-heating of the samples. This heating was higher in larger junctions, the fact that may be understood from a simple model where the main temperature gradient between the self-heated junction and the bath is parallel to the substrate, e.g., the temperature drops in the niobium electrodes adjacent to the junction. From this picture one should expect overheating to scale roughly as the junction area divided by its perimeter, in agreement with experiment.

The points in Fig. 3 show the dependence of the energy gap $\Delta(T)$, where T is the junction temperature including self-heating, on the power P = IV dissipated in the junction, read off from the peak positions. Assuming the BCS temperature dependence of the energy gap, the data show that at $V = V_g(T)$ the junction temperature is close to 6.2 and 7.6 K for, respectively, the 0.25 and

FIG. 2. Differential conductance for the same samples, and with the same fitting values of R_N , as in Fig. 1.

1.0 μ m² junctions. The solid and dashed lines in Fig. 3 show the theoretical dependence of the gap on *P* assuming a specific heating model. In this model we approximate the experimental temperature dependence of the heat conductance $\kappa(T)$ of superconducting niobium [19] with a one-parameter parabolic expression. The resulting dependences provide a reasonably good, smooth interpolation of the experimental heating data.

Dashed curves in Figs. 1 and 2 show the theoretical dependences which were obtained by averaging the results of the MAR theory [12,13] for the current I(D)carried by a single mode of transparency D over the distribution of transparencies given by Eq. (2) [20], I = $\int_0^1 dD \,\rho(D) I(D)$. Apart from the incorporation of the heating model described above, two additional minor adjustments were made when calculating these curves. First, the assumed value of normal resistance R_N was allowed to differ by a few percent (within experimental uncertainty [21]) from the experimentally determined value R_N^{expt} . Second, we have introduced a Gaussian distribution of $\Delta(T)$ with an rms spread of 2%. Such a spread is typical for any superconductor junction and may be readily attributed to the anisotropy of the superconducting gap in polycrystalline electrode films.

We believe that the agreement of the experimental data and theoretical curves based on the Schep-Bauer distribution is remarkable. In order to see that this agreement could not result from the fitting procedure described above,



FIG. 3. Dependence of the superconducting gap $\Delta(T)$ on the dissipated power P = IV for the same two samples as in Figs. 1 and 2. Points: fit to positions of the peaks in Fig. 2 (see text). Lines: Calculated $\Delta(T)$ based on our heating model and the BCS gap temperature dependence.

dotted curves in Figs. 1 and 2 show the results of our best attempt to fit the data with the MAR theory results averaged using the Dorokhov distribution (with a similar account of self-heating). It is evident that these curves are rather far from the data. Moreover, in this best fitting attempt we have selected the values of R_N rather distant from R_N^{expt} (see the Fig. 1 caption); if the latter values were used, the theoretical lines would pass considerably higher than the experimental plots. This is immediately visible from the values of the "excess current" defined as $I_{\text{exc}} = I(V) - GV$ at $V \gg V_g$. Averaging the results of Ref. [12] for T = 0 with the Schep-Bauer distribution Eq. (2), we get

$$I_{\rm exc} = \frac{G\Delta(0)}{e} \pi \left(\frac{7}{4} - \sqrt{2}\right) \approx 1.055 \frac{G\Delta(0)}{e}, \quad (3)$$

while for the Dorokhov distribution the excess current is substantially higher, $I_{\text{exc}} \approx 1.467 G \Delta(0)/e$ [22].

Another (though less spectacular) evidence of the validity of the MAR theory combined with the Schep-Bauer distribution comes from the temperature dependence of the Josephson critical current (in zero magnetic field), which is also sensitive to the mode transparency distribution. Points in Fig. 4 show the measured temperature dependence of the critical current in the same samples as in Figs. 1–3. Lines show the Ambegaokar-Baratoff dependence for tunnel junctions (upper curve), the MAR theory using the Dorokhov distribution (lower curve), and the MAR theory using the Schep-Bauer distribution (middle curve) [23]. It is evident that the experimental data agree with the Schep-Bauer distribution.

The absolute value of the low-temperature critical current is different in all three models used in Fig. 4: $I_c(0)/[G\Delta(0)/e] = 1.57, 1.92, 2.08$ for, respectively, a tunnel junction, disordered barrier with the Schep-Bauer distribution of transparencies, and SNS junctions with



FIG. 4. Temperature dependence of the critical current I_c in the two samples of Figs. 1–3. Open circles: $A = 0.25 \ \mu m^2$; filled circles: $A = 1.0 \ \mu m^2$; dash-dotted line: the Ambegaokar-Baratoff result for tunnel junctions; dashed line: MAR theory using Eq. (2); dotted line: MAR theory using Eq. (1).

Dorokhov's distribution [26]. The observed value lies within a few percent of the prediction for the Schep-Bauer distribution; the difference can again be attributed to the error in the normal resistance definition [21].

The fact that transport in disordered AlO_x barriers of finite thickness (of the order of 1 nm, i.e., much thicker than the Fermi wavelength λ_F in the junction electrodes) is described by Eq. (2) so well may seem rather surprising, since its derivation in Ref. [8] assumed that the barrier is a strongly disordered region with thickness *d* much smaller than λ_F . However, this distribution may be derived from a different model which does not rely on this assumption.

It is well known that resonant tunneling through a single localized site leads to the following transparency:

$$D = \frac{1}{[(\epsilon - \epsilon_F)/\Gamma]^2 + \cosh^2(x/a)},$$
 (4)

where ϵ is the state energy, ϵ_F is the Fermi level in the junction electrodes, Γ is the tunneling width for a site in the barrier center, x is the site deviation from the center, and a is the localization radius. If Γ is so large that the first term in the denominator is unimportant, for a system with a uniform spatial distribution of sites, Eq. (4) immediately gives the Dorokhov distribution. Indeed, in this case $\rho(D) = \rho(x)/(\partial D/\partial x) \propto (\partial D/\partial x)^{-1}$. Expressing the derivative in this relation through $D = \cosh^{-2}(x/a)$, we obtain the distribution (1). On the other hand, if $x \approx 0$ while the spread of ϵ is much broader than Γ , Eq. (4) yields the distribution (2). This condition applies to strongly disordered barriers like ours [27] if their thickness d is smaller than a (though possibly much larger than λ_F) and their spread of atomic localization energies is larger than Γ .

In summary, the excellent agreement between the experimental data and the results of the MAR theory combined with the Schep-Bauer distribution of transparencies provides very strong evidence that our Nb/AlO_x/Nb junctions are well described by this distribution, while being far from, e.g., the Dorokhov distribution. Since the distribution (2) has the same universal nature as Eq. (1), this result is of considerable general importance for mesoscopic physics [28].

Our result is also of substantial importance for applications. It shows that transport in niobium-trilayer Josephson junctions with high specific conductance and hence high critical current density (up to at least 200 kA/cm²) may be due to a fundamental mechanism rather than rare defects such as pinholes, etc. This gives every hope that these junctions may be even more reproducible than in our first experiments: assuming that the barrier transparency is correlated only at distances of the order of its thickness (about 1 nm), we may estimate that the minimum rms spread of the critical current is below 1% even for deep-submicron junctions. Together with the fact that such junctions are intrinsically overshunted [18], this makes them uniquely suitable for several important applications in superconductor electronics, including ultrafast digital RSFQ circuits of very high integration scale—see, e.g., Ref. [31].

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*Present address: IBM Research Labs in Haifa, MATAM, Haifa 31905, Israel.

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