

Staggered-Vorticity Correlations in a Lightly Doped t - J Model: A Variational Approach

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We report staggered-vorticity correlations of current in the d -wave variational wave function for the lightly doped t - J model. Such correlations are explained from the SU(2) symmetry relating d -wave and staggered-flux mean-field phases. The correlation functions computed by the variational Monte Carlo method suggest that pairs are formed of holes circulating in opposite directions.

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Flux phases have been proposed as mean-field solutions to two-dimensional antiferromagnets [1]. For the undoped Heisenberg antiferromagnet, the staggered-flux variational wave function gives a relatively good energy [2–4], even without the Néel long-range order. However, for doped systems, staggered-flux phases would normally break the translational and the time-reversal symmetries, except at the flux value of π . Besides, the physical meaning of the flux is not transparent. It has been suggested that flux is related to the spin-chirality correlations [5], but such correlations are very complicated for both experimental and theoretical study.

Remarkably, in the case of doped t - J or Hubbard models, there is one more indication of a staggered-flux phase. Namely, the current-current correlations may show the staggered-flux pattern inherited from the mean-field phase. We find such a pattern in the Gutzwiller-projected d -wave variational wave function for the t - J model. Those correlations may be explained by the SU(2) equivalence between the d -wave-pairing and staggered-flux phases. Finally, we interpret the staggered-flux structure of current correlations as a pairing between holes of opposite “staggered vorticity.”

Consider first the d -wave variational wave function [2,3]:

$$\Psi = P_G \prod_k (u_k + v_k c_{k\uparrow}^\dagger c_{k\downarrow}^\dagger) |0\rangle, \quad (1)$$

where P_G is the projection onto the states with a fixed number of electrons and without doubly occupied sites. The coherence factors u_k and v_k are of the BCS form for d -wave pairing:

$$\begin{aligned} a_k &\equiv \frac{v_k}{u_k} = \frac{\Delta_k}{\xi_k + \sqrt{\xi_k^2 + \Delta_k^2}}, \\ \xi_k &= -2(\cos k_x + \cos k_y) - \mu, \\ \Delta_k &= \Delta(\cos k_x - \cos k_y). \end{aligned} \quad (2)$$

For simplicity, we consider the case $\mu = 0$. Tuning μ improves the energy by only 0.2%, and the current correlations reported in this paper remain practically the same.

The energy optimization for the wave function (1) has been performed by Yokoyama and Ogata [3]. At zero dop-

ing, the energy is minimized at $\Delta \approx 0.55$, and the optimal value of Δ decreases with doping. In the range of parameters related to cuprate superconductors, the characteristic doping at which the gap closes is about 40% [3]. The numerical results reported in this paper were obtained at $\Delta = 0.55$, at various dopings up to 10%, which is a reasonable approximation for qualitative analysis. We have verified that the qualitative picture of the correlation functions remains the same in the whole range of the gap values Δ (the correlations are smaller at small Δ , which will be interpreted as the limit of staggered flux going to zero).

Using the variational Monte Carlo (VMC) method, we computed the current-current correlations for the wave function (1) in a finite system (2 holes in the 10×10 lattice with periodic-antiperiodic boundary conditions, $\Delta = 0.55$). The current on a link (ij) is defined as

$$j_{ij} = -j_{ji} = i(c_{\alpha i}^\dagger c_{\alpha j} - c_{\alpha j}^\dagger c_{\alpha i}). \quad (3)$$

The correlation function exhibits a staggered-flux structure (Fig. 1). Since the current vanishes as the hole concentration $x \rightarrow 0$, we have divided the correlation function by x . We introduce *vorticity* V for any plaquet as a sum of the currents around it in the counterclockwise direction: $V = (j_{12} + j_{23} + j_{34} + j_{41})\square$. The vorticity correlations (Fig. 1b) obtained from the current-current correlations in Fig. 1a have alternating signs with a phase shift of π , so that the sign of $\langle V(0)V(R) \rangle$ is $(-1)^{R_x + R_y + 1}$.

This staggered-vorticity correlation is a surprising consequence of the projection, because it is absent in the unprojected d -wave wave function. In order to understand this, we show below that the same wave function can be written as a projection of a staggered-flux state. This has the advantage that properties that are obscure in one representation may become obvious in another. For example, the staggered-flux wave function is an insulator with gap nodes. The appearance of superconductivity after projection is a surprise, but vorticity and attraction between holes appear naturally, as we shall discuss below.

The basic starting point is the SU(2) symmetry in the fermion representation of the t - J model. This is well understood in the undoped case [6], where SU(2) doublets $\psi_{\uparrow i} = (f_{\uparrow i}, f_{\downarrow i}^\dagger)$ and $\psi_{\downarrow i} = (f_{\downarrow i}, -f_{\uparrow i}^\dagger)$ represent the destruction of spin-up and spin-down in the subspace

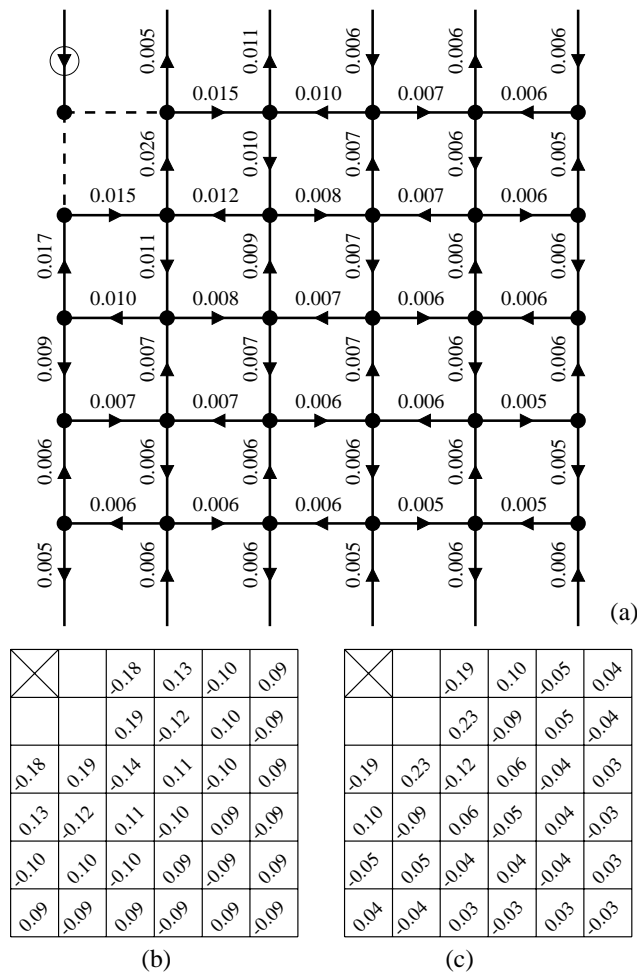


FIG. 1. (a) Current-current correlations for 2 holes in the 10×10 lattice at $\Delta = 0.55$ and $\mu = 0$. Boundary conditions are periodic in one direction and antiperiodic in the other direction (the data are averaged over the two orientations). The number on a link is the correlation of the current on this link and of the current on the circled link divided by hole density. The arrows point in the direction of the positive correlations of the current. (b) The same data in the form of vorticity correlations. The number on a plaquet is the vorticity correlation (divided by x) with the crossed plaquet. (c) Same as (b) for 10 holes in 10×10 lattice.

of one fermion per site. Wen and Lee [7] extended this symmetry away from half filling by introducing a doublet of bosons $b_i = (b_{1i}, b_{2i})$. The physical electron is represented as an SU(2) singlet formed out of the fermion and boson doublets $c_{\alpha i} = \frac{1}{\sqrt{2}} b_i^\dagger \psi_{\alpha i}$.

At the level of variational wave functions, the constraint of no double occupation is enforced by projecting the fermion-boson wave function onto the SU(2)-singlet subspace of the Hilbert space. On each site, there are only three physical states: spin-up, spin-down and a hole,

$$\begin{aligned} |\uparrow\rangle &= f_1^\dagger |0\rangle, & |\downarrow\rangle &= f_1^\dagger |0\rangle, \\ |\star\rangle &= \frac{1}{\sqrt{2}} (b_1^\dagger + b_2^\dagger f_1^\dagger f_1^\dagger) |0\rangle. \end{aligned} \quad (4)$$

The projector may be written as

$$P_{\text{SU}(2)} = \prod_i (|\uparrow\rangle\langle\uparrow| + |\downarrow\rangle\langle\downarrow| + |\star\rangle\langle\star|)_i. \quad (5)$$

It should be applied to a mean-field state with the total number of bosons defining the number of doped holes. The mean-field Hamiltonian has the form

$$\begin{aligned} H &= \sum_{\{ij\}} [J \psi_{\alpha i}^\dagger U_{ij} \psi_{\alpha j} + t (b_i^\dagger U_{ij} b_j + \text{H.c.})] \\ &+ \sum_i a_i^\mu \left(\frac{1}{2} \psi_{\alpha i}^\dagger \tau_\mu \psi_{\alpha i} + b_i^\dagger \tau_\mu b_i \right), \end{aligned} \quad (6)$$

where U_{ij} are SU(2) matrices representing generalized hopping amplitudes (mean-field parameters), for nearest-neighbor sites i and j , and τ_μ are Pauli matrices. The parameters a_i^μ are the Lagrange multipliers enforcing the no-double-occupancy constraint at the mean-field level. In our approach, the constraint is realized by the projection, and the quantities a_i^μ become simply additional variational parameters. In this paper we set $a_i^\mu = 0$. Numerically, the effect of this term on the correlations is minor.

In the underdoped region, the mean-field solution is the staggered-flux phase characterized by

$$U_{ij} = e^{ia_{ij}\tau_3}, \quad (7)$$

with $a_{ij} = (-1)^{x(i)+y(j)} \varphi/4$ forming a staggered-flux pattern around plaquets of the lattice, as shown in Fig. 2a (the overall normalization of U_{ij} is of no importance for the wave function). The gauge-invariant variational parameter of the staggered-flux ansatz is the flux per plaquet $\varphi = \sum_{\square} a_{ij}$. Even though the ground-state wave function breaks time-reversal and translational symmetries, these symmetries are restored after the projection (5).

Even with hole doping, the fermion bands are exactly half filled, and therefore the number of “no-fermion” sites is equal to the number of “two-fermion” sites. This is shown in Fig. 2b. Note that both of these sites are spin singlets and have the right spin quantum number for a physical hole. In SU(2) theory, a b_1 boson is attached to the no-fermion site and a b_2 boson to the two-fermion site, and both become physical holes, according to Eq. (4). In

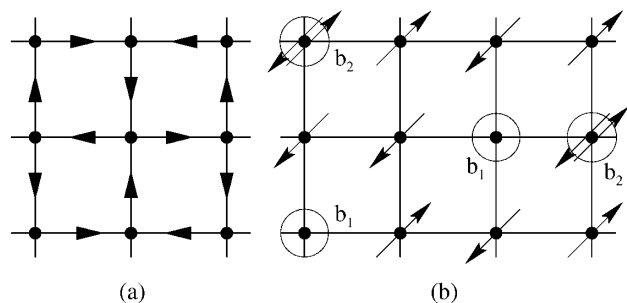


FIG. 2. (a) Staggered-flux phase. Links with arrows correspond to $a_{ij} = \varphi/4$ in the direction of the arrow. (b) A typical configuration of the half-filled fermion state. Arrows denote fermions. Circled sites are physical holes which are spin singlets made up of either empty or two-fermion sites. b_1 and b_2 bosons are assigned to these respective sites.

the mean-field theory, the bosons condense to the bottom of their respective bands, which are located at $\mathbf{Q}_1 = 0$ and $\mathbf{Q}_2 = (\pi, \pi)$. The prescription of constructing an SU(2) projected wave function is as follows. The physical wave function is specified by the location of the up spins and the holes (circled sites in Fig. 2b). A given set of holes is partitioned into all possible no-fermion and two-fermion sites, denoted by $\{\mathbf{r}_1\}, \{\mathbf{r}_2\}$. Each partition specifies a configuration of the staggered flux wave function given by a product of two Slater determinants (for spin-up and spin-down spinons). To this we multiply the phase factor $\exp[i \sum_{\{\mathbf{r}_1\}, \{\mathbf{r}_2\}} (\mathbf{Q}_1 \cdot \mathbf{r}_1 + \mathbf{Q}_2 \cdot \mathbf{r}_2)]$ to represent the Bose condensation of b_1, b_2 and sum over all partitions. An additional sign depending on the ordering of sites is needed to preserve the antisymmetry of the wave function. This prescription realizes the projection $P_{\text{SU}(2)}$ of a mean-field staggered-flux state to the SU(2) singlet subspace.

Next we prove the equivalence of the SU(2) projected staggered flux phase with the pairing state (1). Such an equivalence is known in the undoped case [1]; we extend it to doped systems. We note that the mean-field ground state of the staggered flux phase has the form $|\Phi_{\text{mean}}\rangle = |\Phi_f\rangle \otimes |\Phi_b\rangle$, where $|\Phi_b\rangle = e^{\bar{b}_1 B_1^\dagger + \bar{b}_2 B_2^\dagger} |0\rangle$ with $(B_1, B_2) = [\sum_i b_{1i}, \sum_i (-1)^i b_{2i}]$, and $|\Phi_f\rangle$ is the fermion state in the staggered flux phase [Eqs. (6) and (7)]. Complex numbers \bar{b}_1 and \bar{b}_2 parametrize the Bose condensate, where $b_{1,2}$ condense into their band bottoms: $B_a |\Phi_b\rangle = \bar{b}_a |\Phi_b\rangle$. The SU(2) projection selects the subspace with equal numbers of B_1 and B_2 bosons, and therefore states corresponding to different choices of \bar{b}_1 and \bar{b}_2 differ after projection only by a one-parameter transformation $\exp(\lambda N_h)$, where λ is a complex parameter and N_h is the number of holes. If we fix the average hole concentration, the wave function is defined unambiguously, up to an unimportant gauge $\exp(\lambda N_h)$ with $\text{Re}\lambda = 0$.

The staggered-flux mean-field state is related to the d -wave state by a SU(2) rotation $W_i = \exp[(-1)^i \frac{\pi}{4} \tau_1]$:

$$U'_{ij} = W_i U_{ij} W_j^\dagger \propto \begin{pmatrix} 1 & \pm \Delta/2 \\ \pm \Delta/2 & -1 \end{pmatrix}, \quad (8)$$

where the sign of Δ is opposite for vertical and horizontal links, and Δ is related to φ by

$$\tan \frac{\varphi}{4} = \frac{\Delta}{2}. \quad (9)$$

After the SU(2) rotation, the mean-field state has the same form, except $|\Phi_f\rangle$ is replaced by $|\Phi'_f\rangle$, the fermion d -wave state of the BCS form (1), and the bosonic parameters \bar{b}_a are rotated: $\bar{b}'_1 = (\bar{b}_1 + i\bar{b}_2)/\sqrt{2}$, $\bar{b}'_2 = (i\bar{b}_1 + \bar{b}_2)/\sqrt{2}$. Since the two mean-field states are related by a SU(2) gauge transformation, they lead to the same physical state after the SU(2) projection: $P_{\text{SU}(2)} |\Phi_{\text{mean}}\rangle = P_{\text{SU}(2)} |\Phi'_{\text{mean}}\rangle$. The freedom in the choice of the bosonic parameters \bar{b}_1 and \bar{b}_2 established in the staggered-flux gauge allows us to set $\bar{b}'_2 = 0$, and then the SU(2) projection becomes equivalent to the

conventional Gutzwiller projection. This proves that the SU(2) wave function is identical to the conventionally projected wave function. Of course, the above proof applies equally to the systems with fixed numbers of holes which we use in our VMC calculation. In this case, the SU(2) projected wave function is identical to that given by Eq. (1) at $\mu = 0$.

In the ground state of the staggered-flux mean-field Hamiltonian [Eq. (6) with U_{ij} given by (7)], the b_1 and b_2 bosons attract each other. This can be understood from the correlation function for the ‘‘excess density of fermions’’:

$$\begin{aligned} \langle [1 - n_f(i)][1 - n_f(j)] \rangle &= \langle (1 - f_{\alpha i}^\dagger f_{\alpha i})(1 - f_{\alpha j}^\dagger f_{\alpha j}) \rangle \\ &= -|\langle f_{\alpha i}^\dagger f_{\alpha j} \rangle|^2 < 0 \end{aligned} \quad (10)$$

at the mean-field level. This means that around a no-fermion hole (b_1 hole) with $1 - n_f(i) > 0$ there is a region of an increased probability to find a two-fermion hole (b_2 hole) with $1 - n_f(j) < 0$, and vice versa. The mean-field Green’s function $G(i, j) = \langle f_{\alpha i}^\dagger f_{\alpha j} \rangle$ decays as R^{-2} at large distances $R = |i - j|$. This is a consequence of the nodes $k = (\pm\pi/2, \pm\pi/2)$ in the mean-field spectrum

$$E(k) \propto \sqrt{\cos^2 k_x + \cos^2 k_y + 2 \cos \frac{\varphi}{2} \cos k_x \cos k_y}. \quad (11)$$

Thus, at the mean-field level, the attraction of the two species of holes leads to a R^{-4} decay of the density-density correlations. After the projection, the attraction becomes much weaker, but still survives, as we shall see from our VMC computations. This attraction between holes was observed earlier [2], but was difficult to explain in the d -wave gauge.

In Fig. 3 we plot the correlations of the hole density $n_h(i) = 1 - c_{\alpha i}^\dagger c_{\alpha i}$ and of the vorticity V at $\Delta = 0.55$ for two holes in an 18×18 lattice as functions of distance. We observe power-law decay of both correlations, $\langle n_h(0)n_h(R) \rangle \propto \langle V(0)V(R) \rangle \propto R^{-\alpha}$, with the equal exponents $\alpha \approx 1.2$.

The reduction of the exponent α from its mean-field value $\alpha = 4$ is due to the projection. For the case of two holes (‘‘zero-doping limit’’), this reduction is so strong that $\alpha < 2$ and, as a consequence of the sum rule $\sum_R \langle n_h(0)n_h(R) \rangle = n_h$, the two holes become unbound as the size of the system increases.

The proportionality of the vorticity and density correlations, together with the sign of the vorticity correlations, suggests that the two holes may be thought of as carrying opposite staggered vorticity. This can be justified if we fix the gauge and describe the wave function as the staggered-flux phase. At the mean-field level, this breaks the time-reversal symmetry, and the fermions in the filled single-particle states carry a nonzero staggered vorticity with $\langle j'_{ij} \rangle \neq 0$, where $j'_{ij} = i(f_{\alpha i}^\dagger f_{\alpha j} - f_{\alpha j}^\dagger f_{\alpha i})$ is the (unphysical) fermion current. The SU(2) projection

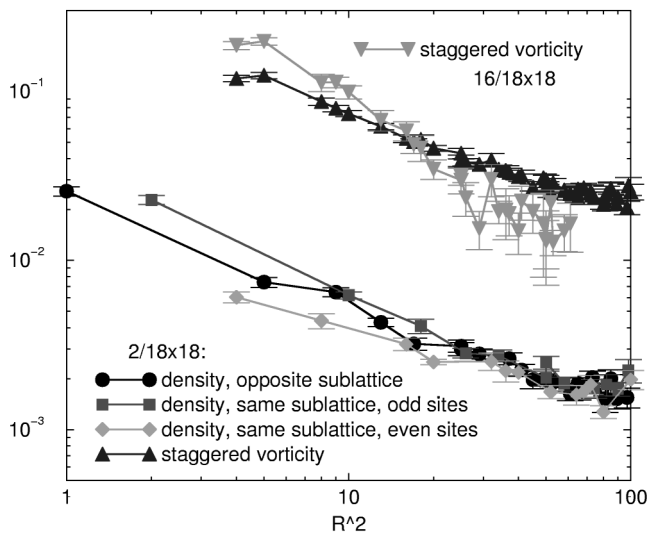


FIG. 3. Hole density and staggered-vorticity correlations for 2 holes and staggered-vorticity correlations for 16 holes in the 18×18 lattice. Boundary conditions are periodic in one direction and antiperiodic in the other direction, $\Delta = 0.55$. The correlation functions are divided by the density of holes x and plotted as a function of the squared distance. The data are obtained as a result of averaging over 2×10^4 samples for a $2/18 \times 18$ system and 2×10^3 samples for a $16/18 \times 18$ system.

(5) restores the time-reversal symmetry, and for the projected wave function $\langle j_{ij} \rangle = 0$, for the physical current j_{ij} . In the staggered-flux gauge (7), at the mean-field level, $j_{ij} \sim j_{ij}^f$ for b_1 holes and $j_{ij} \sim -j_{ij}^f$ for b_2 holes. Thus $\langle j_{ij} \rangle = 0$ for the physical current is a result of the balance between the opposite staggered vorticity of b_1 and b_2 holes. The attraction between the two SU(2) species of holes then implies attraction between holes circulating in the opposite directions. For a finite system with two holes, the holes are always of opposite SU(2) types, which results in the proportionality between the vorticity and density correlations.

The above picture can be summarized in the expression $\tilde{V} \propto \rho_1 - \rho_2$, where \tilde{V} is the staggered vorticity $(-)^R V(R)$ for the physical current j_{ij} , and $\rho_{1,2}$ are the densities of the two bosons $b_{1,2}$. The correlations of the staggered vorticity and of $\rho_1 - \rho_2$ are related $\langle \tilde{V}(R)\tilde{V}(0) \rangle \propto \langle \rho_1(R)\rho_1(0) \rangle + \langle \rho_2(R)\rho_2(0) \rangle - 2\langle \rho_1(R)\rho_2(0) \rangle$. When there are only two holes $\langle \rho_1(R)\rho_1(0) \rangle = \langle \rho_2(R)\rho_2(0) \rangle = 0$, we find that

$$\langle \tilde{V}(R)\tilde{V}(0) \rangle \propto -\langle \rho(R)\rho(0) \rangle, \quad (12)$$

where $\rho = \rho_1 + \rho_2$ is the total density of the holes. The minus sign explains the π phase shift seen in Fig. 1.

If we assume pairing between holes of opposite staggered vorticity, we may interpret the vorticity correlations as the hole correlations within one pair which allow us to determine the strength of the pairing correlation (even for finite density of holes) and measure the size of pairs. At

finite density of holes, the correlation of the staggered vorticity decays faster. In Fig. 3 we also present the correlation for 16 holes in an 18×18 lattice (nearly 5% doping) at $\Delta = 0.55$. We find $\alpha \approx 2.2$. The increase of α above 2 may be interpreted as a formation of bound hole pairs (and hence the onset of superconductivity). The small value of $\alpha - 2$ after the projection implies that the pairs are bound very loosely and their size is large. In this case, the nearest-neighbor pairing amplitude $\langle c_{i1}c_{j1} \rangle$ is small, which may account for numerical conclusions about the absence of superconductivity at $J/t < 0.5$ [8,9].

While the staggered vorticity correlation is found for a superconducting wave function, we speculate that the phase coherence of the bosons may not be crucial and that such correlation may survive above T_c in the pseudogap state, and indeed serves as a signature of that state. Unfortunately, detection of this correlation may be difficult. We estimate that the fluctuating current generates a fluctuating staggered magnetic field of order 40 G. This field will contribute to the relaxation of the Y nuclei, which are ideally sited above the center of the plaquets. However, we do not have dynamical information at present and it is difficult to predict the magnitude of this orbital relaxation. Another possibility is to freeze in some staggered field pattern around an impurity site. If some Y is replaced by an impurity with spin \mathbf{S} which strongly couples to the orbital current in the Cu-O plane, we may use a magnetic field to align the spin and therefore create a static orbital current via the $\mathbf{L} \cdot \mathbf{S}$ term. The staggered current correlation suggests that the staggered response function may be enhanced, in which case this local orbital current generates a static staggered pattern around it, which may be detected by shifts in the Y NMR line. Perhaps a more promising way to test our prediction is to look for this effect in exact diagonalization of small systems.

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