

## Structure Formation with a Self-Tuning Scalar Field

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A scalar field with an exponential potential has the particular property that it is attracted into a solution in which its energy scales as the dominant component (radiation or matter) of the Universe, contributing a fixed fraction of the total energy density. We study the growth of perturbations in a cold dark matter dominated  $\Omega = 1$  universe with this extra field, with an initial flat spectrum of adiabatic fluctuations. The observational constraints from structure formation are satisfied as well, or better, than in other models, with a contribution to the energy density from the scalar field  $\Omega_\phi \sim 0.1$  which is small enough to be consistent with entry into the attractor prior to nucleosynthesis. [S0031-9007(97)04726-1]

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The simplest viable cosmology which follows from inflation, a flat universe with pressureless matter and 5% baryonic dark matter, has been unable to fit both the cosmic background radiation (CBR) fluctuations and measurements of mass fluctuations on scales of a few Mpc. The paradigm of inflation is sufficiently compelling that there have been various attempts at modifying this “standard cold dark matter” (sCDM) scenario [1]. The possibility that some part of the energy density of the Universe is in a form other than particlelike matter has been envisaged, in particular in the form of a constant energy ( $\Lambda$ CDM) [2] or time-dependent coherent energy density in a scalar field [3,4]. In this Letter we discuss the cosmology of a model with a scalar field which has a simple exponential potential. It is distinctly different from other scalar field cosmologies, in that its energy density plays a role from very early times, rather than just at recent epochs, and resembles much more the “mixed dark matter” (MDM) model [5] in which there is a component of matter which is collisionless during a period of the growth of structure. The required potential arises in particle theories and has (mainly for this reason) been quite extensively discussed in the context of inflationary models.

Let us first explain the properties of an exponential potential which make it a particular and interesting case. The equations of motion in an expanding universe for the homogeneous mode of a scalar field  $\phi$  with potential  $V(\phi)$  coupled to ordinary matter only through gravity are

$$\ddot{\phi} + 2\mathcal{H}\dot{\phi} + a^2V'(\phi) = \frac{1}{a^2} \frac{d}{d\tau}(a^2\dot{\phi}) + a^2V'(\phi) = 0, \quad (1)$$

$$\mathcal{H}^2 = \frac{1}{3M_p^2} \left( \frac{1}{2} \dot{\phi}^2 + a^2V(\phi) + a^2\rho_n \right), \quad (2)$$

$$\dot{\rho}_n + n\mathcal{H}\rho_n = 0, \quad (3)$$

where  $\rho_n$  is the energy density in radiation ( $n = 4$ ) or nonrelativistic matter ( $n = 3$ ),  $\mathcal{H} = \frac{\dot{a}}{a}$  is the conformal

expansion rate of the Universe with scale factor  $a$ , dots are derivatives with respect to conformal time  $\tau$ ,  $l = \frac{d}{d\phi}$  and  $M_P = 2.4 \times 10^{18}$  GeV is the reduced Planck mass. Multiplying (1) by  $\dot{\phi}$  and integrating, one obtains

$$\rho_\phi(a) = \rho(a_0) e^{-\int_{a_0}^a 6[1-\xi(a)] \frac{da}{a}}, \quad (4)$$

where  $\rho_\phi = \frac{1}{2a^2} \dot{\phi}^2 + V(\phi)$  is the total scalar energy, and  $\xi = V(\phi)/\rho_\phi$ . Since  $0 < \xi < 1$ , the energy density of a scalar field has the range of possible scaling behaviors  $\rho \propto 1/a^m$  with  $0 \leq m \leq 6$ , and the scaling is completely determined by the ratio of its potential to its kinetic energy.

The special cosmological solutions in which we are interested here are attractor solutions which were given in [3,6] of (1)–(3) for the case of an exponential potential  $V(\phi) = V_0 e^{-\lambda\phi/M_P}$ , with  $\lambda$  a constant. In these solutions the scalar field evolves so that its total energy density  $\rho_\phi$  scales in the same way as the dominant component (i.e.,  $\rho_n \propto 1/a^n$ ) and contributes a *fixed* fraction of the total energy density given by

$$\Omega_\phi \equiv \frac{\rho_\phi}{\rho_\phi + \rho_n} = \frac{n}{\lambda^2} \quad (5)$$

$$\xi \equiv \frac{V(\phi)}{\frac{1}{2a^2} \dot{\phi}^2 + V(\phi)} = 1 - \frac{n}{6},$$

for  $\lambda > 1/\sqrt{n}$ . Note that the contribution of the scalar field is determined by the single parameter  $\lambda$ . To understand qualitatively why the scalar field tends to this solution irrespective of where it starts from, it is instructive to look at a few special solutions of Eqs. (1)–(3) away from the attractor (5). First consider the case that the scalar field energy density is very dominant initially, with  $\Omega_\phi$  much larger than in (5). In the limit  $\rho_n = 0$  there is, for  $\lambda < \sqrt{6}$ , a different set of attractors [8] in which

$$\xi = 1 - \frac{\lambda^2}{6} \quad \rho_\phi \propto \frac{1}{a^{\lambda^2}} \quad \phi(\tau) \propto \ln(\tau). \quad (6)$$

For  $\lambda > \sqrt{6}$  there is not a single attractor, but all solutions have  $\xi \rightarrow 0$  asymptotically (and, therefore,  $\rho \propto 1/a^6$ ). The condition  $\lambda > 1/\sqrt{n}$  for the attractor (5) means that the energy density in the scalar field scales *faster* than the radiation or matter. It will therefore always catch up with the radiation or matter if it starts dominant over it. If, on the other hand, the scalar field energy starts subdominant [i.e., with  $\Omega_\phi$  much less than in the attractor (5)] it will also catch up with the other components, because in this case it scales *slower* than the other components. To see this qualitatively consider the solution for  $n = 4$  (radiation) to (1)–(3) with  $V(\phi) = 0$  and  $\rho_\phi = 0$  in (2):

$$\phi(\tau) = \phi_o + \dot{\phi}_o \tau_o \left(1 - \frac{\tau_o}{\tau}\right). \quad (7)$$

The logarithmic dependence of the field on  $\tau$  in (6), which also holds in the attractor (5), has become a slower evolution due to the larger damping in the radiation dominated case. In an exponential potential the logarithmic dependence allows the potential energy to remain subdominant or comparable relative to the kinetic energy ( $\sim 1/\tau^3$ ). With the evolution of (7) however the potential energy [even if initially negligible, as we assumed to derive (7)] will always ultimately dominate over the kinetic energy, increasing  $\xi$  and causing the scalar energy to scale slower (as in an inflationary type solution).

The existence of this particular “self-tuned” cosmological solution (5) is quite specific to the exponential potential with  $\lambda > 1/\sqrt{n}$ . A less steep potential will always lead to complete scalar field dominance (e.g., as in the exponential with  $\lambda < 1/\sqrt{n}$ ) [7]; a steeper potential (e.g.,  $\sim e^{-\phi^2/M_p^2}$ ) will always decay asymptotically relative to the other components. Further an exponential potential is in fact one which arises quite generically in particle physics theories involving compactified dimensions (with internal dimensions characterized by  $M_p$ ). For this reason it has been considered quite extensively in the context of inflation [8–10], since for  $\lambda < \sqrt{2}$  the solutions (6) describe “power-law” inflation (with  $a \propto t^{2/\lambda^2}$  in terms of physical time  $t = \int a d\tau$ ). Examples of specific supergravity theories in which such potentials are obtained are given in [9], and various higher dimensional theories of gravity in which they arise are discussed in detail in [10,11].

If such a field does exist, it will enter the attractor and contribute a fraction of the energy density (fixed by  $\lambda$ ) at some time determined by its initial energy density. Big Bang nucleosynthesis (BBN) provides the earliest constraint on how large such a contribution can be. The expansion rate of the Universe at nucleosynthesis is increased over its standard model value by the same amount as  $\frac{\Delta N_{\text{eff}}}{4}$  relativistic degrees of freedom, with  $\Omega_\phi = \frac{3}{4} \frac{7\Delta N_{\text{eff}}/4}{10.75 + 7\Delta N_{\text{eff}}/4}$ , where  $\Omega_\phi$  is the fraction contributed in the matter era. There is some disagreement on the precise nucleosynthesis constraint on  $\Delta N_{\text{eff}}$ , but a bound of  $\Delta N_{\text{eff}} = 0.9$  is given by various authors [12] or even a more conservative one of  $\Delta N_{\text{eff}} = 1.5$  by others [13], which corresponds to  $\Omega_\phi < 0.1 - 0.15$ .

*Prima facie* this constraint would seem to require entry into the attractor after nucleosynthesis if the scalar field is to play any significant role cosmologically [3]. The requirement of entry after nucleosynthesis would apparently mandate the unattractive fine tuning (typical of scalar field models) of the initial energy density in the potential to some small value. It was in fact the incorrectness of this second assumption which motivated the present study: If, prior to nucleosynthesis, the energy density in the exponential field with  $\lambda > \sqrt{6}$  dominates over that in the radiation, there will typically be a long transient period after  $\rho_\phi \sim \rho_\gamma$  during which the scalar energy is very subdominant [much less than its value in the attractor (5)]. This is simply because the ratio  $\xi \rightarrow 0$  in the kinetic energy dominated pure scalar cosmology, but is of order one in the attractor with radiation. During the time that  $\xi$  is increasing (potentially many expansion times as it cannot grow faster than  $a^6$ ) the scalar field energy continues to redshift away as  $1/a^6$ . Such a dominance by kinetic energy can occur in certain postinflationary cosmologies which have considerable interest in their own right [14,15]. It has transpired from the present Letter however that the first reason for disregarding this model is also incorrect, and that entry to the attractor prior to nucleosynthesis is in fact consistent—quite simply because the small contribution has a compensating long time to act.

We have carried out a detailed calculation of the evolution of perturbations in this cosmology (which we refer to as  $\phi$ CDM). We assume that the attractor is established at the beginning of our numerical simulation, deep in the radiation era, and take an initial standard inflationary scale-invariant spectrum of adiabatic perturbations. The relevant equations are the linearized coupled Einstein-Boltzmann equations given in [16], supplemented by the scalar field and its perturbations  $\phi_{\text{total}} = \phi(\tau) + \varphi(\tau, \mathbf{x})$ , with evolution equation

$$\ddot{\phi} + 2\mathcal{H}\dot{\phi} - \nabla^2\varphi + a^2V''\varphi + \frac{1}{2}\dot{\phi}\dot{\gamma} = 0 \quad (8)$$

and additional components to the perturbed energy-momentum tensor:

$$\begin{aligned} a^2\delta T_0^0 &= -\dot{\phi}\dot{\phi} - a^2V'\varphi, \\ -a^2\partial_i\delta T_i^0 &= \dot{\phi}\nabla^2\varphi, \\ a^2\delta T_i^i &= 3\dot{\phi}\dot{\phi} - 3a^2V'\varphi, \end{aligned} \quad (9)$$

where  $\gamma$  is the trace of the metric perturbation. We vary  $\Omega_\phi$  and  $h$  (where  $H_0 = h100$  (km/s)/Mpc is the Hubble constant today), keeping the remaining cosmological parameters fixed at the values of  $\Lambda$ CDM, and find the best fit model to both CBR and large scale structure. To do this we use the COBE measurement of CBR anisotropies on large scales [17] to normalize our theory [18], estimate the theoretical mass variance per unit  $\ln k$ ,  $\Delta^2(k)$ , and compare with that rendered from a collection of galaxy surveys [19]. In Fig. 1 we show  $\Delta^2(k)$  for two best fit  $\phi$ CDM models,

for sCDM, for a  $\Lambda$ CDM universe with  $\Omega_\Lambda = 0.6$ , and for an MDM model with  $\Omega_\nu = 0.2$  in the form of two massive neutrino species. It is clear that for these values  $\phi$ CDM fares as well or better than the other models. Another useful quantity to work with is the mass fluctuations on  $8h^{-1}$  Mpc scales,  $\sigma_8^2 = \int_0^\infty \frac{dk}{k} \Delta^2(k) \left(\frac{3j_1(kR)}{kR}\right)^2 |_{R=8}$ . This can be related to masses and abundances of rich clusters and supplies us with a very tight constraint on possible cosmologies; indeed current estimates give  $\sigma_8 = 0.6 \pm 0.1$  [20]. A good fit to  $\sigma_8$  is

$$\sigma_8(\Omega_\phi) = e^{-8.7\Omega_\phi^{1.15}} \sigma_8^{\text{CDM}}, \quad (10)$$

where  $\sigma_8^{\text{CDM}}$  is the COBE normalized sCDM  $\sigma_8$ . Again we see that there is range of values of  $\Omega_\phi$  and  $H_0$  which satisfies the above constraint *and* is consistent with the limits imposed by BBN. In Fig. 2 we compare the  $C_\ell$ s of our models with a compilation of data points [21]. Again they are consistent with the current data.

The evolution of perturbations in the presence of the scalar field is simple to understand. On superhorizon scales there is the usual growing mode with  $\delta_c, \varphi \propto \tau^2$  (where  $\delta_c$  is the density contrast in the CDM). This is to be expected; the superhorizon evolution is insensitive to the ‘‘chemistry’’ of the matter and totally dominated by gravity. On subhorizon scales in the radiation era  $\delta_c \propto \ln \tau$ . The specific effect of the scalar field appears on subhorizon scales in the matter era. The perturbation in the scalar field itself has the approximate solution  $\varphi \propto \frac{1}{\tau^{3/2}} J_{3/2}(k\tau)$  (where  $J_\nu$  is a Bessel function) which, when fed back into the equation for  $\delta_c$  gives an altered solution for the usual growing mode  $\delta_c \propto \tau^{2-\epsilon}$ , where  $2\epsilon = 5[1 - (1 - 24\Omega_\phi/25)^{1/2}]$ . This solution shows explicitly how even a small contribution from the scalar field can give a significant effect, as it acts all the way through the

matter era. The expected suppression of  $|\delta_c|^2$  for modes larger than  $k_{\text{eq}}$  is of order  $(1 + z_{\text{eq}})^{-\epsilon}$ , where  $k_{\text{eq}}$  is the wave number of the horizon size at radiation-matter equality. This last effect is reminiscent of the evolution of perturbations in a mixed dark matter universe where one has component of matter,  $\rho_\nu$ , which is collisionless for a period of time during the matter era [22].

It is useful to pursue a comparison between  $\phi$ CDM and MDM to identify the key differences. First the scaling behavior of the additional background energy density differs: While for  $\phi$ CDM the energy density in  $\phi$  follows the dominant form of energy quite closely, for MDM  $\rho_\nu$  changes from scaling as  $1/a^4$  to scaling as  $1/a^3$  when  $3k_B T_\nu \simeq m_\nu$ , where  $T_\nu$  ( $m_\nu$ ) is the massive neutrino temperature (mass) and  $k_B$  is the Boltzmann constant. For a period between matter-radiation equality and this transition  $\Omega_\nu$  is smaller than its asymptotic value, and there is less suppression of growth in the CDM than in the case of the scalar field. A further difference is that the period of time during which perturbations are suppressed is shorter in MDM compared to  $\phi$ CDM. In both cases there is a wave number  $k_{\text{su}}$  which separates growing modes from damped modes. For  $\phi$ CDM this scale is roughly the horizon, i.e.,  $k_{\text{su}} \propto \frac{1}{\tau}$ , while for MDM it is the free streaming scale, i.e.,  $k_{\text{su}} = 8a^{1/2}(m_\nu/10\text{eV})h \text{ Mpc}^{-1} \propto \tau$ . Clearly in the latter case any given mode of  $\delta_c$  will eventually start to grow. In particular modes around  $k_{\text{eq}}$  will already have started to undergo collapse. A final important difference concerns the evolution of perturbations in the radiation era. For MDM, the perturbation in the massive neutrinos behaves much like radiation until it is well inside the horizon, and this transition is set by the Jeans scale, i.e., when  $k\tau \simeq 1/c_s = \sqrt{3}$ . For the scalar field, on the other hand, the transition occurs for

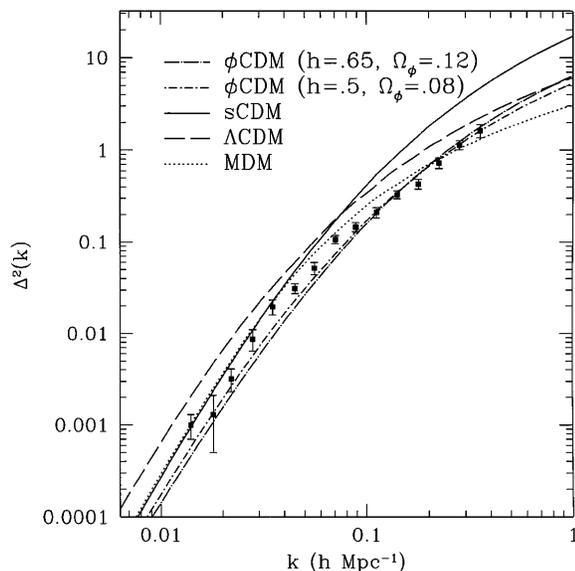


FIG. 1. Mass variance per unit  $\ln k$  computed from Boltzmann code for different models compared with that inferred from a compilation of galaxy surveys [19].

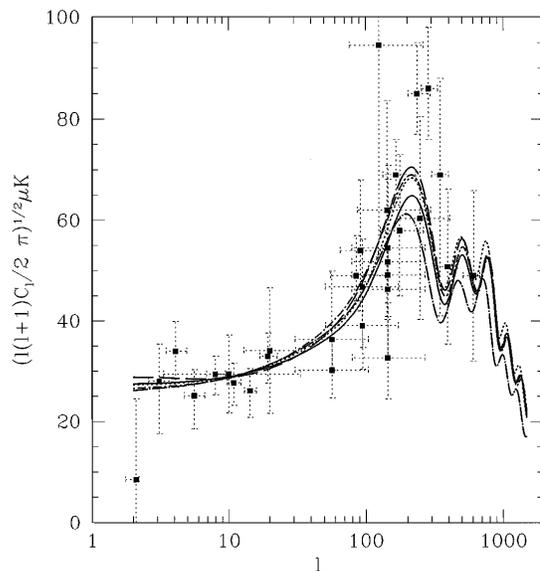


FIG. 2. Comparison of different model predictions to current experimental data. All models were COBE normalized and are labeled as in Fig. 1.

larger wavelengths,  $k\tau < 1$ . This means that perturbations in the CDM will stop growing earlier in  $\phi$ CDM than in MDM. The accumulated effect of these differences explains what we have observed—that, with half the energy density of MDM with two massive neutrinos,  $\phi$ CDM brings about approximately the same suppression of power on small scales. However we find  $\phi$ CDM to be consistent with the constraints from damped Lyman- $\alpha$  systems [23].

Let us now turn to the effect that the scalar field has on the CMB. We shall rely on the simplified picture of [24] to understand the angular power spectrum,  $C_\ell$ , defined as  $C(\theta) = \langle \frac{\Delta T}{T}(\mathbf{n}) \frac{\Delta T}{T}(\mathbf{n}') \rangle = (4\pi)^{-1} \sum (2\ell + 1) C_\ell P_\ell(\cos \theta)$ , with  $\mathbf{n} \cdot \mathbf{n}' = \cos \theta$ . For  $\ell > 100$  the main features of the  $C_\ell$ s are given by the power spectrum of radiation perturbations at last scattering,  $\langle |\delta_\gamma|^2 \rangle$ . Ignoring projection effects, one has that the structure of the peaks and troughs are given by  $\cos^2(kr_s)$ ,  $kr_s > 1$ , where  $r_s$  is the sound horizon in the baryon-photon fluid,  $r_s = \int_0^{\tau_*} \frac{d\tau}{3[1+R(\tau)]}$  and  $R = \frac{3\rho_B}{4\rho_\gamma}$ . The spatial frequency  $k$  is roughly related to the angular frequency  $\ell$ . The fact that the properties of the  $C_\ell$ s are dominated by this quantity at  $a \approx 10^{-3}$  means that the effect of  $\phi$  on the CBR will be much smaller than its net effect on  $\delta_c$ . Adding the scalar field component brings about two effects which we can understand qualitatively. First the oscillations are shifted to higher  $\ell$ s. Because of the additional energy density in the scalar field, the expansion rate will be larger and the conformal horizon will be smaller for the same redshift in  $\phi$ CDM compared to  $\Lambda$ CDM. This feeds through to give a different  $r_s$  for the same value of  $a$ , shifting the peaks as observed. The other main feature is an increase in power in the peaks. This can be understood easily using the picture outlined in [24]. The oscillations in  $\delta_\gamma$  are driven by the evolution in the gravitational potentials, and here as in the MDM case [25] the change in the growth of metric perturbations boosts the amplitude of the peaks by a few percent.

We conclude that the cosmological model we have studied provides an interesting and distinct alternative to other models which have been proposed. It has the attractive feature that  $\lambda(= \sqrt{3/\Omega_\phi})$ , the single extra parameter compared to standard CDM, has a value which is of the order naturally expected in the many particle physics theories in which the field arises. With the launch of high resolution space based experiments, such as the Planck explorer and the MAP satellite, it should be possible to distinguish the effect on the CBR of such an exponential scalar field if it exists, or to rule out its existence and place tighter constraints on the physical theories in which these fields arise [26].

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