The Russian-American Gallium Experiment (SAGE) Cr Neutrino Source Measurement

J. N. Abdurashitov, V. N. Gavrin, S. V. Girin, V. V. Gorbachev, T. V. Ibragimova, A. V. Kalikhov, N. G. Khairnasov,

T. V. Knodel, V. N. Kornoukhov,* I. N. Mirmov, A. A. Shikhin, E. P. Veretenkin, V. M. Vermul,

V.E. Yants, and G.T. Zatsepin

Institute for Nuclear Research, Russian Academy of Sciences, 117312 Moscow, Russia

T. J. Bowles, J. S. Nico,[†] W. A. Teasdale, and D. L. Wark[‡] Los Alamos National Laboratory, Los Alamos, New Mexico 87545

M.L. Cherry

Louisiana State University, Baton Rouge, Louisiana 70803

V. N. Karaulov, V. L. Levitin, V. I. Maev, P. I. Nazarenko, V. S. Shkol'nik, and N. V. Skorikov Mangyshlak Atomic Energy Complex, Aktau, Republic of Kazakhstan

B. T. Cleveland, T. Daily, R. Davis, Jr., K. Lande, C. K. Lee, and P. W. Wildenhain University of Pennsylvania, Philadelphia, Pennsylvania 19104

> Yu. S. Khomyakov and A. V. Zvonarev Power Physics Institute, Obninsk, Russia

S. R. Elliott and J. F. Wilkerson University of Washington, Seattle, Washington 98195 (Received 22 August 1996)

The solar neutrino capture rate measured by SAGE is well below that predicted by solar models. To check the overall experimental efficiency, we exposed 13 tonnes of Ga metal to a reactorproduced 517 kCi source of ⁵¹Cr. The ratio of the measured production rate to that predicted from the source activity is $0.95 \pm 0.11(\text{stat}) + 0.05 / -0.08(\text{syst})$. This agreement verifies that the experimental efficiency is measured correctly, establishes that there are no unknown systematic errors at the 10% level, and provides considerable evidence for the reliability of the solar neutrino measurement. [S0031-9007(96)01782-6]

PACS numbers: 26.65.+t, 95.85.Ry

The low threshold energy (232 keV [1]) for inverse beta decay on ⁷¹Ga is well below the end point energy of the neutrino spectrum from proton-proton fusion. A Ga experiment can thus observe this low-energy branch of the solar neutrino spectrum. The Russian-American Gallium Experiment (SAGE) has measured a ⁷¹Ge production rate of $69 \pm 10(\text{stat}) + 5/-7(\text{syst})$ SNU [2] (where 1 SNU = 10^{-36} interactions/target atom/s), a value that is well below solar model predictions of 137 + 8/-7 SNU [3] or 125 ± 5 SNU [4]. Another Ga experiment, the GALLEX Collaboration, also observes a low rate of $69.7 \pm 6.7(\text{stat}) + 3.9/ - 4.5(\text{syst})$ SNU [5]. Taken together with other solar neutrino experimental results [6,7], a contradiction arises which cannot be accommodated by current solar models [8]. The significance of this conclusion requires that all possible instrumental checks of these experiments be performed. A test of the entire operation of the SAGE detector (i.e., the chemical extraction efficiency, counting efficiency, and analysis technique) with a known flux of low-energy neutrinos makes a rigorous check on the entire experiment.

⁵¹Cr is a particularly good isotope to employ for this purpose [9,10]. The decay of 51 Cr is via electron capture to 51 V with neutrino energies of 751 keV (90.12%) and 426 keV (9.88%) [11]. The 90% branch decays directly to the ground state of 51 V, and the 10% branch decays to the first excited state of 51 V, which promptly decays with the emission of a 320 keV gamma ray to the ground state.

The chromium used for this experiment was originally in the form of 93% enriched ${}^{50}Cr_2O_3$ [12,13]. Achieving high enrichment was an important consideration since it significantly increases the specific activity of the source [14]. The Cr was converted to metal, chemically purified, formed into rods, and placed in two special moderator assemblies for irradiation at the BN-350 fast neutron reactor in Aktau, Kazakhstan [15]. The reactor power was set at 520 MW for 90 days, followed by an increase to 620 MW for 15 days. The source assemblies were removed from the reactor on 18 December 1994. The Cr rods, a total mass of 512.7 g, were then removed from the moderator assemblies, cleaned, and placed in a tungsten shield 140 mm high \times 80 mm diameter. This shield was sealed in a stainless steel outer casing and arrived at the Baksan Neutrino Observatory on 26 December 1994.

The 55 tonnes of Ga metal in SAGE is distributed among eight chemical reactors with approximately 7 tonnes in each. Each reactor contains the necessary equipment for Ge extraction. An identical reactor without extraction equipment was used for the source exposures. 13.1 tonnes of Ga was transferred into this irradiation vessel by a Teflon membrane pump from two of the reactors used for solar neutrino measurements. To start an irradiation, the ⁵¹Cr source was placed inside a Zr reentrant tube at the center of this Ga target. Irradiations were ended by removing the source and pumping the Ga back to the original two reactors, where the Ge was extracted.

Eight irradiations were conducted between 26 December 1994 and 24 May 1995. The exposure periods for the first five measurements were chosen so each would have approximately equal statistical sensitivity, and a final three measurements were made at monthly intervals. The reference time at which the first irradiation began is denoted here SOB, for start of bombardment.

The tungsten shield around the source absorbed all but about 1 part in 10^5 of the source activity. It was thus possible to determine the source strength by measuring its heat output with a specially developed calorimeter [16]. The source was removed from the irradiation vessel and placed in this calorimeter after each of the first seven exposures. The weighted average of these source power measurements is 112.3 ± 0.8 W at SOB. The calorimeter was calibrated with electroheaters several times during the course of these measurements. The best fit half life to the power measurements is 28.03 ± 0.23 days, in reasonable agreement with the known ⁵¹Cr half life of 27.702 days [17].

Using the power to activity conversion constant of 4.600 ± 0.030 kCi/W implies the source strength was 516.6 ± 6.0 kCi at SOB. The major uncertainty in this activity is the statistical uncertainty of 0.71% in the power measurements. Four systematic uncertainties have been added to this in quadrature: 0.65% from the conversion constant, 0.6% from different thermalization times between the source and the calibration heaters, and 0.2% from the 0.02% uncertainty in the ⁵¹Cr half life. The final systematic uncertainty is from radioactive impurities in the irradiated Cr which can also produce heat in the calorimeter. The impurity content was determined during the Ga exposures by measuring gamma-ray spectra of the source with a Ge detector. The largest single contribution was 1.5 Ci of ⁴⁶Sc, and the total activity of all contaminants at SOB was estimated to be less than 2 Ci. Taking into account their half life and decay energy, an upper limit on the uncertainty in the source activity from impurities is 0.14%.

The major uncertainty in the power to activity conversion constant is in the average energy released in 51 Cr decay, which we take as $36.671 \pm 0.190 \text{ keV/decay}$. This value differs slightly from that used by Ref. [18] in that we have included, but Ref. [18] ignored, the contribu-

tion of internal bremsstrahlung, which adds approximately 96 eV/decay to the average, and that we use a branching fraction to the 320 keV level of 0.0988 [11], whereas Ref. [18] used 0.0986.

The production rate of ⁷¹Ge by a source whose strength is A (MCi) is given by $p = \kappa \langle L \rangle A$ (atoms produced/day). The first term, κ , contains all the physical factors, such as neutrino interaction cross section, Ga density, etc., and has the value 0.3974 (neutrino captures/cm day MCi). For the absorption cross section we used the value given by Bahcall and Ulrich of 59.2×10^{-46} (1.0 ± 0.1) $\text{cm}^2/({}^{71}\text{Ga}-{}^{51}\text{Cr decay})$, where the uncertainty is quoted at the 3 sigma level [19]. The second factor, $\langle L \rangle$, is the average neutrino path length through the Ga. The irradiation vessel was nearly cylindrical, but the bottom was dished. Monte Carlo integration using an accurate map of the vessel shape gives $\langle L \rangle = 72.6 \pm 0.2$ cm. Combining these factors gives an expected production rate at SOB of 14.90 ± 0.18 atoms/day, where the uncertainties have been added in quadrature. This high rate is because of the small size of our source and the use of Ga metal as the target.

Recently, there was a reexamination of the absorption cross section by Hata and Haxton [20], with emphasis on the predicted contributions from excited states in ⁷¹Ge. This new analysis casts some doubt on the mean and corresponding uncertainty of the cross section. In our uncertainty estimate for the production rate above, we did not include the 3.3% (or 0.49 atoms/day) uncertainty of the Bahcall and Ulrich calculation. Hata and Haxton conclude that the previous estimate of the excited state cross section, inferred from (p, n) reactions, was unjustified and only weakly constrained by previous experimental data. As a result, they assert that the neutrino absorption cross section is not known at the level of accuracy of the Cr source experiments, and it is these experiments themselves that best determine this cross section.

The experimental techniques for the Cr experiment were the same as used for solar neutrino measurements [21] and are only very briefly described here. After each Cr source exposure, the ⁷¹Ge was chemically extracted, along with stable Ge carrier added prior to the start of exposure. This Ge was then synthesized into germane gas, mixed with Xe, and placed in a small proportional counter where decays were observed for a period of six months. The electron capture decay of ⁷¹Ge mainly gives Auger electrons which we detect at 10.4 keV (*K* peak) or 1.2 keV (*L* peak). The track length of these low-energy electrons in the counter gas is very short, producing a fast rising pulse. Background events, such as are produced by minimum ionizing particles, may have a similar energy, but will usually have longer path lengths and hence slower rise times.

We determine the pulse rise time with a method specially developed for SAGE. Wave forms that have been recorded with a one GHz digitizer are fit with an analytical function that describes the shape of the extended pulse in terms of the radial extent of the trajectory in the counter [22]. This method has great power in differentiating ⁷¹Ge signals from background events, especially in the low-energy *L*-peak region. The digitizer began to be used in SAGE in late 1992. The solar neutrino results that we have published up to the present time have relied on a hardware-based risetime measurement of the amplitude of the differentiated counter pulse (ADP) but analysis of these data using the wave form analysis technique is in progress.

Our standard energy, rise time, and Rn cuts were applied to the counting data, and the time structure of the remaining candidate ⁷¹Ge events was analyzed with a maximum likelihood method [23] to separate the ⁷¹Ge 11.4 day decay from a constant rate background. The only differences between this analysis and that done for the solar neutrino runs are that one must account for the decay of the ⁵¹Cr during the period of exposure, include a "background" contribution from solar neutrinos, and make a carryover correction arising from the ⁷¹Ge that was not removed because of the approximately 15% inefficiency of the preceding chemical extraction. Using the traditional notation of Cleveland [23], the likelihood function (*L*) is given by

$$L = \exp(-bT_L + a\Delta/\lambda_{71})\prod_{i=1}^{N} [b + a\exp(-\lambda_{71}t_i)],$$

where *b* is the background rate, T_L is the live time of counting, λ_{71} is the ⁷¹Ge decay constant, Δ is the integral over the live time of $\exp(-\lambda_{71}t)$, and t_i are the times of occurrence of the *N* candidate events. The parameter *a* contains contributions from the three separate processes (source, solar, and carryover) that produce ⁷¹Ge, i.e., $a = a_{\rm Cr} + a_0 + a_{\rm co}$, where for extraction *k*

$$\begin{aligned} a_{\mathrm{Cr}}^{k} &= p_{\mathrm{Cr}} \exp[-\lambda_{51}(t_{s}^{k} - T)] \\ &\times \varepsilon^{k} [\exp(-\lambda_{51}\theta_{\mathrm{Cr}}^{k}) \\ &- \exp(-\lambda_{71}\theta_{\mathrm{Cr}}^{k})]/(1 - \lambda_{51}/\lambda_{71}), \\ a_{0}^{k} &= p_{0} \varepsilon^{k} [1 - \exp(-\lambda_{71}\theta_{0}^{k})], \end{aligned}$$

$$a_{\rm co}^k = a^{k-1} (\varepsilon^k / \varepsilon^{k-1}) \exp(-\lambda_{71} \theta_0^k) (1 - \varepsilon_{\rm Ga}^{k-1}).$$

Here p_{Cr} and p_0 are the rates of production of ⁷¹Ge by the ⁵¹Cr source and solar neutrinos, respectively; λ_{51} is the decay constant of ⁵¹Cr; t_s is the starting time of each source exposure; *T* is the reference time SOB; θ_{Cr} and θ_0 are the times of exposure of the Ga to the ⁵¹Cr source and to solar neutrinos, respectively; ε is the product of extraction and counting efficiencies; and $(1 - \varepsilon_{Ga})$ is the inefficiency of extraction of Ge from the Ga. With these definitions, as the source decays, its derived strength p_{Cr} is automatically referred to time *T*. Maximization of the product of the likelihood functions for all runs yields the global production rate p_{Cr} and individual background rates for the *K* and *L* peaks in each run. The solar production rate p_0 was fixed at 0.27/day, the rate corresponding to 69 SNU [2] on 13.1 tonnes of Ga.

The results of the data analysis are given in Table I. The combined fit to the eight extractions considering only the *L* peak (*K* peak) gives production rates of $16.8^{+2.6}_{-2.4}$ /day ($12.4^{+2.0}_{-1.8}$ /day). The result considering both the *K* and *L* peaks is $14.2^{+1.6}_{-1.5}$ /day. Here the uncertainties are all statistical. This is the largest production rate ever measured from a low-energy neutrino source and is 50 times higher than the rate from solar neutrinos. A fit permitting the ⁷¹Ge half life to vary gives 13.8 \pm 2.0 days, compared with its known half life of 11.4 days. Our solar neutrino results in the past have relied on the ADP measurement of the pulse rise time, which gives good background discrimination only in the K peak. A combined fit to the eight source extractions that uses events in the K peak selected by ADP gives a rate of $11.2^{+1.7}_{-1.5}$ /day, similar to the result of 12.4/day that is obtained when wave form analysis is used for event selection. The Smirnov-Cramer-Von Mises parameter [24], Nw², given in Table I provides a measure of the goodness of fit. The probability values were determined by Monte Carlo methods.

TABLE I. K + L peak counting results from the wave form analysis. The production rate for each exposure has been normalized to the starting time of the first exposure.

Exposure reference name	Source strength (kCi)	Number of candidate events	Number fit to ⁷¹ Ge	Number assigned to solar neutrinos	Number assigned to carryover	⁷¹ Ge prod. rate by Cr source (/day)	Nw ²	Prob.
Cr 1	516.1	47	38.7	0.9	0	$23.2_{-4.0}^{+4.3}$	0.151	17%
Cr 2	434.5	39	23.3	0.7	2.5	$12.2^{+3.8}_{-3.5}$	0.169	9%
Cr 3	363.9	41	25.9	1.0	0	$15.1^{+3.8}_{-3.4}$	0.100	26%
Cr 4	288.0	36	25.2	1.2	1.4	$11.6^{+3.1}_{-2.7}$	0.060	63%
Cr 5	194.0	33	13.8	1.8	0	$8.8^{+3.9}_{-3.4}$	0.042	68%
Cr 6	97.7	48	5.9	1.0	0.4	$9.8^{+9.0}_{-7.5}$	0.039	85%
Cr 7	50.1	27	4.3	1.7	0	$7.8^{+10.8}_{-7.8}$	0.058	67%
Cr 8	23.7	21	4.4	1.4	0	$20.4^{+23.3}_{-18.3}$	0.034	86%
comb.		292	144.5	9.6	4.3	$14.2^{+1.6}_{-1.5}$	0.060	66%

The overall systematic uncertainty can, for the most part, be determined in a manner similar to what we have presented for the solar runs [2]. The chemical extraction efficiency is typically 80% with an uncertainty of $\pm 4.1\%$. The volume efficiency of all counters used for these extractions was directly measured by filling each with an Ar-CH₄ mixture to which a small quantity of 37 Ar was added. The resultant uncertainty in the counting efficiency, including uncertainties in setting energy and rise time windows for event selection, is +3.2%, -5.9%. Some signal from Rn may still be present due to the inefficiency of the time cuts, and this contribution is estimated to be -0.7%. The uncertainty in the solar neutrino rate p_0 results in a 1.1% uncertainty in p_{Cr} . The total number of estimated carryover events is 4.3 with a 10% uncertainty, which implies an uncertainty in $p_{\rm Cr}$ of 0.3%. Combining all these contributions yields an overall systematic uncertainty in p_{Cr} of +5.3%, -7.3%. Thus the measured rate, p_{Cr} , is $14.2^{+1.6}_{-1.5}$ (stat) +0.8/ -1.0(syst)/dav.

The ratio (R) of the measured ⁷¹Ge production rate to the rate expected from the source strength is

$$R = \frac{(p_{\rm Cr})_{\rm measured}}{(p_{\rm Cr})_{\rm expected}} = 0.95 \pm 0.12$$

where the systematic and statistical uncertainties have been added in quadrature. This result shows that the total efficiency of the SAGE experiment to the neutrinos from ⁵¹Cr is very close to 100%.

Since the neutrino spectrum from ⁵¹Cr differs from the solar spectrum and the total experimental efficiency for each solar neutrino measurement is known to a higher precision than the 12% uncertainty obtained with the ⁵¹Cr source experiment, the solar neutrino measurement should not be scaled by the above ratio.

GALLEX has also made ⁵¹Cr neutrino source measurements with the result $R = 0.92 \pm 0.08$ [5]. Thus both SAGE and GALLEX, which employ very different chemistries, give similar solar neutrino results, and have verified their efficiencies with neutrino source measurements. The solar neutrino capture rate measured by the Ga experiments is in striking disagreement with standard solar model predictions, and there is considerable evidence that this disagreement is not an experimental artifact.

We thank E. N. Alexeyev, J. Bahcall, M. Baldo-Ceolin, L. B. Bezrukov, S. Brice, A. E. Chudakov, G. T. Garvey, W. Haxton, P. M. Ivanov, V. V. Kuzminov, V. A. Matveev, V. A. Rubakov, R. G. H. Robertson, and A. N. Tavkhelidze for stimulating our interest and for fruitful discussions. We acknowledge the support of the Russian Academy of Sciences, the Institute for Nuclear Research of the Russian Academy of Sciences, the Russian Ministry of Science and Technology, the Russian Foundation of Fundamental Research, the Division of Nuclear Physics of the U.S. Department of Energy, and the U.S. National Science Foundation. This research was made possible in part by Grant No. M7F000 from the International Science Foundation and Grant No. M7F300 from the International Science Foundation and the Russian Government.

*Present address: Institute of Theoretical and Experimental Physics, 117259 Moscow, Russia.

[†]Present address: National Institute of Standards and Technology, Bldg. 235/A106, Gaithersburg, MD 20899.

[‡]Present address: Department of Particle and Nuclear Physics, Oxford University, Keble Road, Oxford OX1 3RH, U.K.

- [1] G. Audi and A. H. Wapstra, Nucl. Phys. A595, 409 (1995).
- [2] J. N. Abdurashitov et al., Phys. Lett. B 328, 234 (1994); S. R. Elliott et al., in Proceedings of the XXXth Rencontres de Moriond, Electroweak Interactions and Unified Theories, Les Arcs, Savoie, France, 1995, edited by J. Tran Thanh Van (Editions Frontieres, Singapore, 1995), p. 439.
- [3] J. N. Bahcall, M. Pinsonneault, and G. J. Wasserburg, Rev. Mod. Phys. 67, 781 (1995).
- [4] S. Turck-Chieze and I. Lopes, Astrophys. J. 408, 347 (1993).
- [5] W. Hampel et al. (to be published).
- [6] B. T. Cleveland et al., Nucl. Phys. B38, 47 (1995).
- [7] Y. Suzuki et al., Nucl. Phys. B38, 54 (1995).
- [8] J. N. Bahcall et al., Nature (London) 375, 29 (1995).
- [9] V.A. Kuzmin, Ph.D. thesis, Lebedev Physics Institute, Moscow, 1967.
- [10] R.S. Raghavan, Brookhaven National Laboratory Report No. 50879, 1978, Vol. 2.
- [11] Table of Isotopes, edited by V.S. Shirley (John Wiley and Sons, New York, 1996), 8th ed., p. 209.
- [12] A. Tikhomirov, Nucl. Instrum. Methods Phys. Res., Sect. B 70, 1 (1992).
- [13] G.E. Popov *et al.*, Nucl. Instrum. Methods Phys. Res., Sect. A **362**, 532 (1995).
- [14] V.N. Gavrin, S.N. Dan'shin, G.T. Zatsepin, and A.V. Kopylov, Report No. INR P-335, 1984, in Russian.
- [15] A. V. Zvonarev et al., At. Energ. 80, 107 (1996).
- [16] I.N. Belousov et al., in Proceedings of the International School on Particles and Cosmology, Baksan Valley, Russia, 1991, edited by V.A. Matveev, E.N. Alexeyev, V.A. Rubakov, and I.I. Tkachev (World Scientific Publishing, Singapore), p. 59.
- [17] C. Zhou, Nucl. Data Sheets 63, 229 (1991).
- [18] P. Anselmann et al., Phys. Lett. B 342, 440 (1995).
- [19] J.N. Bahcall and R.K. Ulrich, Rev. Mod. Phys. 60, 297 (1988).
- [20] N. Hata and W. Haxton, Phys. Lett. B 353, 422 (1995).
- [21] The only modification from the standard extraction procedures that was used for the Cr experiment was the transfer of Ga from two reactors to the irradiation vessel and back. To verify that this transfer process did not gain or lose ⁷¹Ge activity, during the course of usual solar neutrino measurements, a set of two-reactor experiments was made in which this Ga transfer was included. The measured production rate in the two reactors was consistent with that from solar neutrinos.
- [22] S. R. Elliott, Nucl. Instrum. Methods Phys. Res., Sect. A 290, 158 (1990).
- [23] B. T. Cleveland, Nucl. Instrum. Methods Phys. Res. 214, 451 (1983).
- [24] A.W. Marshall, Ann. Math. Stat. 29, 307 (1958).