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Stability of Matter in Magnetic Fields

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In the presence of arbitrarily large magnetic fields, matter composed of electrons and nuclei was known to be unstable if α or Z is too large. Here we prove that matter is stable if $\alpha < 0.06$ and $Z\alpha^2 < 0.04$.

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One of the remaining unsolved problems connected with the stability of matter is the inclusion of arbitrary magnetic fields. The model is a caricature of QED, which invites speculations about stability of QED for large fine structure constant α , but that is not our focus here and we refer to [1] for a discussion of these and related matters. The Hamiltonian for N electrons and K fixed nuclei of charge Ze with magnetic field $B(x) = \nabla \times A(x)$, including the field energy, $\varepsilon \int B^2$, is

$$H = \sum_{i=1}^{N} \mathcal{T}_{i} + V_{C} + \varepsilon \int B(x)^{2} d^{3}x, \qquad (1)$$

where $\mathcal{T} \equiv [\boldsymbol{\sigma} \cdot (\boldsymbol{p} + \boldsymbol{A})]^2 = (\boldsymbol{p} + \boldsymbol{A})^2 + \boldsymbol{\sigma} \cdot \boldsymbol{B}$ is the Pauli operator. The Coulomb energy is

$$V_{C} = -Z \sum_{i=1}^{N} \sum_{j=1}^{N} |x_{i} - R_{j}|^{-1} + \sum_{1 \le i < j \le N} |x_{i} - x_{j}|^{-1} + Z^{2} \sum_{1 \le i < j \le K} |R_{i} - R_{j}|^{-1},$$
(2)

with R_j being the coordinates of the nuclei and x_i the electron coordinates. The energy unit is $4 \text{ Ry} = 2mc^2\alpha^2$, $\alpha = e^2/\hbar c$, length unit $= \hbar^2/2me^2$, and $\varepsilon = (8\pi\alpha^2)^{-1}$. Notice that α appears in (1) only through ε .

The negative particles are necessarily spin 1/2 fermions which, for mathematical generality, we assume to exist in q/2 flavors (e.g., q = 6, corresponding to three leptons). The ground state energy is denoted by E.

Starting with the 1967 pioneering work of Dyson and Lenard we now understand stability for arbitrarily many electrons and nuclei, with B = 0, in the context

of the nonrelativistic Schrödinger equation. Later it was extended to the "relativistic" Schrödinger equation in which $p^2/2m$ is replaced by $(c^2p^2 + m^2c^4)^{1/2}$ (see [2] for a review). These proofs also hold with the inclusion of a magnetic field coupled to the *orbital motion* of the electrons, i.e., $p \rightarrow p + A$, but no Zeeman $\sigma \cdot B$ term.

Stability of matter has two meanings: (i) E is finite for arbitrary N and K; (ii) $E \ge -C_1(N + K)$ for some constant C_1 independent of N, K, and R_j . (ii) obviously implies (i), and it holds in the nonrelativistic case. In the relativistic case, (i) actually implies (ii) (see [3]) but (i) requires two conditions: $Z\alpha \le C_2$ and $\alpha \le C_3$ with C_2 and C_3 being universal constants, the best available values being in [3], Theorems 1 and 2. The inclusion of B changes E, but the point is that while C_1, C_2, C_3 depend on q, they can be chosen to be independent of B.

The situation changes dramatically when the magnetic moments of the electrons are allowed to interact with the magnetic field via the $\boldsymbol{\sigma} \cdot \boldsymbol{B}$ term, as in (1). The reason for this is simple: The Pauli operator \mathcal{T} is non-negative, but it is much weaker than $(\boldsymbol{p} + \boldsymbol{A})^2$. Indeed, it can even have square integrable zero modes [4], $\mathcal{T} \psi = 0$, for suitable $\boldsymbol{A}(\boldsymbol{x})$, which cause instability for large $Z\alpha^2$.

It is known [5] that without the field energy term $\varepsilon \int B^2$ in (1) arbitrarily large **B** fields can cause arbitrarily negative energies *E* even for hydrogen. The field energy, hopefully, stabilizes the situation, and our goal is to show that *E* is finite for (1), even after minimizing over all possible **B** fields and all possible R_i .

One of our results on magnetic stability is as follows.

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Theorem 1: The ground state energy of H satisfies

$$E \ge -2.6q^{2/3} \max\{Q(Z)^2, Q(5.7q)^2\} N^{1/3} K^{2/3},$$
 (3)

with $Q(t) \equiv t + \sqrt{2t} + 2.2$, provided that

$$qZ\alpha^2 \le 0.082 \quad and \quad q\alpha \le 0.12. \tag{4}$$

In (2) all the nuclear charges are set equal to Z. As far as stability is concerned this is no restriction [6], since the energy is concave in each charge Z_j and hence stability holds in the "cube" $\{0 \le Z_j \le Z\}_{j=1}^{K}$ if it holds when all $Z_j = Z$. It also follows from this that E is a decreasing function of Z. Moreover, since $\varepsilon \propto \alpha^{-2}$, it follows that E is a decreasing function of α , a fact that will be important later. The form of (3) is the best possible for $Z \ge 1$, as we know from other studies [2].

Our actual condition for stability given after (18) is rather complicated, but very much more general than (4)—which is only representative. The results after (18) show, e.g., that when $\alpha = 1/137$ and q = 2, Z can be as large as 1050. The large values of Z and α are important because the comfortable distance of the critical values from the physical values $Z \le 92$, $\alpha = 1/137$ implies that the effect studied here is merely a small perturbation of the usual B = 0 case.

Our proof of Theorem 1 will require a new technique a running energy-scale renormalization of \mathcal{T} . A byproduct of this is a Lieb-Thirring type inequality for \mathcal{T} :

Theorem 2: If $\varepsilon_1 \leq \varepsilon_2, \ldots < 0$ are the negative eigenvalues of $\mathcal{T} - U$, for a potential $-U(x) \leq 0$ then

$$\sum |\varepsilon_i| \le a_{\gamma} \int U(x)^{5/2} d^3 x + b_{\gamma} \left(\int B(x)^2 d^3 x \right)^{3/4} \left(\int U(x)^4 d^3 x \right)^{1/4} (5)$$

for all $0 < \gamma < 1$, where $a_{\gamma} = (2^{3/2}/5)(1 - \gamma)^{-1}L_3$ and $b_{\gamma} = 3^{1/4}2^{-9/4}\pi\gamma^{-3/8}(1 - \gamma)^{-5/8}L_3$. We can take L_3 , defined below, to be 0.1156.

More generally, the second term in (5) can be replaced by $(\int B^{3q/2})^{1/q} (\int U^p)^{1/p}$, where $p^{-1} + q^{-1} = 1$.

The investigation of this problem started in [1,7] where type (ii) stability was proved (for suitable Z, α and q =2) for K = 1 and arbitrary N [if $(Z + 1/4)\alpha^{12/7} \le 0.15$] or N = 1 and arbitrary K (if $Z\alpha^2 \le 0.6$ and $\alpha \le 0.3$). The problem for general N and K was open for nine years, and we present a surprisingly simple solution here.

The bounds in (4) on $Z\alpha^2$ and α are not artifacts. It is shown in [1] and [4] that the zero modes cause $E = -\infty$ when $Z\alpha^2 > 11.11$ for the "hydrogenic" atom, i.e., a single spin 1/2 particle and one nucleus. If the number of nuclei is arbitrary, it is shown in [7] that there is collapse if $\alpha > 6.67$, no matter how small Z is. *Magnetic stability, like relativistic stability, implies a (Z-independent) bound on* α . Prior to our work a proof of type (ii) stability for (1) with Z = 1, q = 2, and some sufficiently small α was announced (unpublished) by C. Fefferman and sketched to one of us. Our proof is unrelated to his, considerably simpler and, more importantly, gives physically realistic constants.

We begin our analysis with the observation that length scaling considerations suggest that the key to understanding the stability problem is somehow to replace \mathcal{T} , on each energy scale e, by $\mu \mathcal{T}/e$, where μ is a fixed energy but e is variable. On energy scales $e > \mu$ we can use the fact that $\mathcal{T} > 0$ to replace \mathcal{T} by $\mu \mathcal{T}/e$ without spoiling lower bounds. It might seem odd to replace \mathcal{T} by something smaller, but what is really happening is that $\boldsymbol{\sigma} \cdot \boldsymbol{B}$ is being partially controlled by $[1 - \mu e^{-1}](\boldsymbol{p} + \boldsymbol{A})^2$. The idea of replacing \mathcal{T} by a fraction of \mathcal{T} was also used in [1], but no energy dependence was used there.

We shall illustrate this concept by three calculations. The first, (A), will establish magnetic stability by relating it to the stability of relativistic matter (see [3,6,8,9]). The second, (B), will be the proof of Theorem 2. The third, (C), will use essential parts of the second calculation and an electrostatic inequality proved in [3] to prove magnetic stability without resorting to relativistic stability.

(A) Magnetic stability from relativistic stability.—We use stability of relativistic matter in the form proved in [3]. From the corollary of Theorem 1 in [3] with $\beta = 0.5$ we have, for any $0 < q\kappa \le 0.032$ and $Z\kappa \le 1/\pi$,

$$\sum_{i=1}^{N} |\mathbf{p}_{i} + \mathbf{A}_{i}| + \kappa V_{C} \ge 0.$$
 (6)

(Although Theorem 1 in [3] was stated only for |p|, it holds for |p + A| because it relies only on the magnitude of the resolvent, which only gets smaller when A is not zero. That is, $||p + A|^{-s}(x, y)| \le ||p|^{-s}(x, y)|$ for each s > 0 and x, y in \mathbb{R}^3 . This follows at once from a similar bound on the heat kernel $\{\exp[-t(p + A)^2]\}(x, y)$ which, in turn, follows from its representation as a path integral. This was pointed out in [5,10]. Only the resolvent powers $|p + A|^{-s}$ enter the proof of Theorem 1 in [3].)

Using (6), *H* is bounded below by $\overline{H} = \sum_{i=1}^{N} h_i + \varepsilon \int B^2$, where *h* is the one-body operator $h = \mathcal{T} - \kappa^{-1} | \mathbf{p} + \mathbf{A} |$. Thus *E* is bounded below by $\varepsilon \int B^2 + \overline{E}_N$, where $\overline{E}_N = q \sum_{j=1}^{[N/q]} \varepsilon_j$ and $\varepsilon_1 \le \varepsilon_2 \le \ldots$ are the eigenvalues of *h*. For e > 0, let $N_{-e}(h)$ be the number of eigenvalues of *h* less than or equal to -e. Choose $\mu > 0$ and note that

$$\overline{E}_N \ge -N\mu - q \int_{\mu}^{\infty} N_{-e}(h) \, de \,. \tag{7}$$

The crucial step in our proof is noting that the positivity of the operator \mathcal{T} implies that $\mathcal{T} \ge \mu \mathcal{T}/e$ when $e \ge \mu$. Thus $\mathcal{T} \ge \mu e^{-1} \mathcal{T} \ge \mu e^{-1} (\mathbf{p} + A)^2 - \mu e^{-1} B(x)$

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when $e \ge \mu$. By Schwarz's inequality, $\kappa^{-1}|p + A| \le (1/3)e^{-1}\kappa^{-2}(p + A)^2 + 3e/4$ and hence if we set $\mu = (4/3)\kappa^{-2}$ we obtain

$$h \ge e^{-1}\kappa^{-2}(\mathbf{p} + \mathbf{A})^2 - \frac{4}{3}e^{-1}\kappa^{-2}B(x) - 3e/4 \equiv h_e$$

Thus $N_{-e}(h) \leq N_{-e}(h_e)$, and this can be estimated by the Cwikel-Lieb-Rozenblum (CLR) bound [11], i.e., $N_{-e}[(p + A)^2 - U(x)] \leq L_3 \int [U(x) - e]_+^{3/2} d^3x$, where $[a]_+ \equiv \max(a, 0)$ and $L_3 = 0.1156$. In our case

$$N_{-e}(h_e) \le L_3 \int \left[\frac{4B(x)}{3} - \frac{e^2 \kappa^2}{4} \right]_+^{3/2} d^3x \,. \tag{8}$$

Inserting this bound in (7), a simple calculation yields

$$\overline{E}_N \geq -N\mu - (2\pi/3)q\kappa^{-1}L_3\int B(x)^2 d^3x.$$

We choose κ so that the field energy terms are nonnegative, i.e., $\kappa \ge (16\pi^2/3)L_3\alpha^2 q = 6.1\alpha^2 q$. We conclude, by (6), that magnetic stability holds if

$$q\alpha \le 0.071$$
 and $qZ\alpha^2 \le 0.052$. (9)

For q = 2, the first condition is $\alpha \le 1/28$. For q = 2 and $\alpha = 1/137$, stability occurs if $Z \le 490$.

Assuming (9) holds, we then use (6) and choose $\kappa = \min\{0.0315q^{-1}, (\pi Z)^{-1}\}$. Our lower bound on the ground state energy per electron, by this method, is then $-\mu = -(4/3)\kappa^{-2} = -\max\{1345q^2, 13.2Z^2\}$.

Remark: We used the CLR bound in (8). Since the derivation of this bound is not elementary, the reader might wish to use an easier to derive bound—at the cost of worsening the final constants. A useful substitute is

$$N_{-e} \le 0.1054e^{-1/4} \int \left[U(x) - e/2 \right]_{+}^{7/4} d^3x$$

(plus an increased μ), which is in (2.8) of [12] and which can be derived by means originally employed for the Lieb-Thirring inequality. This same remark also applies to our other calculations below.

(B) The Lieb-Thirring inequality.—As before we note that $\sum \varepsilon_i = -\int_0^\infty N_{-e}(\mathcal{T} - U) de$. We write $\int_0^\infty = \int_0^\mu + \int_\mu^\infty$. The parameter μ will be optimized below. Noting that $\mathcal{T} \ge (p + A)^2 - B(x)$ and applying the CLR bound in the same fashion as before to \int_0^μ yields

$$L_3 \int_0^{\mu} \int \left[B(x) + U(x) - e \right]_+^{3/2} d^3 x \, de \,. \tag{10}$$

In \int_{μ}^{∞} we replace \mathcal{T} by the lower bound $\mu e^{-1}[(\mathbf{p} + \mathbf{A})^2 - B(x)]$ and obtain $N_{-e}(\mathcal{T} - U) \leq N_{-e}(\mu e^{-1}[(\mathbf{p} + \mathbf{A})^2 - B] - U)$. A further application of the CLR inequality yields the bound on \int_{μ}^{∞}

$$L_e \int_{\mu}^{\infty} \int \left[B(x) + (e/\mu) U(x) - e^2/\mu \right]_{+}^{3/2} dx \, de \,. \tag{11}$$

It is easy to see that for any $0 < \gamma < 1$ the integrand in (10) is bounded above by

$$\sqrt{2}\left(\left[B(x) - \gamma e^2/\mu\right]_{+}^{3/2} + \left[U(x) - (1 - \gamma)e\right]_{+}^{3/2}\right).$$

Treating the integrand in (11) in a similar fashion and combining the inequalities we find

$$\sum |\varepsilon_i| \le \sqrt{2} L_3 \int \left\{ \int_0^\infty [B(x) - \gamma e^2 / \mu]_+^{3/2} de + \int_0^\mu [U(x) - (1 - \gamma)e]_+^{3/2} de + \int_\mu^\infty [(e/\mu)U(x) - (1 - \gamma)e^2 / \mu]_+^{3/2} de \right\} d^3x \, .$$

After extending the last two integrals to \int_0^∞ , a straightforward computation yields

$$\sum |\varepsilon_i| \le \sqrt{2} L_3 \int \left\{ \frac{2}{5(1-\gamma)} U(x)^{5/2} + \frac{3\pi\mu^{1/2}}{16\gamma^{1/2}} B(x)^2 + \frac{3\pi}{128} \mu^{-3/2} (1-\gamma)^{-5/2} U(x)^4 \right\} d^3x \, .$$

Optimizing over μ yields (5).

To prove the more general form of (5), replace μe^{-1} by $(\mu e^{-1})^s$, where s = 2p/3 - 5/3.

(C) Proof of Theorem 1.—We turn now to our third illustration of the concept of running energy scale and prove the stability directly, not relating it to the relativistic problem. By this method we get the correct dependence of the ground state energy on Z and also somewhat better critical constants than in (9).

Following [3] we first replace the Coulomb potential by a single particle potential in (12) below. We break up \mathbf{R}^3 into Voronoi cells defined by the nuclear locations, i.e., $\Gamma_j = \{x : |x - R_j| \le |x - R_k| \text{ for all } k\}$ is the *j*th Voronoi cell. Each Γ_j contains a ball centered at R_j with radius $D_j = \min\{|R_j - R_k| : j \ne k\}/2$.

The following bound on V_C is proved in [3]: Choose some $0 < \lambda < 1$. Then

$$V_C \ge -\sum_{i=1}^N W(x_i) + \sum_{j=1}^K \frac{Z^2}{8D_j},$$
 (12)

where $W(x) = Z|x - R_j|^{-1} + F_j(x)$ for $x \in \Gamma_j$ with $F_j(x)$ defined by

$$(2D_j)^{-1} (1 - D_j^{-2} |x - R_j|^2)^{-1} \quad \text{for } |x - R_j| \le \lambda D_j,$$

$$(\sqrt{2Z} + 1/2) |x - R_j|^{-1} \quad \text{for } |x - R_j| > \lambda D_j.$$

The point about this inequality is that the potential W has the same singularity near each nucleus as V_C , and that the rightmost term in (12) is repulsive. This term will be responsible for stabilizing the system.

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The problem is thus reduced to obtaining a lower bound on $q \sum_{j} \varepsilon_{j}$, where $\sum_{j} \varepsilon_{j}$ is the sum of the first [N/q]negative eigenvalues of $\mathcal{T} - W$. Note that Theorem 2 cannot be applied directly to this problem, since W is neither integrable to the power 5/2 nor to the power 4. Instead we have to do the calculations directly.

For $\nu > 0$ (a number that is chosen later) set $W_{\nu}(x) \equiv [W(x) - \nu]_{+}$ and note that $W(x) - \nu \leq W_{\nu}(x)$. Then, as in (7), $q \sum' \varepsilon_{j} \geq -N\nu - q \int_{0}^{\infty} N_{-e}(\mathcal{T} - W_{\nu}) de$. Again,

$$\int_{0}^{\infty} N_{-e}(\mathcal{T} - W_{\nu}) de \leq \int_{0}^{\mu} N_{-e}(\mathcal{T} - W_{\nu}) de + \int_{\mu}^{\infty} N_{-e}(\mu e^{-1}\mathcal{T} - W) de, \quad (13)$$

where we have replaced $W_{\nu}(x)$ by W(x) in the second term. Applying the CLR bound to the first expression on the right side we obtain $L_3 \int \int_0^{\mu} [B(x) + W_{\nu}(x) - e]_+^{3/2} de d^3x$, which can be bounded, as in part (B), by

$$\sqrt{2} L_3 \int \left\{ \int_0^{\mu} \left[B(x) - \frac{\gamma e^2}{\mu} \right]_+^{3/2} de + \frac{2}{5} (1 - \gamma)^{-1} W_{\nu}(x)^{5/2} \right\} d^3x , \quad (14)$$

for any $0 < \gamma < 1$.

The difficulty in dominating the second term in (13) comes from the Coulomb singularity of W(x), which is not fourth power integrable. The singularity can be controlled using the following operator inequality, which follows from the diamagnetic inequality $\int |(\mathbf{p} + \mathbf{A})\psi|^2 d^3x \ge \int |\mathbf{p}|\psi||^2 d^3x$ and Lemma 2a on p. 708 of [13].

$$(\mathbf{p} + \mathbf{A})^2 - \frac{Z}{|\mathbf{x}|} \ge -\begin{cases} Z^2/4 + \frac{3}{2}ZR^{-1}, & \text{if } |\mathbf{x}| \le R, \\ Z|\mathbf{x}|^{-1}, & \text{if } |\mathbf{x}| \ge R. \end{cases}$$

Choose $R = \lambda D_j$ and write $(\mathbf{p} + \mathbf{A})^2 = \beta (\mathbf{p} + \mathbf{A})^2 + (1 - \beta) (\mathbf{p} + \mathbf{A})^2$ for some $0 < \beta < 1$. Then, by scaling,

$$(\mu/e)\mathcal{T} - W \ge (\mu/e)(1-\beta)(p+A)^2 - (\mu/e)B - \widetilde{W},$$

where $\widetilde{W}(x, e) = \widetilde{G}_j(x, e) + F_j(x)$ for $x \in \Gamma_j$ with $\widetilde{G}_j(x, e)$ defined by

$$Z^{2}e/4\beta\mu + 3Z/2\lambda D_{j} \text{ for } |x - R_{j}| \leq \lambda D_{j},$$
$$Z|x - R_{j}|^{-1} \text{ for } |x - R_{j}| > \lambda D_{j}.$$

Note that \widetilde{W} depends on e.

Again, as in part (B), we can use the CLR bound on the second term in (13) to obtain (when $1 - \gamma \ge Z^2/4\beta\mu$)

$$\sqrt{2}L_{3}(1-\beta)^{-3/2} \int \left\{ \int_{\mu}^{\infty} [B(x) - \gamma e^{2}/\mu]_{+}^{3/2} de + \mu^{-3/2} \int_{0}^{\infty} [e\widetilde{W}(x,e) - (1-\gamma)e^{2}]_{+}^{3/2} de \right\} d^{3}x.$$
(15)

First we compute the last integral in (15), which is

$$\sum_{j=1}^{K} \int_{\Gamma_j} \int_0^\infty [e\tilde{G}_j(x,e) + eF_j(x) - (1-\gamma)e^2]_+^{3/2} de d^3x.$$

Now split the Γ_j integral into an inner integral $|x - R_j| \le \lambda D_j$ and an outer integral $|x - R_j| > \lambda D_j$. The inner integral yields, using the definitions of \tilde{G}_j and F_j ,

$$\frac{3\pi^2}{32} \left(1 - \gamma - \frac{Z^2}{4\beta\mu}\right)^{-5/2} \int_0^\lambda \left[\frac{1}{2(1 - r^2)} + \frac{3Z}{2\lambda}\right]^4 r^2 dr D_j^{-1}.$$
(16)

To bound the outer integral from above we replace Γ_j by \mathbf{R}^3 and get

$$(3\pi^2/32)(1-\gamma)^{-5/2}(\sqrt{Z}+\sqrt{1/2})^8(\lambda D_j)^{-1}.$$
 (17)

Combining (14)–(17) we find that the sum of the negative eigenvalues of $\mathcal{T} - W_{\nu}$ is bounded below by

$$-a\int W_{\nu}(x)^{5/2} d^{3}x - b\int B(x)^{2} d^{3}x - c\sum_{j=1}^{K} D_{j}^{-1}.$$
(18)

Here $a = q(2\sqrt{2}/5)L_3(1 - \gamma)^{-1}$,

$$b = q \frac{3\pi\sqrt{2}}{16} L_3(1-\beta)^{-3/2} (\mu/\gamma)^{1/2},$$

$$c = q \frac{3\pi^2\sqrt{2}}{32} L_3(1-\beta)^{-3/2} \mu^{-3/2}$$

$$\times \left\{ \frac{(\sqrt{Z} + \sqrt{q/4})^8}{\lambda(1-\gamma)^{5/2}} + \left(1-\gamma - \frac{Z^2}{4\beta\mu}\right)^{-5/2} \right.$$

$$\times \int_0^\lambda \left[\frac{q}{4(1-r^2)} + \frac{3Z}{2\lambda}\right]^4 r^2 dr \right\}.$$

To simplify the stability condition we have artificially increased the bounds by recalling that $q \ge 2$ and twice replacing 1/2 by q/4 in the definition of c. We choose $\beta = 1/8$, $\gamma = 1/2$, $\lambda = 8/9$, and μ so that $b = (8\pi\alpha^2)^{-1}$. The *stability condition* $c \le Z^2/8$ [see (12)] now depends only on the two parameters $X = qZ\alpha^2$ and $Y = q\alpha$. A straightforward but lengthy calculation shows that the stability condition holds if $X = X_0 \equiv 0.082$ and $Y = Y_0 \equiv 0.12$. The condition is monotone in Y, so it holds for $X = X_0, Y \le Y_0$. Although our condition does not hold for all $X \le X_0, Y \le Y_0$, we can use the Z monotonicity of E to conclude stability in this range; this proves (4). With the same values of β , γ , and λ and with q = 2 the values Z = 1050, $\alpha = 1/137$ also give stability.

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To derive (3), note that $W(x) \leq Q|x - R_j|^{-1}$ for $x \in \Gamma_j$. Using this bound and replacing Γ_j by \mathbb{R}^3 , one easily obtains $-\sqrt{2} \pi^2 L_3 q K Q^3 \nu^{-1/2} - N \nu$ as a lower bound on the $-a \int W_{\nu}^{5/2}$ term in (18). Optimizing over ν yields (3) when $X = X_0, Y \leq Y_0$. In this case, $Z \geq Z_0 \equiv 5.7q$. If $X \leq X_0, Y \leq Y_0$ and $Z \geq Z_0$, we get a lower bound on *E* by increasing α until $X = X_0, Y \leq Y_0$; this yields (3) with Q = Q(Z). Otherwise, with $Z < Z_0$, we use the *Z* monotonicity of *E* to conclude (3) with Q = Q(5.7q).

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