

## Kaon Energies in Dense Matter

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We discuss the role of kaon-nucleon and nucleon-nucleon correlations in kaon condensation in dense matter. Correlations raise the threshold density for kaon condensation, possibly to densities higher than those encountered in stable neutron stars.

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The possibility of kaon condensation in dense matter was suggested by Kaplan and Nelson [1], and it has been discussed in many recent publications [2–7]. The basic idea is that the energy of a  $K^-$ ,  $\epsilon_K$ , is lowered by interaction with nucleons, and in neutron star matter in beta equilibrium one expects negative kaons to be present if the energy to create one in the medium is less than the electron chemical potential,  $\mu_e$ , the energy required to add an extra electron.

One complication in determining the low energy interactions of kaons with nucleons is that the interactions of kaons with protons *in vacuo* is dominated by the  $\Lambda(1405)$  resonance. Fortunately this does not affect the  $K^-$  interaction with neutrons, which is expected to dominate in neutron stars. In dense matter the effects of the  $\Lambda(1405)$  are likely unimportant, because the kinematic region of interest is far from the resonance. To describe the  $KN$  interactions Brown, Lee, Rho, and Thorsson [5], hereafter denoted by BLRT, employed an effective Lagrangian based on chiral perturbation theory. To circumvent the difficulties with the resonance, parameters of the Lagrangian were fitted to  $K^+N$  ( $N = n$  or  $p$ ), data, which are unaffected by the resonance. The Lagrangian was treated in mean field theory, and the density for condensation was found to be  $\sim(3-4)\rho_0$ , where  $\rho_0 = 0.16 \text{ fm}^{-3}$  is nuclear matter density. This is to be compared with the central density of about  $4\rho_0$  for a neutron star of mass  $1.4M_\odot$  according to the estimates of Wiringa, Fiks, and Fabrocini [8] using realistic models of nuclear forces. The conclusion was that kaon condensation could affect the structure, maximum mass, and cooling rates of neutron stars significantly.

The problem of calculating the energy of mesons in matter has received much attention over the past few decades [9]. To lowest order in the densities of nucleons, the interaction energy of a kaon with nucleons is given in terms of the kaon-neutron and kaon-proton scattering lengths  $a_{K^-n}$  and  $a_{K^-p}$  by the standard result

$$\Delta\epsilon_{K,\text{Lenz}} = \frac{2\pi}{m_R} (\rho_n a_{K^-n} + \rho_p a_{K^-p}), \quad (1)$$

where  $m_R = m_N m_K / (m_N + m_K)$  is the reduced mass [10]. This is the so-called Lenz potential. Corrections

to these results at higher densities arise from a number of sources. First, there are effects due to relativity and the energy dependence of the basic interactions. These are implicitly included in Refs. [1–7]. In addition, there are corrections due to correlations when one goes beyond these mean field treatments. In this Letter we argue that they reduce substantially estimates of the attraction experienced by a kaon in matter.

To set the scene and establish notation, we describe the approach of BLRT, who use the Lagrangian of Jenkins and Manohar [11] containing terms of the two lowest orders in the chiral expansion. The nucleons are treated in mean field theory; that is, their densities are assumed to be uniform, and equal to  $\rho_n$  and  $\rho_p$ . The spatially uniform state, which is the one of lowest energy in this model, is given by

$$K^\pm = v_K e^{\pm i\epsilon_K t}, \quad (2)$$

and

$$\begin{aligned} \mathcal{L} = & (1 - \alpha)\dot{K}^+\dot{K}^- - (m_K^2 - \delta^2)K^+K^- \\ & - \frac{i}{2}V(K^-\dot{K}^+ - \dot{K}^-K^+), \end{aligned} \quad (3)$$

with  $V = (\rho_n + 2\rho_p)/(2f^2)$ ,  $\delta^2 = [\Sigma_{KN}(\rho_n + \rho_p) - C(\rho_n - \rho_p)]/f^2$ , and  $\alpha = -[\tilde{D}(\rho_n + \rho_p) - \tilde{D}'(\rho_n - \rho_p)]/f^2$ . The  $V$  and  $\delta^2$  terms describe interactions of kaons with effective vector and scalar fields, while  $\alpha$  takes into account energy dependence of the interaction. The pion decay constant,  $f$  ( $\approx 93 \text{ MeV}$ ), and the constant  $C$  ( $\approx 33.5 \text{ MeV}$ ) are well determined, but the values of  $\Sigma_{KN}$ ,  $\tilde{D}$ , and  $\tilde{D}'$  are not well known. The experimental  $K^+N$  scattering lengths [12] are used to determine  $\tilde{D}$  for chosen values of  $\Sigma_{KN}$  from Eq. (27) of BLRT. Equation (28) of BLRT gives  $\tilde{D}' = 0.092/m_K$ . A large  $\Sigma_{KN}$  implies a large energy dependence for the  $K^-N$  interaction, and the value  $\Sigma_{KN} = 278 \text{ MeV}$  was considered to be realistic by BLRT. It is presently considered likely that  $\Sigma_{KN}$  lies around  $400 \text{ MeV}$  [6]. The corresponding values of  $\tilde{D}$  are  $-0.23/m_K$  for  $\Sigma_{KN} = 278 \text{ MeV}$  and  $-0.48/m_K$  for  $\Sigma_{KN} = 400 \text{ MeV}$ .

The  $K^-$  energy may easily be calculated from Eq. (2), and is

$$\epsilon_K = \frac{1}{2(1-\alpha)} \{ [4(1-\alpha)(m_K^2 - \delta^2) + V^2]^{1/2} - V \}, \quad (4)$$

as used by BLRT. Observing that  $\alpha$ ,  $\delta^2$ , and  $V$  are linear in the nucleon densities,  $\rho_N$ , we expand  $\epsilon_K$  in powers of  $\rho_N$ . To second order in the densities one finds

$$\begin{aligned} \epsilon_K = m_K + \Delta\epsilon_{K,\text{Lenz}} + \frac{V^2}{8m_K} - \frac{\delta^4}{8m_K^3} \\ + \frac{3}{8}\alpha^2 m_K - \frac{V\alpha}{2} - \frac{\alpha\delta^2}{4m_K}. \end{aligned} \quad (5)$$

The first term is just the kaon rest mass. In the Lenz potential, Eq. (1), the  $K^-N$  scattering lengths [10] are given by

$$a_{K^-n} = \frac{-m_R}{4\pi f^2 m_K} \left( \frac{m_K}{2} + \Sigma_{KN} - C + (\tilde{D} - \tilde{D}')m_K^2 \right), \quad (6)$$

$$a_{K^-p} = \frac{-m_R}{4\pi f^2 m_K} [m_K + \Sigma_{KN} + C + (\tilde{D} + \tilde{D}')m_K^2]. \quad (7)$$

Thus for given values of the scattering lengths, the Lenz potential is independent of the choice of  $\Sigma_{KN}$ . However, terms of order  $\rho_N^2$  and higher depend on  $\Sigma_{KN}$ . When  $\Sigma_{KN}$  is small,  $\delta^2$  and  $\alpha$  are small, and the higher order terms dominated by  $V^2/8m_K$  are repulsive. In contrast, when  $\Sigma_{KN}$  is large,  $\delta^2$  is large,  $1-\alpha$  can become zero at relatively small densities,  $\sim 10\rho_0$ , and the higher order terms are attractive.

The dependence of the kaon energy on nucleon density is illustrated in Fig. 1 for a proton fraction of 0.1. The curves labeled by  $\Sigma_{KN}$  values are obtained from Eq. (4). For comparison, the Lenz approximation, which contains only the first two terms in Eq. (5), is also shown. The Lenz term gives a major contribution, while other terms representing the energy dependence of the interaction and relativistic effects may be significant.

We now address the main topic of this Letter, how one estimates the effects of correlations on the kaon energy. To do this, it is most convenient to use the language of potentials. The Lenz term is exact only at low density, and there are corrections to it when it is no longer a good approximation to assume that the  $K^-N$  relative wave function,  $\psi_{K^-N}$ , is the same as for a pair of particles *in vacuo*. This is a problem that has been widely discussed in numerous other contexts. For *s*-wave scattering,  $\psi$  at low energy is given by

$$\psi_{K^-N}(r) = 1 - a_{K^-N}/r \quad \text{for } r > R, \quad (8)$$

where  $R$  is the range of the  $K^-N$  interaction. The corresponding unperturbed wave function is simply unity.

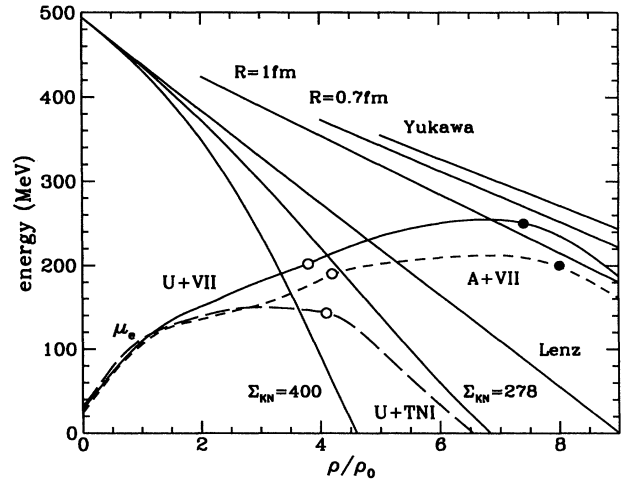


FIG. 1. Energy of a single  $K^-$  in matter as a function of density, and electron chemical potentials taken from the calculations of Ref. [6]. The calculations of kaon energies are for a proton fraction of 0.1. Curves labeled by values of  $\Sigma_{KN}$ , in MeV, are evaluated from Eq. (3). Hartree potentials, evaluated from Eq. (11), are shown for square wells of radii  $R = 1$  and  $0.7$  fm, and for a Yukawa potential. A and U refer to the AV14 and UV14 two-body potentials, and VII and TNI to the UVII and TNI many-body potentials. The open circles indicate the central densities of neutron stars with a mass of  $1.4M_\odot$ , and filled circles indicate the central density for the most massive stable neutron star for the given equation of state.

To illustrate the effects, we represent the  $K^-N$  interaction by potentials, corresponding to the two possible isospin values,  $I = 0, 1$ . The scattering lengths are given by

$$a_{K^-N} = \frac{m_R}{2\pi} \int d^3r \mathcal{V}_{K^-N}(r) \psi_{K^-N}(r), \quad (9)$$

where  $\mathcal{V}_{K^-N}(r)$  represents the  $K^-N$  potential. Since  $\psi_{K^-N}(r < R) > 1 - a_{K^-N}/R$  when  $\mathcal{V}_{K^-N}(r)$  does not have a repulsive core,  $2\pi a_{K^-N}/m_R$  is larger than the volume integral of  $\mathcal{V}_{K^-N}(r)$  by a factor larger than  $1 - a_{K^-N}/R$ . The potential  $\mathcal{V}_{K^-N}(r)$  is believed to be short ranged, because the vector and scalar mesons that contribute to it have masses larger than the inverse of hadron radii. Hence  $\mathcal{V}_{K^-N}$  has a range  $R < 1$  fm, and the factor  $1 - a_{K^-N}/R$  is larger than 1.41 and 1.85 for  $N = n, p$  with the scattering lengths  $a_{K^-n} = -0.41$  fm and  $a_{K^-p} = -0.85$  fm given by Eqs. (5) and (6), and the parameters taken from BLRT. These factors represent the correlation between low energy  $K^-$  and nucleons *in vacuo*, and, when it is significantly different from unity, the Lenz term is valid only at densities low enough that the radius,  $r_0$ , of a sphere with a volume equal to the average volume per particle,  $1/\rho = 4\pi r_0^3/3$ , is larger than both  $R$  and  $|a|$ .

In order to make quantitative estimates, we fitted square well and Yukawa potentials to the scattering lengths. For a

square well of radius 0.7 fm, the depths are 126.2 MeV for neutrons and 181 MeV for protons, while for  $R = 1.0$  fm, the corresponding values are 49.5 and 75.6 MeV. For a Yukawa potential  $V_0 \exp(-m_x r)/m_x r$ , one finds  $V_0 = -795$  MeV for neutrons and  $-1075$  MeV for protons if the mass,  $m_x$ , of the exchanged particle is taken to be that of the  $\rho$  meson, 770 MeV. In neutron stars, it is the  $K^-n$  interaction that is the more important, since the proton fraction of matter is small, and in Fig. 2 we show  $\psi_{K^-n}$  for the three potentials. These exhibit the enhancement of the wave function for small separations.

At higher densities, the kaon energy in matter should be calculated either by a summation of diagrams, as in approaches that evaluate the  $K^-N$  interaction  $G$  matrix and higher-order correlations, or from variational wave functions of the type

$$\Psi = \left[ \prod_{i=1,A} f_{K^-N}(\vec{r}_{K^-} - \vec{r}_i) \right] \Psi_N(\vec{r}_1, \dots, \vec{r}_A), \quad (10)$$

where  $f_{K^-N}$  represents the  $K^-$ -nucleon correlation and  $\Psi_N$  is the ground state of a system with  $A$  nucleons. At the large densities of interest in the cores of neutron stars,  $r_0 < 1$  fm. When  $r_0 < R$ , the kaon is weakly correlated with nucleons, and  $f_{K^-N}$  is unity to a good approximation. In this limit the Lenz potential is replaced by the Hartree potential, and the  $K^-$  energy is given by

$$\epsilon_{\text{Hartree}} = m_K + \int [\rho_n \mathcal{V}_{K^-n}(r) + \rho_p \mathcal{V}_{K^-p}(r)] d^3r. \quad (11)$$

The Hartree potential is less attractive than the Lenz one by a factor in excess of  $1 - a_{K^-N}/R$ . In Fig. 1 we show estimates of the Hartree contribution to the kaon energy for the potentials we fitted to the scattering lengths,

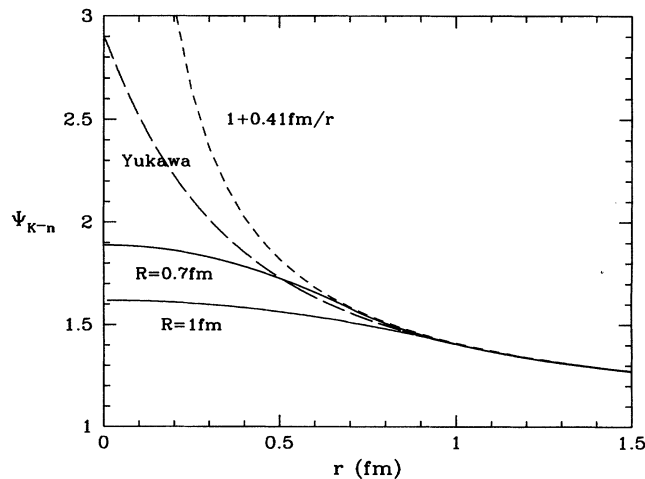


FIG. 2. Wave function for the relative motion of a  $K^-$  and a neutron at zero energy for square well potentials (solid lines) and a Yukawa potential (long-dashed line). The short-dashed line shows the asymptotic limit of the wave functions.

as described above. The Hartree calculation does not include effects of energy dependence of the interaction or of relativity, but since the Hartree energy is closer to  $m_K$  than it is to the Lenz term, we expect these effects to be smaller than estimated from Eq. (5).

To investigate the validity of the Hartree approximation we now estimate second order terms in the  $K^-N$  interaction. For simplicity, we neglect the effects of the small number of protons. In this case, the main coupling of the kaon is to neutron density fluctuations, since the kaon is spinless, and consequently does not couple to spin fluctuations. The contribution to the energy of a kaon at rest is then given by

$$\Delta \epsilon_K^{(2)} = - \sum_{\vec{q}, l} |\mathcal{V}(q)|^2 \frac{|\langle l | \rho_{\vec{q}} | 0 \rangle|^2}{\epsilon_K(q) - \epsilon_K(0) + E_l - E_0}. \quad (12)$$

Here  $\langle l | \rho_{\vec{q}} | 0 \rangle$  is the matrix element of the operator for the Fourier transform of the neutron density between the ground state, 0, and an excited state,  $l$ , and  $E_0$  and  $E_l$  are the energies of the states. The energy of a kaon with momentum  $\vec{p}$  is denoted by  $\epsilon_K(p)$ . If one neglects the recoil energy of the kaon, one finds an upper bound for the energy shift in second order:

$$-\Delta \epsilon_K^{(2)} < \frac{1}{2} \sum_{\vec{q}} |\mathcal{V}(q)|^2 \chi(q, \omega = 0) \\ = \frac{1}{2} \int d^3r d^3r' \mathcal{V}(r) \chi(\vec{r} - \vec{r}') \mathcal{V}(r'), \quad (13)$$

where

$$\chi(q, 0) = \sum_l 2 \frac{|\langle l | \rho_{\vec{q}} | 0 \rangle|^2}{E_l - E_0} \quad (14)$$

is the static density-density response function for neutrons, and  $\chi(r)$  is its Fourier transform. The recoil energy of the kaon is comparable in magnitude to the excitation energy of the neutron liquid for the wave vectors of importance, so the actual second order contribution to the energy is expected to be considerably smaller than the bound. The long-wavelength static density-density response function for neutron matter may be estimated by using the thermodynamic identity  $\chi(q \rightarrow 0, \omega = 0) = d\rho_n/d\mu_n$ , together with many-body calculations of the neutron chemical potential as a function of density. The dependence of  $\chi$  on wave number is not well known, and to obtain an estimate of the second order term we shall replace  $\chi(q)$  by its long-wavelength value. At a density of  $4\rho_0$ , the estimates of the second order term obtained by using values of  $d\rho_n/d\mu_n$  from Ref. [13] are 7 MeV (square well,  $R = 1$  fm), 16 MeV (square well,  $R = 0.7$  fm), and 46 MeV (Yukawa), and for higher densities the estimates are smaller. In all cases, these energies are considerably less than the Hartree potential.

The second order contribution to the energy is reduced greatly due to the strong repulsive interactions between

nucleons. Had we neglected neutron-neutron interactions, the estimates of the second order term, which would then reflect Pauli blocking of intermediate states in the repeated scattering of a kaon by a neutron [14], would have been much greater. For a free Fermi gas,  $\rho_n d\mu_n/d\rho_n = 2E_F/3$ , where  $E_F \approx 58(\rho_n/\rho_0)^{2/3}$  MeV is the Fermi energy. At a density of  $4\rho_0$ ,  $\rho_n d\mu_n/d\rho_n$  estimated from the calculations of Friedman and Pandharipande [13] is about 5 times larger than the free gas value. The fact that stable neutron stars with a mass of  $1.4M_\odot$  exist is a clear indication that the equation of state of neutron star matter is considerably stiffer than that for a free Fermi gas. While there are still uncertainties about the properties of matter at high densities, this increased stiffness is a rather general property shared by many models, as one can see from, e.g., Ref. [8]. As a first step towards a more complete many-body treatment, we are calculating the kaon energy using the lowest order constrained variational method [15], and the results will be reported elsewhere.

To explore consequences of our calculations for kaon condensation, we also show in Fig. 1 the electron chemical potential,  $\mu_e$ , calculated by Wiringa, Fiks, and Fabrocini [8]. Our Hartree estimates of kaon energies always lie above  $\mu_e$  for essentially all stable neutron star models. However, for a number of reasons we are prevented from definitively ruling out kaon condensation in neutron stars. First, we have used simple approximate forms for the kaon-nucleon interactions, and estimates should be made with better forms of the interaction, which should also take into account the variation of the coupling constant,  $f$ , with nuclear density [5]. Second, corrections to the Hartree result need to be investigated in detail. Third, as one can see from the figure, estimates of  $\mu_e$  at high densities are subject to considerable uncertainty: models of nucleon interactions that fit laboratory data equally well lead to very different values of  $\mu_e$  in neutron star matter. All these points need to be looked into.

The main conclusion of our calculations is that, in a dense medium such as that in the interiors of neutron stars, correlations are very different from those for a dilute gas. This has the effect of reducing the attraction experienced by a kaon in dense matter. When the  $K^-N$  scattering lengths are as large as indicated by BLRT, the Lenz approximation overestimates the attraction felt by a  $K^-$  in neutron star matter at the density of neutron star cores by roughly a factor of 2.

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