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Semiempirical Bound on the ³⁷Cl Solar Neutrino Experiment

Waikwok Kwong and S. P. Rosen

Department of Physics, University of Texas at Arlington, Arlington, Texas 76019-0059 (Received 22 April 1994)

The Kamiokande measurement of energetic ⁸B neutrinos from the Sun is used to set a lower bound on the contribution of the same neutrinos to the signal in the ³⁷Cl experiment. Implications for ⁷Be neutrinos are discussed.

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Energetic ⁸B neutrinos from the Sun have been detected in the Kamiokande experiment [1] at about one-half the rate predicted by the standard solar model of Bahcall and Pinsonneault (SSM) [2]. These same neutrinos must also interact with the ³⁷Cl detector [3] and so it is important to understand their contribution to the measured ³⁷Cl signal. By comparing this contribution to the total signal, we can extract information about other parts of the solar neutrino spectrum, especially ⁷Be.

We find that, even allowing for neutrino flavor oscillations, the Kamiokande experiment imposes a bound on the ³⁷Cl signal that does not leave much room for a significant contribution from ⁷Be neutrinos. This finding is not inconsistent with the latest results from the ⁷¹Ga experiments [4,5], and so we may refine the statement of the solar neutrino problem to read: Where have all the ⁷Be neutrinos gone?

Since the basic physical process in the Kamiokande and ³⁷Cl experiments are different, the former being neutrinoelectron scattering and the latter neutrino capture on ³⁷Cl, we must follow a semiempirical method to relate them to one another. In Kamiokande, the calculated signal involves a convolution over $\phi(E_{\nu})$, the SSM spectrum [2] of ⁸Be neutrinos with energy E_{ν} , the differential cross section for scattered electrons with kinetic energy *T*, and the electron resolution function $\theta(T, T')$ which represents the probability that *T* will appear as *T'* in an actual measurement. We call this function $\phi\sigma(\nu_e e; E_{\nu})$ and plot in Fig. 1 its normalized shapes as a function of E_{ν} for two choices of $\theta(T, T')$: The first is a Gaussian shape that closely approximates the actual experimental resolution [6], the second is a δ function representing perfect resolution, and both assume $7.5 \leq T' \leq 15$ MeV. Notice that because of the experimental resolution, the first case has developed a significant tail below the 7.5 MeV threshold. Only the first case with the experimental resolution will be used for calculations below.

In the ³⁷Cl experiment, the relevant quantity is the product of $\phi(E_{\nu})$ with the total capture cross section [7]



FIG. 1. Normalized shapes of $\phi\sigma$ for various experiments.

0031-9007/94/73(3)/369(4)\$06.00 © 1994 The American Physical Society for neutrinos of energy E_{ν} on ³⁷Cl. We call this function $\phi\sigma({}^{37}Cl; E_{\nu})$ and plot its normalized shape also in Fig. 1. The integral of $\phi\sigma({}^{37}Cl; E_{\nu})$ gives the ⁸B contribution to the SSM signal in ³⁷Cl, $R_{SSM}({}^{7}Be; {}^{37}Cl)$.

Comparing the normalized functions for the two experiments, we see that they are remarkably similar to one another, especially at the high energy end. We therefore write

$$\frac{\phi\sigma^{(3^{7}}C1;E_{\nu})}{\int\phi\sigma^{(3^{7}}C1;E_{\nu})\,dE_{\nu}} = \alpha \,\frac{\phi\sigma(\nu_{e}e;E_{\nu})}{\int\phi\sigma(\nu_{e}e;E_{\nu})\,dE_{\nu}} + r(E_{\nu}),$$
(1)

where α is a constant whose value is maximized subject to the condition that the remainder function $r(E_{\nu})$ be everywhere positive. It turns out that the largest value of α is 0.93, and so we obtain an inequality

$$\phi\sigma({}^{37}\text{C1};E_{\nu}) \ge 0.93 \, \frac{R_{\text{SSM}}({}^{8}\text{B};{}^{37}\text{C1})}{R_{\text{SSM}}(\text{Kam})} \, \phi\sigma(\nu_{e}e;E_{\nu}) \; .$$
(2)

The next step of the argument is to note that the actual quantity measured in these experiments involves the product of $\phi\sigma$ with an electron-neutrino "survival probability" $P(E_{\nu})$ which, in general, may be a function of the neutrino energy E_{ν} . If $P(E_{\nu})$ represents some, possibly energy-dependent, reduction of the ⁸B spectrum, or an oscillation into a sterile neutrino, then we find from Eq. (2) that

$$\int \phi \sigma({}^{37}\text{Cl};E_{\nu})P(E_{\nu}) dE_{\nu}$$

$$\geq 0.93 \frac{\int \phi \sigma(\nu_e e;E_{\nu})P(E_{\nu}) dE_{\nu}}{R_{\text{SSM}}(\text{Kam})} R_{\text{SSM}}({}^{8}\text{B};{}^{37}\text{Cl})$$

or

$$R(^{8}B;^{37}C1) \ge 0.93 \times (0.51 \pm 0.07) \times [6.2 \text{ solar neutrino units (SNU)}]$$
$$= 2.94 \pm 0.40 \text{ SNU}, \qquad (3)$$

where we have used the most recent result from the Kamiokande experiment [1]. This falls within the errors of the twenty-year average of the Davis value [3]

$$\langle R_{\text{Davis}} \rangle = 2.32 \pm 0.23 \text{ SNU}, \qquad (4)$$

but is somewhat on the high side. Note that the bound in Eq. (3) also holds in the simple case of a reduction of the total ⁸B flux with no change in the spectral shape.

Next, consider the case of oscillations of solar electron neutrinos into ν_{μ} or ν_{τ} , or some combination thereof. The signal observed in Kamiokande is then given by

$$R (\text{Kam}) = \int \{\phi\sigma(\nu_e e; E_\nu) P(E_\nu) + [1 - P(E_\nu)]\phi\sigma(\nu_\mu e; E_\nu)\} dE_\nu, \quad (5)$$

where we must now distinguish between the cross sections for electron neutrinos and muon or tau neutrinos. As is well known [7] the latter cross section lies somewhere between 1/6 and 1/7 of the former in magnitude and is very similar in shape for energetic neutrinos. For our case it is an extremely good approximation to set

$$\sigma(\nu_{\mu}e;E_{\nu}) = 0.148\sigma(\nu_{e}e;E_{\nu}). \tag{6}$$

We can then rewrite Eq. (5) in the form

$$\int \phi [\sigma(\nu_e e; E_\nu) - \sigma(\nu_\mu e; E_\nu)] P(E_\nu) dE_\nu$$
$$= R(\text{Kam}) - \int \phi \sigma(\nu_\mu e; E_\nu) dE_\nu,$$

or

$$0.852 \int \phi \sigma(\nu_e e; E_\nu) P(E_\nu) dE_\nu$$
$$= R(\text{Kam}) - 0.148R_{\text{SSM}}(\text{Kam}). \quad (7)$$

From Eqs. (2) and (7) and the Kamiokande data [1], we see that the contribution of the ⁸B neutrinos must be bounded in the case of flavor oscillations by

$$R(^{8}B;^{37}C1) = \int \phi \sigma(^{37}C1; E_{\nu})P(E_{\nu}) dE_{\nu}$$

$$\geq 0.93 \frac{\int \phi \sigma(\nu_{e}e; E_{\nu})P(E_{\nu}) dE_{\nu}}{R_{SSM}(Kam)} R_{SSM}(^{8}B;^{37}C1)$$

$$= 0.93 \frac{(0.51 \pm 0.07) - 0.148}{0.852} (6.2 \text{ SNU})$$

$$= 2.45 \pm 0.47 \text{ SNU}. \qquad (8)$$

As an example of this argument, we consider the special case in which, inspired by the nonadiabatic Mikheyev-Smirnov-Wolfenstein (MSW) solution [8], we take the electron-neutrino survival probability to be [9]

$$P(E_{\nu}) = e^{-C/E_{\nu}},$$
(9)

where C is a constant to be determined by fitting the Kamiokande data. When there is either no oscillation or oscillation into a sterile neutrino, we find

$$C = 6.7^{+1.6}_{-1.3} \text{ MeV}, \qquad R(^8\text{B}, {}^{37}\text{C1}) = 3.1 \pm 0.4 \text{ SNU}.$$
(10)

Allowing for neutrino oscillations, we find instead

$$C = 8.6^{+2.1}_{-1.8} \text{ MeV}, \qquad R(^8\text{B}, ^{37}\text{C1}) = 2.6 \pm 0.5 \text{ SNU}.$$
(11)

Both rates are larger than the corresponding lower bounds in Eqs. (3) and (8), respectively.

When compared with the Davis result of Eq. (4), our bounds on the energetic ⁸B neutrino contribution in

Eqs. (3) and (8) do not leave much room for the 1.8 SNU coming from all other sources, or the 1.1 SNU from ⁷Be neutrinos alone. Indeed, the contribution from all other sources, call them X, is given in the two cases we have considered by

$$R(X, {}^{37}\text{Cl}) \leq \begin{cases} -0.62 \pm 0.46 \text{ SNU (no oscillations)}, \\ -0.13 \pm 0.52 \text{ SNU (with oscillations)}. \end{cases}$$
(12)

At the 95% confidence limit, this means

$$R(X, {}^{37}\text{C1}) \le \begin{cases} 0.13 \text{ SNU (no oscillations),} \\ 0.72 \text{ SNU (with oscillations).} \end{cases}$$
(13)

Assuming that the ⁷Be contribution is approximately 1.1/1.8, or 60% of this, we find it to be

$$R(^{7}\text{Be},^{37}\text{Cl}) < \begin{cases} 0.08 \text{ SNU (no oscillations),} \\ 0.44 \text{ SNU (with oscillations).} \end{cases}$$
(14)

To pursue this line of argument further, we can set lower bounds on the contribution of the ⁸B neutrinos to the ⁷¹Ga experiments. Replacing the absorption cross section of ³⁷Cl by that of ⁷¹Ga everywhere [10], we obtain an inequality similar to Eq. (2) but with $\alpha = 0.81$. The bounds on the ⁸B contribution to the ⁷¹Ga experiments are

$$R(^{8}B,^{71}Ga) \geq \begin{cases} 5.7 \pm 0.8 \text{ SNU (no oscillations),} \\ 4.7 \pm 0.9 \text{ SNU (with oscillations).} \end{cases}$$

(15)

The corresponding values in the $e^{-C/E}$ model,

$$R(^{8}B,^{71}Ga) = \begin{cases} 6.6 \pm 1.0 \text{ SNU (no oscillations)}, \\ 5.5 \pm 1.1 \text{ SNU (with oscillations)}, \end{cases}$$

(16)

are again larger than their counterparts in Eq. (15).

Combining the bounds of Eq. (15) with the latest 71 Ga results [4,5,11]

$$R(^{71}\text{Ga}) = \begin{cases} 79 \pm 12 \text{ SNU, GALLEX,} \\ 73 \pm 19 \text{ SNU, SAGE,} \\ 77 \pm 10 \text{ SNU (combined)} \end{cases}$$
(17)

we find an interesting situation, namely, that the sum of the signals from pp neutrinos, ⁷Be neutrinos, and other non-⁸B sources is very close to the SSM prediction of 71 SNU for pp neutrinos alone:

$$R(^{71}\text{Ga}) - R(^{8}\text{B}, ^{71}\text{Ga})$$

$$\leq \begin{cases} 72 \pm 10 \text{ SNU (no oscillations),} \\ 73 \pm 10 \text{ SNU (with oscillations).} \end{cases} (18)$$

Scaling up the ⁷Be neutrino bounds in Eq. (14) by the ratio of the capture cross sections on ⁷¹Ga and ³⁷Cl, we find that the bounds on the ⁷Be neutrino contribution to the ⁷¹Ga signals are

$$R(^{7}\text{Be}, {}^{71}\text{Ga}) < \begin{cases} 2.4 \text{ SNU (no oscillations)}, \\ 13.1 \text{ SNU (with oscillations)}, \end{cases}$$
(19)

at the 95% confidence level; this should be compared with the SSM prediction of 35.8 SNU [2]. It will be interesting to test these bounds by direct observation of the ⁷Be, or pp neutrinos themselves [12].

Although we have worked with the Bahcall-Pinsonneault SSM [2], the bound in Eq. (3) for sterile or no oscillations is actually independent of the solar model. By contrast, the bound in Eq. (8) for flavor oscillations does depend on the solar model by virtue of the second term on the right-hand side of Eq. (7); models yielding a flux of ⁸B neutrinos smaller than that of Ref. [2], for example, Ref. [13], will give a bound slightly larger than that of Eq. (8).

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Note added.—After this work was completed, the authors learned from Professor David Schramm that he had obtained a bound in the nonoscillation case similar to that in Eq. (3).

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