

### Critical Behavior for Correlated Strongly Coupled Boson Systems in 1 + 1 Dimensions

N. M. Bogoliubov,<sup>1,2,\*</sup> R. K. Bullough,<sup>1</sup> and J. Timonen<sup>2</sup>

<sup>1</sup>*Department of Mathematics, The University of Manchester Institute of Science and Technology, P.O. Box 88, Manchester M60 1QD, United Kingdom*

<sup>2</sup>*Department of Physics, University of Jyväskylä, P.O. Box 35, FIN-40351 Jyväskylä, Finland*

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The natural integrable *correlated* strongly coupled boson system in 1 + 1 dimensions is the  $q$ -boson hopping model; we calculate its critical exponent  $\theta$  and determine its correlation functions. For small couplings the  $q$ -boson model has natural connections with the Bose gas and the  $XY$  models of very large spin for which  $\theta$ 's and correlators are reported. For large couplings the hopping model is a new phase of interacting bosons substantially different from the impenetrable Bose gas.

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The discovery of high- $T_c$  superconductivity has stimulated studies, e.g., Refs. [1–4], of electron lattice models whose kinetic energies involve electron *correlation*, i.e., the hopping terms between adjacent sites depend on the occupation of those sites. Typically, as in, e.g., Refs. [1–3], they have involved hopping terms taken in the form

$$\mathbf{H}_{\text{kin}} = \sum_{\langle j,k \rangle} \sum_{\sigma=\pm 1} \mathbf{P}_{j,-\sigma} c_{j,\sigma}^\dagger c_{k,\sigma} \mathbf{P}_{k,-\sigma} + \text{H.c.} \quad (1)$$

The  $c_{j,\sigma}^\dagger, c_{k,\sigma}$  (for fermions) are anticommuting spin- $\frac{1}{2}$  operators,  $\{c_{j,\sigma}, c_{k,\sigma'}^\dagger\} = \delta_{jk} \delta_{\sigma,\sigma'}$ ,  $\mathbf{P}_{j,\sigma} = (1 - \hat{n}_{j,\sigma})$  with  $\hat{n}_{j,\sigma} \equiv c_{j,\sigma}^\dagger c_{j,\sigma}$ , and these prevent two electrons from appearing on the same site. In this Letter we report the construction of certain comparable strongly coupled correlated *boson* models.

In Ref. [3] the strongly coupled fermion models are extended so as to combine in the one model both the Hubbard and the  $t$ - $J$  models: Typically, each of these different models exhibits superconductivity induced by purely electron-electron interactions. A feature of the Hubbard model [5], of the supersymmetric  $t$ - $J$  models [3], their extensions [3], and indeed the model of Ref. [4] is that in one dimension (1D models), they are completely integrable, solved by the Bethe ansatz (BA).

These high- $T_c$  studies have also led to the investigation of various strongly coupled boson models; but, e.g., for the strongly interacting  $d = 1$  boson systems studied in Ref. [6] in analogy with the fermion Hubbard models, these models are *not* solvable by the BA method [7]—essentially because of the many particles possible on a lattice site in these boson cases. However, the natural  $d = 1$  boson models analogous to the correlated fermion models are not the strongly coupled boson models of Refs. [6, 7]. Instead they are the  $q$ -boson models, like the “ $q$ -boson hopping model” and its equivalents, reported and solved very recently [8].

To see this observe that, for (spinless) boson operators  $b_j, b_j^\dagger$  on sites  $j$ ,  $[b_j, b_k^\dagger] = \delta_{jk}$  with  $N_j = b_j^\dagger b_j$ , and a boson projector  $\mathbf{P}_j$  should eliminate boson hoppings to and from fully occupied sites  $j$ —so it should have value zero for the boson occupation number  $n_j \rightarrow \infty$ . It

should be finite for  $n_j = 0$  and unity for weak enough coupling.

Obvious possible choices for  $\mathbf{P}_j$  are now  $\mathbf{P}_j = ([N_j]/N_j)^\alpha$  with  $\alpha \in \mathbf{R}$  and  $[N_j] \equiv (1 - q^{-2N_j})/(1 - q^{-2})$ ;  $q = e^\gamma$ ,  $\gamma > 0$ ; then  $\gamma$  will prove to be the coupling constant of the theory. However, for integrability when  $d = 1$  we find we must use  $\alpha = \frac{1}{2}$ . With this choice the kinetic energy of the natural correlated boson model is  $\mathbf{H}_{\text{kin}} = \sum_{\langle j,k \rangle} \mathbf{P}_j b_j^\dagger b_k \mathbf{P}_k + \text{H.c.}$ , and if we *define*

$$B_j = (B_j^\dagger)^\dagger = b_j \mathbf{P}_j, \quad N_j = b_j^\dagger b_j, \quad (2)$$

we find the closed algebra

$$[B_j, B_k^\dagger] = q^{-2N_j} \delta_{jk}, \quad [N_j, B_k^\dagger] = B_j^\dagger \delta_{jk}, \quad \text{and H.c.} \quad (3)$$

The algebra (3) for correlated bosons is a “ $q$ -boson algebra” [8, 9]. Indeed for zero coupling  $\gamma = 0$  ( $q = 1$ ) the *representation* which is (2) of the algebra (3) becomes a representation of the Heisenberg algebra for ordinary canonical bosons. Since  $\sum N_j$  commutes with our proposal  $\mathbf{H}_{\text{kin}}$  for bosons, we can consider [8]  $\mathbf{H} = \mathbf{H}_{\text{kin}} - (\mu - 1) \sum N_j$  where  $\mu$  is the chemical potential. Then with  $d = 1$ , the integrable form of  $\mathbf{H}$  we can go on to consider in this Letter is

$$\mathbf{H} = -\frac{1}{2} \sum_{j=1}^M \{B_{j+1}^\dagger B_j + B_{j+1} B_j^\dagger - 2N_j\} - \mu \sum_{j=1}^M N_j \quad (4)$$

under periodic boundary conditions  $j + M = j$ . But this Hamiltonian  $\mathbf{H}$  is exactly the  $q$ -boson hopping model recently introduced and solved in Ref. [8].

The model (4) was solved by reference to a more fundamental “ $q$ -boson lattice model” considered in Ref. [9] and solved there by the quantum inverse method (or algebraic BA). But we now have a direct solution by algebraic BA [10], and the model appears to fill a natural place within the general theory of the  $d = 1$  integrable

systems [9, 11, 12]. The continuum limit of the Hamiltonian (4) is [8] the Bose gas, solved at zero temperature  $T = 0$  [13] and at  $T > 0$  [14] exactly. The Bose gas has the well-known pairwise repulsive  $\delta$ -function interaction of strength  $c$ , and  $c$  is the coupling constant. Attempts to introduce repulsive  $\delta$ -function interactions on a lattice site lead to problems from the many particles possible on these lattice sites; but these problems are now avoided in (4) through the *correlation terms* described by the  $\mathbf{P}_j$ . Moreover, the collapse of the many bosons possible on a single site does not occur because the quantum integrability means that *fermion*-like quasiparticles, obeying Fermi statistics, are the collective excitations induced in the momentum space. Furthermore the exact solvability means

that methods of conformal field theory [12, 15, 16] can be used to calculate *exactly* the critical exponent  $\theta$  which determines the long-distance asymptotics of the correlation functions. We shall report in this Letter in some completeness these asymptotic expressions for the correlation functions of the  $q$ -boson hopping model (4) and shall relate these to the correlation functions of the more familiar XY model.

In the continuum limit in which  $j\delta = x$  with  $\delta \rightarrow 0$ , if  $B_j = \sqrt{\delta}b(x)$ ,  $N_j = \delta N(x)$ , one finds for all finite  $\gamma \geq 0$  that  $[b(x), b^\dagger(y)] = \delta(x - y)$  and  $N(x) = b^\dagger(x)b(x)$  and the corresponding limit of (4) is the Bose gas with  $c = 2\gamma$ . By expanding the  $q$ -boson model (4) itself in powers of  $\gamma$ , we find that, for  $\gamma N_j \ll 1$ .

$$\mathbf{H} \rightarrow -\frac{1}{2} \sum_{j=1}^M \{b_{j+1}^\dagger b_j + b_{j+1} b_j^\dagger - \frac{1}{2} \gamma [b_{j+1} b_j^\dagger N_j + N_{j+1} b_{j+1} b_j^\dagger + b_{j+1}^\dagger (N_{j+1} + N_j) b_j] - 2N_j + O(\gamma^2)\} - \mu \sum_{j=1}^M N_j. \tag{5}$$

At  $\gamma = 0$ , the free boson limit, (4) becomes the *linear* hopping model of ordinary bosons. Moreover (5) shows that all orders of nonlinearity in terms of ordinary bosons are contained in (4), that  $\gamma$  is indeed the effective coupling constant for this strongly coupled system of ordinary bosons, and that, through the presence of the  $N_j$ , these bosons are strongly correlated.

The  $\gamma \rightarrow 0$  limit of (4) is a lattice form of the free boson limit,  $c \rightarrow 0$ , of the Bose gas. On the other hand  $c \rightarrow \infty$  and  $\gamma \rightarrow \infty$  are distinct phases of interacting boson systems:  $c \rightarrow \infty$  is the "impenetrable" Bose gas [13], but for  $\gamma = \infty$  (4) is evidently  $\mathbf{H} = -\frac{1}{2} \sum_{j=1}^M \{\phi_{j+1}^\dagger \phi_j + \phi_{j+1} \phi_j^\dagger - 2N_j\} - \mu \sum_{j=1}^M N_j$  expressed in terms of the "ladder" operators  $\phi_j$ ,  $\phi_j^\dagger$ , and  $N_j$ :  $[N_j, \phi_i] = -\phi_j \delta_{ij}$ , and H.c., but  $[\phi_j, \phi_i^\dagger] = \pi_j \delta_{ij}$  the vacuum projector,  $\pi_j = |0\rangle_j \langle 0|_j$ .

We shall now establish the connection between the  $q$ -boson model (4) and the XY model of spin  $S$ . In a magnetic field  $h$ , the XY model has quantum Hamiltonian

$$\mathbf{H}_{XY} = -\frac{1}{4S} \sum_{j=1}^M \{S_{j+1}^+ S_j^- + S_{j+1}^- S_j^+\} - h \sum_{j=1}^M S_j^z. \tag{6}$$

and the  $S_j^\pm, S_j^z$  satisfy the  $su(2)$  Lie algebra. By Holstein-Primakov transformation  $S_j^- = (S_j^+)^{\dagger} = b_j^\dagger \sqrt{2S - N_j}$ ,  $S_j^z = S - N_j = S - b_j^\dagger b_j$ ,  $\mathbf{H}_{XY}$  has the well-known  $1/S$  expansion which, however, is *identical*, to order  $\gamma$ , with the expansion of  $\mathbf{H}$  Eq. (5):  $\gamma \equiv 1/2S$  and  $\mu$  is identified as  $\mu = 1 - h$ . We can thus conclude that the large  $S$  limit of the XY model is the  $q$ -boson hopping model (4) in the limit of small  $\gamma$ , as given by Hamiltonian (5).

To determine the actual asymptotics of the correlation functions for the  $q$ -boson hopping model (5) we first

need results for the thermodynamics of the model. We give some of these next. The  $N$ -particle energy  $E_N$  is found by direct application of the algebraic BA to be [10]  $E_N = \sum_{j=1}^N \{2 \sin^2(p_j/2) - \mu\}$  and agrees with Ref. 8. The  $p_j$  are the roots of the Bethe equations  $e^{-ip_j M} = \prod_{k=1, k \neq j}^N e^{i\Phi(p_j - p_k)}$  with the two-body phase shifts  $\Phi(p) = 2 \tan^{-1}\{\coth(\gamma) \tan(p/2)\}$ . When  $\gamma = \infty$  these Bethe equations have exactly determinable, purely algebraic, solutions [8].

The thermodynamics of the hopping model (4) is handled in the usual way [14]. The ground state energy of the model at finite temperatures  $T = \beta^{-1}$  is determined through the quasiparticle excitation energies  $\epsilon(p)$  above that ground state energy satisfying the nonlinear integral equations  $\epsilon(p) = 2 \sin^2(p/2) - \mu - (2\pi\beta)^{-1} \int_{-\pi}^{\pi} K(p-t) \ln(1 + e^{-\beta\epsilon(t)}) dt$ ,  $2\pi\rho(p)(1 + e^{\beta\epsilon(p)}) = 1 + \int_{-\pi}^{\pi} K(p-t)\rho(t) dt$ , where  $0 \leq \mu \leq 1$ , and  $K(p) = \partial\Phi(p)/\partial p = (\sinh 2\gamma)/(\cosh 2\gamma - \cos p)$ . The function  $\rho(p)$  is a quasiparticle density, and the pressure  $\mathcal{P}$  of the system is equal to  $\mathcal{P} = (2\pi\beta)^{-1} \int_{-\pi}^{\pi} \ln(1 + e^{-\beta\epsilon(t)}) dt$  while the density  $\mathcal{D} = \int_{-\pi}^{\pi} \rho(p) dp = \partial\mathcal{P}/\partial\mu$ . At zero temperature  $T = 0$  these integral equations become linear:

$$\epsilon_0(p) = 2 \sin^2(p/2) - \mu + (2\pi)^{-1} \int_{-\Lambda}^{\Lambda} K(p-t)\epsilon_0(t) dt, \tag{7}$$

$$2\pi\rho_0(p) = 1 + \int_{-\Lambda}^{\Lambda} K(p-t)\rho_0(t) dt, \tag{8}$$

and  $\epsilon_0(\pm\Lambda) = 0$ ,  $-\pi \leq \Lambda \leq \pi$ . The density  $\rho_0(p)$  is now connected with the observable momenta of the quasiparticles  $k(p)$ , for  $k(p) = p + \int_{-\Lambda}^{\Lambda} \Phi(p,t)\rho_0(t) dt$ ,

and  $2\pi\rho_0(p) = \partial k(p)/\partial p$ . The pressure  $\mathcal{P}$  and the density  $\mathcal{D}$  now become

$$\begin{aligned} \mathcal{P}_0 &= -(2\pi)^{-1} \int_{-\Lambda}^{\Lambda} \epsilon_0(p) dp > 0, \\ \mathcal{D}_0 &= \int_{-\Lambda}^{\Lambda} \rho_0(p) dp = k_F/\pi, \end{aligned} \tag{9}$$

where  $k_F = k(\Lambda)$  is the Fermi momentum. The low temperature expansion of the pressure is then  $\mathcal{P} = \mathcal{P}_0 - (\pi/6v_F)T^2 + O(T^4)$  where  $v_F$  is the Fermi velocity:  $v_F = [\partial\epsilon_0(p)/\partial k(p)]_{p=\Lambda} = \epsilon'_0(\Lambda)/2\pi\rho_0(\Lambda)$ .

To obtain the critical exponents which determine the long-distance asymptotics of the correlation functions within the scaling limit we use the predictions from conformal field theory (CFT) [12, 15, 16]. The integral equation (7) means that at  $T = 0$  the dispersion curve is linear near the Fermi surface  $k_F$ :  $\epsilon(k) = (k - k_F)v_F$  and this means the CFT is applicable. From our result for  $\mathcal{P}$  as  $T \rightarrow 0$  quoted below (9) and Ref. [16] we can conclude that the central charge  $\mathbf{c} = 1$ . In this case, the physical fields  $\Psi_n(t)$  can be expressed in the scaling limit as sums of the conformal fields and their critical exponents  $\theta$  in terms of pairs of conformal dimensions  $\Delta^\pm$  of the conformal fields, and these exhibit a continuous dependence on the parameters of the model [12, 15]. We shall here follow the outline given in Ref. [12]. The generic asymptotic formula for the zero temperature unequal time correlation function of the physical lattice field  $\Psi_n(t)$  is

$$\begin{aligned} \langle \Psi_n(t)\Psi_1(0) \rangle = \\ \sum_Q \mathbf{C}(Q) e^{-2ink_F d} (n - iv_F t)^{-2\Delta^+} (n + iv_F t)^{-2\Delta^-} \end{aligned} \tag{10}$$

where the  $Q$  are sets of quantum numbers,  $Q = (\Delta N, d, N^\pm)$ , all integers, labeling [12] a complete set of states and thereby labeling the conformal fields in

$$\langle B_n(t)B_1^\dagger(0) \rangle \sim |w|^{-1/\theta} \{ \mathbf{C}_1 + \mathbf{C}_2(w^{-2} + \bar{w}^{-2}) + \mathbf{C}_3 |w|^{-\theta} \cos(2\pi n \mathcal{D}_0) (w\bar{w}^{-1} + \bar{w}w^{-1}) \} \tag{13}$$

in which  $w = n - iv_F t$ , and the  $\mathbf{C}$ 's are constants. Likewise from (12) at  $T > 0$  and equal times,  $\langle B_n B_1 \rangle_T \sim \mathbf{C}'_1 (2\pi T/v_F)^{1/\theta} e^{-\pi T n/v_F \theta} + \dots$  with the correlation length  $\xi_f = v_F \theta/\pi T$ .

The leading terms of the asymptotics of the unequal times density-density correlator at  $T = 0$  are determined by  $\Delta^\pm$  with [12]  $\Delta N = 0, d = 0, \pm 1$ , and  $N^\pm = 0, 1$ . Thus from (10)

$$\langle \langle N_n(t)N_1(0) \rangle \rangle \equiv \langle N_n(t)N_1(0) \rangle - \mathcal{D}_0^2 \sim \mathbf{B}_1(w^{-2} + \bar{w}^{-2}) + \mathbf{B}_2 |w|^{-\theta} \cos(2\pi n \mathcal{D}_0), \tag{14}$$

while from (12) at  $T > 0$ ,  $\langle \langle N_n N_1 \rangle \rangle_T \sim \mathbf{B}'_1 (2\pi T/v_F)^2 e^{-2\pi T n/v_F} + \dots$  with  $\xi_d = v_F/2\pi T$ . These results can be confirmed by calculations based on an exact solution [17].

All of these results for central charge  $\mathbf{c} = 1$  apply equally to continuum models (the Bose gas) and the lattice models [model (4)]. The transitions from algebraic decay of the correlation functions at  $T = 0$  to exponential decay at  $T > 0$  and the infinite correlation lengths as  $T \rightarrow 0$

the expansion of the physical ones, and the  $\mathbf{C}(Q)$ , here left undetermined, are the coefficients in that expansion. The  $\theta$  determine the conformal dimensions  $\Delta^\pm$  by  $2\Delta^\pm = 2N^\pm + (2\theta)^{-1}(\Delta N \pm \theta d)^2$ . The critical exponent  $\theta$  is *defined* through  $\theta = 2Z^2(\Lambda)$  where  $Z(p)$  is the solution of the integral equation  $Z(p) - (2\pi)^{-1} \int_{-\Lambda}^{\Lambda} K(p-t)Z(t)dt = 1$  and  $K(p)$ , for the  $q$ -boson hopping model, is the kernel in Eqs. (7) and (8). We also use the alternative expression  $\theta = (d/d\Lambda) \int_{-\Lambda}^{\Lambda} Z(t)dt$  for  $\theta$ . The  $\Psi_n(t)$  ultimately dictate [12] the choice of the  $Q$  in (10). From (8) it follows immediately that  $Z(p) = 2\pi\rho_0(p)$  and from  $\theta = (d/d\Lambda) \int_{-\Lambda}^{\Lambda} Z(t)dt$  we get the expression

$$\theta = 2\pi \frac{\partial \mathcal{D}_0}{\partial \Lambda} \tag{11}$$

from which we evaluate all of the  $\theta$ 's reported in this Letter.

To obtain the leading terms of the temperature dependent correlation functions at very small temperatures  $T > 0$  we use the standard mapping  $(n - iv_F t) \rightarrow (v_F/\pi T) \sinh\{\pi T(n + iv_F t)/v_F\}$  in (10). At equal times,  $t = 0$ , for simplicity, (10) then becomes

$$\begin{aligned} \langle \Psi_n(t)\Psi_1(0) \rangle_T = \\ \sum_Q \mathbf{C}(Q) e^{-2ink_F d} (2\pi T/v_F)^{2(\Delta^+ + \Delta^-)} e^{-n/\xi} \end{aligned} \tag{12}$$

and the correlation length  $\xi$  is given by  $\xi^{-1} = (2\pi T/v_F)(\Delta^+ + \Delta^-)$ .

For our present purposes the leading terms in the asymptotics of the zero temperature unequal time correlator  $\langle B_n(t)B_1^\dagger(0) \rangle$  for the  $q$ -boson field (we are using Heisenberg representation) correspond to the smallest conformal dimensions  $\Delta^\pm$  in (10) and these have [12]  $\Delta N = 1, d = 0, \pm 1$ , and  $N^\pm = 0, 1$ . Consequently,

show the expected "superfluid phase transition" at  $T = 0$  for these models. Results are then distinguished by the forms of the critical exponents  $\theta$  as given by Eq. (11). For the  $q$ -boson hopping model (4) we can find the exact expressions for  $\theta$  as  $\gamma \rightarrow \infty$  and in the free boson limit  $\gamma \rightarrow 0$ . When  $\gamma \rightarrow \infty$ , the kernel  $K(p)$ , in Eqs. (7) and (8),  $\rightarrow 1$ , and so the density is  $\mathcal{D}_0 = \Lambda/(\pi - \Lambda)$  and the Fermi velocity is  $v_F = (1 + \mathcal{D}_0)^{-1} \sin[\pi \mathcal{D}_0/(1 + \mathcal{D}_0)]$ . Thus from (11)  $\theta = 2(1 + \mathcal{D}_0)^2$ . On the other hand for

the free fermion limit  $c \rightarrow \infty$  of the Bose gas  $\theta = 2$  [12], and  $\gamma \rightarrow \infty$  is indeed a new phase of the interacting boson system. Moreover in the classical limit [8], when  $\gamma \rightarrow \infty$ ,  $\mathcal{D}_0 \rightarrow \infty$ ,  $\theta \rightarrow \infty$ ,  $\xi_f = 2/T$ , and  $\xi_d \rightarrow 0$ .

In the free boson limit  $\gamma \rightarrow 0$  the situation is substantially more complicated. The Bethe equations reduce to singular integral equations from which it is possible to extract  $\mathcal{D}_0 = \Lambda^2/8\gamma$  and  $v_F = 2\sqrt{2\gamma\mathcal{D}_0}$ . These results agree with those found for the continuum Bose gas with  $c \Leftrightarrow 2\gamma$  and  $c \rightarrow 0$ . The critical exponent  $\theta$  for the  $q$ -boson model is then, from (11),  $\theta = 2\pi\sqrt{\mathcal{D}_0/2\gamma}$  so that  $\xi_f = 4\mathcal{D}_0/T$ . Then both these results apply to the Bose gas with  $c = 2\gamma$ , and these are new results for the Bose gas (compare Ref. [18]).

For the XY model as  $S \rightarrow \infty$  we may argue that its critical theory is the  $q$ -boson hopping model theory as  $\gamma \rightarrow 0$  because of the identity to order  $\gamma$  of the expansions (5), and that from (6), with  $\gamma \Leftrightarrow 1/2S$ . With the correspondence  $\mathcal{D}_0 \Leftrightarrow S(1 - \sigma)$  where  $0 \leq \sigma \leq 1$  is the scaled magnetization, obtained through the Holstein-Primakov transformation, we must then have the correlators (13) and (14), as well as those for  $T > 0$ , now all expressed in terms of the  $\mathbf{S}_j^\pm, \mathbf{S}_j^z$ , with

$$\theta_{XY} = 2\pi S\sqrt{1 - \sigma}, \quad \xi_f = 4S(1 - \sigma)/T, \quad (15)$$

and with corresponding results in zero field  $h$  ( $\sigma = 0$ ). For  $\sigma = 0$  the results are largely consistent with those of Refs. [19, 20], although it is easy to see that the postulated extrapolation from  $S \leq 3$  in Ref. [20] that  $\theta_{XY} = 4S$  for all  $S$  cannot be correct: the model (6) is integrable when  $S = \frac{1}{2}$  and this corresponds to the free fermion  $c \rightarrow \infty$  impenetrable Bose gas limit of the Bose gas for which  $\theta = 2$  for arbitrary density [12] [i.e., for arbitrary magnetic field  $h$  in (5)].

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\*On leave from Steklov Mathematical Institute, Fontanka 27, 191011 St. Petersburg, Russia.

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