

## Direct Proof of Two-Electron Occupation of Ge-*DX* Centers in GaAs Codoped with Ge and Te

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In this paper we present the first direct and unambiguous evidence that the total number of electrons which can be trapped on Ge-*DX* centers is exactly twice as large as the number of electrons which can be bound on Ge-*A*<sub>1</sub> donor states. It should be emphasized that our reasoning does not require any technological information, about either doping or the compensation of a sample.

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It is well known that many substitutional donors in semiconducting compounds, beside normal hydrogenlike donor states, can also form highly localized electronic states whose energy relative to the  $\Gamma$  conduction band minimum is highly pressure dependent. For some of these states there exist potential barriers for both electron emission and electron capture processes, leading to nonequilibrium occupation of these states by electrons at low temperatures—i.e., so-called metastable effects. These are called *DX* states. There are also other localized states with no apparent metastable effects present—see, e.g., the results of anticrossing between a shallow donor state and a highly localized one, both originating from the same impurity, observed for *n*-InSb [1] or GaAs:Ge [2]. For simplicity, following some authors, such highly localized states will throughout this paper be called "*A*<sub>1</sub> states," just to distinguish them from *DX*-like ones—no matter whether the name is justified or not. There are some rare but very interesting cases for which both types of highly localized states (originating from the same impurity or defect) coexist and can both be populated by electrons in the same experiment. Such a population can be induced, e.g., by hydrostatic pressure which shifts the appropriate electronic levels down with respect to the conduction band minimum and the Fermi level. However, at low temperatures (i.e., well below a certain temperature  $T_c$ ) due to potential barriers for emission and capture of electrons the population of *DX*-like states is nonequilibrium (frozen) and the pressure shift of the corresponding energy level does not influence its occupation. At  $T \ll T_c$  its population can be changed only in a persistent way by means of illumination. While the pressure shift of the corresponding energy level can change the population of *DX*-like states only at  $T > T_c$ , for *A*<sub>1</sub> states there is no such restriction. Accordingly, in such materials as nominally undoped *n*-InSb [3], GaSb:S [4], or GaAs:Ge [5], in which both *DX*-like and *A*<sub>1</sub> states are present, hydrostatic pressure measurements of the Hall coefficient reveal that at low temperatures the pressure-induced capture of free electrons is governed by a level whose energy position as well as pressure shift differ from those observed for temperatures high enough to populate *DX* states.

One of the most exciting problems related to *DX*

centers was the charge state of the defect occupied with electrons. Since the first suggestions of Khachatryan, Weber, and Kamińska [6] and Chadi and Chang [7] that *DX* is a negatively charged donor (i.e., occupied with two electrons) subjected to a large local lattice distortion, a huge number of experiments have been performed to determine the *DX*-center charge state. Among others, arguments were used based on electron paramagnetic resonance [6,8] and magnetic susceptibility [9] measurements, electron mobility changes due to population and ionization processes of *DX* centers [10], the kinetics of photoionization of *DX* centers and that of electron emission or capture [11], and *DX*-center-related local vibration mode studies performed at high pressure [12] or temperature dependent saturation of photoconductivity [13]. Although most of the papers suggested that *DX* was a negatively charged center, the arguments used were neither direct nor sufficiently unambiguous to finally close the discussion. It seems that experiments in which the total number of electrons trapped by *DX* centers could be directly compared to the *DX* center concentration should be more conclusive. The negative charge state of *DX* means two electrons trapped on one *DX*, while neutral *DX* gives a one-to-one correspondence. To completely saturate even doubly occupied *DX* centers one has to use samples codoped with other donors providing excess electrons to the system. Fujisawa and co-workers [14] studied GaAs codoped with Ge and Si in the range of hydrostatic pressure in which Ge acted as a *DX* center and Si as a source of excess free electrons only. Maude and co-workers [15] investigated the persistent photoconductivity effect (PPC) of AlGaAs samples codoped with Si and Sn in which the photoionized Si-*DX* centers provided excess free carriers to saturate the Sn-*DX* centers. While the concentration of electrons trapped by *DX* centers could be measured directly in these experiments, the number of *DX* centers could not be measured but only predicted from technological data. Beside the uncertain character of technological data, one has also to take into account that the number of introduced impurity atoms can differ from that of *DX* centers because of the amphoteric character of IV-group elements or possible complex formation.

In this paper, to avoid the above mentioned problems,

we have chosen for investigation a semiconducting material for which (1) there exists an impurity having both  $DX$ -like and  $A_1$  electronic levels; (2) at ambient pressure both levels are resonant with the conduction band but close enough to its bottom to be easily populated with electrons at hydrostatic pressures not exceeding 1.5 GPa; (3) another, always ionized donor impurity can be introduced to serve as an additional source of electrons and thus to enable the full saturation of even doubly occupied  $DX$  centers. GaAs codoped with Ge and Te appeared to be such an ideal system.

The germanium impurity in GaAs forms both  $DX$  and  $A_1$  electronic levels which at ambient pressure lie approximately 100 meV [5] and 70 meV [2,5] above the bottom of the conduction band (CB), respectively. At high pressure they move downwards with respect to the CB, approaching one another, and at pressures exceeding 1 GPa they cross close to the CB edge [5]. This means that in order to have at ambient pressure completely empty levels, the Fermi level cannot exceed 30–40 meV which corresponds to the free electron concentration  $n$  not higher than a few times  $10^{17} \text{ cm}^{-3}$ . On the other hand, to completely saturate even doubly occupied Ge- $DX$  centers,  $n$  must be higher than  $2N_{\text{Ge-}DX}$ , where  $N_{\text{Ge-}DX}$  is the concentration of Ge- $DX$  centers. This leads to the conclusion that the concentration of additional, always ionized donors must exceed  $N_{\text{Ge-}DX}$ . We chose Te as the additional donor since the  $DX$  level of Te in GaAs lies exceptionally high (more than 400 meV above the CB edge [16]) in comparison with the  $DX$  levels of other donors. Therefore, for samples with  $E_f < 40$  meV and at pressures not higher than 1.5 GPa, Te donors always remain completely ionized.

The crystal, specially designed for our experiment, was grown by the conventional liquid encapsulated Czochralski (LEC) technique. The Hall concentration and Hall mobility measured at  $T=77$  K and at ambient pressure on the sample for which we present the results in this paper were  $n_H = 2.93 \times 10^{17} \text{ cm}^{-3}$ ,  $\mu_H = 2560 \text{ cm}^2/\text{Vs}$ . We performed the measurements of  $n_H$  and  $\mu_H$  as a function of hydrostatic pressure up to 1.45 GPa at two different temperatures,  $T=100$  and 77 K. At  $T=100$  K the capture and emission of electrons on and from the Ge- $DX$  is sufficiently fast to allow an easy change of its occupation by means of pressure. On the contrary, at  $T=77$  K its occupation is practically frozen and only the population of the Ge- $A_1$  level can vary with pressure [5]. Hence, depending on the initial conditions we could, at  $T=77$  K, pressure tune the occupation of the Ge- $A_1$  level for various (but fixed) concentrations of occupied Ge- $DX$  centers. In the experiment we used a helium gas pressure system which allowed the value of the pressure acting upon a sample to be changed at a constant low temperature. In our pressure cell we mounted an infrared GaAs light emitting diode (LED) which could be used to persistently ionize Ge- $DX$  centers. To avoid a nonhomogene-

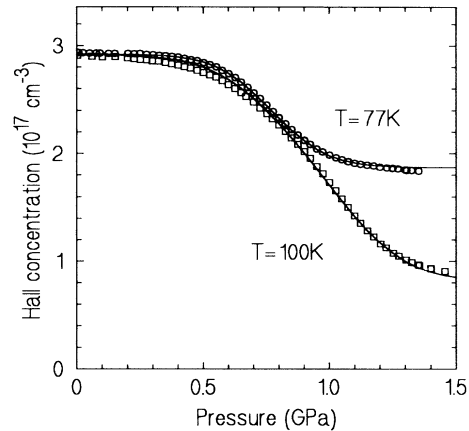


FIG. 1. Steplike dependences of the Hall concentration measured as a function of hydrostatic pressure at  $T=77$  and 100 K. Solid lines show the results of calculations.

ous distribution of photoionized  $DX$  centers this LED was applied only in such cases when full persistent  $DX$  photoionization (emptying) was needed at  $T=77$  K. To obtain various partial persistent occupations of Ge- $DX$  centers at  $T=77$  K we used the following procedure: (1) First the pressure was raised at  $T > 100$  K to the value which assured the required occupation of  $DX$  centers; (2) then the temperature was lowered to  $T=77$  K and thus the  $DX$  occupation was frozen.

Figure 1 presents the pressure dependences of the Hall concentration measured at  $T=77$  and 100 K. The results obtained at  $T=77$  K correspond to fully photoionized  $DX$  centers. The steplike character of the curves means that Ge localized states were completely empty at low pressure, gradually populated at intermediate pressures, and finally almost completely saturated with electrons at high pressure (the highest value of pressure was slightly too low to observe full saturation—especially at  $T=100$  K). Since at  $T=77$  K the  $DX$  was persistently empty, the amplitude of the step, equal to the concentration of electrons trapped on Ge- $A_1$  states, gave in this case the total concentration of Ge donors (provided that each  $A_1$  state can be populated with one electron). Thus we obtained  $N_{\text{Ge}} = 1.05 \times 10^{17} \text{ cm}^{-3}$ . On the other hand at  $T=100$  K, when the  $DX$  states were active, the total concentration of electrons trapped by Ge donors appeared to be twice as large. To confirm that the above experimental results really mean that each  $DX$  center can be occupied with two electrons, we checked the correlation (if any) between the concentration of electrons persistently trapped on the Ge- $DX$  level and that of electrons which could be trapped on remaining Ge- $A_1$  states. Figure 2 presents the pressure dependences of the Hall concentration measured at  $T=77$  K for various persistent occupations of  $DX$  centers obtained by means of the method described above. The highest curve is the same as in Fig. 1 ( $DX$  fully photoionized). The rest of the curves corre-

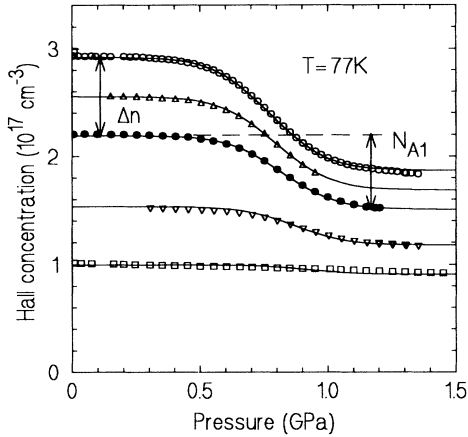


FIG. 2. Hall concentration versus hydrostatic pressure measured at  $T=77$  K for various partial persistent occupations of  $DX$  centers. The highest curve corresponds to full persistent photoionization of  $DX$  centers. Solid lines are the results of calculations.

spond to partial persistent  $DX$  center occupation. In particular, the lowest curve was obtained after cooling the sample at  $p=1.45$  GPa, at which pressure the  $DX$  was already saturated (see Fig. 1,  $T=100$  K), and thus it corresponds to a practically full persistent occupation of  $DX$  centers. It is clear that the higher the concentration of electrons persistently trapped on  $DX$  centers,  $\Delta n$  (marked as an example for one of the curves in Fig. 2), the lower the concentration of  $A_1$  states,  $N_{A_1}$ , given by the height of the steps seen in Fig. 2. To visualize this correlation we plotted in Fig. 3  $N_{A_1}$  versus  $\Delta n$ , taken from Fig. 2. If the  $DX$  and  $A_1$  states originated from two different defects instead of the same one, the population of  $DX$  states should not influence the number of accessible  $A_1$  states—i.e.,  $N_{A_1}$  should not depend on  $\Delta n$  in Fig. 3. In such a situation the experimental points should lie along line (a), which is certainly not the case. Since, as is clear from the above results, both  $DX$  and  $A_1$  do originate from the same defect, the following condition must be fulfilled:  $N_{A_1} + N_{DX} = N_{Ge}$ , where  $N_{DX}$  is the concentration of persistently occupied  $DX$  centers. If each  $DX$  traps  $k$  electrons, then  $kN_{DX} = \Delta n$ , and we end up with the equation  $N_{A_1} = N_{Ge} - \Delta n/k$ . Thus the slope of the straight line from Fig. 3 must give the inverse of  $k$ . Line (b) from Fig. 3 is drawn for  $k=1$ , i.e., for the case when each  $DX$  traps only one electron, while line (c) corresponds to  $k=2$ —double occupation of  $DX$  centers. Obviously, the experimental points give a perfect confirmation of the latter case. All the pressure dependences of Hall mobility obtained at both  $T=77$  and  $100$  K are qualitatively entirely consistent with the above finding. The experimental results and the analysis of the mobility will be published elsewhere.

To check if the parameters describing both  $A_1$  and  $DX$  levels which can be extracted from the data presented in

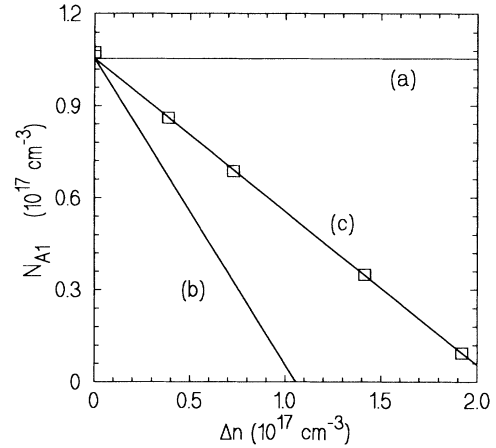


FIG. 3. Accessible number of  $A_1$  states  $N_{A_1}$  versus the number of electrons persistently trapped on  $DX$  states,  $\Delta n$ . Straight lines correspond to the following cases: (a)  $A_1$  and  $DX$  states given by two different defect centers. (b) Both states are given by the same defect with  $DX$  trapping only one electron. (c) The same as (b) but with two electrons trapped per each  $DX$  state.

Fig. 1 are similar to those already published [2,5] we fitted the energies of the levels,  $\epsilon^{0/+}$  and  $\epsilon^{-/0}$  and their pressure coefficients,  $\partial\epsilon^{0/+}/\partial p$  and  $\partial\epsilon^{-/0}/\partial p$ , to have the best agreement between the calculated curves and the experimental points. For concentrations of Ge and Te donors  $N_{Ge}=1.05 \times 10^{17} \text{ cm}^{-3}$  and  $N_{Te}=1.87 \times 10^{17} \text{ cm}^{-3}$ , respectively, and for assumed degeneracy factors of positive, neutral, and negative charge states of the Ge donor equal to 1, 2 (spin doublet), and 4 (spin singlet, orientational degeneracy only), respectively, as well as assuming a  $\delta$ -like density of states for both levels, we obtained  $\epsilon^{0/+}=80$  meV,  $\partial\epsilon^{0/+}/\partial p=-75$  meV/GPa,  $\epsilon^{-/0}=112$  meV,  $\partial\epsilon^{-/0}/\partial p=-90$  meV/GPa, where all the values are given with respect to the conduction band edge. The solid lines in Fig. 1 and Fig. 2 are calculated with the above parameters. Each line in Fig. 2 corresponds to a different concentration of electrons persistently trapped on  $DX$  centers,  $\Delta n$ , which was taken directly from the experimental data. Although the fit is very good, the obtained values of the parameters should be treated with some caution, since we have not taken into account the possible broadening of the density of states due to disorder or spatial correlation of impurity charges. Moreover, we are not absolutely sure if the degeneracy factor of the negatively charged  $DX$  is really equal to 4. Anyway, the above values of energies and pressure coefficients mean that at low pressure  $\epsilon^{-/0} > \epsilon^{0/+}$ , i.e., that the effective Hubbard correlation energy  $U$  is positive ( $U > 0$ ) and only at very high pressure  $U$  might change its sign (negative  $U$  case). In our case, due to codoping, all Ge donors can be doubly occupied. However, even without additional source of electrons, if only  $\epsilon^{0/+}$  and  $\epsilon^{-/0}$  levels lie close enough to one another and are

both close to the Fermi level, a fraction of Ge donors can be negatively charged (i.e., doubly occupied) even for  $U > 0$  and all the three charge states can coexist. It seems that the double occupation of  $DX$  centers (which was explicitly shown in our paper for the Ge donor) is characteristic for many other donors in GaAs and GaAlAs. However, it should be pointed out that this is not a general behavior for  $DX$ -like centers. A very interesting exception is an unidentified  $DX$ -like center in nominally undoped  $n$ -type InSb which was reported about twenty years ago [3] and was believed to be related to an oxygen contamination or a structural defect. This defect forms three localized electronic levels—a shallow hydrogenic one, associated with the  $\Gamma$  CB minimum [1], as well as  $A_1$ - and  $DX$ -like ones, both resonant with the CB at ambient pressure [3]. However, in contrast to our finding for the Ge donor in GaAs, it can easily be deduced from pressure measurements performed at  $T=77$  K [3] that both  $A_1$ - and  $DX$ -like states given by this unidentified defect in  $n$ -InSb capture the same number of electrons.

In conclusion, from our results it unambiguously follows that each Ge- $DX$  state is occupied by two electrons. Such double occupation does not necessarily mean that for every  $DX$  center the effective Hubbard correlation energy  $U$  is negative. Moreover, not all of the so-called  $DX$ -like states must be occupied by two electrons—e.g., the above mentioned  $n$ -InSb case [3] interpreted by the authors within a large lattice relaxation model which was of the same type as the models used nowadays to explain  $DX$ -like behavior of donors.

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