

## Observation of Chiral Spin Fluctuations in Insulating Planar Cuprates

P. E. Sulewski, P. A. Fleury, K. B. Lyons, and S-W. Cheong

*AT&T Bell Laboratories, Murray Hill, New Jersey 07974*

(Received 29 August 1991)

A recent theory of Raman scattering in the Hubbard model predicts scattering of  $A_2$  symmetry arising from chiral spin fluctuations. In the doped planar cuprates, chiral spin fluctuations have been speculated to play a central role in establishing the normal-state properties. In addition to spin fluctuation scattering in the  $B_1$ ,  $A_1$ , and  $B_2$  symmetries, we report here the direct observation of dynamic chiral spin fluctuations, appearing in the inelastically scattered light of  $A_2$  symmetry. In  $\text{Gd}_2\text{CuO}_4$ , the  $A_2$  scattering broadly peaks near  $4700\text{ cm}^{-1}$  ( $\sim 5J$ ) and extends beyond  $8000\text{ cm}^{-1}$  (1 eV).

PACS numbers: 78.30.Hv, 75.30.Ds, 75.40.Gb, 75.50.Ee

A rather complete understanding of the dynamics of the spin degrees of freedom in the cuprates has been gained in the past few years through studies of inelastic light scattering over large ( $\sim 1\text{ eV}$ ) energy shifts [1–3]. The spin-pair scattering, observed in the  $B_1$ ,  $A_1$ , and  $B_2$  symmetry channels, has provided a quantitative picture of the quantum spin excitations in the two-dimensional (2D) spin- $\frac{1}{2}$  Heisenberg system.

Now, through experimental improvement and motivated by recent theoretical predictions [4], a new type of light scattering, of  $A_2$  symmetry, has been observed in the layered cuprates. This scattering results from a term in the effective scattering Hamiltonian proportional to the spin chirality operator,  $\sum \mathbf{S}_i \cdot (\mathbf{S}_j \times \mathbf{S}_k)$ , and thus represents the spectrum of chiral spin fluctuations. In the doped cuprates, chiral spin fluctuations have been invoked to explain the normal-state properties [5–7], and Wen, Wilczek, and Lee [5] have shown that the elementary excitations of the chiral spin state obey fractional statistics. An ideal gas of particles obeying fractional statistics has been speculated to exhibit a superconducting ground state [8].

Since the spin chirality appears in the scattering Hamiltonian, Raman measurements provide a direct probe of dynamic chiral spin fluctuations in the cuprates. For the insulating cuprates, which exhibit antiferromagnetic ground states, the ground-state expectation value of the spin chirality vanishes. Nevertheless, as we will show, dynamic chiral spin fluctuations are expected and observed with inelastic light scattering. In order for the excitations which we observe in the insulating materials to relate to current theories of doped materials, some portion of their spectral weight must be transferred to a quasielastic line, with an extremely narrow width, well below  $1\text{ cm}^{-1}$  [7]. The observation of such a narrow quasielastic line lies beyond the scope of the experiments presented here.

Our previous experiments [3,9] have shown quantitative similarities among the  $A_1$ ,  $B_1$ , and  $B_2$  components for the entire  $M_2\text{CuO}_4$  series ( $M = \text{La, Pr, Nd, Sm, Eu, Gd}$ ), demonstrating that these features are intrinsic to the  $\text{CuO}_2$  planes. In this work we focus on the tetragonal materials [10]  $\text{Gd}_2\text{CuO}_4$  and  $\text{Pr}_2\text{CuO}_4$ , where crystals with excellent surface quality have been grown. In these

crystals, the observed intensity of the  $A_2$  component is  $\sim 50\%$  of that of the  $B_2$  intensity. The  $A_2$  contribution peaks at higher frequencies than scattering in the other symmetry channels, consistent with estimates from spin-wave theory.

The  $\text{Gd}_2\text{CuO}_4$  and  $\text{Pr}_2\text{CuO}_4$  single crystals are grown from a  $\text{CuO}$  flux, as has been previously described [10]. Each of the as-grown crystals exhibits at least one highly reflecting face, free of residual flux, and all spectra are taken from as-grown surfaces. The basal plane dimensions of the  $\text{Gd}_2\text{CuO}_4$  and  $\text{Pr}_2\text{CuO}_4$  crystals are  $4 \times 1.5$  and  $2 \times 1.5\text{ mm}^2$ , respectively.

The spectra are obtained with 30 mW from an Ar-ion laser, focused to a line  $0.1 \times 1.5\text{ mm}^2$  on the samples at room temperature. Two excitation wavelengths—4880 and  $5145\text{ \AA}$ —are used to discriminate against fluorescence contributions. As outlined below, various experimental polarization combinations, including circular, are needed to extract the pure symmetry components. A schematic figure of the experimental apparatus is given in Fig. 1. To ensure that the correct polarization is maintained in the sample, the incident light direction is normal to the sample surface. The polarization of the incident light is varied using a Soleil-Babinet (SB) compensator, which permits more convenient change of laser wavelength than do the usual  $\frac{1}{4}$ - and  $\frac{1}{2}$ -wave plates. The backscattered light is collected with  $f/2.5$  optics, and im-

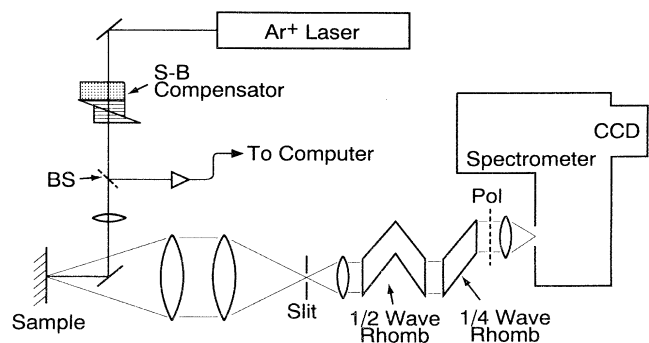


FIG. 1. Schematic of the apparatus used to obtain Raman spectra for various polarization combinations.

aged with  $3\times$  magnification onto the input slit of a Spex Triplemate spectrometer outfitted with a liquid-nitrogen-cooled charge-coupled-device (CCD) camera.

These measurements cover an extremely broad range of frequencies, since the inelastic scattering extends to energy shifts beyond 1 eV. The polarization analysis requires the conversion of circularly polarized light to linearly polarized light over this broad frequency range. This conversion is accomplished by  $\frac{1}{2}$ - and  $\frac{1}{4}$ -wave rhombs, which introduce relative phase differences of  $\pi$  and  $\frac{1}{2}\pi$ , respectively, between the electric-field components parallel and perpendicular to the plane of incidence of the rhomb. The dispersion in the phase shift introduced in the internal reflection in the rhombs is related to  $dn/d\lambda$ , which is small over the region of interest, and is not proportional to  $\lambda$ .

Since several spectra must be combined to extract the pure symmetry components, obtaining their correct magnitudes is essential. Consequently, during all scans, a photodiode monitors the laser power diverted by a beam-splitter (BS), and the spectra are normalized accordingly. The spectra are all calibrated to a standard lamp to correct for the response of the complete system, so that accurate line shapes may be obtained.

For the  $C_{4v}$  symmetry appropriate to the 2D  $\text{CuO}_2$  planes, the Raman scattering tensor for electric-field vectors in the plane may be separated into four symmetry species:  $A_1$ ,  $A_2$ ,  $B_1$ , and  $B_2$ . Under the symmetry operations of  $C_{4v}$  these four representations transform like the polynomials  $x^2+y^2$ ,  $x^3y-y^3x$ ,  $x^2-y^2$ , and  $xy$ , respectively. In order to isolate all four of these symmetries, a variety of polarization combinations must be used, as indicated in Table I. The first column of this table indicates the symmetries contained in the scattering for the polarization combinations given in the second column. The first letter in the notation of the second column indicates the incident light polarization and the second letter indicates the scattered light polarization. As in our previous papers [1,3]  $x$  and  $y$  denote axes directed along the Cu-O bonds in the plane, while the  $x'$  and  $y'$  axes are rotated by  $45^\circ$  with respect to the  $x$  and  $y$  axes.  $R$  and  $L$  signify right and left circularly polarized light. Since there are twelve experimental polarization combinations available from which to determine four symmetry components, there are numerous consistency checks on the

TABLE I. Symmetries accessed with various combinations of incident and scattered light polarizations.

Symmetry	Geometry
$A_1 + B_1$	$xx, yy$
$A_2 + B_2$	$xy, yx$
$A_1 + B_2$	$x'y', y'y'$
$A_2 + B_1$	$x'y', y'x'$
$A_1 + A_2$	$RR, LL$
$B_1 + B_2$	$RL, LR$

data.

The four independent symmetry components of the Raman scattering for a  $\text{Gd}_2\text{CuO}_4$  sample using 4880 Å excitation are shown in Fig. 2. Note that the scattering persists to  $8000\text{ cm}^{-1}$  (1 eV). The two features marked with asterisks in the  $A_1$  scattering near 1560 and 2330  $\text{cm}^{-1}$  are due to Raman scattering from atmospheric oxygen [11] and nitrogen [12], respectively, owing to the backscattering geometry employed. The other relatively sharp features below 800 and near  $1200\text{ cm}^{-1}$ , which are not resolved, are due to single- [13] and two-phonon [14] scattering. The  $B_1$  scattering, which arises from magnon pair creation, is well described by an effective interaction Hamiltonian involving nearest-neighbor sites [15]:

$$H_R = C \sum_{(ij)} (\mathbf{E}_{\text{inc}} \cdot \boldsymbol{\sigma}_{ij}) (\mathbf{E}_{\text{sc}} \cdot \boldsymbol{\sigma}_{ij}) \mathbf{S}_i \cdot \mathbf{S}_j, \quad (1)$$

where  $\mathbf{E}_{\text{inc}}$  and  $\mathbf{E}_{\text{sc}}$  are electric-field vectors for the incident and scattering photons, and  $\boldsymbol{\sigma}_{ij}$  is a unit vector connecting spin sites  $i$  and  $j$ . The matrix elements and other details of the excited-state exchange [16] determining  $H_R$  are contained in the prefactor  $C$ .

The spectrum calculated from Eq. (1) using noninteracting spin-wave theory is the two-magnon density of states (DOS) weighted by trigonometric form factors

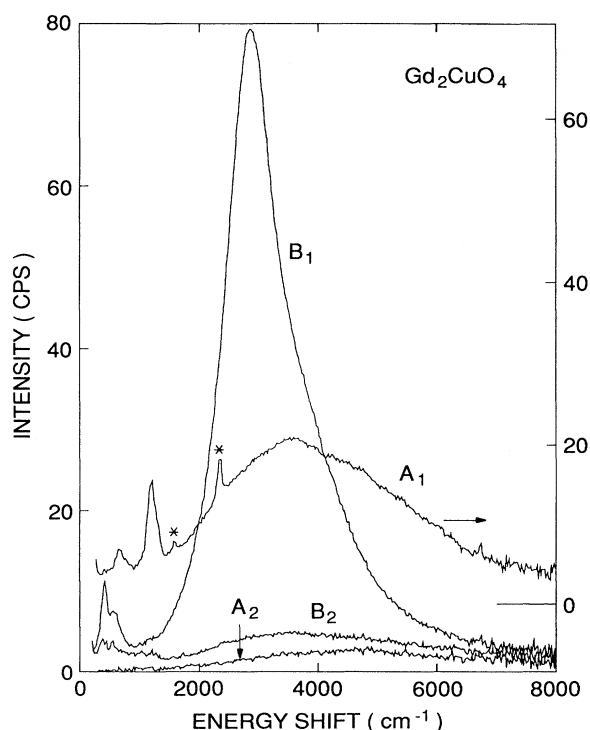


FIG. 2. The four pure symmetry components of the Raman scattering intensity vs energy shift for  $\text{Gd}_2\text{CuO}_4$  taken with an incident laser wavelength of 4880 Å. The  $A_1$  spectrum has been vertically offset for clarity; the asterisks mark Raman peaks from atmospheric  $\text{N}_2$  and  $\text{O}_2$ .

which appear on transforming the real-space spin operators in Eq. (1) to  $k$ -space spin-wave operators. This spectrum diverges at twice the zone boundary magnon energy, which occurs at  $4J$  for the  $\text{CuO}_2$  planes, where  $J$  is the nearest-neighbor exchange energy in the 2D Heisenberg Hamiltonian. The two magnons created in the scattering event interact, however, leading to a broadening and shifting to lower energy of the peak in the spectrum. These magnon interaction effects may be accounted for with a proper Green-function calculation [17]. In particular, the spin-wave calculation including interaction effects [15] for a 2D Heisenberg antiferromagnet is in excellent agreement with the experimental data for  $\text{K}_2\text{NiF}_4$  ( $S=1$ ) [18]. An estimate of the effect of interactions on the scattering peak position may be obtained from a heuristic local Ising picture. In this picture, the scattering arises from flipping a pair of nearest-neighbor spins. Since six neighboring spins are parallel to the newly flipped spins, the energy of such a process should occur at  $3J$ , in reasonable agreement with the more sophisticated Green-function calculation.

For the spin- $\frac{1}{2}$  case, the line shape obtained from spin-wave theory is a factor of 3 narrower than the data for the  $B_1$  symmetry shown in Fig. 2. The width of this  $B_1$  feature is well accounted for by quantum spin fluctuations in the ground state of the spin- $\frac{1}{2}$  2D Heisenberg Hamiltonian, as calculated using an Ising series-expansion technique [1], and confirmed by cluster [19] and Monte Carlo calculations [20].

The  $A_1$  and  $B_2$  scattering have been interpreted [1] within the Ising series expansion, using an effective scattering Hamiltonian involving diagonal next-neighbor (DNN) spin flips. The form of this Hamiltonian is the same as Eq. (1), with  $\sigma_{ij}$  replaced by a unit vector  $\sigma'_{ij}$  connecting a given site to a DNN site.

The scattering Hamiltonians introduced to describe the  $B_1$ ,  $A_1$ , and  $B_2$  scattering all appear naturally in a recent theory of Raman scattering in the Hubbard model [4]. In particular, Shastry and Shraiman find contributions to the scattering matrix element for the four symmetries of the form

$$O_{B_1} = \frac{t^2}{U-\omega} \sum_i \frac{1}{2} (\mathbf{S}_i \cdot \mathbf{S}_{i+y} - \mathbf{S}_i \cdot \mathbf{S}_{i+x}), \quad (2)$$

$$O_{A_1} = \frac{2t^4}{(U-\omega)^3} \sum_i (\mathbf{S}_i \cdot \mathbf{S}_{i+x+y} + \mathbf{S}_{i+x} \cdot \mathbf{S}_{i+y} + \dots), \quad (3)$$

$$O_{B_2} = -\frac{4t^4}{(U-\omega)^3} \sum_i (\mathbf{S}_i \cdot \mathbf{S}_{i+x+y} - \mathbf{S}_{i+x} \cdot \mathbf{S}_{i+y}), \quad (4)$$

$$O_{A_2} = \frac{4t^4}{(U-\omega)^3} \sum_i \epsilon_{\mu\mu'} \mathbf{S}_i \cdot (\mathbf{S}_{i+\mu} \times \mathbf{S}_{i+\mu'}), \quad (5)$$

where  $t$  is the hopping parameter,  $U$  is the on-site repulsion,  $\mu = \pm x, \pm y$ , and  $\epsilon_{\mu\nu} = -\epsilon_{\nu\mu} = -\epsilon_{-\mu\nu}$ , and where terms of higher order in spin operator and terms beyond DNN have been suppressed. The  $B_1$  contribution appears as the leading-order term in the  $t/(U-\omega)$  expansion.

The terms resulting in  $A_1$  and  $B_2$  scattering are higher order in the expansion, and for  $\omega \ll U$  will be much weaker than the  $B_1$  scattering. That these symmetries appear in the data of Fig. 2 indicates that we are near resonance. The matrix elements obtained from the expansion confirm the previous choice of scattering Hamiltonian [Eq. (1)]. The scattering of  $A_2$  symmetry [Eq. (5)], however, is of a new type, and allows for the direct observation of dynamic fluctuations of the spin chirality.

While the  $A_2$  scattering appears in the same order as the  $A_1$  and  $B_2$  in the  $t/(U-\omega)$  expansion, the first nonvanishing contribution is of higher order in the spin-wave expansion. Specifically, the matrix element for scattering via the spin chirality term from the ground state to a two-magnon final state vanishes. Consider a general two-magnon final state,  $|\psi\rangle$ . Light scattering constrains the total momentum of this final state to zero; thus the momenta of the two magnons must be equal and opposite. Since the chirality operator, here denoted as  $\hat{\chi}$ , commutes with the total spin,  $S^z$  is preserved, forcing the two magnons to have oppositely directed spins. Thus the state  $|\psi\rangle$  must be composed of a linear combination of states of the form  $|+,k\rangle|-, -k\rangle$ . Under the combined operations of time reversal,  $\Theta$ , and a lattice translation,  $T$ , the ground state is even, as is this two-magnon state,  $|\psi\rangle$ . The spin chirality operator, however, is odd under these combined operations, leading to the conclusion that the matrix element,  $\langle\psi|\hat{\chi}|0\rangle$ , vanishes.

A spin-wave calculation for four noninteracting magnons yields an  $A_2$  symmetry peak near  $6.2J$ , with a width (FWHM)  $\sim 1.3J$  [21]. Interaction effects will reduce the energy of the peak position and may be estimated by the same heuristic local Ising picture adduced above to estimate the  $B_1$  peak position near  $3J$ . In the case of the four-magnon contribution to the  $A_2$  scattering, four spins in a row are flipped in a Néel ordered background [21]. This final configuration has ten nearest-neighbor spins parallel to the newly flipped spins, leading to an energy of  $5J$ . Figure 3, which compares the  $B_2$  and  $A_2$  on an expanded intensity scale, reveals that the spectral weight of the  $A_2$  feature appears at a higher energy than that of the  $B_1$  feature in Fig. 2, which peaks near  $2870 \text{ cm}^{-1}$ . The  $A_2$  feature exhibits a broad peak centered near  $\sim 5J$ , with spectral weight extending to higher frequencies than the  $B_1$  component, in qualitative agreement with the spin-wave estimate. Although this  $A_2$  scattering intensity is small compared to the other symmetries, spectra taken with the  $5145\text{-\AA}$   $\text{Ar}^+$  laser line confirm the Raman nature of the feature. This  $A_2$  symmetry scattering is also observed in very similar spectra for  $\text{Pr}_2\text{CuO}_4$ , as are the  $A_1$ ,  $B_1$ , and  $B_2$  features.

The four independent symmetries for the inelastic light scattering from the square lattice have been separately identified for  $\text{Gd}_2\text{CuO}_4$  and  $\text{Pr}_2\text{CuO}_4$ . In addition to the previously identified spin fluctuation scattering in the  $B_1$ ,  $A_1$ , and  $B_2$  scattering channels, a new type of scattering

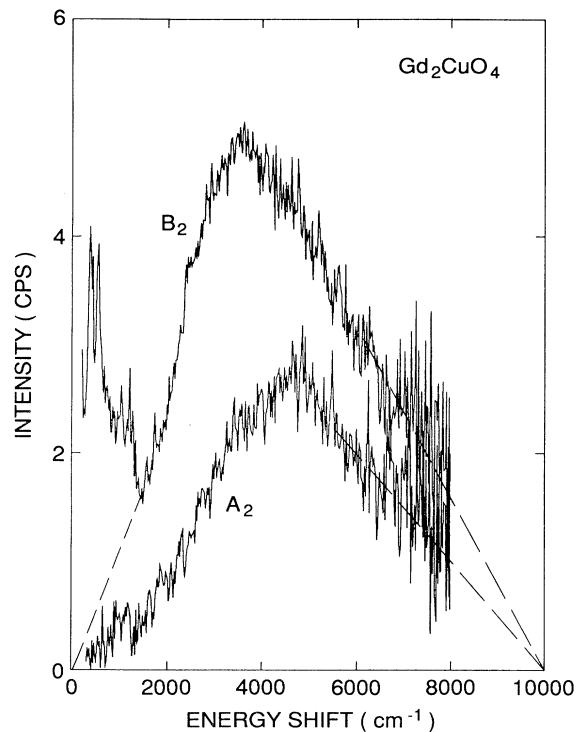


FIG. 3. Scattering intensity vs energy shift for the  $B_2$  and  $A_2$  symmetries of  $Gd_2CuO_4$  taken with 4880-Å light on an expanded vertical scale. The dashed lines are guides to the eye which extrapolate the data linearly to zero.

corresponding to dynamic fluctuations of the spin chirality has been observed in the  $A_2$  symmetry. This scattering comprises  $\sim 5\%$  of the total integrated scattering intensity, and is very broad, extending beyond 1 eV. The position of this  $A_2$  peak near  $5J$  is in qualitative agreement with expectations based on spin-wave theory. More sophisticated calculations which include the effects of magnon interactions and quantum spin fluctuations are needed to obtain quantitative agreement with the data. These experimental results demonstrate that the Hubbard model accurately predicts the spin excitations of the insulating planar cuprates. Further experiments as a function of

doping and temperature may clarify the nature and importance of chiral spin fluctuations in the doped planar cuprates.

We have greatly benefited from numerous helpful discussions with B. I. Shraiman and B. S. Shastry. We also thank S. J. Duclos and H. L. Carter for technical assistance.

- 
- [1] R. R. P. Singh, P. A. Fleury, K. B. Lyons, and P. E. Sulewski, *Phys. Rev. Lett.* **62**, 2736 (1989).
  - [2] K. B. Lyons *et al.*, *Phys. Rev. B* **39**, 9693 (1989).
  - [3] P. E. Sulewski *et al.*, *Phys. Rev. B* **41**, 225 (1990).
  - [4] B. S. Shastry and B. I. Shraiman, *Phys. Rev. Lett.* **65**, 1068 (1990); *Int. J. Mod. Phys. B* **5**, 365 (1991).
  - [5] X. G. Wen, F. Wilczek, and A. Zee, *Phys. Rev. B* **39**, 11413 (1989).
  - [6] Y. Chen, F. Wilczek, E. Witten, and B. Halperin, *Int. J. Mod. Phys. B* **3**, 1001 (1989); N. Nagaosa and P. A. Lee, *Phys. Rev. Lett.* **64**, 2450 (1990).
  - [7] N. Nagaosa and P. A. Lee, *Phys. Rev. B* **43**, 1233 (1991).
  - [8] R. B. Laughlin, *Phys. Rev. Lett.* **60**, 2677 (1988).
  - [9] S. L. Cooper *et al.*, *Phys. Rev. B* **42**, 10785 (1990).
  - [10] S-W. Cheong, J. D. Thompson, and Z. Fisk, *Physica (Amsterdam)* **158C**, 109 (1989).
  - [11] J. Bendtsen, *J. Raman Spectrosc.* **2**, 133 (1974).
  - [12] W. H. Fletcher and J. S. Rayside, *J. Raman Spectrosc.* **2**, 3 (1974).
  - [13] S. Sugai, T. Kobayashi, and J. Akimitsu, *Phys. Rev. B* **40**, 2686 (1989); E. T. Heyen *et al.*, *Solid State Commun.* **74**, 1299 (1990).
  - [14] C. Thomsen, E. Schönherr, B. Friedl, and M. Cardona, *Phys. Rev. B* **42**, 943 (1990).
  - [15] J. B. Parkinson, *J. Phys. C* **2**, 2012 (1969).
  - [16] P. A. Fleury and R. Loudon, *Phys. Rev.* **166**, 514 (1968).
  - [17] R. J. Elliot and M. F. Thorpe, *J. Phys. C* **2**, 1630 (1969).
  - [18] P. A. Fleury and H. J. Guggenheim, *Phys. Rev. Lett.* **24**, 1346 (1970).
  - [19] E. Gagliano and S. Bacci, *Phys. Rev. B* **42**, 8772 (1990); E. Dagotto and D. Poilblanc, *Phys. Rev. B* **42**, 7940 (1990).
  - [20] Z. Liu and E. Manousakis, *Phys. Rev. B* **43**, 13246 (1991).
  - [21] B. I. Shraiman and B. S. Shastry (unpublished).