

Critical Fluctuations in Strongly Type-II Quasi-Two-Dimensional Superconductors

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The critical fluctuations near $H_{c2}(T)$ in the mixed phase of layered type-II superconductors are related to an equivalent system of classical particles with many-body "gauge" interactions. The scale invariance of this dense vortex plasma leads to a universal character of the vortex solid-liquid melting line where the transition to the superconducting state occurs. The relation to experimental situation in high- T_c and other layered superconductors and superconducting thin films is discussed.

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Thermal fluctuations in the mixed phase of high-temperature superconductors (HTS) have been of considerable interest recently [1-5]. HTS are examples of strongly type-II ($\kappa \gg 1$) layered systems, with the inter-layer coupling ranging from moderate (in Y-Ba-Cu-O) to very weak (in Bi or Tl compounds) [6]. Because $\kappa \gg 1$, the regime in which the average separation between vortices, r_s , is larger than the penetration depth $\lambda(T)$ is confined to the vicinity of $H_{c1}(T)$ [7] (region of hatching in Fig. 1). The remaining portions of the phase diagram are region *A*, near $H_{c2}(T)$, where vortices are "dense" with r_s being of the order of the core size, and region *B*, far from $H_{c2}(T)$ and near $H_{c1}(T)$, where vortices are dilute and r_s is much larger than the core size, with mag-

netic induction $\mathbf{B}(\mathbf{r})$ being essentially uniform. This division can be made more precise by expanding the order parameter $\Psi(\mathbf{r})$ as $\Psi(\mathbf{r}) = (2\pi l^2)^{1/2} \sum_{j,m} b_{jm} \varphi_{jm}(z, z^*)$, where $l = (c/e^* B)^{1/2}$ is the magnetic length, $z = (x + iy)/l$, and $\varphi_{jm}(z, z^*)$ are the Landau eigenfunctions for charge $e^* = 2e$ in a suitable gauge. In region *A* the dominant contribution comes from the lowest Landau level (LLL), $j=0$ [8]. The fluctuations from higher Landau levels $\{b_{j \neq 0, m}\}$ have a gap and are not dynamically important near $H_{c2}(T)$. In region *B* contributions from all Landau levels are required to maintain the constant amplitude of $\Psi(\mathbf{r})$ over large distances. The crossover from *A* to *B* starts at some $H_{\text{cross}} \sim \frac{1}{7} H_{c2}(T)$ [9] (Fig. 1).

We start from the Ginzburg-Landau (GL) partition function [10]:

$$Z = \int \mathcal{D}\Psi_n(\mathbf{r}) \mathcal{D}\Psi_n^*(\mathbf{r}) \int \mathcal{D}\mathbf{a}(\mathbf{R}) \exp(-\{F_s[\Psi_n(\mathbf{r}), \mathbf{a}(\mathbf{R})] + F_m[\mathbf{a}(\mathbf{R})]\}/k_B T), \quad (1)$$

where n is the layer index,

$$F_s = \sum_{n,\sigma} \int d^2r \left[\alpha(T) |\Psi_n|^2 + \frac{\beta}{2} |\Psi_n|^4 + \frac{n_e}{2m^*} \left| \left(\mathbf{v} + \frac{2ei}{c} (\mathbf{A} + \mathbf{a}_n) \right) \Psi_n \right|^2 + \frac{\eta}{2} \left| \Psi_{n+\sigma} - \Psi_n \exp\left(-\frac{2ei}{c} d\mathbf{a}_{\zeta n}\right) \right|^2 \right]$$

$\mathbf{r} = (x, y)$, $\mathbf{R} = (r, \zeta)$, n_e is the electron density, d is the interlayer separation, $\sigma = \pm 1$, $\mathbf{v} \times \mathbf{A} = \mathbf{H}$, and F_m is the magnetic free energy. Here we only consider the case $\mathbf{H} \parallel \hat{\mathbf{c}}$. We neglect fluctuations in \mathbf{a} , which is justified for $\kappa \gg 1$ [11].

In the mean-field treatment of (1) the thermodynamic superconducting transition takes place at $H_{c2}(T)$ below which the lattice of vortices is formed [12,13]. The critical fluctuations near $H_{c2}(T)$ have been studied in the past by replacing $\Psi(\mathbf{r}) = (2\pi l^2)^{1/2} \sum_m b_{0m} \varphi_{0m}(z, z^*)$ in (1) and using the perturbation theory in the quartic piece of F_s , representing the interaction among $\{b_{0m}\}$ [8,14,15]. This approach leads to a good description of fluctuations above $H_{c2}(T)$. However, the essential part of the physics is missing. The perturbative expansion does not show any sign of a transition to the Abrikosov lattice, even when carried out to a large order [14]. This is in stark contrast with Abrikosov's mean-field theory and harmonic fluctuations around it [2,3] which suggest that some type of positional ordering exists below $H_{c2}(T)$. On the other hand, the elastic theory, which breaks the symmetry from the

outset, cannot itself be used to describe fluctuations close to and above $H_{c2}(T)$. Consequently, a complete description of critical behavior is not available.

In this Letter we devise a theory of fluctuations in the critical region near $H_{c2}(T)$ which overcomes the above difficulties. Our approach provides a unified framework for both vortex "solid" and "liquid" phases within a many-body "algorithm" which contains a detailed description of the critical behavior from the perturbative regime far above $H_{c2}(T)$ to the spontaneous formation of the Abrikosov lattice far below $H_{c2}(T)$. To proceed we note that, for $\mathbf{H} = 0$, it is useful to write $\Psi(\mathbf{r}) = R(\mathbf{r}) \times \exp[i\chi(\mathbf{r})]$ and study fluctuations in amplitude (R) and phase (χ) separately. The physics is dominated by the singular part of χ , corresponding to the motion of vortices and antivortices interacting via familiar pairwise logarithmic interactions: We call this system a dilute vortex plasma (dVP). The dVP picture remains correct in low fields [far from $H_{c2}(T)$] except now only vortices will be present. Such a dVP description of quasi-2D supercon-

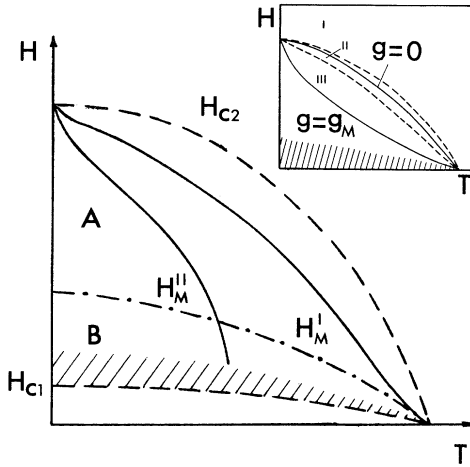


FIG. 1. A schematic H - T phase diagram for layered and thin-film type-II superconductors. The dense vortex regime (A) is separated from the dilute vortex regime (B) by a dash-dotted H_{cross} line. As θ_M increases, $H_M(T)$ evolves from $H_M''(T)$ to $H_M'(T)$ (see text). In the region of hatching, $r_s > \lambda$ and $H_M(T)$ in layered systems turns toward $H_{c1}(0)$ [1]. Inset: The same phase diagram in the DVP scaling form. Regions I, II, and III are defined in the text.

ductors in magnetic field has been studied in [16] and [17]. In the critical region near $H_{c2}(T)$ there is a *qualitative* change in this picture. When the dominant part of $\Psi(\mathbf{r})$ comes from the LLL the phase fluctuations alone

are not sufficient and the amplitude fluctuations become essential. The confinement to the LLL provides a stringent constraint which couples the fluctuations of R and χ . This nonlinear constraint in (1) can be enforced *exactly* if we use the *symmetric* gauge:

$$\begin{aligned} \Psi(\mathbf{r}) &= (2\pi l^2)^{1/2} \sum_{m=0}^N b_{0m} \varphi_{0m}(z, z^*) \\ &= \Phi \prod_{i=1}^N (z - z_i) \exp(-|z|^2/4), \end{aligned} \quad (2)$$

where $\varphi_{0m}(z, z^*) = z^m (2\pi l^2 m! 2^m)^{-1/2} \exp(-|z|^2/4)$ and $N = \Omega/2\pi l^2$, Ω being the area of the system. The fluctuations in Φ and $\{z_i\}$, which are in highly nonlinear relation to $\{b_{0m}\}$, are the “natural” way of representing two distinct tendencies in $\Psi(\mathbf{r})$ near $H_{c2}(T)$: The “global” superconducting correlations are embodied in Φ , while the “local” amplitude and phase fluctuations, coupled by the confinement to the LLL, arise from the unrestricted motion of $\{z_i\}$.

We now use these new variables, Φ and $\{z_i\}$, to rewrite the partition function (1). We first consider the $\eta=0$ case and drop the layer index n . This corresponds to very anisotropic systems or thin superconducting films. The transformation in Eq. (2) involves a Jacobian $\propto \prod_{i < j}^N |z_i - z_j|^2 (\Phi \Phi^*)^N$. After this change of variables we note the following important fact: The integration over Φ in the partition function can be performed *exactly* in the thermodynamic limit. This is a nontrivial point since this integral contains contributions from the nonperturbative sector of (1). This finally leads to

$$\begin{aligned} Z &= \left[\int \prod_{i=1}^N \frac{dz_i dz_i^*}{2\pi} \langle f^4 \rangle^{-(N+1)/2} \prod_{i < j}^N |z_i - z_j|^2 \right]^{-1} \int \prod_{i=1}^N \frac{dz_i dz_i^*}{2\pi} \langle f^4 \rangle^{-(N+1)/2} \prod_{i < j}^N |z_i - z_j|^2 \\ &\quad \times \exp\left[\frac{1}{2} NV^2 - \frac{1}{2} NV(V^2 + 2)^{1/2} - N \sinh^{-1}(V/\sqrt{2})\right], \end{aligned} \quad (3)$$

where

$$V(\{z_i\}) \equiv \frac{g \langle f^2 \rangle}{\langle f^4 \rangle^{1/2}}, \quad \langle f^p \rangle = \int \frac{dz dz^*}{2\pi N} \exp(-p|z|^2/4) \prod_i |z - z_i|^p, \quad g = \tilde{\alpha} \left[\frac{2\pi l^2 d}{2\beta k_B T} \right]^{1/2}, \quad \tilde{\alpha} = \alpha \left[1 - \frac{H}{H_{c2}(T)} \right],$$

and d is either the interlayer separation or the thickness of the film. In (3), Z is normalized to its $g=0$ form.

Equation (3) is our main result. It describes a classical incompressible many-body system of particles $\{z_i\}$ (vortices). We call this system a dense vortex plasma (DVP). The basic dynamical interaction among $\{z_i\}$ in DVP, $V(\{z_i\})$, is long ranged and contains multiple-body forces reflecting the dense vortex limit (unlike the dilute limit, the forces cannot be reduced to two-body terms only). We recognize the variance $\langle f^2 \rangle / \langle f^4 \rangle^{1/2}$ as a square root of an inverse of Abrikosov's β_A except that now the $\{z_i\}$ do not form a lattice as in [12] but are allowed to move all over the x - y plane. $\langle f^2 \rangle / \langle f^4 \rangle^{1/2}$ varies between 0 and 0.928, for the triangular lattice. DVP provides a paradigm for the study of critical behavior in type-II systems near $H_{c2}(T)$ where the main contribution to (1) comes

from many-body effects of the vortex core overlap and should be contrasted with a dVP description which is appropriate for low fields.

Because of the scale invariance of (3), cooperative phenomena in dense vortex systems take place at $g(T, H) = g_x$, where g_x is some number. There are three physical regimes of this DVP illustrated in the inset of Fig. 1. For $g \gg \sqrt{2}$, one is in regime I far above $H_{c2}(T)$, with interactions being very weak. In this regime the perturbation theory of Refs. [8], [14], and [15] should work well. As one approaches $H_{c2}(T)$ ($g=0$) one enters regime II where, for $-\sqrt{2} \ll g \ll \sqrt{2}$, the effective interaction goes as $+\sqrt{2}NV + N\mathcal{O}(g^2)$. Finally, for $g \ll -\sqrt{2}$, the effective interaction is $-NV^2 - N \ln(\sqrt{2}V) + N\mathcal{O}(g^0)$. These nonperturbative correlations are

recovered only through the integration over Φ . Note that the leading term in the interaction becomes exactly the Abrikosov mean-field free energy if the $\{z_i\}$ are frozen into a triangular lattice. DVP, therefore, correctly reproduces all the relevant fluctuation regions.

DVP in Eq. (3) should undergo a liquid-solid transition at some finite g . We can write down the equation for the transition line $H_M(T)$:

$$g(T, H_M) = g_M, \quad (4)$$

where $g_M < 0$ is a pure number. $H_M(T)$ derived from (4) has the qualitative form shown in Fig. 1 as $H'_M(T)$. This melting transition in DVP corresponds to a true thermodynamic superconducting transition which in the mean field occurs at $H_{c2}(T)$ ($g_M = 0$). To determine the value of this "universal" number g_M we have performed a numerical Monte Carlo simulation of DVP in Eq. (3). All computations were done on a Cray Y-MP supercomputer and Stardent Titan-III mini-supercomputer and will be reported in detail elsewhere. We find $g_M^2 = 63 \pm 12$. The origin of the uncertainty in g_M^2 is the relatively small system size (we are limited to 224 particles due to multiple-body interactions in DVP) and the softness of the potential V , requiring long equilibration times.

The large size of $|g_M|$ indicates that the transition occurs deep in the nonperturbative region III and is *inherently very far* from the mean-field $H_{c2}(T)$. Such strong nonperturbative character arises from the infinite degeneracy of the LLL [18]. Within our numerics we are unable to tell whether the transition is of the Kosterlitz-Thouless-Berezinskii-Halperin-Nelson-Young (KTBNY) type or possibly weak first order due to long-range forces. The estimate of $H_M(T)$ based on the KTBNY theory agrees with our exact numerical deter-

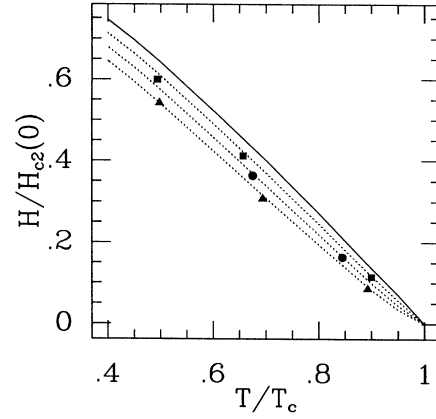


FIG. 2. $H_M(T)$ for three different thicknesses in thin films of Nb_3Ge . The data points are from Ref. [20]. The dashed lines are obtained from (4) with $g_M^2 = 67$.

mination within factors of order unity [19].

The above prediction for the "universality" of $H_M(T)$ can be tested on recent experiments with films of Nb_3Ge [20]. There are no fitting parameters here (the only uncertainty left is in our numerical determination of g_M). The experimental data points in Fig. 2 agree very well with the theoretical result (4), using a single value $g_M^2 = 67$. Such apparent universality in $H_M(T)$ has been noted by the authors of Ref. [20], who compared their results with those on thin films of other materials. Our theory provides an explanation for this empirical observation.

We now turn to the effect of Josephson coupling $\eta \neq 0$. The partition function in Eq. (3) is simply generalized to the case of coupled layers by including the additional Josephson interaction:

$$\sum_n \sum_{i=1}^N \left\{ -\eta \frac{2\pi l^2 d |\bar{a}|}{\beta N} \left[\left(\frac{\langle f_n^2 \rangle \langle f_{n+\sigma}^2 \rangle}{\langle f_n^4 \rangle \langle f_{n+\sigma}^4 \rangle} \right)^{1/2} \text{Re} \langle f_n^* f_{n+\sigma} \rangle + (\sigma \rightarrow -\sigma) - \frac{2 \langle f_n^2 \rangle^2}{\langle f_n^4 \rangle} \right] \right\}. \quad (5)$$

Finite η will make the positional ordering three dimensional [21]. $H_M(T)$ itself should change in some continuous fashion as η increases and for $\eta \ll 1$ should not be very different from a 2D case. In fact, we notice that the interaction in Eq. (5) remains scale invariant. Consequently, $H_M(T)$ for $\eta \neq 0$ can be obtained from

$$g(H, T) = g_M \left(\frac{\eta}{\bar{a}(T, H)} \right), \quad (6)$$

where $g_M(\eta/\bar{a}(T_M, H_M))$ is now a number different from $g_M(0)$ appearing in Eq. (4) for a pure 2D case. Note that $\eta/\bar{a} = \xi_z^2/d^2$ and is the natural parameter describing the crossover from quasi-2D to 3D behavior. If we write

$$g_M^2 \left(\frac{\eta}{\bar{a}(T_M, H_M)} \right) = g_M^2(0) - \gamma \left(\frac{\eta}{\bar{a}(T_M, H_M)} \right),$$

where $\gamma = 0$ for $\eta = 0$, and assume the KTBNY-type

correlations below g_M , we find $\gamma(\eta/\bar{a}) \approx C_1^2 / \ln^2(\bar{a}/C_2^2 \eta)$, where C_1 and C_2 are constants of order unity. Thus, unless $H_M(T)$ is very close to $H_{c2}(T)$, the T and H dependence of $g_M^2(\eta/\bar{a}(T_M, H_M))$ is weak and $H_M(T)$ is still approximately of the universal form (4) but with g_M^2 shifted to some lower value [$H_M(T)$ moves toward $H_{c2}(T)$]. This is the situation in very anisotropic systems where the identity of a single vortex is not maintained from layer to layer and where the physical picture is that of a set of 2D DVP's moving in a random potential provided by the adjacent layers through Eq. (5) [22]. If $H_M(T)$ gets too close to $H_{c2}(T)$, so that $\eta/\bar{a}(T_M, H_M) = \xi_z^2/d^2 \geq 1$, deviations from (4) will become significant and a description in terms of the anisotropic 3D GL theory will be more appropriate, with vortices in DVP becoming continuous lines [23].

In this paper we have developed a description of critical

fluctuations near $H_{c2}(T)$. One should keep in mind that this DVP description holds in region *A* and cannot be extended to low fields [region *B*, near $H_{c1}(T)$]. This relationship to the low-field dVP behavior can be illustrated on the example of $H_M(T)$. Equation (4) can be rewritten as $f(t, h) = \theta_M t h$, where $t \equiv T/T_{c0}$, $h \equiv H/H_{c2}(0)$, $f(t, h)$ is the BCS condensation energy normalized to its value E_c at $T=H=0$, and $\theta_M = g_M^2 T_{c0} / 2\pi \xi_0^2 d E_c$. For $\theta_M \ll 1$, $H_M(T)$ is close to $H_{c2}(T)$. Since $\theta_M \sim g_M^2 (k_F \xi_0 d)^{-1}$, H_M will be near H_{c2} in most superconductors and the DVP description is appropriate. This behavior is denoted by $H_M^I(T)$ in Fig. 1. However, for $1-t \ll \theta_M$, $H_M(T)$ always drops below H_{cross} and the low-field dVP has to be used. In dVP the transition line is largely independent of H [17]: $T_M \cong T_{c0} / (1 + \theta_M^C)$, $\theta_M^C \cong \theta_M$. Thus, if θ_M is small, the DVP-dVP crossover in $H_M(T)$ will occur at rather low fields, only very close to T_{c0} . As θ_M increases, $H_M(T)$ in Fig. 1 evolves from $H_M^I(T)$ to $H_M^II(T)$, where the DVP-dVP crossover occurs at moderate fields. This may take place in strongly fluctuating systems like Bi-Sr-CaCu-O or in very thin films. Finally, disorder will play a role in real superconductors [4] and can be readily incorporated in DVP.

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