## Comment on "Cascade Focusing in the Beat-Wave Accelerator"

Gibbon and Bell' have reported on the results of a numerical analysis describing the conditions under which the laser beams in the plasma beat-wave accelerator (PBWA) will experience enhanced focusing. They found that the diffractive properties of the radiation-beam envelopes (of frequencies  $\omega_1, \omega_2$ ) depend strongly on the initial frequency mismatch  $\Delta \omega_0$  between the radiation beat frequency  $\Delta \omega \equiv \omega_1 - \omega_2$  and the ambient plasma frequency  $\omega_{p0}$ , i.e.,  $\Delta \omega = \Delta \omega_0 + \omega_{p0}$ . When  $\Delta \omega_0 = 0$ , they observe the radiation-beam envelope to diffract more rapidly than it would in vacuum. For small negative values of  $\Delta\omega_0$ , they observe enhanced focusing of the radiationbeam envelope. Gibbon and Bell offered energy cascading as the explanation for their results; however, the underlying physical mechanism may be more clearly understood by considering the optical-guiding properties that the resonantly generated plasma wave has on the radiation beams in the PBWA.

The physical mechanism for producing optical guiding using a plasma wave (with phase velocity  $\approx c$ ) is similar to the mechanism for producing optical guiding using a density channel. This may be understood by considering the index of refraction  $\eta$  of a radiation beam (of frequency  $\omega$ ) in a plasma with a density profile  $\rho(r)$ , i.e.,  $\eta \approx 1 - \omega_{\rho 0}^2 \rho(r)/2\omega^2 \rho_0$ , where  $\rho_0$  is the ambient density. A density channel will have  $\partial \rho / \partial r > 0$  and, hence,  $\frac{\partial \eta}{\partial r}$  < 0, which is necessary for the radiation to be refractively guided. Similar guiding results from a plasma wave of the form  $\delta \rho = \delta \rho_0(r) \sin(k_\rho \zeta)$ , where  $\zeta = z - ct$ ,  $k_p = \omega_{p0}/c$ ,  $\delta \rho_0 > 0$ , and  $\partial \delta \rho_0/\partial r < 0$ . Provided  $\delta \rho_0$  is sufficiently large, $2$  the radiation beam will be focused over regions where  $sin(k_p \zeta) < 0$  (which correspond to decreases in the electron density). Conversely, in regions where  $sin(k_{\rho}\zeta) > 0$  the radiation experiences enhanced diffraction. Thus, a plasma wave of sufficiently large amplitude breaks up an initially uniform radiation beam into "beamlets" (of length  $\leq \pi/k_p$ ) centered about  $k_{p}\zeta = -\pi/2 \pm 2j\pi$  (j = integer) which remain optically guided as they propagate.

In the PBWA, optical guiding is more complicated since the plasma wave is resonantly generated<sup>3</sup> by the radiation beams,  $\delta \rho \approx \delta \rho_0(r) \sin(\Delta \omega \zeta/c+\theta)$ , where  $\theta(\zeta)$  is the nonlinear phase shift of the plasma wave. Assuming the envelopes of the radiation beams  $\omega_1, \omega_2$  are identical and by averaging over a period of the beat wave  $\Delta\omega$ , the real part of the index of refraction is<sup>2</sup>  $\eta \approx 1 - \omega_p^2$  $2\omega^2 - (\omega_{p0}^2 \delta \rho_0/4\omega^2 \rho_0)\sin\theta$ , in the regime where relativistic effects<sup>4</sup> are negligible. Thus, refractive guiding is a

strong function of  $\theta(\zeta)$ . For no frequency mismatch,  $\Delta\omega_0=0$ , initially (at the head of the radiation beams)  $\delta \rho_0 = 0$  and  $\theta = 0$ . As the plasma-wave amplitude grows, nonlinear effects cause  $\theta$  to monotonically increase up to the point of saturation,  $\theta = \pi/2$ . When  $\theta = \pi/2$ ,  $\frac{\partial \eta}{\partial r}$  $> 0$  and the radiation beams experience enhanced diffraction. A small initial frequency mismatch<sup>3</sup>  $\Delta\omega_0$  < 0 allows the saturation point to be prolonged and a larger amplitude of the saturated plasma wave may be achieved. The maximum amplitude at saturation,  $\delta \rho_{\text{sat}}^{\text{max}}$ , is obtained for an optimal mismatch<sup>3</sup>  $\Delta \omega_0^{\text{opt}}$ . When  $\Delta\omega_0 = \Delta\omega_0^{\text{opt}}$ ,  $\theta$  (initially,  $\theta = 0$ ) progressively decreases to the point where  $\theta = -\pi/2$  ( $\delta \rho_0 = \delta \rho_{\text{sat}}^{\text{max}}/2$ ) for which  $\partial \eta / \partial r$  < 0 and, thus, enhanced guiding of the radiation beams is achieved.<sup>2</sup> This is in agreement with the numerical results of Gibbon and Bell. Physically, this may be understood by considering the small-scale structure of the radiation-beam envelope. At saturation,  $\theta = \pi/2$ , the plasma wave is phased such that the peaks of increased density in the plasma wave  $(\Delta \omega \zeta/c + \theta = \pi/2 \pm 2j\pi)$ coincide with the intensity peaks of the combined radiation envelope,

 $|E_1+E_2|^2 \approx |E_1|^2 + |E_2|^2 + 2|E_1||E_2|\cos(\Delta\omega\zeta/c),$ 

thus leading to enhanced diffraction of the radiation beat wave. For the case  $\Delta \omega_0 = \Delta \omega_0^{\text{opt}}$ , the point at which  $\theta = -\pi/2$  corresponds to the plasma wave phased such that the troughs (minima) of plasma density  $(\Delta \omega \zeta)$  $c+\theta = -\pi/2 \pm 2i\pi$  coincide with the intensity peaks of the combined radiation envelope, thus indicating that the intensity peaks may propagate as optically guided beamlets.

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