Stable (Na₁₉)₂ as a Giant Alkali-Metal–Atom Dimer

Susumu Saito and Shuhei Ohnishi

Fundamental Research Laboratories, NEC Corporation, Miyazaki, Miyamae-ku, Kawasaki 213, Japan

(Received 22 April 1987)

We report that a dimer of clusters, $(Na_{19})_2$, is energetically stable and explains the abundance of Na_{38} in sodium-cluster mass spectra. A fusion process of two Na_{19} clusters has been studied on the basis of the jellium-sphere-background model by the local-spin-density-functional method. Calculated binding energies show that the force between two clusters is attractive and that the complete fusion is preceded by the formation of a stable $(Na_{19})_2$, whose electronic structure is analogous to an alkali-metal-atom dimer, supporting the concept of "clusters of giant atoms" for sodium clusters.

PACS numbers: 36.40.+d, 31.20.-d, 34.25.+t

Recent time-of-flight experiments for various metal clusters have revealed that electronic structures for metal clusters have shell structures.¹⁻⁷ A simple model,¹ in which the valence electrons of constituent atoms are assumed to be delocalized and bound in a spherical potential well, has been proposed to account for the shell structures. Observed mass spectra for monovalent metal clusters show peaks or steps at some cluster sizes, and the numbers of atoms in such abundant clusters are called "magic numbers." The numbers of electrons in these magic-number clusters are found to be equal to the shell-closing numbers of valence electrons.

In the case of sodium clusters,^{1,2} there are some additional clear peaks which do not correspond to the shellclosing electronic structures. In our previous work,⁸ it has been pointed out that some non-shell-closing magic numbers for sodium clusters can be interpreted in terms of clusters of giant atoms. The giant-atom concept for sodium clusters is also based on a shell model, in which clusters have such electronic energy levels as s, p, d, and so on, resembling ordinary atoms because of the spherical symmetry. For example, a Na₁₉ cluster has a spherical core $1s^2 1p^6 1d^{10}$ plus one 2s electron. Hence, Na₁₉ is expected to have properties similar to an alkali-metal atom. A dimer of alkali-metal atoms is known to be relatively stable because two valence electrons make a singlet pair in the bonding orbital. (Mass spectra for Na_n , K_n , and $Na_m K_{n-m}$ clusters² show peaks at n=2, which gives the shell-closing electronic structure $1s^2$. It is interesting that the shell model works even for such small clusters.) Therefore, two Na₁₉ clusters should easily react during the adiabatic expansion of sodium gas in the time-of-flight experiment. This high reaction probability will make the non-shell-closing cluster Na₃₈ abundant.² Some other examples of the giant atom have been proposed, and several clusters or molecules of giant atoms are found to explain the non-shell-closing magic numbers.⁸

In our recent work⁹ based on a sphericaljellium-background model, $^{10-13}$ it has been found that two Na₄ clusters attract each other strongly, and that Na₈ clusters have properties similar to inert-gas atoms since two Na₈ clusters are bound by a weak dispersion force. Hence, Na₈ clusters scarcely react with other clusters, and many of them survive the adiabatic expansion. These results explain the strong peak of Na_8 in the time-of-flight mass spectra and support the giant-atom concept. A spherical-jellium-background model also gives shell structures for valence electrons and makes it possible to discuss the relative stability of clusters in terms of their total energies. This success of the giantatom concept indicates that reaction properties of sodium clusters can be explained by the analogy with reactions between ordinary atoms. Mass spectra for Na_n obtained in adiabatic expansion from sodium gas^{1,2} have fine structures; that is, non-shell-closing abundant clusters and particularly poor clusters. In contrast, mass spectra for noble-metal clusters obtained by secondaryion mass spectrometry (SIMS)³ do not exhibit such fine structures. In the SIMS experiment, clusters come out directly from the surface-plasma region without cluster collisions. Hence, the fine structures of mass spectra for Na_n clusters in the adiabatic-expansion experiment reflect reaction processes of the clusters.¹⁴

In the present work, in order to see if sodium-cluster giant atoms make "giant molecules" or "giant clusters" statically, the reaction process in which two Na₁₉ clusters evolve into one Na₃₈ cluster has been studied with use of the jellium-sphere-dimer model. We have calculated the electronic structures and binding energies for the giant alkali-metal-atom dimer $(X_{19})_2$, and examined its stability. Here X_n is the model cluster for Na_n having the jellium-sphere background.

In the present calculation, electronic structures have been calculated in the local-spin-density-functional approximation (LDA)¹⁵⁻¹⁷ with an exchange-correlation potential of the Ceperley-Alder type.^{18,19} As for the basis functions, we have used the numerical eigenfunctions, 1s, 1p, 1d, 2s, and 1f for X_{19} , which have been located at both centers of two X_{19} . In addition, we have put the 1s, 1p, 1d, 2s, 1f, and 2p eigenfunctions for X_{38} at the center of the dimer $(X_{19})_2$. These jellium-sphere eigenfunctions have also been obtained with use of LDA with the Ceperley-Alder potential.¹² To solve the Poisson equation and obtain the effective potential for electrons, the negative-charge density has been expanded by spherical harmonics Y_{lm} around the dimer center. The maximum value for *l* has been taken to be 8.

We have calculated the binding energies of $(X_{19})_2$ relative to $2X_{19}$,

$$E_b = E_{\text{tot}}((X_{19})_2) - 2E_{\text{tot}}(X_{19}), \qquad (1)$$

for two different electronic configurations, $(N_{\uparrow}, N_{\downarrow}) = (19, 19)$ and (20, 18), and for $25 \ge d \ge 2.0 \times 10^{-4}$ a.u. Here *d* is the interjellium distance; that is, the distance between two jellium centers, and N_{σ} is the number of the σ -spin electrons. Hereafter, the minimum *d* value 2.0×10^{-4} a.u. will be referred to as d=0, since it is very small, and *d* will be given in atomic units.

The radius of X_n is given by

$$R_n = n^{1/3} r_s, (2)$$

where r_s is the Wigner-Seitz radius for bulk sodium, 3.93 a.u. In X_n , the positive charge is uniformly distributed $\left[\left(\frac{4}{3}\pi r_s^3\right)^{-1}\right]$ in atomic units] inside the sphere of radius R_n . Therefore, two jellium spheres of $(X_{19})_2$ overlap for $d < 2R_{19}$. In this case, the two jellium spheres are enlarged equally so that the volume of the region inside the two spheres may be equal to $\frac{8}{3}\pi(R_{19})^3$, and the positive-background density inside the spheres

$$E_{\text{tot}} = E_{\text{kin}} + E_{\text{es}} + E_{\text{xc}}, \quad E_{\text{kin}} = \sum_{\nu,\sigma} \langle \Psi_{\nu\sigma} | -\frac{1}{2} \Delta | \Psi_{\nu\sigma} \rangle,$$

$$E_{\text{es}} = \frac{1}{2} \int d^3r \int d^3r' [\rho_{-}(\mathbf{r}) - \rho_{+}(\mathbf{r})] [\rho_{-}(\mathbf{r}') - \rho_{+}(\mathbf{r}')] / |\mathbf{r} - \mathbf{r}'$$

$$E_{\text{xc}} = \int d^3r \, \epsilon_{\text{xc}} [\rho_{\uparrow}(\mathbf{r}), \rho_{\downarrow}(\mathbf{r})] \rho_{-}(\mathbf{r}).$$

Accordingly, E_b is given by the sum of three terms,

$$E_b = \Delta E_{\rm kin} + \Delta E_{\rm es} + \Delta E_{\rm xc}.$$
 (4)

In Eq. (3), the $v\sigma$ sum is over the occupied spin orbitals, $\Psi_{v\sigma}$, which are obtained self-consistently in LDA, and ρ_+ , ρ_- , and ρ_{σ} are the positive-charge, negative-charge, and σ -spin densities, respectively. ϵ_{xc} is the exchangecorrelation energy per electron.

In Fig. 1, we show the binding-energy curves of $(X_{19})_2$ for two electronic configurations, $(N_1, N_4) = (20, 18)$ and (19,19). The ground-state configuration for $d \ge 23$ is (20,18). At this relatively large distance, the interaction between two jellium spheres is rather weak and the electronic structure around each jellium sphere is close to that for the ground state of X_{19} , whose configuration is $(N_1, N_4) = (10,9)$. When $d \le 22$, the ground-state configuration for $(X_{19})_2$ becomes (19,19). Around d = 16.5, E_b for the ground state takes a minimum value, E_{m1} . From d = 12 to 6, both (20,18) and (19,19) states

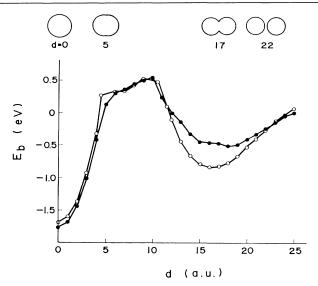


FIG. 1. Binding-energy curves of $(X_{19})_2$ for two different electronic configurations, $(N_1, N_1) = (20, 18)$ (filled circles) and (19,19) (open circles). For several interjellium distances, schematic pictures for positive background are shown.

including the overlapping region is kept uniform, $(\frac{4}{3}\pi r_s^3)^{-1}$. By means of this procedure, a fusion of $(X_{19})_2$ into X_{38} becomes a continuous process (Fig. 1).

Using the formulation given in our previous work,⁹ we have calculated separately the kinetic energy E_{kin} , the electrostatic energy E_{es} , and the exchange-correlation energy E_{xc} for $(X_{19})_2$ and X_{19} ,

|,

take almost the same positive E_b . For $d \le 5$, the ground-state configuration is again (20,18) and its E_b takes a minimum value E_{m2} at d=0. Although the minimum at d=0 is deeper than the minimum around d=16.5, they are separated by a high energy barrier, whose height relative to E_{m1} is about 1.4 eV. Moreover, the barrier has a positive energy value (about 0.5 eV). Hence, in a fusion process of two X_{19} , a stable dimer $(X_{19})_2$ is formed around d=16.5.

 $\Delta E_{\rm kin}$, $\Delta E_{\rm es}$, and $\Delta E_{\rm xc}$ curves are shown in Fig. 2. In both configurations, $\Delta E_{\rm kin}$ and $\Delta E_{\rm xc}$ curves show a strong relationship with each other. When $\Delta E_{\rm kin}$ increases, $\Delta E_{\rm xc}$ decreases, and vice versa. For $10 \ge d \ge 5$, $\Delta E_{\rm kin}$ and $\Delta E_{\rm xc}$ take the maximum and the minimum values, respectively. For the above interjellium distances, electrons occupy orbitals where they can well avoid each other because the potential from a positive background is far from a spherical one or the superposition of two

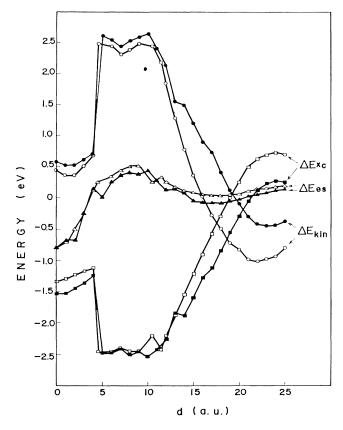


FIG. 2. Kinetic-energy (circles), electrostatic-energy (triangles), and exchange-correlation-energy contributions (squares) to binding energies. Filled circles, triangles, and squares are for $(N_{\uparrow}, N_{\downarrow}) = (20, 18)$, and open circles, triangles, and squares are for (19,19).

spherical potentials. Then, electrons gain correlation energy but lose kinetic energy.

It can be seen from Fig. 2 that the spin-nonpolarized state has lower E_b than the spin-polarized state around d = 16.5 because of the relatively large kinetic-energy gain. This implies that the spin-nonpolarized $(X_{19})_2$ is bound by a covalent bond as in an alkali-metal-atom dimer.

Electronic energy levels of the spin-nonpolarized $(X_{19})_2$ for several interjellium distances are shown in Fig. 3. At d=22, energy levels have little splittings and are nearly equal to the 1s, 1p, 1d, 2s, and 1f levels of X_{19} on account of a weak interaction between the two X_{19} spheres. At d=17, which is close to the equilibrium distance, the bonding and the antibonding levels originating from X_{19} 2s levels show a large splitting, and only the bonding level is occupied. Hence, we conclude that the stable $(X_{19})_2$ is bound by a covalent bond of s-type orbitals in the same way as an alkali-metal-atom dimer. This result supports the presence of $(Na_{19})_2$ as a giant alkali-metal-atom dimer, since E_b curves similar to

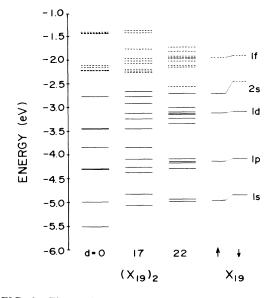


FIG. 3. Electronic energy levels for ground-state X_{19} and $(X_{19})_2$ of $(N_1, N_4) = (19, 19)$ with d = 22, 17, and 0 a.u. Solid and dashed lines indicate occupied and unoccupied levels, respectively.

those in Fig. 1 are expected in a fusion of real Na_{19} clusters.

The present results confirm that two Na_{19} clusters react very easily because their electronic structure is similar to alkali-metal atoms, which explains the relatively high peak at Na₃₈ in the mass spectra.^{1,2} Since Na₁₉ is an abundant cluster, Na₃₈ also is abundant. Actually, in the adiabatic-expansion experiment,¹ larger clusters become abundant and smaller clusters are depleted when the carrier-gas pressure is increased. Hence, larger clusters are produced by coagulation of smaller clusters. The present results also show that the concept of "clusters of giant atoms" holds good in the case of a giant alkali-metal-atom dimer. Hence, we believe that other kinds of giant-atom clusters proposed previously⁸ are also realistic. The giant-atom and the giant-atom-cluster concepts will be very useful in the consideration of the reaction of metal clusters.

We are greatly indebted to Dr. T. Inoshita for his valuable comments. We would like to thank Dr. Y. Ishii and Professor Marvin L. Cohen for their suggestions. We would like to express our gratitude to Dr. N. Watari for her help in developing computational programs.

 $^{^{1}}$ W. D. Knight, K. Clemenger, W. A. de Heer, W. A. Saunders, M. Y. Chou, and M. L. Cohen, Phys. Rev. Lett. **52**, 2141 (1984).

²W. D. Knight, W. A. de Heer, K. Clemenger, and W. A. Saunders, Solid State Commun. **53**, 445 (1985).

³I. Katakuse, T. Ichihara, Y. Fujita, T. Matsuo, T. Sakurai,

and H. Matsuda, Int. J. Mass Spectrom. Ion Processes 67, 229 (1985).

⁴W. D. Knight, K. Clemenger, W. A. de Heer, and W. A. Saunders, Phys. Rev. B **31**, 2539 (1985).

⁵K. Clemenger, Phys. Rev. B 32, 1359 (1985).

⁶W. A. Saunders, K. Clemenger, W. A. de Heer, and W. D. Knight, Phys. Rev. B **32**, 1366 (1985).

⁷M. M. Kappes, M. Schar, P. Radi, and E. Schumacher, J. Chem. Phys. **84**, 1863 (1985).

⁸S. Saito and S. Ohnishi, in *Proceedings of the International* Symposium on the Physics and Chemistry of Small Clusters, Richmond, Virginia, 1986, edited by P. Jena, B. K. Rao, and

S. N. Khanna (Plenum, New York, 1987).

⁹Y. Ishii, S. Saito, and S. Ohnishi, to be published.

¹⁰W. Ekardt, Phys. Rev. B 29, 1558 (1984).

¹¹M. Y. Chou, A. Cleland, and M. L. Cohen, Solid State

Commun. 52, 645 (1984).

¹²Y. Ishii, S. Ohnishi, and S. Sugano, Phys. Rev. B 33, 5271 (1986).

¹³B. K. Rao, P. Jena, M. Manninen, and R. M. Nieminen, Phys. Rev. Lett. 58, 1188 (1987).

¹⁴Attempts to explain the relative abundances of clusters from the viewpoint of the cluster-growth kinetics have been made for carbon clusters [J. Bernholc and J. C. Phillips, Phys. Rev. B **33**, 7395 (1986)] and for argon clusters [S.-N. Yang and T.-M. Lu, Phys. Rev. B **35**, 6944 (1987)].

¹⁵P. Hohenberg and W. Kohn, Phys. Rev. **136**, B864 (1964). ¹⁶W. Kohn and L. J. Sham, Phys. Rev. **140**, A1133 (1965).

¹⁷U. von Barth and L. Hedin, J. Phys. C **5**, 1629 (1972).

¹⁸D. M. Ceperley and B. J. Alder, Phys. Rev. Lett. **45**, 566 (1980).

¹⁹J. P. Perdew and A. Zunger, Phys. Rev. B 23, 5048 (1981).