Comment on "Complete Wetting on 'Strong' Substrates: Xe/Pt(111)"

In a recent Letter,¹ Kern, David, Palmer, and Comsa (KDPC) present thermal-He scattering data for Xe layers on Pt(111). They find that the incommensurate monolayer, rotated with respect to the substrate, shows a diffraction peak at small wave vector Q, that they associate to a commensurate buckling. I argue that the Q peak can be due to the static distortion of the monolayer which appears as a consequence of the rotation, and needs not be commensurate.

The substrate potential of the (111) surface of a fcc lattice is² $V(\mathbf{r},z) = V_0(z) + V_1(z) \sum_n \cos \mathbf{G}_n \cdot \mathbf{r}$. $V_0(z)$ has a deep minimum at a distance z_0 from the substrate; $V_1(z)$ is a positive decreasing function of z, $G_n(n=1,\ldots,6)$ are the six smallest (equivalent) reciprocal lattice vectors of the substrate surface.³ It is straightforward to include atomic displacements u_z normal to the substrate in the Novaco-McTague⁴ theory of orientational epitaxy. The energy per adatom of the incommensurate monolayer is written as⁵

$$\mathcal{H} = E_0 + V_0(z_0) - \mathcal{H}_{\theta} + \frac{1}{2} \sum_{\{\mathbf{q}\}} \mathcal{H}(\mathbf{q}).$$
(1)

 E_0 is the cohesive energy of the monolayer. $\mathcal{H}(\mathbf{q})$ are the positive energies associated to the normal modes, $\{\mathbf{q}\}$ being the vectors of the adsorbate's first Brillouin zone, and

$$\mathcal{H}_{\theta} = \sum_{\mathbf{Q}_{n}, n=1}^{6} \left[\left(\frac{V_{1}(z_{0})}{J_{L}(Q_{n})} \mathbf{G}_{n} \cdot \frac{\mathbf{Q}_{n}}{Q_{n}} \right)^{2} + \left(\frac{V_{1}(z_{0})}{J_{T}(Q_{n})} \mathbf{G} \times \frac{\mathbf{Q}_{n}}{Q_{n}} \right)^{2} + \left(\frac{V_{1}'(z_{0})}{V_{0}''(z_{0}) + J_{z}(Q_{n})} \right)^{2} \right].$$
(2)

The sum is over all vectors \mathbf{Q}_n which satisfy $\mathbf{G}_n + \mathbf{Q}_n = \mathbf{K}$, where \mathbf{K} is an adsorbate's reciprocal lattice vector. $J_L(q) = (\mathcal{R} + \mu) vq^2$, $J_T(q) = \mu vq^2$, and $J_z(q) = \frac{1}{2}(2\mu - \mathcal{H})vq^2$, where \mathcal{H} is the monolayer twodimensional bulk modulus, μ the shear modulus, and v the unit cell area. Rare gases interact via central forces, so that in the incommensurate phase, $\mathcal{H} = 2\mu$, $J_z(q) = 0$, and the third term in (2)—associated with buckling—plays no role in the determination of the rotation angle θ . For a misfit $m = (d_{Xe}^R/d_{Xe}^{-3}) - 1$, and if we assume that only the smallest \mathbf{Q}_n contribute significantly to (2), \mathcal{H}_{θ} is maximized by

$$\theta = \cos^{-1} \left((1+m) \frac{5+(1+m)^2}{2+4(1+m)^2} \right) \simeq \frac{m}{\sqrt{3}}.$$
 (3)

The modulus of the six (equivalent) Q_n vectors is

$$Q \cong 2K_{(12)}(m/\sqrt{3})(1+m/8) + o(m^3), \tag{4}$$

 $K_{(12)} = 4\pi/d_{Xe}^{R}$ is the modulus of the $(12)_{Xe}$ reciprocal lattice vector (with KDPC's indexation). The angle ϕ between Q_n and the corresponding $\mathbf{K} = \mathbf{G}_n + \mathbf{Q}_n$ is given by $(|K| = K_{(12)})$

$$\sin\phi = (K_{(12)}/Q)\sin\theta \simeq \frac{1}{2}(1-m/8).$$
 (5)

For small misfits, $\phi \simeq 30^\circ$: the Q_n are almost in the same directions as $\mathbf{K}_{(11)}$ and its star.

The minimization of (1) implies that all $\mathcal{H}(q)$ must vanish. This gives $\mathbf{u}(\mathbf{q}) \simeq 0$ for all $\mathbf{q} \neq \mathbf{Q}_n$, and a static distortion of the monolayer, of wave vectors \mathbf{Q}_n and amplitudes

$$u_L = [V_1(z_0)/J_L(Q)]G\cos(\theta + \phi)$$

(in plane, longitudinal),

$$u_T = \left[V_1(z_0) / J_T(Q) \right] G \sin(\theta + \phi)$$

(in plane, transverse),

 $u_{z} = [V_{1}'(z_{0})/V_{0}''(z_{0}) + J_{z}(Q)]$

(out of plane). Satellites at Q_n should therefore appear in the diffraction pattern.

In KDPC's experimental geometry the scattering plane contains $\mathbf{K}_{(11)}$; therefore it also contains the \mathbf{Q}_n satellites. When we introduce their value of the misfit $m = 0.096 \pm 0.006$ into (3), (4), and (5), the theoretical predictions are $\theta_{\text{theor}} = (3.5 \pm 0.2)^\circ$; $Q_{\text{theor}} = 0.032$ $\pm 0.002 \text{ Å}^{-1}$, corresponding to a periodicity d_{theor} $= 4\pi/Q\sqrt{3} = 22 \pm 2 \text{ Å}$; and $\phi_{\text{theor}} = (29.9 \pm 0.2)^\circ$, in fair agreement with the experimental result $\theta = 3.3^\circ$, $d = 23 \pm 2 \text{ Å}$, $\phi = 30^\circ$ (uncertainty in the theoretical values is due to experimental uncertainty in m).

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(Received 21 July 1986)

PACS numbers: 68.45.Gd, 68.35.Bs, 68.55.Ce, 79.20.Rf

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²W. A. Steele, Surf. Sci. **36**, 317 (1973).

³The substrate potential modulation of the (111) face has two minima per unit cell. The small barrier between them, $\approx 10\%$ of the total modulation, has been neglected.

⁴A. D. Novaco and J. P. McTague, J. Phys. (Paris), Colloq. **38**, C4-116 (1977).

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