Universal Term in the Free Energy at a Critical Point and the Conformal Anomaly

Ian Affleck

Department of Physics, Princeton University, Princeton, New Jersey 08544 (Received 6 December 198S}

We show that the leading finite-size correction to $\ln Z$ for a two-dimensional system at a conformally invariant critical point on a strip of length L, width β ($\beta \ll L$), is $(\pi/6)c(L/\beta)$, where c is the conformal anomaly. Equivalently, the leading low-temperature correction to the free energy of a one-dimensional quantum system is $-(\pi/6)cL (kT)^2/\hbar v$, where v is the effective "velocity of light." The latter formula is used to check recently derived critical theories of spin-s quantum chains against Bethe-Ansatz solutions.

PACS numbers: 64.60. Fr, 05.30. Ch, 05.70. Jk, 75.40. - s

Conformal invariance powerfully constrains the critical behavior of two-dimensional classical (and onedimensional quantum) systems.^{1,2} Critical theories are parametrized by the conformal anomaly c, which is the central charge in the Virasoro algebra obeyed by the energy-momentum tensor:

$$
-i[T(x_{-}),T(x'_{-})] = \delta(x_{-} - x'_{-})T' - 2\delta'(x_{-} - x'_{-})T + (c/24\pi)\delta'''(x_{-} - x'_{-})
$$

[$T = (T_{00} - T_{01})/2$]. (1)

!

For $c < 1$ a discrete set of values are allowed by unitarity² (reflection positivity): $c = 1 - 6/m(m + 1)$, m $= 3, 4, 5, \ldots$. These are realized by the Ising $(c = \frac{1}{2})$, tricritical Ising $(c = \frac{7}{10})$, three-state Potts $(c = \frac{4}{5})$, tricritical three-state Potts ($c = \frac{6}{7}$), and other models. A complete classification has not been given for $c \ge 1$ except when a continuous symmetry G is assumed.^{3, 4} For $G = U(1)$ we get the Gaussian model $(c = 1)$ which describes a wide variety of critical phenomena ($q = 4$ Potts model, X-Y model, Coulomb gas, $s = \frac{1}{2}$) antiferromagnet, ...) For $G = SU(n)$ the possible values of c are $(n^2-1)k/(n+k)$, $k=1, 2, 3, \ldots$. These describe Wess-Zumino σ models⁵ and antiferromagnetic chains (and perhaps also two-dimensional statistical models). In all the above cases, all scaling dimensions are known exactly.

Conformal invariance can also be used to study finite-size effects in two-dimensional statistical systems6 or finite-temperature effects in one-dimensional quantum systems. These are related because a $(1+1)$ -dimensional quantum field theory at temperature T is given by a Euclidean-space functional integral on a strip of width $\beta = 1/T$ (in the imaginary time direction). Correlation functions behave as

$$
\langle \phi(x,0)\phi(y,0)\rangle \sim e^{-|x-x|/l_{\phi}}
$$
 (2)

with $l_{\phi} = 2\pi d_{\phi}/\beta$, where d_{ϕ} is the scaling dimension of of ϕ and periodic boundary conditions are imposed on strip of width β .⁶ This has been generalized to other boundary conditions and the interfacial tension (difference in free energy per unit length for periodic and antiperiodic boundary conditions) has been related to the scaling dimension of a disorder operator, in some cases.

In this work, we will derive a simple, general formu-

la for the leading finite-width correction to lnZ:

$$
(\ln Z)/L = \text{const} \times \beta + \pi c/6\beta + O(1/\beta^2). \tag{3}
$$

Here β is the width of a strip with periodic boundary conditions and c is the conformal anomaly. (The length L is taken to infinity.) Equivalently, for a onedimensional quantum system we obtain the same formula but now $1/\beta = T$ and the correction is scaled by the effective "velocity of light" ν (which occurs in the low-energy excitation spectrum):

$$
F/L = \epsilon_0 - \pi c T^2 / 6\nu + O(T^3). \tag{4}
$$

(We set \hbar and Boltzmann's constant equal to 1.) Note that for the statistical problems (assumed to be defined on a square lattice with rationally invariant couplings) the speed of light is $v = 1$.

The proof of this result rests on the definition of c as the response of a theory to curving of the twodimensional space. If Z is the partition function on a space with metric $q_{\mu\nu}$ then⁷ the proof of this result rests on the definitio
he response of a theory to curving of the
ensional space. If Z is the partition function
e with metric $q_{\mu\nu}$ then⁷
 $-g^{\mu\nu} \frac{\delta \ln Z}{\delta g^{\mu\nu}} = g^{\mu\nu} \langle T_{\mu\nu} \rangle = \frac{c}{48$

$$
-g^{\mu\nu}\frac{\delta \ln Z}{\delta g^{\mu\nu}} = g^{\mu\nu}\left(\left.T_{\mu\nu}\right\right) = \frac{c}{48\pi} \left[R\left(x\right) + \mu^2\right].\tag{5}
$$

Here $T_{\mu\nu}$ is the energy-momentum tensor and the first equation follows from the canonical definition of $T_{\mu\nu}$. $R(x)$ is the curvature scalar and the second equation follows because R is the only invariant function of the metric with the right dimension (L^{-2}) . μ^2 is a constant (of dimension L^{-2}) and c is an arbitrary constant but it can be shown⁸ to be the same one which appears in the Virasoro algebra by variation of $ln Z$ a second time with respect to the metric:

$$
\langle T(x)T(x')\rangle
$$

= $c/2(x-x')^4$ + less singular terms. (6)

But this leading singularity in the operator product expansion can also be determined from the Virasoro algebra and thus the constant c must be the same. Equation (5) can now be integrated to find⁷

$$
-\ln Z = (c/48\pi) \int d^2x \left(\frac{1}{2} \partial_a \ln \rho \partial_a \ln \rho + \mu^2 \rho\right) + \alpha.
$$
\n(7)

Here we have chosen the metric in conformal gauge, $g_{\mu\nu} = \rho(x)\delta_{\mu\nu}$, and α is a dimensionless constant. We assume that the manifold has no boundaries so that there are no "surface terms" to worry about.

Let us now fix the space Γ_0 to be a strip of length L in the x direction, $0 \le x \le L$ ($L \rightarrow \infty$), and width β . We assume periodic boundary conditions in the y direction. Boundary conditions in the x direction are immaterial because $L \rightarrow \infty$. In what follows it is actually convenient to impose Dirichlet boundary conditions in the x direction. Equation (7) would then be corrected by $(L$ independent) boundary terms. If we consider an arbitrary manifold Γ that can be obtained from Γ_0 by a conformal transformation $w = f(z)$ then In Z_{Γ} is given by lnZ on Γ_0 with a metric $\rho = \left|\frac{\partial f}{\partial Z}\right|^2$. Thus⁹

$$
\ln Z_{\Gamma_0} - \ln Z_{\Gamma}
$$

= $(c/48\pi) \int d^2x \left[\frac{1}{2} (\partial_a \ln \rho)^2 + \mu^2 (\rho - 1)\right]$.

Consider now $W=e^{-2\pi z/\beta}$. This maps Γ_0 onto Γ , the annulus of outer radius 1, inner radiu $e^{-2\pi L/\beta} \rightarrow 0$, with Dirichlet boundary conditions (Note that the boundaries of Γ_0 at $y = \pm \beta/2$ are mapped onto the same line in Γ .) We expect lnZ_r to remain finite as $L \rightarrow \infty$ (Γ simply becomes the unit disk with vanishing conditions at the origin and its boundary). So we conclude that

$$
\lim_{L \to \infty} \frac{\ln Z_{\Gamma_0}}{L} = \text{const} \times \beta + \frac{c\pi}{6\beta}.
$$

The first term, proportional to the area, is nonuniversal (depends on μ^2), but the second is universal, depending only on the conformal anomaly, c.

We can immediately check this formula by applying it to the Gaussian model with $c = 1$. The simplest way of doing this is to use the equivalence of the classical partition function on a strip of width β with the onedimensional quantum partition function at temperature $T = 1/\beta$. Thus

$$
\frac{\ln Z_G}{L} = -\frac{F}{TL} = -\frac{\epsilon_0}{T} - \int_{-\infty}^{\infty} \frac{dp}{2\pi} \ln[1 - e^{-|p|/T}]
$$

$$
= -\frac{\epsilon_0}{T} + \frac{\pi}{6}T.
$$
(8)

The ground-state energy per unit length is ultravioletcutoff dependent but the leading T-dependent part of

 F is universal. It determines the heat capacity per unit length, $C/L = (\pi/3)T$. Another simple check is the Ising model at the critical point $(c = \frac{1}{2})$. The partition function on the strip is that of a one-dimensional system of free fermions¹⁰ with dispersion relation

$$
\epsilon(p) = 2|\sinh^{-1}\sin p/2| = |p| + O(p^3). \tag{9}
$$

In the sector with an even fermion number the allowed values of p are $(n+\frac{1}{2})2\pi/L$ $(n=0, \pm 1, \pm 2)$ and in the sector with an odd fermion number they are $n 2\pi/L$ ($n = 0, + -1, + -2$...). However in the limit $L \rightarrow \infty$ sums over discrete moments are replaced by integrals so that this feature can be ignored, giving

$$
\frac{\ln Z}{L} = -\frac{\epsilon_0}{T} + \int \frac{dp}{2\pi} \ln(1 + e^{-\epsilon(p)/T}).\tag{10}
$$

Extracting the term linear in T gives

$$
\frac{\ln Z}{L} = -\frac{\epsilon_0}{T} + \int_{-\infty}^{\infty} \frac{dp}{2\pi} \ln[1 + e^{-|p|/T|}] + O(T^3);
$$
\n(11)

note that the second term is $ln Z$ for a relativistic Majorana fermion (no antiparticle). Evaluating the integral gives

$$
\frac{\ln Z}{L} = -\frac{\epsilon_0}{T} + \frac{\pi T}{12} + O(T^3)
$$
\n(12)

in agreement with Eq. (3). The equivalent term for the three-state Potts model, tricritical Ising model, etc., can be read off. We see that the conformal anomaly c can be directly measured experimentally!

As a nontrivial application of this result let us consider antiferromagnetic quantum spin chains,

$$
H = \sum_{n=1}^{N} P(S_n \cdot S_{n+1}), \quad S_n^2 = s(s+1), \tag{13}
$$

where P is some polynomial of degree $\leq 2s$. It was argued elsewhere¹¹ that for choices of P such that H is antiferromagnetic and has gapless excitations, it is described at low energies by the SU(2), $k = 2s$ Wess-Zumino σ model [equivalently by the SU(2) Kac-Moody algebra with central charge $k = 2s$. For this model¹³ $c = 3s/(1+s)$. The spin chain has a relativistic low-energy behavior with some effective (nonuniversal) "speed of light" or "Fermi velocity" v . This enters the universal term in the free energy in a manner determined by dimensional analysis. Thus, the low-temperature heat capacity should behave as

$$
\frac{C}{L} = \frac{\pi s T}{(1+s)\nu} + O(T^3). \tag{14}
$$

Note that the $s = \frac{1}{2}$ chain is equivalent, at low energies, to a free boson as argued long ago.¹² However, the higher-spin chains have a specific heat which is, in general, a fractional multiple of that for a free boson,

demonstrating that the higher-s models contain interacting bosons. For each value of s there is one choice of polynomial P which renders H integrable¹³:

$$
P(\mathbf{S} \cdot \mathbf{S}') = \sum_{l=1}^{2s} 2sa_l P_l,\tag{15}
$$

where P_i is a projector onto total spin l and $a_1 = \sum_{k=1}^{l} 1/k$. Comparison of the Bethe-Ansatz solution with the proposed critical theory is difficult because one approach gives only the spectrum and the other only the Green's functions. However, the velocity of light and low-temperature heat capacity are known from the Bethe Ansatz, allowing a check on the critical theory.¹⁴ These are¹⁵

$$
V = \pi/2 \quad \text{[for all s]},
$$

\n
$$
\frac{C}{L} = \frac{2}{3}T \quad (s = \frac{1}{2}),
$$

\n
$$
= T \quad (s = 1),
$$

\n
$$
= \frac{2}{3}T - \frac{2T}{\pi^2} \sum_{n=1}^{2s-1} \int_0^{a_n} \left(\frac{1}{x} \ln(1-x) + \frac{1}{1-x} \ln x \right)
$$

\n
$$
(s \ge \frac{3}{2});
$$

$$
a_n = \sin^2[\pi/2(s+1)]/\sin^2[\pi(n+1)/2(s+1)].
$$

We see that the exact values for $s = \frac{1}{2}$, 1 agree with our prediction. The indefinite integral cannot be evaluated exactly and so we have calculated it numer cally for $s = \frac{3}{2}$ (five significant digits), 2, and $\frac{5}{2}$ (three significant digits), finding agreement with the prediction. (Thus the sum of definite integrals apparently can be done exactly.)

I would like to thank F. D. M. Haldane, E. Lieb, and E. Witten for helpful discussions. This work was supported in part by the Alfred P. Sloan Foundation and by the National Science Foundation under Grant No. PHY80-19754.

 $¹A$. A. Belavin, A. M. Polyakov, and A. B. Zamolodchi-</sup> kov, J. Stat. Phys. 34, 763 (1984), and Nucl. Phys. B241, 333 (1984).

2D. Friedan, Z. Qiu, and S. Shenker, Phys. Rev. Lett. 52, 1575 (1984), and in Vertex Operators in Mathematics and Physics, edited by J. Lepowsky, S. Mandelstam, and I. M. Singer (Springer-Verlag, New York, 1984), p. 419.

 $3V$. Knizhnik and A. Zamalodchikov, Nucl. Phys. **B247**, 83 (1984).

41. Affleck, Phys. Rev. Lett. 55, 1355 (1985).

sE. Witten, Commun. Math. Phys. 92, 455 (1984).

6J. L. Cardy, J. Phys. A 17, L385 (1984), and L957 (1984), and Nucl. Phys. 8240, [FS12] 514 (1984).

⁷See, for example, A. Polyakov, Phys. Lett. 103B, 207 (1981).

8D. Friedan, in Recent Advances in Field Theory and Statistical Mechanics, edited by J.-B. Zuber and R. Stora, Les Houches Summer School Proceedings Session 39 (North-Holland, Amsterdam, 1984), p. 839.

9This approach was used to calculate the free energy of the Gaussian model on a strip with Dirichlet or Neumann boundary conditions by M. Lüscher, K. Symanzik, and P. Weisz, Nucl. Phys. 8173, 365 (1980).

10T. D. Schultz, D. C. Mattis, and E. Lieb, Rev. Mod. Phys. 36, 856 (1964).

¹¹I. Affleck, to be published.

i2A. Luther and I. Peschel, Phys. Rev. B 12, 3968 (1975).

13P. Kulish and E. Sklyanin, in Integrable Quantum Field Theories, edited by J. Hietarinta and C. Montonen, Lecture Notes in Physics Vol. 151 (Springer-Verlag, New York, 1982), p. 61; P. Kulish and N. Yu. Reshetikhin, Lett. Math. Phys. 5, 393 (1981); L. Takhtajan, Phys. Lett. \$7A, 479 (1982);J. Babudjian, Phys. Lett. 90A, 479 (1982).

¹⁴For $s = \frac{1}{2}$ this consistency check is well known. See, for example, F. D. M. Haldane, to be published.

¹⁵J. Babudjian, Nucl. Phys. **B215**, 317 (1982).