## Anderson Localization and the Theory of Dirty Superconductors

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We study the effect of Anderson localization in dirty superconductors. The scale dependence of the diffusion in the vicinity of the mobility edge results in a strong renormalization of the zero-temperature coherence length. This implies the breakdown of the Ginzburg criterion close to the metal-insulator transition and thus the importance of fluctuations in this regime. The upper critical field is calculated and possible experiments are also discussed.

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The interplay between strong disorder and superconductivity has attracted considerable attention in recent years. On the experimental side, measurements of  $H_{c2}$ , the upper critical field,<sup>1-5</sup> and of the superconducting transition<sup>1, 2, 5-7</sup> show considerable discrepancies with the "classical" Ginzburg-Landau-Abri-kosov-Gorkov (GLAG) theory<sup>8</sup> and the  $H_{c2}$  calculation of Werthamer-Helfand-Hohenberg (WHH).<sup>8</sup> On the theoretical side, two main approaches have been employed; both are based on the anomalous renormalization of the Coulomb interactions due to the disorder. Fukuyama, Ebisawa, and Maekawa<sup>9</sup> have calculated the effects of disorder on the degradation of  $T_c$ and on  $H_{c2}$  by applying an expansion in  $1/k_{\rm F}l$ , valid in the weakly localized regime only. Anderson, Muttalib, and Ramakrishnan<sup>10</sup> and later Coffey, Muttalib, and Levin<sup>11</sup> have studied the renormalization of the Coulomb pseudopotential and its effect on  $T_c$  and  $H_{c2}$ .

In this Letter we extend the GLAG theory of dirty superconductors to incorporate the scale dependence of the vanishingly small diffusion constant close to the mobility edge. Using standard BCS theory<sup>12</sup> without

Coulomb interactions and the scaling theory of the Anderson transition,<sup>13</sup> we show that the zerotemperature coherence length is strongly affected by the disorder. This leads to the striking conclusion that in very disordered superconductors fluctuation effects are very important. This can result in a substantial reduction of the transition temperature in contrast to the Anderson theorem.<sup>14</sup> We then study the dependence of  $H_{c2}$  on temperature. We find that the WHH theory with  $H_{c2} \propto (1/k_{\rm F}l)(T_0/T_{\rm F})$  is replaced by  $H_{c2} \propto (\xi_1 k_{\rm F}/g_c) (T_0/T_{\rm F})$  as the disorder becomes strong and saturates to the value  $H_{c2} \propto (1/g_c) (T_0/T_F)^{2/3}$  close to the mobility edge.  $T_0$  is the BCS transition temperature,  $T_{\rm F}$  is the Fermi temperature, and  $g_c^{13}$  is one-half of the dimensionless critical conductance of the material.  $\xi_1$  is the localization length which diverges at the Anderson transition.

Our main conclusion is that for systems close to the Anderson transition, the GLAG functional has to be modified to incorporate the effects of the scale dependence of the diffusion. We express the GLAG functional (we use the units  $k_B = \hbar = 1$ )

$$F(\Delta) = (\rho/2\pi) \int d^d x \,\Delta^*(\mathbf{x}) \left\{ f(\nabla/i - e\,\mathbf{A}) + \ln(T/T_0) \right\} \Delta(\mathbf{x}) + U \int d^d x \,|\Delta|^4 \tag{1}$$

in terms of a function f which depends on the temperature via the temperature length  $l_T$ , the wave number q, and the localization length ( $\rho$  is the density of states). Its asymptotic behavior (in d = 3) is given by

$$f(q, l_T, \xi_{\text{loc}}) = \psi(\frac{1}{2} + g_c l_T^3 q^2 / L) - \psi(\frac{1}{2}) + \frac{1}{2} \ln[1 + g_c (qL)^2], \quad l_T >> L,$$
(2a)

$$f(q, l_T, \xi_I) = 4.6g_c(l_Tq)^2, \quad l_T << L$$

We use the notation  $L = \min(\xi_l, 1/q)$ .  $l_T = (\rho T)^{-1/d}$ plays the role of a thermal length in the vicinity of the Anderson localization fixed point.<sup>16, 17</sup>

The long-wavelength behavior of f is governed by a new renormalized coherence length

$$\tilde{\xi}_0 = 2.22g_c^{1/2}l_T(l_T/\xi_l)^{1/2}, \quad l_T >> \xi_l,$$
(3a)

$$\bar{\xi}_0 = 2.15 g_c^{1/2} l_T, \quad l_T << \xi_l.$$
 (3b)

Comparison with the GLAG result  $\xi_0^2 \simeq v_F l/T$  reveals that for weak disorder,  $\xi_0$  decreases as the square root of the mean free path. When *l* reaches the Yoffe-Regel limit  $(k_F l \sim 1)$ , localization sets in. A new length, the localization length, emerges and the replacement<sup>13</sup>  $l \rightarrow (k_F^2 \xi_I)^{-1}$  gives Eq. (3). As the disorder increases, the localization length diverges.

(2b)

When it crosses the thermal length, then  $l_T$  becomes the only relevant length in the problem. Replacing  $\xi_l$ by  $l_T$  in Eq. (3a), we arrive at Eq. (3b).

One immediate consequence of this result is a dramatic increase in the size of the critical region where superconducting fluctuations govern the critical behavior. The classical Ginzburg criterion states that mean-field theory breaks down when  $(T - T_0)/T_0 \sim (T_0\rho\xi_0^3)^{-2}$ .<sup>18</sup> This is a very small number  $(\leq 10^{-10}$  for dirty superconductors and even less for type I) since  $\xi_0$  is very large. Close to the mobility edge, the Ginzburg criterion results in  $(T - T_0)/T_0 = g_c^{-3}\xi_l^3(\rho T_0)$  for  $l_{T_0} >> \xi_l$ . As  $\xi_l$  grows and  $l_{T_0} << \xi_l$ ,  $(T - T_0)/T_0 = g_c^{-3}$ . Since  $g_c$  is a number of order unity, close to the Anderson transition the superconducting transition could be very similar to the  $\lambda$  transition in liquid helium.<sup>19</sup>

When the Ginzburg parameter is of the order unity, the reduction in  $T_c$  is of the order of  $T_c$  itself and cannot be calculated by perturbation theory. This provides an explanation to the strong degradation of  $T_c$  in a highly disordered system. (Localization decreases  $T_c$  via fluctuations but leaves the mean-field value of the transition temperature<sup>14</sup> unchanged.)

Turning to magnetic properties, we note that Eqs. (2) and (3) predict a dependence of  $H_{c2}$  on T and  $T_0$  different from the WHH theory. The upper critical field is calculated from the eigenvalue equation

$$f(l_H^{-1}) + \ln(T/T_0) = 0.$$
(4)

 $l_H^2 = c/eH$  is the magnetic length and we took into account that a small magnetic field introduces another scale in the localization transition.<sup>20</sup> Our mean-field calculation is valid outside the critical region down to T = 0. There are two different regimes depending on the value of  $l_{T_0}$  (the length determined by the transition temperature) and the localization length.

For  $l_{T_0} >> \xi_l$ 

$$H_{c2}(T=0) = 0.14 \frac{\xi_{l}\rho T_{0}}{g_{c}} \frac{c}{e} \text{ and } -T_{0} \frac{dH_{c2}}{dT} \bigg|_{T_{0}} = 0.2 \frac{\xi_{l}\rho T_{0}}{g_{c}} \frac{c}{e}.$$
(5)

Hence the disorder enhances the value of  $H_{c2}$  at zero temperature beyond its classical value  $H_{c2} = (c/e) 1.74 T_0 / v_F l$ .

As we approach the mobility edge and  $l_{T_0} \ll \xi_l$ ,  $H_{c2}$  saturates to the value

$$H_{c2}(T=0) = 0.27 \left( \frac{T_0 \rho}{g_c (1+g_c)^{1/2}} \right)^{2/3} \frac{c}{e} \quad \text{and} \quad -T \frac{dH_{c2}}{dT} \bigg|_{T_0} = 0.215 \frac{(T_0 \rho)^{2/3}}{g_c} \frac{c}{e}.$$
 (6)

Near  $T_0$ ,  $H_{c2}(T)$  has a linear dependence on T. Thus, depending on the value of  $g_c$ ,  $R = H_{c2}^{(0)}/(T dH_{c2}/dT)_{T_0}$  will determine the nature of the crossover between the small-q regime ( $T \simeq T_0$ ) and  $T \simeq 0$ . For  $g_c \ge 1$ , R is larger than 1 and thus we predict for this class of materials a kink in the  $H_{c2}$  vs T curve.<sup>21</sup>

For small enough  $g_c$  (still of the order unity), R becomes less than 1, implying a negative second derivative all the way from  $T_0$  to T = 0. The experimental work on dirty superconductors near the mobility edge concentrates mainly on materials where our theory does not necessarily apply. These are homogeneous superconductors or if adopting the approach of Anderson, Muttalib, and Ramakrishnan,<sup>10</sup> also A-15's. In both cases either the morphology can enhance the Coulomb interaction or dimensionality effects<sup>22</sup> will dominate.

The most recent work on homogeneous dirty superconductors at this regime is of Hebard and Paalanen.<sup>2</sup> They investigated the behavior of  $H_{c2}$  upon temperature as well as the degradation of  $T_c$  for In/InO<sub>x</sub> films. Substantial reduction of  $T_c$  was observed for highresistivity films. Also the behavior of  $H_{c2}$  versus temperature was not of WHH form as they found a kink in  $H_{c2}$  for  $T > T_c$ . In trying to fit their data by our theory, we get very good agreement for the lowtemperature behavior of  $H_{c2}$  including the kink. Close to  $T_c$ , the experiment shows an effective slope greater than the theoretical one. This, together with the degradation of  $T_c$ , was explained by those authors using a pair-breaking parameter. We argue that both effects can be understood qualitatively by noting the change in the critical behavior near  $T_c$  due to fluctuations. Moreover, since the thickness of the sample is finite  $(\sim 100 \text{ \AA})$  a crossover to a two-dimensional critical behavior is expected near  $T_c$  which by itself can decrease  $T_c^{7}$  and cause an effective higher slope. In general, those features of degradation of  $T_c$ , the kink in the  $H_{c2}$  curve, and anomalously high  $H_{c2}(0)$  observed for all dirty superconductors are at least qualitatively explained by our theory.

We next sketch the main points on the way to derivation of Eq. (2). Starting from the expression for the kernel of the GLAG functional in terms of the exact eigenstates of the electrons in the disordered metal, we follow de Gennes<sup>8</sup>:

$$f(q) = \frac{1}{g} - T \sum_{n} \int d^{d}(x - x') \exp[i\mathbf{q} \cdot (\mathbf{x} - \mathbf{x}')] \sum_{p_{1}, p_{2}} \frac{\langle \phi_{p_{1}}(\mathbf{x})^{*} \phi_{p_{2}}(\mathbf{x}) \phi_{p_{1}}(\mathbf{x}') \phi_{p_{2}}^{*}(\mathbf{x}') \rangle}{(iU_{n} - \epsilon_{p_{1}})(-iU_{n} - \epsilon_{p_{2}})}.$$
(7)

(8)

Here G is the BCS coupling constant,  $U_n = (2n + 1)\pi T$  are the Matsubara frequencies, and the angular brackets denote the average over the impurities.  $\phi_p(x)$  are the solutions of the Schrödinger equation in the presence of a random potential  $V(\mathbf{x})$ :

$$\{ [\nabla/i - (e/c)\mathbf{A}]^2 + V(\mathbf{x}) \} \phi_n(\mathbf{x}) = \epsilon_n \phi_n(\mathbf{x}).$$

In zero magnetic field, the wave functions are real and the term in Eq. (7) is an average over the square of the single-particle Green's function. It is well established<sup>16, 17</sup> that

$$\int d^{d}(\mathbf{x} - \mathbf{x}') \exp[i\mathbf{q} \cdot (\mathbf{x} - \mathbf{x}')] \langle \mathbf{x}' | \frac{1}{iU_{n} - H_{0}} | \mathbf{x}' \rangle \langle \mathbf{x}' | \frac{1}{-iU_{n} - H_{0}} | \mathbf{x} \rangle = \frac{1}{D(\xi_{l}, q, |U_{n})q^{2} + 2|U_{n}|}$$
(9)

with a scale-dependent diffusion parameter. Close to the mobility edge, it exhibits universal (i.e., independent of the microscopic details) scaling behavior.  $D(\xi_{I},q, |U_n|) \sim g_c/L\rho$ , where we define  $L = \min\{l_U, \xi_{I}, q^{-1}\}$  as the shortest length in the problem  $[l_U = (\rho U)^{-1/d}$  is the frequency length]. This result and a detailed analysis of the crossover behaviors in this problem can be found in Ref. 17.

In the presence of a magnetic field, we make the replacement  $-i\nabla \rightarrow -i\nabla - (e/c)\mathbf{A}$ . This introduces a phase factor in the particle-particle propagator which is valid since the magnetic length for fields less than  $H_{c2}$ is larger than the range of the kernel and cannot be much smaller than the localization length. The critical behavior of the second term in Eq. (7) near the orthogonal fixed point in a weak magnetic field can be derived using the techniques of Ref. 17. A weak magnetic field introduces a small mass proportional to the cyclotron resonance and therefore an additional scale,  $l_H = (c/eH)^{1/2}$ , emerges in Eq. (9). L is now defined as  $L = \min\{l_U, \xi_I, q^{-1}, l_H\}$ .

To summarize, we presented a theory which combines the anomalous behavior of the diffusion propagator near the mobility edge together with the BCS treatment of the superconducting state. This framework<sup>21</sup> should be used to analyze experiments on materials that are close to the metal insulator transition<sup>1-7</sup> where  $k_F l$  is no longer the relevant parameter.<sup>22</sup> In particular, they can explain the anomalously high  $H_{c2}$ found at low temperatures in several experiments<sup>3, 4</sup> as discussed in Ref. 11.

The importance of fluctuations, as was shown above, can reveal a new kind of superconducting transition similar to the one found for liquid helium.<sup>23</sup> This can be found, e.g., in measurements of the specific heat for very dirty superconductors as a cusp should appear. For this purpose, granular materials are probably not good because of very high Coulomb interaction (via charging energy of the grains) that can wash out superconductivity. Materials for which the disorder is introduced on the atomic scale<sup>2</sup> (e.g., solid solutions of metal-insulator mixtures that can be produced by very fast quenching) are more plausible to exhibit this phenomenon.

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<sup>15</sup>This should not be confused with the ordinary BCS thermal length  $\epsilon_F/k_FT$ .

<sup>16</sup>For recent reviews, see, e.g., *Anderson Localization*, edited by Y. Nagakera and H. Fukuyama (Springer, Berlin, 1982).

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<sup>18</sup>The coefficient of the  $|\Delta|^4$  term is not substantially modified by the disorder.

<sup>19</sup>To observe this last crossover, one may have to look for systems with relatively high transition temperature as the

disorder increases. These can be, e.g., granular systems. See also the conclusions.

<sup>20</sup>We note that the scaling theory of localization for weak fields can, in principle, be quite different from that of strong fields as we crossover from the orthogonal to the unitary fixed points for the localization problem.

<sup>21</sup>A more detailed analysis of the various crossovers of the function f(q) [and hence  $H_{c2}(T)$ ] will be given in a following publication.

<sup>22</sup>We note that for superconductivity near the percolation threshold [see, e.g., S. Alexander, Phys. Rev. B **27**, 1541 (1983), and S. Alexander and E. Halevi, J. Phys. (Paris) **44**, 805 (1983)] one expects percolation to dominate the transition in a certain regime of length scales. [This was observed experimentally; for a review see, e.g., G. Deutscher, A. Kapitulnik, and M. L. Rappaport, in *Percolation Structures and*  *Processes*, edited by G. Deutscher, R. Zallen, and J. Adler (Hilger, London, 1983)]. Nevertheless, close enough to the mobility edge the percolation fixed point is unstable against the localization one, and our conclusions should be applicable in that regime. For granular materials also, the charging energy as well as Josephson coupling between grains are important to change the nature of the superconducting transition.

 $^{23}$ This issue is not settled yet, as it was shown by B. I. Halperin, T. C. Lubensky, and S. K. Ma [Phys. Rev. Lett. **32**, 292 (1974)] that if one includes the fluctuations in the electromagnetic field, a weak first-order transition should be expected. The increase of the critical region shown above can end in the possibility of observing the effect. But see also C. Dasgupta and B. I. Halperin, Phys. Rev. Lett. **47**, 1556 (1981).