

## Levinson's Theorem and the Nodes of Zero-Energy Wave Functions for Potentials with Repulsive Coulomb Tails

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Levinson's theorem relation phase shifts and bound states, developed for a short-range potential  $V_{sh}$ , is extended to scattering by  $V_{sh} + V_C$ , where  $V_C$  is a repulsive Coulomb potential. The subtleties associated with  $L = 0$ ,  $E = 0$  bound states for  $V_{sh}$  alone are not present for  $V_{sh} + V_C$ . Information is also obtained on the relation between the nodal structure of the zero-incident-energy wave function and the number of bound states; some extensions to scattering by a compound target are possible.

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Levinson's theorem, which provides the only generally valid relation between phase shifts and bound states, is one of the classic results of scattering theory. Recall that for scattering by a short-range potential  $V_{sh}(r)$ , where  $r^2 V_{sh}(r) \sim 0$  for  $r \sim 0$  and  $r^3 V_{sh}(r) \sim 0$  as  $r \sim \infty$ , the theorem states that  $\delta_L(0) - \delta_L(\infty) = N_L \pi$ , where  $\delta_L(k)$  is the phase shift for the partial wave of angular momentum  $L$  for wave number  $k$  and  $N_L$  is the number of bound states (all of which have normalizable wave functions) of the given  $L$ . [We ignore for the moment the possibility of zero-energy (i.e.,  $E = 0$ ) bound states.] We provide here a sketch of the extension of that fundamental theorem to include the very important case of potentials of the form  $V_{sh}(r) + V_C(r)$ , where  $V_C(r) = Z_1 Z_2 e^2 / r$  is a repulsive Coulomb potential; our extension applies to the differences of the phases with and without  $V_{sh}$ .

The usual proof of the theorem for  $V_{sh}$  alone is based on the analytic properties of the scattering amplitude in momentum space and our extension is given in that form. We very recently presented a new proof of the theorem for  $V_{sh}$ .<sup>1</sup> The proof, which proceeds in coordinate space and is based on a minimum principle for the scattering length  $A_L$ , provides new insights and a new result, information on the nodal structure of the  $E = 0$  wave function  $u_L(r)$  for scattering by  $V_{sh}$ . This nodal-structure result is also extended here to include potentials of the form  $V_{sh} + V_C$ . We remark that a knowledge of the nodal structure of  $u_L(r)$ , the  $E = 0$  limit of the scattering wave function  $w_L(k, r)$ , provides a very useful check on numerical calculations of this function. Furthermore, when combined with a nodal definition of  $\delta_L(k)$ —a definition in terms of the nodal points of the free wave function and  $w_L(k, r)$ —a knowledge of the nodal structure enables one to give an absolute definition of  $\delta_L(0)$  and thereby of  $\delta_L(k)$ . Most significantly, such nodal-structure analyses can be extended to a class of multiparticle single-channel scattering problems where they may be applied, in a useful way, independently of the validity of Levinson's theorem. One frequently introduces models of the multiparticle scattering problem based on the existence

of an effective potential seen by each particle; analysis of the nodal structure of the model wave function provides explicit information which can be of considerable physical interest.<sup>2</sup>

Levinson's theorem is clearly invalid for scattering by  $V_C(r)$  or by a hard-core potential  $V_{hc}(r)$ . Thus,  $V_C$  can support no bound states and its phase shift, conventionally denoted by  $\sigma_L(k)$ , has the values  $\sigma_L(0) = \infty$  and  $\sigma_L(\infty) = 0$ . Similarly,  $V_{hc}$  can support no bound states and its phase shift is 0 at  $k = 0$  and  $-\infty$  at  $k = \infty$ . But consider scattering by  $V_{hc} + V_{sh}$ . It is then known<sup>3</sup> that the phase shift defined as the *difference* between the phase shifts associated with  $V_{hc} + V_{sh}$  and with  $V_{hc}$  alone *does* satisfy Levinson's theorem. The corresponding result is transparently valid for the sum of two short-range potentials; one need merely apply Levinson's theorem to the sum of the two potentials and to one of them, and take the difference. These results suggest that the difference  $\delta_L(k) = \Delta_L(k) - \sigma_L(k)$  between the phase shifts associated with  $V_C + V_{sh}$  and with  $V_C$  alone might satisfy Levinson's theorem, and we will show that this is indeed the case. [Parenthetically, we note that  $\delta_L(\infty) = 0$  for  $V_{sh}$ , so that Levinson's theorem for  $V_{sh}$  can be written as  $\delta_L(0) = N_L \pi$ . When possible, the elimination of any reference to  $\delta_L(\infty)$  can be a great advantage, as, for example, in an attempt to extend any results to scattering by a compound system.]

Following the standard procedure<sup>3</sup> we define the Jost function  $\mathcal{F}_L(k)$  for  $V_{sh} + V_C$  as the Wronskian  $W(f_L, \phi_L)$ , where  $f_L(k, r)$  is the Jost solution, behaving as  $\exp(ikr - \eta \ln 2kr)$  for  $r \sim \infty$ ; here  $\eta = (ka)^{-1}$  and  $a = \hbar^2 / m Z_1 Z_2 e^2$ , with  $m$  the reduced mass. The regular analytic solution  $\phi_L(k, r)$  is defined by the boundary condition  $\phi_L(k, r) \sim r^{L+1}$ ,  $r \sim 0$ . The analyticity of  $\mathcal{F}_L(k)$  in the upper-half  $k$  plane can be proven by straightforward generalization of the standard proof applicable for  $V_{sh}$ , with the restrictions on the behavior of  $V_{sh}$  at  $r \sim 0$  and at  $r \sim \infty$  unchanged. [That proof required the development of relatively simple bounds on the free wave functions  $j_L(kr)$  and  $n_L(kr)$ , for  $k$  complex. Those bounds, and bounds on

the standard Coulombic functions  $F_L$  and  $G_L$ , follow easily from a knowledge of the forms of the functions at  $r \sim 0$  and  $r \sim \infty$ .] Though division by the rather simple  $V=0$  Jost function is often not explicitly remarked on, it is important to recognize that in the standard derivation of Levinson's theorem for  $V_{\text{sh}}$  one deals with the ratio of two Jost functions, one associated with  $V=V_{\text{sh}}$  and the other  $V=0$ . A  $k^{-1}$  singularity present in each Jost function at  $k=0$  then disappears from the ratio. Similarly, the results indicated above for the sum of two short-range potentials relative to one and for  $V_{\text{hc}}+V_{\text{sh}}$  vs  $V_{\text{hc}}$  can be based on a ratio of Jost functions. The essential point is that for real positive  $k$  the argument of the Jost function for a given  $v$  is the negative of the phase shift for that  $V$ , so that the argument of the ratio of Jost functions is the difference  $\delta_L(k)$ , often the physically interesting entity. The above considerations suggest that in the case of present interest one should introduce the ratio  $R_L(k) = \mathcal{F}_L(k)/\mathcal{F}_L^{(C)}(k)$ , where  $\mathcal{F}_L^{(C)}(k)$  is the Jost function for  $V_C$  alone. An explicit expression for  $\mathcal{F}_L^{(C)}(k)$  is known.<sup>3</sup> Here we need only note that this function is analytic and has no zeros in the upper-half  $k$  plane, and has an essential singularity at  $k=0$ , and for positive real  $k$  has the phase  $-\sigma_L(k) = -\arg\Gamma(L+1+i\eta)$ . With  $\Delta_L(k)$  defined as the phase shift associated with  $V_{\text{sh}}+V_C$ , the argument of  $R_L(k)$  is  $-\Delta_L(k) - \sigma_L(k) = -\delta_L(k)$ , the phase shift that we wish to study.

We now subject  $R_L(k)$  to the standard analysis, that is, we integrate its logarithmic derivative over a contour in the complex  $k$  plane. This contour consists of the real axis, but passes over the origin, and an infinite semicircle in the upper-half plane. Along with the fundamental analyticity property, and the identification of the phase of  $R_L(k)$  as  $-\delta_L(k)$ , the theorem makes use of the following additional properties, familiar from a study of the analogous short-range case: (i)  $R_L^*(k) = R_L(-k)$ ,  $k$  real. (ii) The zeros of  $R_L(k)$  in the upper-half plane lie on the imaginary axis, are simple, and correspond to bound states in  $V_{\text{sh}}+V_C$ . (iii)  $R_L(k) \sim 1$  for  $k \sim \infty$  in the upper-half plane and remains finite as  $k$  approaches the real axis from above. If there is an  $E=0$  bound state the rate at which  $R_L(k)$  vanishes for  $k \sim 0$  must be determined and here the presence of  $V_C$  plays a particularly interesting role. Thus, in analogy to Newton's treatment<sup>4</sup> of  $V_{\text{sh}}$ , one readily shows, starting from  $R_L(k) = W(f_L, \phi_L) \mathcal{F}_L^{(C)}(k)$  and  $R_L(0) = 0$ , that, as  $k \sim 0$ ,

$$dR_L(k)/dk \sim b_L k \int \phi_L^2(0,r) dr, \quad (1)$$

where  $b_L$  is a nonvanishing constant. Since  $\phi_L(0,r)$ , the  $E=0$  bound-state wave function, has the asymptotic form  $r^{1/4} \exp[-(8r/a)^{1/2}]$ , the integral (over the range 0 to  $\infty$ ) is finite, and of course nonvanishing,

for all  $L$ . It follows that  $R_L(k) \sim \beta_L k^2$  for  $k \sim 0$ , with  $\beta_L \neq 0$ . In this regard the  $V_{\text{sh}}+V_C$  problem is simpler than the  $V_{\text{sh}}$  problem. In the latter case,  $E=0$  bound-state wave functions behave as  $r^{-L}$  for  $r \sim \infty$  and are therefore normalizable only for  $L > 0$ . Therefore, the analog of Eq. (1) fails to determine the behavior of the  $L=0$  Jost function for  $k \sim 0$ . Further analysis<sup>4</sup> shows, in fact, that it behaves as  $k$ , not  $k^2$ , so that the contribution to the contour integral from the neighborhood of  $k=0$  differs for  $L=0$  and  $L > 0$ . Thus, the precise form of the theorem for  $V_{\text{sh}}$  is  $\delta_L(0) = (N_L + \frac{1}{2}\zeta_L)\pi$ , where  $N_L$  is the number of bound states, including  $E=0$  bound states if and only if they are normalizable, where  $\zeta_L=0$  for  $L > 0$ , and where  $\zeta_0=0$  if there is no  $E=0$ ,  $L=0$  bound state and  $\zeta_0=1$  if there is. (An  $L=0$ ,  $E=0$  state is only "half bound."<sup>3</sup>) For  $V_{\text{sh}}+V_C$ , on the other hand, we have simply  $\delta_L(0) = N_L\pi$ .

We turn now to a discussion of the nodal structure of  $u_L(r)$  for  $V_{\text{sh}}+V_C$ . The nodal structure of the eigenfunction of a discrete state, the bound-state wave function in the present context, is a subject on which there is a large body of literature going back to the work of Sturm and Liouville (SL). The SL studies are based on a minimum principle for the eigenvalue which characterizes the  $n$ th state, its energy  $E_n$ . Correspondingly, the information gained on the nodal structure of  $u_L(r)$  for  $V_{\text{sh}}$  was based on a minimum principle<sup>5</sup> for the parameter which characterizes  $u_L(r)$ , namely,  $A_L$ . Now one may readily extend the minimum principle to the  $A_L$  associated with  $V_C+V_{\text{sh}}$ . Under the assumption, for simplicity, that  $V_{\text{sh}}$  vanishes exponentially for  $r \sim \infty$ ,  $u_L(r)$  behaves asymptotically as a linear combination of Coulombic (rather than free) and (decreasing) irregular solutions and  $A_L$  is the relative amplitude of these solutions. The trial  $E=0$  scattering function has a similar asymptotic form. Use of the minimum principle in the manner described earlier<sup>1</sup> leads to the conclusion that  $u_L(r)$  has  $n_L$  nodes, where  $n_L$  is the number of negative-energy bound states in the potential  $V_{\text{sh}}+V_C$ . To convert this result to a statement concerning  $\delta_L(0)$ , we adopt the nodal definition of  $\Delta_L(k)$  and make use of the known threshold behavior of  $\delta_L(k)$ . The latter is obtained from the effective-range expansion

$$K_L(k^2) \sim -A_L^{-1} + \frac{1}{2}r_L k^2 + \dots, \quad k \sim 0.$$

We define  $K_L(k^2)/k^{2L+1}\Pi(\eta)$  as  $C^2(\eta)\cot\delta_L(k) + 2\eta[\text{Re}\psi(i\eta) - \ln\eta]$ , where  $\psi(i\eta)$  is the digamma function and

$$\Pi(\eta) = \prod_{s=1}^L \left(1 + \frac{\eta^2}{s^2}\right), \quad C^2(\eta) = \frac{2\pi\eta}{\exp(2\pi\eta) - 1}.$$

If there is no  $E=0$  bound state one finds that  $\delta_L(0) = n_L\pi = N_L\pi$ . If an  $E=0$  bound state exists

then  $|A_L| = \infty$  and  $\cot\delta_L(k)$  diverges in the  $E = 0$  limit, for all  $L$ , because of the exponential decay of the Coulomb penetration factor appearing in the effective-range function  $K_L$ . The leading term in the effective-range expansion is now proportional to  $k^2$ , and by careful investigation of this term one finds that  $\delta_L(0) = (n_L + 1)\pi = N_L\pi$ , in agreement with our previously derived statement of Levinson's theorem for  $V_{sh} + V_C$ .

We note that the value of  $\delta(0) \pmod{\pi}$  follows immediately from effective-range theory [more explicitly, from the fact that the effective-range function  $K_L(k^2)$  is analytic in  $k^2$  near  $k^2 = 0$ ] both for  $V_{sh}$  and for  $V_{sh} + V_C$ . Thus, for  $A_L$  finite or infinite, we find for  $V_{sh} + V_C$  for all  $L$  and for  $V_{sh}$  for  $L > 0$  that  $\cot\delta_L(0) = \infty$  and therefore that  $\delta_L(0) \pmod{\pi} = 0$ ; for  $V_{sh}$  and  $L = 0$ , the argument is the same if  $A_L$  is finite, but if  $|A_L| = \infty$  one finds that  $\cot\delta_L(0) = 0$  and therefore that  $\delta_0(0) \pmod{\pi} = \frac{1}{2}\pi$ . For  $V_{sh}$  plus an attractive Coulomb potential effective-range theory was used to show<sup>6</sup> that  $\delta_L(0) \pmod{\pi} = \mu(\infty)\pi$ , where  $\mu(n)$  is the quantum defect, defined by writing the  $n$ th energy level as  $E_n = -(\hbar^2/2m)/a^2[n - \mu(n)]^2$ . Because of the appearance of infinitely many bound states the two approaches developed here for the derivation of the theorems of the Levinson type are not directly applicable to the  $V_{sh}$  plus attractive Coulomb case. However, one can trace the change in the number of nodes introduced by the existence of  $V_{sh}$  and relate that to the number of additional bound states due to this potential. This leads to the relation  $\delta_L(0) = \mu(\infty)\pi$  as the analog of Levinson's theorem, with the value of the largest integer contained in  $\mu(\infty)$  representing the number of additional bound states due to  $V_{sh}$ . The fact that  $\delta_L(0)/\pi$  need not be an integer may be traced to the behavior of the

Coulomb penetration factor in the zero-energy limit; it is exponentially vanishing in the repulsive Coulomb case but remains finite for  $V_C$  attractive.

Turning now to scattering by a compound target, we note that SL theory for the nodal structure of a bound-state wave function is not limited to a particle in a potential, though the information obtainable on a many-body wave function is not always as complete as for a one-body wave function. We previously extended the many-body SL nodal theory to the nodal structure of the wave function for a particle incident with zero energy on a compound system when no Coulomb tail is present. The further extension when there is a repulsive tail is trivial, since a minimum principle for  $A_L$  for that case is known. (When applied to proton or neutron scattering by *very* heavy nuclei the theorem might well be useless since there will be very many states of the projectile plus target system lying below the energy level of the target elsewhere.

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<sup>1</sup>Z. R. Iwinski, L. Rosenberg, and L. Spruch, Phys. Rev. A **31**, 1229 (1985).

<sup>2</sup>Z. R. Iwinski, L. Rosenberg, and L. Spruch, unpublished.

<sup>3</sup>R. G. Newton, *Scattering Theory of Waves and Particles* (Springer, New York, 1982), 2nd ed. Our notation is that of Newton.

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<sup>5</sup>L. Rosenberg, L. Spruch, and T. F. O'Malley, Phys. Rev. **118**, 184 (1960).

<sup>6</sup>M. J. Seaton, C. R. Acad. Sci. **240**, 1317 (1955).