

## Comments on the Parametrization of the Kobayashi-Maskawa Matrix

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We show that the quark mixing matrix can be parametrized in exactly unitary forms with the imaginary parts present only at the order of  $10^{-3}$ . With  $s_x = s_1$  and  $s_y$  well determined, measurements of  $\epsilon'$  or other  $CP$ -nonconservation effects can determine  $s_z s_\phi$ . Then after  $|V_{ub}| \approx s_z$  is measured,  $s_\phi$  is known. We also give a simple expression for the  $CP$  asymmetry in the  $B^0$ - $\bar{B}^0$  mixing.

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The recent measurements of the  $b$  lifetime<sup>1</sup> have put a very strong constraint on the Kobayashi-Maskawa quark mixing<sup>2</sup> matrix. The salient features are that the weak transitions in the heavier quark sector ( $b \rightarrow c$  and  $t \rightarrow s$ ) are much more suppressed than those in the lighter quark section ( $s \rightarrow u$  and  $c \rightarrow d$ ), e.g.,<sup>3,4</sup>

$$|V_{us}| = 0.23, \quad |V_{cb}| \approx 0.06, \quad (1)$$

where

$$V_{KM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} c_1 & s_1 c_3 & s_1 s_3 \\ -s_1 c_2 & c_1 c_2 c_3 - s_2 s_3 e^{i\delta} & c_1 c_2 s_3 + s_2 c_3 e^{i\delta} \\ -s_2 s_2 & c_1 s_2 c_3 + c_2 s_3 e^{i\delta} & c_1 s_2 s_3 - c_2 c_3 e^{i\delta} \end{pmatrix}. \quad (2)$$

Note that some matrix elements have comparable real and imaginary parts, e.g.,  $V_{tb}$ . However, we have calculated various violations of  $CP$  conservation; it is always a small number proportional to  $s_2 s_3 s_\delta$ . Recently it has been shown by Wolfenstein<sup>5</sup> that by reparametrizing the KM matrix one can see that the imaginary parts in the whole KM matrix appear only with coefficient  $\leq 10^{-3}$ . Nevertheless, the scheme used in Ref. 5 is by a power series expansion, and not exact. Here we first show that the original KM matrix, by redefinition of  $t$  and  $b$  quark field phases, can be put into an exactly unitary expression so that the imaginary parts only appear in the matrix element of order  $\leq 10^{-3}$ .

We can redefine the phases of the  $t$  quark field and the  $b$  quark field by  $\exp(i\phi_t)$  and  $\exp(-i\phi_b)$ , respectively, such that the new  $V_{cb}$  and  $V_{ts}$  become real, i.e.,

$$V_{KM} \rightarrow V' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\phi_b} \end{pmatrix} V_{KM} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\phi_t} \end{pmatrix} = \begin{pmatrix} c_1 & s_1 c_3 & s_1 s_3 e^{i\phi_b} \\ -s_1 c_2 & c_1 c_2 c_3 - s_2 s_3 e^{i\delta} & |c_1 c_2 s_3 + s_2 c_3 e^{i\delta}| \\ -s_1 s_2 e^{i\phi_t} & |c_1 s_2 c_3 + c_2 s_3 e^{i\delta}| & (c_1 s_2 s_3 - c_2 c_3 e^{i\delta}) e^{i\phi_b + i\phi_t} \end{pmatrix}, \quad (3)$$

with

$$e^{i\phi_b} \equiv \frac{c_1 c_2 s_3 + s_2 c_3 e^{-i\delta}}{|c_1 c_2 s_3 + s_2 c_3 e^{i\delta}|}, \quad e^{i\phi_t} \equiv \frac{c_1 s_2 c_3 + c_2 s_3 e^{-i\delta}}{|c_1 s_2 c_3 + c_2 s_3 e^{i\delta}|}. \quad (4)$$

Now let us study to what order of magnitude the imaginary parts begin to appear in the matrix  $V'$ . Since  $s_1$ ,  $s_2$ , and  $s_3$  are small,  $s_2 \sim s_3 \sim s_1^2 \sim 10^{-2}$ , we can approximate  $c_1 \approx 1 - s_1^2/2 + O(10^{-4})$ ,  $c_2 \sim c_3 \approx 1 + O(10^{-4})$ . Then Eq. (3) becomes

$$V' \sim \begin{pmatrix} 1 - s_1^2/2 & s_1 & \frac{s_1 s_3 (s_3 + s_2 e^{-i\delta})}{(s_3^2 + s_2^2 + 2s_2 s_3 c_\delta)^{1/2}} \\ -s_1 & 1 - s_1^2/2 - s_2 s_3 e^{i\delta} & (s_3^2 + s_2^2 + 2s_2 s_3 c_\delta)^{1/2} \\ \frac{-s_1 s_2 (s_2 + s_3 e^{-i\delta})}{(s_2^2 + s_3^2 + 2s_2 s_3 c_\delta)^{1/2}} & (s_2^2 + s_3^2 + 2s_2 s_3 c_\delta)^{1/2} & -1 \end{pmatrix}. \quad (5)$$

We note that the transformed matrix elements are all real up to order  $O(10^{-3})$ . This indicates that although the original KM matrix elements can have large imaginary parts, they do not give appreciable  $CP$ -

nonconservation effects. Here we have shown the point made by Wolfenstein in an exactly unitary form.

Though  $V'$  contains the points made by Wolfenstein, it is still not in a neat form. After some trials we find another way to parametrize the  $3 \times 3$  unitary KM matrix, i.e.,

$$V = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_y & s_y \\ 0 & -s_y & c_y \end{pmatrix} \begin{pmatrix} c_z & 0 & s_z e^{-i\phi} \\ 0 & 1 & 0 \\ -s_z e^{i\phi} & 0 & c_z \end{pmatrix} \begin{pmatrix} c_x & s_x & 0 \\ -s_x & c_x & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} c_x c_z & s_x c_z & s_z e^{-i\phi} \\ -s_x c_y - c_x s_y s_z e^{i\phi} & c_x c_y - s_x s_y s_z e^{i\phi} & s_y c_z \\ s_x s_y - c_x c_y s_z e^{i\phi} & -c_x s_y - s_x c_y s_z e^{i\phi} & c_y c_z \end{pmatrix}. \quad (6)$$

Now from the measurements of the  $b$  lifetime and  $|V_{ub}/V_{cb}| \leq 0.14$ , and from Ref. 4, we have

$$|V_{ub}| = s_z \leq 6.96 \times 10^{-3}, \quad 8.24 \times 10^{-3}, \quad 1.06 \times 10^{-2}, \quad (7)$$

for  $\tau_b = 1.4, 1.0, 0.6$  psec, respectively. Since  $s_z$  is very small and of order  $10^{-3}$ , then we have

$$|V_{cb}| = |s_y c_z| = s_y [1 + O(10^{-4})] = 0.050, 0.059, 0.076, \quad (8)$$

for  $\tau_b = 1.4, 1.0, 0.6$  psec, respectively. Also  $s_y$  is a rather small number of order  $10^{-2}$ , in comparison with  $s_x$  (of order  $10^{-1}$ ) which is obtained from

$$|V_{us}| = s_x [1 + O(10^{-4})] = 0.23, \quad (9)$$

via hyperon and  $K_{e3}$  decays; and

$$|V_{ud}| = c_x [1 + O(10^{-4})] = 0.973, \quad (10)$$

$$V_{\text{Maiani}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-i\phi} \end{pmatrix} V \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{+i\phi} \end{pmatrix} = \begin{pmatrix} c_x c_z & s_x c_z & s_z \\ -s_x c_y - c_x s_y s_z e^{i\phi} & c_x c_y - s_x s_y s_z e^{i\phi} & s_y c_z e^{i\phi} \\ -c_x c_y s_z + s_x s_y e^{-i\phi} & -s_x c_y s_z - c_x s_y e^{-i\phi} & c_y c_z \end{pmatrix}. \quad (13)$$

The only disadvantage to using Maiani's phase convention is that the imaginary parts in  $V_{cb}$  and  $V_{ts}$  have a coefficient of  $10^{-2}$ .

Now we discuss some physical implications on these new parameters  $s_x$ ,  $s_y$ ,  $s_z$ , and  $s_\phi$ . It is interesting to note that the limits on the angles from the  $b$  lifetime alone are already more stringent than those from hyperon decays,  $s_z < 0.2$ . The current bound is  $s_z < 0.14$ ,  $s_y \leq 10^{-2}$ . Also these bounds provide a stronger limit on  $|V_{td} V_{ts}|$ ,

$$|V_{dt}| \leq s_x s_y + s_z \leq 2s_x s_y, \quad (14)$$

$$|V_{td} V_{ts}| \leq 2s_x s_y s_y = 1.15 \times 10^{-3}, \quad 1.6 \times 10^{-3}, \quad 2.6 \times 10^{-3}, \quad (15)$$

for  $\tau_b = 1.4, 1.0, 0.6$  psec, respectively, than previously obtained from  $K_L \rightarrow \mu^+ \mu^-$  which gives  $|\text{Re}(V_{td} V_{ts})| < 0.02$ , for  $m_t \sim 40$  GeV based on the

via  $0^+ \rightarrow 0^+$  nuclear  $\beta$  decay. Now,

$$V = \begin{pmatrix} c_x & s_x & s_z e^{-i\phi} \\ -s_x - s_y s_z e^{i\phi} & c_x & s_y \\ s_x s_y - s_z e^{i\phi} & -s_y - s_x s_z e^{i\phi} & 1 \end{pmatrix}. \quad (11)$$

We keep the real parts and the imaginary parts accurate up to  $10^{-4}$  and  $10^{-6}$ , respectively. It is clear that the  $CP$ -nonconservation imaginary parts appear only with coefficients  $\leq 10^{-3}$  in the whole matrix.

The  $s_x$ ,  $s_y$ ,  $s_z$ , and  $s_\phi$  are related to the original  $s_1$ ,  $s_2$ ,  $s_3$ , and  $s_\delta$  of the KM matrix by

$$\begin{aligned} s_x &= s_1 + O(10^{-4}), \\ s_y &= (s_2^2 + s_3^2 + 2s_2 s_3 c_\delta)^{1/2} + O(10^{-4}), \\ s_z &= s_1 s_3, \quad s_y s_\phi = s_2 s_\delta + O(10^{-4}). \end{aligned} \quad (12)$$

These relations are graphically illustrated in Fig. 1.

It turns out that our parametrization is very close to the Maiani parametrization.<sup>6</sup> They differ by a phase transformation to the  $t$  and  $b$  quark fields, i.e.,

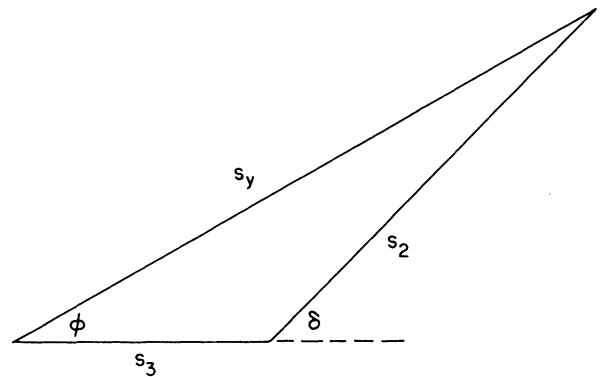


FIG. 1. Graphical relations between the conventional parametrization and our proposed parametrization.

box diagram calculation.

It is also of interest to note that all  $CP$ -nonconservation effects of *first order in weak interactions*, be it  $\epsilon'$  or the partial-decay-rate difference between the charged<sup>8</sup>  $s$ ,  $c$ ,  $b$ , or  $t$  decays and their  $CP$  conjugate processes, are proportional to a universal factor  $X_{CP}$  accurate up to  $10^{-8}$  from the quark mixing matrix,

$$X_{CP} = s_1^2 s_2 s_3 s_8 = s_x s_y s_z s_\phi. \quad (16)$$

(Note that the  $CP$  mixing parameter  $\epsilon$  is a result of second-order weak interaction.) For the strange and charm decays, the  $CP$ -nonconservation factors from the quark mixing matrix are  $\text{Im}[(V_{us} V_{ud}^*) \times (V_{cs} V_{cd}^*)^*]$  and  $\text{Im}[(V_{us} V_{cs}^*)(V_{ud} V_{cd}^*)^*]$ , respectively. For the  $b$  decays, they are  $\text{Im}[(V_{cb} V_{cs}^*) \times (V_{ub} V_{us}^*)^*]$  or  $\text{Im}[(V_{cb} V_{cd}^*)(V_{ub} V_{ud}^*)^*]$ , and for the  $t$  decays they are  $\text{Im}[(V_{ti} V_{kj}^*)(V_{tj} V_{ki}^*)^*]_{i \neq j}$ , where  $i, j = d, s$ , or  $b$ , and  $k = u$  or  $c$ . But it can be easily shown, especially in our proposed parametrization, that they are all equal to  $X_{CP}$  with corrections of order  $10^{-8}$ . This implies that in the study of  $CP$  nonconservation we can never separate  $s_3 s_8$  or  $s_z s_\phi$ , so that  $s_3$  or  $s_z$  need to be obtained from other reactions. The nicest one is from the study of  $|V_{ub}| = s_z = s_1 s_3$ . A candidate reaction is  $B_u^- \rightarrow \tau^- \nu_\tau$  (here we choose  $\tau^- \nu_\tau$  rather than  $\mu^- \nu_\mu$  to avoid suppressions from helicity conservation). Unfortunately the branching fraction<sup>9</sup> of such decay is very small,  $B_r(B_u \rightarrow \tau \nu_\tau) \leq 7.4 \times 10^{-5}$ .

In Fig. 2 we give the value of  $X_{CP}/s_1^2 = s_2 s_3 s_8 = s_y s_z s_\phi/s_x$  determined from the  $b$  lifetime and the fitting of  $\epsilon$  via the box diagram calculation for various  $m_t$ . The measurement of  $\epsilon' = 0.02 s_2 s_3 s_8 = 0.02 s_y s_z s_\phi/s_x$  will give us the value of  $s_z s_\phi$  [since  $s_x$  and  $s_y$  are known, Eqs. (8) and (9),

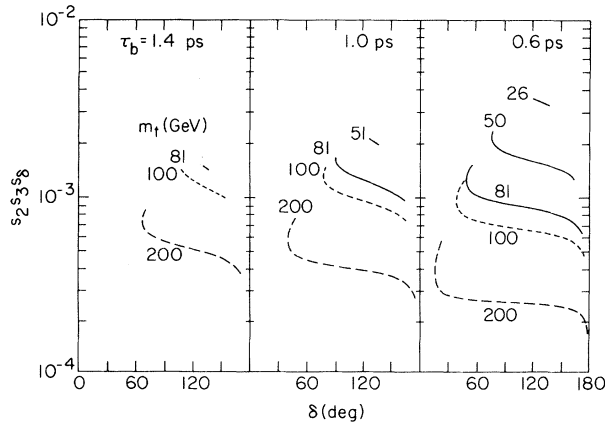


FIG. 2. The size of  $X_{CP}/s_1^2 = s_2 s_3 s_8 = s_y s_z s_\phi/s_x$  vs  $\delta$  for  $\tau_b = 0.6, 1.0$ , and  $1.4$  psec for various  $m_t$ .

but not  $s_3 s_8$  since  $s_2$  is not yet determined independently; here we see the advantage of using the  $s_x, s_y, s_z, s_\phi$  parametrization].

The  $CP$  nonconservation could also appear significantly in the  $B^0$ - $\bar{B}^0$  mixing.<sup>4,10,11</sup> It is characterized by an asymmetry parameter

$$a(B^0) = P^-/P^+, \quad (17a)$$

where the time-integrated probability is

$$P^\pm = p(\bar{B}^0 \rightarrow B^0) \pm p(B^0 \rightarrow \bar{B}^0), \quad (17b)$$

with  $p$  denoting "probability." For the neutral mesons  $B_s(b\bar{s})$  and  $B_d(b\bar{d})$ , we obtain simple expressions for  $a(B^0)$  in the context of the standard model,

$$a(B_s) = -\frac{4\pi}{F(m_t^2/m_W^2)} \frac{m_c^2}{m_t^2} \frac{c_x s_x s_z s_\phi}{s_y}, \quad (18)$$

$$a(B_d) = -a(B_s) s_y^2 / (c_x |s_x s_y - s_z e^{i\phi}|^2),$$

with

$$F(x) \equiv 1 - 0.75 \frac{(x+x^2)}{(1-x)^2} - 1.5 \frac{x^2 \ln x}{(1-x)^3}.$$

Note that for neutral mesons our theorem Eq. (16) only applies to the moment, say  $t=0$ , when the particle is a definite  $P^0$  or  $\bar{P}^0$ , where  $P^0(\bar{P}^0)$  denotes neutral mesons of any flavor, e.g.,  $K^0(\bar{K}^0)$ ,  $D^0(\bar{D}^0)$ ,  $B^0(\bar{B}^0)$ . At later moments  $P^0(\bar{P}^0)$  mix through second-order weak interactions in the KM scheme and become mixed states of  $P^0$  and  $\bar{P}^0$ , in which case our theorem does not apply. The phenomena pointed out in Ref. 12 belong to this category.

The calculation, Eq. (18), ignores QCD corrections. We also drop terms of order  $(m_c/m_b)^4$  in  $\Gamma_{12}$  or  $(m_c/m_t)^2$  in  $M_{12}$ , which are verified numerically to be negligible. The ranges of  $CP$  nonconservations<sup>4</sup> are

$$\begin{aligned} a(B_d) &\sim 10^{-2} - 10^{-3}, \\ -a(B_s) &\sim 0.5 \times 10^{-3} - 0.5 \times 10^{-4}, \end{aligned} \quad (19)$$

for  $m_t \leq 100$  GeV. The  $CP$ -nonconservation effects could give rise to the observable difference in event rates between the  $\mu^+ \mu^+$  and  $\mu^- \mu^-$  dimuons originating from  $b\bar{b}$  production in high-energy collider experiments.

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<sup>7</sup>See F. J. Gilman and M. B. Wise, Phys. Lett. **83B**, 83 (1979); note that the presence of  $s_1$  in the denominator is because  $\epsilon'$  is a ratio of amplitudes and  $s_1$  is from the amplitude in the denominator which has nothing to do with  $CP$  nonconservation.

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<sup>11</sup>It is worthwhile to point out that the usual approximation  $\Delta m = 2\text{Re}(M_{12})$  could fail for  $s_8 \gg 0$  in the  $B^0 - \bar{B}^0$  mixing. The correct choice in the case  $|\Delta m| \gg |\Delta \Gamma|$  is  $|\Delta m| = 2|M_{12}|$ . See J. Hagelin, Nucl. Phys. **B193**, 123 (1981); and also Ref. 10.

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