

- ¹D. V. Lang, J. Appl. Phys. **45**, 3014 (1974), and **47**, 3587 (1976).
- ²D. Pons and S. Makram-Ebeid, J. Phys. (Paris) **40**, 1161 (1979).
- ³S. Makram-Ebeid, Appl. Phys. Lett. **37**, 464 (1980), and in *Defects in Semiconductors*, edited by J. Narayan and T. Y. Tan (North-Holland, New York, 1981), Vol. 2, p. 495.
- ⁴S. Makram-Ebeid, G. M. Martin, and D. W. Woodard, J. Phys. Soc. Jpn. **49**, 287 (1980).
- ⁵J. R. Oppenheimer, Phys. Rev. **31**, 66 (1928).
- ⁶K. Huang and A. Rhys, Proc. Roy. Soc. London, Ser. A **204**, 406 (1950).
- ⁷S. Makram-Ebeid and M. Lannoo, to be published.
- ⁸A. M. Stoneham, *Theory of Defects in Solids* (Clarendon, Oxford, 1975).
- ⁹M. G. Burt, J. Phys. C **12**, 4827 (1979).
- ¹⁰C. H. Henry and D. V. Lang, Phys. Rev. B **15**, 989 (1977).
- ¹¹D. Bois and A. Chantre, Rev. Phys. Appl. **15**, 631 (1980).
- ¹²T. Jesper, B. Hamilton, and A. R. Peaker, in *Semi-Insulating III-V Materials*, edited by G. J. Rees (Shiva Publishing LTD, Orpington, United Kingdom, 1980), p. 233.
- ¹³P. Leyral, F. Litty, S. Loualiche, A. Nouailhat, and G. Guillot, to be published.
- ¹⁴V. Piekara, J. M. Langer, and E. B. Krulowska-Fulde, Solid State Commun. **23**, 583 (1977).
- ¹⁵A. M. Hennel, W. Szuskiewicz, G. Martinez, and B. Clerjaud, Rev. Phys. Appl. **15**, 697 (1980).
- ¹⁶B. K. Ridley, J. Phys. C **11**, 2323 (1978).
- ¹⁷A. Mitonneau, A. Mircea, G. M. Martin, and D. Pons, Rev. Phys. Appl. **14**, 853 (1979).

Stress Tuning of the Metal-Insulator Transition at Millikelvin Temperatures

M. A. Paalanen,^(a) T. F. Rosenbaum, G. A. Thomas, and R. N. Bhatt

Bell Laboratories, Murray Hill, New Jersey 07974

(Received 26 February 1982)

A high-resolution scan of the metal-insulator transition in Si:P at millikelvin temperatures has been obtained by applying uniaxial stress. A sharp, but continuous, metal-insulator transition is resolved, with conductivities below Mott's "minimum" value σ_M . These measurements join smoothly with previous low-resolution experiments, ruling out any discontinuity at σ_M . The reproducible critical behavior disagrees with predictions of existing scaling theories of localization.

PACS numbers: 71.30.+h, 72.15.Cz, 72.20.Fr

Our understanding of the metal-insulator transition in random systems rests in part on Anderson's demonstration¹ of the absence of electronic diffusion at zero temperature in a sufficiently strong random potential and in part on the work of Mott² and Hubbard³ emphasizing the role of electron correlations in stabilizing the insulating state. This transition has been observed in different materials at electron concentrations n_c varying over eight orders of magnitude,⁴ but with a universal scaling for which Mott has argued using simple models:

$$n_c^{1/3} a_B \simeq \frac{1}{4}, \quad (1)$$

where a_B is the radius of the isolated impurity wave function. A prototype of such systems is a random array of P donors placed substitutionally into a crystalline Si lattice.

Following these ideas Mott suggested⁵ in 1972 that the zero-temperature conductivity $\sigma(0)$ jumped from zero in the insulator to a minimum

metallic value

$$\sigma_M = C_M e^2 / \bar{n} n_c^{-1/3}, \quad (2)$$

where $C_M = \frac{1}{20}$ within a factor of 2. For Si:P, $\sigma_M = 20 (\Omega \text{ cm})^{-1}$. According to Mott's recent survey,⁶ all existing data support this remarkable conclusion.

In contrast, the single-particle scaling theories of localization⁷ (neglecting electron-electron interactions) predict a continuous variation through the critical region, i.e., $\sigma(0) < \sigma_M$, of the form

$$\sigma(0) \simeq \sigma_M (n/n_c - 1)^\nu, \quad (3)$$

where $\nu \approx 1$. Although experimental studies^{8,9} of Si:P and $\alpha\text{-Ge}_{1-x}\text{Au}_x$ have found $\sigma(0)$ values significantly less than σ_M , Mott has argued⁶ correctly that macroscopic inhomogeneities could have played a dominant role for $\sigma(0) < \sigma_M$. Furthermore, in Si:P, the sharpness of the transition⁸ precluded resolution of this critical region. Thus, Eqs. (2) and (3) have escaped a definitive

test.

We report here a high-resolution, zero-temperature study of the metal-insulator transition, using uniaxial compressive stress S to tune n_c through n of uncompensated, slightly insulating Si:P samples. We find reproducible behavior for $n/n_c - 1 > 10^{-3}$ of the form $\sigma(0) \propto (S - S_c)^\nu$, with $\nu = 0.48 \pm 0.07$, which is distinguishable from apparent rounding of the transition at lower n/n_c . The reproducible behavior is consistent with previous findings⁸ for $\sigma(0) > \sigma_M$ which gave $\sigma(0) = 13\sigma_M(n/n_c - 1)^\nu$, with $\nu = 0.55 \pm 0.10$. We claim that the reproducibility and consistency identify intrinsic behavior; the data thus rule out Mott's minimum metallic conductivity and are inconsistent with existing scaling theories of localization.

The capability of significantly varying n_c with modest stresses¹⁰ relies both on the small ener-

gy scale of the donor band (~ 1 meV, rather than typical electronic energies ~ 1 eV) and on the multivalley nature of the Si conduction band. The latter allows a direct coupling of the donor wave function¹⁰ and thus the width of the donor band to uniaxial deformations. For effective-mass donors with a degenerate ground state, the variation of n_c can be reduced¹¹ to a Mott criterion [Eq. (1)] with different effective Bohr radii in the high-stress (single valley) and stress-free (many valley) cases.

In Si:P the valley degeneracy of the donor wave function is lifted at zero stress as a result of the short-range central-cell potential, which also causes a shrinkage of the ground-state wave-function radius. Application of stress mixes in the relatively more extended excited states and reduces n_c as per Eq. (1): An insulator is thus transformed into a metal at $T = 0$ K. [This qualitative conclusion of Eq. (1) is supported by a detailed calculation¹² of the effect of stress on the donor bands.] We approximate the (in general,

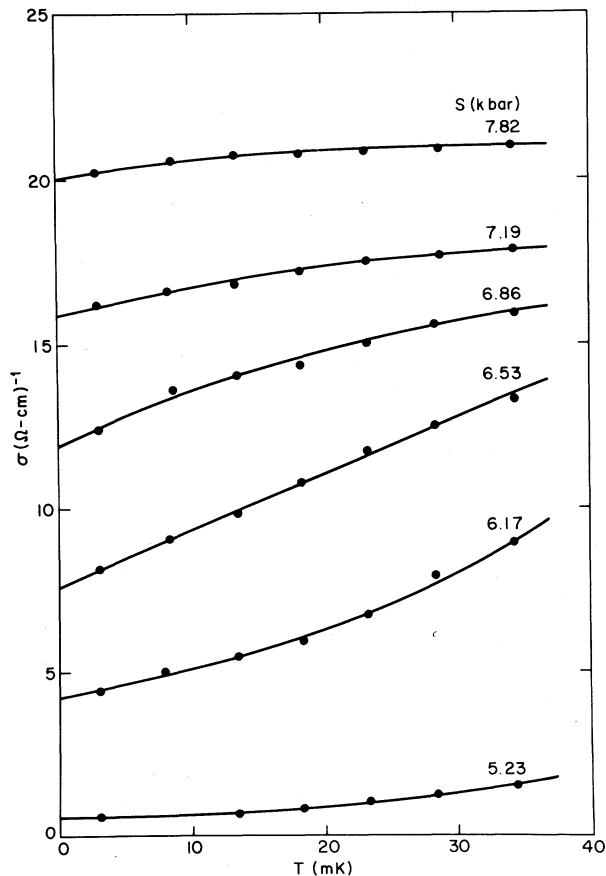


FIG. 1. Conductivity σ of a sample of Si:P as a function of temperature T for a series of values of uniaxial stress S near the metal-insulator transition. The solid lines are fits by the form $\sigma(T) = \sigma(0) + BT^\beta$ where $\sigma(0)$, B , and β are fitting parameters.

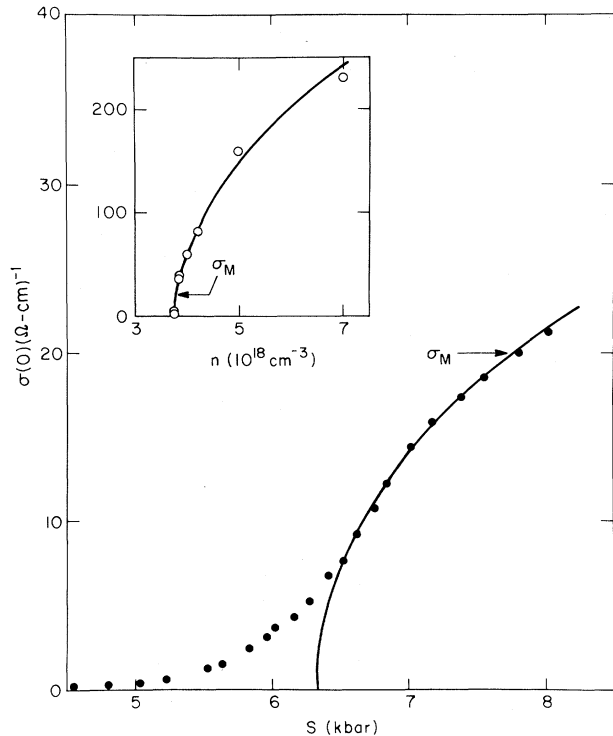


FIG. 2. Extrapolated values of zero-temperature conductivity $\sigma(0)$, obtained as illustrated in Fig. 1, as a function of uniaxial stress S . The solid line is fit to the region of $\sigma(0)$ which reproduces in three samples and has the form $\sigma(0) \propto (S - S_c)^\nu$ with $\nu = 0.49$. The inset shows $\sigma(0)$ vs n from Ref. 8 with the curve $\sigma(0) = 13\sigma_M(n/n_c - 1)^\nu$ with $\nu = 0.55$.

nonlinear) variation of n_c with stress S by a linear relation over the critical region (7.3 ± 0.8 kbar for our three samples), since it is a narrow range around a moderate value of S . At 4.2 K, our measurements of conductivity in fact show an essentially linear dependence on S between 4 and 12 kbar.

Our Si:P samples, with dimensions $0.3 \times 0.8 \times 7.0$ mm³, were prepared as in Ref. 8. Two were parallel cuts from the same wafer (perpendicular to the [111] axis), and in one of the slices two sections (samples 2 and 3) were measured with the same current leads. For sample 3, the voltage leads were not collinear with the current flow. The samples were mounted in a pressure device operated by ⁴He as illustrated in the inset to Fig. 3. S (applied approximately along [12 $\bar{3}$]) was measured capacitively at the upper end of the sample. This device was thermally anchored to a Cu-nuclear cooling system, with T measured by using a ³He melting curve thermometer. The conductivity measurements were done at 11 Hz frequency and at power levels below 10^{-15} W.

Sample 1 was cooled twice from room temperature with quantitatively the same results. Samples 2 and 3 also showed the same critical behavior, and only differed from each other by 15% at low S . This reproducibility rules out large inhomogeneities in current and S . After initial stress cycling, the samples showed no hysteresis in S at constant T to within the accuracy of our measurements [0.5% in $\sigma(T)$].

The variation of $\sigma(T)$ was similar for all our samples. In Fig. 1 we have plotted $\sigma(T)$ for sample 1 at values of S close to the transition. The extrapolations shown (based on least-squares fits by the form in the caption) indicate metallic, i.e., finite $\sigma(0)$ values below σ_M . Above 5 (Ω cm)⁻¹ the form of $\sigma(T)$ varies with S , but below all the samples had $\sigma(T) = \sigma(0) + AT^2$, as found in Ref. 8 for $\sigma(0) \lesssim \sigma_M/10$. The T^2 term is not understood but a similar contribution has been shown to be related to surface conditions in insulating samples.¹³ For small S , the variation of $\sigma(0)$ (although sample dependent) is roughly exponential in S , inconsistent with the classical percolation¹⁴ form, $(n - n_c)^{1.6}$.

Figure 2 shows $\sigma(0)$ as a function of S for sample 1, while the inset exhibits the corresponding variation with n . By fitting the data in Fig. 2 above 6.5 kbar and the (similar) 3-mK data for samples 2 and 3 with the form $(S - S_c)^\nu$, we get S_c (kbar) = 6.3, 6.5, and 6.5, all ± 0.2 , and $\nu = 0.49$, 0.41, and 0.51, all ± 0.07 . The quoted errors in-

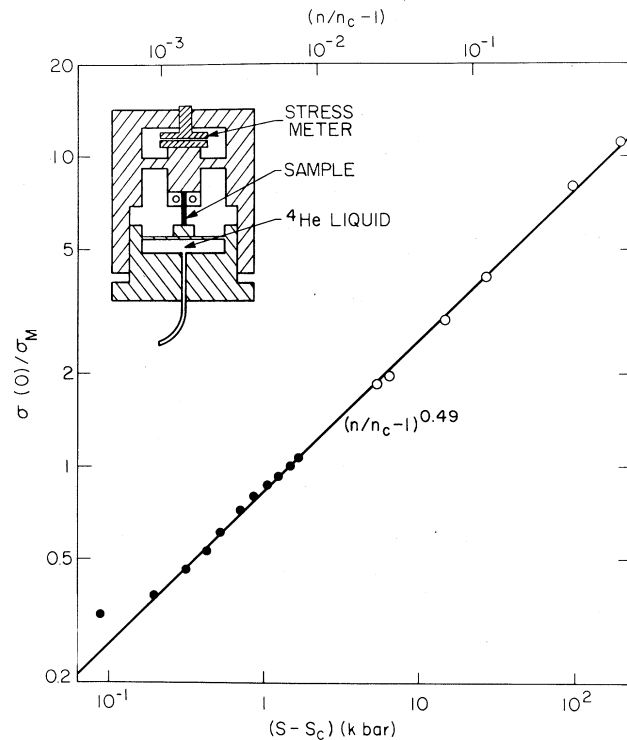


FIG. 3. A combination of the data from Fig. 2 and its inset to illustrate the smooth variations of $\sigma(0)$ through σ_M . Values of $n/n_c - 1$ are shown on the upper scale based on the open circles from Ref. 8. Values of $S - S_c$ are shown on the lower scale based on the solid circles from this work. A combination of all the results gives $\nu = 0.48 \pm 0.07$.

clude not only small statistical errors, but also systematic errors inherent in the $T = 0$ K extrapolations and in the choice of the lowest S value to include in the fit. We argue that the agreement among samples indicates universal behavior above S_c in the region fitted by the solid line in Fig. 2.

If one assumes that n_c varies linearly with S , our values of ν agree with the previous $\nu = 0.55 \pm 0.10$. To emphasize this agreement, we combine both sets of Fig. 2 on a log-log scale in Fig. 3. The scaling of stress and density shown implies¹⁵ $(n/n_c - 1)/(S - S_c) = 5.4 \times 10^{-3}$ kbar⁻¹. The smooth variation rules out a significant change in the behavior of $\sigma(0)$ as it passes through σ_M . The solid line is a fit by the scaling form of Eq. (3) for $\frac{1}{4}\sigma_M \lesssim \sigma(0) \lesssim 13\sigma_M$ and $10^{-3} \lesssim n/n_c - 1 \lesssim 1$. The wide range of this fit suggests that the characteristic conductivity of the transition region may be significantly larger than σ_M . This conclusion is supported by the fact that the measured conductivity deviates markedly from either that calcu-

lated⁸ for free electrons or that deduced⁶ from the specific heat¹⁶ below a conductivity $\sim 10\sigma_M$, close to the Ioffe-Regal value¹⁷ $\sigma_{IR} \simeq e^2/3\pi m_c^{-1/3}$.

Even for $\sigma(0) < \sigma_M$, estimated as the critical region by current scaling theories of localization,^{7,18} our results give a $\nu = 0.48 \pm 0.07$, inconsistent with the theoretical $\nu \approx 1$. We speculate that the more rapid variation of $\sigma(0)$ with $n - n_c$ arises from Coulomb effects whose importance has been emphasized by Mott.^{2,5,6} Additional evidence, attributed to Coulomb interactions in bulk systems, has come from the temperature,^{19,20} magnetic field,²⁰ and compensation²¹ dependence of the conductivity, and also from the tunneling conductance.²²

We would like to thank P. W. Anderson, E. I. Blount, D. S. Fisher, and P. A. Lee for helpful discussions and H. Dail for help with data acquisition.

(a)Also at Joseph Henry Laboratory, Princeton University, Princeton, N. J. 08544.

¹P. W. Anderson, Phys. Rev. 109, 1492 (1958).

²N. F. Mott, *Metal-Insulator Transitions* (Taylor and Francis, London, 1974).

³J. Hubbard, Proc. Roy. Soc. London, Ser. A 277, 237 (1964), and 281, 401 (1964).

⁴P. P. Edwards and M. J. Sienko, Phys. Rev. 17, 2575 (1978).

⁵N. F. Mott, Philos. Mag. 26, 1015 (1972).

⁶N. F. Mott, Philos. Mag. 44B, 265 (1981).

⁷E. Abrahams, P. W. Anderson, D. C. Licciardello, and T. V. Ramakrishnan, Phys. Rev. Lett. 42, 673 (1979), and references therein.

⁸T. F. Rosenbaum, K. Andres, G. A. Thomas, and R. N. Bhatt, Phys. Rev. Lett. 45, 1723 (1980); G. A. Thomas, T. F. Rosenbaum, and R. N. Bhatt, Phys. Rev. Lett. 46, 1435 (1981).

⁹B. W. Dodson, W. L. McMillan, J. M. Mochel, and R. C. Dynes, Phys. Rev. Lett. 46, 46 (1981).

¹⁰M. Cuevas and H. Fritzsche, Phys. Rev. 137, A1847

(1965), and 139, A1628 (1965). Tuning of n_c can also be done with magnetic field as evidenced by the relatively high- T data of R. J. Sladek, J. Phys. Chem. Solids 8, 515 (1959). W. Sasaki and C. Yamanouchi, J. Non-Cryst. Solids 4, 183 (1970), used annealing of transmutation doped samples.

¹¹R. N. Bhatt, Phys. Rev. B 24, 3630 (1981).

¹²R. N. Bhatt, to be published, calculated the variation of n_c for a Mott transition with a strong central-cell potential, using the method of polarized orbitals to construct the pseudopotential for the one-electron bands. To lowest order, the change in n_c is independent of direction for stresses normal to (111).

¹³Y. Ootuka, F. Komori, Y. Monden, S. Kobayashi, and W. Sasaki, Solid State Commun. 36, 827 (1980); T. F. Rosenbaum, K. Andres, and G. A. Thomas, Solid State Commun. 35, 663 (1980).

¹⁴E. S. Kirkpatrick, Rev. Mod. Phys. 45, 574 (1973).

¹⁵This constant agrees with that deduced by scaling the theoretical low-stress result (Ref. 12) with the relative change of the isolated donor wave function at high and low stresses, within the uncertainty in sample orientation.

¹⁶G. A. Thomas, Y. Ootuka, S. Kobayashi, and W. Sasaki, Phys. Rev. B 24, 4886 (1981).

¹⁷A. F. Ioffe and A. R. Regel, Prog. Semicon. 4, 237 (1960).

¹⁸C. Wegner, Z. Phys. B 25, 327 (1976); W. L. McMillan, Phys. Rev. B 24, 2739 (1981); D. Vollhardt and P. Wölfle, Phys. Rev. Lett. 45, 842 (1980); A. McKinnon and B. Kramer, Phys. Rev. Lett. 47, 1546 (1981); Y. Imry, Phys. Rev. Lett. 44, 469 (1980); D. Belitz, A. Gold, and N. Götze, Z. Phys. B 44, 273 (1981).

¹⁹T. F. Rosenbaum, K. Andres, G. A. Thomas, and P. A. Lee, Phys. Rev. Lett. 46, 568 (1981).

²⁰T. Chui, P. Lindenfeld, W. L. McLean, and K. Mui, Phys. Rev. Lett. 47, 1617 (1981); T. F. Rosenbaum, R. F. Milligan, G. A. Thomas, P. A. Lee, T. V. Ramakrishnan, R. N. Bhatt, K. DeConde, H. Hess, and T. Perry, Phys. Rev. Lett. 47, 1758 (1981).

²¹G. A. Thomas, Y. Ootuka, S. Katsumoto, S. Kobayashi, and W. Sasaki, to be published.

²²R. C. Dynes and J. P. Garno, Phys. Rev. Lett. 46, 137 (1981); W. L. McMillan and J. Mochel, Phys. Rev. Lett. 46, 556 (1981); Y. Imry and Z. Ovadyahu, to be published.