pheric neutrino experiments conducted in a South African gold mine. The ratio of the observed to the expected horizontal flux of product muons was determined to be $0.62^{+0.21}_{-0.12}$. F. Reines, in Proceedings of the Sixteenth International Cosmic Ray Conference, Kyoto, August 1979 (unpublished).

Breakup-Fusion Description of Massive Transfer Reactions with Emission of Fast Light Particles

T. Udagawa and T. Tamura

Department of Physics, University of Texas, Austin, Texas 78712 (Received 30 June 1980)

It is shown that massive transfer reactions emitting energetic light particles can be described in terms of two-step processes, in which breakup of the projectile takes place first, followed by an absorption of the massive partner of the broken-up pair by the target.

PACS numbers: 25.70.Bc, 24.10.-i, 24.50.+g

Twenty years ago, Britt and Quinton' noticed that very energetic α particles are emitted rather copiously, in reactions induced by heavy ions. They are energetic in that their velocities exceed er copiously, in reactions induced by heavy lons.
They are energetic in that their velocities exceed
that of the projectile. More recent experiments,^{2,3} which measured the coincidence of light fast particles (having $z = 1$ and 2) with γ rays emitted from targetlike residues, seem to have revealed the fact that the emission of these fast particles is almost always accompanied by the fusion of the rest of the projectile into the target. Other types of coincidence experiments' also seem to lead to the same conclusion.

Take, as an example, the emission of fast α 's rake, as an example, the emission of last α
observed in a bombardment of 159 Tb by 14 N,³ If ¹⁰B, the projectile minus α , is fused into ¹⁵⁹Tb, a highly excited compound nucleus 169 Yb is formed. This 169 Yb will first emit x number of neutrons, This $^{169}{\rm Yb}$ will first emit x number of neutrons
and the resultant $^{169-}$ Yb nuclei will then emit γ rays characteristic of each value of x . By measuring these γ rays in coincidence with the fast α 's, one can extract the cross section of the reaction $^{159} \text{Tb}({}^{14} \text{N}, \alpha){}^{169} \text{Yb}$. It was found that this cross section is rather large,³ being of the same order of magnitude as are the fast- α singles cross sections. ' The authors of Ref. ³ called these reactions massive transfer. The above experimental fact shows that if once the massive transfer reaction is understood, so will be (the large part of) the emission of fast light particles. '

The purpose of the present Letter is to show that we can fit the data of the above experiments, based on a concept which may be termed a breakup-fusion (BF) mechanism. The name signifies

that we describe the massive transfer reaction as a two-step process. Take again the above example. The first step is then the breakup of ^{14}N into $\alpha + {}^{10}B$. This is then followed by the second step, in which ^{10}B is fused into ^{159}Tb .

A general formulation of BF processes was made recently by Kerman and McVoy (KM) .⁵ The expression for the cross section $Eq. (27)$ of KM] may be written, with a slightly modified notation, as

$$
d^2\sigma/dE_{\alpha}d\Omega_{\alpha} = [m_a m_{\alpha}/(2\pi\hbar^2)^2]
$$

$$
\times (k_{\alpha}/k_a) \sum_i A_i \sum_m |\beta_{i_m}(k_{\alpha})|^2. \quad (1)
$$

Here a (= ¹⁴N) denotes the projectile, which is broken up into $\alpha + x$. Thus $\beta_{lm}(\vec{k}_{\alpha})$ is the amplitude of the breakup process in which α is emitted with a momentum \bar{k}_{α} , and x (= ¹⁰B) with an angular momentum (lm) relative to the target A. The singles- α cross section associated with this (elastic) breakup process is given by (1), if we set $A_i = 1$ (all *l*). If we set $A_i = P_i/4$, on the other hand, (1) gives the additional contribution to the singles- α cross section due to the BF process.⁵ It can also be interpreted as the cross section of the massive transfer reaction. Here P_i denotes the penetrability between x and A , and describes the absorption of x by A .

We have reformulated the KM work and found it desirable to use

$$
A_l = P_l / |s_l|^2, \tag{2}
$$

in place of $A_i = P_i/4$ of KM. Thus our A_i is $4/$ $|s_i|^2$ times that of KM. This difference originate from the difference in the way we manipulate the Green's function that describes the propagation of x , between its creation (via breakup) and absorption (into the target). In obtaining $A_1 = P_1/4$, KM took only the on-shell part of this Green's function, while we found that the off-shell part gives almost exactly the same amplitude (when the breakup is described as it was by Udagawa and $co-works^{6, 7}$ as does the on-shell part. This is the origin of our extra factor of 4.

In manipulating the Green's function, one also needs to introduce a complete set of states, one way or another. If the relative motion between x and A is described, as we do it, through introduction of an optical potential, this complete set must be biorthogonal. Then a factor $1/|s_{\it 1}|^2$ emerges inevitably.^{8,9} (KM appear to have ig-! po
.1.
8, 9 nored this fact.) Since s_i is the S-matrix element for the elastic scattering between x and A, $1/$ $|s_1|^2$ can be rather large (for smaller *l*). We show below that the presence of this factor $1/|s_1|^2$ is vital in making our BF cross section sufficiently large.

Previously^{6,7} we showed that, if the breakup is described as an inelastic excitation of a into its continuum a' (= α +x), its amplitude T can be calculated rather easily. With this description, T is most naturally obtained as a function of two relative momenta \vec{k}_{a} ' and \vec{k}_{x} ', which are, respectively, between a' and A , and between α and x . The relative momenta more convenient to describe the BF process, on the other hand, are \overline{k}_{α} , introduced in (1), and \overline{k}_{α} between x and A (or more precisely \bar{k}_{α} and \hat{k}_{x} , because of energy conservation). Nevertheless, the pairs (\vec{k}_a', \vec{k}_r') and $(\vec{k}_{\alpha},\vec{k}_{\alpha})$ are simply related, and thus there is not

much difficulty in obtaining the
$$
\beta_{l_m}(\vec{k}_{\alpha})
$$
 of (1) as

$$
\beta_{l_m}(\vec{k}) = \int T(\vec{k}_{a}', \vec{k}_{x}') Y_{l_m} * (\hat{k}_{x}) d\hat{k}_{x}.
$$
 (3)

The quantities P_i and s_i are evaluated easily, once an optical potential, U_{xA} , is introduced explicitly between x and A. In evaluating β_{lm} via (3), however, U_{xA} was not used. Yet in (1), β_I and s , appear in a combination of the form $|\beta_{l_{m}}|^2/2$ $|s_i|^2$. This causes a subtle problem in the evaluation of P_i and s_i , in particular of the latter.

Physically, the appearance of the factor $|\beta_{lm}|^2/2$ $|s_i|^2$ in (1) is very reasonable. The β_{lm} describes the amplitude with which both α and x survive the absorption (by A). Because of the presence^{6,7} of the strong absorption between a and A (and a' and A), β_{lm} is small for lower *l*. However, a large fraction of this absorption is caused by the capture of x by A , the cross section for which we intend to calculate. Therefore, we must have first a breakup amplitude before this absorption (to be described later via the factor $P₁$) takes place. The new amplitude β_{lm}/s_l , replacing β_{lm} , is precisely this amplitude.

The above argument shows that it is desirable to obtain β_{lm} and s_l on an equal footing, possibly based on the use of a common $U_{\tau A}$. In principle it is possible to do this, by describing the breakup as a stripping of x (from a) onto A (to form x $+A$ in continuum). In practice, however, such a calculation is almost impossible to carry out. It means performing an EFR-DWBA (exact-finiterange, distorted-wave Born approximation) calculation, in which an angular momentum l as culation, in which an angular momentum l as
large as 30 is transferred.¹⁰ Faced with this situation, we decided to proceed as follows.

It is well known that $|s_i|$ can be very well represented analytically as

$$
|s_1| = s_0 + (1 - s_0) \{ 1 + \exp[-(l - l_s)/\Delta] \}^{-1}
$$
. (4)

Here $s_0 = |s_{t=0}|$. It is also well known that $s_0 \ll 1$, but $s_0 \neq 0$. The fact that $s_0 \neq 0$ is very important, because $|\beta_{lm}| \neq 0$ even for $l = 0$.

We extracted first the parameters s_0 and Δ of (4), by introducing an U_{xA} , with a reasonable set of parameters, and carrying out elastic-scattering calculations between x and A. The parameter l_s was also extracted in the course of the calculation, but it was found that it did not necessarily agree with l_{β} , which is the value of l at which $|\beta_{1_m}|$ takes a maximum value. If an l_s that differs from l_β were used, it can happen that several of the $|\beta_{lm}|^2/|s_l|^2$ factors get very large, making the cross section of (1) behave rather erratically. We thus decided to use $l_s = l_\beta$ in (4). There is every reason to believe that we would get $l_s = l_6$, had β_{lm} and s_l been calculated consistently, as described above. Also the choice of parameters in $U_{\tau A}$ is not unambiguous.

Equation (1) depends much less sensitively on P_i , than it does on s_i . We used for P_i the following analytic form; $P_i = 1/{1 + \exp[(l - l_{cr})/\Delta]},$ where l_{cr} is the critical angular momentum associated with fusion, and is calculated by using the formula given by Lefort.¹¹ formula given by Lefort.

Numerical calculations based on the theory developed above have been carried out to analyze the data of two reactions, $^{159} \text{Tb}({}^{14} \text{N}, \alpha x n)$ (Ref. 3) and $^{181}Ta(^{14}N, \alpha)$, 12 both with $E_L(^{14}N) = 115$ MeV, and the results are presented in Figs. 1 and 2. In obtaining these results, we used $s_0 = 0.01$ and Δ ⁼ 1.9, obtained in the way described above. Further, we used 18 MeV for Γ_{α} , which is the width

FIG. 1. Fit to data of the reaction $^{159} \text{Tb}({}^{14}\text{N}, \alpha \text{xn})$. Theoretical cross sections are represented by solid lines, while the data of Ref. 3 are by full circles.

of the Q window embodied by the breakup amplitude T that appears in the integrand of (3) . We first obtained $\Gamma_{\mathsf{Q}} = 12 \text{ MeV}$, calculating T in the way as described earlier,^{6,7} by further assuming that the $\alpha + {}^{10}B$ system lies in an $L = 0$ relative state. Had the $L \neq 0$ states been considered as well, a larger $\Gamma_{\text{\tiny Q}}$ should have resulted. The choice of $\Gamma_{\mathsf{Q}} = 18$ MeV, which was made somewhat arbitrarily, is thus more reasonable than that of 12 MeV. The lack of knowledge of spectroscopic factors for splitting ¹⁴N into α + ¹⁰B with $L \neq 0$ (and with ¹⁰B in excited states) makes it all but meaningless to try to obtain Γ_{Ω} more accurately, at least at this stage.

As seen in Fig. 1, the theoretical prediction of both angular and energy distributions of the α particles in the reaction 159 Tb(14 N, α *xn*) agrees almost perfectly with experiment.³ Unfortunately, the absolute magnitude of the experimental cross sections is not known very accurately yet, except that it is rather large.³ Nevertheless, excellent fits to relative cross sections in Fig. 1

FIG. 2. Same as in Fig. 1, expect that it is for reaction 181 Ta(14 N, α) of Ref. 12.

may allow us to conclude that the mechanism of the massive transfer reaction is now well understood.

The absolute cross section of the reaction The absolute cross section of the reaction
¹⁸¹Ta(¹⁴N, α) is known,¹² and in plotting the theoretical cross sections in Fig. 2, we multiplied the calculated values with an overall factor of N_0 =6.4. Note that we have considered only $L=0$ state for $\alpha + {}^{10}B$. Had the $L \neq 0$ states also been taken into account, we might have obtained N_0 very close to unity. Thus the good fit to data obtained in Fig. 2 may be considered to include that of the absolute magnitude. We remark that we would have obtained N_0 exceeding 100, had we used $A_i = P_i/4$, instead of A_i of (2).

The theoretical spectra in Fig. 2 disagree somewhat with the experiment for $E_\alpha < 25$ MeV. This may be due to the fact that our method obtaining the β_{lm} by using (3) did not take into account sufficiently well the Coulomb repulsion between α and A. Also experiment may include the evaporation α 's, which we do not include in our calculations.

In conclusion, it is gratifying to see that a reasonable answer to the long-standing question,¹ of

how to explain the large cross section of fast light particles in heavy-ion-induced reactions, has now been given. It, at the same time, explains the massive-transfer reactions. [We may further remark here that the factor $P_i |\beta_{lm}|^2/|s_l|^2$ in (1) is peaked, in the above examples, at $l = 26$, and has a full width at half maximum (FWHM) equal to about 7. The smallness of the FWHM explains the absence of side feeding which has been noticed experimentally.³ Of course, our theory involves, as explained above, a few steps which need further refinements. Part of the needed refinement is presently underway.

Extension of the present work to the emission of fast $z = 1$ particles $(p, d, \text{ and } t)$ would be very interesting. We emphasize here, however, that the theoretical framework presented above is not limited to BF processes. The BF is only an example of a much wider variety of processes, which might be called DRF (direct reaction followed by fusion). Here DR means a direct-reaction process which ends up with a three-body system. This first step is to be followed by a fusion of one of the pairs together. It will not be difficult to think of an almost inexhaustible number of examples that fall under the category of DRF. One may even think of its application to transitions leading to discrete states.

It is our pleasure to thank a number of experimental colleagues, Dr. M. Ishihara, Dr. T. Inamura, Dr. A. C. Kahler, Dr. K. Nagatani, Dr. T. Nomura, Dr. T. T. Sugihara, and Dr. D. R. Zolnowski for enlightening discussions. This work has been supported in part by the U. S. Department of Energy.

¹H. C. Britt and A. R. Quinton, Phys. Rev. 124, 877 (1961).

 2 T. Inamura et al., Phys. Lett. $\overline{77B}$, 51 (1977), and 84B, 71 (1979).

See, e.g., D. R. Zolnowski et $al.$, Phys. Rev. Lett. 41, 92 (1978).

 4 K. Siwek-Wilczynska et al., Phys. Rev. Lett. 42,

1599 (1979); K. A. Geoffrey et al., Phys. Rev. Lett. 43, 1303 (1979); H. Delagrange *et al.*, Phys. Rev. Lett. $\frac{13}{43}$, 1490 (1979); P. Gonthier et d ., Phys. Rev. Lett. 44, 1387 (1980).

 5 A. K. Kerman and K. McVoy, Ann. Phys. (N.Y.) 122. 197 (1979).

 6 T. Udagawa et al., Phys. Rev. C 20, 1949 (1979).

⁷T. Udagawa and T. Tamura, Phys. Rev. C 21 , 1272 (1980).

 ${}^{8}D.$ Robson, Phys. Rev. C $7, 1$ (1973); see also N. Austern and C. M. Vincent, Phys. Rev. C 10, 2623 (1974); D. Robson, Phys. Rev. C 10, 2626 (1974).

 9 A more detailed derivation of Eq. (2) is found in T. Udagawa and T. Tamura, in Proceedings of the Research Center for Nuclear Physics —Kikuchi Summer School on Nuclear Physics, Osaka, Japan, May 1980 (unpublished), lecture note.

 10 Apparently the formalism of Kishimoto and Kubo, T. Kishimoto and K.-I. Kubo, in Proceedings of the Symposium on High Spin Phenomena in Nuclei, edited by T. L. Khoo, ANL Heport No. ANL-PHY-79-4, 1979 {unpublished), p. 535, was made along this line, but with a few drastic simplifications for making the calculations feasible. Also, their formula does not seem to have the $|s_i|^{-2}$ factor in it.

 11 M. Lefort, in Classical and Quantum Mechanical Aspects of Heavy Ion Collisions, edited by H. L. Harney, P. Braun-Munzirger, and C. K. Gelbke (Springer, Hiedelberg, 1975), p. 275.

¹²T. Nomura *et al*., J. Phys. Soc. Jpn. $\frac{46}{335}$ (1979); H. Utsunomiya et al., Nucl. Phys. A334, 127 (1980) ; T. Nomura, private communication.