

Hence according to Eq. (23) the second-order energy shift is given by

$$E_2 = - \int_{x_1}^{x_2} [2/\rho(x)] dx. \quad (46)$$

On comparing this with the second-order energy shift as calculated in the Rayleigh-Schrödinger theory we obtain the following sum rule:

$$\left[ \frac{1}{\psi_0(x_1)\psi_0(x_2)} \right]^2 \sum_n \frac{[\psi_0(x_1)\psi_n(x_2) - \psi_n(x_2)\psi_0(x_1)]^2}{E_n - E_0} = \int_{x_1}^{x_2} \frac{2dx}{[\psi_0(x)]^2}. \quad (47)$$

We see that in Eq. (46), the magnitude of the second-order shift is large if the probability density in between  $x_1$  and  $x_2$  is small. We give a physical interpretation to this result in the following particular example. Consider a Hamiltonian whose unperturbed potential is given by

$$V_0 = (x - x_1)^2(x - x_2)^2. \quad (48)$$

The height of the barrier between the two valleys is equal to  $[(x_1 - x_2)/2]^4$ . The higher this barrier is, the smaller the wave function in between  $x_1$  and  $x_2$  is. In the limit that this barrier becomes infinite, the system will decouple into two separate oscillators centered around  $x_1$  and  $x_2$ , with identical energy levels. A finite but high barrier means that almost degenerate energy levels with the same or opposite parity exist. The perturbation as given by Eq. (44) breaks the symmetry. This parity-nonconserving perturbation connects these almost degenerate states, thereby leading to a large energy shift.

The authors thank Professor T. Banks, Professor A. Casher, and Professor S. Nussinov for discussions.

<sup>1</sup>See, for example, G. Baym, *Lectures on Quantum Mechanics* (Benjamin, New York, 1969).

<sup>2</sup>The fact the second-order energy shift can be obtained without the use of Green's functions or sums over intermediate states have been emphasized previously by Sternheimer, Dalgarno and Lewis, and Dalgarno and Stewart [R. Sternheimer, *Phys. Rev.* **84**, 244 (1951); A. Dalgarno and J. T. Lewis, *Proc. Roy. Soc. London* **233**, 70 (1955); A. Dalgarno and A. L. Stewart, *Proc. Roy. Soc. London* **238**, 269 (1969)]. However, none of these authors expressed their solutions in quadrature forms as we have done here. Instead, their methods involve the solutions of inhomogenous differential equations. Our present work also goes beyond the works of these authors in our discussion on the higher-order corrections.

## Constraints on Weak-Current Angles of the Six-Quark Model from the $K^0 - \bar{K}^0$ System

V. Barger, W. F. Long, and S. Pakvasa

*Department of Physics and Astronomy, University of Hawaii at Manoa, Honolulu, Hawaii 96822, and*

*Department of Physics, University of Wisconsin, Madison, Wisconsin 53706*

(Received 20 March 1979)

From calculations of the  $K_L - K_S$  mass difference and  $CP$  nonconservation based on an effective quark Lagrangian, we limit the ranges of the charged-weak-current mixing angles  $\theta_2$  and  $\theta_3$ , and phase parameter  $\delta$ . The relative strength of  $b \rightarrow u$  and  $b \rightarrow c$  couplings is determined versus  $\theta_3$ .

In the sequential six-quark  $SU(2)_L \otimes U(1)$  model, the left-handed  $(t, b')_L$  is added to the doublets  $(u, d')_L, (c, s')_L$  of the standard model.<sup>1,2</sup> The  $3 \times 3$  unitary matrix  $U$  which relates the gauge-group eigenstates  $(d', s', b')_L$  to the mass eigenstates  $(d, s, b)$  contains three rotation angles  $\theta_i$  and a  $CP$ -nonconserving phase  $\delta$ . In the form introduced by Kobayashi-Maskawa,<sup>3</sup> the matrix  $U$

can be written

$$U = \begin{pmatrix} c_1 & s_1 c_3 & s_1 s_3 \\ -s_1 c_2 & c_1 c_2 c_3 + s_2 s_3 e^{i\delta} & c_1 c_2 s_3 - s_2 c_3 e^{i\delta} \\ -s_1 s_2 & c_1 s_2 c_3 - c_2 s_3 e^{i\delta} & c_1 s_2 s_3 + c_2 c_3 e^{i\delta} \end{pmatrix}, \quad (1)$$

where  $c_i = \cos\theta_i$  and  $s_i = \sin\theta_i$ . By suitable choices

of the signs of the quark fields, we can restrict the angles to the ranges<sup>4</sup>  $0 \leq \theta_i \leq \pi/2$  and  $-\pi \leq \delta \leq \pi$ . The charged weak current is

$$J_\mu = 2(\bar{u} \bar{c} \bar{t})_L \gamma_\mu U \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L. \quad (2)$$

Since the  $\theta_i$  and  $\delta$  parameters govern the lifetimes, decay branching ratios, mixing, and  $CP$  nonconservation of heavy quark systems,<sup>5-7</sup> phenomenological determinations of their values from existing data are of considerable interest. Analyses based on  $d \rightarrow u$ ,  $s \rightarrow u$ , and  $\mu \rightarrow \nu$  data give<sup>8</sup>  $|s_1| \simeq 0.23$  and set the limit  $|s_3| < 0.5$ . From an approximate calculation of the  $K_L - K_S$  mass difference, it was estimated<sup>6</sup> that  $|s_2| < 0.3$  for  $m_t > 10$  GeV.

In this Letter we do a careful quantitative theo-

retical analysis of the  $K_L - K_S$  system, without making the usual  $m_q/m_W \ll 1$  approximations to the single-loop quark transition amplitudes. We allow for the possibility of a bag-model correction factor<sup>9</sup>  $B \simeq 0.4$  to the vacuum insertion approximation in the  $K^0, \bar{K}^0$  matrix element of the effective four-quark Lagrangian. We assume that the observed  $CP$  nonconservation arises solely from the phase angle  $\delta$ . Requiring that the calculation of the  $K^0 \rightarrow \bar{K}^0$  transition reproduces the observed mass difference and  $CP$  nonconservation, we obtain constraints on allowed values of the mixing and phase parameters.

The  $K_S - K_L$  mass difference  $\delta m = m(K_S) - m(K_L)$  is computed from the  $K^0 - \bar{K}^0$  transition amplitude:

$$\delta m = 2 \operatorname{Re} \langle \bar{K}_0 | [-\mathcal{L}_{\text{eff}}(\bar{s}d \rightarrow s\bar{d})] | K_0 \rangle, \quad (3)$$

where  $\mathcal{L}_{\text{eff}}$  is the effective four-quark amplitude at the single-loop level. The transition amplitude is<sup>10</sup>

$$\langle \bar{K}^0 | (-\mathcal{L}_{\text{eff}}) | K^0 \rangle = \frac{-B f_K^2 m_K (G_F/\sqrt{2})(\alpha/4\pi)}{3x_W} \sum_{i,j=u,c,t} \lambda_i \lambda_j A_{ij}, \quad (4)$$

where  $\lambda_i = U_{is} U_{id}^*$  and  $A_{ij}$  represents the single-loop integral with intermediate quarks  $q_i$  and  $q_j$ . In Eq. (4)  $f_K \simeq 1.23 m_\pi$  is the kaon decay constant, and  $x_W$  is the Weinberg-angle factor  $x_W = \sin^2 \theta_W \simeq 0.2$ .  $B \simeq 1$  represents the vacuum insertion approximation, and  $B \simeq 0.4$  is the estimate of the bag-model correction. We consider both values in our analysis. In the limit where external momenta are small, compared to  $M_W$  and to masses of the heavy quarks that appear in the internal lines, the amplitude  $A_{ij}$  is given by<sup>11</sup>

$$A_{ij} = \frac{1}{(1-x_i)(1-x_j)} + \frac{1}{x_i - x_j} \left[ \frac{x_i^2 \ln x_i}{(1-x_i)^2} - \frac{x_j^2 \ln x_j}{(1-x_j)^2} \right], \quad (5)$$

where  $x_i = m_i^2/m_W^2$  and  $m_W = [\pi\alpha/(\sqrt{2}G_F x_W)]^{1/2} \simeq 84$  GeV. In an expansion in powers of  $x_i$  and  $x_j$ , the terms independent of  $x_i$  and  $x_j$  cancel in Eq. (4) because of the unitarity relation  $\sum_i \lambda_i = 0$ . The leading terms in the summation are

$$\sum_i \lambda_i^2 x_i + \sum_{i \neq j} \lambda_i \lambda_j \frac{x_i x_j}{x_i - x_j} \ln \frac{x_i}{x_j}.$$

Previous analyses<sup>6,9</sup> were based on this approximation which may be inaccurate for heavy quarks. In our calculations we use the exact expression of Eq. (5).

In the decay eigenstates  $|K_{S,L}\rangle = (|K_{1,2}\rangle + \rho|K_{2,1}\rangle)/[(1+|\rho|^2)]^{1/2}$ , the  $CP$  parameter is<sup>12</sup>

$$\rho = \frac{i \operatorname{Im} m_{12} + \frac{1}{2} \operatorname{Im} \Gamma_{12}}{i \frac{1}{2} \delta \Gamma - \delta m}, \quad (6)$$

where  $\delta \Gamma = \Gamma_S - \Gamma_L$ . Studies of  $K - 2\pi$  decays give information on  $\rho$  in conjunction with the  $\pi\pi$  ampli-

tudes

$$A_I \equiv \exp(-i\delta_I) \langle \pi\pi, I | T | K^0 \rangle, \quad (7)$$

where  $\delta_I$  is the  $\pi\pi$  phase shift for isospin  $I$ . With the Kobayashi-Maskawa convention,<sup>3</sup>  $\operatorname{Im} A_2 = 0$ ; it is convenient to work with this natural convention of the model rather than transforming to the Wu-Yang convention, for which  $\operatorname{Im} A_0 = 0$ . The  $CP$ -nonconserving observables in  $K - 2\pi$  decays are then<sup>12</sup>

$$\eta_{+-} = \rho + i(\operatorname{Im} A_0/\operatorname{Re} A_0)(1 + \omega/\sqrt{2})^{-1}, \quad (8)$$

$$\eta_{00} = \rho + i(\operatorname{Im} A_0/\operatorname{Re} A_0)(1 - \sqrt{2}\omega)^{-1}, \quad (9)$$

where

$$\omega = (\operatorname{Re} A_2/\operatorname{Re} A_0) \exp[i(\delta_2 - \delta_0)]. \quad (10)$$

The experimental phase<sup>13</sup> and magnitude<sup>14</sup> of  $\omega$  are  $\delta_2 - \delta_0 = -53.2^\circ \pm 5.2^\circ$  and  $|\omega| = 0.0448 \pm 0.0002$ .

In the approximation that the  $I=0, 2\pi$  intermedi-

ate state dominates,  $\text{Im}\Gamma_{12}$  is given by<sup>12</sup>

$$\text{Im}\Gamma_{12} = (\text{Im}A_0/\text{Re}A_0)\Gamma_s. \quad (11)$$

In the Kobayashi-Maskawa model  $\text{Im}A_0$  arises only from diagrams with heavy-quark intermediate states and so is expected to be suppressed by the Zweig-Iizuka rule.<sup>5,6</sup> The exact amount of suppression is difficult to estimate because of the uncertain role of gluon-exchange penguin diagrams.<sup>4,15</sup> The penguin diagrams<sup>4</sup> give  $\text{Im}\Gamma_{12} < 0$ . From the experimental bound<sup>13</sup>  $|\eta_{+-} - \eta_{00}|/|2\eta_{+-} + \eta_{00}| \lesssim 1/50$ ; the upper bound on penguin-diagram contributions is<sup>4</sup>

$$|\text{Im}\Gamma_{12}/\text{Im}m_{12}| < 0.4. \quad (12)$$

Taking the real part of Eq. (6), we find

$$\text{Im}m_{12} = \text{Re}\rho \left( \frac{\delta\Gamma}{2\delta m} + \frac{2\delta m}{\delta\Gamma} \right) \delta m + \text{Im}\Gamma_{12} \left( \frac{\delta m}{\delta\Gamma} \right), \quad (13)$$

where  $\delta m = -0.477\Gamma_s = -0.352 \times 10^{-14}$  GeV and  $\delta\Gamma \simeq \Gamma_s$ . We neglect the  $\text{Im}\Gamma_{12}$  contribution to Eq. (13). Inserting the experimental value  $\text{Re}\rho = (1.62 \pm 0.088) \times 10^{-3}$  from  $K_L \rightarrow \pi e \nu$  asymmetry measure-

ments,<sup>16</sup> Eq. (13) becomes

$$\text{Im}m_{12} = -3.25 \times 10^{-3} \delta m. \quad (14)$$

The  $CP$ -nonconserving mass term is related to the  $K^0-\bar{K}^0$  transition amplitude by

$$\text{Im}m_{12} = \text{Im}\langle \bar{K}^0 | (-\mathcal{L}_{\text{eff}}) | K^0 \rangle. \quad (15)$$

Consistency of Eqs. (3), (4), and (15) with the experimental determinations of  $\delta m$  and  $\text{Im}m_{12}$ , places two restrictions on the parameters of Eq. (1). Since  $s_1$  is already known, we can solve for the allowed values of  $s_2$  and  $\delta$  as a function of  $s_3$ , given the constituent quark masses<sup>11,17</sup> which enter the amplitude  $A_{ij}$  of Eq. (5). We take  $m_u = 0.3$  GeV,  $m_c = 1.5$  GeV, and consider three choices for the mass of the  $t$  quark:  $m_t = 14, 30,$  and  $60$  GeV.

Figure 1 shows the solutions for  $s_2$  and  $s_\delta \equiv \sin\delta$  vs  $s_3$ . For  $CP$  nonconservation in the six-quark model  $s_2, s_3,$  and  $s_\delta$  must all be nonvanishing. The minimum value of  $s_2$  consistent with the observed  $\text{Im}m_{12}$  occurs for very small  $s_3$  (of order  $10^{-3}$ ) and  $\delta = 90^\circ$ . The allowed range of  $s_2$ ,

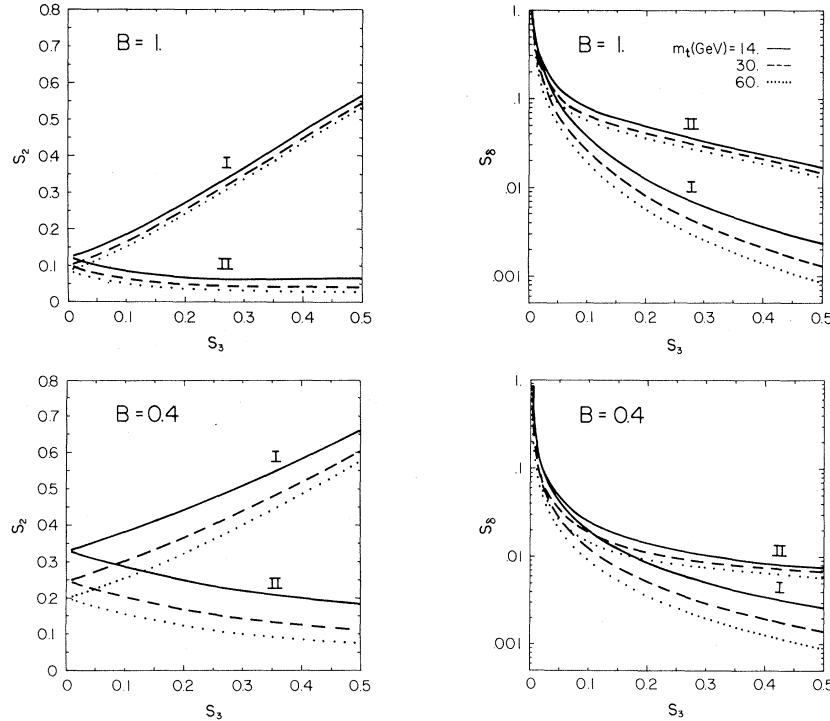


FIG. 1. Solutions for  $s_2$  and  $s_\delta$  vs  $s_3$ , for  $t$ -quark masses of 14 GeV (solid curves), 30 GeV (dashed curves), and 60 GeV (dotted curves).  $B = 1$  corresponds to the vacuum insertion approximation and  $B = 0.4$  to the bag model. The phase  $\delta$  lies in the first quadrant for solution I and in the second quadrant for solution II.

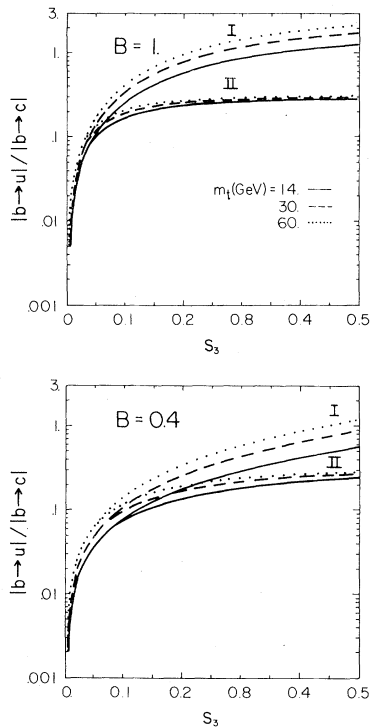


FIG. 2. Ratio of  $b \rightarrow u$  and  $b \rightarrow c$  couplings vs  $s_3$ . Labeling of curves corresponds to that of Fig. 1.

for  $m_t \leq 30$  GeV, is

$$\begin{aligned} 0.11 < s_2 < 0.66 & \text{ for } B=0.4, \\ 0.04 < s_2 < 0.57 & \text{ for } B=1. \end{aligned} \quad (16)$$

Here the  $s_2$  upper bounds correspond to the  $s_3 \leq 0.50$  universality bound. The  $s_2$  upper bound for  $B=1$  is considerably larger than that given by the approximate calculation of Ref. 6.

The  $|b \rightarrow u|/|b \rightarrow c|$  coupling ratio is plotted versus  $s_3$  in Fig. 2. We see that the possibility that the  $b$  quark decays only via the mode  $b \rightarrow u$  is ruled out. The bounds on this ratio for  $m_t < 30$  GeV are

$$\begin{aligned} |(b \rightarrow u)/(b \rightarrow c)| &\leq 0.9 \text{ for } B=0.4, \\ |(b \rightarrow u)/(b \rightarrow c)| &\leq 1.8 \text{ for } B=1. \end{aligned} \quad (17)$$

Thus  $b \rightarrow c \rightarrow s, d$  cascade modes occur at a substantial level.

The limits on the angles  $\theta_2$  and  $\theta_3$ , and on  $\delta$ , can be used to bound the lifetime of the  $b$  quark.<sup>18</sup> However, such lifetime limits will be dependent on assumptions about nonleptonic enhancement.

We thank C. Goebel, E. Ma, and L. Wolfenstein for discussions. We thank F. Gilman for communications regarding the calculation of  $\text{Im}\Gamma_{12}$ . After completion of this work, we learned that a

similar analysis was made by Shrock, Treiman, and Wang.<sup>19</sup>

This research was supported in part by the University of Wisconsin Research Committee with funds granted by the Wisconsin Alumni Research Foundation, and in part by the Department of Energy under Contracts No. EY-76-C-02-0881 and No. EY-76-C-03-0511.

<sup>1</sup>S. Weinberg, Phys. Rev. Lett. **19**, 1264 (1967); A. Salam, in *Proceedings of the Eighth Nobel Symposium on Elementary Particle Physics, Relativistic Groups, and Analyticity, Stockholm, Sweden, 1968*, edited by N. Svartholm (Almqvist and Wiksells, Stockholm, 1968), p. 367.

<sup>2</sup>S. Glashow, J. Iliopoulos, and L. Maiani, Phys. Rev. D **2**, 1285 (1970).

<sup>3</sup>M. Kobayashi and K. Maskawa, Prog. Theor. Phys. **49**, 652 (1973).

<sup>4</sup>F. Gilman and M. Wise, SLAC Report No. SLAC-PUB-2243, 1978 (unpublished).

<sup>5</sup>S. Pakvasa and H. Sugawara, Phys. Rev. D **14**, 305 (1976); L. Maiani, Phys. Lett. **62B**, 183 (1976).

<sup>6</sup>J. Ellis *et al.*, Nucl. Phys. **B131**, 285 (1977); J. Ellis *et al.*, Nucl. Phys. **B109**, 213 (1976).

<sup>7</sup>A. Ali and Z. Z. Aydin, Nucl. Phys. **B148**, 165 (1979).

<sup>8</sup>R. E. Shrock and L.-L. Wang, Phys. Rev. Lett. **41**, 1692 (1978).

<sup>9</sup>R. E. Shrock and S. B. Treiman, to be published.

<sup>10</sup>M. K. Gaillard and B. W. Lee, Phys. Rev. D **10**, 897 (1974).

<sup>11</sup>E. Poggio and H. Schnitzer, Phys. Rev. D **15**, 1973 (1977); T.-P. Cheng and L.-F. Li, Phys. Rev. D **16**, 1425 (1977).

<sup>12</sup>L. Wolfenstein, in *Theory and Phenomenology in Particle Physics*, Proceedings of the School of Physics "Ettore Majorana," edited by A. Zichichi (Academic, New York, 1969), p. 218.

<sup>13</sup>K. Kleinknecht, in *Proceedings of the Seventeenth International Conference on High Energy Physics, London, 1974*, edited by J. R. Smith (Rutherford High Energy Laboratory, Didcot, Berkshire, England, 1975), p. III-23.

<sup>14</sup>T. J. Devlin and J. O. Dickey, Rutgers University Report No. RU-78-79 (unpublished); S. Wojcicki, SLAC Report No. 215, 1978 (unpublished).

<sup>15</sup>M. A. Shifman *et al.*, Pis'ma Zh. Eksp. Teor. Fiz. **22**, 123 (1975) [JETP Lett. **22**, 55 (1975)].

<sup>16</sup>Particle Data Group, LBL Report No. 100, 1978 (unpublished).

<sup>17</sup>E. Witten, Nucl. Phys. **B122**, 109 (1977).

<sup>18</sup>H. Harari, SLAC Report No. 2234, 1978 (unpublished).

<sup>19</sup>R. E. Shrock, S. B. Treiman, and Ling-Lie Wang, following Letter [Phys. Rev. Lett. **42**, 1589 (1979)].