

the doubly excited level populations.

Helpful discussions with H. R. Griem and with M. Blaha are gratefully acknowledged.

*Work supported by the E. O. Hulbert Center for Space Research, Naval Research Laboratory, as part of the Apollo Telescope Mount Data Analysis Program funded by National Aeronautics and Space Administration Grant No. DPR-60404-G.

†Present address: Science Applications, Inc., McLean, Va. 22101.

¹H. R. Griem, *Spectral Line Broadening by Plasmas* (Academic, New York, 1974).

²A. H. Gabriel and C. Jordan, in *Case Studies in Atomic Collision Physics II*, edited by E. W. McDaniel and M. R. C. McDowell (North-Holland, Amsterdam, 1972), Chap. 4.

³A. Burgess, *Astrophys. J.* **139**, 776 (1964).

⁴A. Burgess and H. P. Summers, *Astrophys. J.* **157**, 1007 (1969).

⁵D. R. Bates, A. E. Kingston, and R. W. P. McWhirter, *Proc. Roy. Soc. London, Ser. A* **267**, 297 (1962).

⁶J. Holtzmark, *Ann. Phys. (Leipzig)* **58**, 577 (1919).

⁷M. Baranger, *Phys. Rev.* **111**, 481 (1958).

⁸M. Baranger, *Phys. Rev.* **111**, 494 (1958).

⁹M. Baranger, *Phys. Rev.* **112**, 855 (1958).

¹⁰A. C. Kolb and H. R. Griem, *Phys. Rev.* **111**, 514 (1958).

¹¹M. Neiger and H. R. Griem, *Phys. Rev. A* **14**, 291 (1976).

¹²J. Davis and V. L. Jacobs, *Phys. Rev. A* **12**, 2017 (1975).

¹³V. L. Jacobs, J. Davis, P. C. Kepple, and M. Blaha, to be published.

¹⁴D. R. Inglis and E. Teller, *Astrophys. J.* **90**, 439 (1939).

¹⁵J. R. Oppenheimer, *Phys. Rev.* **31**, 66 (1928).

¹⁶A. Burgess, *Astrophys. J.* **141**, 1588 (1965).

Formation of Potential Double Layers in Plasmas*

B. H. Quon† and A. Y. Wong

Physics Department, University of California, Los Angeles, California 90024

(Received 11 August 1976)

Large localized potential double layers, $e\phi \gg kT_e$, are observed to develop from a solitary E -field structure in a plasma traversed by electron drifts, $v_d \approx v_e$. Strong electron and ion accelerations occur across the layers. At large electron drift velocities ($v_d > 3v_e$), layers are unstable to large ion fluctuations with $\delta n/n$ approaching unity.

The existence of intense localized dc electric fields, potential double layers, has been the subject of many investigations in connection with intense beam injections¹ and in the ionospheric formation of auroral substorms.² We wish to present experimental evidence on the formation and stability of such potential layers in a bounded low-density plasma ($n \sim 10^8 \text{ cm}^{-3}$) traversed by electron drifts, $v_d \approx v_e$ [$v_e = (kT_e/m)^{1/2} \sim 0.8 \times 10^8 \text{ cm/sec}$]. Coherent potential perturbations initially excited by electron-ion drift instability^{3,4} are observed to transform into stationary potential jumps⁵ which exist self-consistently with the interpenetrating plasma streams and reflected ions and electrons.

The experiments were performed in the University of California at Los Angeles double-plasma beam system^{6,7} which consists of two electrically isolated stainless-steel cylinders separated by two closely spaced tungsten grids (with 200×200 fine mesh). Plasmas are produced by bombardments of neutral gas (Argon, $P_0 \sim 10^{-4}$ Torr) with electrons from a set of hot filaments in the source chamber. The plasma potentials can be varied by

applying an appropriate voltage bias between the two chambers to produce plasma flows. Three distinct regimes of operations can be accomplished, as shown in Fig. 1. *Regime I*: Slow electron-ion drifts [$0.3v_e > v_d > v_s$, where $v_e = (kT_e/m)^{1/2}$ and $v_s = (kT_e/M)^{1/2}$ are the electron thermal and ion acoustic speeds, respectively]. Plasma fluctuations identified as the current-driven ion acoustic instabilities were observed to grow spatially and become saturated at $\delta n/n \leq 10\%$ by an electron trapping process. Small amplitude waves were found⁶ to develop into large-amplitude

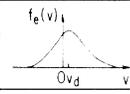
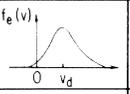
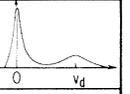
Regime	I $v_e < v_d < 0.3v_e$	II $v_d \approx v_e$	III $v_d > 3v_e$
Electron Velocity Distribution			
Experimental Evidence	Ion Acoustic Instability; BGK modes.	Electron-Ion Drift Instability; Double layers.	Beam-Plasma Interactions; Field localizations & spiky acceleration

FIG. 1. The three regimes of plasma interactions observed with use of the double-plasma beam system.

undamped Bernstein-Greene-Kruskal (BGK) modes⁸ with trapped electrons. *Regime II*: Large electron drifts ($v_d \approx v_e$). A stable potential double layer with an overall potential jump of $\Delta\phi \sim 3-15$ V and a gradient length 3-5 cm ($20-30\lambda_D$) develops via a two-stream instability to be discussed below. *Regime III*: Beam-plasma flows in which "bump-on-tail" electron distributions with beam velocities $v_d \approx 3-10v_e$ can drive electron plasma waves to large amplitude. Ion waves of $\delta n/n < 10\%$ are excited parametrically and effective beam thermalization has been observed in this regime by intense localized high-frequency field.⁷ The dynamic behavior of plasmas in Regime II is linked to Regimes I and III, during the spatial and temporal evolution of the electron distribution.

The existence of potential double layers in Regime II was verified by measurements of the plasma potential using Langmuir probes and electron-beam diagnostics. The potential profile measured is shown in Fig. 2, together with the phase-space representations of electron and ion distributions obtained by probes and energy analyzers (with use of lock-in differentiation or computer analysis). In Fig. 2(b), drifting electrons are accelerated by the layer in the forward direction, forming an energetic electron beam downstream, similar to the distribution in Regime III. The beam is subsequently thermalized via beam-plasma in-

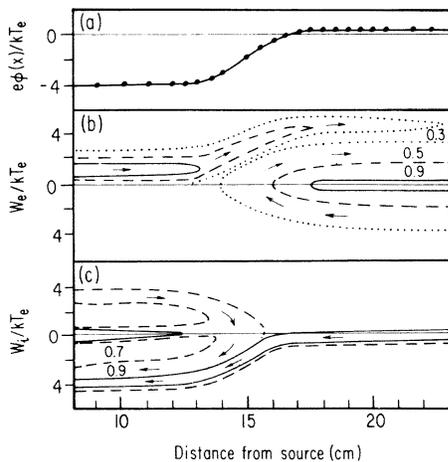


FIG. 2. (a) plots of steady-state plasma potentials, (b) the phase-space representations of the electron velocity distribution, and (c) ion velocity distribution function. Velocities are plotted in energy scales of $W_{e,i}/kT_e$, where $W_{e,i} = \frac{1}{2}m_{e,i}v^2$ for electrons and ions, respectively. Numbers indicated the normalized height of the distribution functions.

teractions⁷ in a downstream region 5-10 cm beyond the layer, producing hot electrons moving in both ($\pm x$) directions. Electrons moving backward along $-x$ direction are reflected. Ions are accelerated and reflected [Fig. 2(c)] in directions opposite to the electrons except in one respect: Those ions moving in $-x$ direction are accelerated by the layer but are reflected by the grounded grid (positive with respect to the plasma potential) in front of the source chamber. Counterstreaming ion beams are formed in the low-potential side of the layer and are found to be essential for the existence of the layer. For example, no layer was formed when the grid is left floating or biased at a negative potential with respect to the source plasma. The energy gains by the electrons and ions across the layer compare well (within 10%) with the total potential jump, as shown by the energy of the beams. The reflection of ions and hot electrons on opposite sides of the potential layer gives rise to two charge sheets at their reflection points such that the potential jump can be described by the spatial charge distribution using Poisson's equation. Our experimental data appear to support the theoretical picture⁹ of a double layer which exists self-consistently with reflected particles.

The temporal evolution of the potential double layer was studied by pulsing the electron drift on and off, through the control of the potential difference between source and target chambers. The electric field in the layer was directly measured using a probing electron beam located in the center region of the target plasma (15 cm from the source). The beam deflection caused by axial electric fields in the direction of electron drift are observed on a screen and detected by a split-plate detector with a high-gain difference amplifier. The calibrated measurements in Fig. 3 show a threshold ($v_d \approx 1.0v_e$) at which a solitary E -field structure was detected at $\sim 80 \mu\text{sec}$ after the electron drift is turned on, indicating a speed of $v \sim 2 \times 10^5 \text{ cm sec}^{-1}$, which is close to the ion acoustic speed. This propagating speed increases with the electron drift to 10^6 cm sec^{-1} at $v_d \approx 1.5v_e$ and a steady state $E \approx 4 \text{ V/cm}$ was established after the passage of the solitary structure ($50 \mu\text{sec}$). The E -field in both the traveling solitary structure and the subsequent steady-state layer is always pointing in a direction opposite to the electron drift. For $v_d \approx 1.5v_e$ the spatial potential profile was measured at successive stages of the temporal evolution by sampling the electron distribution, $f \approx f_0 \exp\{-e[\psi_\infty - \varphi_{\text{probe}}]/kT_e\}$, at a

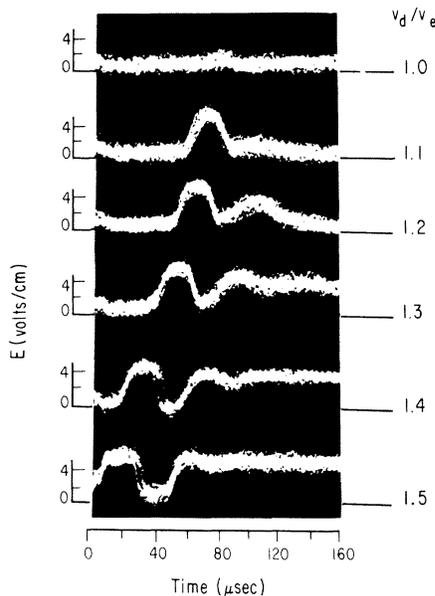


FIG. 3. Electric fields measured by deflections of a probing beam located at the center of the device (15 cm from the source). Positive E is pointed in the opposite direction of the electron drift. Signals shown are detected by a split-plate detector with a high-gain difference amplifier which subtracts noises picked up by each individual plate.

fixed probe potential φ_{probe} , and plotting

$$\frac{[f_0 \exp(e\varphi_{\text{probe}}/kT_e) - f]}{f_0 \exp(e\varphi_{\text{probe}}/kT_e)} \approx 1 - \exp[-e\varphi(x)/kT_e],$$

as shown in Fig. 4. This representation¹⁰ shows the spatial potential profile and amplifies the spatial potential variation by the exponential function. Figure 4 demonstrates that (1) at earlier times (10–20 μsec) a potential perturbation was excited to propagate in the drift direction at a speed 10^6 cm sec^{-1} ; (2) the perturbation grows in amplitude to $e\varphi/kT_e \approx 1$ and evolves into moving potential steps which are the spatial description of the same solitary E -field structure observed in time (20–30 μsec) by the electron-beam deflection in Fig. 3; and (3) after the passage of the leading pulse (40–60 μsec), ions are accelerated to stream in the direction opposite to the perturbation, such that the trailing pulses appear to slow down in the laboratory frame; and the dc potential double layer develops and remains essentially stationary in later times. Thus both the electric field and the potential profile reveal the formation of a stationary potential double layer from moving solitary-field structure in time of 30–60 μsec , a time corresponding to 5–10 ion

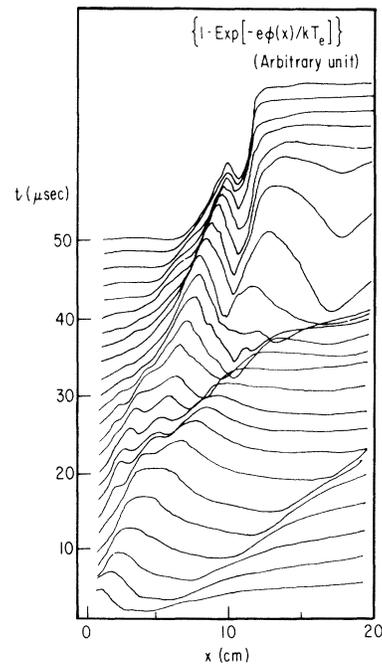


FIG. 4. Representation of plasma potential profile in time sequences $0 < t \leq 50 \mu\text{sec}$, showing the transformation of moving potential perturbations into a stationary potential double layer for $v_d \approx 1.5v_e$.

plasma periods, $(f_{pi})^{-1}$.

Stable double layers are formed in the plasma with good stable characteristics over a range of drift velocities, $v_e \leq v_d \leq 3v_e$. Large ion fluctuations ($\delta n/n \leq 50\%$) can be excited in the low-potential side of a stable layer. However, at higher drifts ($v_d > 3v_e$), the layer breaks up into intense field spikes ($E_{\text{max}} \approx 10 \text{ V/cm}$) and large-amplitude ion fluctuations¹¹ ($\delta n/n \approx 1$ and $\omega \approx 0.1\omega_p$) are observed. These greatly enhanced fluctuations associated with unstable double layers may present a new type of plasma turbulence and deserve further investigation.

In conclusion, the foregoing experiments have demonstrated unambiguously the existence of a stationary potential double layer. Solitary E -field structures initially excited by the potential changes at the source reflect ions to form counter-streaming ion distribution necessary for the establishment of the double layer. The potential double layer is essentially the transformation of the solitary E structure from the moving frame into a stationary frame. At higher drifts the layer is unstable because of large electron-ion counter-streaming at the layer.

The authors wish to acknowledge the assistance of J. Theobald, N. Wild, and Z. Lucky, and the

useful discussions with Dr. R. J. Armstrong and T. Samec.

*Research was supported by the National Science Foundation under Grant No. MPS 75-18165.

†Present address: Oak Ridge National Laboratory, Fusion Energy Division, Oak Ridge, Tenn. 37830.

¹E. Graybill and J. R. Uglum, *J. Appl. Phys.* **41**, 236 (1970); J. Randu, B. Ecker, G. Yonas, and D. J. Drick-ey, *Phys. Rev. Lett.* **24**, 283 (1970).

²D. L. Mathews and T. A. Clark, *Can. J. Phys.* **46**, 201 (1968); R. D. Albert and P. J. Lindstrom, *Science* **170**, 1398 (1970).

³E. A. Jackson, *Phys. Fluids* **3**, 786 (1960); Ira B. Bernstein and R. M. Kulscrud, *Phys. Fluids* **3**, 937 (1960); B. D. Fried and R. W. Gould, *Phys. Fluids* **4**, 139 (1961).

⁴O. Buneman, *Phys. Rev. Lett.* **1**, 8 (1958); *Phys. Rev.* **115**, 503 (1959); T. E. Stringer, *Plasma Phys.* **6**,

267 (1964).

⁵H. Alfvén and P. Carlqvist, *Sol. Phys.* **1**, 220 (1967); L. P. Block, *Cosmic Electrodynam.* **3**, 349 (1972); F. W. Crawford and A. B. Cannara, *J. Appl. Phys.* **36**, 3135 (1965); E. I. Lutsenko, N. D. Seveda, and L. M. Konstsevoi, *Zh. Tekh. Fiz.* **45**, 789 (1975) [*Sov. Phys. Tech. Phys.* **20**, 498 (1976)].

⁶A. Y. Wong, B. H. Quon, and B. H. Ripin, *Phys. Rev. Lett.* **30**, 1299 (1973).

⁷B. H. Quon, A. Y. Wong, and B. H. Ripin, *Phys. Rev. Lett.* **32**, 406 (1974); A. Y. Wong and B. H. Quon, *Phys. Rev. Lett.* **33**, 1499 (1975).

⁸I. Bernstein, M. Greene, and M. D. Kruskal, *Phys. Rev.* **108**, 546 (1957).

⁹G. Knorr and C. Geortz, *Astrophys.* **31**, 209 (1974).

¹⁰Plasma potential profile $\varphi(x, t)$ has been measured directly from a family of sampled Langmuir characteristics and confirm this result.

¹¹N. Th. Karatzas, A. J. Anastassiadis, and K. Papadopoulos, *Phys. Rev. Lett.* **35**, 33 (1975).

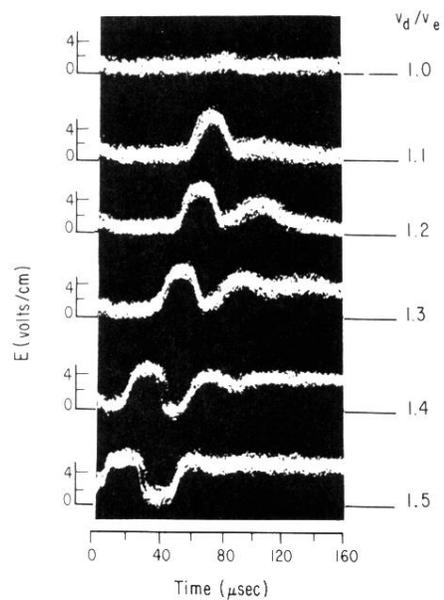


FIG. 3. Electric fields measured by deflections of a probing beam located at the center of the device (15 cm from the source). Positive E is pointed in the opposite direction of the electron drift. Signals shown are detected by a split-plate detector with a high-gain difference amplifier which subtracts noises picked up by each individual plate.