

published); J. Matsuzaki, Stanford Electronics Laboratory, Stanford University, Report No. 5220-3 (unpublished).

<sup>5</sup>D. E. Eastman *et al.*, Phys. Rev. B **9**, 3473 (1974), and references therein. The value of  $L_1$  in Table I of this paper should read 7.7 eV. W. D. Grobman and D. E. Eastman, Phys. Rev. Lett. **29**, 1508 (1972).

<sup>6</sup>D. Brust [Phys. Rev. **139**, A489 (1965)] and L. R. Saravia and L. Casamayou [J. Phys. Chem. Solids **32**, 1541 (1971)] have attempted analyses of BZ volume contributions to photoemission spectra. Their final results were incorrect because of the lack of an accurate starting-band calculation and the use of an oversimplified, isotropic model.

<sup>7</sup>J. C. Phillips and K. C. Pandey, Phys. Rev. B **9**, 1552 (1974); J. C. Chelikowsky and M. L. Cohen, Phys. Rev. Lett. **31**, 1582 (1973).

<sup>8</sup>W. D. Grobman *et al.*, in Proceedings of the Twelfth International Conference on the Physics of Semiconductors, Stuttgart, 15-19 July 1974 (to be published).

<sup>9</sup>We need not sum amplitudes since individual beams (of finite coherence width) do not overlap at the detector.

<sup>10</sup>J. F. Janak *et al.*, in *Electronic Density of States*, edited by L. H. Bennett, U. S. National Bureau of Standards Special Publication No. 323 (U. S. GPO, Wash-

ington, D. C., 1971).

<sup>11</sup>C. N. Berglund and W. E. Spicer, Phys. Rev. **136**, A1030, A1044 (1964).

<sup>12</sup>The  $\mathbf{k}$ -space integration employed the algorithm described in Ref. 5, and eigenvalues, pseudo wave functions, and their momentum matrix elements calculated at  $\sim 1600$  points in the reduced BZ.

<sup>13</sup>Note that direct-transition features are still seen weakly even at large  $h\nu$  and short escape depths (see Ref. 8).

<sup>14</sup>D. E. Eastman and J. L. Freeouf, in Proceedings of the International Topical Conference on Tetrahedrally Bonded Amorphous Semiconductors, Yorktown Heights, N. Y., 20-22 March 1974 (to be published).

<sup>15</sup>W. E. Spicer, J. Phys. (Paris) **34**, C6-19 (1973), obtained  $L_{3,v} = -1.7$  eV by (incorrectly) assuming that the 5.8-eV transition occurs at  $L$ . Reference 5 obtained  $-1.1$  eV (incorrectly) by neglecting the correction to the valence-band overview due to surface-state emission.

<sup>16</sup>One theory of this effect is given by L. Hedin and B. I. Lundqvist, J. Phys. C: Proc. Phys. Soc., London **4**, 2064 (1971). Such a term has been shown necessary in Cu to fit high-energy photoemission data. See J. F. Janak, A. R. Williams, and V. L. Moruzzi, to be published; L. F. Wagner *et al.*, to be published.

## Theory of ac Conductivity Based on Random Walks

J. K. E. Tunaley

Centre For Radio Science and Physics Department, University of Western Ontario,  
London, Ontario N6A 3K7, Canada

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It is shown that a simple random-walk formalism is not sufficient to derive ac conductivities when the influence of the first-waiting-time distribution is considered.

The continuous-time random walk of Montroll and Weiss<sup>1</sup> has been employed by Sher and Lax<sup>2</sup> and subsequently by Moore<sup>3</sup> to derive ac conductivity in situations where the conduction is primarily by hopping. The treatment ostensibly has applications to amorphous materials where at moderate frequencies the conductivity is frequently proportional to  $\omega^\nu$ , where  $\omega$  is the angular frequency and  $\nu$  is a constant of the order of unity. Sher and Lax are able to fit theoretical curves to experimental data quite successfully through a frequency-dependent term of the form

$$i\omega\psi(\omega)[1-\psi(\omega)]^{-1}, \quad (1)$$

where  $\psi(\omega)$  is the Fourier transform of the probability density of the waiting time between hops in a random walk.

Firstly I shall derive a formula for the ac con-

ductivity in a much simpler fashion than Sher and Lax and secondly show that expression (1) is incorrect.

Suppose a potential gradient is suddenly applied to a material in which the carriers perform a Montroll-Weiss type of random walk. In this walk, independent electrons (say) are trapped at sites which may be distributed at random. Hopping takes place between sites; the transitions themselves are virtually instantaneous with a waiting time between hops with probability density  $f(t)$ . The potential gradient naturally causes the probability of a hop in the forward direction to be greater than that in the reverse direction so that a steady component of current is produced.

With the use of a type of argument employed by Feller,<sup>4</sup> the probability that a transition takes place in interval  $dt$  at time  $t$  after application of

the field is equal to

$$[h(t) + h(t)*f(t) + h(t)*f(t)*f(t) + h(t)*f(t)*f(t)*f(t) + \dots]dt, \quad (2)$$

where  $h(t)$  is the probability density of the first-jump waiting interval. If the carrier moves a mean distance  $\lambda$  in the direction of the field,  $e$  is the electronic charge, and  $l$  is the circuit length, the mean charge transported around the circuit in  $dt$  is

$$\langle \Delta Q \rangle = (e\lambda/l)[h + h*f + h*f*f + h*f*f*f + \dots]dt, \quad (3)$$

which is equivalent to a mean current

$$\langle I(t) \rangle = (e\lambda/l)[h + h*f + h*f*f + h*f*f*f + \dots]. \quad (4)$$

Taking the Fourier transform in such a way as to be consistent with electric circuit theory ( $\partial/\partial t \rightarrow i\omega$ ) and performing a geometric sum yields for the Fourier transform of the mean indicial response

$$\langle I(\omega) \rangle = (e\lambda/l) \frac{h(\omega)}{[1 - \psi(\omega)]}, \quad (5)$$

where

$$\begin{aligned} h(\omega) &= \int_{-\infty}^{\infty} h(t)e^{-i\omega t} dt, \\ \psi(\omega) &= \int_{-\infty}^{\infty} f(t)e^{-i\omega t} dt. \end{aligned} \quad (6)$$

The impulsive response can be obtained from the indicial response by multiplying Eq. (5) by  $i\omega$ ; summing over all electrons gives for the impulsive response in terms of the current density

$$\langle j(\omega) \rangle = ne\lambda i\omega h(\omega)/[1 - \psi(\omega)], \quad (7)$$

where  $n$  is the electron density. This is proportional to the complex ac conductivity  $\rho(\omega)$ .

We may cast this equation into the form given by Sher and Lax by introducing the ordinary mobility and diffusion coefficients  $K$  and  $D$ , respectively. The drift velocity  $v$  of a carrier is related to the quantity  $\lambda$  by

$$v = \lambda/\mu, \quad (8)$$

where  $\mu$  is the mean time between hops, so that

$$KeE = \lambda/\mu, \quad (9)$$

where  $E$  is the applied electric field. Employing the Einstein relation

$$D = kTK, \quad (10)$$

where  $k$  is Boltzmann's constant and  $T$  is the temperature, we have

$$\langle j(\omega) \rangle = i\omega h n e^2 D \mu E / kT (1 - \psi).$$

We may now express  $D$  in terms of the variance of the jump distance  $\sigma^2$  for a three-dimensional random walk<sup>5</sup> in the absence of an applied field,

$$D = \sigma^2 / 6\mu, \quad (11)$$

which results in

$$\langle j(\omega) \rangle = \frac{ne^2\sigma^2 E}{6kT} \frac{i\omega h}{1 - \psi}. \quad (12)$$

If we put  $h = \psi$  this result is virtually identical to that of Sher and Lax: They introduce a generalized variance which is a function of frequency. Nevertheless, in comparisons with experimental data  $\sigma^2$  is treated as a constant.

The Montroll-Weiss formalism employed by Sher and Lax makes no distinction between  $h(t)$  and  $f(t)$  so that expression (1) is naturally obtained by them. However the inclusion of  $h(\omega)$  makes a very important difference except when  $\omega \sim 0$  [if  $h(t)$  and  $f(t)$  correspond to proper distributions  $h(\omega) = \psi(\omega) = 1$ ]. The density  $h(t)$  can easily be obtained in terms of  $f(t)$  in the limit when the hopping has been taking place for an infinite time before application of the field. The theory is given by Feller<sup>4</sup> and the result is

$$h(t) = \mu^{-1}[1 - F(t)], \quad (13)$$

where  $F(t)$  is the distribution corresponding to  $f(t)$ . Thus

$$h(\omega) = [1 - \psi(\omega)] / i\omega\mu$$

and we see on inserting this in Eq. (12) that  $\rho(\omega)$  is independent of  $\omega$ . It is worthwhile emphasizing that this conclusion is not a consequence of the derivation presented here but is equally true for the Sher and Lax treatment if  $h(t)$  is properly included in their random-walk formalism.

The lack of any frequency dependence is of course due to the nature of the model: The assumption that the wave functions are completely localized results in a model which is essentially classical in character where the hops occur instantaneously.

<sup>4</sup>E. W. Montroll and G. H. Weiss, J. Math. Phys. (N. Y.) **6**, 167 (1965).

<sup>5</sup>H. Sher and M. Lax, Phys. Rev. B **7**, 4491 (1973).

<sup>3</sup>E. J. Moore, *J. Phys. C: Proc. Phys. Soc., London* **7**, 339 (1974).

<sup>4</sup>W. Feller, *An Introduction to Probability Theory and*

*its Applications* (Wiley, New York, 1971), Vol. 2, 2nd ed.

<sup>5</sup>S. Chandrasekhar, *Rev. Mod. Phys.* **15**, 1 (1943).

## Excited-State Exchange Broadening of Optical Transitions in PrCl<sub>3</sub>

K. R. German and A. Kiel

*Bell Telephone Laboratories, Holmdel, New Jersey 07733*

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We have observed an anomalous broadening of two fluorescence lines in PrCl<sub>3</sub>. The new effect is observed only when the terminal state is a magnetic doublet and only at low temperatures ( $T < 100$  K). However, the effect is seen far above any transition or ordering temperature. The broadening can be, at least partially, suppressed by application of a large magnetic field. The effect is almost certainly a cooperative phenomenon arising from an interaction between ground- and excited-state ions.

In previous papers<sup>1,2</sup> we have investigated the properties of PrCl<sub>3</sub> and Pr<sub>0.01</sub>La<sub>0.99</sub>Cl<sub>3</sub>. In the course of this work we observed an unexpected behavior of the fluorescent linewidths which we report in this Letter.

The experimental setup is the same as described in Refs. 1 and 2 except that a superconducting magnet was used to obtain the magnetic field data. The  $^3P_0$  ( $\mu = 0$ ) level was pumped with the pulsed dye laser (4 nsec pulse width) from the  $^3H_4$  ground state (excitation  $\sim 488$  nm). Fluorescence of the  $^3P_0$  to the  $^3F_2$  and  $^3H_6$  manifolds was observed<sup>3,4</sup> (Fig. 1). In hexagonal PrCl<sub>3</sub> and LaCl<sub>3</sub> (site symmetry  $C_{3h}$  or  $\bar{3}$ ) the levels with  $\mu = 1, 2$  are doublets while those with  $\mu = 0, 3$  are nondegenerate.

The anomaly that attracted our interest is displayed in the upper (solid) curve in Fig. 2 which is a plot of linewidth versus temperature of the  $^3P_0$ - $^3F_2$  emission (645 nm) in PrCl<sub>3</sub>. Theories based on ion-phonon interactions predict a monotonic decrease in linewidth with decreasing temperature.<sup>5</sup> Indeed, the data (curve 2) for Pr<sub>0.01</sub>La<sub>0.99</sub>Cl<sub>3</sub> are monotonic. If a large magnetic field splits the Zeeman components, the linewidth as a function of temperature of these components changes as seen in curve 3. The maximum reduction of width occurs when the two components are fairly well separated<sup>6</sup> and further increase of the field to 90 kG has no effect.

In Fig. 3 we show the linewidth as a function of temperature for transitions to  $^3H_6$  in PrCl<sub>3</sub>.<sup>7</sup> The 619-nm transition in zero field (curve 1) does not display the dramatic increase of linewidth at lower temperatures. Note, however, that at 20 K

its width is more than double that in dilute crystals (curve 2). In the latter, we see that there is significant phonon broadening even at 50 K so that a minimum, such as observed in the 645-nm line, would be effectively masked. In a magnetic field the linewidth of the 619-nm emission in PrCl<sub>3</sub> becomes about as narrow as it is in the

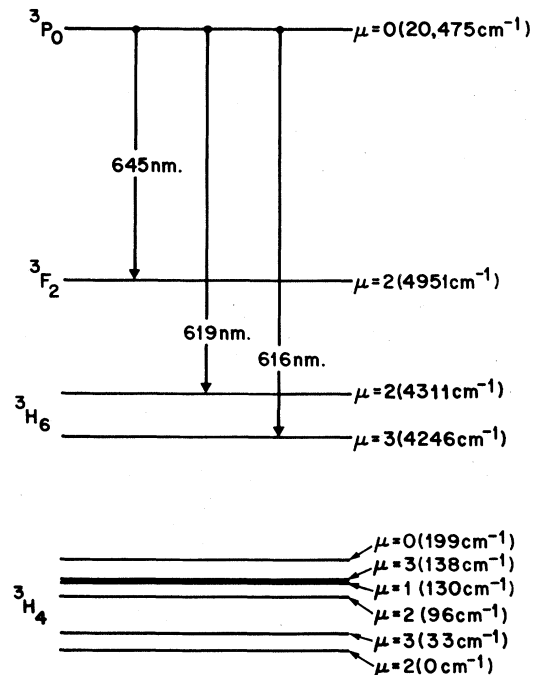


FIG. 1. Partial energy-level diagram of Pr<sub>0.01</sub>La<sub>0.99</sub>Cl<sub>3</sub>. We display only those levels of interest. The energy of each level is shown at the right. (The energies and hence transition wavelengths change slightly in PrCl<sub>3</sub>.)